February 10, 2014

A Dynamic Monitoring Component of a Data Flow testing tool

Simone D'Avico

Abstract

This bachelor project focuses on the design of an automatic tool for data flow coverage computation. Data flow coverage criteria are a promising technique that tracks covered data flow relations in the code to assess the quality of a test suite and to steer test case generation. Data flow criteria have been theorized to be more effective than simpler criteria like statement and branch coverage, but as of today their applicability is limited by the complexity required to compute the coverage measure, that in the lack of automatic tools have to be done manually.

In this project I designed, developed and tested a component of an automatic data flow testing tool called DaTeC, to provide a new efficient tool for computing data flow coverage for java programs. More specifically, I focused on the implementation of a dynamic runtime monitor component for the coverage computation, and then I carried on the validation activity of the tool verifying its efficiency and correctness.

The result of the project is a efficient a reliable tool, that I am going to release online to help researchers and practitioners experimenting with data flow criteria, since up to now, DaTeC is the only available data flow testing tool for object oriented systems.

Advisor Prof. Mauro Pezzé Assistant Mattia Vivanti

1 Introduction

Software testing is the prevailing activity for software quality assurance in most industrial settings. Software testing consists in generating a set of representative inputs and oracles, which are exercised in order to compare the outputs against the specifications to assess correctness. One of the intrinsic challenges of testing is to evaluate the *adequacy* of a test suite, that is, establishing whether a test suite exercises the software to a sufficient extent, or has to be augmented with the definition of new test cases. Deciding whether a software has been tested enough is challenging: Ideally, a test suite should be considered adequate when able to reveal all the faults in the program under test, but without knowing in advance the faults present in the code (that is the information that you are investigating with testing), it is impossible to precisely evaluate the thoroughness of a test suite. Thus, researchers proposed different techniques that approximate the thoroughness of a test suite, using other sources of information than the number of detected faults.

These approaches are either based on the specifications or on the structure of the software under test. *Functional techniques* approximate the thoroughness of a test suite by measuring the relative amount of exercised functionality with respect to all the functionalities written in the specifications, while *structural techniques* look at the fraction of executed code entities with respect to their totality.

In this project I focus on *structural techniques*. These techniques starts from the observation that to effectively reveal a fault, a test suite has to execute the code entities that contain the bug and lead to a failure. Thus, structural adequacy criteria consider a test suite to be adequate only is it is able to exercise the majority of code entities in the program under test. These code entities could be simple elements like statements and branches, or more complex entities such as paths of the control flow graph.

In the last decades control flow-based criteria, that are criteria that requires the execution of elements of the control flow graph, such as statements and branches, got more and more popular and nowadays they are becoming a standard in many companies and in open source projects. This class of criteria has been the first one to be acknowledged by practitioners, and a crucial factor in their establishment has been the diffusion of robust and efficient tools to automate the coverage computation.

Besides control flow-based criteria, a promising class of criteria is data flow ones. Data flow criteria use data flow analysis techniques to identify possible data propagation and usage in the program under test, and then approximate the thoroughness of a test suite as the number of covered data relationships at runtime (for instance, pairs of definitions and uses of the same variables). The rational of data flow criteria is that to reveal a fault, is necessary not only to execute the faulty line of the code that perform a faulty computation, but also a subsequent use of that value that lead to a detectable failure.

However, while data flow criteria have been suggested to be more effective than control flow ones, they are rarely used in practice. The major problem is that testers have to put very high effort in checking data flow coverage of test cases. Intuitively, it is more difficult to check if a test case exercised a variable definition as well as a use of that variable at another place, rather than just having to check the coverage of single statements and branches. This emphasizes the importance of automated tool for coverage computation — however, most existing coverage tools target either statement or branch coverage, not tracking data flow coverage.

The production of tools which can automate data flow coverage computation and guarantee robustness and efficiency as it already happens for control flow criteria is fundamental in the interest of diffusion and study of data flow testing. To this end, in my bachelor project I designed and implemented a component for the computation of the achieved data flow coverage of a test suite by means of dynamic analysis at software execution time.

In this report, I introduce some background concepts necessary in order to understand the purpose of the tool I implemented, and I describe the tool's implementation and evaluation. Section 2 introduces the goal and the challenges of the project; Section 3 introduces some concepts regarding data flow analysis and criteria; Section 4 addresses the tool's implementation, and Section 5 discusses the evaluation; finally, Section 6 concludes.

2 Goal and Challenges

In my bachelor project I designed, implemented and tested a dynamic monitor component for a data flow testing tool. The tool's purpose is the computation of the fraction of definition-use pairs covered during the execution of a test suite. To do so, the tool in question depends on two different components. The first one performs a static analysis on the program under test to compute the *coverage domain*, that is, all the possible definition-use pairs present in the software. The second component then performs dynamic analysis during the execution of a test suite to check how many of the detected definition-use pairs were covered by the test suite.

