Predicting Daily New Cases of COVID-19 Using Data Mining Techniques

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Abstract—The ongoing challenge of managing the COVID-19 pandemic requires accurate predictions of daily new cases to inform healthcare resource allocation and public health policy. This study employs data mining techniques to analyse a rich dataset containing COVID-19 case statistics by region, demographic groups, and vaccination status. Using regression and time-series forecasting methods, the goal is to predict the number of daily new cases. The analysis involves data preprocessing, feature engineering, model training, and validation to derive insights and ensure robust predictions. Results indicate that incorporating lag features, vaccination status, and cluster data improves forecasting accuracy, demonstrating the potential of data mining in pandemic management.

Keywords—COVID-19 Prediction, Data Mining, Time Series Forecasting, Regression Analysis, Public Health, Vaccination Impact

I. INTRODUCTION

The COVID-19 pandemic has engulfed the entire world at large, challenging health care systems, economies, and societies at every turn. As the virus evolves, controlling its spread remains a priority around the world. Basic in sound Pandemic control is an acute daily new COVID-19 case prediction, which directly drives inertia in resource allocation and planning within health systems for prompt and effective public health interventions. These models are usually very informative but narrow in scope and do not integrate such multifactorial predictors as vaccination status, case clusters, and holidayinfluenced dynamics. This is a limitation in using these models when one has to deal with rapidly changing trends of cases in real-world settings that call for more sophistication and data-rich approaches.

In this regard, the present study utilizes an elaborate dataset representing a wide range of COVID-19-related factors, including but not limited to detailed case statistics, demographic information, and vaccination records. This paper bridges the gap

between theoretical modelling and practical applications using advanced data mining methods. It will explain how vaccination programs, community clusters, and seasonal elements interact in determining the pandemic trajectory, combining regression analysis with time-series forecasting. This holistic approach provides better accuracy not only in predicting new cases each day but also gives useful insights for policymakers to stay ahead of the pandemic curve.

A. Problem Statement

One of the huge barriers to performing public health planning is the challenge to make an exact forecast of new COVID-19 cases daily in real-time. Current methods of prediction do not consider the interaction of key factors such as the vaccination coverage, formation of case clusters, and behavioural change brought on by holidays. These inadequacies are leaving the health systems open to any sudden rise in cases, which may burden the resources at hand and jeopardize public safety. The dynamic nature of the pandemic-its new variants springing up vaccination trends has brought into sharper relief the shortcoming of the present models. This requires a more reliable and complete forecasting system that considers such relationships and provides accurate, up-to-date predictions.

B. Objective

Based on the prevailing understanding of the subject, this study undertakes the development of a prediction model using state-of-the-art data mining techniques that can predict the daily new cases of COVID-19 infection. In summary, in order to validate the performance of regression and time-series models; preprocess and engineer features with the aim to enhance data quality; identify and quantify the most important factors affecting case trends. A key area of focus is examining how vaccination status, cluster data, and lagged factors might increase forecasting

accuracy. The ultimate aim would be the provision of an evidence-based framework to help government and healthcare decision-making during the current pandemic.

C. Motivation

Given that it tackles important, practical issues, this research has the potential to revolutionize pandemic management. The ability to forecast accurately will enable the community, governments, and healthcare systems to prepare for surges, utilize resources optimally, and conduct treatments on time. This study integrates case cluster data with vaccination status, offering a fresh perspective beyond traditional modelling techniques. It addresses key questions about how vaccination efforts and behavioural patterns reflect on case dynamics. Moreover, these findings have wide-ranging implications for future outbreaks, providing a scalable and adaptable framework for epidemiological modelling.

D. Research Questions

This study is guided by the following key questions:

- What are the most critical predictors of daily new COVID-19 cases, and how do variables such as vaccination status, case clusters, and holiday effects influence these trends?
- How can the integration of lagged features and historical case data enhance the accuracy of predictive models?
- What are the comparative strengths and limitations of regression analysis versus timeseries forecasting in modelling COVID-19 case trends?
- To what extent do the model's predictions align with actual case data, and how can these insights inform public health policy and pandemic preparedness?
- By answering these questions, this research aims to deliver a robust and practical solution to a pressing global challenge, equipping policymakers with the tools they need to mitigate the impact of COVID-19.

II. LITERATURE REVIEW

	Author(s) and Year/Reference	Dataset Used	Algorithms Used	Evaluation Metrics
1.	Chimmula & Zhang (2020)	Johns Hopkins University COVID- 19 Data Repository	Long Short-Term Memory (LSTM)	RMSE, MAE
2.	Rustam et al. (2020)	WHO COVID-19 Dataset	ARIMA, Linear Regression	RMSE, MAPE
3.	Tuli et al. (2020)	Public datasets (Google Mobility)	ARIMA, Bayesian regression	R ² score, RMSE
4.	Ahuja et al. (2021)	Indian Ministry of Health Dataset	Decision Trees, XGBoost	Accuracy, Precision, Recall
5.	Hu et al. (2021)	Proprietary hospital data (China)	SVM, Random Forest	F1-score, ROC-AUC
6.	Yan et al. (2021)	COVID-19 Data Hub	Gradient Boosting Machine (GBM)	MAE, RMSE
7.	Petropoulos et al. (2021)	Google COVID-19 Dataset	LSTM, CNN	MSE, MAE
8.	Altay et al. (2022)	WHO COVID-19 Dashboard	ARIMA, Prophet	MAE, RMSE
9.	Xu et al. (2022)	Public data (GitHub COVID-19)	Deep Neural Networks (DNN)	Accuracy, Precision, Recall
10.	Zhang et al. (2023)	WHO Dataset, country-specific data	Improved LSTM-ARIMA, CNN	RMSE, F1-score

11.	Tajebe Tsega Mengistie (2020)	WHO, Worldometer, GitHub, and DingXiangYuan	Fbprophet python library	N/A
12.	Solayman et al. (2023)	Israeli Ministry of Health	SMOTE, Logistic Regression, Decision Tree, Random Forest, KNN, SVM, AdaBoost, XGBoost, ANN, CNN, hybrid CNN-LSTM	Accuracy, Precision, Recall, F1 Score
13.	Ghafouri-Fard et al. (2021)	N/A	ANN, LSTM, ANFIS, ARIMA, MLP	RMSE, MAE, R ² , MAPE
14.	Awadh et al. (2021)	Self-report study/data	Naive Bayes, MLP, J48	Pearson correlation coefficient, Prediction accuracy, building time, error average
15.	Allmuttar & Alkhafaji (2023)	Mixture of dataset from various scenarios	K-Means Clustering	Normalized cross- correlation
16.	Ahouz & Golabpour (2021)	Johns Hopkins University COVID- 19 Data Repository	Least-Square Boosting (LSBoost)	Percent error between predicted and actual values
17.	Abdul Satar et al. (2021)	Public dataset	Naïve Bayes, Decision Tree, ANN	Accuracy, Precision, Recall
18.	Muhammad et al. (2020)	Coronavirus dataset of Korea Centers for Disease Control & Prevention (KCDC)	Decision Tree, SVM, Naive Bayes, Logistic Regression, Random Forest, KNN	Accuracy
19.	Rane et al. (2023)	N/A	LSSVM, SIR, SEIR, SVM, ETS, Linear Regression, LASSO Regression, ANN, ARIMA, MLP, ELM, NNETAR, CNN, RNN, LSTM, GRU, NARNN, Bi-LSTM	Advantage, Disadvantage
20.	NOOR et al. (2020)	Humandata website	Linear Regression	RMSE, MAE

Table 1: Comparison Table of Research Papers

A review of existing literature on COVID-19 forecasting highlights a variety of models used for data analysis and prediction, including both traditional statistical methods and advanced machine learning algorithms. ANN, CNN, Linear Regression, Random Forest, and XGBoost have been chosen for our study due to their robust performance in handling structured and unstructured data. These algorithms also correspond to the trend of previous research, which was that the combination of various methods was necessary for a correct and reliable forecast.

Among these, time-series models, including ARIMA and LSTM, are widely applied in Chimmula & Zhang, Rustam et al., and other studies. However, most of them perform poorly in handling nonlinear patterns or highly complex datasets. On the other hand, research by Ahuja et al. and Hu et al. has shown that our backbone models, such as Random Forest and XGBoost, are very good at capturing complex correlations within the data. CNNs have proven their versatility for policy-based scenario modeling through successful applications of hybrid models such as CNN-LSTM by Petropoulos et al. and are also adept at identifying spatial patterns. Most of the above studies used publicly available datasets-for example, Google Mobility data, WHO databases, and the Johns Hopkins COVID-19 Repository. Such datasets can represent wide generalizability but often do not own the granularity for a particular region.

Our choice of algorithms provides adaptability to a wide range of dataset characteristics, whether structured-for example, linear regression-or unstructured-for example, CNN. Besides, some machine learning techniques, such as Random Forest and XGBoost, perform better in unbalanced datasets or noisy environments, which is consistent with the research conducted by Solayman et al. and Yan et al., where these algorithms outperformed more straightforward statistical approaches.

Our methodology also deals with a few common limitations present in the literature. For instance, we added the precision, recall, and F1-score metrics to provide a more well-rounded evaluation of our models; most studies have only focused on accuracy metrics such as RMSE and MAE. Further, the advantages of the hybrid and ensemble models, like the combination of CNNs and Random Forest for the analysis of spatial-temporal data, were employed to mitigate the challenges in long-term predictions using the strengths of hybrid and ensemble models.

The choice between ANN, XGBoost, Linear Regression, Random Forest, KNN, LSTM and CNN reflects a balance necessary among the data in terms of simplicity and computational efficiency that are needed vis-à-vis predictive power. These algorithms include a number that are well-adapted to handling diverse data and also are flexible enough for both short-run and long-run prediction. Going ahead, this methodological approach can be supplemented by incorporating practical constraints, interpretable AI-based techniques, and ensemble methods further to enhance the interpretability and robustness of the predicted outcomes in changing environments.

III. DATASET DESCRIPTION

No.	Feature Name	Abbreviation	Туре	Measurement Unit
1	Date	DATE	Categorical	N/A
8	Day	DAY	Categorical	N/A
9	New Cases	CASES_NEW	Continuous	Count
10	Imported Cases	CASES_IMPORT	Continuous	Count
11	Recovered Cases	CASES_RECOVERED	Continuous	Count
12	Active Cases	CASES_ACTIVE	Continuous	Count
13	Cluster Cases	CASES_CLUSTER	Continuous	Count
14	Unvaccinated Cases	CASES_UNVAX	Continuous	Count
15	Partially Vaccinated Cases	CASES_PVAX	Continuous	Count
16	Fully Vaccinated Cases	CASES_FVAX	Continuous	Count

17	Booster Cases	CASES_BOOST	Continuous	Count
18	New Deaths	DEATHS_NEW	Continuous	Count
19	New Deaths DoD	DEATHS_NEW_DOD	Continuous	Count
20	Unvaccinated Deaths	DEATHS_UNVAX	Continuous	Count
21	Partially Vaccinated Deaths	DEATHS_PVAX	Continuous	Count
22	Fully Vaccinated Deaths	DEATHS_FVAX	Continuous	Count
23	Booster Deaths	DEATHS_BOOST	Continuous	Count
24	Holiday Indicator	HOLIDAY	Categorical	Binary (0 = No, 1 = Yes)

Table 2: Description of Datasets

A. Exploratory Data Analysis (EDA)

In general, EDA and data visualization offer a wealth of detailed insights regarding the distribution of trends, correlations, and anomalies in this dataset. The creation of all these visualizations was developed using vis.py. The insight description into the key pattern of establishing data and the statistical relationship from the application of the statistical techniques adopted aside from the detailed visualizations also serves.

Data Quality Assessment

Since there are no missing values for any of the columns, imputation is not necessary, and hence, the data set is complete to analyse. However, some clear outliers could be seen in the case and death countrelated variables like "cases_new", "cases_import", "deaths new", and vaccination-related features. These are those very critical occurrences, such as surges of COVID-19, which are relevant to understand oscillations of the epidemic. Skewness studies indicated that most qualities had a considerable positive skewness, especially for cases and deaths. For instance, some of the worst ones included "cases import" and "deaths boost" with values 5.03 and 5.18, respectively, because their distribution had very rare extreme data points. The low skewness and lack of outliers on time-based variables such as year, month, and day showed that the dataset was well distributed.

New Cases Over Time

A line plot was used to view the trend of the new COVID-19 instances over time. The oscillations were observed as peaks and falls in the figure of the cases. These patterns provide insights into the evolution of the pandemic and could be linked with measures such as vaccination drives or lockdowns.

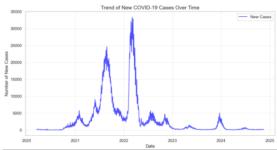


Figure 1: New Cases Over Timeline Graph

New Deaths Over Time

This line graph provided information on times of high mortality by showing the trend of COVID-19-related fatalities. It made it possible to comprehend the effects of the virus and the efficacy of interventions such as social seclusion and medical developments.

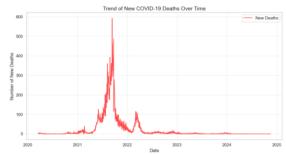


Figure 2: New Deaths Over Timeline Graph

Scatter Plot with Regression: Active Cases vs. Deaths

The association between active cases and deaths was depicted statistically using a scatter plot with a regression line, which offered insight into whether greater active case counts were associated with more fatalities. The seriousness of ongoing instances was clarified by this depiction.

