

# Programming Languages and Compiler Design

## Optimization Using Data-flow Analysis

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# Outline - Optimization Using Data-flow Analysis

Introduction and Preliminaries

Elimination of Redundant Computations with Available Expressions

Elimination of Useless Instructions with Live Variables

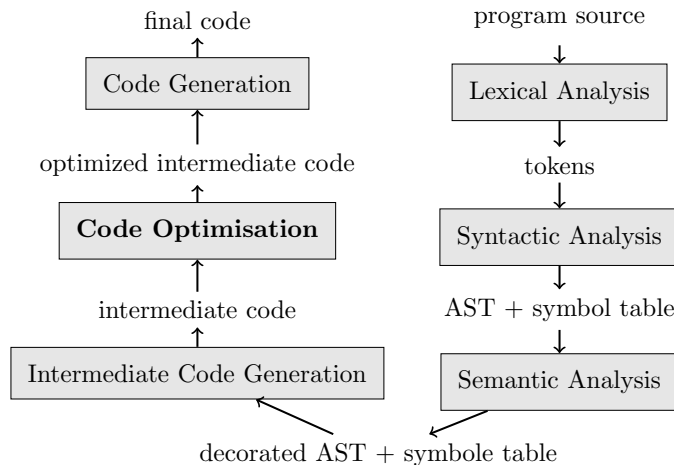
Constant Propagation

Generalization

Summary

# Optimization

Where are we in the compiler steps?



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## Introduction and Preliminaries

### Objectives

Three-Address Code and Control Flow Graph

Optimization Techniques (Independent from the Target Machine)

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# Objectives of this chapter

Give some hints on general optimization techniques:

- ▶ **data-flow analysis**,
- ▶ register allocation,
- ▶ software pipelining,
- ▶ etc.

Describe the main data-structures used:

- ▶ Control Flow Graph (CFG),
- ▶ intermediate code (e.g., 3-address code),
- ▶ Static Single Assignment form (SSA),
- ▶ etc.

See some concrete examples.

But not a complete panorama of the whole optimization process.

# Objective of the optimization phase

Improve the **efficiency** of the target code, while preserving the source semantics.

Several (antagonist) criteria:

- ▶ execution time,
- ▶ code size,
- ▶ used memory,
- ▶ energy consumption,
- ▶ etc.

⇒ no optimal solution, no general algorithm

A bunch of optimization techniques:

- ▶ dependent from each other,
- ▶ sometimes based on heuristics.

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# Intra-procedural 3-address code (TAC)

“High-level” assembly code:

- ▶ binary logic and arithmetic operators,
- ▶ use of temporary memory location `ti`,
- ▶ assignments to variables, temporary locations,
- ▶ a label can be assigned to an instruction,
- ▶ conditional jumps `goto`.

## Example (3-address code)

- ▶ `l: x := y op x`
- ▶ `l: x := op y`
- ▶ `l42: x := y`
- ▶ `l9: goto l'`
- ▶ `l': if x oprel y goto l''`

# Basic block (BB)

Definition and how to compute them

## Definition (Basic Block)

A **maximal** instruction sequence  $S = i_1 \cdots i_n$  such that:

- ▶  $S$  execution is never “broken” by a jump  
 $\Rightarrow$  no goto instruction in  $i_1 \cdots i_{n-1}$
- ▶  $S$  execution cannot start somewhere in the middle  
 $\Rightarrow$  no label in  $i_2 \cdots i_n$

$\Rightarrow$  execution of a BB is “**atomic**”.

## Partitioning a 3-address code into BBs

1. computation of BB heads:  
1st inst., inst. target of a jump, inst. following a jump
2. computation of BB tails:  
last inst., inst. before a BB head

$\Rightarrow$  a **single traversal** of the TAC.

# Control-Flow Graph (CFG)

A representation of how the execution **may** progress inside the TAC.

## Definition (Control-Flow Graph)

A graph  $(V, E)$  such that:

$$V = \{B_i \mid B_i \text{ is a basic block}\}$$

$$E = \{(B_i, B_j) \mid$$

“tail of  $B_i$  is a jump to head of  $B_j$ ”

or

$$\text{“head of } B_j \text{ follows the tail of } B_i \text{ in the TAC”}\}$$

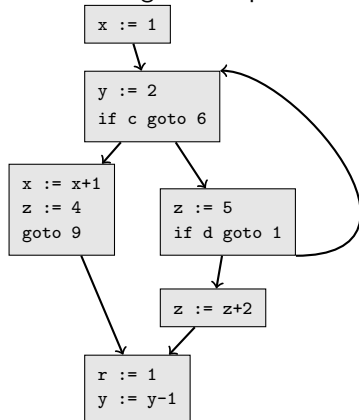
# Basic Block and Control-Flow Graph: example

## Example/Exercise

Give the Basic Blocks and CFG associated to the following TAC sequence:

0. <code>x := 1</code>	6. <code>z := 5</code>
1. <code>y := 2</code>	7. <code>if d goto 1</code>
2. <code>if c goto 6</code>	8. <code>z := z+2</code>
3. <code>x := x+1</code>	9. <code>r := 1</code>
4. <code>z := 4</code>	10. <code>y := y-1</code>
5. <code>goto 9</code>	

- Heads of blocks: 0, 1, 3, 6, 8, 9.
- Tails of blocks: 2, 5, 7, 10.