My work focused on the design, implementation and testing of the latter component: I was provided with a data flow analysis tool for Java called DaTeC[3], and I was asked to implement the component that computes how many of the definition-use pairs identified by DaTeC were covered during the execution of a test suite. Figure 1 summarizes the components and outputs of the data flow testing tool.

The main goal of this project is to complete the implementation of the data flow testing tool, still ensuring a high degree of modularity and flexibility. The coverage computation component has to interface with the output of DaTeC, but has to be independent and flexible to changes done to the static analysis component that, being a research tool, is frequently modified.

The first challenge that I had to face was the acquisition of the theoretical knowledge about data flow testing, as these topics are not usually covered during Bachelor studies. The second one was the study of the already implemented component for static analysis, as I had to interface my implementation with the output produced by the static analysis.

DaTeC's static analysis component is not the only component my tool has to interface with, as dynamic coverage analysis requires a way to trace method entry and exit points as well as variable definitions and uses at runtime. To this extent, one challenge had been to choose a technique to perform code instrumentation from a range of candidate ones, evaluating them from their functionalities, performance, usage complexity and compatibility with a modular design.

Another challenge of integrating a new implementation with an existing one is the definition of a common data format. In this context, static and dynamic information are computed using different techniques and tools, using different formats and level of abstraction. Therefore, another challenge was the definition of a strategy to manipulate these formats to achieve compatibility without actually coupling the implementations.

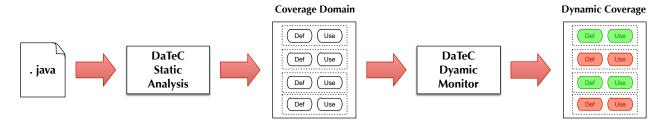


Figure 1. Exemplification of the components' role in coverage computation

The last concern was performance and scalability, as projects could come with hundreds of test cases that produces hundreds of executions. Thus, it is important to limit the overhead of the tool to keep the execution time of big test suites within a reasonable amount of time. This is particularly challenging due to the fact that instrumentation is expensive, and that big projects have thousands of data flow elements to check.

The huge amount of analyzed data also posed a challenge in regards to the evaluation and verification process of the tool, as manually computing DU coverage is feasible only for smaller test suites, but still hard and time consuming.

3 Data Flow Analysis and Criteria

3.1 Overview

Data Flow models were originally developed in the field of compiler construction. They focus on transmission of information through program variables, and emphasize relations involving transmission of informations. The most fundamental class of data flow model study the propagation of definitions of values (*reaching definitions analysis*), striving to capture the flow of data through a program.

Such analysis techniques and models have been used lately in the context of software testing. In particular, they have been used to approximate the thoroughness of a test suite exploiting the associations between definitions and uses of the same variable. This approach should raise the probability of revealing a fault in the program, unveiling bad computations by enforcing the use of all defined variables. Moreover, it provides a complementary view to the classical structural approaches, emphasizing relations involving transmission of informations.

3.2 Definition-use pairs

A definition-use pair (DU pair) is defined as a pair of two program points, where the definition is the point in which a variable is assigned a new value and the use is the point where that value is extracted. A definition of a variable is paired with the use of the same variable only if exists at least one path where the definition can reach the use without being overwritten (killed). Such a path is called *definition-clear path*. More formally:

Definition: A DU pair can exist for some variable v, iff there is at least one definition-clear path from the point of definition v_d to the point of use v_u . In this case, we can define v_d as a reaching definition at v_u .

3.3 Data Flow Testing Criteria

Definition-use pairs can be used to define different test requirements and coverage criteria, in order to approximate the thoroughness of a given test suite. Herman [5] defined one of the earliest and simplest criteria, the *all definitions/uses coverage adequacy criterion*. This criterion requires pairing each variable definition with at least one use, or viceversa. The performed coverage is described by the formula

```
C_{defs} = \frac{\text{number of covered definitions}}{\text{number of definitions}}
```

Later, several data flow testing criteria where formally defined by Rapps and Weyuker first [8], and Clarke et al. in a second time [2]. These criteria require the coverage of definition-use pairs in different ways, featuring different levels of complexity. As of today, the most popular data flow criteria is the *all DU pairs adequacy criterion*, which requires each DU pair to be covered in at least one program execution. In this case, the test suite will be adequate iff for each DU du pair there is at least one test case covering du. The corresponding coverage measure is the proportion of covered pairs:

```
C_{DUpairs} = \frac{\text{number of covered DU pairs}}{\text{number of DU pairs}}
```

There is also an extension of the all DU pairs coverage, called *all DU paths adequacy criterion*. This criterion requires each non-looping DU path to be traversed at least once, in order to cover all possible ways of pairing definitions and uses. This criterion can sometimes reveal faults by exercising a path on which a definition of the variable is missing. Listing 1 better exemplifies the different approaches of the DU pairs criterion and the all DU paths criterion:

```
public void foobar(){
  int a = 0;
  int b = Random.nextInt(2);
  if(b%2 == 0) somethingEven();
  else somethingOdd();
  int c = a;
}
```

Listing 1. Simple branching code

While the all DU pairs criterion would require only the coverage of the pair described by the definition of a at line 2 and its use at line 6 independently of the subsequent branch, the all DU paths criterion requires that both the if and the else paths are exercised.