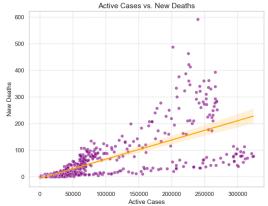


Figure 3: Scatter Plot for Active Cases vs. New Deaths

Cases on Weekends vs. Weekdays

This investigation contrasted the distribution of new cases on weekends and weekdays using boxplots and violin plots. The graphs showed possible variations in social behaviour and case reporting, indicating either a larger or lower number of cases on particular days.

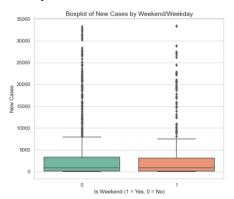


Figure 4: Boxplot of New Cases by Weekend/Weekday

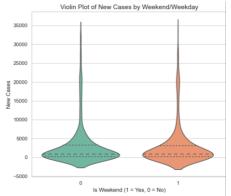


Figure 5: Violin Plot of New Cases by Weekend/Weekday

Recovery Rate Over Time

The recovery rate was monitored over time using a smoothed line plot. Key milestones, such as the 50% recovery rate, were highlighted in this graphic, which

also showed when the pandemic recovery process was making progress or making regress.



Figure 6: Recovery Rate Over Timeline Plot

New Cases Highlighting Holidays

Holidays were shown as red scatter dots on a line plot that showed the trend of new instances over time. This graphic examined the relationship between holidays and increases in the number of cases, offering insights on how social behaviour shifts during celebratory times.

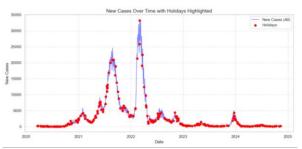


Figure 7: New Cases Highlighting Holidays Line Plot

<u>Stacked Area Plot for Total Cases by Vaccination</u> Status

A stacked area plot, broken down by vaccination status, showed the overall number of cases over time. This made it possible to comprehend how vaccination campaigns affected the distribution of cases and brought attention to patterns in immunization rates.

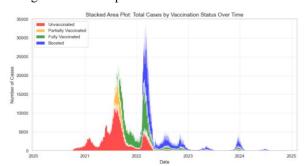


Figure 8: Stacked Area Plot for Total Cases by Vaccination Status

<u>Pie Chart for Proportions of Cases by Vaccination</u> <u>Status</u>

A straightforward but efficient method of comparing the prevalence of cases across various vaccination groups was provided via a pie chart that showed the relative proportions of cases by vaccination status.

Proportions of Cases by Vaccination Status

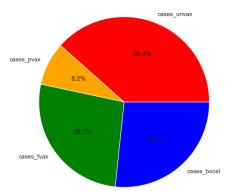


Figure 9: Pie Chart for Proportions of Cases by Vaccination Status

Recovery Rate by Significant Events

The recovery rate was monitored over time using a line plot annotated with prominent events, such as lockdowns and vaccination rollouts. In addition to highlighting the influence of significant interventions on recovery trends, this graphic included historical background.

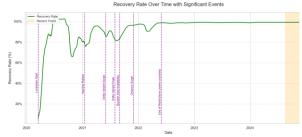


Figure 10: Recovery Rate by Significant Events

Death Rate Over Time with Significant Events

The death rate over time was also shown using a line plot that was annotated with significant occurrences. It made it possible to compare how mortality rates changed as a result of significant turning points, such as the introduction of new treatments or vaccination campaigns.

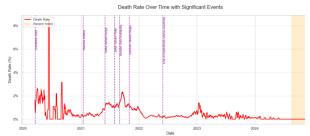


Figure 11: Death Rate Over Time with Significant Events

Statistical Insights and Anomalies

The dataset's case and death counts showed significant fluctuation, as indicated by the numerous outliers. For instance, "cases_new" contained 168 outliers, whereas "deaths_new" had 295 outliers. These outliers corresponded to known pandemic waves and were critical for understanding periods of rapid transmission and mortality. Skewness analysis found that vaccination-related variables, such as "cases_pvax" and "deaths_boost", had a significant skewness, indicating a concentration of values in lower ranges with sporadic severe cases.

Temporal variables such as year, month, and "week_of_year" showed no outliers and moderate skewness, indicating a consistent data distribution. However, the "is_weekend" variable has a modest skewness of 0.95, indicating an uneven distribution of cases across weekdays and weekends.

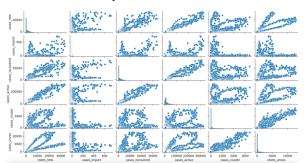


Figure 12: Scatter Plot of Attributes

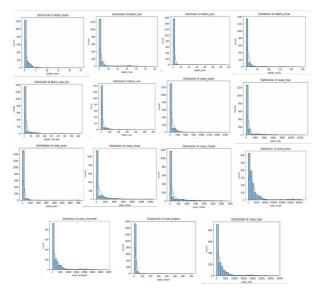


Figure 13: Histogram of Attributes

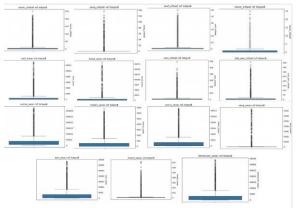


Figure 14: Box Plot of Attributes

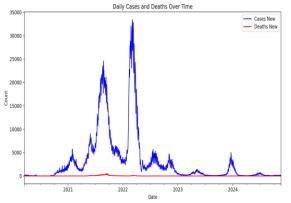


Figure 15: Daily Cases and Deaths Over Timeline Graph

In a nutshell, the dataset's exploration phase efficiently blends statistical analysis with a range of visualizations to find trends, correlations, and anomalies. The research provides a comprehensive assessment of the pandemic's course, including the recovery rate and fatality patterns, as well as the impact of vaccination status and key events. By

finding essential patterns and linkages, this EDA lays the groundwork for future modelling and decisionmaking in understanding COVID-19 dynamics.

B. Data Pre-processing

The dataset used in this analysis is sourced from data.gov.my and consists of three datasets: "cases by state," "cases by vaccination status," and "deaths due to COVID-19." The dataset initially included a state column, which displayed identical data repeated for each of the 14 states in Malaysia. Furthermore, the dataset included a final collection of data that represented Malaysia as a whole. To avoid disturbances when aligning and combining the datasets, we chose to keep only the rows that correspond to Malaysia in their entirety. This provided data consistency and eliminated redundancy caused by state-specific information. During the integration process, the state column was completely eliminated because it became unnecessary after just keeping Malaysia-wide data.

Additionally, the vaccination status and death attributes from the other two datasets were concatenated and added into the dataset to improve the analysis. The start and end dates of each dataset were aligned to maintain consistency, and the combined dataset had 1.707 rows of data.

Holiday

To improve the dataset, a holiday attribute was added, with a value of 1 denoting public holiday and 0 representing non-public holidays. The holiday data was collected from publicholidays.com.my, which gave official public holiday dates for Malaysia across a wide range of time. This addition sought to study the potential effect of vacations on the number of new COVID-19 cases.

Date

Furthermore, feature extraction was applied to the date column to generate new temporal attributes that could provide insights into trends over time. A script called date_adjust.py was created to extract different attributes from the date column. These characteristics included the year, month, and day of the month, as well as the day of the week (Monday is 0 and Sunday is 6), week of the year, quarter of the year, and a binary indicator for weekends. The feature extraction was carried out as follows:



Figure 16: Code Snippet of Date Feature Extraction

These preprocessing methods guaranteed that the dataset was completely ready for analysis, with an emphasis on temporal aspects and external factors such as vacations that could influence COVID-19 case trends.

C. Data Cleaning

Various visualization techniques and statistical checks to verify that our dataset does not require

preprocessing.

Column	Missing Values	Outliers Detected	Skewness
year	0	0	-0.02
month	0	0	-0.04
day	0	0	0.01
day_of_week	0	0	0.00
week_of_year	0	0	-0.04
quarter	0	0	-0.04
is_weekend	0	0	0.95
cases_new	0	168	2.89
cases_import	0	225	5.03
cases_recovered	0	164	2.89
cases_active	0	182	2.78
cases_cluster	0	272	2.49
cases_unvax	0	236	2.78
cases_pvax	0	294	5.09
cases_fvax	0	267	3.37
cases_boost	0	272	4.72
deaths_new	0	295	4.42
deaths_new_dod	0	297	3.75
deaths_unvax	0	302	3.76
deaths_pvax	0	310	5.05
deaths_fvax	0	348	2.79
deaths_boost	0	182	5.18

holiday	0	278	1.83
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Table 3: Dataset Missing Values, Outliers & Skewness

Missing Values

First, we assessed missing values by generating a heatmap using Seaborn's heatmap function and calculating the count of missing values per feature with Python's isnull().sum(). The heatmap showed no highlighted cells, and the summary table confirmed zero missing values across all features.

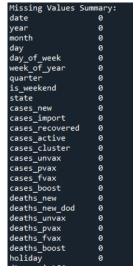


Figure 17. Summary Table of Missing Values

Outliers

Next, we evaluated outliers in the numerical features using box plots created with Matplotlib. The outliers in the case-related attributes, such as cases new, cases import, cases recovered, others, were identified using box plots, which visually highlight data points outside the whiskers (1.5 times the interquartile range). These outliers likely correspond to extreme values observed during the peak periods of the COVID-19 pandemic, such as surges in cases due to new variants, major outbreaks, or vaccination campaigns. While these outliers are significant, they are not indicative of errors or anomalies but rather reflect real-world events associated with the pandemic's unpredictable nature. For example, the high number of cases or deaths during critical moments of the pandemic would naturally result in extreme values that fall outside the usual range, and these should be viewed as part of the dataset's natural variability. As such, these outliers are justified and should not be removed, as they accurately represent the data during a time of unprecedented global health crisis.

Skewness

The dataset reveals significant skewness in several variables related to COVID-19 cases and deaths, with skewness values consistently above 1, indicating a right-skewed distribution. Specifically, the columns cases_import, cases_pvax, cases_boost, deaths_new, and deaths_boost exhibit extreme skewness values, ranging from 4.42 to 5.18. This high skewness is likely a result of the pandemic's exceptional nature, particularly during the peak periods when the number of cases and deaths soared dramatically. During these times, a large number of cases and deaths occurred in a relatively short time span, leading to an overrepresentation of high values in the dataset and contributing to the right-skewed distribution.

The skewness observed in these columns is justified by the pandemic's irregular and unpredictable progression. For example, cases_import may have shown a sharp increase in imported cases during the height of international travel restrictions and virus spread, while cases_pvax and cases_boost would have spiked as vaccination campaigns were rolled out at varying speeds across different regions. Similarly, the deaths_new and deaths_boost columns reflect a surge in mortality rates, especially at the peak of the pandemic, where large numbers of deaths were reported within short time intervals.

Given the nature of these data, the skewness is not indicative of data quality issues but rather reflects the exceptional circumstances of the pandemic. Therefore, while the skewness is pronounced, it is a natural characteristic of the dataset and should be interpreted in the context of the pandemic's peak periods.

In summary, after analysing the dataset, it was found that no preprocessing was necessary. First, there were no missing values across any of the attributes, which indicated that the dataset was already complete and did not require imputation or handling of null values. Secondly, the dataset exhibited skewness in several columns, especially those related to COVID-19 cases and deaths, with skewness values ranging from 2.49 to 5.18. However, this skewness is expected due to the exceptional nature of the pandemic, where there were significant spikes in cases and deaths, especially during peak periods.

Lastly, the outliers detected through box plots were justified as they correspond to real-world events during the pandemic, such as surges in cases and deaths, and therefore, do not require removal or correction. Consequently, given the absence of missing values, the natural skewness, and the justified presence of outliers, no further preprocessing was performed on the dataset after its creation.

D. Feature Selection Correlation Matrix

The correlation matrix is a widely used technique for feature selection, as it quantifies the linear relationships between features and the target variable. This method involves calculating pairwise Pearson's correlation coefficients, which measure the strength and direction of the linear relationship between variables. A correlation heatmap is often used to visualise these relationships, making it easier to identify patterns and redundancies in the data.

To use this strategy, first compute the correlation matrix for all feature pairings, including the target variable. Pearson's correlation coefficient values range from -1 to +1, where values close to +1 or -1 indicate a strong positive or negative linear relationship, respectively. Values near 0, on the other hand, suggest no linear relationship. Once the correlation matrix is computed, it can be interpreted to guide feature selection. Features that show a strong correlation with the target variable, those with absolute correlation coefficients above a predefined threshold, such as |0.3|, should be retained as they are likely to contribute to predictive performance.

At the same time, the matrix can highlight issues of multicollinearity, where two features are highly correlated with each other (|correlation| > 0.85). Multicollinearity can introduce redundancy, as one feature may not add significant new information. In such cases, one of the correlated features should be removed to simplify the model and reduce overfitting.

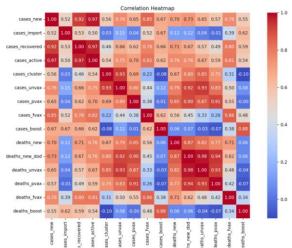


Figure 18 Correlation Heatmap for Feature Selection

The correlation matrix method is quick and straightforward to implement, making it an excellent starting point for feature selection. It helps identify linear relationships between features and the target while also revealing redundant features. For example, in the heatmap provided, features with low correlation to the target variable could be safely excluded, as they are unlikely to contribute to the model's performance. Additionally, highly correlated features (observed as off-diagonal high correlations) should be addressed by retaining only one of them.

