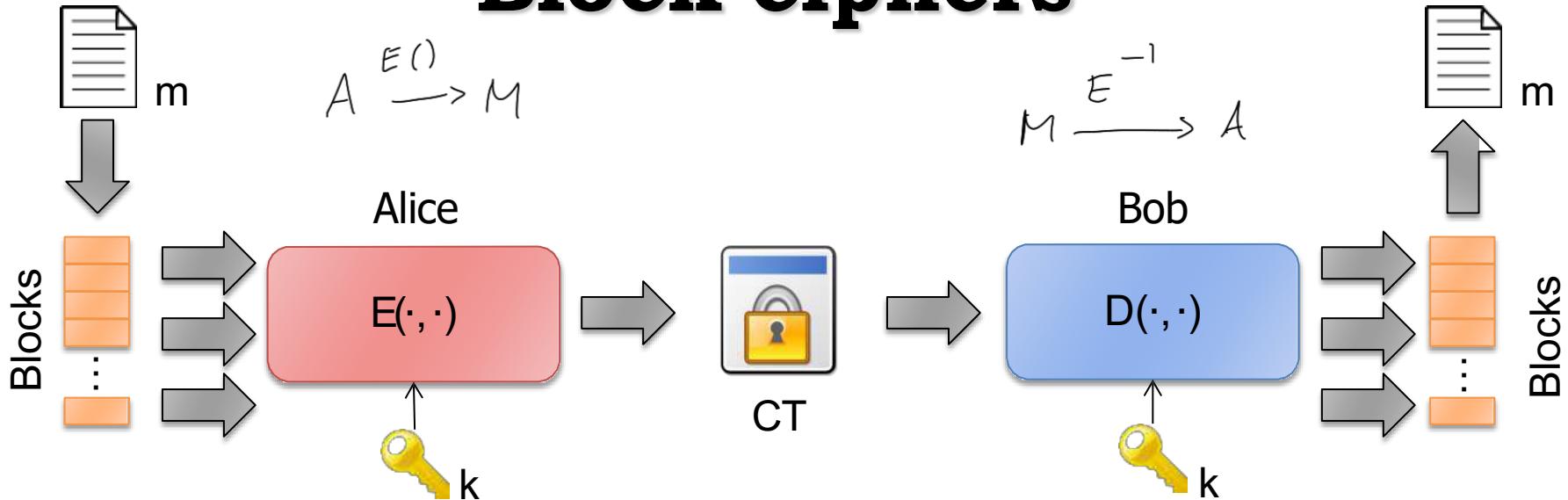
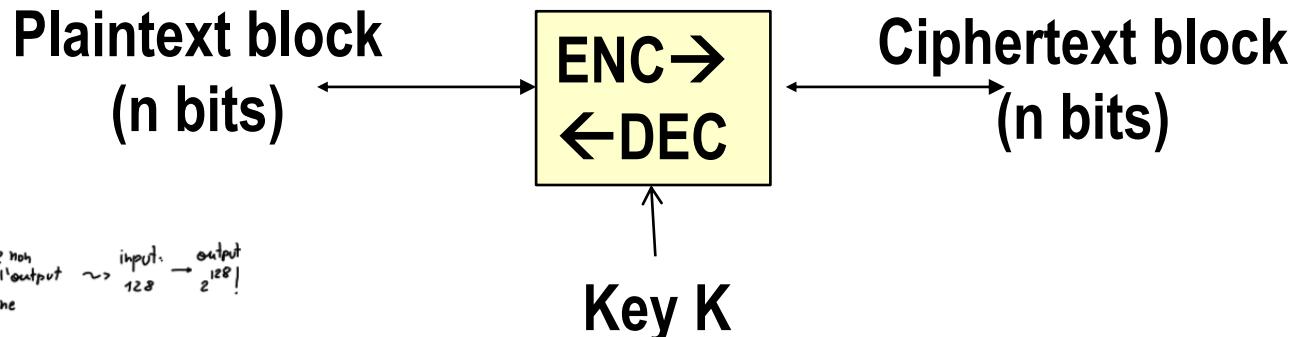


# **Review of Block cipher Modes of Operation**

# Block ciphers



→ Goal: “generalize” substitution ciphers



→ Block algorithm should implement a Pseudo Random Permutation

⇒ in practice key will permit to select “only” among  $2^{(keysize)}$  permutations

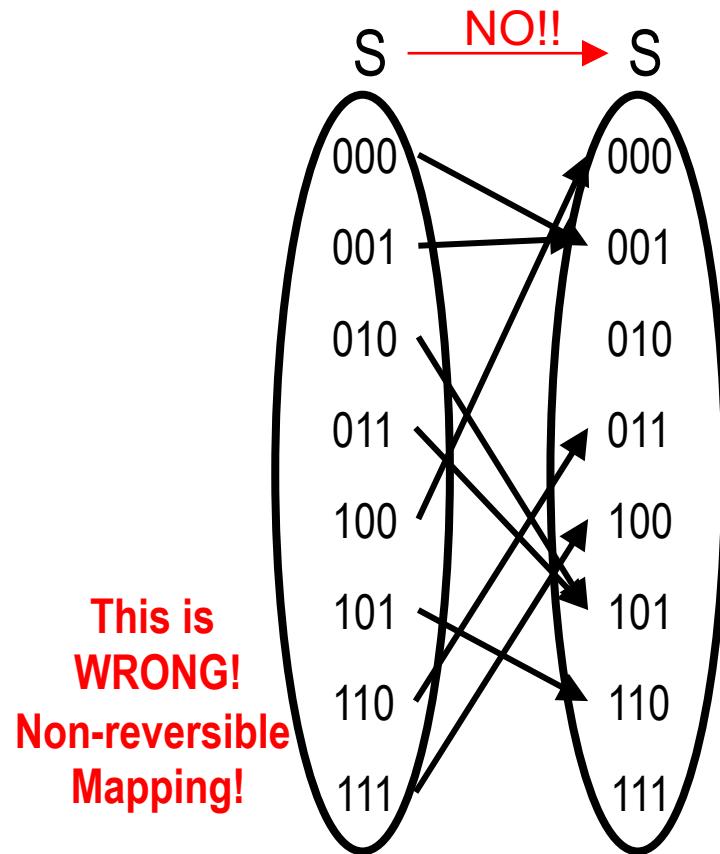
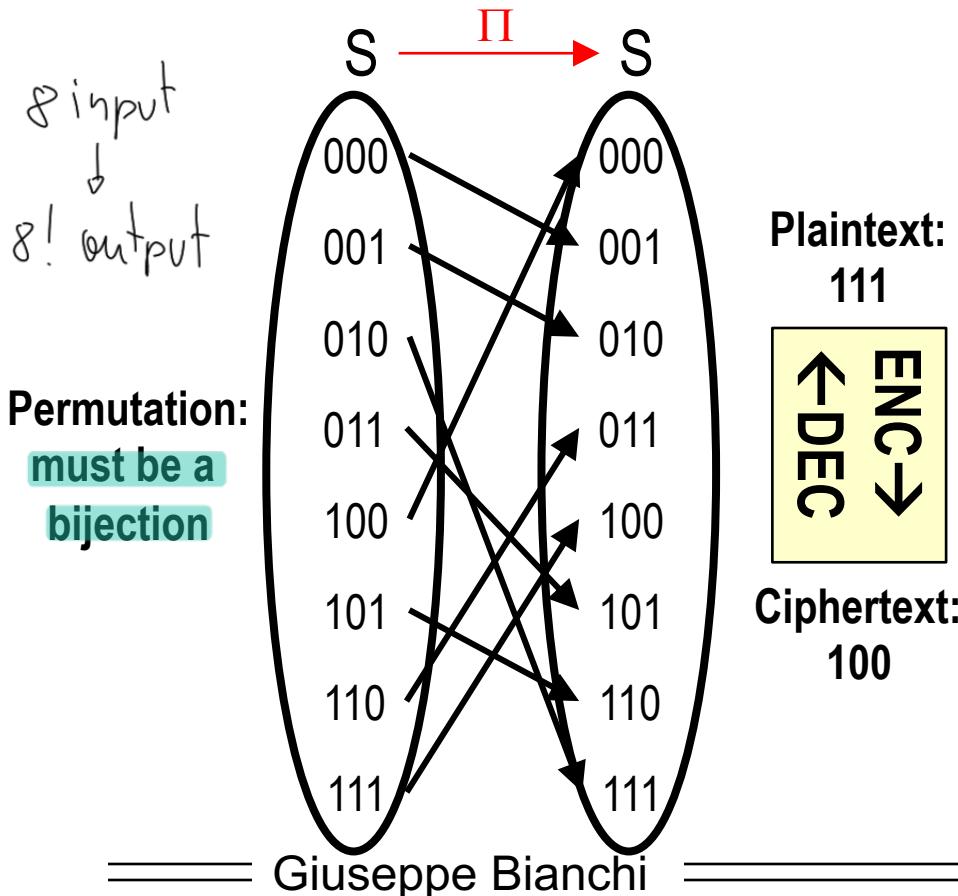
# Block ciphers → PRPs

