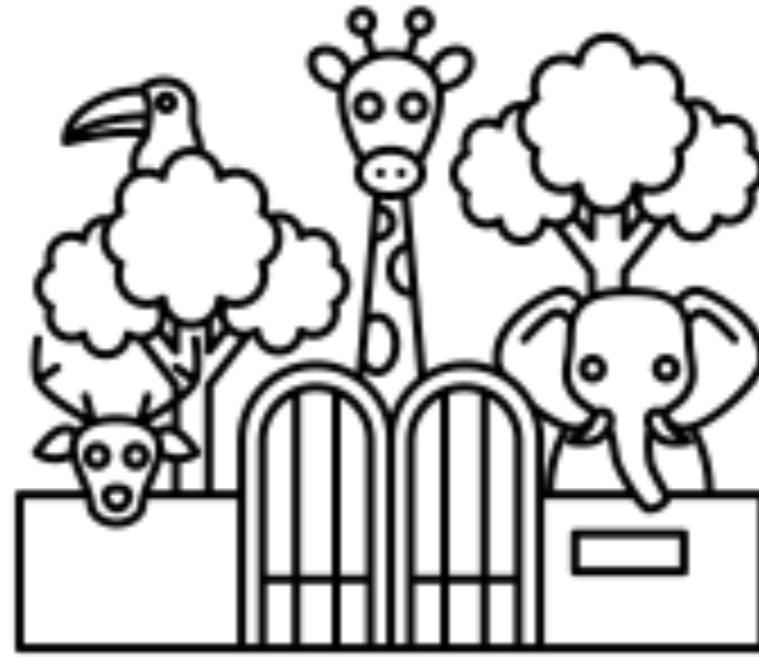


What has driven GAN progress?

- Loss functions:
cross-entropy, least square, Wasserstein loss, gradient penalty, Hinge loss, ...
- Network architectures (G/D)
Conv layers, Transposed Conv layers, modulation layers (AdaIN, spectral norm)
mapping networks, ...
- Training methods
 1. coarse-to-fine progressive training
 2. using pre-trained classifiers (multiple classifiers, random projection)
- Data
data alignment, data filtering, differentiable augmentation
- GPUs
bigger GPUs = bigger batch size (stable training) + higher resolution



2

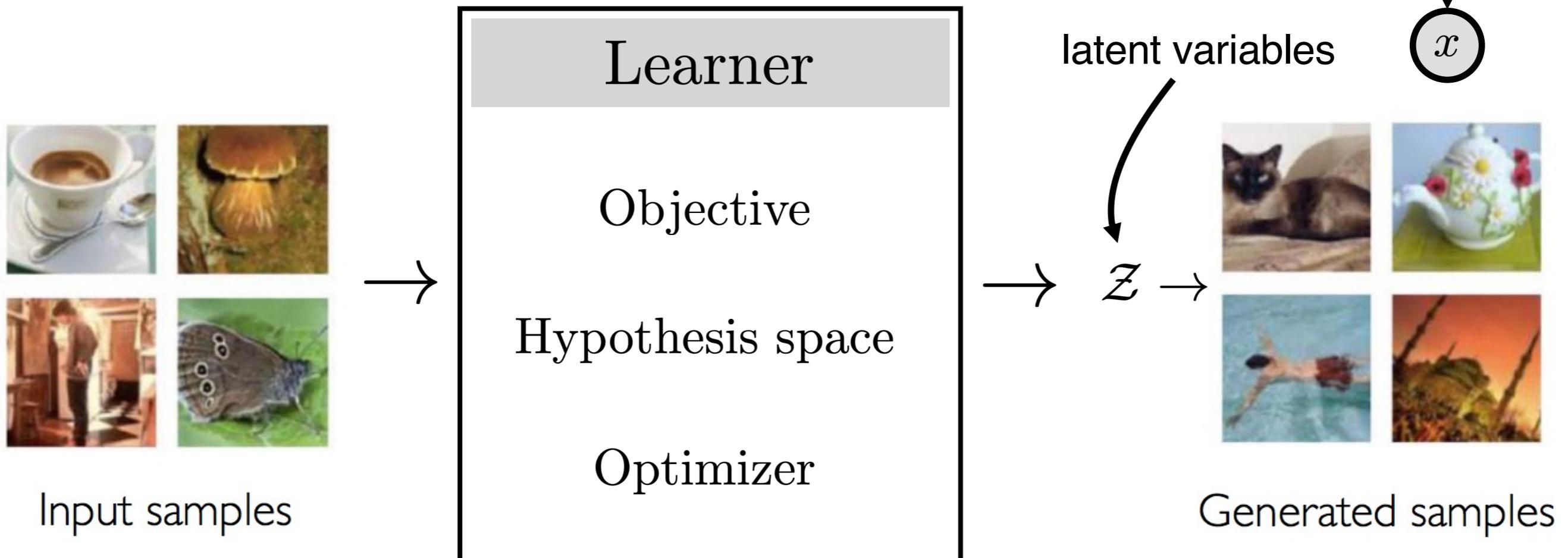
Generative Model Zoo (part I)

Jun-Yan Zhu

16-726 Learning-based Image Synthesis, Spring 2023

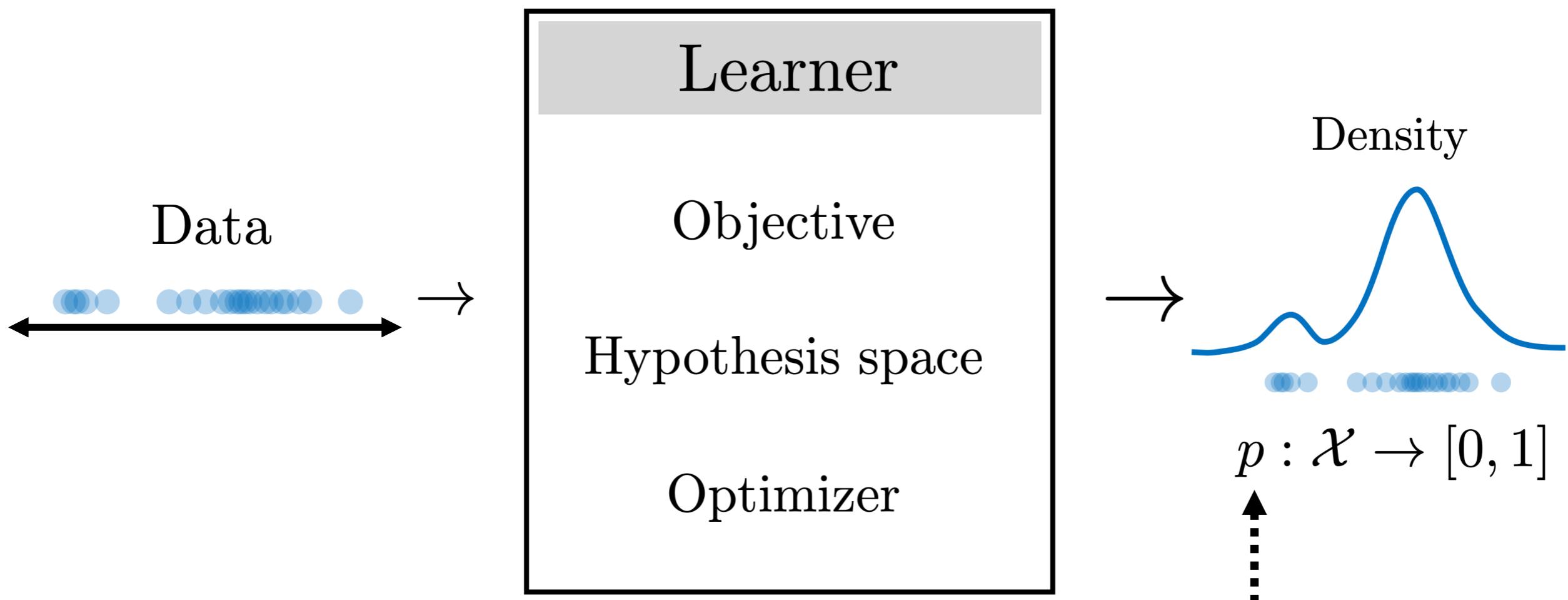
many slides from Phillip Isola, Richard Zhang, Alyosha Efros

Learning a generative model



[figs modified from: http://introtodeeplearning.com/materials/2019_6S191_L4.pdf]

Learning a density model



Integral of probability density function needs to be 1 \longrightarrow Normalized distribution
(some models output unnormalized *energy functions*)

[figs modified from: http://introtodeeplearning.com/materials/2019_6S191_L4.pdf]

Useful for abnormality/outlier detection (detect unlikely events)

Case study #1: Fitting a Gaussian to data

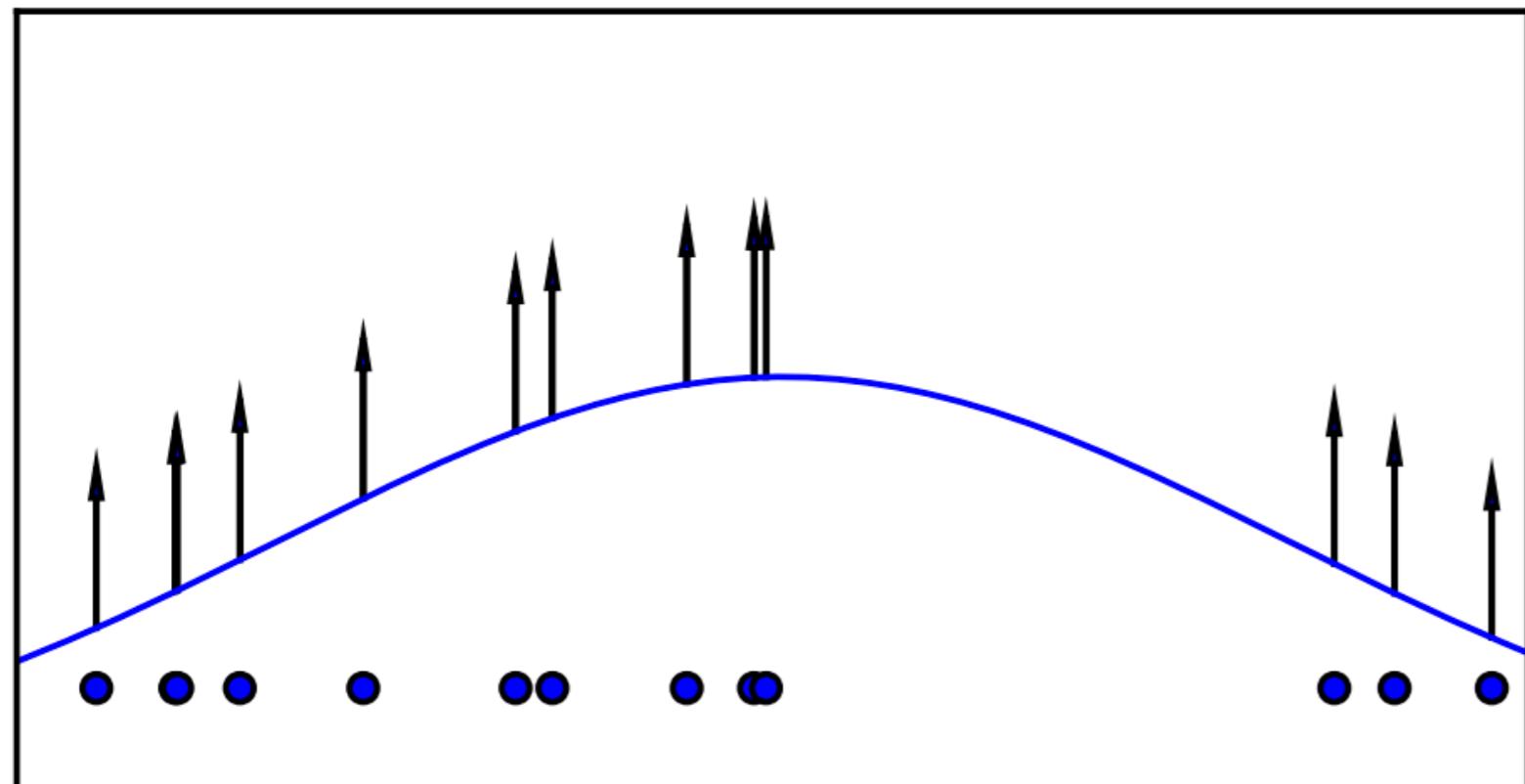


fig from [Goodfellow, 2016]

Max likelihood objective

$$\max_{\theta} \mathbb{E}_{x \sim p_{\text{data}}} [\log p_{\theta}(x)]$$

Considering only Gaussian fits

$$p_{\theta}(x) = \mathcal{N}(x; \mu, \sigma)$$

$$\theta = [\mu, \sigma]$$

Closed form optimum:

$$\mu = \frac{1}{N} \sum_{i=1}^N x_i \quad \sigma^2 = \frac{1}{N} \sum_{i=1}^N (x_i - \mu)^2$$

Maximum log likelihood=minimize KLD

$$\text{KLD (Kullback–Leibler divergence)}: \quad \mathcal{KL}(p||q) = \int p(x) \log \frac{p(x)}{q(x)} dx$$

$$\text{JSD (Jensen–Shannon divergence)}: \quad \mathcal{JSD}(p \parallel q) = \frac{1}{2}\mathcal{KL}(p \parallel \frac{p+q}{2}) + \frac{1}{2}\mathcal{KL}(q \parallel \frac{p+q}{2})$$

$$\mathbb{E}_{x \sim p_{\text{data}}(x)} [\log p_{\theta}(x)] = \int_x p_{\text{data}}(x) \log p_{\theta}(x) dx$$

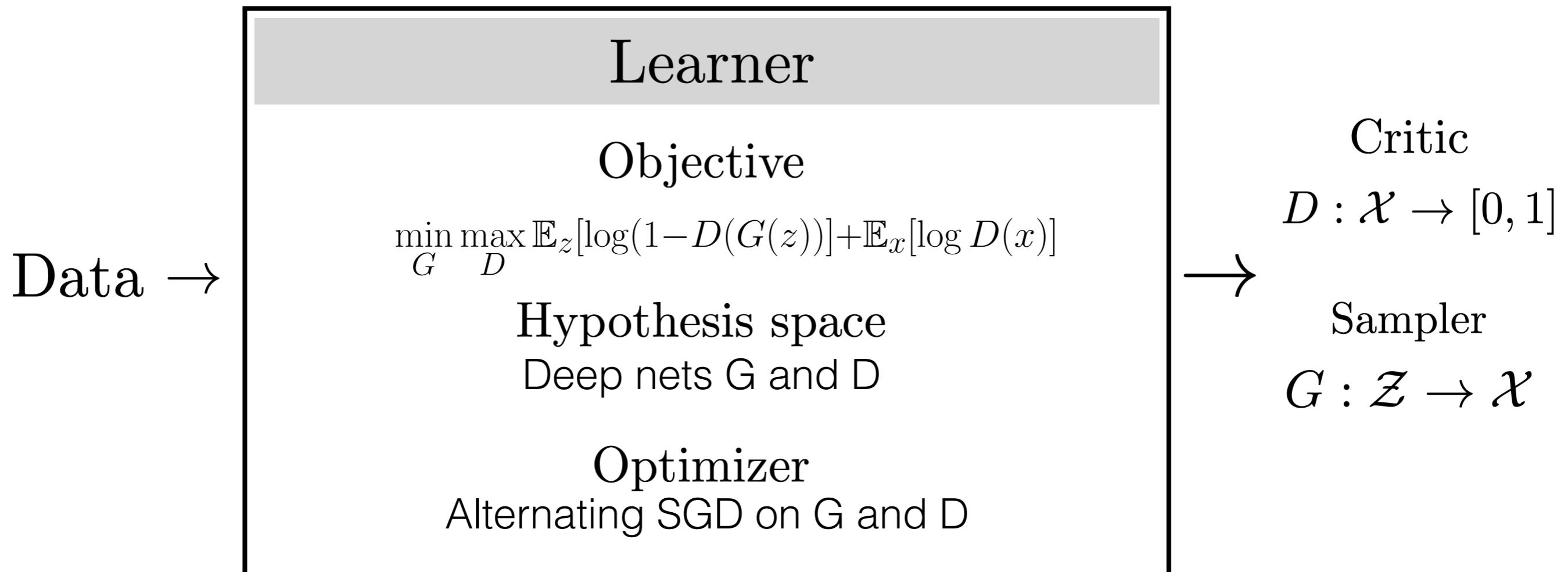
$$\mathcal{KL}(p_{\text{data}}(x)||p_{\theta}(x)) = \int_x p_{\text{data}}(x) \log \frac{p_{\text{data}}(x)}{p_{\theta}(x)} dx$$

$$= \int_x p_{\text{data}}(x) \log p_{\text{data}}(x) dx - \int_x p_{\text{data}}(x) \log p_{\theta}(x) dx$$

Constant
(independent of θ)

Maximize log likelihood=minimize KLD

Case study #2: Generative Adversarial Network



$p_g = p_{data}$ is the unique global minimizer of the GAN objective.