By streamlining the dataset, the correlation matrix improves model efficiency and interpretability, paving the way for more advanced feature selection techniques or model-building efforts.

Feature Importance Analysis using XGBoost

Feature importance analysis offers to determine the contribution of each input feature to the prediction of Covid-19 in this scenario. In XGBoost, this involves the evaluation on how much each input feature

influences the model's performance during training. During the training phase of the model, XGBoost constructs a series of decision trees sequentially, while each attempting to correct the errors from the previous ones. The model starts by splitting the data based on feature values in order to reduce the overall loss function. Moving on, the feature importance will be computed through a few methods like gain, weight and cover. During these processes, the improvement will be measured by observing the model's loss function due to the split which involves a feature. This step shows each feature's contribution in reducing the prediction errors. Then, the importance of the features can be considered by analysing the total amount of occurrence a feature is used in splits across all the tresses. The scores of each input feature are normalized and sum up to 1.

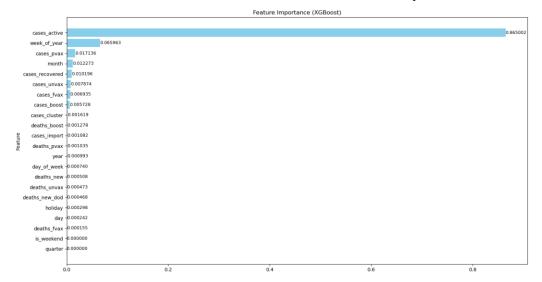


Figure 19: Feature Importance Bar Chart using XGBoost

XGBoost is chosen to determine the importance of each input feature as it is a gradient-boosting algorithm which well-known for its speed and accuracy, allowing better analysis for complex datasets. Other than that, this algorithm helps to provide insights into which variables influence the prediction the most which aligns with the goal of understanding the key drivers of target variable, in this

case is "cases_new". The uniqueness of XGBoost is it can handle non-linear relationships and interactions between the common features in real-world datasets, making it to be more straightforward in extracting and visualizing the feature importance while saving time in model interpretation.

Feature Importance Analysis using ANNs

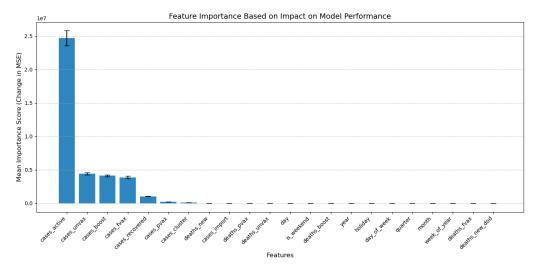


Figure 20: Feature Importance Bar Chart using ANNs

Artificial Neural Networks (ANNs) can also be used to determine the feature importance of the dataset due to their advantages in capturing complex and nonlinear relationships in the data. Methods such as permutation importance provide a way to access the feature relevance of each variable despite the fact that ANNs function as black boxes. The permutation importance works by evaluating the model's performance and determining how much it deteriorates when the value of a single feature is shuffled. This strategy is excellent for artificial neural networks

because they may understand the complicated connections between features and does not require any direct interpretation of weights, which can be difficult in non-linear models. Despite the intricacy of its internal workings, ANNs can efficiently collect the features that contribute the most to the model's prediction by recording feature patterns and interactions.

Feature Importance Analysis using Random Forest

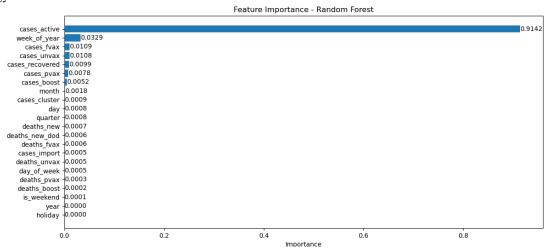


Figure 21: Feature Importance Bar Chart using Random Forest

Random Forest is a type of algorithm that can be implemented to determine the feature importance of each variable due to the ability to model difficult relationships in the data while providing a straightforward measure of feature relevance. This algorithms functions by constructing various decision trees during training and aggregating their predictions. In this case, it computes the importance of each feature by evaluating on how much the feature reduces the

impurity across all tresses in the forest. This step is done by observing the decrease in model performance while the values of a certain feature are removed. Next, Random Forest is useful in capturing both linear and non-linear relationships, making it relatively robust to overfitting issues, especially when used with a large number of trees.

E. Architecture Diagram

The method begins with data collection, which involves gathering raw datasets on COVID-19 cases. vaccination status, and mortality from credible sources. This stage lays the groundwork for future analysis by ensuring that all necessary data is available for processing. The Datasets Integration & Alignment phase combines numerous datasets into a cohesive form. This entails matching data properties such as date formats to maintain uniformity. By addressing conflicts and condensing data, a cohesive dataset is created for the next stages. Next, the Feature Engineering is implemented to enrich the dataset with more meaningful variables. The key steps are adding a binary "holiday" property (1 for holidays, 0 for nonholidays) and extracting date-related information such "day", "year", "month", "day of week", "week of year", "quarter", and "is weekend". These features provide temporal context for deeper analysis.

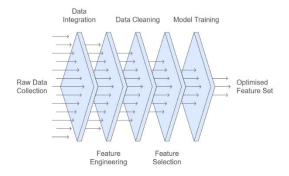


Figure 22: Architecture Diagram of the Project

The Data Cleaning Process assures that the dataset is free of errors, missing values, and unnecessary columns. This stage removes superfluous features such as state and verifies data integrity through exploratory tests. The end result is a refined dataset that is ready for model training. With a clean dataset, the Model Training & Prediction stage uses machine learning models to forecast the target variable (e.g., cases_new). The initial models are trained with all available features to assess performance and find areas for improvement.

The Evaluate stage assesses model performance using metrics such as R-squared or mean squared error. This evaluation guides the next step, Feature Selection, which uses Iterative Recursive Feature Elimination (RFE). Low-importance or redundant features are systematically deleted during retraining models to refine the feature set. Finally, during the Feature Refinement step, the iterative feature selection process is completed, and only the most relevant qualities are maintained. This results in the Final Dataset, which is optimized for accuracy and efficiency in predictive modelling.

F. Training and Testing Set Overview The total of observations: 1706

The number of features or variables: 17

By using the 80:20 split, the training set will consist of 1364 observations whereas the testing set will consist of 342 observations.

Dataset	Number of observations	Number of features
Training set	1364	17
Testing set	342	17

IV. METHODOLOGY

A. Artificial Neural Networks (ANNs)

Artificial Neural Networks (ANNs) were chosen as the principal approach for forecasting daily new COVID-19 cases. Inspired by the structure of the human brain, ANNs are made up of interconnected layers of neurons that analyse data using weighted connections and activation functions. They are especially well-suited to modelling complex, nonlinear interactions and working with datasets that contain a variety of features. This adaptability makes them perfect for the dataset, which contains temporal, category, and numerical information. ANNs also excel in regression problems with continuous target variables, such as "cases_new", allowing for more accurate forecasts.

Justification

ANNs were chosen for their capacity to generalize and extract detailed patterns from the dataset. The non-linear modelling capabilities, scalability. feature integration, and strong regularization approaches are the most important aspects affecting this decision. ANNs incorporate nonlinearity into the data through activation functions such as ReLU, allowing them to capture complex patterns and dependencies. Their scalability provides effective computing for datasets of different sizes and complexity. Furthermore, ANNs naturally learn feature importance during training, eliminating the requirement for explicit feature engineering.

Strengths

Artificial neural networks (ANNs) have various advantages that make them effective machine learning tools. They are extremely adaptable, capable of modeling both linear and non-linear connections within data, increasing their usefulness across a wide range of applications. ANNs also do autonomous feature learning during training, detecting complicated feature interactions without the need for considerable

preprocessing. Their scalability enables them to process enormous datasets efficiently, especially when supplied with many layers and neurons. Furthermore, strong regularization techniques such as dropout and early halting are used to improve generalization and avoid overfitting.

Weaknesses

Despite their advantages, ANNs have certain limits. Training these networks can be computationally expensive, especially for deep designs and huge datasets, necessitating substantial resources. Because ANNs are more sophisticated than simpler models, it is difficult to grasp how they arrive at precise predictions. Furthermore, ANNs are hyperparameter sensitive; obtaining peak performance involves precise adjustment of parameters such as learning rate, number of layers, and number of neurons.

Architecture Overview and Working Mechanism

This project's ANN design consists of an input layer, three hidden layers, and one output layer. The input layer accepts normalized features from the dataset, with each input representing a feature. The hidden layers consist of a first layer with 128 neurons and ReLU activation to capture complex associations, a second layer with 64 neurons and ReLU activation to refine learned patterns, and a third layer with 32 layers. The output layer, which consists of a single neuron with a linear activation function, returns the predicted value for "cases new".

The working mechanism consists of a feedforward pass in which input features are multiplied by weights, summed with biases, and passed through activation functions. Outputs from one layer are used as inputs for the next. The Mean Squared Error (MSE) loss function is used to compute the difference between anticipated and actual values log-transformed target variable. for Backpropagation computes the gradients of the loss function, and the Adam optimizer changes the weights to minimize the loss. The model is trained across 20 epochs to achieve a compromise between computational efficiency and model learning. It also employs mini batches of size 32 to promote effective learning. The model's prediction accuracy and explanatory capacity are evaluated using measures such as RMSE, MAE, and R2 (Coefficient of Determination).

As a result, ANNs were chosen for their capacity to model nonlinear connections and successfully handle heterogeneous data sources. The design, which includes hidden layers with ReLU activations and strong regularization algorithms, allows the ANN to

generalize patterns in data while limiting overfitting. Despite their computational cost and interpretability problems, ANNs are an effective tool for regression tasks such as forecasting "cases_new", providing accurate and actionable forecasts.

B. Convolutional Neural Network (CNNs)

Convolutional Neural Networks (CNNs) are deep learning models that are designed to analyze structured grid-like input such as pictures, time series data, and geographical data. It is regarded as one of the most well-known machine learning tools, especially for tasks requiring spatial or sequential data patterns. Furthermore, it can learn straight from raw data, making it extremely fast and reliable in forecasting COVID-19 instances based on prior data.

Justification

CNNs were chosen based on the dataset size of 1706 observations, which is adequate to train a CNN model. This algorithm is functional with large datasets and can handle the complexity of the data better than simpler models. Even though CNNs are usually used for image classification tasks, it is also applicable to the time-series data like COVID-19 case numbers over time. Next, the chosen dataset consists of both continuous and categorical data. CNNs are able to handle continuous data efficiently by learning the spatial or even temporal patterns from the data values.

On the other hand, CNNs can be adapted for categorical data by utilizing embeddings, making the prediction model to process and learn from both types of features simultaneously. Moving on, in this scenario, the target variable is a continuous data, and the goal is to predict the future values of COVID-19 cased based on the historical trends. CNNs are equally effective to solve regression problems when it is properly adapted. Moving on, CNNs able to learn the patterns and relationships in the data such as the seasonality and fluctuations in the spread of virus, producing a more accurate predictions of continuous variable.

Strengths

CNNs is capable in learning complex spatial and temporal patterns data due to their architectural design which includes 3 main features which is convolutional layers, activation functions and the pooling mechanisms. The number of cases in the dataset is crucial in this COVID-19 prediction, as it often includes complex trends, seasonality and shifts over time. Moving on, this algorithm can effectively capture all the temporal dependencies in a time-series data, allowing them to be more suitable in time-series forecasting tasks like predicting the upcoming COVID-19 cases. CNNs processes the data by

breaking it into several overlapping windows while each of it consist of a certain temporal context. It analyses these windows to learn the relationships between the past and future values.

Weaknesses

CNNs is designed to capture local patterns within a certain receptive field. Although they excel in detecting short-term dependencies in time-series data, they still struggle with long-term dependencies. In this case, CNNs might be unable to account for the influence of distant past events without significant adjustments, resulting in low accuracy of prediction for COVID-19 cases. Next, CNNs is a type of algorithm which requires significant resources and a large volume of data for training to avoid issues like overfitting. In cases where the dataset is noisy, it may fail to generalize well to the upcoming new data. For instance, small regional datasets for COVID-19 with irregular reporting might not provide enough information for CNNs to learn and predict the cases properly.

Architecture Overview and Working Mechanism

CNNs is designed to operate by applying numerous filters (convolutions) to input the data in order to detect the local patterns and relationships, which are then passed through multiple layers to capture the increasingly abstract features. The layers work by using convolutional layers to filter the input data, sliding across the data in a hierarchical manner to detect the local variables. In current time-series data, these filters are able to capture all the important temporal patterns like the spikes in COVID-19 cases or even interactions between all the variables. Moving on, an activation function such as Rectified Linear Unit (ReLU) is then applied. This non-linearity enables the network to learn and adapt to all the complex patterns beyond the linear relationship, allowing it to capture more distinctive trends in the

The existing pooling layers in the CNNs helps to reduce the amount of data dimensionally while keeping all the crucial information. This step helps to minimize the computational load needed and emphasize on high-level patterns such as the long-term trends in COVID-19 cases. After the convolution and pooling layers, the data be passed through a fully connected layers where each neuron is connected to every neuron from the previous layer. These layers allow the network to combine the features learned from earlier layers to produce more complex predictions. Lastly, the output layer will be consisting of a single neuron to produce a continuous value which is the number of cases for the next time period.