Pseudo Random Permutation:

→  $S = \text{set of all possible plaintexts}$

→  $n = 3 \text{ bits}, S = \{000, 001, 010, 011, 100, 101, 110, 111\}, |S| = 2^n = 8$

→ Permutation: bijective function  $\Pi: S \rightarrow S$  (1-to-1 mapping)



# Block ciphers → PRPs

# Pseudo Random Permutation:

**→ S = set of all possible plaintexts**

→ n = 3 bits, S = {000, 001, 010, 011, 100, 101, 110, 111}, |S| = 2<sup>n</sup> = 8

→ Permutation: bijective function  $\Pi: S \rightarrow S$  (1-to-1 mapping)

→ Pseudo-Random: block cipher should uniformly select one of the possible permutations

⇒ Permutation  $\Pi_k$  used by the cipher is «selected» by the secret key K

→ PROBLEM!! How many permutations?  $2^n!$  (! = factorial)

⇒ For n=3:  $2^{3!} = 8! = 40320 \longrightarrow \log_2(40320) = 15$  bit key

⇒ For n=8:  $2^8! = 256! = 8.58 \times 10^{506} =$

⇒ In AES, n=128 ...  $2^{128!} \sim 2^{(2^{135})}$  → unbelievably large number!! (10<sup>40</sup> digits key)

→AES keys: 128, 192, 256 →  $2^{256} << 2^{128}$ ! ( $2^{256}$  just 78 digits key)

⇒ Take home: Actual AES permutations way less than ideal PRP (but still OK)

# Block ciphers → PRPs

**Pseudo Random Permutation:**

→ **S = set of all possible plaintexts**

→  $n = 3$  bits,  $S = \{000, 001, 010, 011, 100, 101, 110, 111\}$ ,  $|S| = 2^n = 8$

How to compute large factorials?

Stirling Approximation

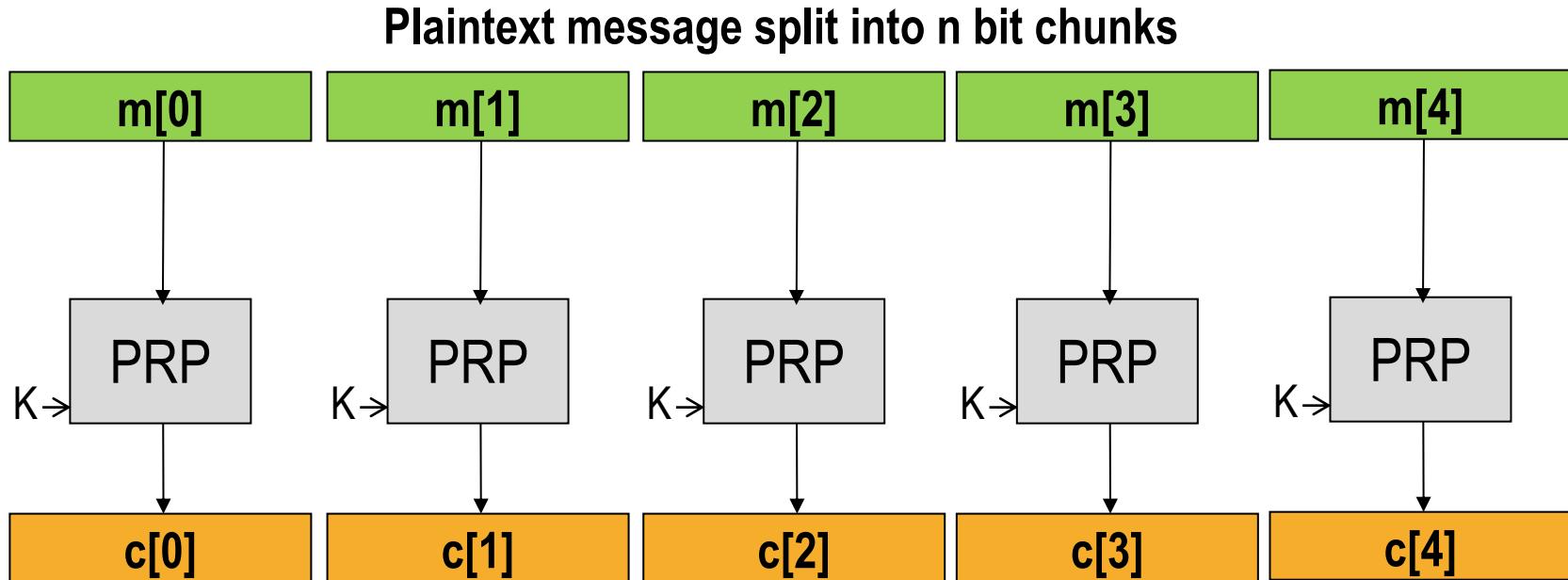
$$n! \approx \sqrt{2\pi n} \frac{n^n}{e^n}$$

$$\begin{aligned} 2^{128}! &\approx \sqrt{2\pi 2^{128}} \cdot 2^{128 \cdot 2^{128}} / e^{2^{128}} \approx \\ &\approx \sqrt{2\pi} \cdot 2^{64 + 2^{135} - 2^{128} \cdot \log_2 e} \approx 2^{2^{135}} \end{aligned}$$

⇒ Take home: Actual AES permutations way less than ideal PRP (but still OK)