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# Two kinds of optimization techniques

## Optimization independent from the target machine

- Objective: optimize the performance of the program.
- “source level” or “assembly level” pgm transformations.

## Example (Optimization independent from the target machine)

- constant propagation, constant folding
- dead code elimination
- common sub-expressions elimination
- code motion

## Optimization dependent from the target machine

- Objective: optimize the use of hardware resources.

## Example (Optimization dependent from the target machine)

- machine instruction,
- memory hierarchy (registers, cache, pipeline, etc.).

# Main principles of optimisation techniques

**Input:** initial intermediate code

**Output:** optimized intermediate code

Several steps:

1. generation of a **control flow graph** (CFG)
2. analysis of the CFG
3. transformation of the CFG
4. generation of the output code

# Analysis and transformations

Analysis	Transformation
<i>Available expressions</i> common sub-expressions	Elimination of redundant computation
<i>Live Variables</i>	Elimination of useless code
<i>Constant propagation</i>	Replacing variables by their constant value
Induction Variable	Strength reduction
Loop Invariant	Moving the invariant code outside the loop
Dead-code elimination	Suppress useless instructions (which do not influence the execution)
Constant folding	Performing operations between constants
Copy propagation	Suppress useless variables (i.e., equal to another one or to a constant)
Algebraic simplification Strength reduction	Replace costly computations by less expensive ones



# Optimization techniques performed on the CFG

Two levels: local and global.

## Local optimization techniques

- ▶ Computed inside each BB.
- ▶ BBs are transformed independently from each other.

## Global optimizations techniques

- ▶ Computed on the CFG.
- ▶ Transformation of the CFG:
  - ▶ code motion between BBs,
  - ▶ transformation of BBs,
  - ▶ modification of the CFG edges.

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**Optimization Techniques (Independent from the Target Machine)**

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# Examples of local optimization techs

Initial code:

```
a := x ** 2
b := 3
c := x
d := c * c
e := b * 2
f := a + d
g := e * f
```

# Examples of local optimization techs

Algebraic simplification:

`a := x ** 2`

`b := 3`

`c := x`

`d := c * c`

`e := b * 2`

`f := a + d`

`g := e * f`

`a := x * x`

`b := 3`

`c := x`

`d := c * c`

`e := b << 1`

`f := a + d`

`g := e * f`

# Examples of local optimization techs

Copy propagation:

a := x \* x

b := 3

c := x

d := c \* c

e := b << 1

f := a + d

g := e \* f

a := x \* x

b := 3

c := x

d := x \* x

e := 3 << 1

f := a + d

g := e \* f

# Examples of local optimization techs

Constant folding:

a := x \* x

b := 3

c := x

d := x \* x

e := 3 << 1

f := a + d

g := e \* f

a := x \* x

b := 3

c := x

d := x \* x

e := 6

f := a + d

g := e \* f

# Examples of local optimization techs

Elimination of common sub-expressions:

a := x \* x

b := 3

c := x

d := x \* x

e := 6

f := a + d

g := e \* f

a := x \* x

b := 3

c := x

d := a

e := 6

f := a + d

g := e \* f

# Examples of local optimization techs

Copy propagation:

a := x \* x

b := 3

c := x

d := a

e := 6

f := a + d

g := e \* f

a := x \* x

b := 3

c := x

d := a

e := 6

f := a + a

g := 6 \* f



# Example of local optimizations

Dead code elimination (+ strength reduction):

```
a := x * x
```

```
b := 3
```

```
c := x
```

```
d := a
```

```
e := 6
```

```
f := a + a
```

```
g := 6 * f
```

```
a := x * x
```

```
f := a + a
```

```
g := 6 * f
```

```
a := x * x
```

```
f := a << 1
```

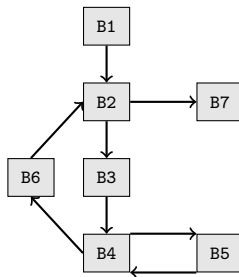
```
g := 6 * f
```

# Local optimization: a more concrete example

Initial source program: addition of matrices

```
for (i=0 ; i < 10 ; i ++)  
  for (j=0 ; j < 10 ; j++)  
    S[i,j] := A[i,j] + B[i,j]
```

B1:    i := 0  
B2:    if i > 10 goto B7  
B3:    j := 0  
B4:    if j > 10 goto B6  
**B5**  
B6:    i := i + 1  
      goto B2  
B7: end



# Initial Block B5

```
B5:  t1 := 4 * i
      t2 := 40 * j
      t3 := t1 + t2
      t4 := A[t3]
      t5 := 4 * i
      t6 := 40 * j
      t7 := t5 + t6

      t8 := B[t7]
      t9 := t4 + t8
      t10 := 4 * i
      t11 := 40 * j
      t12 := t10 + t11
      S[t12] := t9
      j := j + 1
      goto B4
```