Proof

Optimal discriminator given fixed G

$$\begin{aligned} C(G) &= \mathbb{E}_{\mathbf{x} \sim p_{data}} [\log D_G^*(\mathbf{x})] + \mathbb{E}_{\mathbf{x} \sim p_g} [\log(1 - D_G^*(\mathbf{x}))] \\ &= \mathbb{E}_{\mathbf{x} \sim p_{data}} \left[\log \frac{p_{data}(\mathbf{x})}{P_{data}(\mathbf{x}) + p_g(\mathbf{x})} \right] + \mathbb{E}_{\mathbf{x} \sim p_g} \left[\log \frac{p_g(\mathbf{x})}{p_{data}(\mathbf{x}) + p_g(\mathbf{x})} \right] \end{aligned}$$

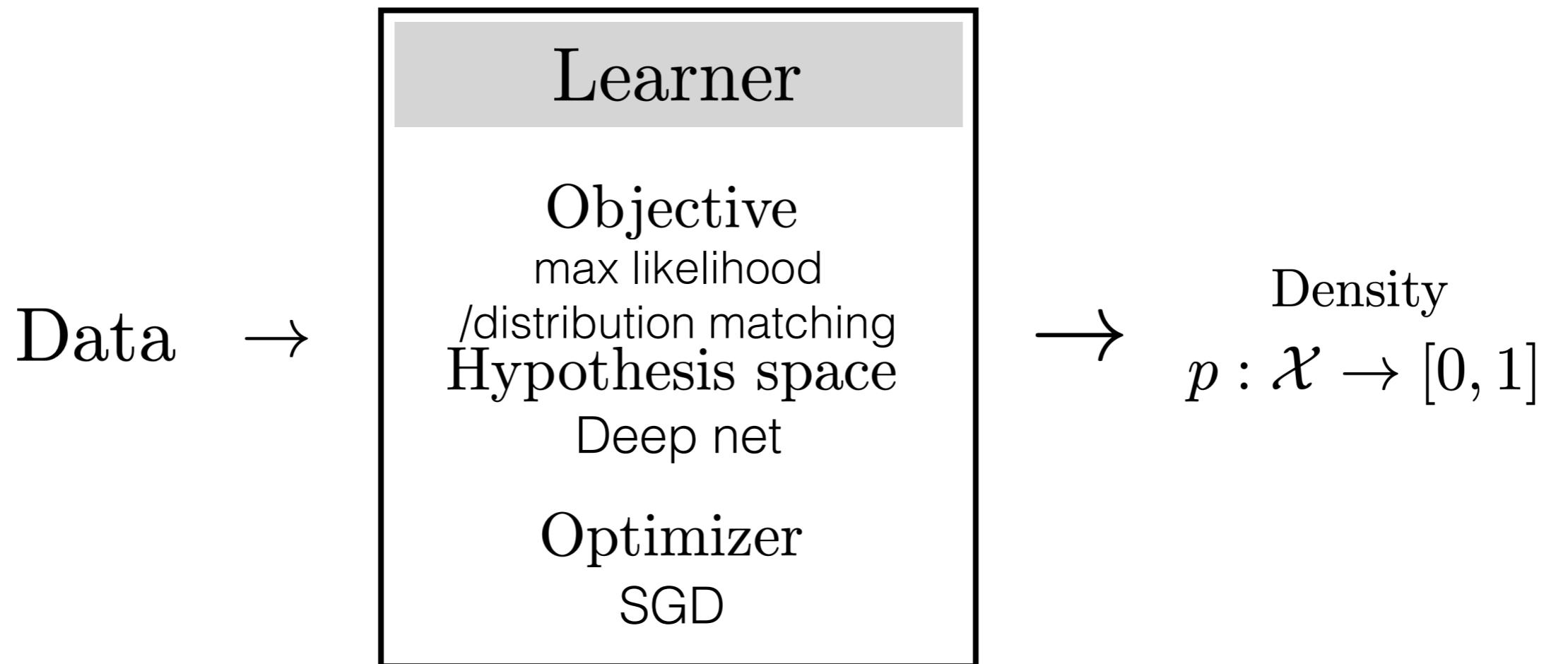
$$C(G) = -\log(4) + KL \left(p_{data} \middle\| \frac{p_{data} + p_g}{2} \right) + KL \left(p_g \middle\| \frac{p_{data} + p_g}{2} \right)$$

$$\begin{aligned} C(G) &= -\log(4) + 2 \cdot \underbrace{JSD(p_{data} \| p_g)}_{\geq 0, \quad 0 \iff p_g = p_{data}} \quad \square \end{aligned}$$

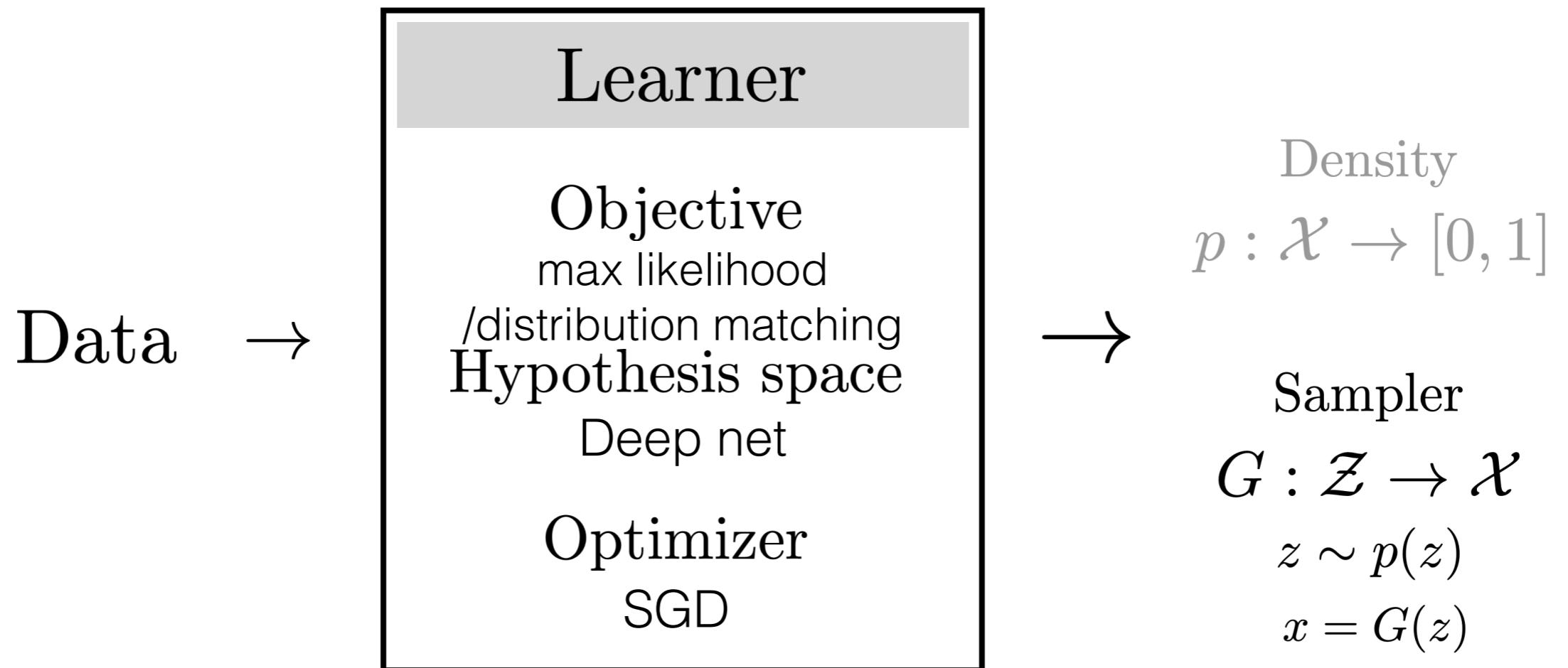
KLD (Kullback–Leibler divergence): $\mathcal{KL}(p||q) = \int p(x) \log \frac{p(x)}{q(x)} dx$

JSD (Jensen–Shannon divergence): $\mathcal{JSD}(p \| q) = \frac{1}{2}\mathcal{KL}(p \| \frac{p+q}{2}) + \frac{1}{2}\mathcal{KL}(q \| \frac{p+q}{2})$

Case study #3: learning a deep generative model

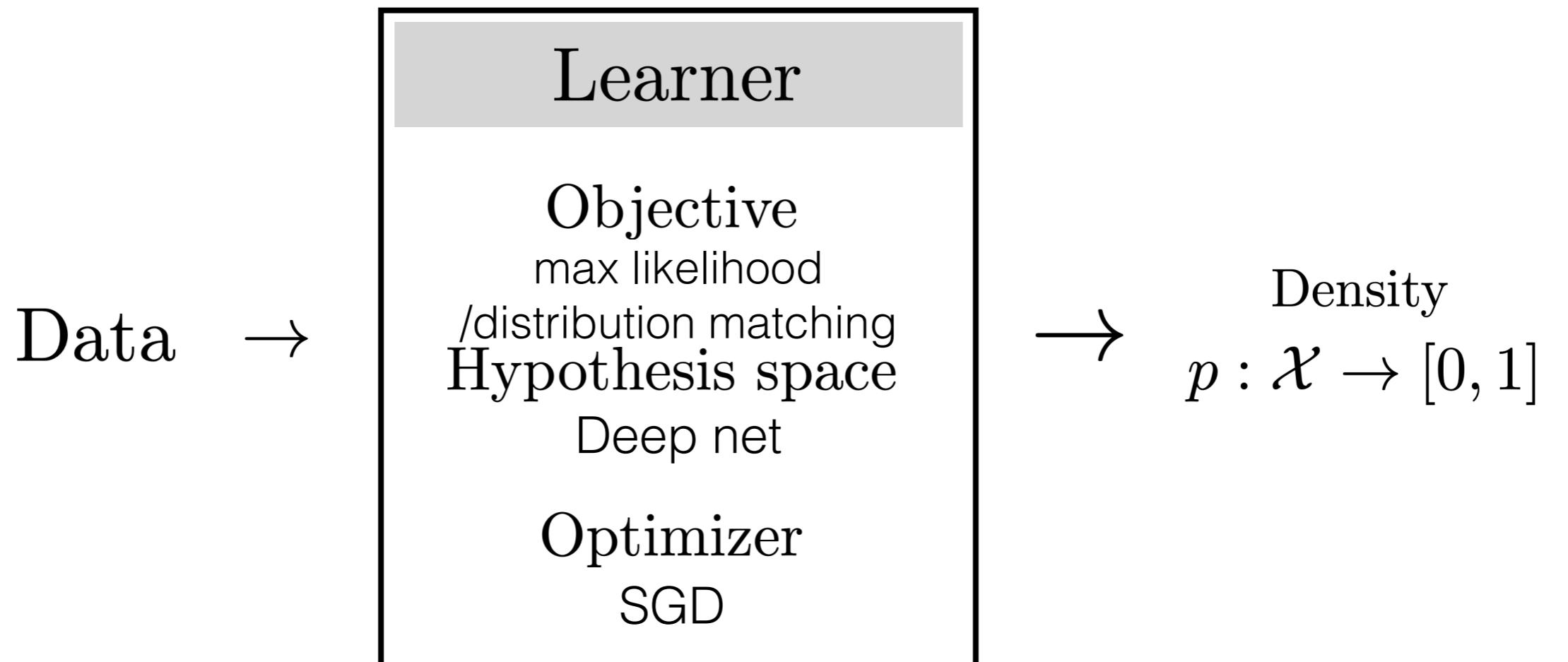


Case study #3: learning a deep generative model



Models that provide a sampler but no density are called **implicit generative models**

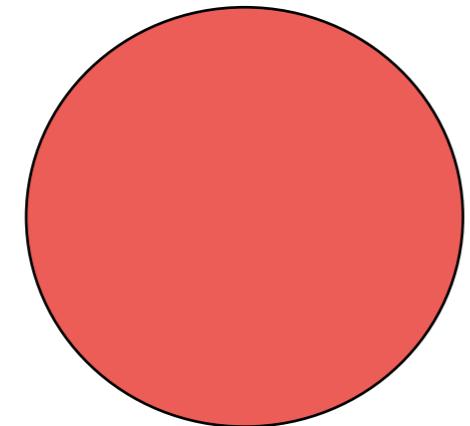
Case study #3: learning a deep generative model



Variational Autoencoders (VAEs)

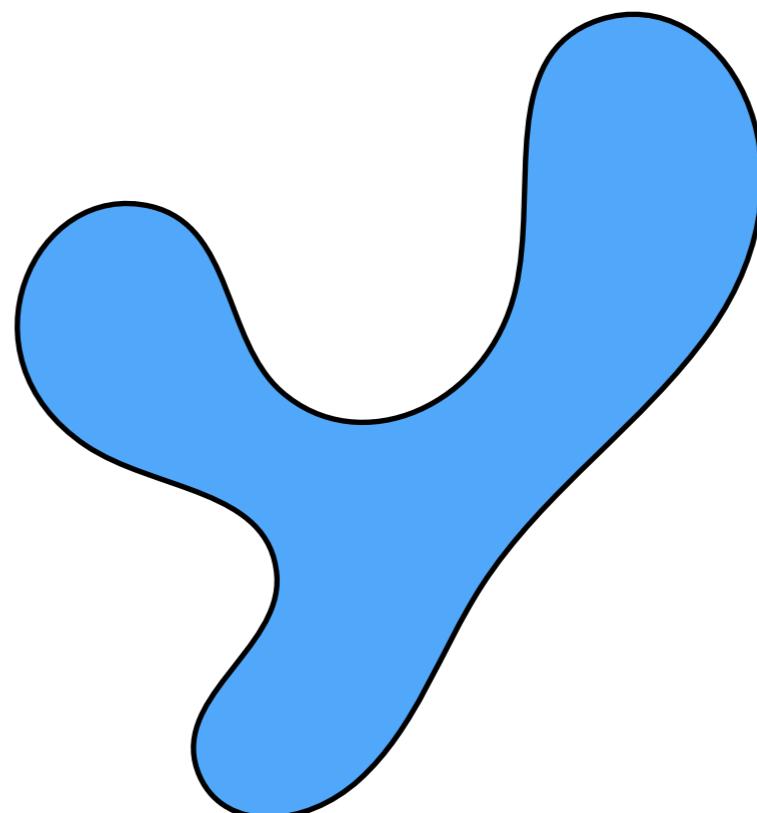
[Kingma & Welling, 2014; Rezende, Mohamed, Wierstra 2014]