In this case, a test suite is considered adequate iff, for each non-looping path dp of the program, there is at least one test case that covers a path that includes dp. The coverage measure deriving from this criterion is:

$$C_{DUpaths} = rac{ ext{number of exercised non-looping DU paths}}{ ext{number of non-looping paths}}$$

Unfortunately, although in the average case the number of DU paths is quite modest, it can be exponential in the size of the program in the worst case, especially when it contains many control paths. In many cases, this criterion is too costly.

Under these premises, we decided to focus on the all-DU pairs adequacy criterion, as it is the most popular one and features an average level of complexity.

3.4 Limitations

Being a static analysis technique, data flow analysis is an approximation of the program behavior at runtime. As such, it suffers from imprecision when dealing with dynamic access to storage or dynamically allocated storage. For example, in the case of arrays, it is generally not possible to determine if two accesses refer to the same location (see Listing 2). The same problem occurs when dealing with references, as two different names could refer to the same storage location.

```
a[i] = 2

b = a[j]
```

Listing 2. Are these two lines a DU pair?

How to treat these aliases depends on the use of the analysis results. In some cases, some degree of approximation in dealing with this kind of problem may be preferable to very expensive analysis. I observed this problem in my implementation because, in the case of arrays, DaTeC treats every write to an index as a definition of the array, and each access to an index as a use.

Another limitation of data flow criteria is their applicability: even though data flow test techniques have been suggested to be effective, they are limited by the difficulty of generation of test suites which can guarantee good coverage, and a not so clear understanding of the scalability of the technique in practical approaches. All these factors lead to a lack of tools that apply data flow coverage techniques.

3.5 Data flow testing of classes

Data Flow testing was originally defined for procedural programs. Later studies [1],[4],[7] showed that data flow testing is particularly suitable for object-oriented programming because object oriented logic focus more on data interactions and dependencies than complex intra procedural logic. In that context, DU pairs can occur not only within the same method, but also across methods, requiring test cases that exercise classes more meticulously than control flow criteria. More specifically, for object oriented data flow we can distinguish between *intra-method* and *inter-method* DU pairs:

- *intra-method* DU pairs: the definition and use of a variable occur within the same method, and the pair is exercised during a single invocation of the method;
- *inter-method* DU pairs: The definition and the use of the same variable are in different methods, and the path from the definition to the use can be found by following the method invocations in the control flow graph;

Harrold and Rothermel [4] extended this approach by considering the data flow through the fields of an object in case that the public method of a class are called in arbitrary order. This is particularly important for isolated classes in which the behavior of a method may depend on the fields' current state. As an example, consider class TicketClerk (Figure 2):

In the example, there is a definition of instance variable payedAmount in method getTicket(); however, this definition will occur only if method pay() has been previously called and the payed value is greater than the cost of the ticket.

```
public class TicketClerk {
                                                  public class TicketClerk {
2
     private float payedAmount;
                                                  2
3
                                                  3
     public TicketClerk(){
4
       payedAmount = 0;
                                                       public Ticket getTicket(){
5
                                                  5
6
                                                         if(payedAmount >= Ticket.cost()){
                                                  6
                                                           payedAmount = 0;
7
                                                  7
     public void pay(float amount) {
                                                           return new Ticket();
8
       payedAmount += amount;
9
                                                  9
                                                         } else
                                                        throw new InsufficientAmountException();
10
                                                 10
                                                       }
11
                                                 11
12
                                                 12
  }
13
                                                 13 }
```

Figure 2. Behavior of getTicket() depends on previous calls to pay()

To address this problem, Harrold and Rothermel defined a new category of DU pairs, intra-class DU pairs:

• *intra-class* DU pairs: The definition and the use of the same instance variable are in two different methods, and the path from the definition to the use can be exercised if the two methods are invoked one after the other.

Intra-class data flow is the most interesting for the purpose of object-oriented testing and are the coverage targets that are computed by our data flow testing tool.

4 A Dynamic Monitoring Component of a Data Flow testing tool

In this project I designed, implemented and tested a component for a data flow software testing tool for Java programs called DaTeC.

Figure 3 summarizes the behavior of the data flow testing tool: the Static Analysis component, that was already implemented, statically analyzes source code to identify the data flow test requirements, and saves them in a MySql database. The Dynamic Monitor component I implemented then reads and interprets the data from the database, and during the execution of a test suite of the analyzed project, checks which data flow test requirements have been covered, producing a final report at the end of the execution.

This section describes the challenges, design and implementation choices of the Dynamic Monitor component.