## Optimization of B5 (1/4)

B5:	<div style="border: 1px solid black; padding: 2px; display: inline-block;">t1 := 4 * i</div> t2 := 40 * j t3 := t1 + t2 t4 := A[t3] <div style="border: 1px solid black; padding: 2px; display: inline-block;">t5 := 4 * i</div> t6 := 40 * j t7 := t5 + t6	t8 := B[t7] t9 := t4 + t8 <div style="border: 1px solid black; padding: 2px; display: inline-block;">t10 := 4 * i</div> t11 := 40 * j t12 := t10 + t11 S[t12] := t9 j := j + 1 goto B4
-----	---	---

The same value is assigned to temporary locations t1, t5, t10.

## Optimization of B5 (2/4)

B5:  $t1 := 4 * i$

$t2 := 40 * j$

$t3 := t1 + t2$

$t4 := A[t3]$

$t6 := 40 * j$

$t7 := t1 + t6$

$t8 := B[t7]$

$t9 := t4 + t8$

$t11 := 40 * j$

$t12 := t1 + t11$

$S[t12] := t9$

$j := j + 1$

goto B4

A same value is assigned to temporary locations t2, t6, t11.

## Optimization of B5 (3/4)

B5:  $t1 := 4 * i$

$t2 := 40 * j$

$t3 := t1 + t2$

$t4 := A[t3]$

$t7 := t1 + t2$

$t8 := B[t7]$

$t9 := t4 + t8$

$t12 := t1 + t2$

$S[t12] := t9$

$j := j + 1$

goto B4

A same value is assigned to temporary locations t3, t7, t12.

## Optimization of B5 (4/4): the final code

```
B5:  t1 := 4 * i  
      t2 := 40 * j  
      t3 := t1 + t2  
      t4 := A[t3]  
      t8 := B[t3]  
      t9 := t4 + t8  
      S[t3] := t9  
      j := j + 1  
      goto B4
```

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# Global optimization techniques

## Example (Global optimization techniques)

- ▶ constant propagation through several basic blocks
- ▶ elimination of global redundancies
- ▶ code motion: move invariant computations outside loops
- ▶ dead code elimination

How to extend local optimization to the whole CFG?

1. Associate (local) **properties** to entry/exit points of BBs (e.g., set of live variables, set of available expressions, etc.)
2. **Propagate** them along CFG paths  
→ enforce **consistency** w.r.t. the CFG structure
3. Update each BB (and CFG edges) according to these **global** properties.

⇒ a possible technique: **data-flow analysis**

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# Data-flow analysis

Static computation of data-related properties of programs.

## Data-flow problem

- ▶ (local) Properties  $\varphi_i$  associated to some pgm locations  $i$
- ▶ Set of data-flow equations:
  - how the  $\varphi_i$ 's are transformed along pgm executions.
- ▶ Regarding propagation:
  - ▶ **forward** vs **backward** propagation (depending on  $\varphi_i$ )
  - ▶ the property can depend on its previous value on either **all** paths or **at least** one path
  - ▶ cycles inside the control flow  $\Rightarrow$  **fix-point equations**!

## Solving the equation system

- ▶ A solution of this equation system assigns “globally consistent” values to each  $\varphi_i$ .
- ▶ Such a solution may not exist. . .
- ▶ **Decidability** may require **abstractions** and/or **approximations**.

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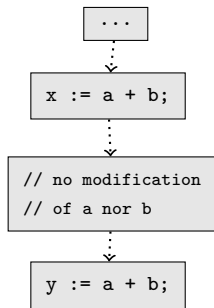
Summary

# Computing available expressions

## Getting intuition on examples

Let us consider expression  $a + b$ .

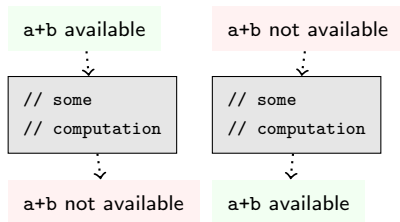
How to determine whether  $a + b$  is available, i.e., whether its value has been previously computed and does not need to be computed again.



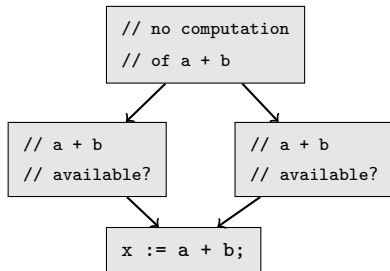
- ▶ Which computation of  $a+b$  is not needed?
- ▶ How does the information “*being previously computed*” propagate?

# Computing available expressions

## Getting intuition on examples



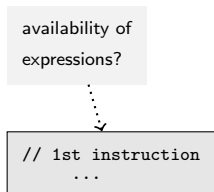
- ▶ What can make  $a+b$  not available?
- ▶ What can make  $a+b$  available?