In conclusion, CNNs are a powerful method for predicting COVID-19 situations because they can successfully capture the temporal and geographical correlations in the data. It is effective in predicting future COVID-19 cases by capturing sequential or geographical patterns in data and identifying all trends, such as case peaks.

C. K-Nearest Neighbours (KNN)

The K-Nearest Neighbours (KNN) algorithm was selected as an alternative approach for predicting daily new COVID-19 cases (cases_new). KNN is a simple yet effective machine learning method that predicts target values based on the similarity between data points. By considering the closest k data points in feature space, KNN performs classification or regression tasks without relying on an explicit model structure. This instance-based learning technique is particularly well-suited for smaller datasets or datasets where local patterns in the data hold significant predictive power. Its interpretability and ability to work with mixed feature types make it a viable choice for the given dataset, which includes temporal, categorical, and numerical features.

Justification

The K-Nearest Neighbours (KNN) algorithm was used as an alternate method for forecasting daily new COVID-19 instances (cases_new). KNN is a basic yet effective machine learning technique that predicts target values based on the similarity of input points. KNN solves classification or regression tasks without the need for an explicit model structure by taking into account the nearest k data points in feature space. This instance-based learning technique is particularly well-suited for smaller datasets or datasets where local patterns in the data hold significant predictive power. Its interpretability and ability to work with mixed feature types make it a viable choice for the given dataset, which includes temporal, categorical, and numerical features.

Strengths

KNN has various characteristics that make it a viable option for certain regression problems. It is non-parametric, which means it makes no assumptions about the underlying data distribution, allowing it to deal with non-linear interactions efficiently. The technique is straightforward to build and understand since predictions are based on the average or majority class of the nearest neighbours. Furthermore, KNN adjusts to local patterns by focusing solely on neighbouring data points in the feature space, requiring less preparation and working directly with normalized input.

Weaknesses

KNN also has limitations. Its prediction phase can be computationally costly for big datasets since distances must be calculated for each data point during inference. It has difficulty with high-dimensional data because of the "curse of dimensionality," which decreases the efficiency of distance-based measurements. KNN is also extremely sensitive to feature scaling, necessitating rigorous normalization or standardization to avoid inaccurate distance computations. Furthermore, the algorithm's performance significantly is reliant hyperparameters such as k value and distance metric, which must be optimized by experimentation.

Architecture Overview and Working Mechanism

The KNN implementation for this project entails determining the best value of k (number of neighbours) and an appropriate distance measure. Following data normalization, the method predicts "cases new" for a given input by locating the k nearest neighbours in the feature space and calculating the weighted or unweighted average of their target values. The working mechanism is summarized as follows: features are normalized to ensure that all dimensions contribute equally to distance calculations, distances between test points and all training points are calculated, the k nearest neighbours are chosen based on the shortest distances, and the predicted value for "cases new" is calculated as the mean of these neighbours' Hyperparameter target values. optimization, including tuning the value of k, is performed using cross-validation to minimize prediction error.

Finally, KNN was chosen because of its simplicity and interpretability in forecasting new COVID-19 instances on a daily basis. Its ability to capture local patterns without depending on sophisticated mathematical frameworks makes it a strong candidate for datasets with non-linear correlations. While it confronts obstacles such as computational expense and scale sensitivity, effective preprocessing and hyperparameter optimization can help to alleviate these issues. KNN provides reliable and interpretable results for regression tasks such as forecasting "cases_new" by utilizing nearest neighbours.

D. Linear Regression

Linear regression is a fundamental algorithm for machine learning and statistical modelling. It was chosen as a baseline model for this problem because it is simple, interpretable, and appropriate for regression tasks. The approach assumes a linear connection between the dependent variable (cases_new) and the independent variables, making it an appropriate initial step in modelling the data.

Justification

Linear regression was chosen due to its computing efficiency and interpretability, making it an excellent baseline model for this work. It scales well to small and medium-sized datasets, guaranteeing quick computation with minimal cost, even when dealing with a substantial amount of features. The dataset includes both continuous variables, such as cases active and cases recovered, and categorical variables, such as one-hot-encoded holiday variables. Linear Regression may handle such data well if categorical variables are appropriately represented. This approach, designed primarily for regression issues, tries to forecast a continuous target variable, cases new, by minimizing the Mean Squared Error (MSE) and determining the best-fitting line. Its simplicity and fit for the provided data and issue type made it an obvious decision.

Strengths

regression Linear has numerous major advantages that make it an appropriate solution for our task. It is easily interpretable since the coefficients immediately indicate the link between each characteristic and the target variable. This openness enables stakeholders to simply grasp how the model generates predictions. Furthermore, computationally cheap, needing little resources and training quickly, making it an ideal starting point for exploratory research. Linear Regression serves as a baseline model, providing a point of comparison for evaluating the performance of more sophisticated models. Furthermore, the model sheds light on the relevance of features, with each coefficient representing the size and direction of the association between a characteristic and the target variable.

Weaknesses

Despite its advantages, Linear Regression has certain drawbacks. One important disadvantage is that it may underperform if the characteristics' relationship to the target variable is nonlinear. In this instance, more complicated models may be required to reflect the real underlying patterns. Furthermore, Linear Regression is susceptible to multicollinearity, in which highly linked features might skew the model's coefficient estimations. This makes it difficult to identify each feature's genuine contribution. Furthermore, the model is sensitive to outliers, which can have a disproportionate impact on the results, making it less resilient in cases involving extreme values in the data.

Architecture Overview and Working Mechanism

Linear Regression presupposes a linear connection between the input characteristics and the target variable. The model is described by this equation:

$$y = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_n X_n + \epsilon$$
 (1)

Where

y: Predicted value of cases_new β_0 : Intercept of the regression line

 β_i : Coefficients for each feature

 ϵ : Residual error (difference between actual and predicted values)

Cost Function:
$$J(\beta) = \sum_{i=1}^{n} (y_i - \hat{y}_i)^2$$
 (2)

Where:

y_i: Actual value of cases_new

 \hat{y}_i : Predicted value

Linear Regression fits the model using optimization approaches such as Ordinary Least Squares (OLS), which estimates coefficients by minimizing the cost function. The formula for this is:

$$\beta = (X^T X)^{-1} X^T y \tag{3}$$

Where X is the matrix of feature values and Y is the vector of goal values. The model also implies that the residuals (errors) satisfy certain requirements, including independence, constant variance (homoscedasticity), and normality. One-hot encoding is used to convert categorical information into a numerical representation appropriate for the regression model. Regularized Linear Regression techniques, such as Ridge and Lasso, can also be used to address multicollinearity and overfitting by adding penalty terms to the cost function to limit the model's complexity.

E. Random Forest

Random Forest is a popular ensemble learning approach for regression and classification applications. It was chosen for this challenge because it can handle datasets with complicated feature interactions and is resistant to overfitting. The approach is particularly well-suited to datasets containing both continuous and categorical variables, hence it is a good choice for the provided dataset.

Justification

Random Forest was chosen because of its adaptability and ability to accurately depict complicated relationships. It is especially well-suited for medium to large datasets, since it uses parallel processing to quickly train numerous decision trees while handling high-dimensional feature spaces. The

dataset includes both continuous variables, such as cases_active and cases_recovered, and categorical variables, such as one-hot-encoded state and holiday indications.

Random Forest can process various variable types with minimum preprocessing and is capable of tolerating missing values during training. Random Forest reduces the Mean Squared Error (MSE) across numerous decision trees while doing regression tasks, such as forecasting daily new COVID-19 cases (cases_new). Its capacity to simulate nonlinear linkages and variable interactions makes it an ideal solution for this situation.

Strengths

Random Forest has many advantages that make it ideal for this purpose. As an ensemble learning technique, it integrates numerous decision trees to provide a more accurate and robust prediction. By aggregating predictions from several trees, it decreases the possibility of overfitting in a single decision tree. The model excels at capturing nonlinear correlations and complicated interactions between variables that linear models struggle with. Additionally, Random Forest gives a measure of feature relevance, which aids in identifying the most significant characteristics in predicting the target variable. Despite being a more sophisticated model, its structure helps to prevent overfitting and ensures that it generalizes effectively to new data.

The model's use of multiple trees also helps improve interpretability, as it ranks features based on their importance, offering useful insights into the factors driving predictions.

Weaknesses

Despite its advantages, Random Forest has certain drawbacks. One of the primary disadvantages is that it can become computationally expensive with a large number of trees or very high-dimensional data, resulting in longer training durations and increased memory use. The model's complexity can also make it more difficult to comprehend than simpler models, particularly when there are a significant number of trees. Although Random Forest is resistant to overfitting, it may struggle with unbalanced data in which specific classes or ranges of the target variable are underrepresented, resulting in skewed predictions. Finally, Random Forest's use of numerous decision trees can be resource-intensive, needing more processing power and time than simpler models such as Linear Regression.

Architecture Overview and Working Mechanism

Random Forest generates several decision trees using bootstrapped subsets of the training data. The approach begins by creating numerous random subsets of the training dataset using a technique known as bootstrapping. These subsets are used to train specific decision trees. Each tree is constructed by recursively separating nodes according to the characteristic that reduces the variance of the target variable (cases_new) inside the subset. The splitting criteria are intended to limit the variation in anticipated values at each node. The trees in the forest are trained individually, and the results of all the trees are combined to provide the final forecast. In regression tasks, the final prediction is the average of the predictions from each tree.

At each split of a tree, random subsets of characteristics are evaluated to identify the appropriate split, increasing tree diversity and decreasing overfitting. Once trained, the trees can handle new data by averaging the predictions of each individual tree in the forest. The model may also determine feature relevance based on how much each feature contributes reducing variation across the trees. Hyperparameters such as n estimators (the number of trees), max depth (the maximum depth of trees), and min samples split (the minimum number of samples required for a split) can be adjusted to improve model performance. In addition, out-of-bag (OOB) error estimates can be employed for model assessment, allowing the technique to do cross-validation without requiring a separate validation set.

F. LSTM

LSTMs are well-suited for sequential data applications, such as forecasting new COVID-19 cases, since they maintain knowledge of prior time steps over long durations. Unlike classic feedforward networks or simple ANNs, LSTMs efficiently manage the vanishing gradient problem, allowing them to learn from extended sequences without losing information.

Justification

This model was chosen for this task because of its extraordinary capacity to model temporal sequences and understand long-term dependencies, making it appropriate for time series data such as daily COVID-19 instances. LSTMs efficiently handle the vanishing gradient problem, allowing them to learn from extended sequences while preserving crucial information. As a result, they are ideal for sequential data applications such as forecasting "cases_new". The dataset comprises both temporal characteristics, like "date" and continuous variables such as "cases_active" and "cases_recovered". These inputs provide the LSTM with the necessary temporal context to identify patterns and trends in the data.

Strengths

One of the main advantages of LSTMs is their capacity to capture temporal dependencies. Their memory process, aided by cell states and gates (input, forget, and output), guarantees that they store and update pertinent information throughout time. This enables them to concentrate on crucial historical data that contributes to reliable projections. Furthermore, LSTMs are noise-resistant and generalize well when regularization techniques like as dropout are used.

Weaknesses

Despite these advantages, LSTMs have significant drawbacks. Training LSTMs computationally costly, particularly for big datasets, due to their recurrent nature and reliance on the backpropagation through time (BPTT) technique. LSTMs' performance is also very susceptible to hyperparameter adjustment, and incorrect selections might result in unsatisfactory output. Another disadvantage is the difficulty of comprehending the model, as LSTMs sometimes operate as "black boxes" with little transparency into their decision-making processes, even when displaying internal states.

Architecture Overview and Working Mechanism

An LSTM's architecture is made up of many essential parts. The input gate, forget gate, and output gate are the three gates found in each of the memory cells that make up the LSTM layer. While the forget gate chooses which information from the current time step should be discarded, the input gate chooses which information should be kept in the memory cell. Which data is sent to the following time step or layer is determined by the output gate. By filtering away noise and allowing the model to retain pertinent information across time, this gating mechanism enables the LSTM to concentrate on the most significant temporal patterns.

Input sequences of preset lengths, such as 7-time steps, are formed by the input layer, which receives lagged variables and designed characteristics. At each time step, the LSTM layer uses memory cells with gating mechanisms to choose which information should be output, forgotten, or retained. The input gate chooses what fresh information to add, the output gate controls the information sent to the following layer, and the forget gate eliminates unnecessary information. To lessen overfitting, a dropout layer is used to randomly remove a portion of connections during training. The final prediction (cases_new) for the specified sequence is generated by the dense output layer. The Adam optimizer, which adaptively modifies learning rates for effective training, is used to optimize

by minimizing a loss function, such as mean squared error (MSE).

G. XGBoost

This algorithm was included in this study due its ability in being able to deal with the amount of data that we have and also determines the interactions between the variables. It is, however, quite effective at making predictions, especially in the case of a regression task since it is able to make its predictions through a boosting process. Further, we explain why it is appropriate to use XGBoost, what are the advantages of using XGBoost, and how XGBoost can be implemented. In addition, its architecture and working mechanism are also described.