Prior distribution



G
→

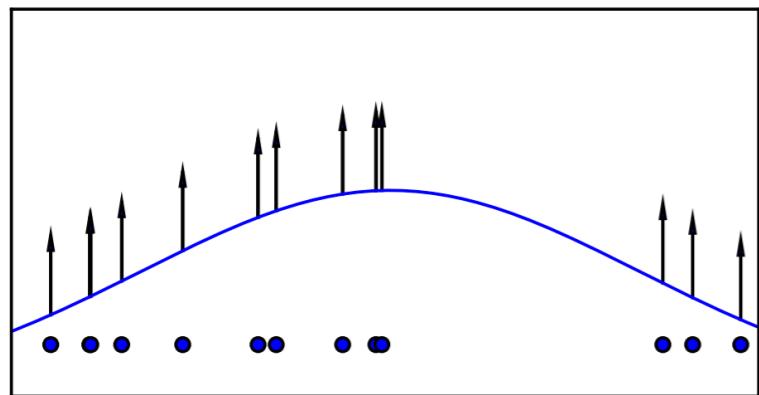
Target distribution



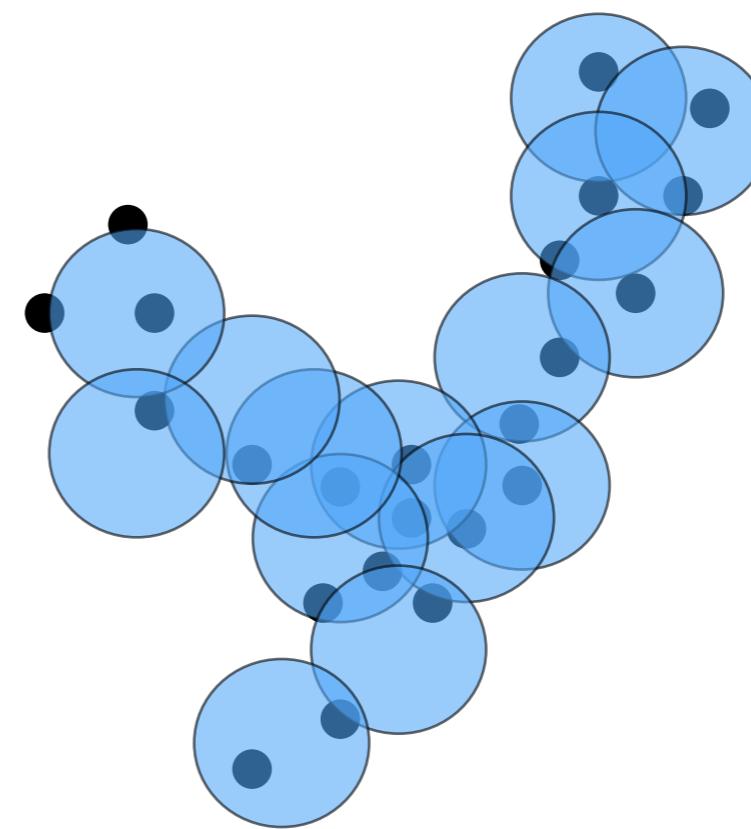
$p(z)$

$p(x)$

Mixture of Gaussians



Target distribution



$$p_{\theta}(x) = \sum_{i=1}^k w_i \mathcal{N}(x; u_i, \Sigma_i)$$

$x \sim p_{\text{data}}(x)$

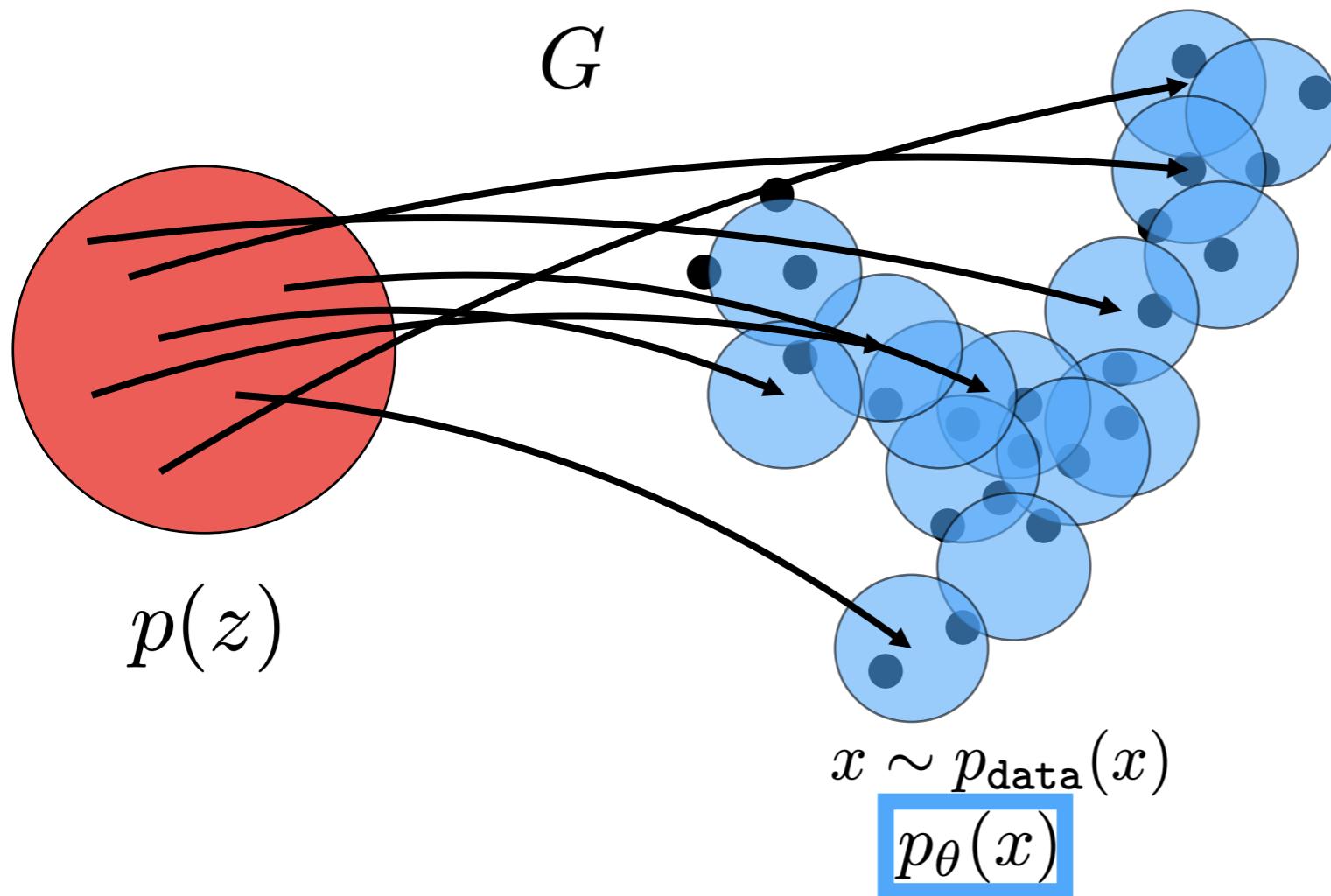
$p_{\theta}(x)$

Variational Autoencoders (VAEs)

[Kingma & Welling, 2014; Rezende, Mohamed, Wierstra 2014]

Prior distribution

Target distribution



Density model:

$$p_{\theta}(x) = \int p(x|z; \theta)p(z)dz$$

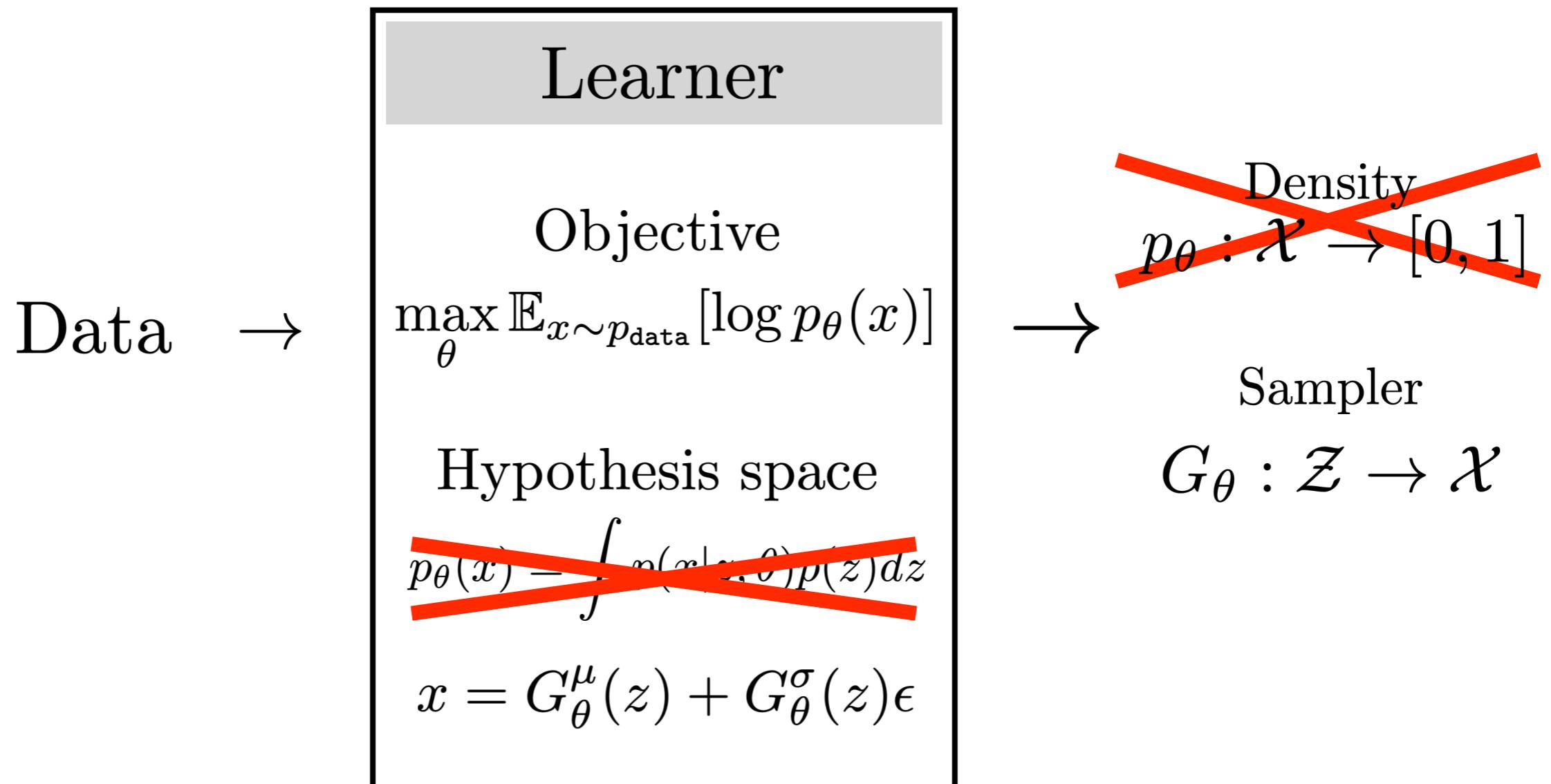
$$p(x|z; \theta) \sim \mathcal{N}(x; G_{\theta}^{\mu}(z), G_{\theta}^{\sigma}(z))$$

Sampling:

$$z \sim p(z) \quad \epsilon \sim \mathcal{N}(0, 1)$$

$$x = G_{\theta}^{\mu}(z) + G_{\theta}^{\sigma}(z)\epsilon$$

Variational Autoencoder (VAE)



Variational Autoencoders (VAEs)

Fitting a model to data requires computing $p_\theta(x)$

How to compute $p_\theta(x)$ efficiently?

$$p_\theta(x) = \int p(x|z; \theta)p(z)dz \quad \leftarrow \text{almost all terms are near zero}$$

Train “inference network” $q_\psi(z|x)$
to give distribution over the z’s that are likely to produce x

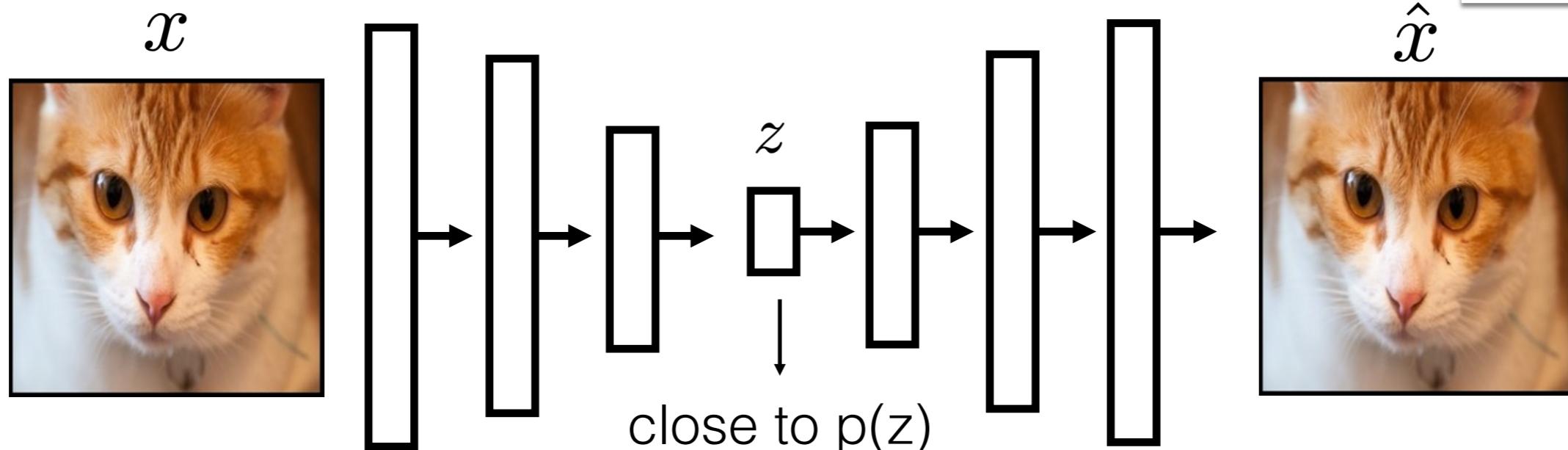
Approximate $p_\theta(x)$ with $\mathbb{E}_{q_\psi(z|x)}[p_\theta(x|z)]$

[Kingma and Welling, 2014]

Tutorial on VAEs [Doersch, 2016]

Variational Autoencoders (VAEs)

$$\text{encoder } z = E_{\psi}^{\mu}(x) + E_{\psi}^{\sigma}(x) \cdot \epsilon_z \quad \text{generator } \hat{x} = G_{\theta}^{\mu}(z)$$



$$\begin{aligned} & \max_{\theta} \mathbb{E}_{x \sim p_{\text{data}}} [\log p_{\theta}(x)] \\ & \geq \max_{\theta, \psi} \mathbb{E}_{x_i \sim p_{\text{data}}} [\mathbb{E}_{q_{\psi}(z|x_i)} [p_{\theta}(x|z)] - \text{KL}(q_{\psi}(z|x_i) || p(z))] \end{aligned}$$

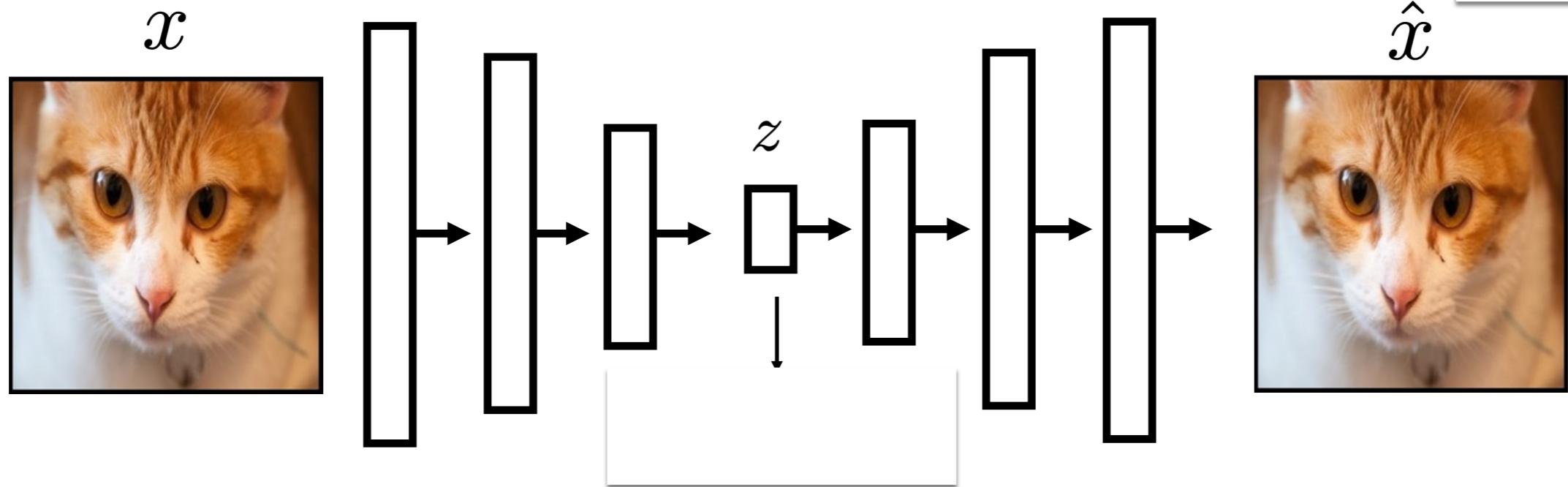
↑ ↓
reconstruction loss KLD loss
 $\|x - \hat{x}\|_2$ $\text{KLD}(\mathcal{N}(E_{\psi}^{\mu}(x), E_{\psi}^{\sigma}(x)) \mid \mathcal{N}(0, I))$

[Kingma and Welling, 2014]

Autoencoders (AEs)

encoder $z = E_{\psi}^{\mu}(x)$

generator $\hat{x} = G_{\theta}^{\mu}(z)$



$$\max_{\theta, \psi} \mathbb{E}_{x_i \sim p_{\text{data}}} [\mathbb{E}_{q_{\psi}(z|x_i)} [p_{\theta}(x|z)]]$$

↑
reconstruction loss
 $\|x - \hat{x}\|_2$



Variational Autoencoders (VAEs)



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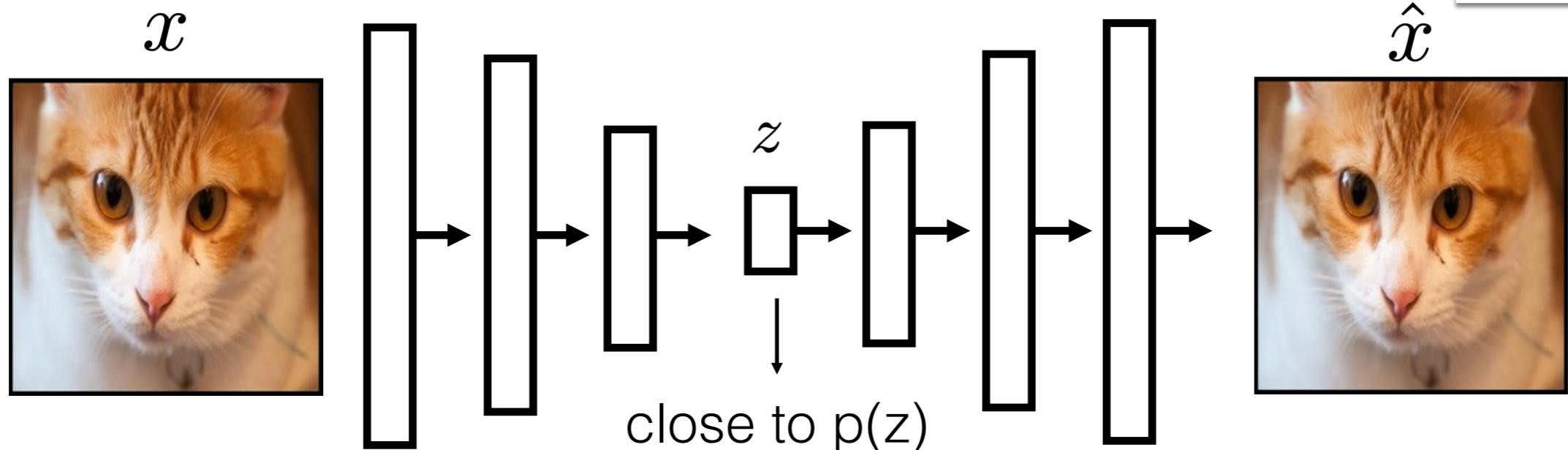
VAE with two-dimensional latent space

How to improve VAE?