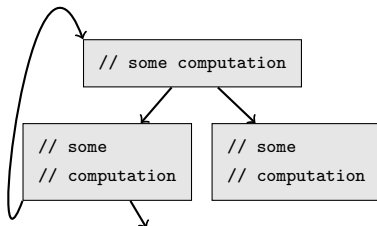
- ▶ What is needed for  $a+b$  to be available in the last block?
- ▶ How does the notion of availability depend on previous paths?

# Computing available expressions

## Getting intuition on examples



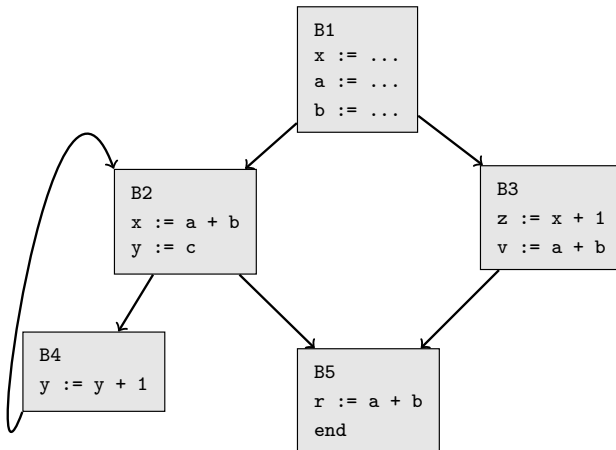
- What is available when the program starts?



- The CFG structure gives us relations between the availabilities at the entrances and exits of blocks.
- Availability at the entrance is obtained from the availability at the exits.
- How to deal with cycles?

# Elimination of redundant computation with available expressions

## Running example





# Available expressions and redundant computations

## Definition

We consider the set of expressions appearing in the program.

### Definition (Available expression)

An expression  $e$  is **available** at location  $i$  iff

- ▶ it is computed on **every** path going to location  $i$ , and
- ▶ on each of the paths leading to  $i$  operands of  $e$  are not modified between the last computation of  $e$  and location  $i$

### Definition (Redundant computation (of an expression))

The computation of an expression  $e$  is **redundant** at location  $i$  iff it is available at location  $i$ , **and** it is computed at location  $i$ ,

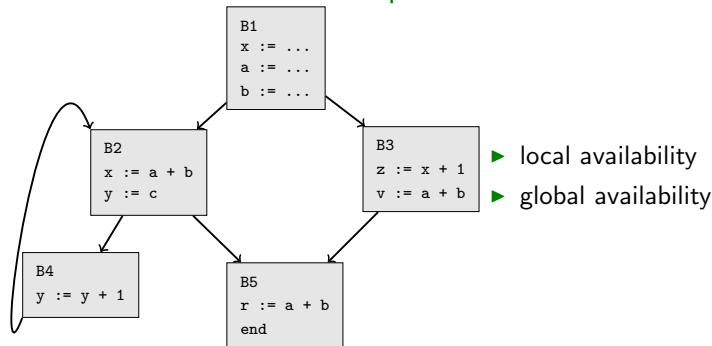
**Remark** We consider syntactic equality.



# Running example

Available expressions and redundant computations

## Available and redundant computation



- ▶ `y + 1` is not available anywhere.
- ▶ `x + 1` is only available after being computed and at the exit of B3.
- ▶ `a + b` is available at the exits of B2, B3, and at the entrance of B5.
- ▶ the computation of `a + b` in B5 is redundant.

# Data-flow equations for available expressions (1/3)

For a basic block  $B$ , we note:

- ▶  $Kill(B)$ : expressions made **non available** by  $B$   
(because an operand of  $e$  is modified by  $B$ ).
- ▶  $Gen(B)$ : expressions made **available** by  $B$   
(computed in  $B$ , operands not modified afterwards).
- ▶  $In(B)$ : available expressions when entering  $B$ .
- ▶  $Out(B)$ : available expressions when exiting  $B$

$$Out(B) = (In(B) \setminus Kill(B)) \cup Gen(B) = F_b(In(B)),$$

where:  $F_B$ : **transfer function** of block  $B$

## Data-flow equations for available expressions (2/3)

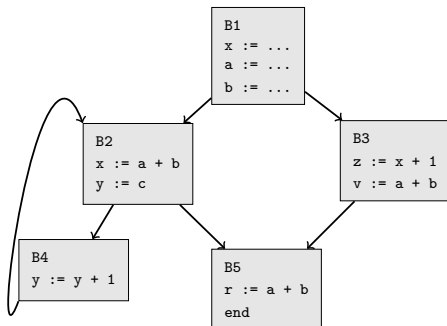
To define  $Gen$  and  $Kill$ , for a block  $B$ , we introduce local functions  $Gen_l$  and  $Kill_l$ :