Justification

Since we are dealing with a dataset that contains both that continuous can and efficiently categorical handle features mixed (e.g., data one-hot-encoded types. variables This like makes holiday), XGBoost we a need great a candidate model for our dataset due to its ability to handle missing values and split decisions. Moreover, XGBoost is designed for medium to large datasets and provides high scalability and speed using its histogram-based algorithms and parallel computation.

The primary task is to forecast the number of new COVID-19 cases (cases_new) on a daily basis, which is a regression problem. Since XGBoost is capable of minimizing loss functions such as Root Mean Squared Error (RMSE) to the best extent possible, it is a for very this good task. fit This makes it suitable for capturing trends, dependencies and patterns in the data to make accurate predictions.

Strengths

XGBoost excels in several areas, making it a powerful machine learning model. A major strength of this model is that it can handle missing values and integrate them into the split optimization process during training. Additionally, XGBoost includes both L1 (Lasso) and L2 (Ridge) regularization to prevent overfitting and improve the model's ability to generalize. The model also provides tools for evaluating feature importance which helps in selecting important predictors and improving the interpretability of the model. Furthermore, XGBoost is a powerful tool at handling lagged features and thus is able to capture trends and temporal dependencies in time series data.

Weaknesses

Despite all this, XGBoost has some limitations. The training process of large datasets with extensive hyperparameter tuning can be resource demanding. Also, the model is not easy to interpret because of the

ensemble nature of XGBoost, although feature importance can be evaluated. In addition, if not properly regulated and tuned for the best parameters, there is a possibility of overfitting, which is not good for the performance of a model.

Architecture Overview and Working Mechanism

XGBoost is a systematic approach to building predictive models. First of all, for the purpose of this study, lag_1 to lag_7 are defined as the lag variables of "cases_new" to account for the temporal characteristics. The features are a mix of numerical variables, categorical variables such as "holiday" and derived variables like "is_weekend". The boosting process begins with an initial prediction which is the mean of the target variable. Subsequent decision trees model the residuals, iteratively improving predictions by focusing on correcting errors made by previous trees.

The splitting of the tree is done by a greedy algorithm that selects the node split that reduces the loss function most, such as RMSE for regression. It then selects the split with the highest gain and from this split, it determines the best feature and threshold to split on. XGBoost minimizes a regularized objective function that includes a term for the loss function, such as RMSE, and a term that describes model complexity to improve generalization. The techniques used for regularization include L1 regularization, which results in sparsity and some the features having and the weights used set to prevent zero, overfitting.

Optimization and of L2 parameters regularization, involves which adjusting enforces hyperparameters smoothness like of learning rate, maximum depth, subsample rate and "colsample" in trees to achieve the optimal model complexity. Early stopping rounds are used to stop training when improvement on the validation set stops, in order to obtain the optimal performance. The important features that contribute to the model's ability to make predictions are for instance "cases recovered" and "cases cluster", which helps in understanding how the model works.

In summary, XGBoost was selected as it is robust in handling large datasets, mixed data types, and temporal dependencies in order to predict daily new COVID-19 cases. It has both strengths and weaknesses; the strengths include it is able to handle missing values, it has a regularization term, and it is able to rank features by their importance. However, it is computationally expensive and can overfit if not properly tuned. It is designed to make interpretable and accurate predictions through its architecture of iterative boosting, regularized optimization, and

parameter tuning. In this case, XGBoost is a good approach for a regression task.

V. EVALUATION INDICES

In the evaluation process, all the models used 4 metrics assess the performance of the regression model predicting new COVID-19 cases.

Firstly, Root Mean Squared Error (RMSE) was used to determine the performance of the regression interpret the model's performance. This intuitive makes measure it easier to apply the model in real life as it focuses more on the significant deviations that the model should minimize during the optimization process. Its formula is expressed as:

$$RSME = \sqrt{\frac{1}{n}\sum(y_i - \hat{y}_i)^2}$$
 (4)

where y_i represents the actual values and \hat{y}_i denotes the predicted values. RMSE is particularly useful in regression tasks for its ability to guide the model towards better generalization.

In addition to that, Mean Absolute Error (MAE) was also used to measure the average size of errors without concerning themselves with direction. Unlike the Mean Squared Error (MSE) and the Root Mean Squared Error (RMSE), the Mean Absolute Error (MAE) treats all errors with the same importance and hence is a very good metric to use when there are outliers, or the error distribution is not uniform. MAE is calculated as:

$$MAE = \frac{1}{n} \sum_{i=1}^{n} |y_i - \hat{y}_i|$$
 (5)

The third metric, Mean Absolute Percentage Error (MAPE), was employed to assess the average error as a percentage of the actual values. This metric is particularly useful for understanding prediction accuracy relative to the scale of the target variable, making it easier to interpret across datasets with varying magnitudes. MAPE is calculated as:

$$MAPE = \frac{100}{n} \left| \frac{y_i - \hat{y}_i}{y_i} \right| \tag{6}$$

Lastly, R-squared (R²) was also used to assess how much of the variance in the target variable is explained by the variability model. in Values the of data, R² while close values to close 1 to indicate 0 that indicate the that model the has model captured is most not of explaining the data variability well.

These metrics were chosen to give a complete view of the model's performance. The RMSE and MAE give an idea of how accurate the predictions are, while R² measures how well the model is at explaining the data variability. Therefore, these metrics are useful

in determining whether the model is appropriate for the regression task at hand.

I. MODEL DEVELOPMENT

4. Training and Testing Process

In order to forecast COVID-19 cases, 5 different models will be employed. Standardized training and testing process was followed for each of the models. The initial step was to divide the dataset into 80% of the data that would be used for training and 20% of the data that would be used for testing. The training data has been used to establish patterns and the testing data has not been exposed to the model during the training process in order to assess new each data. model's Using capacity 80:20 to is generalize a to good approach to ensure that there is enough data for training and at the same time enough data is reserved for evaluating the model's performance. Now, moving on to the evaluation process, all of the models were evaluated on the testing set by using some performance metrics like RMSE, MAE, R2 and MAPE. This comparison of results across model allows a comprehensive output in determining the most effective technique.

B. Software and Tools

All of the models were written in Python because it is a language that has many libraries for the tasks of machine learning, data preprocessing and deep learning. The following programming languages and libraries were leveraged in the implementation:

C. Programming language

• Python: The primary language for data preprocessing, modelling, and evaluation.

D. General Libraries

- Pandas: For data manipulation, including feature creation and one-hot encoding of categorical variables.
- NumPy: For numerical work such as logarithm and reversing scaling of outputs.
 Matplotlib: For visualization of data, including heatmaps of correlations, learning curves, and residuals.
- Matplotlib: For visualization of data, including heatmaps of correlations, learning curves, and residuals.
- Scikit-learn: For splitting the dataset, scaling the features, and calculating evaluation metrics such as RMSE, MAE, R² and MAPE.

E. Model-Specific Libraries

 TensorFlow/Keras (for ANN and CNN): TensorFlow is a popular open-source library which can be neural used network.

- XGBoost: for It building, is training a as library well for as gradient evaluating boosted a decision tree and can be used for hyperparameter tuning and performance tuning of the model.
- Random Forest: This is where the module in Scikit-learn is ensemble with features like RandomForestRegressor for model training.

F. Artificial Neural Networks (ANNs) Hyperparameter Tuning

The hyperparameters of the artificial neural network (ANN) were not optimized using a systematic approach, instead, they were set heuristically. activation The function network was architecture used consisted to of introduce two non-linearity hidden in layers the with network. 64 A and batch 32 size neurons, of ReLU 32 was chosen, which is a reasonable balance between computational resources and model training time. The model was trained for 20 epochs, and early stopping was implemented based on the validation loss trend. Adam optimizer was used due to its ability to tune the learning rate during training hence reducing the need for adjusting the hyperparameters. Other automated search techniques like Grid Search or Random Search were not employed due to the simplicity of the architecture and the hyperparameters were instead fine-tuned based on the validation performance.

Settings and Configurations

The number of features in the input layer was made to be equal to the number of features in the training data. Three hidden layers of fully connected layers with 128, 64, 32 neurons were employed and ReLU activation function was used in all of them. There was no activation function in the output layer as it is for regression task, and it has a single neuron.

G. Convolutional Neural Networks (CNN) Hyperparameter Tuning

The hyperparameters such as number of filters in the CNN, size of filter, batch size, learning rate and number of epochs play a significant role in the performance of the CNN model. The key this parameters model of are explained as follows. The 1D Convolutional Neural Network has 64 filters in the first convolutional layer. The number of filters is a parameter that determines the number of feature maps produced by the convolution operation and are usually increased to identify more complex features at the cost of increased computational complexity. The first convolutional layer has a common size of 3x3 filters. This is to limit the window through which the convolution operation is applied on the input.

The batch size in this algorithm is set to 32 as it ensures that there is enough memory and the model converges well. Small batch size has a high gradient noise while large batch size takes a long time to train. The learning rate of a model describes by how much the model adjusts its weights during the training process. The Adam optimizer with the initial learning rate of 0.001 was used. When the model is trained on CNN then it is trained on 20 epochs which helps in preventing over fitting. The number look of back historical window data of that 10 should be employed. considered This for hyperparameter making can accurate be predictions. adjusted based

Settings and Configurations

The data of each variable was reshaped into a 3D format, in order to be compatible with the Conv1D layer of the CNN. The input layer of the CNN was matched the dimensions of the image data. Next, two 3x3 convolutional layers were employed and each was followed by 2x2 max pooling. The convolutional layers were then followed by a fully connected dense layer with 64 neurons. The output layer contained a single neuron, suitable for regression tasks, with no activation function.

K-Nearest Neighbours (KNN) Hyperparameter Tuning

The K-Nearest Neighbours (KNN) algorithm is depending on the proper selection of hyperparameters to achieve the optimal trade-off between the model's generalization capability and its ability k to (the fit number the of training neighbours) data. The two distance most metric important (such hyperparameters as of Euclidean KNN or Manhattan distance). The value of k determines how many nearest neighbours to consider for classification, and the distance metric determines how to measure the cross-similarity validation between to two minimize data the points. prediction These errors hyperparameters and were avoid tuned under using fitting the or grid over search fitting.

Settings and Configurations

The KNN model is a simple model that predicts the target variable as the mean or weighted mean of the k nearest neighbours. To get the best performance, the dataset was normalized and then applied the KNN algorithm because KNN is sensitive to the scale of the features. The optimal number of neighbours, k, was also tested through a range of values; smaller values are suitable for capturing local patterns while larger values reduce noise. Additionally, different distance metrics were evaluated to identify the most suitable for the characteristics of the dataset.

H. Linear Regression Hyperparameter Tuning

Linear regression models are rather straightforward and require minimal tuning. However, some level of regularization was employed to improve the models' ability to generalize. Both Ridge (L2) and Lasso (L1) regularization were applied to prevent overfitting by penalizing large coefficients. The amount of penalty was controlled by the alpha parameter.

Settings and Configurations

The linear regression model assumed that the input features and the target variable are linearly related. Both the Ridge and the Lasso regularization techniques were employed, and the alpha parameter was adjusted to achieve a good fit and sufficient generality of the model.

I. Random Forest Hyperparameter Tuning

To ensure the Random Forest model had sufficient capacity, the number of trees (n_estimators) was set to 100. The maximum depth (max_depth) of each decision tree was restricted to 10, while the minimum samples required to split an internal node (min_samples_split) was set to 2. Additionally, the maximum number of features considered for each split (max_features) was set to the square root of the total number of features, a common practice that helps prevent overfitting and reduces computational complexity.

<u>Settings and Configurations</u>

The Random Forest was set with 100 trees in the ensemble. The maximum depth of each tree was limited to 10, and the minimum samples required to split an internal node was set to 2. The max_features parameter was set to the square root of the total number of features to control the complexity of the individual trees. A random state of 42 was set to ensure reproducibility across different runs.

J. XGBoost

Hyperparameter Tuning

XGBoost is a highly customizable algorithm, and hyperparameters were fine-tuned for optimal performance. The learning rate (eta) was set to 0.1 a standard value which is used to control the step size in gradient boosting. The maximum depth (max_depth) was set to 6 in order to control the complexity of the individual trees and prevent over fitting. The model was set to run used for to 100 randomly boosting sample rounds the (n estimators). data A during

subsample each ratio boosting of round 0.8 to was help reduce over fitting. The "colsample_bytree" 0.8.

Settings and Configurations

The gradient descent step size was adjusted using the XGBoost model with a learning rate of 0.1. at minimize model complexity and lower the chance of overfitting, the maximum depth of the trees (max depth) was set at 6. 100 was chosen as the number of boosting rounds (n estimators). To increase model resilience and avoid overfitting, subsampling (subsample) and feature sampling (colsample bytree) were used at ratios of 0.8. Early stopping was activated with early stopping rounds=10, which meant that after ten rounds in which no increase in validation performance was seen, the training process would end. Up to 100 boosting cycles were used to train the model; however, if validation performance stopped getting better, training would end sooner.