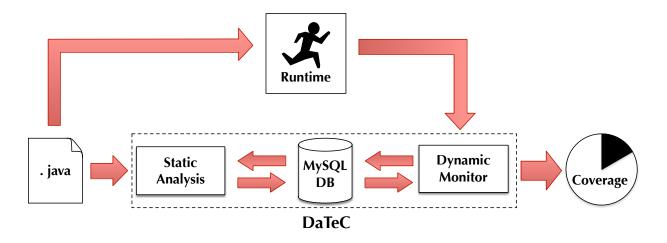


Figure 3. Implementation of the data flow testing tool

4.1 Overview

The Dynamic Monitor performs three main steps to compute the data flow coverage of a given test suite:

- 1. Reads and interprets the data flow test objectives identified by the static analysis from the database;
- 2. Instruments the program under analysis and dynamically monitor the execution to identify covered definition-use pairs;
- 3. Updates the coverage information of the data flow test requirements identified statically and return a final report of the execution.

The dynamic monitor exploits modular design (Figure 4). *StaticDB Interface* carries out the import and manipulation of the static analysis results. *Active Fields Manager* instruments the program and monitors covered definition-use pairs. Finally, *Report Manager* handles the presentation of the results in a format chosen by the user. The role of each module will be discussed in relation to the function it carries out in the next sections.

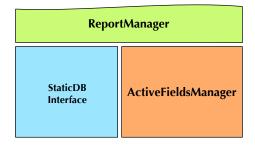


Figure 4. Modules of the Dynamic Monitor

4.2 Static analysis results management

The StaticDB Interface module performs the first step in the computation of the dynamic coverage, that is, the import of the results of the static analysis performed by DaTeC. In order to maintain an high degree of flexibility, I wanted to represents definitions, uses and associations between pairs as objects that could allow easy and clear comparison and retrieval.

This was the main challenge in the implementation of this component, because the format in which the data is saved into DaTeC's database is less refined than what I needed to create object from it right away. More specifically, DaTeC stores the results of the analysis in a MySQL database, in three tables: one for variable definitions, one for uses, and one for the associations defining the pairs. For each field, the name, the class, and the *context* (i.e., the chain of methods that leads to a definition/use) are stored as raw strings:

completeName	defContext	
<pre><inits.foo: i="" int=""></inits.foo:></pre>	<pre><inits.subfoo: <init="" void="">(int)>[8]<inits.foo: <init="" void="">()>[</inits.foo:></inits.subfoo:></pre>	9]

Table 1. An example row of the definitions table

The example of Table 1 illustrates the definition of field i of type int belonging to class inits. Foo. This field has been assigned a value through means of the constructor of class inits. SubFoo, which, at line 8, calls the constructor of class inits. Foo. The actual definition occurs at line 9 of this constructor.

As this format is not ideal for manipulation in a complex OO program, I needed to complement the import of data with additional parsing functionalities. This choice was enforced by the fact that DaTeC uses canonical method signatures, while the tool I exploited to perform dynamic instrumentation (see Section 4.3.1) provides the informations about traced methods in the compact JVM format¹. In light of these limitations, the most convenient course of action was to parse data imported to the database, and transform it accordingly.

```
inits.SubFoo: void <init>(int) => inits/SubFoo: <init>(I)V
inits.Foo: boolean bar(String[],int) => inits/Foo: bar([Ljava/lang/String;I)Z
```

 $\textbf{Listing 3.} \ \textbf{Examples of transformation from canonical to compact form.}$

In order to do so I implemented two interfaces, FieldParser and MethodParser. The database interface passes to FieldParser one row at a time; the parser creates a Field object out of it, and delegates to MethodParser the manipulation of the field's context. MethodParser uses a combination of regular expressions and the Guava Libraries² to manipulate the context raw string and transform it in a list of Method objects, in order to guarantee good performance. As I import the data, I also create create a ContextGraph. The ContextGraph is a *multigraph* (i.e., a graph in which more than one edge between two nodes is allowed) where each node represents a method of the analyzed program in which at least one definition or one use takes place; it is implemented as a multigraph because there could be multiple call relationships between two methods. The nodes contain all the definitions/uses that occur in that method, and they are connected by directed, valued edges that contain the line number at which the destination method is called. This will be useful at the time of identification of the active fields.

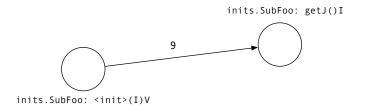


Figure 5. Example: the constructor of class SubFoo calls method getJ at line 9.

¹http://docs.oracle.com/javase/1.5.0/docs/guide/jni/spec/types.html#wp276

²https://code.google.com/p/guava-libraries/

This implementation also accounts for the fact that the Static Analysis component is still a work in progress, and, as such, the format of the database may change: the aforementioned interfaces are implemented in two classes, DatecFieldParser and DatecMethodParser, which can parse the current version of the database output. Nevertheless, if a different version was needed, it would be enough to write new classes implementing the interested interfaces and specify their name in the tool's properties. The tool will then take care of exploiting the specified implementation through reflection.

For this part, the last concern was performance, because connecting to a database to retrieve the data and then parse it are resource intensive and time consuming operations, even when done efficiently. Moreover, there could be cases in which a user could perform more than one test on the same Java program. In this case, performing again the static analysis and manipulation would be a useless waste. I addressed this concern by implementing an Extractor class: the class delegates to the database interface the import and manipulation of the data, and then serializes the produced collections. In case that the data in the database is not updated, Extractor avoids estabilishing a connection to the database, and just deserializes the existing data, resulting in increased efficiency.