$Gen(B)$	$= Gen_l(B, \emptyset)$
$Kill(B)$	$= Kill_l(B, \emptyset)$
$Gen_l(x := a; B, X)$	$= Gen_l(B, X \setminus \{e' \mid x \in Used(e')\} \cup \{a \mid x \notin Used(a)\})$
$Gen_l(\text{if } b \text{ goto } l, X)$	$= X \cup \{b\}$
$Gen_l(\text{goto } l, X)$	$= X$
$Gen_l(\epsilon, X)$	$= X$
$Kill_l(x := a; B, X)$	$= Kill_l(B, X \cup \{e' \mid x \in Used(e')\})$
$Kill_l(\text{if } b \text{ goto } l, X)$	$= X$
$Kill_l(\text{goto } l, X)$	$= X$
$Kill_l(\epsilon, X)$	$= X$

# Running example

Computing *Gen* and *Kill*

## *Gen* and *Kill*



- ▶  $Gen(B1) = \emptyset$ ,  $Kill(B1) = \{a+b, x+1\}$
- ▶  $Gen(B2) = \{x+1, a+b\}$ ,  $Kill(B2) = \{y+1\}$
- ▶  $Gen(B3) = \{a+b, x+1\}$ ,  $Kill(B3) = \emptyset$
- ▶  $Gen(B4) = \emptyset$ ,  $Kill(B4) = \{y+1\}$
- ▶  $Gen(B5) = \{a+b\}$ ,  $Kill(B5) = \emptyset$

# Data-flow equations for available expressions (3/3)

How to compute  $In(b)$ ?

- ▶ if  $b$  is the initial block:

$$In(b) = \emptyset$$

- ▶ if  $b$  is not the initial block: An expression  $e$  is available at its entry point iff it is available at the exit point of **all** predecessor of  $b$  in the CFG.

$$In(b) = \bigcap_{b' \in Pre(b)} Out(b')$$

$\Rightarrow$  forward data-flow analysis along the CFG paths.

How to deal with cycles inside the CFG? fix-points computation!

We want to as much available expressions possible: **greatest fix-point**.

# Using data-flow equations to compute available expressions

## Initialisation

It is a forward analysis  $\Rightarrow$  initialisation concerns the  $In(b)$  sets.

- ▶ Initialise  $In(B_{init})$  to  $\emptyset$ : there is no available expression at the beginning of the program.
- ▶ Initialise  $In(b)$ , for  $b \neq B_{init}$  to the maximal element, i.e., to the set of all expressions.

## Iteration until stabilisation

Iterate the following steps until stabilisation, i.e., when the  $In(b)$  sets are the same as in the previous step. At stabilisation, one has found the (greatest) fix-point.

1. Compute  $Out(b)$  sets using  $Out(b) = (In(b) \setminus Kill(b)) \cup Gen(b)$ .
2. Compute the (new)  $In(b)$  sets using  $In(b) = \bigcap_{b' \in Pre(b)} Out(b')$ .

# Suppressing redundant computations

We look at all computed available expressions in each block ( $in(b)$  sets).

## Is an available expression redundant?

Let  $e$  be an available expression at the entry of a basic block  $b$ .

**If** the two following conditions are met:

- ▶  $e$  appears in  $b$ , and
- ▶ none of the operand of  $e$  is assigned from the beginning of the block until the use of  $e$

⇒ the computation of  $e$  is *redundant*.

## Suppressing redundant computation

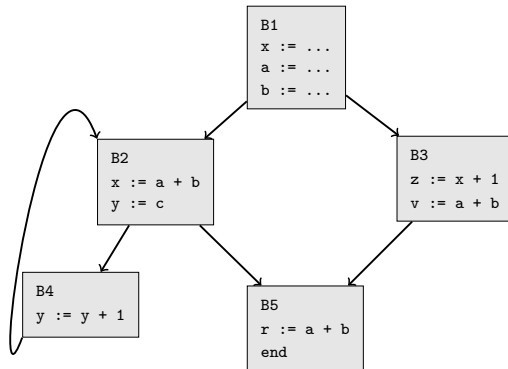
Let  $e$  be an expression which computation is redundant in a block  $b$ .

- ▶ Introduce a new variable, say  $u$ .
- ▶ Using a backward analysis from  $b$ , locate each occurrence of  $x := e$ , and replace it with  $\begin{cases} u := e; \\ x := u; \end{cases}$
- ▶ Replace the occurrence of  $e$  where its computation is redundant.