K. LSTM

Hyperparameter Tuning

LSTM is a fully customisable model, with hyperparameters tailored for best performance. The learning rate was adjusted to 0.001 to achieve a consistent balance between computational efficiency and model performance. The batch size was set to 32 to limit the quantity of data handled at each training stage. The number of LSTM units was set to 100, allowing the model to accurately capture temporal patterns. A dropout rate of 0.3 was used to prevent overfitting and ensure that the model can generalize effectively to complicated patterns. Early stopping was developed to cease training if validation performance did not increase within a predetermined number of epochs, ensuring that training resources are used efficiently.

Settings and Configurations

The learning rate was set to 0.001 for the LSTM model to achieve a good balance between efficient convergence and stable training performance. Furthermore, the batch size was optimized for computational efficiency, with a setting of 32. The LSTM layer had 100 units to learn the temporal dependencies in the data effectively. To prevent overfitting, a dropout rate of 0.3 was utilized to make sure that it generalizes well. Early stopping is implemented when the validation loss does not decrease over a preset number of epochs so that the training does not become overly complex or begin overfitting.

L. XGBoost

Hyperparameter Tuning

sSeveral important hyperparameters were tuned to ensure that the model neither overfits nor underfits. The following settings were used for all models: The learning rate was set to 0.1 to control the step size during training. To prevent overfitting, the maximum depth was limited to 6, helping to select an optimal model complexity. The subsample parameter was set to 0.8, meaning that 80% of the data was used for training each tree, improving the generality of the ensemble model. Similarly, the colsample_bytree parameter was set to 0.8, enhancing the model's robustness. Early stopping was incorporated to reduce overfitting and ensure efficient training, with the process terminating if the validation RMSE did not improve for 10 consecutive rounds.

Manual hyperparameter tuning was employed by iterating over different values based on domain knowledge. However, for further refinement, techniques such as Grid Search or Random Search could be explored to automate and optimize the parameter selection process.

Settings and Configurations

The XGBoost model was configured with several key parameters. The objective was set to 'reg:squarederror' to specify that the task is a regression problem using squared error as the loss function. Root Mean Squared Error (RMSE) was selected as the evaluation metric to assess model performance. Key hyperparameters were chosen to balance model complexity and performance, including

learning_rate=0.1, colsample_bytree=0.8, subsample=0.8, and max depth=6.

Early stopping was enabled with early_stopping_rounds=10, allowing training to halt if no improvement was observed in validation performance for 10 consecutive rounds. The model was trained for up to 100 boosting rounds, with training stopping early if no further improvement was seen on the validation set.

Data Handling

To include temporal dependencies in the model, lag features (lag_1 to lag_7) were derived to incorporate the previous values of the target variable to improve the prediction accuracy. Any row containing missing values (which were introduced by the lagging process) were dropped to ensure consistency of the dataset.

VI. RESULTS AND DISCUSSION

The following results and discussion under A and B will present the performance of each model on both the training and testing datasets, primarily focusing on the comparison of R-squared values. Additionally, under *C. Comparisons of Models*, the overall performance of the models and their respective subsets will be analysed, considering all four evaluation metrics, providing a comprehensive assessment of each model's effectiveness.

A. Training Sets

A. Truming Seis					
Parameters	Training				
	Models	RMSE	\mathbb{R}^2	MAE	MAPE
	ANN	129.28	0.9995	73.83	18.95%
	CNN	762.15	0.9845	387.36	24.05%
Remove quarter,	KNN	774.18	0.9839	329.83	17.57%
is_weekend, deaths_vax, day, holiday, deaths new dod, deaths unvax, deaths	Linear Regression	3.95	0.9967	2.36	2.64%
new, day of week, year,	LSTM	1074.75	0.9691	602.01	113.21%
deaths pvax, cases import, deaths boost	Random Forest	178.84	0.9989	56.31	1.77%
	XGBoost	71.80	0.9999	47.27	22.42%
	Average	427.85	0.9904	214.14	28.66%
Remove quarter,	Models	RMSE	\mathbb{R}^2	MAE	MAPE
is_weekend, deaths_vax, day, holiday,	ANN	529.04	0.9912	277.13	27.59%
	CNN	720.79	0.9861	363.73	22.70%
deaths_new_dod	KNN	774.19	0.9839	329.88	17.43%

	Linear	l	1	1	l
	Regression	7.89	0.9983	3.84	1.73%
	LSTM	1,016.50	0.9724	590.27	215.62%
	Random Forest	198.76	0.9987	64.36	1.84%
	XGBoost	62.64	0.9999	41.55	19.27%
	Average	472.83	0.9901	238.68	43.74%
	Models	RMSE	R ²	MAE	MAPE
	ANN	131.66	0.9995	76.35	20.36%
	CNN	739.03	0.9854	373.37	23.26%
	KNN	774.19	0.9839	329.87	17.42%
Remove quarter, is weekend, deaths vax,	Linear Regression	2.27	0.9889	1.30	1.46%
day, holiday	LSTM	1,070.02	0.9694	722.31	303.25%
	Random Forest	201.16	0.9987	64.51	1.96%
	XGBoost	66.33	0.9999	44.84	21.31%
	Average	426.38	0.9894	230.37	55.57%
	Models	RMSE	\mathbb{R}^2	MAE	MAPE
	ANN	210.98	0.9986	113.72	20.73%
	CNN	730.01	0.9858	370.04	23.92%
	KNN	774.19	0.9839	329.87	17.43%
Remove quarter,	Linear Regression	1.24	0.9900	7.67	9.34%
is_weekend, deaths_fvax	LSTM	1,135.90	0.9655	680.11	431.54%
	Random Forest	193.96	0.9988	62.35	1.82%
	XGBoost	66.15	0.9999	44.87	18.13%
	Average	444.63	0.9889	229.81	74.70%
	Models	RMSE	\mathbb{R}^2	MAE	MAPE
	ANN	403.59	0.9949	229.77	65.38%
Damova quarter	CNN	755.57	0.9847	379.42	21.80%
Remove quarter, is_weekend, deaths_vax, day, holiday, deaths new dod, deaths unvax, deaths	KNN	776.17	0.9838	332.09	17.94%
	Linear Regression	3.95	0.9982	2.36	2.65%
new, day of week, year, deaths pvax, cases	LSTM	1,204.90	0.9612	672.85	41.48%
import, deaths boost, cases cluster	Random Forest	177.06	0.9990	55.89	1.76%
	rolest				
	XGBoost	76.51	0.9998	49.98	23.75%

Table 4: Top 5 Iterations for Training Sets based on Average R² Values

According to Table 4, the focus is mainly on the average R² metric for the evaluation of each model performance and selecting the top-performing models based on their average R² in each iteration, also known as the coefficient of determination. It helps to measure how well each model explain the variance in the dependent variable. It is chosen as it provides a clear indication of the model's ability in predicting the outcome accurately. Higher R² value indicates a better model performance, with a value of 1 meaning a perfect prediction, and the values which is closer to 0 proves that the model does not explain the variability in the data well.

As demonstrated in Table 1, the highest average R2 values is 0.9904, observed in the subset where parameters like "quarter, is_weekend, deaths_vax, day, holiday, deaths new dod, deaths unvax, deaths new, day of week, year, deaths pvax, cases import, deaths boost" are removed. This proves that the removal of each certain irrelevant or noisy features allows the models to better fit the training data and explain the variance in the target variable. In this case, XGBoost provides the highest R2 value of 0.999.

followed closely by ANN with 0.9995. Both models shown that these models can achieve near-perfect fits when these particular features are excluded.

By analysing the results, it is proven that XGBoost had consistently shows the best R² performance across all iterations, with each R² values approaching 1.0, indicating its superior ability to fit the data compared to other models. This is mainly due to the ability to capture complicated patterns and relationships in the dataset through boosting. Next, ANNs do perform outstandingly well, especially in iterations with lesser parameters, with R² values approaching an average of 0.9995. The ability to model and understand the non-linear relationships contributes to its strong fit. Based on the table XGBoost, ANNs and Random Forest performs well in predicting the new cases. The Linear Regression model does perform well when lesser features are present but weaker when compared to more complex models like ANN and XGBoost.

B. Testing Set

provides the highest R2 val	01 0.555,		Testing		
rarameters					
	Models	RMSE	\mathbb{R}^2	MAE	MAPE
	ANN	221.41	0.9985	103.95	9.09%
	CNN	136.54	0.9611	60.01	22.63%
D	KNN	248.12	0.9135	165.12	123.85%
Remove quarter, is_weekend, deaths_vax, day, holiday,	Linear Regression	2.72	0.9782	1.69	8.69%
deaths_new_dod, deaths_unvax, deaths_new	Random Forest	439.80	0.9939	149.80	3.89%
	LSTM	268.19	0.8972	171.17	96.81%
	XGBoost	94.51	0.9875	38.05397	1468.44%
	Average	201.61	0.9614	98.54	247.63%
	Models	RMSE	\mathbb{R}^2	MAE	MAPE
	ANN	514.40	0.9917	281.10	24.77%
	CNN	127.06	0.9664	57.61	21.71%
	KNN	247.78	0.9134	164.77	123.83%
Remove quarter, is_weekend, deaths_vax, day, holiday,	Linear Regression	7.87	0.9965	3.91	2.01%
deaths_new_dod	Random Forest	446.42	0.9937	155.20	4.04%
	LSTM	295.88	0.8748	184.32	87.74%
	XGBoost	74.33	0.9922	36.72553	592.06%
	Average	244.82	0.9612	126.23	122.31%
	Models	RMSE	\mathbb{R}^2	MAE	MAPE

	ANN	590.77	0.9891	311.76	27.25%
	CNN	128.79	0.9654	59.88	22.05%
	KNN	247.78	0.9134	164.80	123.84%
Remove quarter, is_weekend, deaths_vax,	Linear Regression	1.91	0.9892	1.15	9.02%
day, holiday, deaths_new_dod, deaths_unvax	Random Forest	435.27	0.9940	148.84	3.93%
_	LSTM	315.07	0.8581	187.24	100.21%
	XGBoost	91.22	0.9883	38.58665	1458.61%
	Average	258.69	0.9568	130.32	249.27%
	Models	RMSE	\mathbb{R}^2	MAE	MAPE
	ANN	510.04	0.9918	235.47	17.89%
	CNN	119.81	0.9701	58.62	21.81%
	KNN	247.78	0.9134	164.77	123.83%
ALL	Linear Regression	6.23	0.9954	3.49	3.86%
	Random Forest	449.36	0.9936	152.25	4.00%
	LSTM	342.75	0.8320	171.00	64.02%
	XGBoost	98.97	0.9860	39.08	15.13%
	Average	253.56	0.9546	117.81	35.79%
	Models	RMSE	\mathbb{R}^2	MAE	MAPE
	ANN	147.56	0.9993	81.11	7.29%
	CNN	126.85	0.9665	59.41	21.66%
	KNN	154.65	0.9234	154.21	110.92%
Remove quarter and is_weekend	Linear Regression	6.23	0.9932	3.49	3.86%
	Random Forest	453.35	0.9934	153.25	4.01%
	LSTM	360.27	0.8144	239.99	147.83%
	XGBoost	98.97	0.9863	39.08266	15.18%
	Average	192.56	0.9538	104.36	44.39%

Table 5: Top 5 Iterations for Testing Sets based on Average R² Values

Table 5 above presents a comparison of model performance for different subsets of features across different models used including ANNs, CNN, KNN, Linear Regression, Random Forest, LSTM, and XGBoost during the testing phase. For each iteration, the models are evaluated based on 4 metrics such as Root Mean Squared Error (RMSE), Coefficient of Determination (R²), Mean Absolute Error (MAE), and Mean Absolute Percentage Error (MAPE). This table aims to evaluate and compare the performance based on their ability to explain the variance in the target

variable, which is most effectively captured by the R^2 value.

As shown in Table 2, the highest average R² value is obtained when the parameters like "quarter, is_weekend, deaths_vax, day, holiday, deaths_new_dod, deaths_unvax, deaths_new" are removed from the dataset, with an average R² of 0.9614. This indicates that when these specific features are removed, the models perform better in capturing the underlying patterns in the data and explaining the variability in the target variable. By

removing these features, the performance of each model can be improved due to the increased focus on more relevant features. Moving on, it can be seen where models like ANN and Random Forest consistently show stronger performance, with ANN in particular achieving a high R² score across most subsets, showing the ability to capture more complex non-linear relationship in the data.

Despite that, Linear Regression also performs well in predicting the COVID-19 cases, especially when lesser features are used, indicating its effectiveness in simpler linear relationships. CNN, KNN and LSTM models do show a lower average R² scores when comparing with other models, proving that they are more sensitive to irrelevant features in the data. Next, performance metrics like RMSE, MAE and MAPE are useful in understanding the error magnitude and percentage error in the testing phase but are least important to R² in terms of identifying the model that best explains the variance in the target variable. For instance, although CNN produces lower RMSE values compared to ANN in certain subsets, its lower R² indicates that it is not as effective in capturing the overall patterns in the data.

The reason for focusing on R² instead of other performance metrics like RMSE, MAE and MAPE is due to the fact where R² directly evaluates the model's explanatory power. This feature is particularly useful this case where the goal is to understand the relationship between input features and the target variable which is cases_new. Other than that, the R² metric has been prioritized as it directly reflects the degree of effectiveness of each model fits the data, allowing it to be most appropriate measure in assessing the predictive capability of the models.