4.3 Dynamic instrumentation and coverage

The Active Fields Manager module implements the logic for the main task of the component, the computation of the data flow coverage achieved by a given test suite. To achieve the task, this module carries out several computations. First of all, the module must be able to instrument the program under test in the critical points to trace not only definitions and uses of fields, but also the chain of methods that define their context. Secondly, the module has to identify at runtime which definitions and which uses are active and which are killed, so that covered pairs can be properly identified. After the identification of all covered pairs, the third module will take care of generating a report.

4.3.1 Dynamic instrumentation

In order to instrument the program in the interested points, I defined four events: definition of a field, use of a field, basic block entry and basic block exit. These events are implemented in four methods of class Recorder:

- public void onBasicBlockIn(...) is called upon entry in a basic block;
- public void onBasicBlockOut(...) is called upon exit from a basic block;
- public void onInstanceFieldPut(...) is called upon definition of a instance field;
- public void onInstanceFieldGet(...) is called upon use of a instance field.

These events acts as a layer on top of the tool I decided to use to capture information at runtime. This choice played a big role, as the component that collects runtime information is the critical part of the coverage computation tool in terms of performance; thus, it had to be performed carefully. I was presented with three popular solutions to trace the execution of a program in Java:

- 1. Use low level instrumentation libraries (e.g. ASM);
- 2. Use Aspect Oriented Programming libraries;
- 3. Exploit the Java Debug Interface (JDI);

The first solution offers the best performance, but at the same time is the most complex to use. The alternative solutions are simpler to use, but their performance are not as good as with ASM. Apart from the aforementioned approaches, recently researchers at USI proposed another technique for collecting runtime information of a program called DiSL [6].

DiSL is a framework for dynamic analysis that acts as a middleware between ASM and the user, allowing the definition of simple instrumentation rules through Java annotations, while also promising performance levels higher than those offered by aspect oriented programming and JDI. DiSL offers several predefined templates for instrumentations that I exploited for the analysis.

More specifically, the annotation describes the point in which DiSL performs code injection. Several parameters can be passed to the annotation, in order to define context information for the instrumentation. I illustrate how DiSL works using the example reported in Listing 4 that instrument all field definitions. This annotation injects code after (@AfterReturning) the bytecode region specified by the BytecodeMarker marker, which in this case captures the allocation of new objects. The annotation PutGuard allowed me to define special guard rules to filter out from the instrumentation objects I'm not interested in. Similarly, I specify a scope useful to restrict the instrumentation to specific packages. Finally, DiSL provides informations about the context of the instrumented code region through several parameters: I exploit LineNumberStaticContext, FieldStaticContext (providing fieldName(), isArray(), isPrimitive()...), MethodStaticContext (providing thisMethodName(), isPublic()...) and DynamicContext to access context informations and use them to match the information with the one computed by the static analysis.

```
@AfterReturning(marker = BytecodeMarker.class, args = "putfield",
guard = PutGuard.class, scope = Properties.SCOPE, order = 100)

public static void afterRetPutField(LineNumberStaticContext lnsc,
FieldStaticContext fsc, MethodStaticContext msc, DynamicContext dc)

{
    EventHandler.instanceOf().onInstanceFieldPut(...);
}
```

Listing 4. Instrumentation of definitions with DiSL

Each DiSL event corresponds to a call to different methods of the EventHandler interface. This interface acts as a communication layer between DiSL annotations and the four events I defined in the class Recorder. In this way, the tool remains independent from the dynamic instrumentation technology chosen.

4.3.2 Coverage

To compute data flow coverage at runtime, the tool must be able to trace which definitions are active or get killed during the execution, and match active definitions with executed uses. This means that, when a definition or a use occurs, the interested field has to be considered active, and the context in which the event occurred has to be registered. As the definition could be subsequently killed before its usage, in order for the coverage to be computed correctly, only the last definition must be marked as active. (Listing 5,6)

```
public class MathOps {
public class MathOps {
                                                          2
     private float median;
2
                                                          3
3
                                                          4
4
     public MathOps(){
                                                          5
                                                               public void getMedian(...){
       median = 0;
5
                                                                  median = computeMedian(...);
                                                          6
     }
                                                                  return median;
                                                          7
7
                                                          8
                                                               }
8
                                                           }
                                                          9
  }
9
                                                               Listing 6. Variable median is redefined (line 6):
      Listing 5. Variable median is defined (line 5).
                                                               this definition kills the previous one.
```

In order to easily identify active definitions and uses, the implementation exploits the ContextGraph built at import time. When I enter (or exit from) a basic block, I move accordingly in the graph, checking that the line number corresponds to the value of the edge and the destination node signature is the same as the destination basic block instrumented. On the other hand, when a definition or a use occurs, I match it against the static definition and uses present in that node, exploiting two informations: the field's name and the context. The context, that is the chain of methods which led to the definition or use, is obtained by tracking the traversed path inside the graph with a stack of the nodes: whenever I enter a method I push it in the stack, and whenever I exit from it I pop it. These two informations are enough to univocally identify the field.