# Running example

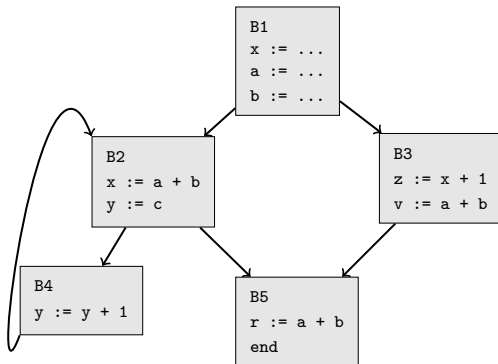
## Computing available expressions



$$\Sigma = \{a + b, x + 1, y + 1\}$$

# Running example

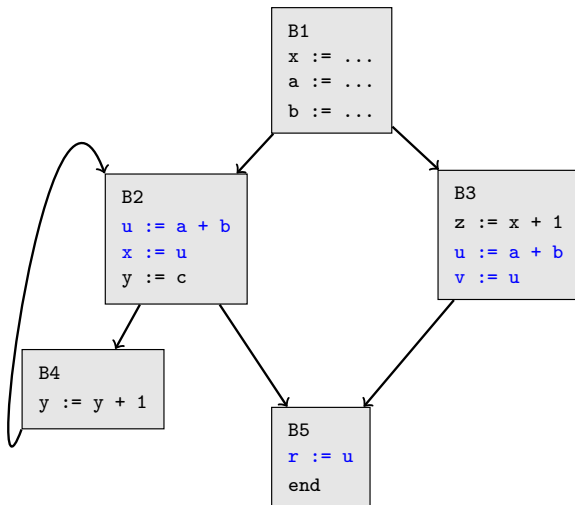
## Determining redundant computation



- ▶  $ln(B1) = ln(B2) = ln(B3) = \emptyset$ .
- ▶  $ln(B4) = \{a + b\}$ , but there is no computation of  $a + b$  in B4.
- ▶  $ln(B5) = \{a + b\}$ ,  $a + b$  is computed in B5, and there is no modification of its operands from the beginning of the block to its computation. Hence, the computation of  $a + b$  in B5 is *redundant*.

# Running example

## Final CFG



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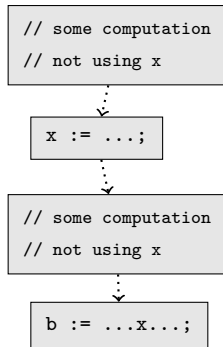
Summary

# Computing live variables

## Getting intuition on examples

Let us consider a variable  $x$  appearing in a program.

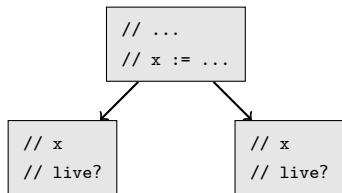
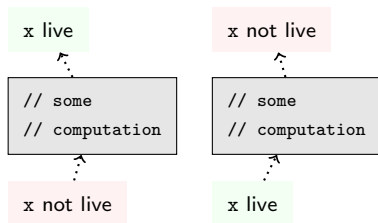
How to determine whether  $x$  is *live*, i.e., whether its value is needed for computation.



- ▶ Where is the value of  $x$  needed?
- ▶ How does the information “*being needed*” propagate?

# Computing live variables

## Getting intuition on examples

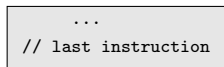


- What can make `x` not live?
- What can make `x` live?

- What is needed for `x` to be live in the first block?
- How does the notion of liveness depend on previous paths? (note, previous refers to a successor block)

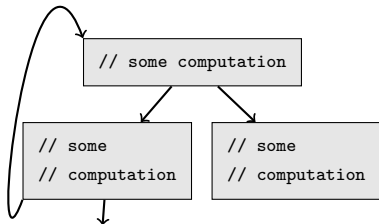
# Computing live variables

## Getting intuition on examples



liveness of  
variables?

- What is live when the program terminates?



- The CFG structure gives us relations between liveness at the exits and entrances of blocks.
- Liveness at exits is obtained from the liveness at the entrance.
- How to deal with cycles?

# Live variables and useless instructions

## Definitions

Objective: remove useless instructions.

### Definition (Live Variable)

A variable  $x$  is **live** at location  $i$  if it is *used* in at least one CFG-path going from  $i$  to  $j$ , where  $j$  is:

- ▶ either a final instruction, or
- ▶ an assignment to  $x$ .

### Definition (Useless instructions)

An instruction  $x := e$  at location  $i$  is **useless** if  $x$  is **dead** at location  $i$ .

**Remark** Used means “in the right-hand side of an assignment or in a branch condition”. □



# Data-flow analysis for live variables

We compute the set of **live** variables. . .

## Local analysis

$Gen(b)$  is the set of variables  $x$  s.t.  $x$  is **used** in block  $b$ , and, in this block, any assignment to  $x$  happens after the (first) use of  $x$ .

$Kill(i)$  is the set of variables  $x$  assigned in block  $b$ .

## Global analysis

Backward analysis,  $\exists$  a CFG-path (least solution).

►  $In(b) = (Out(b) \setminus Kill(b)) \cup Gen(b).$

►

$$Out(b) = \begin{cases} \emptyset & \text{if } b \text{ is final,} \\ \bigcup_{b' \in Succ(b)} In(b') & \text{otherwise.} \end{cases}$$

# Computation of functions *Gen* and *Kill*

Recursively defined on the syntax of a basic block  $B$ :

$$B ::= \varepsilon \mid B ; x := a \mid B ; \text{if } b \text{ goto } l \mid B ; \text{goto } l$$

$Gen(B)$	$= Gen_l(B, \emptyset)$
$Kill(B)$	$= Kill_l(B, \emptyset)$
$Gen_l(B ; x := a, X)$	$= Gen_l(B, X \setminus \{x\} \cup Used(a))$
$Gen_l(B ; \text{if } b \text{ goto } l, X)$	$= Gen_l(B, X \cup Used(b))$
$Gen_l(B ; \text{goto } l, X)$	$= Gen_l(B, X)$
$Gen_l(\varepsilon, X)$	$= X$
$Kill_l(B ; x := a, X)$	$= Kill_l(B, X \cup \{x\})$
$Kill_l(B ; \text{if } b \text{ goto } l, X)$	$= Kill_l(B, X)$
$Kill_l(B ; \text{goto } l, X)$	$= Kill_l(B, X)$
$Kill_l(\varepsilon, X)$	$= X$

$Used(e)$ : set of variables appearing in expression  $e$ .