- Why are the results blurry?
 - L2 reconstruction loss?
 - Lower bound might not be tight?
- How can we further improve results?

VAE + Perceptual Loss

$$\text{encoder } z = E_{\psi}^{\mu}(x) + E_{\psi}^{\sigma}(x) \cdot \epsilon_z \quad \text{generator } \hat{x} = G_{\theta}^{\mu}(z)$$

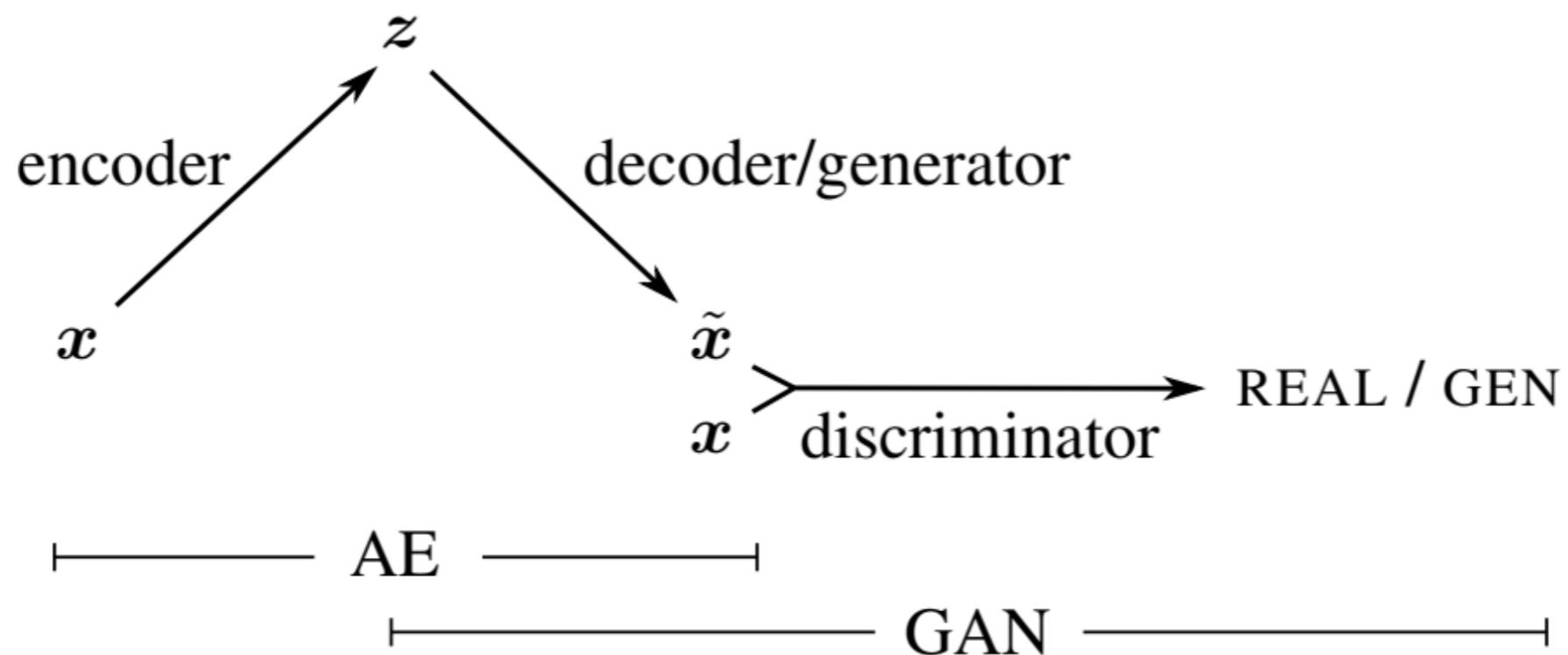


$$\begin{aligned} & \max_{\theta} \mathbb{E}_{x \sim p_{\text{data}}} [\log p_{\theta}(x)] \\ & \geq \max_{\theta, \psi} \mathbb{E}_{x_i \sim p_{\text{data}}} [\mathbb{E}_{q_{\psi}(z|x_i)} [p_{\theta}(x|z)] - \text{KL}(q_{\psi}(z|x_i) || p(z))] \end{aligned}$$

↑ ↓
Perceptual loss Multi-variate Gaussian
 KLD loss

$$||F(x) - F(\hat{x})||_2 \quad \text{KLD}(\mathcal{N}(E_{\psi}^{\mu}(x), E_{\psi}^{\sigma}(x)) \mid \mathcal{N}(0, I))$$

VAE + GANs



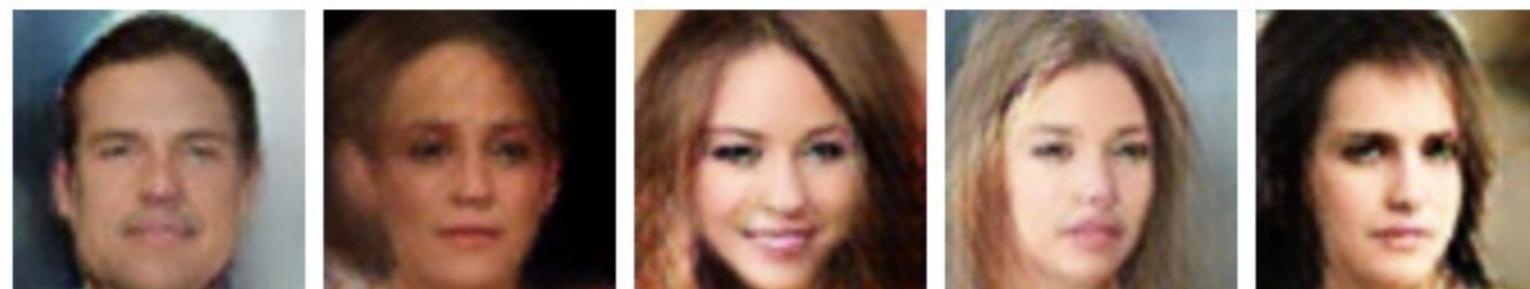
Autoencoding beyond pixels using a learned similarity metric [Larsen et al. 2015]

VAE + GANs

VAE



VAE_{Dis_l}



VAE/GAN



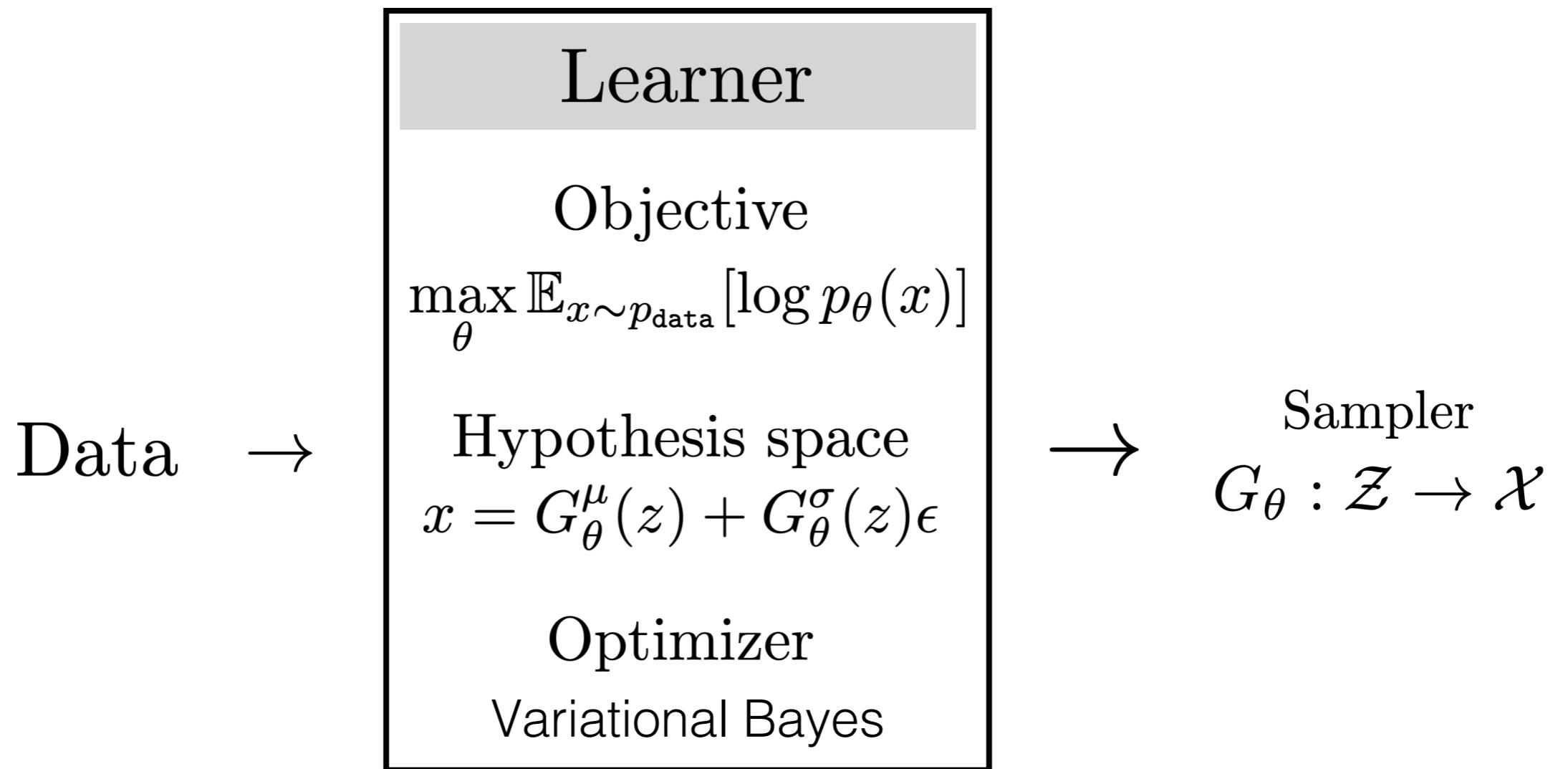
GAN



VAE(Dis_l) = VAE + feature matching loss

[Larsen et al. 2015]

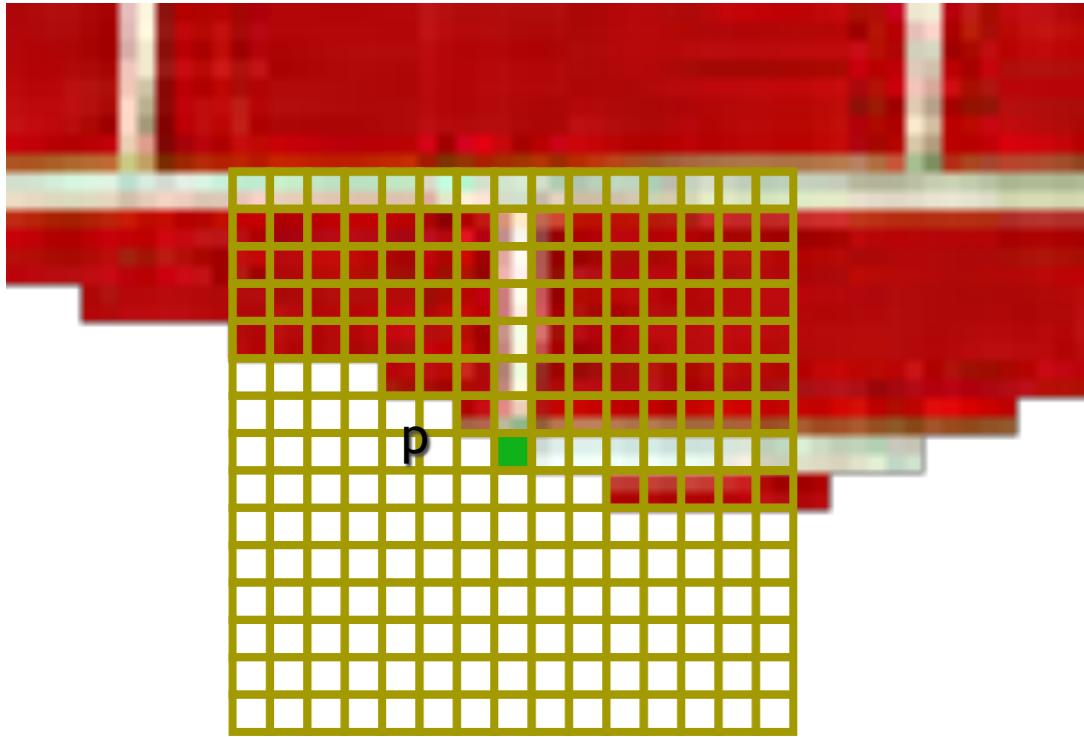
Variational Autoencoder (VAE)



Autoregressive Model

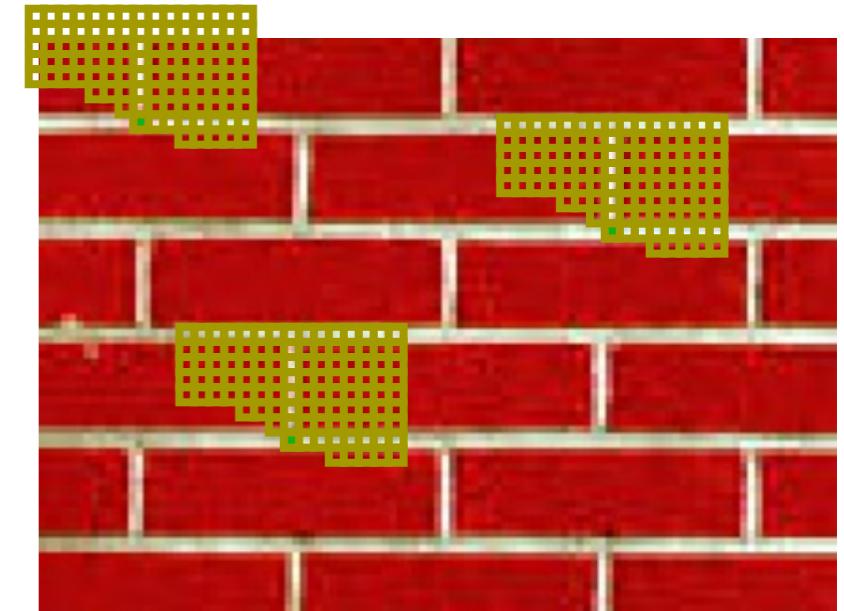
Texture synthesis by non-parametric sampling

[Efros & Leung 1999]