The following step consists in marking the definition/use as active. In order to do so, I needed to track not only informations about the field and its context, but also identify the object that produced it. Otherwise, I could incorrectly cover some pairs that where not actually executed. In addition, I needed some data structure that could

allow me to write and retrieve active fields with little overhead. I achieved these objectives by implementing the main interface of this module, ActiveFieldsManager, which manages the registration of active definitions and uses in two ActiveFieldMaps. An ActiveFieldMap is like a Java Map, except from the fact that it supports not only 1-to-1 relationships, but also 1-to-many. This is due to the fact that in the same objects there could be many different definitions and uses.

When the tool registers a field's definition or use, it records it in the proper ActiveFieldMap with a mapping Object \rightarrow (Field, Context). Objects are uniquely identified by exploiting their identityHashCode³. This implementation allows me to quickly register fields as active under one object's identityHashCode, and also kill them by overwriting them.

Furthermore, the tool currently implements two different ways of marking fields as active: the tool can either perform a standard coverage, or alternatively perform a wider coverage by taking into account fields' definitions/uses which are implicitly covered:

completeName		defContext	
<inits.foo:< th=""><th>int i></th><th><pre><inits.subfoo: <init="" void="">(int)>[8]<inits.foo:< pre=""></inits.foo:<></inits.subfoo:></pre></th><th><pre>void <init>()>[9]</init></pre></th></inits.foo:<>	int i>	<pre><inits.subfoo: <init="" void="">(int)>[8]<inits.foo:< pre=""></inits.foo:<></inits.subfoo:></pre>	<pre>void <init>()>[9]</init></pre>
<pre><inits.foo:< pre=""></inits.foo:<></pre>	int i>	<pre><inits.foo: <init="" void="">()>[9]</inits.foo:></pre>	

Table 2. Two definitions of the same field. The second definition can be implicitly covered.

In the example from Table 2, the second definition can be implicitly covered in case the first definition is covered; this behavior can improve the coverage extension. The two different methods are provided through two subclasses of ActiveFieldMap: SimpleActiveFieldMap and SubsumeActiveFieldMap. The user can specify the preferred behavior by tweaking the tool's properties, and the appropriate implementation will be chosen at runtime.

The last step in the computation of the coverage is the marking of definition-use pairs as covered. A marking occurs after the registration of a use as active, because in that case I can safely assume that there is a corresponding active definition. The class Associations contains all the possible definition-use pairs imported from the static analysis, paired with a boolean that mark the pair as covered or not covered. Whenever I register an active use, I retrieve the corresponding active definition (matching by object's identityHashCode and field name), and mark it as active by switching the boolean value to true. In addition, Associations keeps track of the amount of total pairs in the collection, and the amount of covered pairs.

4.4 Report generation and presentation of final results

One of the requirements for the tool was the generation of a final report containing the results of the produced coverage. I wanted the user of the tool to be able to create custom report that could suit his needs easily, and without changing the tool's code.

I met this requirement by implementing an OutputManager interface, which features one method: write(Associations a). If a user wanted to generate a report in a format different from what is already provided, it would be enough to create a new class implementing the OutputManager interface; this process should be quite straightforward, as I also provide an easy way to iterate through the pairs (see Section 4.3.2).

As of today, the tools supports two ways of generating a report: either printed to the standard output (this was mainly useful to me for debugging and testing purposes), or saved in a report in XML format. The user can specify the preferred format by switching the dedicated property in the tool's properties, in form of the complete class name in which the report generation is implemented.

As an example, the following listing contains a simple report printed to the standard output, which indicates from what database the static analysis informations were extracted, which classes were used to parse it, and which class was used to generate the report.

³http://docs.oracle.com/javase/7/docs/api/java/lang/System.html#identityHashCode(java.lang.Object)

```
1 Application is starting.
2 Database datecm will be parsed according to these classes:
3 [FIELDPARSER]: ch.usi.star.datec.coverage.context.DatecFieldParser
4 [METHODPARSER]: ch.usi.star.datec.coverage.context.DatecMethodParser
5 Results will be presented according to class:
6 [OUTPUTSINK]: ch.usi.star.datec.coverage.utilities.StdOut
8 Db is up to date, reading defs from serialized FieldMap...
9 Successfully read from serialized StaticFieldMap
10 Db is up to date, reading uses from serialized FieldMap...
Successfully read from serialized StaticFieldMap
12 Db is up to date, reading associations from serialized Map...
   Successfully read from serialized associations
15 Application has finished computing coverage.
16
17 Time elapsed: 393 ms.
   COVERED PAIRS: (3 out of 5)
   [Field] <inits.SubFoo: int j> [D]: [inits.SubFoo: setJ(I)V[12]] [U]: [inits.SubFoo: getJ()
       ➡ I[14]] [COVERED]: true
20 [Field] <inits.SubFoo: int j> [D]: [inits.SubFoo: <init>(I)V[9]] [U]: [inits.SubFoo: getJ
       → ()I[14]] [COVERED]: true
21 [Field] <inits.Foo: int i> [D]: [inits.Foo: setI(I)V[14]] [U]: [inits.Foo: getI()I[12]] [
       ⇒ COVERED]: false
22 [Field] <inits.Foo: int i> [D]: [inits.SubFoo: <init>(I)V[8], inits.Foo: <init>()V[9]] [U
       ➡ ]: [inits.Foo: getI()I[12]] [COVERED]: true
   [Field] <inits.Foo: int i> [D]: [inits.Foo: <init>()V[9]] [U]: [inits.Foo: getI()I[12]] [
       ⇒ COVERED]: false
24
25 Shutdown...
```