# Removal of useless instructions

1. Compute the sets  $In(B)$  and  $Out(B)$  of **live** variables at entry and exit points of each block.
2. Let  $F : Code \times 2^{Var} \rightarrow Code$   
 $F(B, X)$  is the code obtained when removing useless assignments inside  $B$ , assuming that variables of  $X$  are live at the end of  $B$  execution.

$$\begin{aligned} F(B ; x := a, X) &= \begin{cases} F(B, X) & \text{if } x \notin X \\ F(B, (X \setminus \{x\}) \cup Used(a)); x := a & \text{if } x \in X \end{cases} \\ F(B ; \text{if } b \text{ goto } l, X) &= F(B, X \cup Used(b)); \text{if } b \text{ goto } l \\ F(B ; \text{goto } l, X) &= F(B, X); \text{goto } l \\ F(\epsilon, X) &= \epsilon \end{aligned}$$

3. Replace each block  $B$  by  $F(B, Out(B))$ .

**Remark** This transformation may produce new dead variables. . .



# Outline - Optimization Using Data-flow Analysis

Introduction and Preliminaries

Elimination of Redundant Computations with Available Expressions

Elimination of Useless Instructions with Live Variables

Constant Propagation

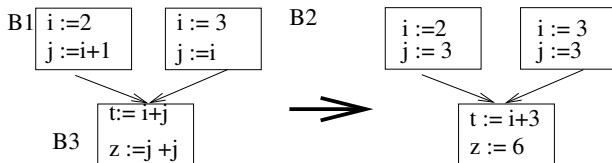
Generalization

Summary

# Constant propagation

A variable is **constant** at location 1 if its value at this location can be computed **at compilation time**.

## Example (Constant propagation principle)



- At exit point of B1 and B2, `i` and `j` are constants.
- At entry point of B3, `i` is not constant, `j` is constant.

# Constant propagation: the lattice

Intuitively, the property is an “abstraction” of the memory:

- ▶ Each variable takes its value in  $D = \mathbb{N} \cup \{\top, \perp\}$ , where:
  - ▶  $\top$  means “non constant value”
  - ▶  $\perp$  means “no information”

Defining the lattice:

- ▶ Partial order relation  $\leq$ :  
if  $v \in D$  then  $\perp \leq v$  and  $v \leq \top$ .
- ▶ The least upper bound  $\sqcup$ :  
for  $x \in D$  and  $v_1, v_2 \in \mathbb{N}$

$x \sqcup \top = \top$	$x \sqcup \perp = x$	$v_1 \sqcup v_2 = \top$ if $v_1 \neq v_2$	$v_1 \sqcup v_1 = v_1$
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**Remark** Relation  $\leq$  is extended to functions  $Var \rightarrow D$   
 $f1 \leq f2$  iff  $\forall x : f1(x) \leq f2(x)$ . □

# Constant propagation: data-flow equations

A basic block = sequence of assignments

$$b ::= \epsilon \mid x := e ; b$$

- ▶ Property at location 1 is a function  $Var \rightarrow D$ .
- ▶ Forward analysis:

$$\begin{aligned} In(b) &= \begin{cases} \lambda x. \perp & \text{if } b \text{ is initial,} \\ \sqcup_{b' \in Pred(b)} Out(b') & \text{otherwise} \end{cases} \\ Out(b) &= F_b(In(b)) \end{aligned}$$

Transfer function  $F_b$  by syntactic induction

$$\begin{aligned} F_{x:=e ; b}(f) &= F_b(f[x \mapsto f(e)]) \quad (\text{assuming variable initialization}) \\ F_{\epsilon}(f) &= f \end{aligned}$$

Program transformation

$\forall \text{ block } b, f \in In(b), f(e) = v \Rightarrow x := e \text{ replaced by } x := v$

**Remark** We assume that variables are properly initialized. □

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Summary



# Recall data-flow analysis

Static computation of data-related properties of programs:

## Data-flow problem

- ▶ (local) Properties  $\varphi_i$  associated to some pgm locations  $i$
- ▶ Set of data-flow equations:
  - how  $\varphi_i$  are transformed along pgm execution
- ▶ Regarding propagation:
  - ▶ forward vs backward propagation (depending on  $\varphi_i$ )
  - ▶ cycles inside the control flow  $\Rightarrow$  fix-point equations!