# Problem 1

## Plaintext longer than block size?

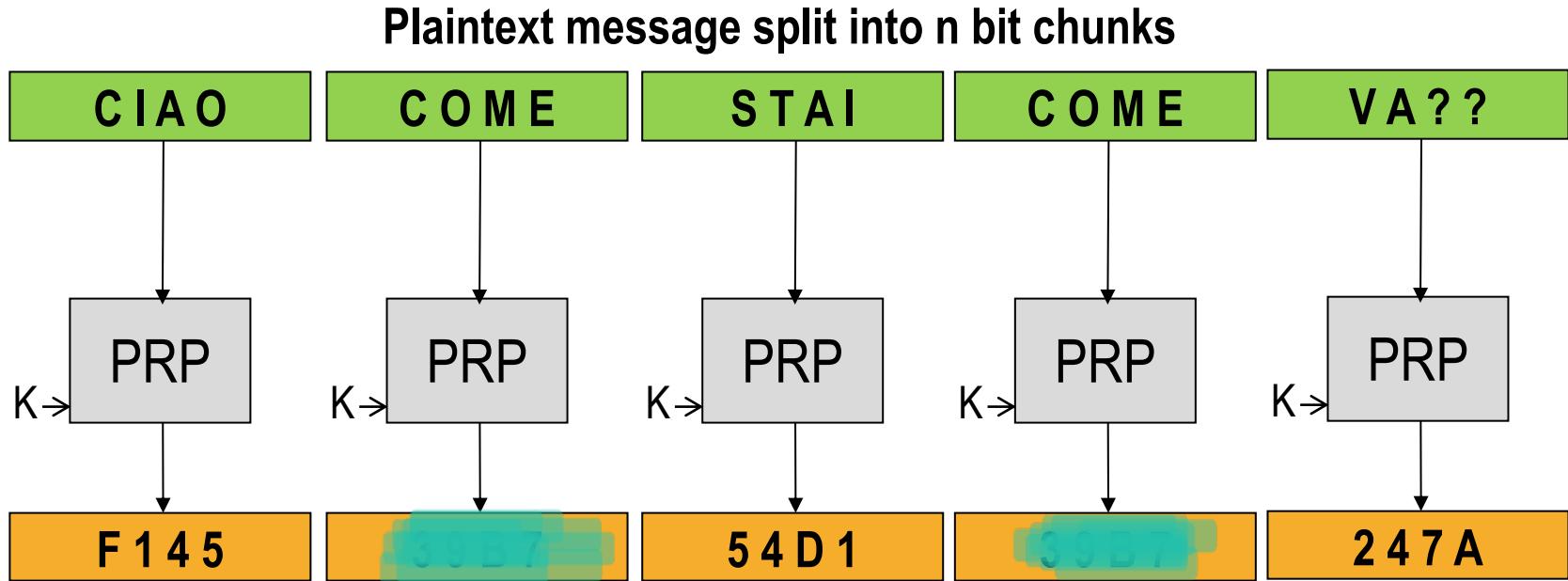


Independent encryption of each block = Electronic Code Book (ECB Mode)

se input: ABCD ha  $4!$  permutazioni, di cui circa  $\frac{4!}{e}$  non restituiscono un valore con se stesso! ( $ABCD \rightarrow BADC$  or  $ACDB$  no)

# Problem 1

## Plaintext longer than block size?



Independent encryption of each block = Electronic Code Book (ECB Mode)

Same plaintext block → Same ciphertext block!!!

No semantic security → Trivial Cryptoanalysis

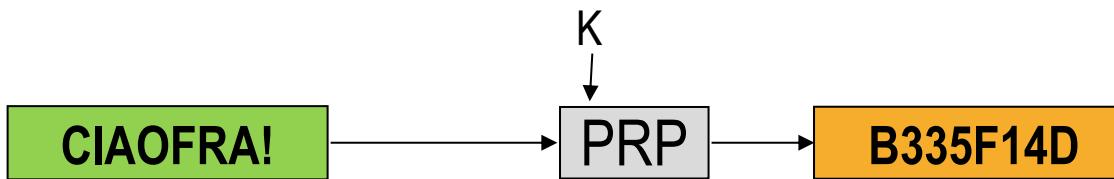
**REMEMBER:** never, NEVER use ECB!!!

Giuseppe Bianchi

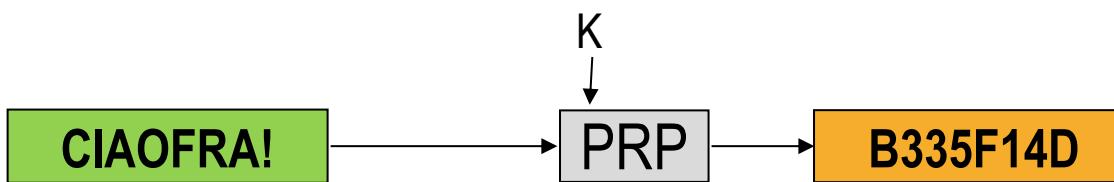


# Problem 2

## Encrypt same message twice?



.....



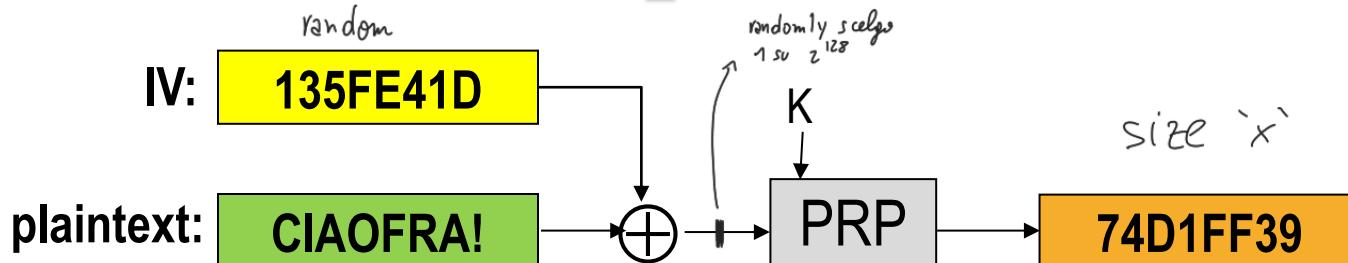
$CT = \text{ENC}(PT, \text{key}) \rightarrow \text{same key, same PT} = \text{same CT!}$

Same problem as in **stream ciphers**  $\rightarrow$  same idea!

**A fresh Initialization Vector (IV) for every new encryption!**

# Initialization Vectors in block ciphers

ENCRYPT

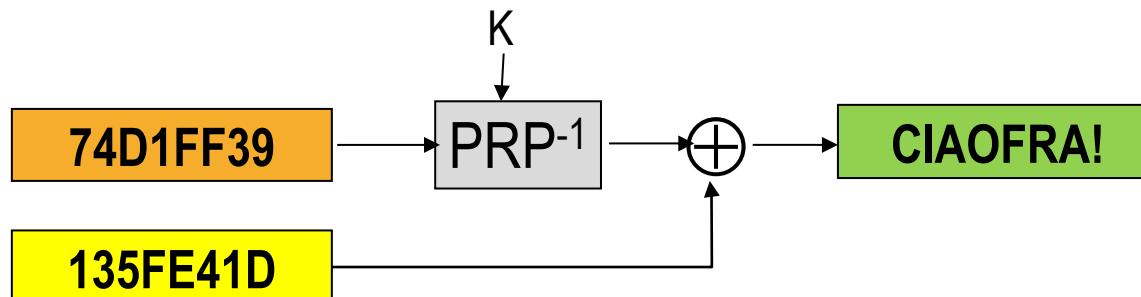


TRANSMIT



Ciphertext  
(usually) includes IV

DECRYPT



IV NEVER REPEATS? YES BUT not enough! IV must now ALSO be UNpredictable (why? more later)