 $\textbf{Listing 7.} \ \textbf{Example of the application output}$

5 Verification

The last phase of this Bachelor Project consisted in the verification of my tool against its requirements. The verification of the complete version of DaTeC was challenging: to assess the correctness of my implementation it is necessary to check wether the data flow coverage of a test suite identified by DaTeC corresponds to the actual set of definition-use pairs that were executed by the test suite itself. This process cannot be automated and therefore is particularly time consuming because it requires to manually inspect both the test cases and the executed software.

Since performing this manual check on large numbers of test cases is impossible for time constraints, I organized the verification activity in two steps: at first, I designed a set of specific test cases of limited size to check corner cases, on which I checked in details each covered and not covered definition use pairs; and then in a second step I performed sample checks on coverage obtained executing large test suites on open source projects.

In the next sections I'm describing the checked requirements and these two steps of verification activity that I performed.

5.1 Requirements

I evaluated DaTeC correctness and performances. I consider DaTeC to be correct wether the identified coverage corresponds to the actual coverage obtained during the execution. To check the correspondance, I performed manual checks inspecting the code of the test cases and of the applications.

Regarding performances, I focused on time constraints. I measured the total amount of time required to execute a test suite together with DaTeC , and the overhead caused by the dynamic monitoring. To compute the overhead, I compared the execution time of a test suite executed with DaTeC against its original execution time. The evaluation of the performance was carried on to verify that the tool was actually usable (e.g. it did not require weeks of execution) on medium-big size java projects. Since I was not provided with a specific overhead threshold, the evaluation doesn't provide for a formal efficiency statement.

5.2 Preliminary tests

The first step in the evaluation was the creation and verification of small test cases. I used these test cases to check that the tool behaved as expected. I wanted to make sure to cover some nontrivial cases that could occur while programming in Java. To this purpose, I wrote a test organized in packages, where each package would represent one corner case. Afterwards, I ran these tests and checked that the results were as expected. Time was not a concern for this test cases, because of their marginal size. In Table 3, I present the most relevant of these test cases; each one covers one specific feature of Java programming.

Package Name	Test Case Description		
arrays	Instrumentation of array load and store events. Tests array creation		
	and index access.		
inits	Instrumentation of constructors. Tests abstract classes and uses of		
	super()		
nested	Instrumentation of nested classes. Mainly used to check correct pars-		
	ing of class names (e.g package.Class\$NestedClass) and bytecode		
	generated fields (e.g., this\$0)		
staticinits	Instrumentation of static constructors (e.g., Singleton Pattern		
	<pre>instanceOf())</pre>		

Table 3. Preliminary tests

5.3 Testing a real life use case

5.3.1 Choosing the software to be tested

After checking that the results of the preliminary tests were correct, I tested the tool with a more complex software, in order to simulate a real use case of the tool. In order to do this, I had to find a Java software which would be bigger than the average student projects I used to test before. In addition, the software would have to be an attached test suite big enough to cover a number of cases.

After some research, I chose to test my tool with JGraphT⁴, a Java library that provides graph theory objects and algorithms. JGraphT features more than 150 classes, and comes with attached JUnit test cases which test every feature of the library. In the first place, I tested the coverage of each test case separately, to measure the time of execution of the coverage and the quality of the coverage (the number of pairs covered) of a single test case. On a second time, I ran the tool on all the test cases at once.

5.3.2 Performing the evaluation

I split the evaluation in two phases: in the first, I would test the coverage computation on each single test case separately, to assess the average coverage of each one; secondly, I would run the tool with all the test cases at once, to assess the overall coverage and the performance of the tool with a big input. For both phases, I would keep track of the amount of time used by the tool, and the *all DU pairs coverage* (Section 3.3) measurement. Overall, I ran the tool on a total of 80 JUnit test cases.

5.3.3 Results

The Static Analysis component registered a total of 10147 possible definition-use pairs. Nevertheless, running each test case separately covered on average 50 definition-use pairs (see Figure 6). Evaluation of the time factor showed that the tool is quite efficient, since each the execution and coverage computation of each test case took on average 3-5 seconds. It puts a significant overhead on the execution of the test cases, since each test case executed without analysis terminates in \sim 100-400 ms. Anyway, it can be considered acceptable.