Synthesizing a pixel

non-parametric
sampling

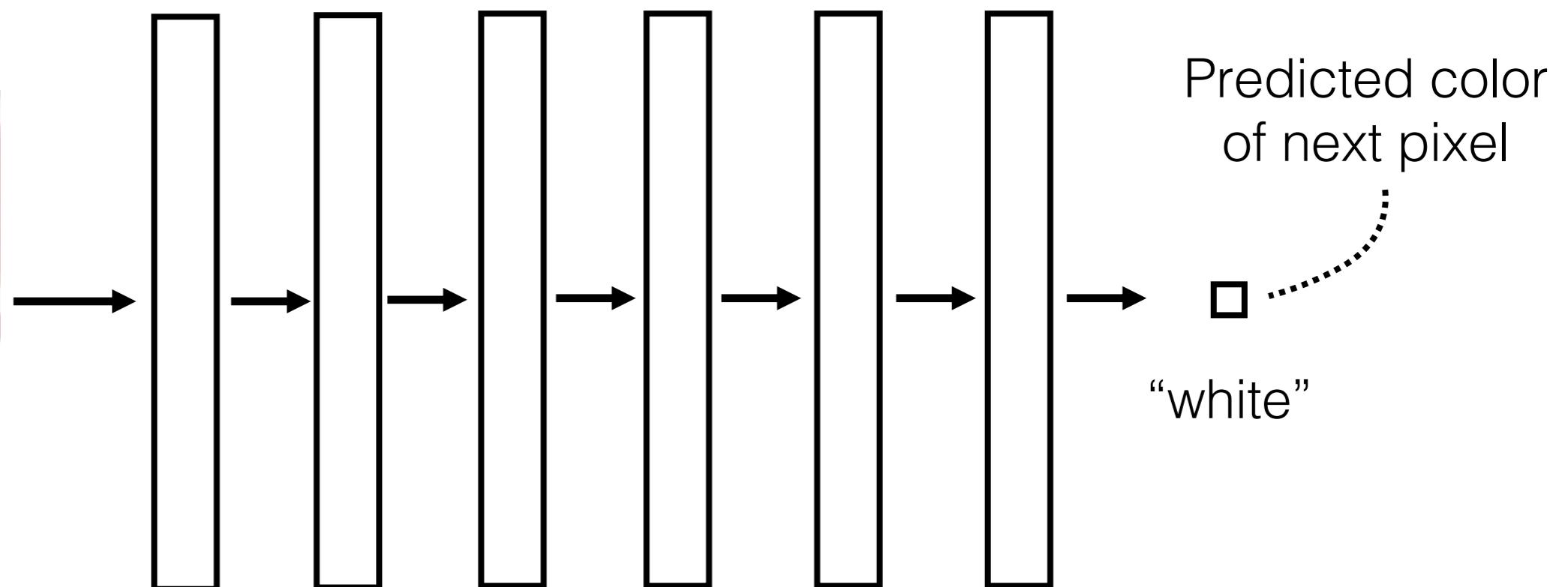
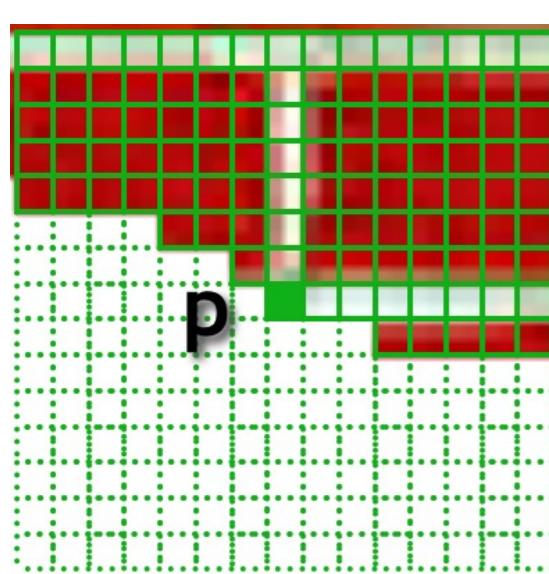


Input image

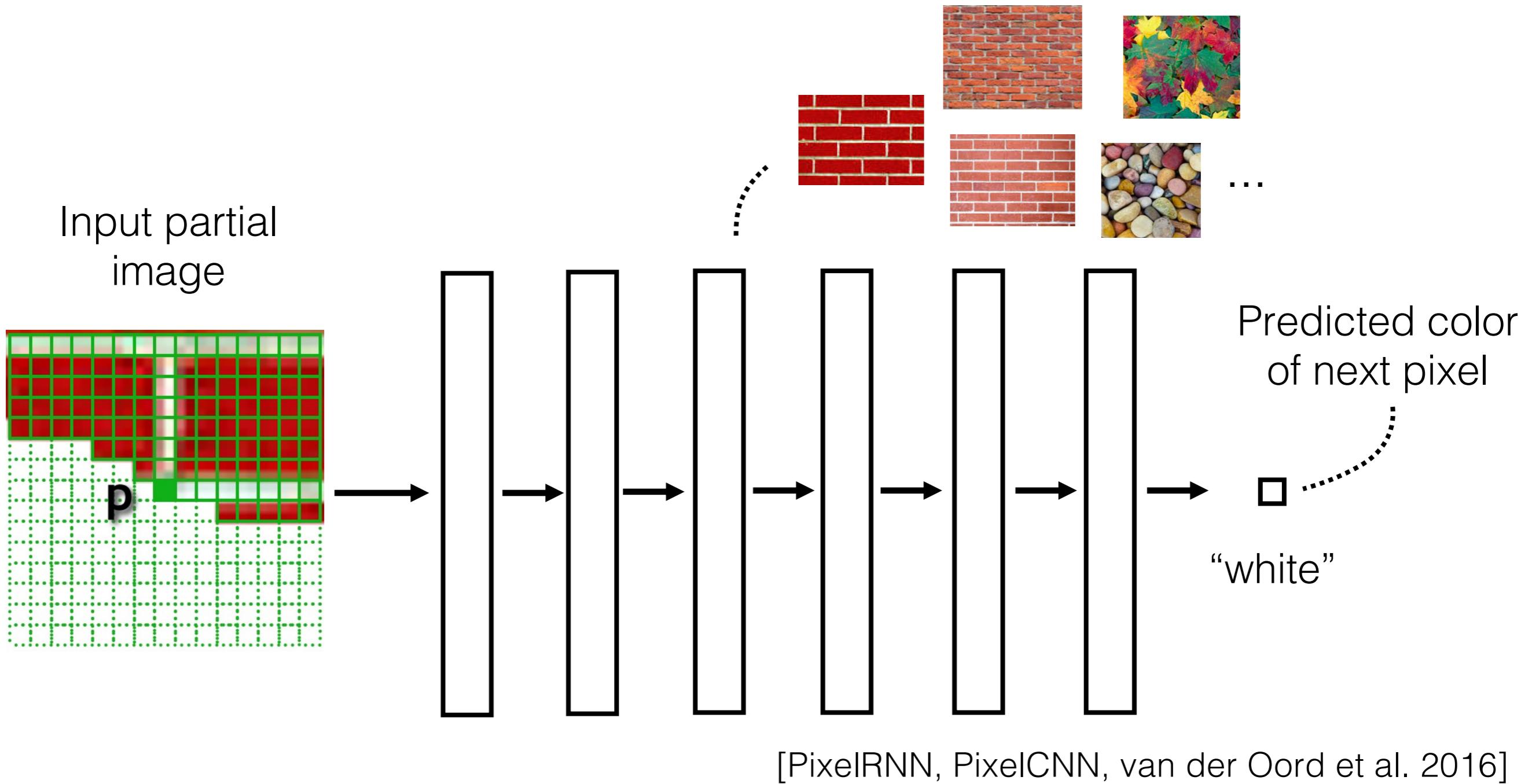
Models $P(p|N(p))$

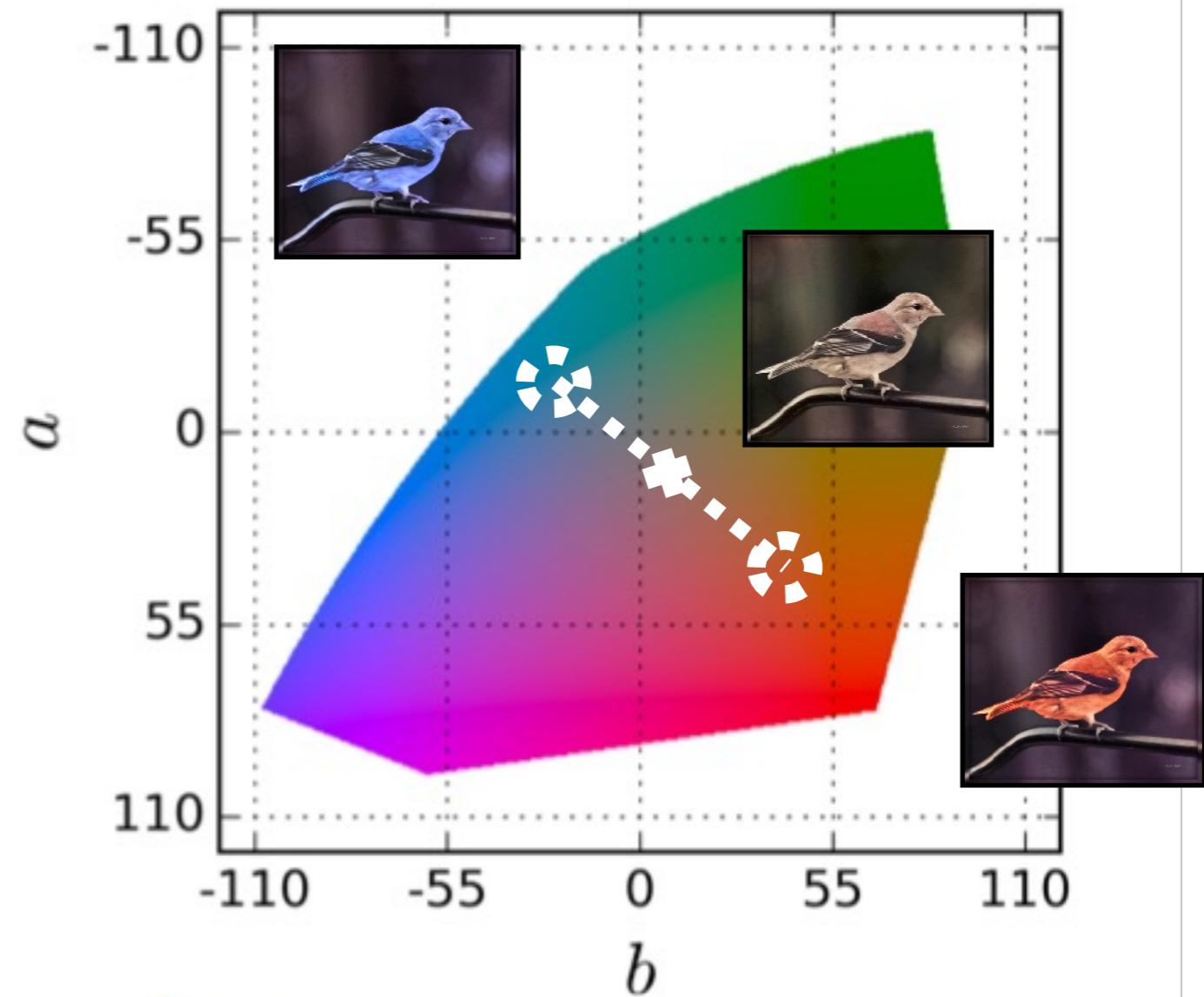
Autoregressive image synthesis

Input partial
image



[PixelRNN, PixelCNN, van der Oord et al. 2016]





$$L_2(\hat{\mathbf{Y}}, \mathbf{Y}) = \frac{1}{2} \sum_{h,w} \|\mathbf{Y}_{h,w} - \hat{\mathbf{Y}}_{h,w}\|_2^2$$

Classification Loss

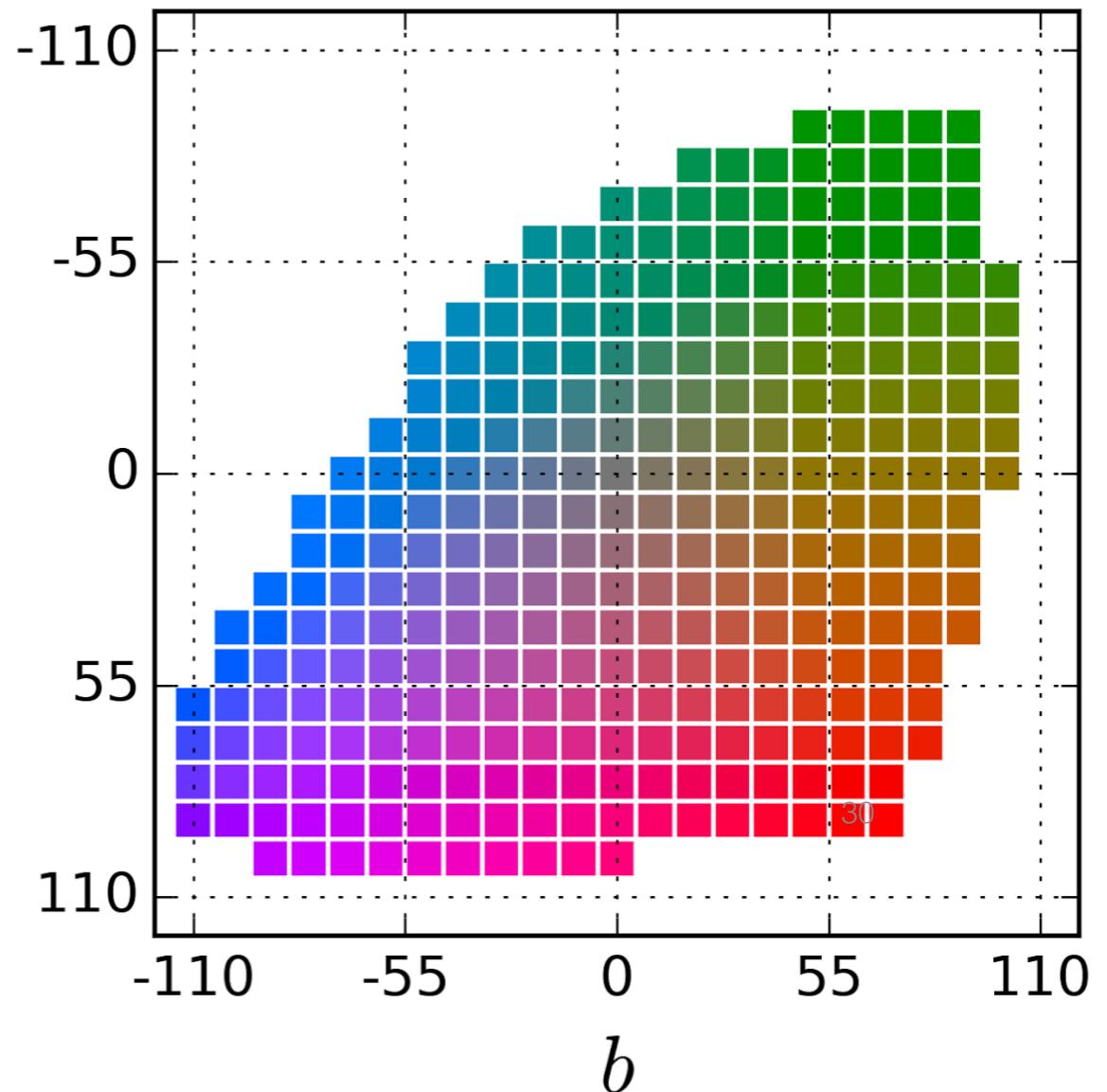
$$\theta^* = \arg \min_{\theta} \ell(\mathcal{F}_{\theta}(\mathbf{X}), \mathbf{Y})$$

- Regression with L2 loss inadequate

$$L_2(\hat{\mathbf{Y}}, \mathbf{Y}) = \frac{1}{2} \sum_{h,w} \|\mathbf{Y}_{h,w} - \hat{\mathbf{Y}}_{h,w}\|_2^2$$

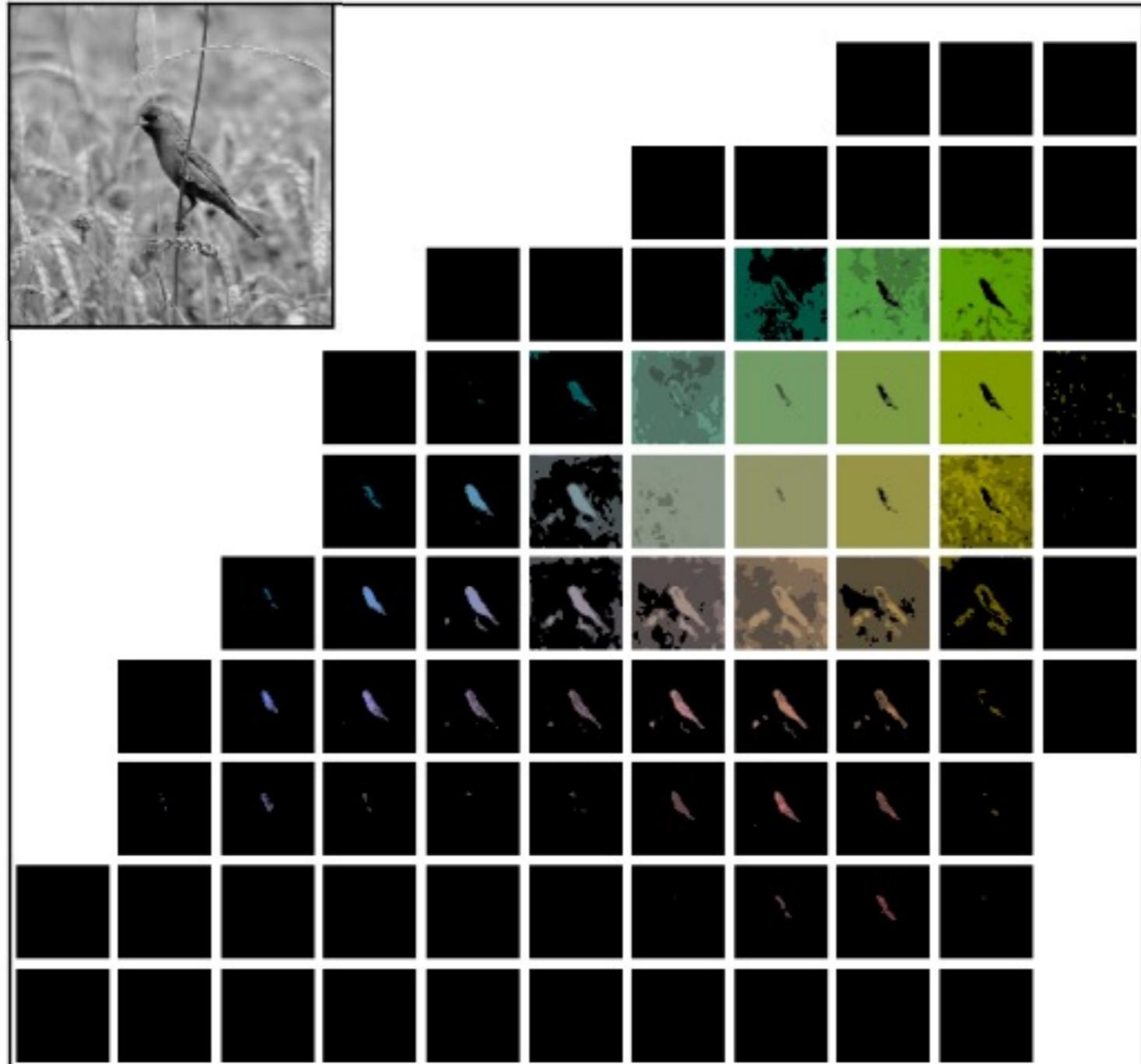
- Use per-pixel multinomial classification

$$L(\hat{\mathbf{Z}}, \mathbf{Z}) = -\frac{1}{HW} \sum_{h,w} \sum_q \mathbf{Z}_{h,w,q} \log(\hat{\mathbf{Z}}_{h,w,q})$$