# Block ciphers: modes of operation

## → Plain ECB is only OK if

- ⇒ Message smaller than one block
  - AES = 128 bits = 16 bytes, very rare as short
- ⇒ Message will never repeat

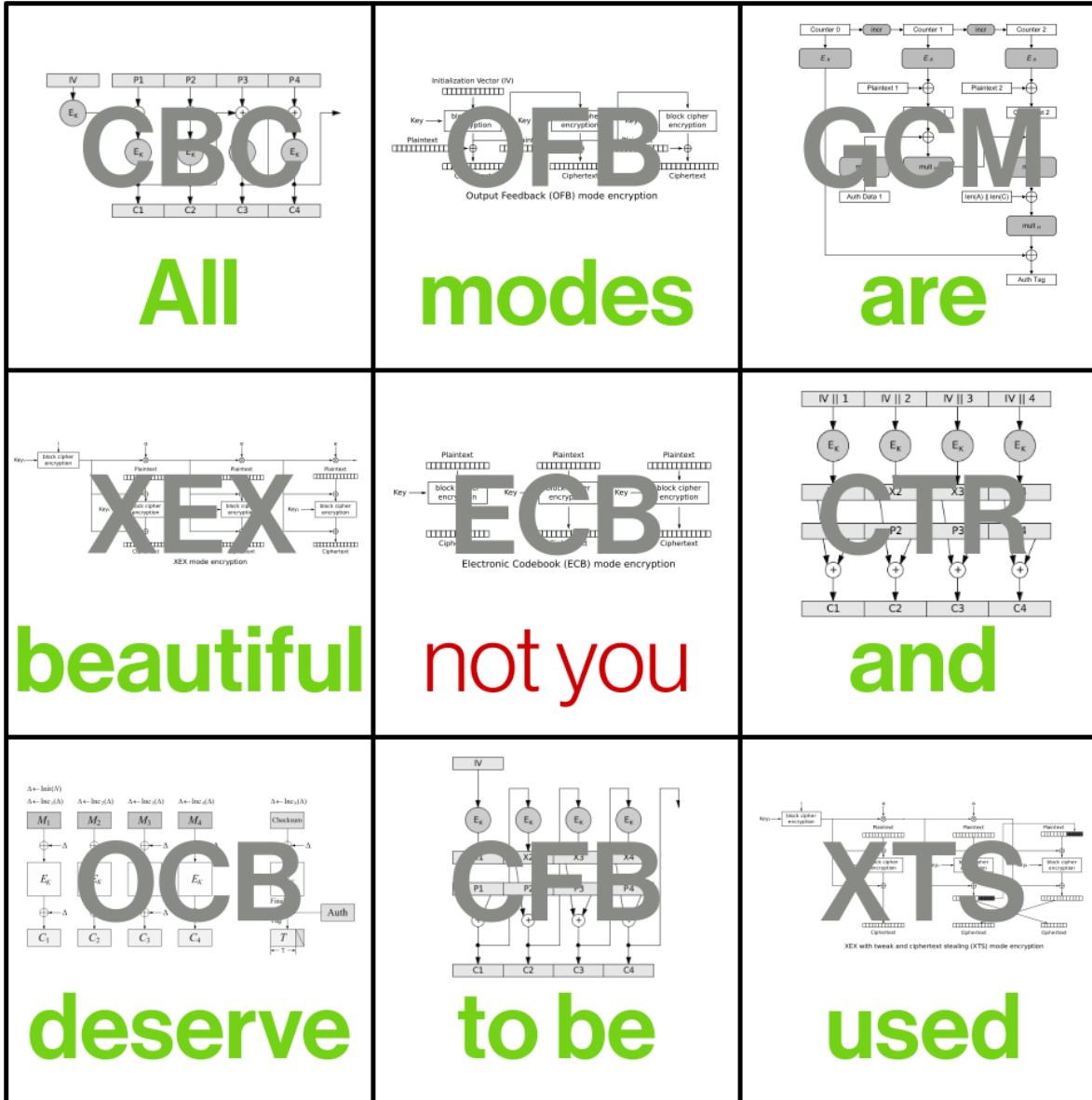
## → For repeated messages:

- ⇒ Use initialization vector
  - Random value, same size as block

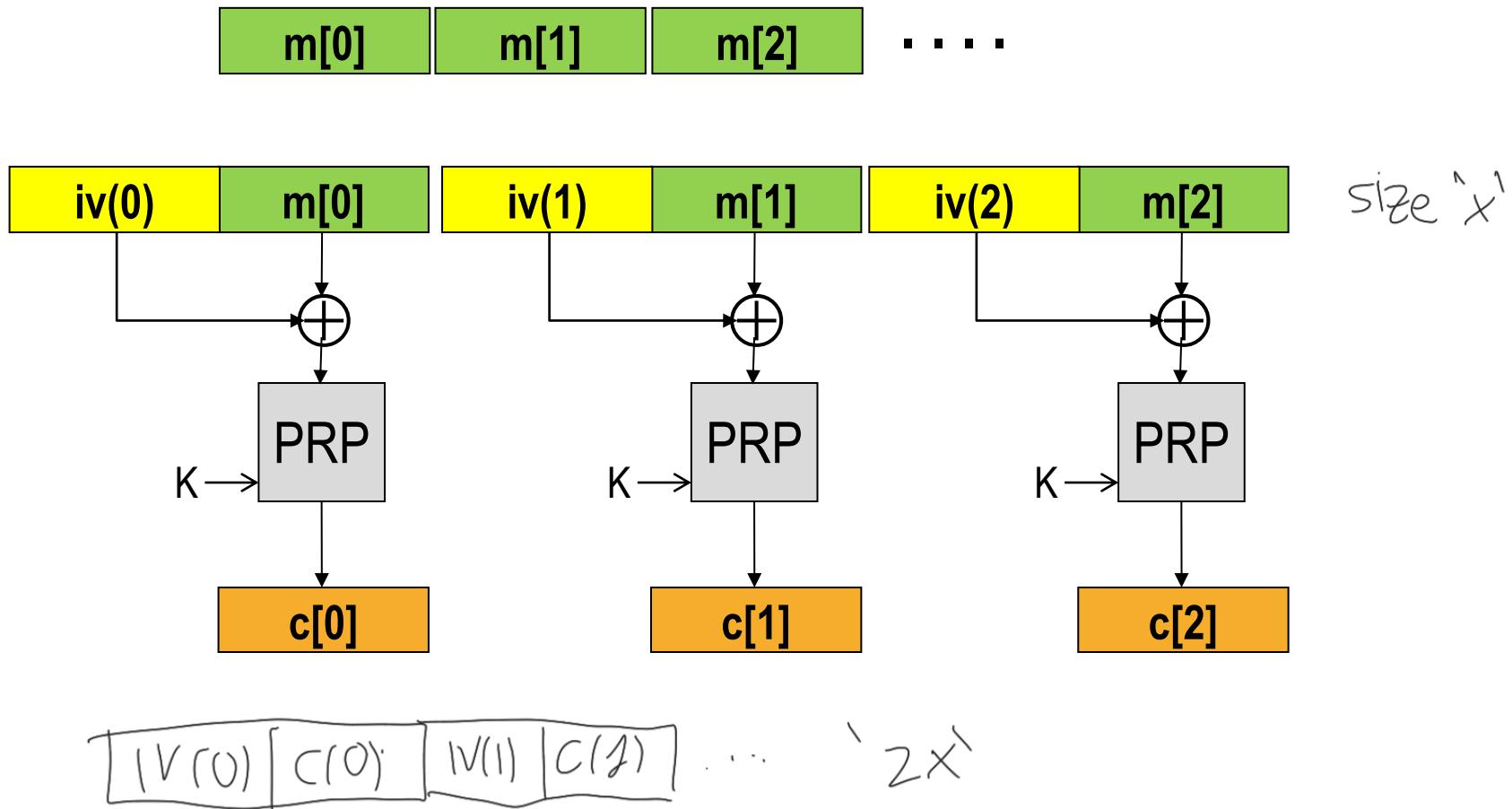
## → For longer messages:

- ⇒ Modes of operation: “secure” way to combine blocks
- ⇒ Permits to encrypt a text of arbitrary length, **avoiding that a same plaintext block is encrypted twice as same ciphertext**
- ⇒ “secure” = semantic security, IND-CPA

# Block ciphers: modes of operation



# Semantic security: how to? (in principle)



If all  $iv(x)$  random  $\rightarrow$  semantic security. But LOTS of overhead! (double size)

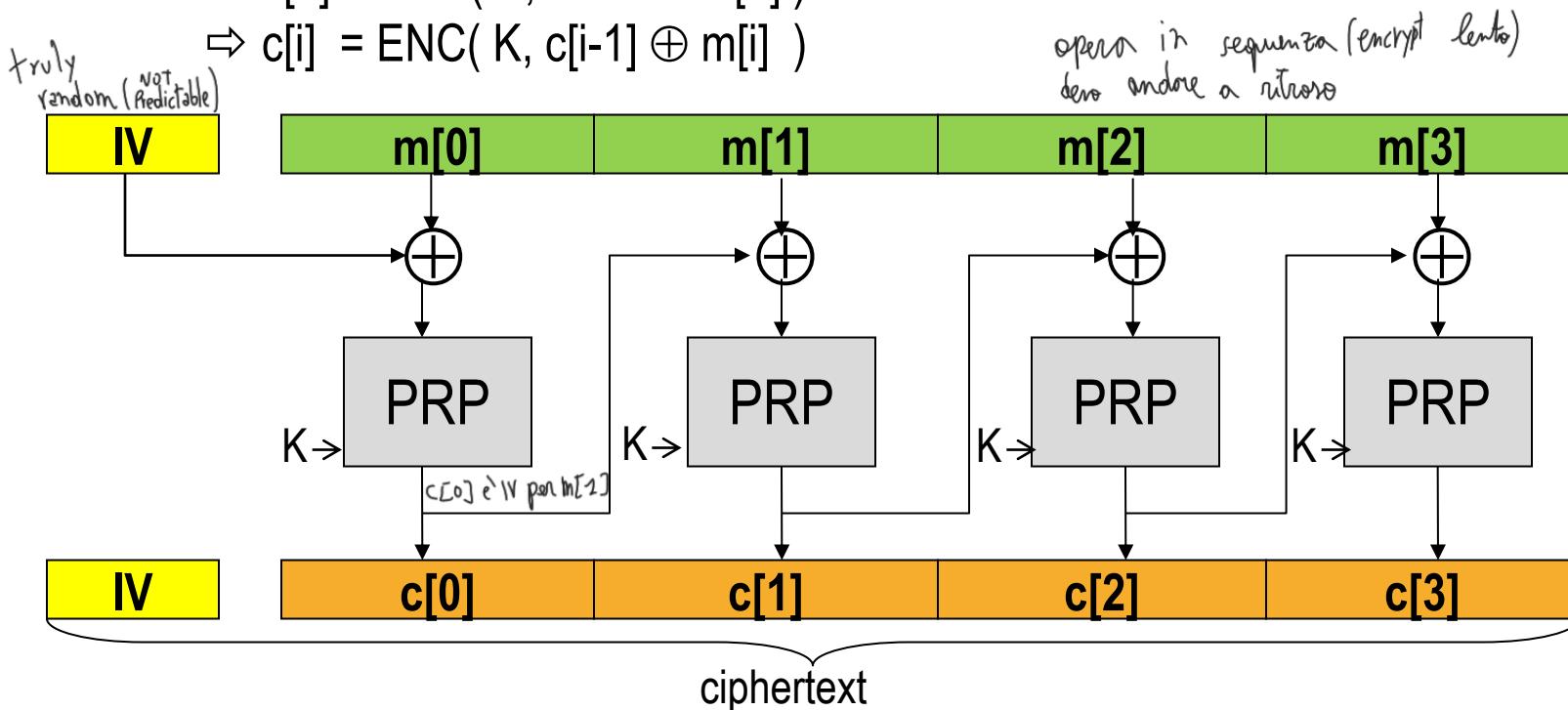
# Cipher Block Chaining - CBC

Pseudo Random Permutation

- Good PRP → pseudorandom output →  $c[i]$  «looks» as random
- CBC idea: use previous ciphertext block as IV for next block!!

$$\Rightarrow c[0] = \text{ENC}(K, \text{IV} \oplus m[0])$$

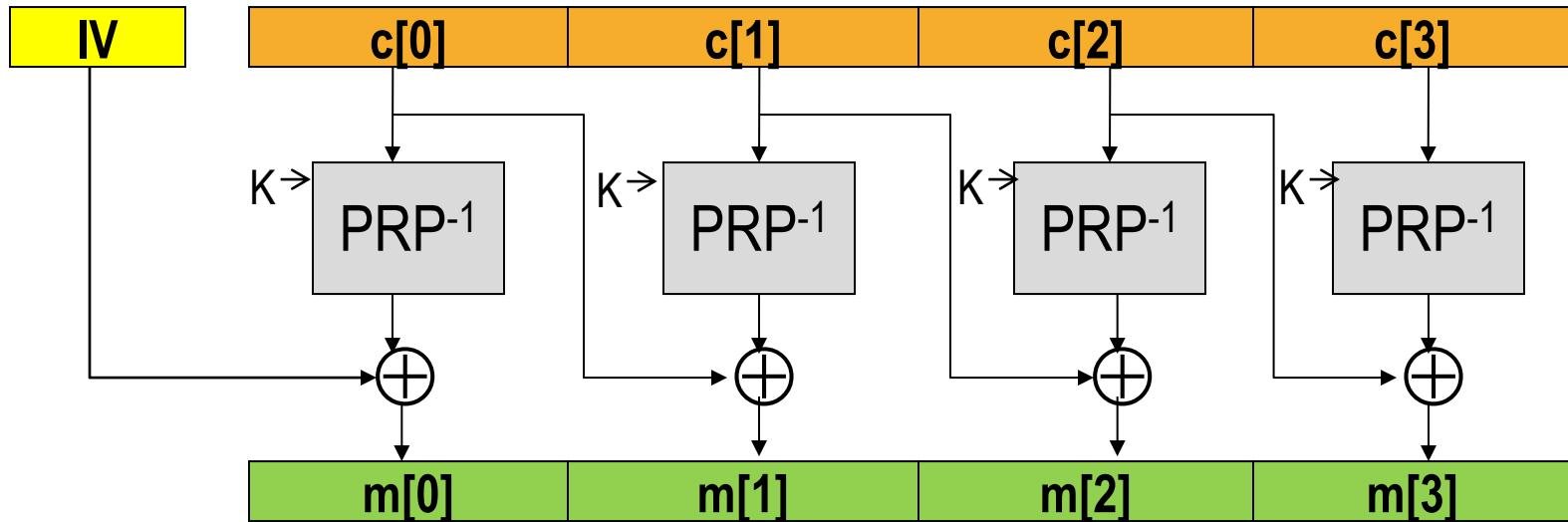
$$\Rightarrow c[i] = \text{ENC}(K, c[i-1] \oplus m[i])$$



Ciphertext overhead: just the initial IV → marginal!