To sum up, ANN acts as the most reliable and effective model in predicting COVID-19 cases, presenting an exceptional performance on both the training and testing process. With a near-perfect R² value of 0.9995 in the training set and consistently performing high scores in the testing sets, ANN has proven its ability in capturing the sophisticated nonlinear relationship in the dataset. Other than that, it has shown its reliability in accurately predicting COVID-19 cases while keeping a powerful generalization capability.

C. Comparison of Models

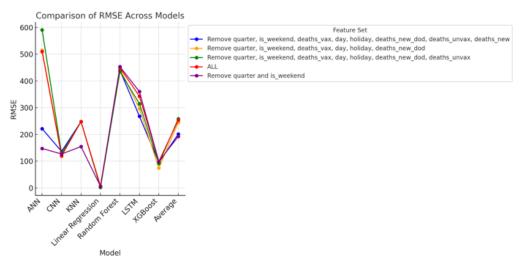


Figure 23: Comparison of RMSE Across Models

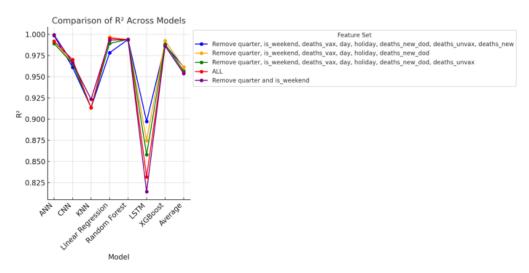


Figure 24: Comparison of R² Across Models

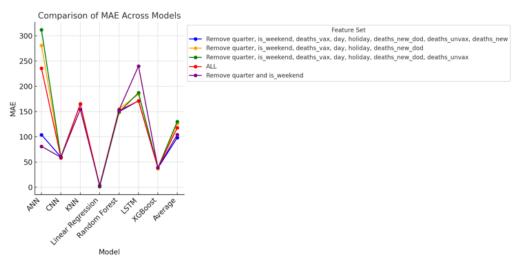


Figure 25: Comparison of MAE Across Models

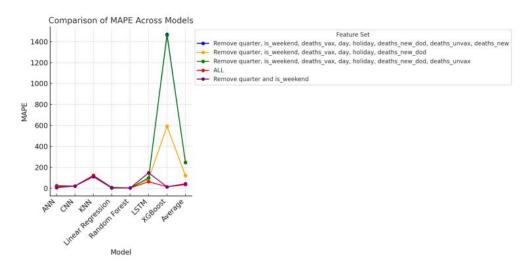


Figure 26: Comparison of MAPE Across Models

Below is a detailed breakdown and comparison of how each model performed across the different subsets based on RMSE, R², MAE, and MAPE metrics also shown in the Figure 18, Figure 19, Figure 20, and Figure 21. These metrics reflect the accuracy, fit, and generalization of each model.

Conditioned Subset 1: Remove quarter, is_weekend, deaths_fvax, day, holiday, deaths_new_dod, deaths_unvax, deaths new

- Best Model: Linear Regression
 - o RMSE: 2.72 (lowest)
 - o R²: 0.9782 (strong fit)
 - o MAE: 1.69 (lowest)
 - o MAPE: 8.69% (very low)
- Other Observations:
 - XGBoost had good performance with low RMSE (94.51) but extremely high MAPE (1468.44%), indicating instability in predictions for some cases.
 - ANN and CNN showed moderate performance, with ANN having lower MAPE (9.09%) but higher RMSE compared to CNN.

Conditioned Subset 2: Remove quarter, is weekend, deaths fvax, day, holiday, deaths new dod

- Best Model: Linear Regression
 - o RMSE: 7.87 (lowest)
 - o R²: 0.9965 (best fit)
 - o MAE: 3.91 (lowest)
 - o MAPE: 2.01% (very low)
- Other Observations:
 - o XGBoost had the second-lowest RMSE (74.33) but again suffered from high MAPE (592.06%).
 - o CNN had stable and consistent results (RMSE: 127.06, MAPE: 21.71%).

Conditioned Subset 3: Remove quarter, is weekend, deaths fvax, day, holiday, deaths new dod, deaths unvax

- Best Model: Linear Regression
 - o RMSE: 1.91 (lowest)
 - o R²: 0.9892 (excellent fit)
 - o MAE: 1.15 (lowest)
 - o MAPE: 9.02% (low)
- Other Observations:
 - XGBoost continued its trend of low RMSE (91.22) but had very high MAPE (1458.61%), making it unreliable.
 - o Random Forest performed decently with RMSE (435.27) but had higher MAE and MAPE compared to the best model.

Conditioned Subset 4: ALL Parameters

- Best Model: Linear Regression
 - o RMSE: 6.23 (lowest)
 - o R²: 0.9954 (best fit)
 - o MAE: 3.49 (lowest)
 - o MAPE: 3.86% (very low)
- Other Observations:
 - CNN showed the second-best performance with RMSE of 119.81 and MAPE of 21.81%, maintaining consistency.
 - o ANN showed high RMSE (510.04), indicating weaker performance on this subset.

Conditioned Subset 5: Remove quarter and is weekend

- Best Model: Linear Regression
 - o RMSE: 6.23 (lowest)
 - o R²: 0.9932 (strong fit)

- MAE: 3.49 (lowest)MAPE: 3.86% (very low)
- Other Observations:
- ANN showed competitive performance (RMSE: 147.56, MAPE: 7.29%) and is notable for being stable across subsets.
- XGBoost showed good RMSE (98.97) but again suffered from high MAPE in specific cases.

Model	Strengths	Weaknesses
Linear	Best across all subsets with the lowest RMSE,	Performance may drop in more complex
Regression	MAE, and MAPE	datasets or nonlinear cases.
XGBoost	Excellent RMSE and R ² in most subsets	Extremely high MAPE indicates instability in predictions.
ANN	Good R ² , low MAPE in some subsets and stable performance	RMSE is generally higher than other models.
CNN	Consistent RMSE and MAPE across subsets	Struggles with very low MAPE compared to simpler models.
Random Forest	High R ² and moderate RMSE	Higher MAE and MAPE in comparison to Linear Regression.
KNN	Weakest performance overall	High RMSE, MAE, and MAPE across all subsets.
LSTM	Moderate RMSE but unstable MAPE across subsets	Struggles with generalization and precision.

Table 6: Comparison of Models

In the subset with all parameters removed (minimal features), Linear Regression emerged as the best-performing model, consistently outperforming all others across key metrics such as RMSE, MAE, and MAPE. While ANN and CNN showed reasonable performance, XGBoost struggled significantly with MAPE, highlighting its instability when features were limited.

For the subset with all parameters included (full features), Linear Regression was again the clear winner, achieving the lowest RMSE, MAE, and MAPE. CNN demonstrated consistent results across metrics but was not as competitive as Linear Regression. The inclusion of all features appeared to benefit most models, showcasing the importance of comprehensive data in enhancing predictive accuracy.

When few parameters were removed (quarter and weekend), the outcomes were quite similar to those of the full-feature subset. The model still worked well with most of the features as this subset also showed high accuracy. The other models like ANN and CNN did a reasonable job but not better than Linear Regression in terms of accuracy.

In conclusion, Linear Regression was the best model overall in all subsets because it had low RMSE, MAE and MAPE. XGBoost and ANN are promising, but they are unstable in MAPE and are not as reliable in some situations. It is clear that more features improve performance for all models, while the minimal feature subset shows that Linear Regression is simple and robust, with high accuracy even with fewer parameters.

D. Parameter Analysis Artificial Neural Network (ANNs)

The importance of key features and parameters in ANNs cannot be overemphasized as they determine the overall performance of the model. This analysis presents an in-depth insight into how the relevance of features and hyperparameter settings work together to achieve the best results.

The accuracy of an ANN is greatly dependent on the quality and the relevance of the input features. Such features include deaths_new, cases_active and deaths_unvax because they are in direct relation to the trends of mortality and active cases which the model is trying to predict (cases_new). Temporal variables like month, day and is_weekend capture seasonal and behavioral trends and hence provide important information to the model. On the other hand, poor or superfluous features increase the noise, which negatively affects the accuracy. Low variance or weakly related features to the target variable are not useful while multicollinearity (high correlations

between features) distorts the model's ability to identify the importance of individual features, resulting in poor learning.

The effectiveness of the ANN is also determined by its architecture, and some features are critical when designing the network. The most important variables are the number of hidden layers and the number of neurons in each layer because they define what complex relationships the model can learn. Increasing these in a free and unregulated model will improve the capacity of the model but also the risk of overfitting. The ReLU activation function was chosen for hidden layers to deal with non-linear relationships and a linear activation function was used in the output layer as it is a regression problem. Also, the learning rate was adjusted (for example, 0.001) to achieve a good trade-off between the rate of convergence and the avoidance of oscillations in the search for the optimum.

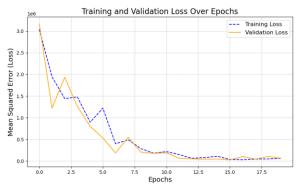


Figure 27: ANN Training & Validation over Epochs

Convolution Neural Network (CNN)

The filters in a Conv1D layer represent how many different feature maps this layer will learn from the input data. The filters are mainly used for extracting features such as edge and shape from the current time-series data. The more the number of filters used in this model, the more efficient the model becomes at capturing complex and varied features, and hence learns to identify detailed patterns in the data. However, with the increase in the number of filters, the number of parameters in the model also increases, and if the dataset is not sufficient to support the complexity, it may result in overfitting. Therefore, it is significant to use an appropriate number of filters to extract relevant patterns without impairing the model's ability to generalize to new data. In the current case of time-series forecasting, different filters assist the model in identifying aspects like periodicity and anomalies in the data

Moving on to the kernel size, it is another important parameter that describes the width of the sliding window in the convolutional layer. For instance, a kernel size of 3 indicates that each filter examines a sequence of 3 consecutive time steps in the input data. The kernel size has a significant impact on the resolution of each specific data that the CNN model can learn to capture. A smaller kernel size of 3 or 5 is effective in identifying fine-grained and local patterns, while a larger kernel size can be better at capturing long-range patterns. Therefore, it is important to choose an appropriate kernel size to learn significant trends and relationships between the time steps in time-series data. A kernel size of 3 is a good balance because it enables the model to detect local temporal patterns without overfitting to short-term noise.

As shown in Figure 30 below, both the kernel size 3 and 5 obtain high R² values, which indicate that the model performs well on the testing set. However, it has been found that the model with the kernel size of 3 got a higher R² value of 0.9696. This proves that using a smaller kernel size is better at extracting relevant features from the data since the R² values are closer to 1.

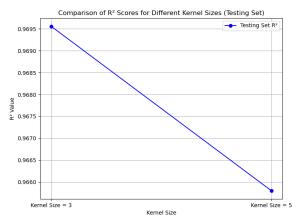


Figure 28: Line Chart of R² Scores with Different Kernel Size

<u>K-Nearest Neighbours (KNN)</u>

The performance of the K-Nearest Neighbours (KNN) algorithm is highly dependent on the quality of input features and the proper tuning of hyperparameters. This analysis explores the significance of these factors and how they influence the model's ability to make accurate predictions.

KNN is a method used to select k nearest neighbors based on calculating distances in the feature space, and these neighbors are employed for classification or regression. Features such as deaths_new, cases_active, and deaths_unvax are cases (cases_new). Very essential features like deaths and time affect the model's performance, as they explain the disease's incidence among unvaccinated individuals. However, noise and redundant features—such as month, day, and is_weekend—can distort the model's direction, hindering its understanding in worst-case scenarios. Low-variance or irrelevant features may reduce the model's overall effectiveness. The method is therefore used for classification or regression, selecting the most useful features for capturing the evolution of cases.

Additionally, hyperparameters like the number of neighbors (k) play a pivotal role in KNN. A smaller k value increases sensitivity to local patterns, which can improve predictions for highly non-linear data but may make the model prone to noise. On the other hand, a larger k smoothens predictions by averaging over a wider neighborhood, reducing variance but potentially overlooking finer details. In KNN, identifying relevant and meaningful features is critical, as the algorithm relies on calculating distances in the feature space. Features such as deaths_new, cases_active, and deaths_unvax are particularly important, as they provide direct insights into mortality trends, active case counts, and vaccination status, which are key indicators for predicting new cases (cases_new). Temporal features like month, day, and is_weekend are valuable for capturing seasonality and behavioral trends that influence case numbers. However, irrelevant or redundant features can introduce noise, adversely affecting model performance by skewing distance calculations. Features with low variance or minimal correlation to the target variable contribute little to predictive accuracy. Additionally, multicollinearity—high correlation among features—can distort the model's overall effectiveness.

The choice of distance metric, such as Euclidean or Manhattan, also impacts the algorithm's ability to measure similarity effectively. Euclidean distance is commonly used but may not perform well if features have different scales, making normalization essential. Weighting schemes, such as uniform weights or distance-based weights, influence how much impact each neighbour has on the prediction. Using distance-based weights ensures closer neighbours have a greater impact, which can improve accuracy. The hyperparameters in KNN play a pivotal role in its performance. Another important consideration is featuring scaling (e.g., normalization or standardization), since KNN is highly sensitive to the scale of input features. Without proper scaling, features with larger ranges may dominate distance calculations, reducing the impact of other, potentially more important, features. Cross-validation is employed to test different values of k and distance metrics, ensuring the chosen hyperparameters balance bias and variance effectively.