Colors in *ab* space
(discrete)

a



b

Designing loss functions

Input



Zhang et al. 2016



Ground truth

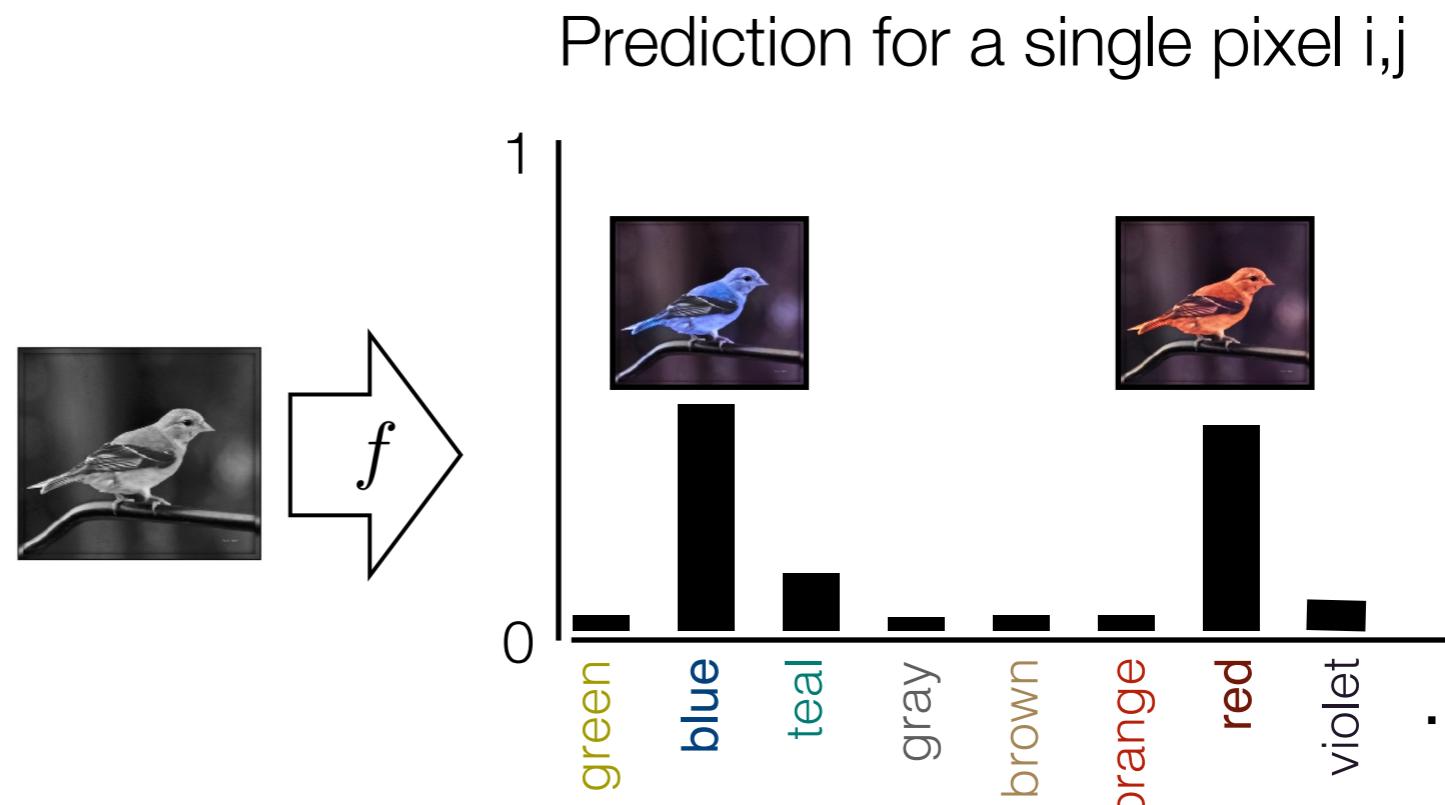
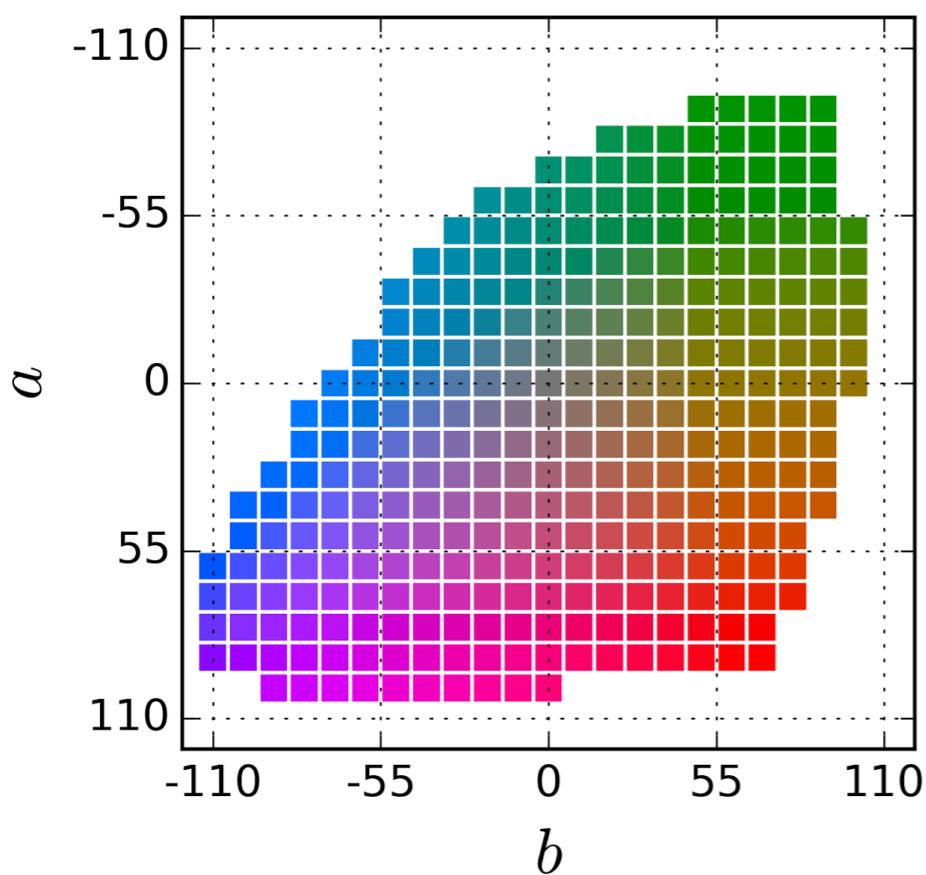


Color distribution cross-entropy loss with colorfulness enhancing term.

[Zhang, Isola, Efros, ECCV 2016]

Recall: we can represent colors as discrete classes

$$\mathbf{y} \in \mathbb{R}^{H \times W \times K}$$



$$\mathcal{L}(\mathbf{y}, f_\theta(\mathbf{x})) = H(\mathbf{y}, \text{softmax}(f_\theta(\mathbf{x})))$$

And we can interpret the learner as modeling $P(\text{next pixel} \mid \text{previous pixels})$:

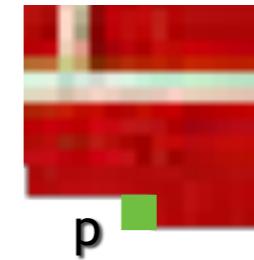
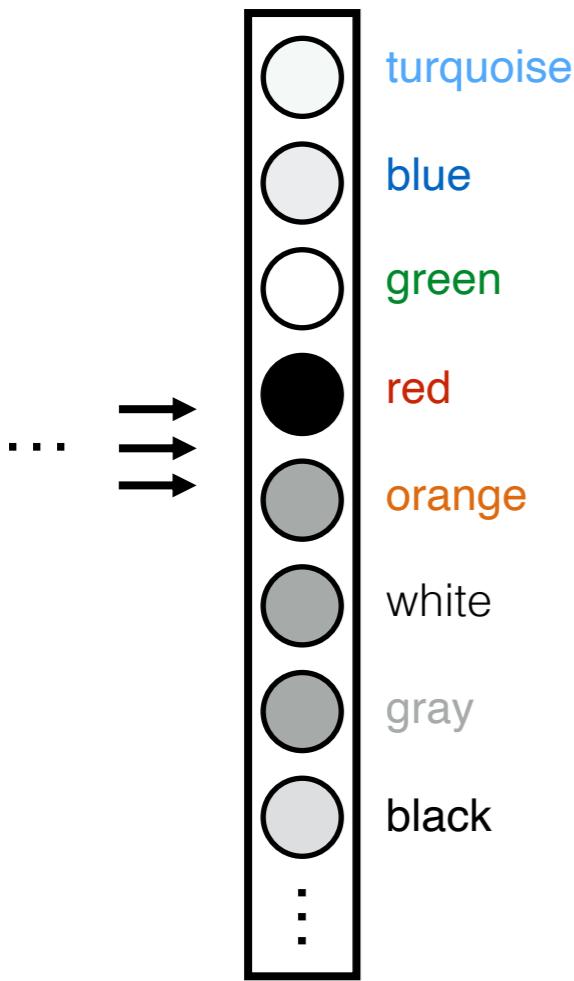
Softmax regression (a.k.a. multinomial logistic regression)

$$\hat{\mathbf{y}} \equiv [P_{\theta}(Y = 1|X = \mathbf{x}), \dots, P_{\theta}(Y = K|X = \mathbf{x})] \quad \leftarrow \text{predicted probability of each class given input } \mathbf{x}$$

$$H(\mathbf{y}, \hat{\mathbf{y}}) = - \sum_{k=1}^K y_k \log \hat{y}_k \quad \leftarrow \begin{array}{l} \text{One-hot vector} \\ \text{picks out the -log likelihood} \\ \text{of the ground truth class } \mathbf{y} \\ \text{under the model prediction } \hat{\mathbf{y}} \end{array}$$

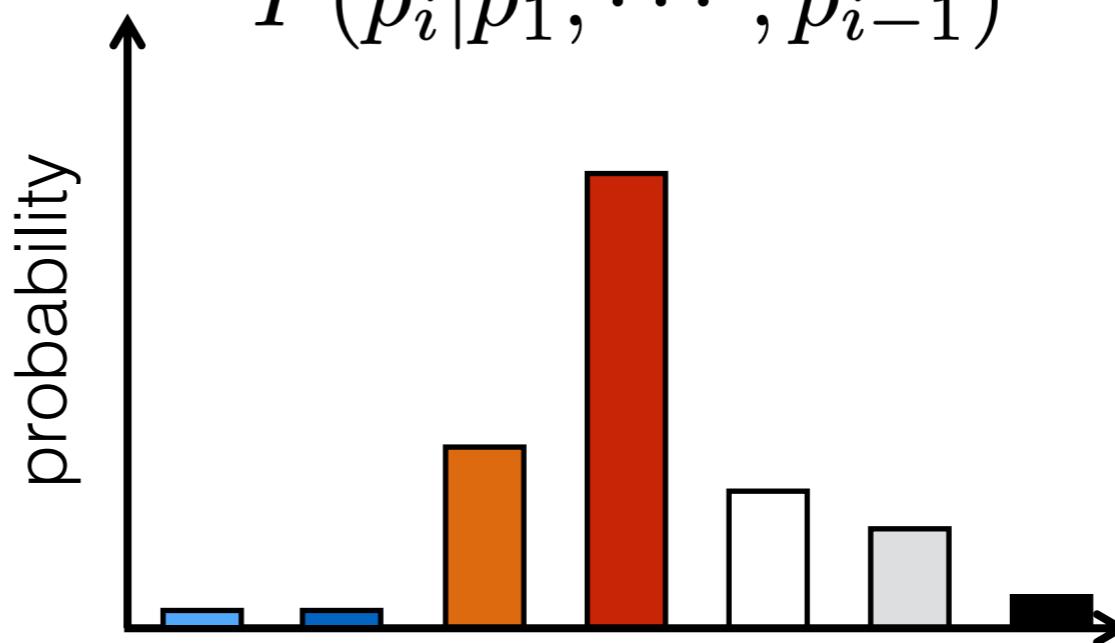
$$f^* = \arg \min_{f \in \mathcal{F}} \sum_{i=1}^N H(\mathbf{y}_i, \hat{\mathbf{y}}_i) \quad \leftarrow \begin{array}{l} \text{max likelihood learner!} \\ \text{Cross-entropy loss} \end{array}$$

Network output

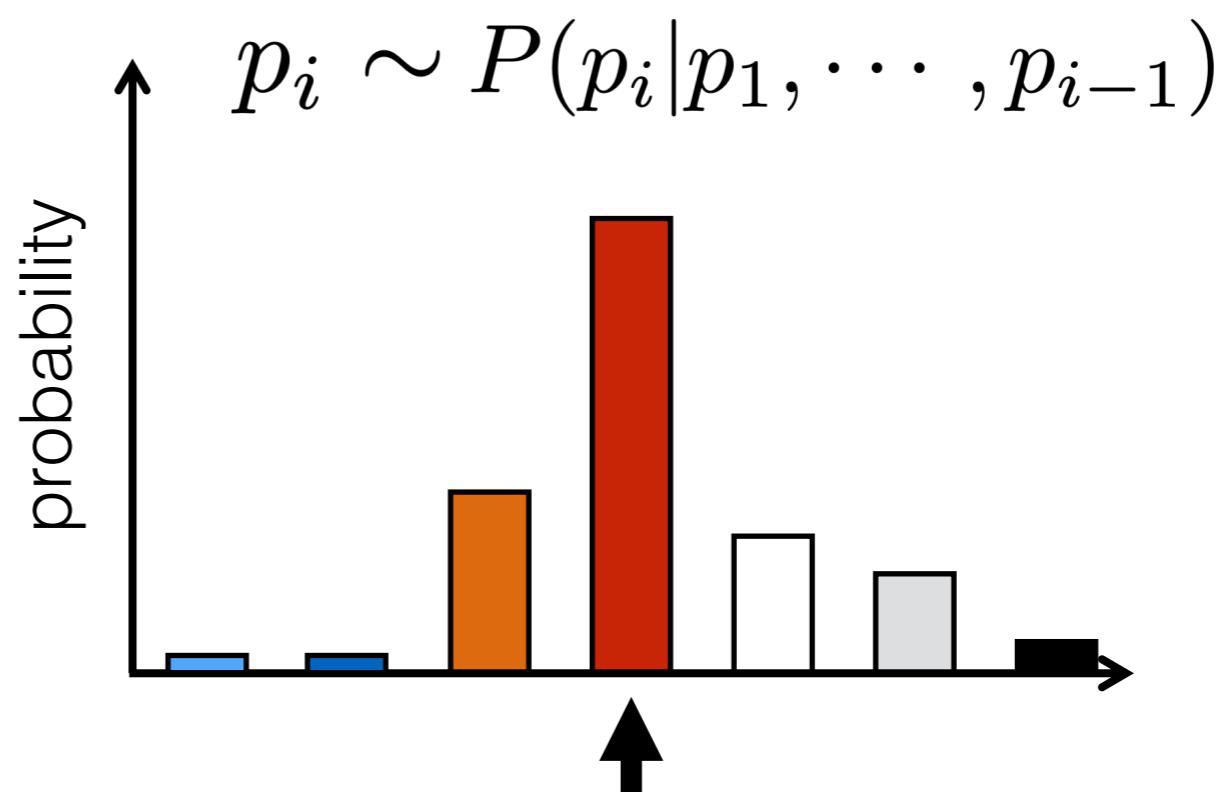
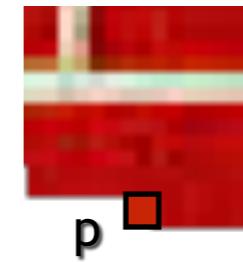
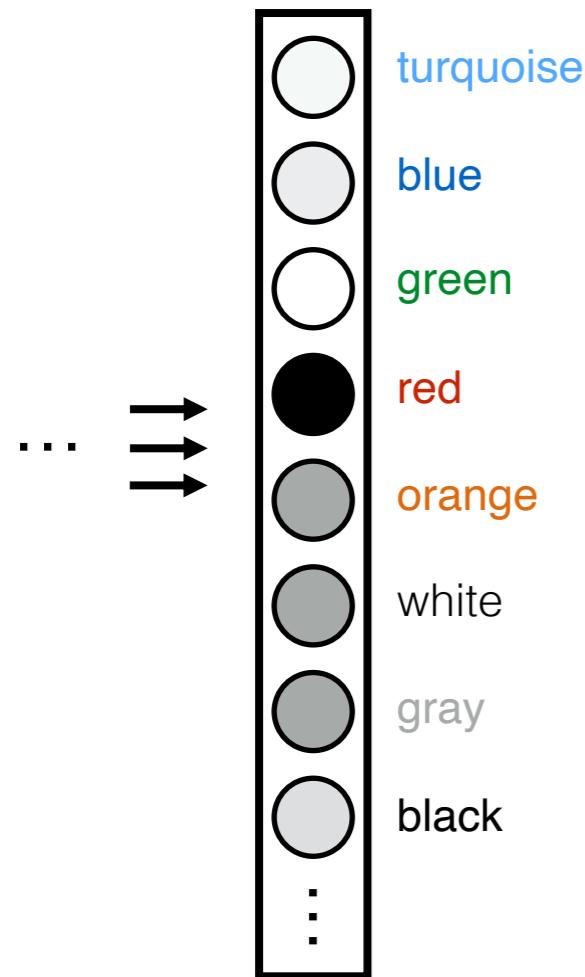