# CBC decryption

(più veloce)



→ CBC decryption: parallelizable!

⇒ Unlike CBC encryption, which has to be sequential  
→ not suitable for high speed HW implementation

# CBC discussion

## → widely used (most common) & secure

⇒ But secure only if Nonce is random and non predictable

→ Predictable IV → CPA attack → exploited in TLS (2011, BEAST)

## → Slow during encryption

⇒ Non-parallelizable encryption → not appropriate for very high performance scenarios

## → Encryption and decryption: require two different circuits

, come in (CBC, ECB)

(block cipher)

⇒ Encryption = PRP, decryption =  $\text{PRP}^{-1}$  → more circuitry

⇒ Other modes (CTR, CFB, OFB) use the PRP encryption block also in reception (direct transformation, where block cipher come stream cipher)

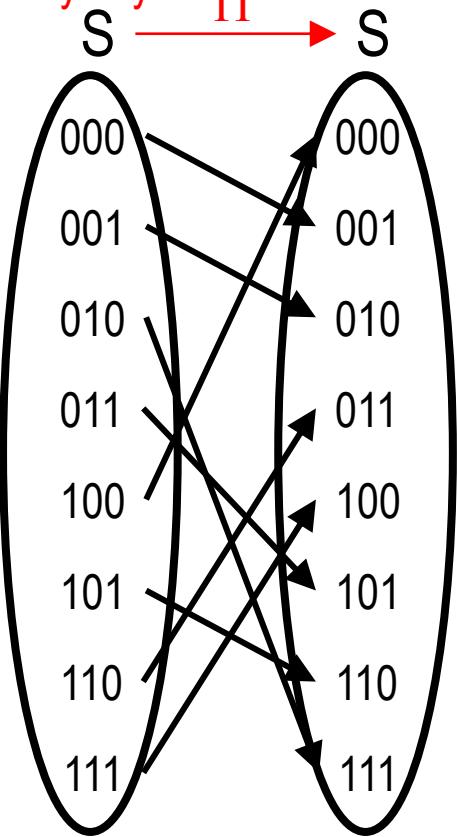
## → Plaintext must be multiple of block size

riempire i bit mancanti ⇒ Padding used to «fill» space → standards do exist (e.g. PKCS#7)

⇒ Padding necessary also in (some) other modes, e.g. ECB

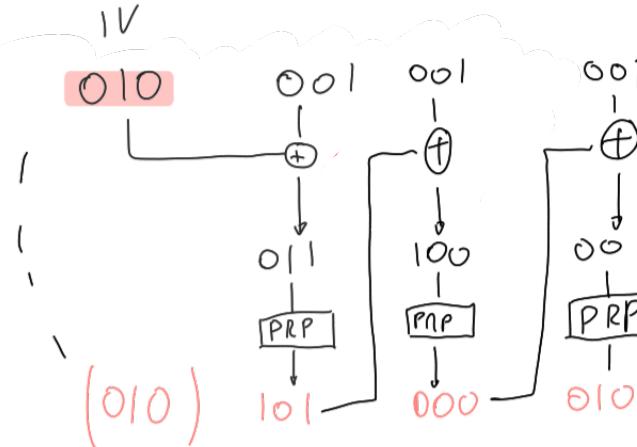
# Test your understanding

3-bit blocks;  
Specific PRP selected  
by key K:



1) Encrypt  $M = 001\ 001\ 001$  using ECB  
 $(m \xrightarrow{\text{PRP}} s \xrightarrow{\Pi} s')$   
 $CT = 010\ 010\ 010$

2) Encrypt  $M = 001\ 001\ 001$  using CBC  
with  $IV=010$  (primo  $\oplus$ , poi trasforme)



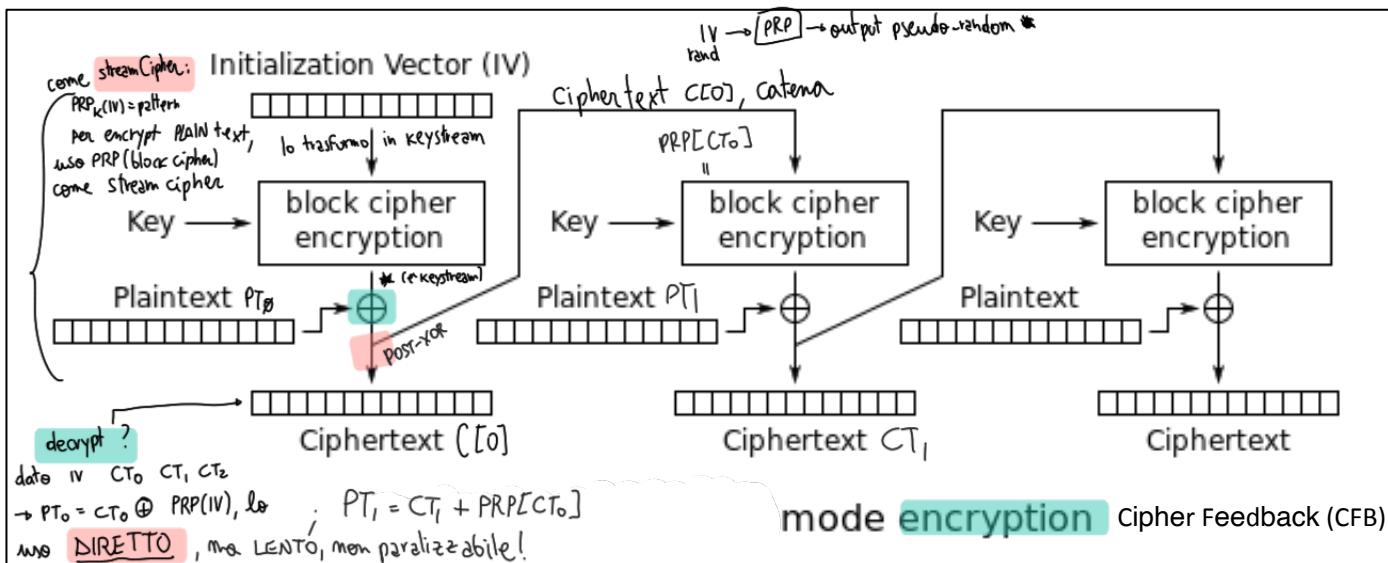
Perche' short cycle

può essere un problema?

obiettivo: NON USARE (PRP)<sup>-1</sup>

IN CBC: encryption è PRP  
in CFB: encryption è XOR

# Other modes: CFB, OFB



Block cipher used in «stream» manner

- PT encrypted with XOR
- No need for padding

Non parallelizable in encryption

- But OFB advantage: permits preprocessing!

