

MATH 223 Linear AlgebraOct 11, 2016Bases $V$  vector space over a field  $K$  $S \subseteq V$  subset  $S = \{s_1, \dots, s_n\}$ Def<sup>n</sup>:  $S$  basis for  $V$  if  $S$  independent & spanning $S$  basis  $\Rightarrow$  every vector  $v \in V$  can be written  
uniquely as a linear combination  
of  $s_1, \dots, s_n$ 

$$v = a_1 s_1 + \dots + a_n s_n, \quad a_i \in K$$

 $n$ -tuple  $(a_1, \dots, a_n)$  : coordinates of  $v \in V$   
with respect to basis  $S$ ! Theorem :All bases of  $V$  have the same number of elements.

This allows for

Def<sup>n</sup>: The Dimension of  $V$ , denoted  $\dim(V)$ ,  
is the number of elements in any basis.

ex.  $\dim \mathbb{R}^n = n$

$$\dim M_n(\mathbb{R}) = n \cdot n = n^2$$

$$\dim \{ \text{upper triangular} \} = \frac{n(n+1)}{2}$$

$$\dim \{ \text{lower triangular} \} = \frac{n(n+1)}{2}$$

$$\dim \text{Pol}_n(K) = n+1 \quad (\text{basis: all monomials } \{1, t, t^2, \dots, t^n\})$$

$$\dim \{0\} = 0 \quad (\text{by convention})$$

$$\dim \text{Pol}(K) = \infty \quad (\text{there is no finite basis})$$

a basis: all monomials  $\{1, t, t^2, \dots\}$

$\mathbb{R}$  as an  $\mathbb{R}$ -vector space has  $\dim 1$   
 $\mathbb{Q} \leftarrow$  cannot write basis

Hey Professor,  
 I was wondering  
 if an infinite  
 dimensional vector space  
 can have a basis,  
 and if so how?

### ! Theorem

There exists a basis for  $V$  (not a given)

Two Algorithms (for finite bases)

top-down : trim a spanning set  
 bottom-up : enrich an independent set

Does this stop?

Theorem: All bases of  $V$  have the same number of elements.

using Lemma: if  $\{f_1, \dots, f_m\}$  independent  
 and  $\{s_1, \dots, s_n\}$  spanning, then  $m \leq n$

→ Proof:

$$\left. \begin{array}{l} \{u_1, \dots, u_m\} \text{ basis: independent \& spanning} \\ \{v_1, \dots, v_n\} \text{ basis: independent \& spanning} \end{array} \right\} \Rightarrow \begin{array}{l} m \leq n \\ n \leq m \end{array}$$

$$\{v_1, \dots, v_n\} \text{ basis : independent \& spanning} \quad \left| \quad \underbrace{n \leq m}_{\substack{\text{Lemma} \\ \text{twice}}} \Rightarrow m = n$$

Proof (Lemma):

Idea: exchange  $f_1$  for some  $s$   
 exchange  $f_2$  for some other  $s$   
 $\vdots$   
 $f_m$

Write  $f_1 = a_1 s_1 + \dots + a_n s_n$

at least one of  $a_1, \dots, a_n$  is  $\neq 0$

WLOG, assume  $a_1 \neq 0$

$\Rightarrow$  write  $s_1 =$  linear combination of  $f_1, s_2, \dots, s_n$

$\Rightarrow \{f_1, s_2, \dots, s_n\}$  spanning

Write  $f_2 = b_1 f_1 + b_2 s_2 + \dots + b_n s_n$

at least one of  $b_2, \dots, b_n$  is  $\neq 0$

WLOG, assume  $b_2 \neq 0$

$\Rightarrow$  write  $s_2 =$  linear combination of  $f_1, f_2, s_3, \dots, s_n$

$\Rightarrow \{f_1, f_2, s_3, \dots, s_n\}$  spanning

and so on. In  $m$  steps,

get  $\{f_1, f_2, \dots, \underbrace{f_m}_{s}, \dots\}$  spanning

Theorem: Assume  $\dim V = n$ . Then:

① Any collection of more than  $n$  vectors  $\left| \begin{array}{l} S \subseteq V \\ \text{① } S \text{ independent} \\ \text{--- } |S| < \dots \end{array} \right.$

- |   |  |
|---|--|
| ① Any collection of more than <u><math>n</math></u> vectors is not independent. | ① $S$ independent<br>$\Rightarrow  S  \leq n$  |
| ② Any collection of less than <u><math>n</math></u> vectors is not spanning.    | ② $S$ spanning<br>$\Rightarrow  S  \geq n$<br>$\uparrow$<br>$ S  = \# \text{ of elements}$ |

Proof: Let  $B$  be a basis,  $|B| = n$

- |  |                 |
|--|-----------------|
| ① $S$ independent $\Rightarrow  S  \leq  B  = n$ ( $B$ spanning) | $\rangle$ Lemma |
| ② $S$ spanning $\Rightarrow  S  \geq  B  = n$ ( $B$ independent) |                 |
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Theorem: Assume  $\dim V = n$ . Let  $S \subseteq V$ ,  $|S| = n$

Then  $S$  independent  $\Leftrightarrow S$  spanning  $\Leftrightarrow S$  basis

ex:  $\text{Pol}_n(K)$ . We know  $\{1, t, t^2, \dots, t^n\}$  is a basis

Claim: given any scalar  $c \in K$ ,

$\{1, t-c, (t-c)^2, \dots, (t-c)^n\}$  basis

spanning : a bit harder ( $\leadsto$  Taylor Expansion)

independent : a bit easier

Linear combination = 0

$$a_n (t-c)^n + a_{n-1} (t-c)^{n-1} + \dots + a_1 (t-c) + a_0 = 0$$

polynomial of  $a_n t^n$  + lower order term = 0

$$\Rightarrow a_n = 0$$

$S$  independent  $\Rightarrow S'$  spanning

assume  $S'$  not spanning, let  $v$  not a linear combination of elements from  $S'$

$S' \cup \{v\}$  independent as well

$$|S' \cup \{v\}| = n + 1 \quad \downarrow$$

$S'$  spanning  $\Rightarrow S'$  independent

assume  $S'$  not independent, let  $s \in S'$

expressed as a linear combination of  $S' \setminus \{s\}$

$S' \setminus \{s\} \Rightarrow S' \setminus \{s\}$  spanning as well

$$|S' \setminus \{s\}| = n - 1 \quad \downarrow$$

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