## Solving the equation system

- ▶ A solution of this equation system assigns “globally consistent” values to each  $\varphi_i$ .
- ▶ Such a solution may not exist. . .
- ▶ Decidability may require abstractions and/or approximations

# Generalization

Data-flow properties are expressed as finite sets associated to entry/exit points of basic blocks:  $In(b)$ ,  $Out(b)$ .

## Forward analysis

- ▶ property is “false” ( $\perp$ ) at entry of **initial** block
- ▶  $Out(b) = F_b(In(b))$
- ▶  $In(b)$  depends on  $Out(b')$ , where  $b' \in Pred(b)$   
( $\sqcap$  for “ $\forall$  paths”,  $\sqcup$  for “ $\exists$  path”)

## Backward analysis

- ▶ property is “false” ( $\perp$ ) at exit of **final** block
- ▶  $In(b) = F_b(Out(b))$
- ▶  $Out(b)$  depends on  $In(b')$ , where  $b' \in Succ(b)$   
( $\sqcap$  for “ $\forall$  paths”,  $\sqcup$  for “ $\exists$  path”)

# Data-flow equations: forward analysis

<p>Forward analysis, least fix-point</p>	$In(b) = \begin{cases} \perp & \text{if } b \text{ is initial} \\ \sqcup_{b' \in Pre(b)} Out(b') & \text{otherwise.} \end{cases}$ $Out(b) = F_b(In(b))$
<p>Forward analysis, greatest fix-point</p>	$In(b) = \begin{cases} \perp & \text{if } b \text{ is initial} \\ \sqcap_{b' \in Pre(b)} Out(b') & \text{otherwise.} \end{cases}$ $Out(b) = F_b(In(b))$

# Data-flow equations: backward analysis

Backward analysis, least fix-point	$Out(b) = \begin{cases} \perp & \text{if } b \text{ is final} \\ \bigsqcup_{b' \in Succ(b)} In(b') & \text{otherwise.} \end{cases}$ $In(b) = F_b(Out(b))$
Backward analysis, greatest fix-point	$Out(b) = \begin{cases} \perp & \text{if } b \text{ is final} \\ \bigsqcap_{b' \in Succ(b)} In(b') & \text{otherwise.} \end{cases}$ $In(b) = F_b(Out(b))$

# Solving the data-flow equations (1/2)

Let  $(E, \leq)$  a **partial order**.

- ▶ For  $X \subseteq E, a \in E$ :
  - ▶  $a$  is an **upper bound** of  $X$  if  $\forall x \in X : x \leq a$ ,
  - ▶  $a$  is a **lower bound** of  $X$  if  $\forall x \in X : a \leq x$ .
- ▶ The **least upper bound** ( $lub, \sqcup$ ) is the smallest upper bound.
- ▶ The **greatest lower bound** ( $glb, \sqcap$ ) is the largest lower bound.
- ▶  $(E, \leq)$  is a **lattice** if any two elements of  $E$  admit a  $lub$  and a  $glb$ .
- ▶ A function  $f : 2^E \rightarrow 2^E$  is **increasing** if:

$$\forall X, Y \subseteq E \quad X \leq Y \Rightarrow f(X) \leq f(Y)$$

- ▶  $X = \{x_0, x_1, \dots, x_n, \dots\} \subseteq E$  is an **(increasing) chain** if  $x_0 \leq x_1 \leq \dots \leq x_n \leq \dots$
- ▶ A function  $f : 2^E \rightarrow 2^E$  is **( $\sqcup$ -)continuous** if  $\forall$  increasing chain  $X$ ,  $f(\sqcup X) = \sqcup f(X)$

# Solving the data-flow equations (2/2)

Fix-point equation: solution?

- ▶ properties are finite sets of expressions  $\mathcal{E}$
- ▶  $(2^{\mathcal{E}}, \subseteq)$  is a complete lattice
  - $\perp$ : least element (aka minimum),  $\top$ : greatest element (aka maximum)
  - $\sqcap$ : greatest lower bound (aka supremum),  $\sqcup$ : least upper bound (aka infimum)
- ▶ data-flow equations are defined on monotonic and continuous operators  $(\cup, \cap)$  on  $(2^{\mathcal{E}}, \subseteq)$ .
- ▶ Kleene and Tarski theorems:
  - ▶ the set of solutions is a complete *lattice*
  - ▶ the greatest (resp. least) solution can be obtained by successive iterations w.r.t. the greatest (resp. least) element of  $2^{\mathcal{E}}$

$$\text{lfp}(f) = \sqcup \{f^i(\perp) \mid i \in \mathbb{N}\} \quad \text{gfp}(f) = \sqcap \{f^i(\top) \mid i \in \mathbb{N}\}$$

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## Code Optimization using data-flow analysis

- ▶ Objective: produce a semantically equivalent version of 3-address code that is *optimized*.
- ▶ Focused on optimizations independent from the target machine:
  - ▶ available expressions for the suppression of redundant computations,
  - ▶ live variables for useless assignments
  - ▶ constant propagation for replacing variables with their (fixed) values.