$P(\text{next pixel} \mid \text{previous pixels})$

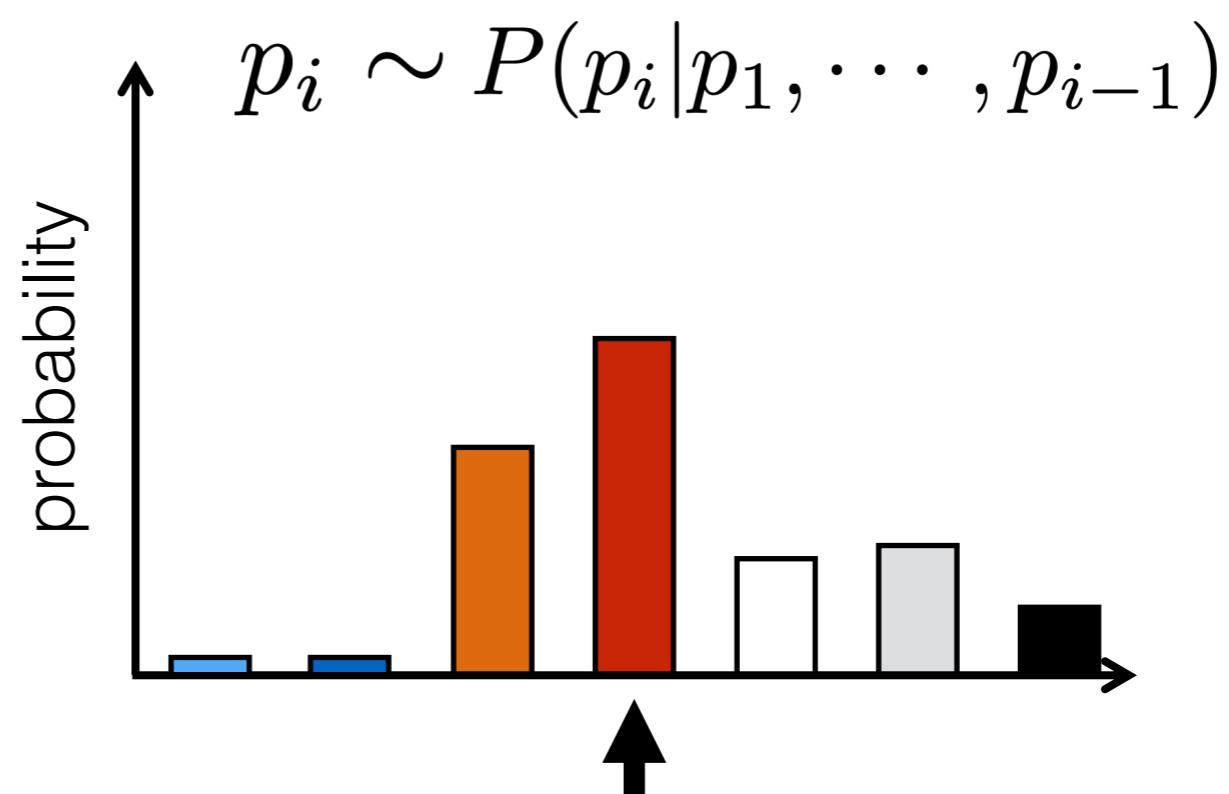
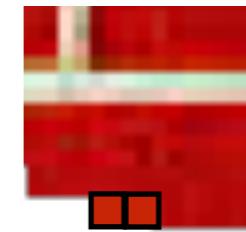
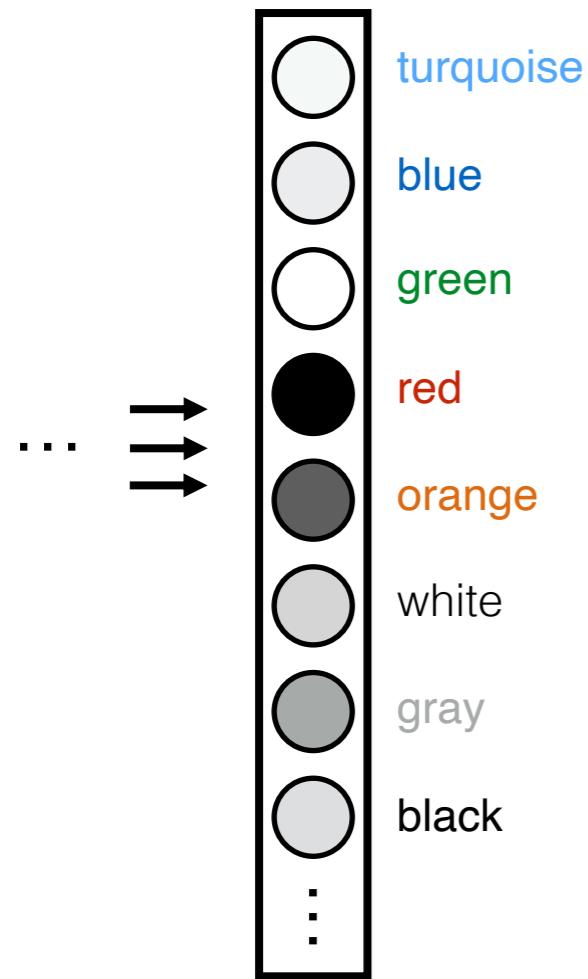
$$P(p_i | p_1, \dots, p_{i-1})$$



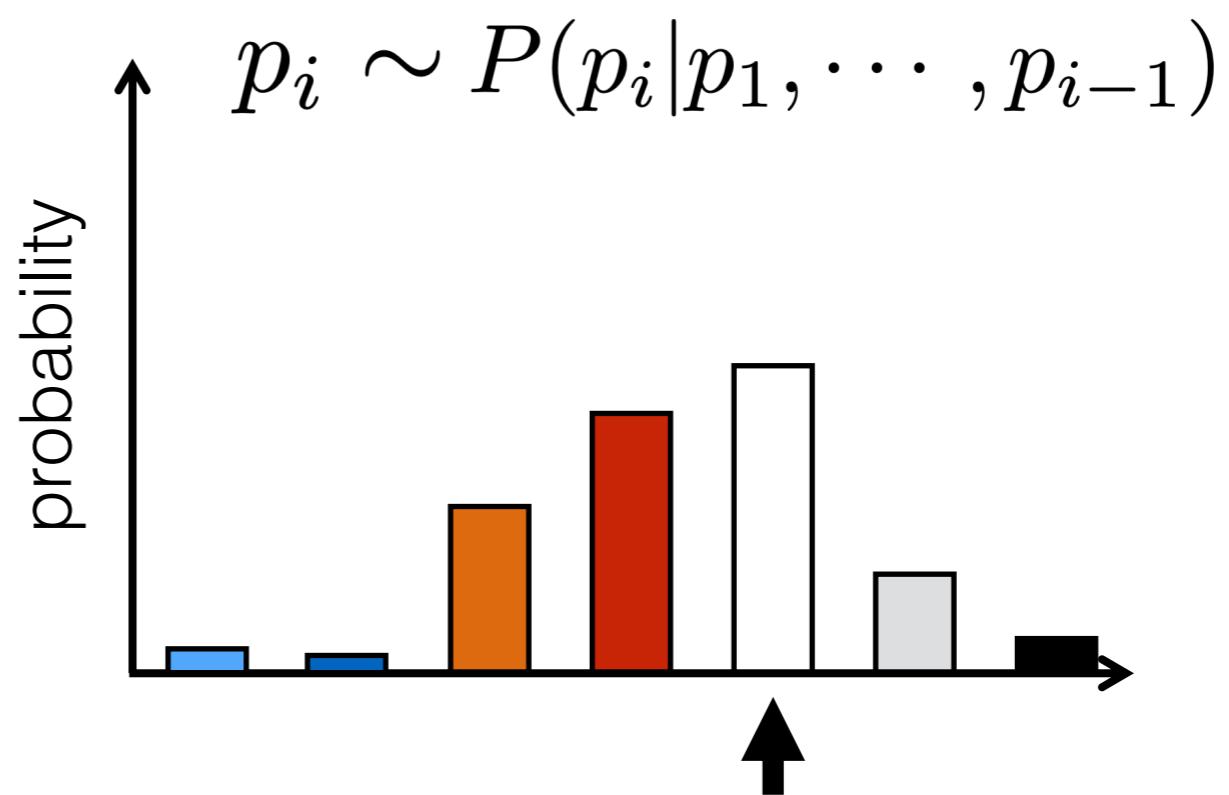
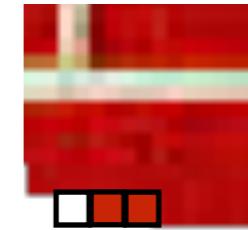
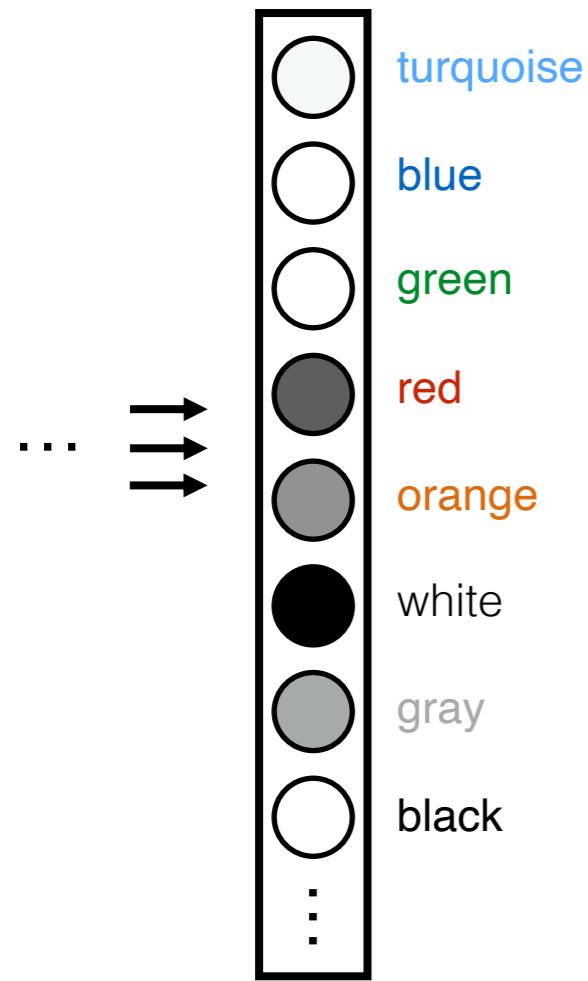
Network output



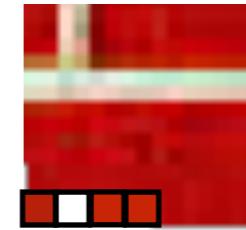
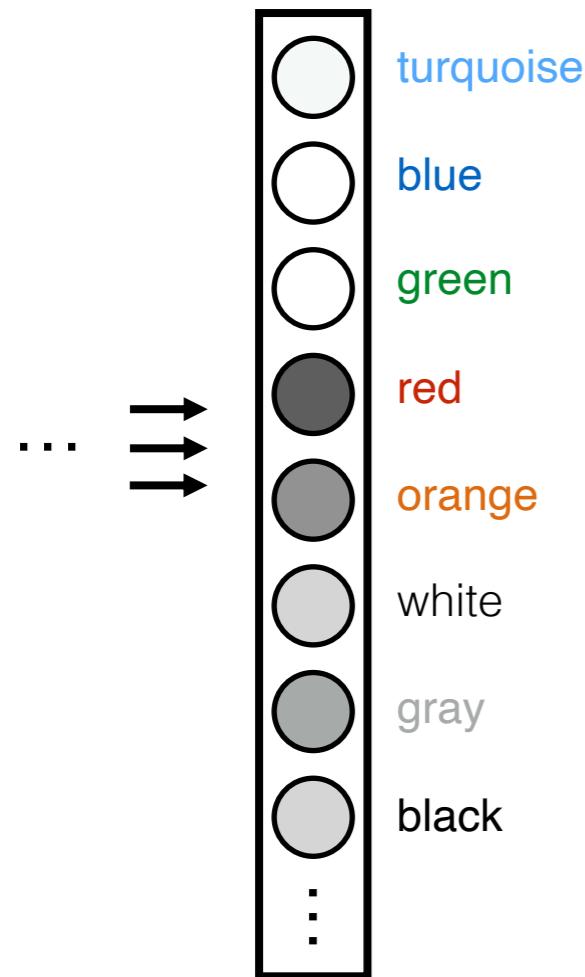
Network output