Reason: CFB, like CBC, depends on previous ciphertexts

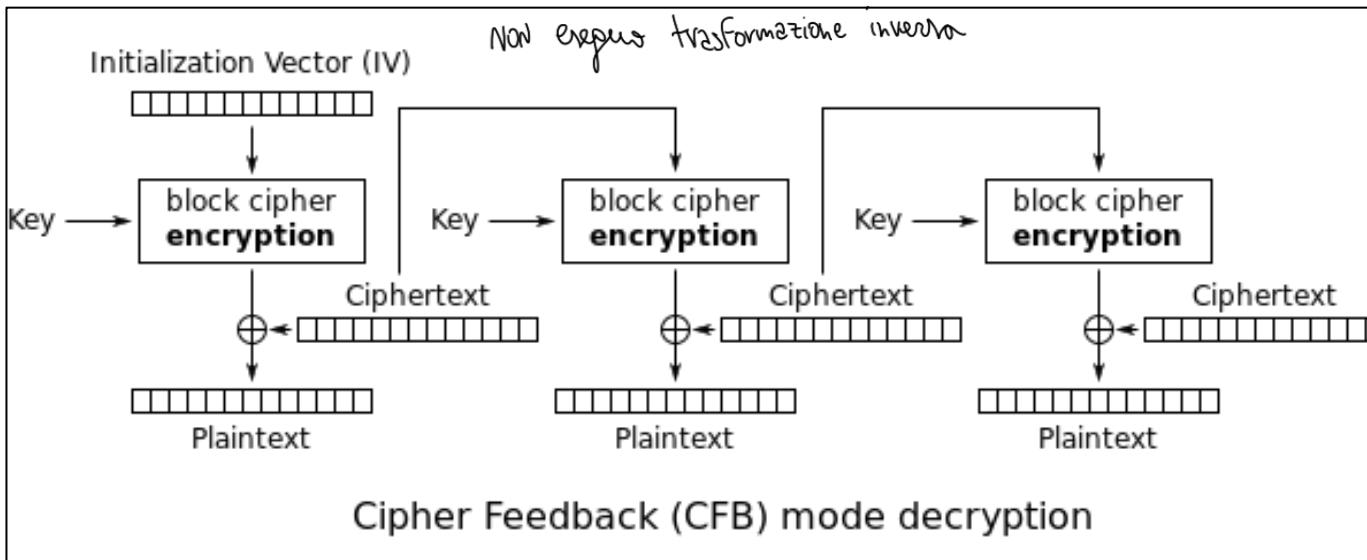
- $c[0] = m[0] \oplus \text{ENC}_K(IV)$
- $c[i] = m[0] \oplus \text{ENC}_K(c[i-1])$

OFB «keystream» depends only on IV!

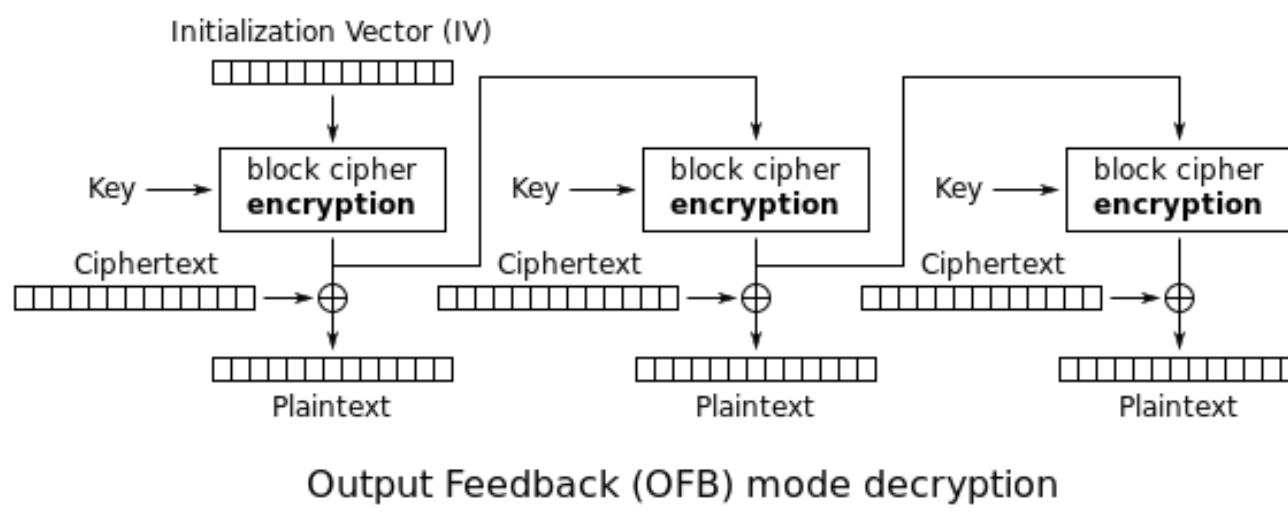
- Robust to errors

Looks like a classical stream cipher!

# Other modes: CFB, OFB

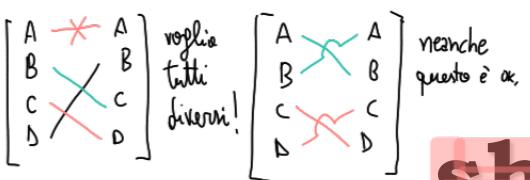


**DECRYPTION advantage:**  
**Reuse encryption block!**  
**No need for «inverse» PRP implementation**



**Further CFB advantage:**  
**Parallel decryption**  
**OFB still serial decrypt**

in CBC ha PRP,  
in CFB ha 1° blocco in cui uso PRP  
(block cipher) come stream cipher.  
Però usare PRP come trasformazione diretta  
e NON indiretta.



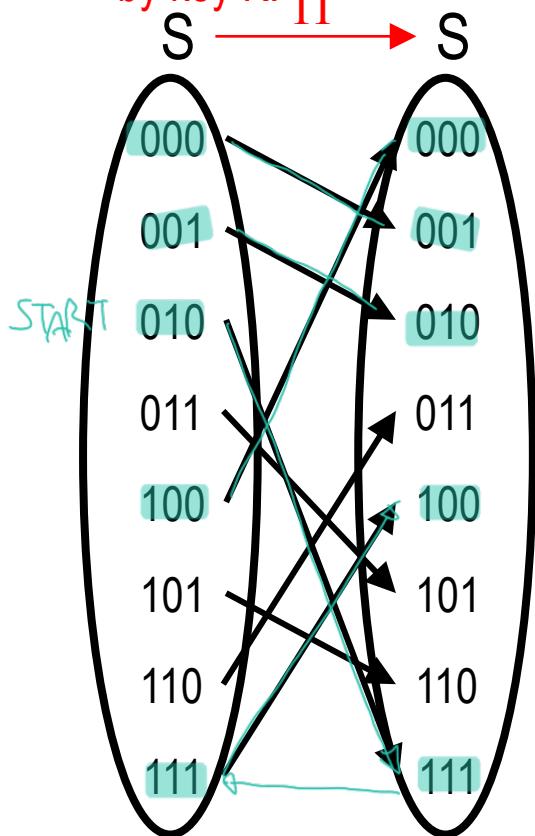
# Chaining blocks:

## short cycle problem!

HA SENSO BLOCK CIPHER PER keystream!  
dovremmo usare algoritmi adatti!  
esistono IV 'deboli';  
generano sequenze brevi.

perché (hash chain non OK) (alcune permutazioni ne soffrono)

Example: 3-bit blocks;  
Specific PRP selected  
by key K:



### 1) OFB keystream, with IV=010?

010 111 100 000 001 010 111 ...

cycle = 5 partendo da 'start', vado in  $f(\pi) = 111$ . Ritorno nel dominio partendo da 111 e trovo  $f(\pi_{111}) \dots$

### 2) OFB keystream, with IV=011?

011 101 110 011 101 110 011 ...

cycle = 3 – shorter! Cycle may depend on IV...