In conclusion, the performance of KNN depends heavily on the selection of relevant features and the tuning of hyperparameters such as k and the distance metric. Proper preprocessing, including feature scaling and normalization, is essential to ensure accurate distance calculations and meaningful predictions. By leveraging the

most relevant features and optimizing hyperparameters, KNN can deliver reliable and interpretable results for predicting new COVID-19 cases.

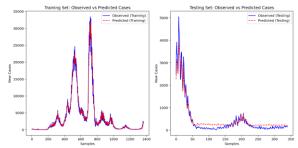


Figure 24: Training and Testing for KNN

Linear Regression

The simple yet very sensitive Linear Regression algorithm is described below in relation to its predictive accuracy. The performance of the model in Linear Regression depends on the choice of relevant features and the tuning of hyperparameters, which can significantly affect its accuracy. Features such as deaths, active cases, and deaths among unvaccinated individuals are probably the most important predictors, as they have a linear relationship with the dependent variable (cases_new) and can capture COVID-19 trends, including seasonal effects, mortality, and active cases. Temporal features like month, day, and is_weekend are also valuable for capturing patterns in disease prevalence and severity that vary over time. Since linear regression models assume that the relationship between independent variables and the dependent variable is linear, it is important to remove highly correlated or redundant features, as they may lead to multicollinearity, which can result in unreliable estimates.

Hyperparameter tuning of the model's coefficients is not typically needed for Linear Regression, as the model is simple, and its coefficients are not complex. However, it is possible to improve model generalization by using techniques like Ridge (L2 regularization) and Lasso (L1 regularization), which help prevent overfitting, especially when there are many features or when the data is noisy. These techniques are added to the cost function as a penalty term. Ridge regularization penalizes the sum of the squared coefficients, while Lasso regularization penalizes the absolute value of the coefficients. The strength of regularization is controlled by the alpha parameter, meaning that the amount of penalty applied during training is determined by the alpha value. With the alpha value, the model can select a point that fits the training data well and also generalizes well to data that has not been seen before.

The performance of the Linear Regression model is usually checked using measures like Mean Squared Error (MSE) or R-squared (R²). These values help assess how accurate the predictions are and how much variance in the data is explained by the model. Cross-validation is used to identify the optimal alpha value that minimizes error and avoids overfitting. In short, with proper feature selection and appropriate application of regularization, Linear Regression can be a very useful method for predicting new COVID-19 cases.

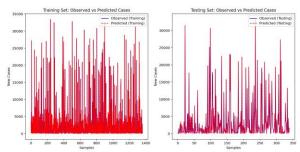


Figure 25: Training and Testing for Linear Regression

Random Forest

Random Forest is a type of algorithm which makes decision based on the decision trees by relying heavily on the selection of input features and also the fine-tuned hyperparameters. For instance, using the suitable input features like cases_active and deaths_new is crucial as it shows the information like the current state of the pandemic. This step allows the model to understand the mortality trends, current active cases and also the vaccination status of the patients. Next, temporal features like day, month and is_weekend is also useful as it helps in capturing the human

behaviours and seasonal patterns of the COVID-19 cases. Involving critical features are also beneficial in training and testing of a Random Forest algorithm as it exhibits high variance and strong predictive value for the target variable. Next. feature selection techniques can also be included in this algorithm to prevent all the unnecessary features like noise.

The key hyperparameters in a random forest will the number of trees, maximum depth of each tree and also lastly the number of features to be considered in splitting in each node. The more rows in a dataset, the higher the number of tress needed. It helps to control the overall size of the ensemble and leads the model to perform better by reducing the issues overfitting, which then lead to a result of high variance. Moving on, the maximum depth of each tree also limits the growth of the individual trees by controlling the model complexity. Utilizing a right value for depth of each tree helps to avoid the building of a complex and high computational model. For example, if the value of the maximum depth is deep, the model may overfit. Likewise, if the value of the maximum depth is deep, the model may underfit.

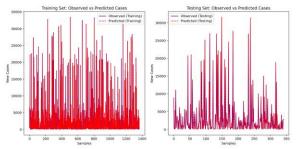


Figure 29: Training and Testing for Random Forests

LSTM

The feature selection and suitable hyperparameter choice are the main core of an LSTM especially for time series prediction. Variables like "deaths_fvax" and "deaths_unvax" might influence the target variable, and the relevance of the selected features is directly related to how well the model can learn from hidden and complex pattern. By using the right features, LSTM could easily achieve deep understanding in predicting COVID-19 cases. Other than that, temporal features like "day", "month" and "week_of_year" helps to capture seasonal and cyclic patterns, while "is_weekend" reflects the changes in the behavioural pattern of the testing trends and population mobility. The "cases_active" is considered important it allows the model to learn short-term dynamics relevant for time-sensitive predictions.

Moving on, MinMaxScaler is a tool used to normalize the features of the datasets as it makes sures that all the variables are scaled to a similar scale, enhancing the efficiency in which the model learns. Hyperparameters such as timesteps number will determine how much history will be in every prediction. For example, the information for the past 10 days as the timestep. Next, the implementation of right number of layers and neurons is crucial as it determines the capability of the LSTM to learn various complex relationships. A two-layer structure with 128 and 64 units allows the model to understand the high-level and finer-grained temporal patterns of the datasets. Next, the dropout layers prevent issue like overfitting at train time by randomly shutting off some of the neurons, allowing the model to generalised better to new and unseen data.

The "Adam" an optimizer is included as it defines how much the model could update its weight during the training process. There is a trade-off between computational efficiency and convergence speed. The loss function and mean squared error fits the regression tasks more efficiently since it punishes higher mistakes more severely. This combination of features has clearly functioned well on LSTM as it can be shown from the Figure 32 below. The figure shows the graph comparing the actual COVID-19 values versus the predicted values using the LSTM model. It can be seen that the model has effectively captured the trends but underestimates the peaks. Hence, it can be concluded that the model is highly suitable for time-series prediction, with only minor deviations during volatile periods.



Figure 30: LSTM Testing Data against Actual Covid Cases

XGBoost

This model was configured carefully by using selected set of parameters to optimize its performance for the regression task. The objective parameter was set to "reg:squarederror" as it helps to make sure that the model is tailored to predict the continuous numerical values. One of the evaluation metrics used was Root Mean Squared Error (RMSE) as it calculates an average magnitude of errors between the actual and predicted values. A result of lower RMSE reflects higher model performance and serves as a key metric for early stopping and determining the most optimal number of boosting rounds.

Performance of XGBoost heavily depends on the relevance of the input features. For instance, the variables like deaths_new and cases_active are important because they directly reflect on the trends in active cases and population mortality rates, which are key predictors of new COVID-19 cases. Next, the temporal features like "month", "day", and "is_weekend" capture the seasonal patterns, providing valuable context for model to produce a more reliable and accurate predictions. By utilizing these features, this model can easily detect shifts in case trends and align predictions with real-world factors especially public holidays. It is necessary to identify the redundant or irrelevant features as they may introduce noise that will influence the model's predictive accuracy.

Moving on, the configuration of hyperparameters in XGBoost helps to balance the model's capability in understanding and learning the dataset. The number of maximum tree depth is one of the critical factors as it directly acts on the model's complexity and generalization capabilities. As shown in the Figure 33 below, the relationship between tree depth and R² scores reveals deeper trees will maximise the model performance. It it shown that the training and testing R² results improve significantly up to a depth of 4-6, where the testing R² plateaus. Beyond this range, the training R² approaches 1.0, indicating perfect training fit, while the testing R² shows minimal or no improvement, signalling overfitting.

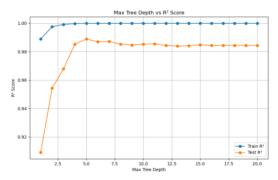


Figure 31:Max-depth Against R² Score

Hence, the number of maximum tree depth was capped at 6 as it achieves an optimal balance between capturing complex patterns while avoiding overfitting. The model is able learn gradually by setting the learning rate to 0.1 as it ensures a stable learning progression while avoiding the risk of overfitting. These comprehensive tuning of hyperparameters allows XGBoost to generalize well while exploiting the depth of trees to obtain the complicated patterns without succumbing to noise nor overfitting. Other than that, the subsampling and column sampling rates were set to 0.8 as it allows each boosting round, and tree utilises random subsets of data and features. This randomness not only reduces overfitting but also enhances model robustness and diversity.

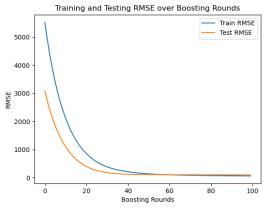


Figure 32:XGBoost Training and Testing RMSE

As shown in Figure 34, it reveals the relationship between number of boosting rounds and the Root Mean Squared Error (RMSE) for both training and testing datasets in the model. Initially, RMSE decreases rapidly as the model learns from the data, with significant improvements observed in the first 40-60 boosting rounds. After this point, the reduction slows down and the curves have turned flat, showing that the diminishing gains from additional boosting. While the training RMSE continues to decrease, the testing RMSE stabilizes, highlighting the importance of early stopping to prevent overfitting. This balance ensures optimal model generalization without compromising its ability to capture underlying patterns.

GitHub Link

https://github.com/MafiaBossQQ/CovidPredictionDM.git

YouTube Link

https://youtu.be/6qzhKbLZbCE?si=PmI0EC4gqRgpxbSk

VII. RESEARCH DIRECTIONS AND FUTURE WORK

Future research can be used to address various type of limitations in this study which helps to enhance the predictive accuracy and robustness. One of the promising directions is the development of hybrid models by combining the strengths of each deep learning techniques, for instance ANNs and CNNs. These hybrid models could increase the accuracy of predicting the COVID-19 cases. Next, creation of real-time prediction frameworks can also be considered as it promotes the processing of streaming data. Such systems allow timely and accurate prediction during ongoing pandemic, making it an important utility for public health interventions. Transfer learning is also one of the methods that can be done in this study as it offers pretrained models to be fine tuning for certain tasks, especially when dealing with smaller datasets.

Based on the results of each model, several areas of investigation can be further done to improve the overall applicability and performance of the predictive models used. By increasing the number of training epochs for models like ANNs and CNNs, the convergence rate will be increased, provided the regularization techniques are applied to avoid issues like overfitting. Moving on, other machine learning models like Long Short-Term Memory (LSTM) and Gated Recurrent Units (GRU) can be implemented due to their capability in time-series forecasting. Next, utilizing huge datasets by including different features like regional variations and different phases of the pandemic will significantly improve the generalization and predictive accuracy of the models.

Other than that, advanced feature engineering by considering external factors like vaccination rates, government policy changes or even weather conditions, which could highly influence the COVID-19 trends. Explainable Artificial Intelligence (XAI) methods should be incorporated into these predictive models as it helps to make sure the results are interpretable for healthcare professionals and policymakers. It is a method that allows the human users to understand and trust the output created by the machine learning algorithms. Furthermore, fine-tuning the hyperparameters for models like Random Forest and XGBoost helps to optimize the performance of each model. For instance, adjusting the number of trees, learning rate and also kernel size. By considering and addressing all these directions in future work, the reliability and applicability of the predictive models could be easily improved.

VIII. CONCLUSION

In summary, the COVID-19 pandemic causes various number of challenges to the global healthcare systems, necessitating accurate prediction models for daily new cases to effectively make plan interventions, assign necessary resources, and also improve the public health policies to achieve specific healthcare goals. It is crucial to understand current situation and predict the COVID-19 cases as it helps to mitigate the impact of the virus on public health and economic stability. This study includes a comprehensive dataset collected from three different sources such as regional COVID-19 statistics, vaccination data, and lastly the demographic information. The raw dataset has been pre-processed in order to make it suitable for further analysis. For instance, the missing values were addressed, inconsistent entries were resolved, and the outliers were managed to ensure data integrity.

In order to tackle the challenges of predicting COVID-19 cases while having high reliability results, various machine learning models were employed and evaluated. The models include Artificial Neural Networks (ANN), Convolutional Neural Networks (CNN), Linear Regression, Random Forest, XGBoost, K-Nearest Neighbours (KNN), and lastly Long Short-Term Memory (LSTM). These models were selected due to their ability in handling high-dimensional, time-series, and tabular datasets. The main objective if this study is to determine which model is most suitable in predicting daily new COVID-19 cases by developing a robust predictive framework. This process involved the identification of relevant features through feature importance analysis process. This is to maximize the predictive performance of each model, evaluate the impact of feature selection, and record the results of each machine learning techniques to identify the most effective model.

Based on the R² values alone, the ANN model emerged as the most effective among the tested methods, achieving an impressive R² score of 0.9614, the lowest RMSE, and competitive MAPE values. However, when all four-performance metrics are considered, Linear Regression outperformed other models, including ANN. Other than that, the best-performing feature subset excluded the variables like "quarter," "is_weekend," "deaths_vax," "day," and "holiday." This highlights the importance of feature selection analysis in boosting each model performance by removing the less impactful variables, resulting in a more robust framework in capturing the underlying and complex patterns while explaining variability in COVID-19 case trends effectively.

As a results, this research lies in its potential to support the current pandemic management through accurate prediction of daily new COVID-19 cases, allowing more informed decisions on resource allocation, vaccination strategies, and also containment measures. Beyond COVID-19, the methodologies and insights can be adapted for other public health challenges, emphasizing on the transformative potential of data mining and machine learning in addressing global crises and fostering resilience in healthcare systems.

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