Running all the test cases at once (158 tests) showed that the test cases cover a small range of definition-use pairs, which are exercised many times: in fact, only 289 pairs were covered, but the number would raise if counting the coverage of the same pair more than one time. The tool computed the coverage in roughly 153 seconds.

⁴http://jgrapht.org/

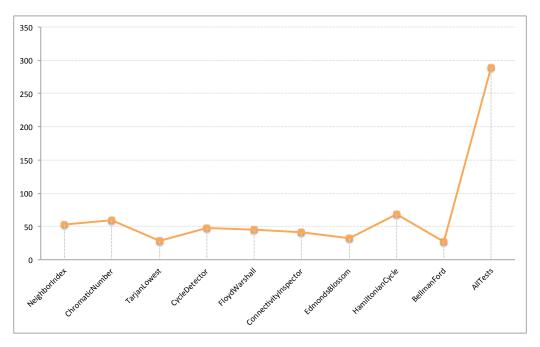


Figure 6. Coverage results of 10 random test cases.

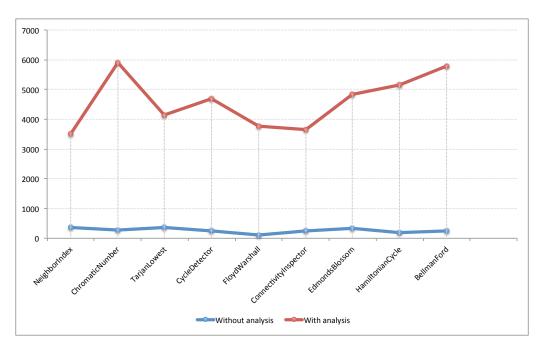


Figure 7. Time overhead.

6 Conclusions

In this project I developed a dynamic monitor for a data flow testing tool, and performed an evaluation to assess its efficiency and its effectiveness. Given the good performances of the tool, I will publish it online to help researchers and practitioners experimenting with data flow criteria — it could be used for collecting new data on the effectiveness of data flow criteria, or it could be extended with a component that automatically generates test cases for the missing pairs for defining new test case generation approaches.

I was impressed by the low coverage observed on the test suites that I used in the evaluation phase. This suggests that data flow testing is still an open problem that needs new data and techniques, and that provide a complementary view with respect to functional testing and simpler structural criteria like branch and statement testing.

Apart from the results obtained, this project was an invaluable opportunity for me, because it allowed me to apply some concepts I learned during my Bachelor and I had never truly applied before, especially regarding programming principles and design patterns. I learned to write code that can be reused and modularized, and the importance of careful design and planning. Moreover, since I was left a lot of freedom during the development, for the first time I had to learn how to organize the time I could dedicate to the project, and how to use it effectively. The experience I collected from this Bachelor project will be extremely useful in future occasions.

7 Acknowledgements

First of all, I would like to thank my advisor Prof. Mauro Pezzé for providing me this opportunity. Exploring and working on an unknown topic was a precious experience, and will be very helpful during my future studies. I would also like to thank Mattia Vivanti, which supervised me during the whole project and has always been very helpful and has given me a lot of precious advices. Last but not least, I would like to thank my parents, my girlfriend and my friends for being supportive throughout these three years.

References

- [1] U. Buy, A. Orso, and M. Pezze. Automated testing of classes. In *Proceedings of the 2000 ACM SIGSOFT International Symposium on Software Testing and Analysis*, ISSTA '00, pages 39–48, New York, NY, USA, 2000. ACM.
- [2] L. A. Clarke, A. Podgurski, D. J. Richardson, and S. J. Zeil. A formal evaluation of data flow path selection criteria. *IEEE Trans. Software Eng.*, 15(11):1318–1332, 1989.
- [3] G. Denaro, A. Gorla, and M. Pezzè. Contextual integration testing of classes. In *Proceedings of FASE 2008 (11th International Conference on Fundamental Approaches to Software Engineering)*, pages 246–260. Springer-Verlag, 2008.
- [4] M. J. Harrold and G. Rothermel. Performing data flow testing on classes. In Proceedings of the 2Nd ACM SIGSOFT Symposium on Foundations of Software Engineering, SIGSOFT '94, pages 154–163, New York, NY, USA, 1994. ACM.
- [5] P. M. Herman. A data flow analysis approach to program testing. Australian Computer Journal, 8:92–96, 1976.
- [6] L. Marek, Y. Zheng, D. Ansaloni, W. Binder, Z. Qi, and P. Tuma. Disl: An extensible language for efficient and comprehensive dynamic program analysis. In *Proceedings of DSAL 2012 (Seventh Workshop on Domain-Specific Aspect Languages)*, pages 27–28. ACM, 2012.
- [7] M. Pezzé and M. Young. Software Testing and Analysis: Process, Principles and Techniques. Wiley, 1st edition, 2008.
- [8] S. Rapps and E. J. Weyuker. Selecting software test data using data flow information. *IEEE Trans. Softw. Eng.*, 11(4):367–375, Apr. 1985.