### 3) Same problem in CBC when encrypting a «regular» plaintext.

Example: encrypt 011 011 011 with IV=010

CT = (010) 010 010 010 (visto prima)

regular input, regular output



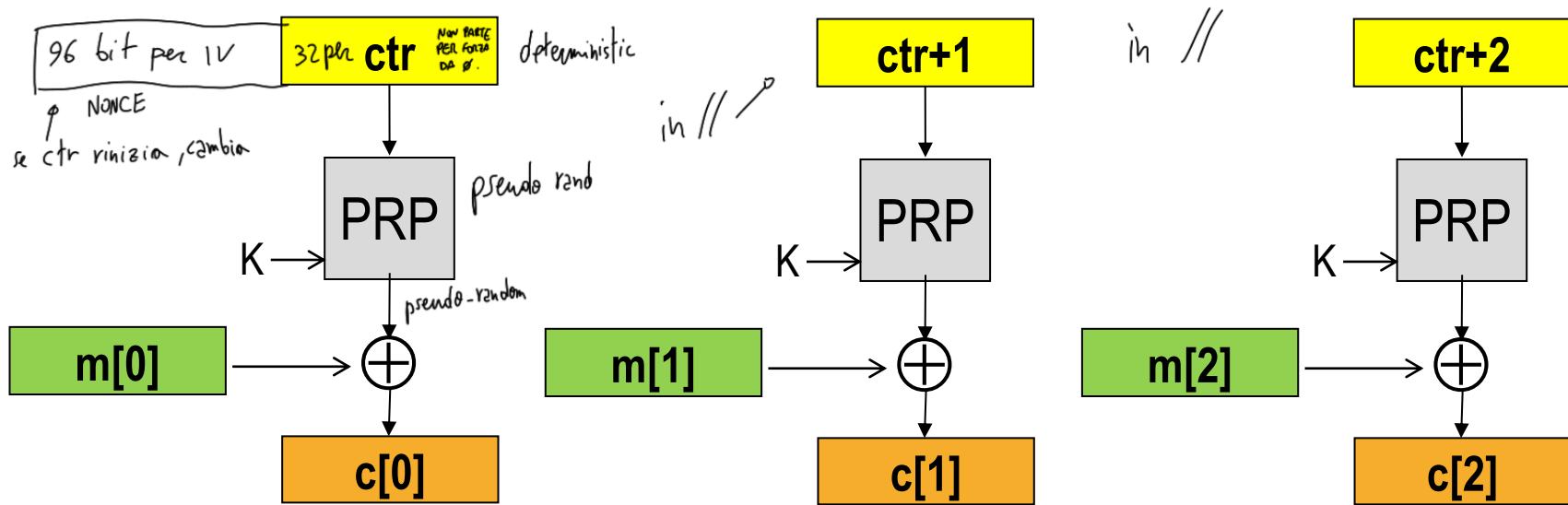
# Counter Mode (CTR)

→ Very simple:

- ⇒ Initialize counter ctr, and increase it at every new block ( $2^{128}$  bit per ctr)
- ⇒ «encrypt» counter with block cipher (independent of plaintext, can be precomputed)
- ⇒ XOR output with plaintext block → looks like a keystream in stream cipher (1 circuito!)

→ In practice: builds a PRNG keystream out of a block PRP!

- ⇒ Provably secure: if PRP is secure then PRNG is secure as well



AES-CTR detail on Initial Counter Block (ICB), see e.g. RFC3686:  
first 96 bits = IV, next 32 bits = counter, starting from 1

Encryption,  
No integrity

# Counter Mode (CTR)

CBC veniva più "mata  
per 'abitudine'!  
oggi in cerca  
authenticated encryption!

## → Mode with most of the advantages!

- ⇒ Turns block cipher into a stream one
- ⇒ Combines all the advantages of CFB and OFB!

## → Implementation efficiency (SW/HW)

- Parallel encryption AND Parallel decryption

## → Requires implementation of encryption block only

- ⇒ Unlike ECB and CBC which require also inverse block

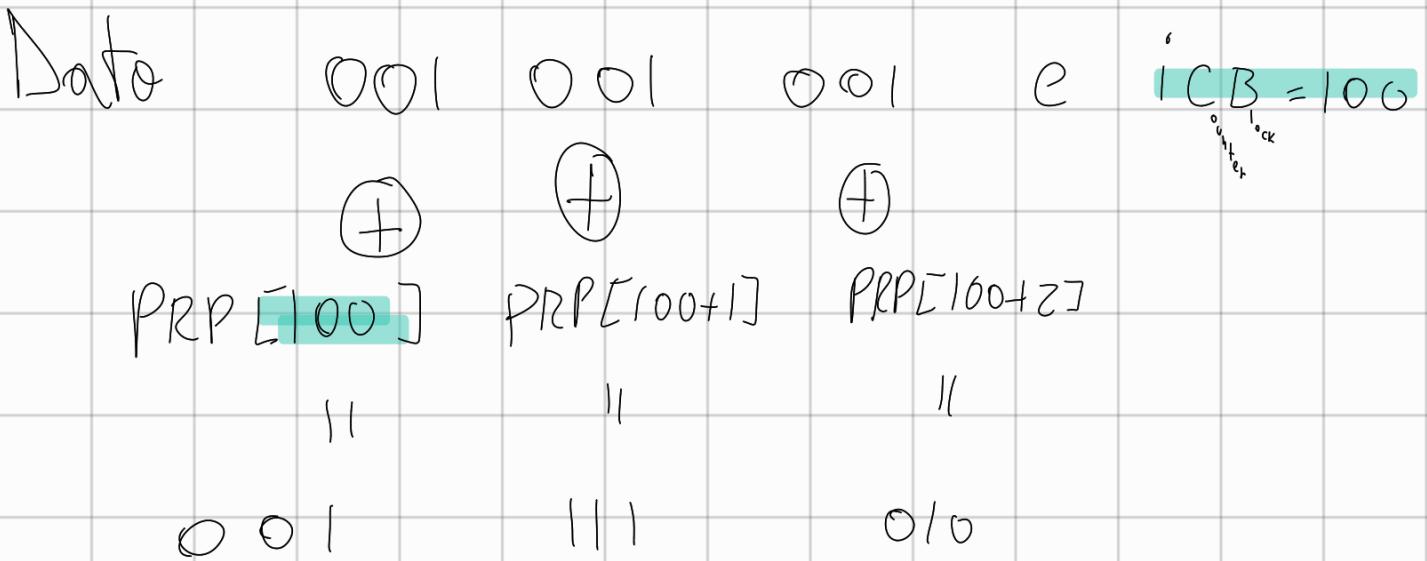
## → Random access

- ⇒ Decryption of  $i^{\text{th}}$  block does not depend on previous blocks
  - Unlike CBC or CFB, where you need to decrypt all previous blocks

## → Secure

- ⇒ Counters (if properly used) do not repeat! Predictability NOT a concern!
- ⇒ Guaranteed NO short cycle problems!
  - Since block is permutation, same output when same input repeats
    - » periodicity =  $2^n$

esempio CTR



risultati considerando PRP nello schema  $S \xrightarrow{\pi} S$