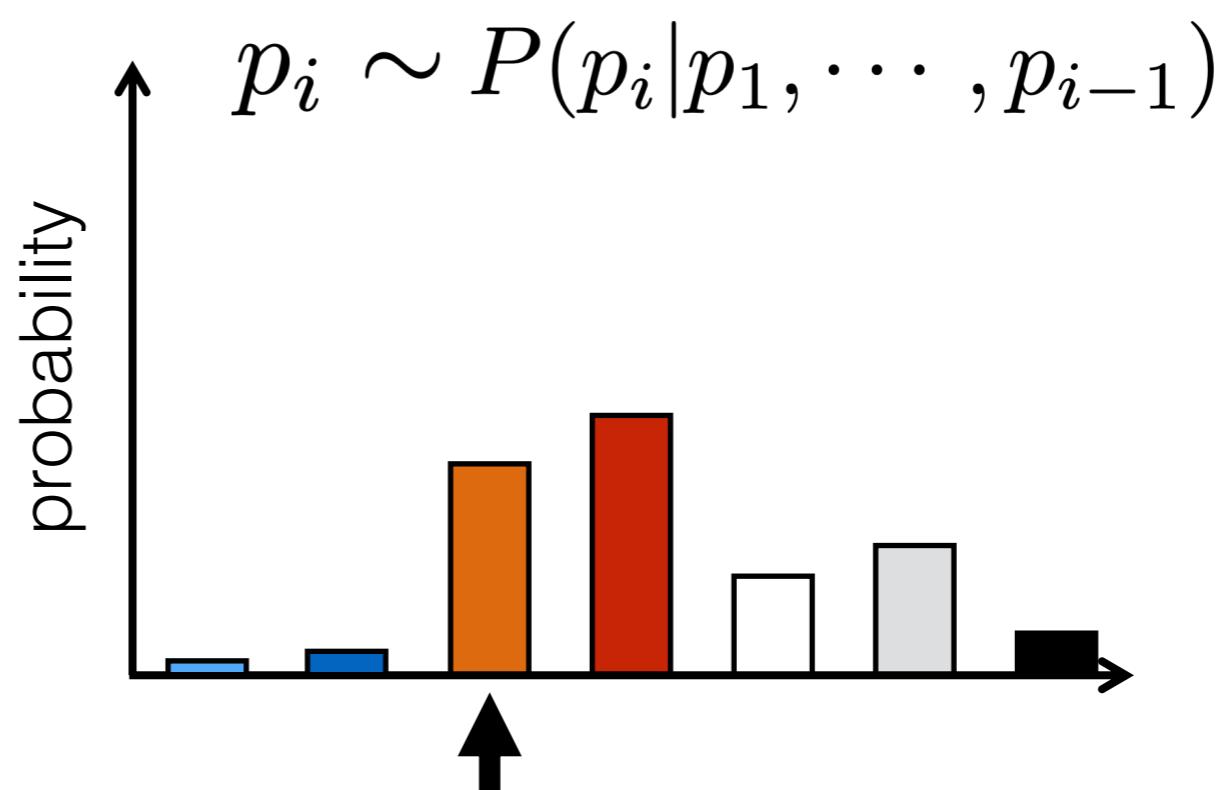
Network output



Network output



... \Rightarrow



$$p_1 \sim P(p_1)$$

$$\begin{array}{cccc} p_3 & p_4 & p_2 & p_1 \\ \textcolor{red}{\blacksquare} & \textcolor{white}{\blacksquare} & \textcolor{red}{\blacksquare} & \textcolor{red}{\blacksquare} \end{array}$$

$$p_2 \sim P(p_2|p_1)$$

$$p_3 \sim P(p_3|p_1,p_2)$$

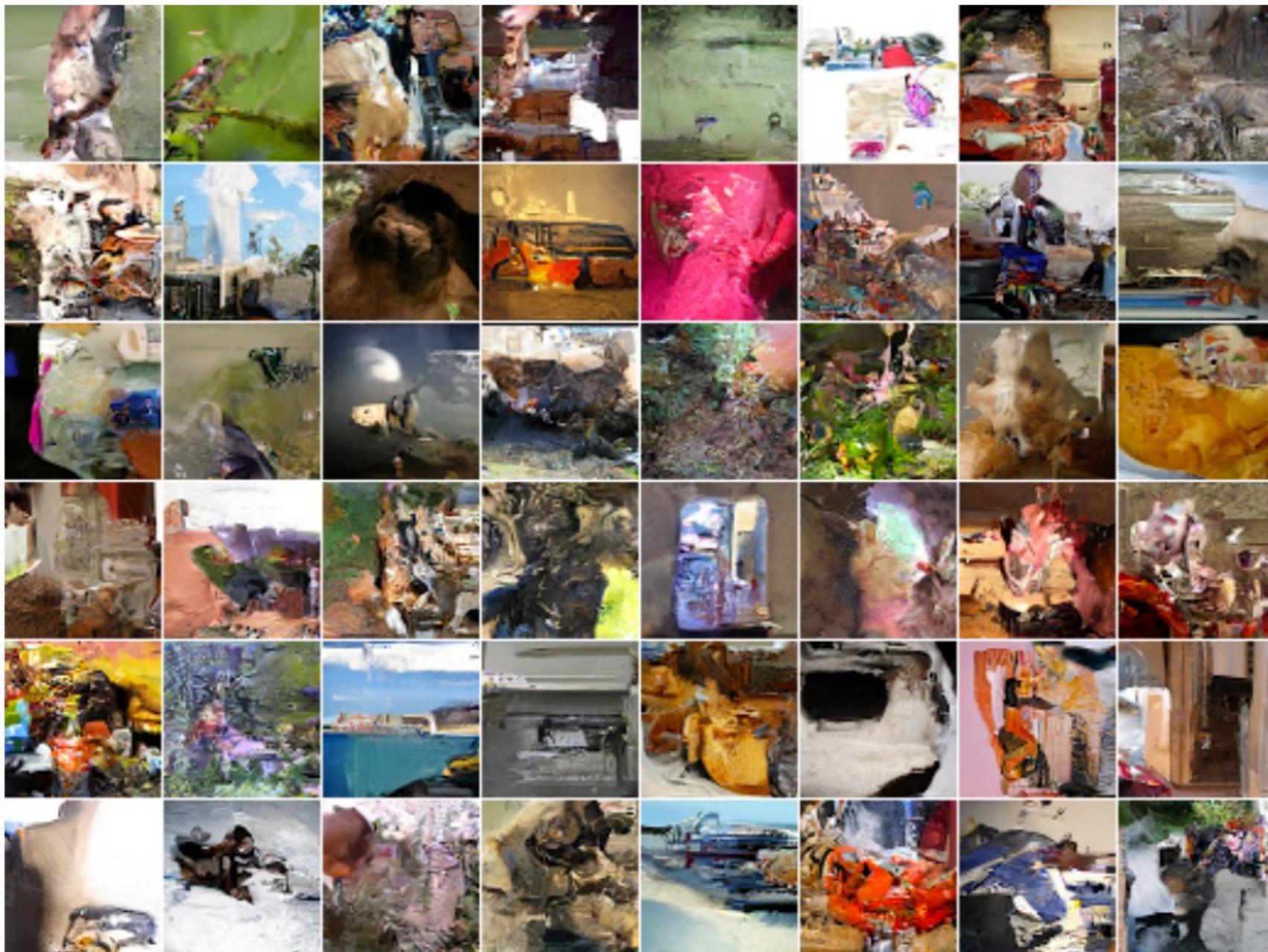
$$p_4 \sim P(p_4|p_1,p_2,p_3)$$

$$\{p_1,p_2,p_3,p_4\}\sim P(p_4|p_1,p_2,p_3)P(p_3|p_1,p_2)P(p_2|p_1)P(p_1)$$

$$p_i \sim P(p_i|p_1,\ldots,p_{i-1})$$

$$\boxed{\mathbf{p} \sim \prod_{i=1}^N P(p_i|p_1,\ldots,p_{i-1})}$$

Samples from PixelRNN



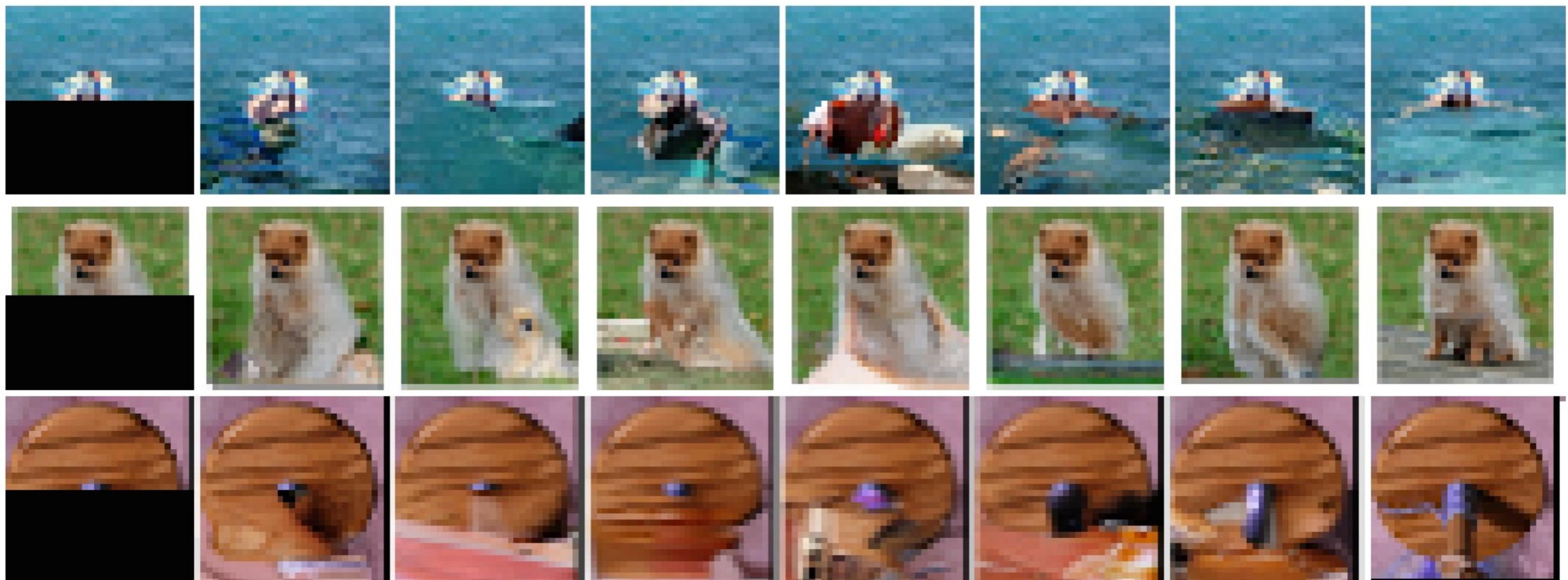
[PixelRNN, van der Oord et al. 2016]

Image completions (conditional samples) from PixelRNN

occluded

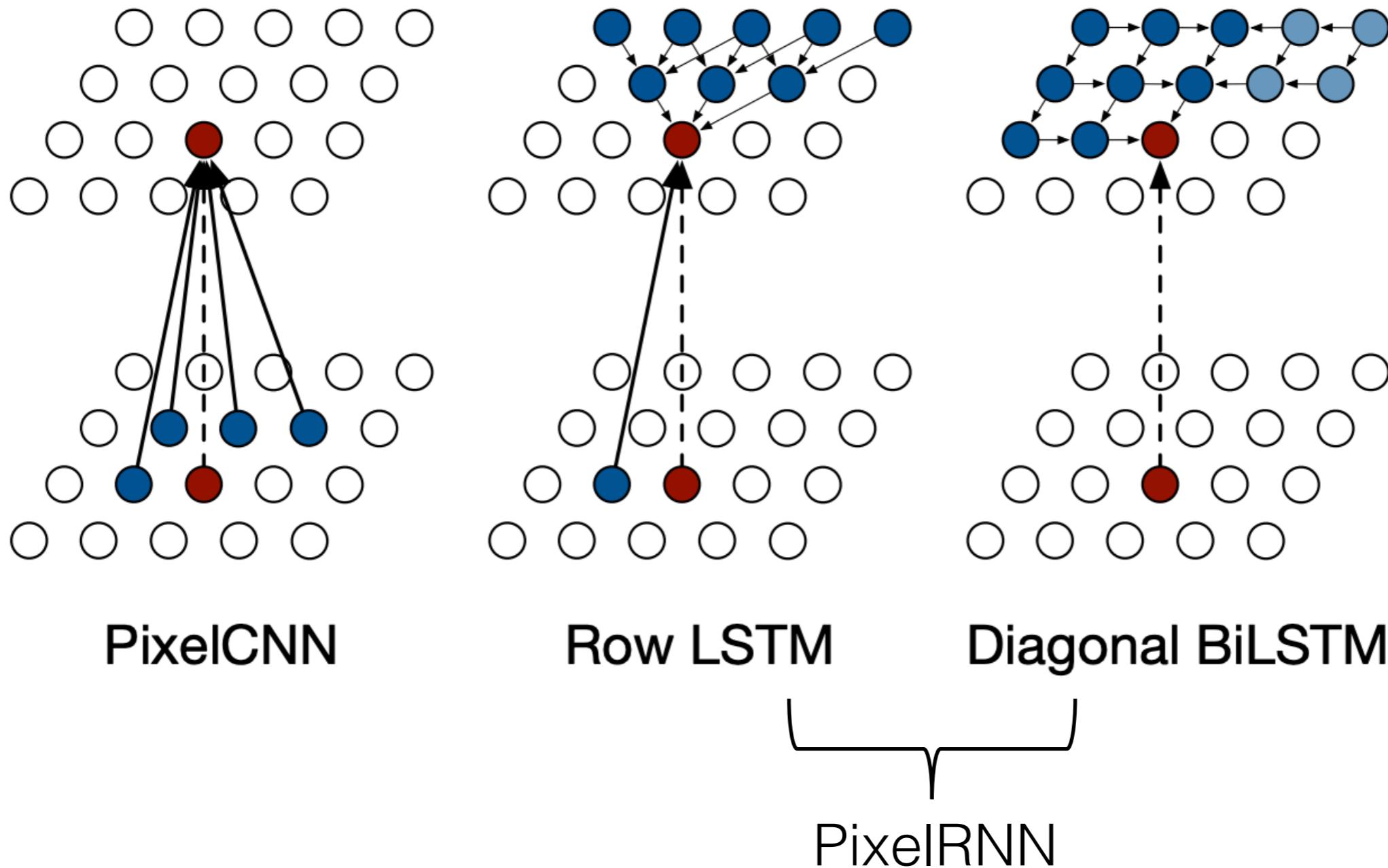
completions

original



[PixelRNN, van der Oord et al. 2016]

PixelCNN vs. PixelRNN



Checkout PixelCNN++ [Salimans et al., 2017]¹³ (+ coarse-to-fine, ResNet, whole pixels, etc.)

How to improve PixelCNN?

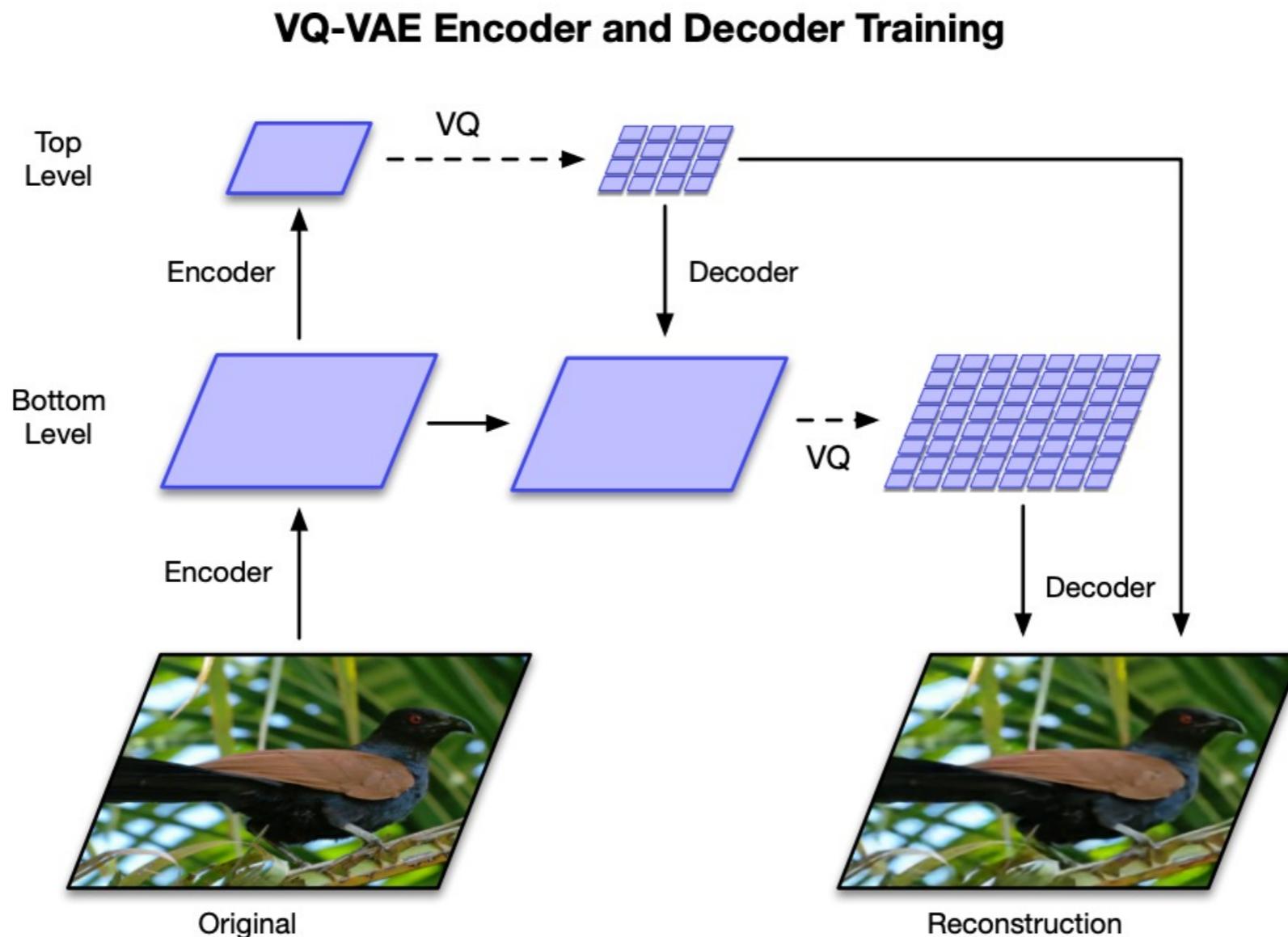
- What are the limitations of PixelCNN/RCN?
 - Slow sampling time.
 - May accumulate errors over multiple steps.
(might not be a big issue for image completion)
- How can we further improve results?

VQ-VAE-2 :VAE+PixelCNN



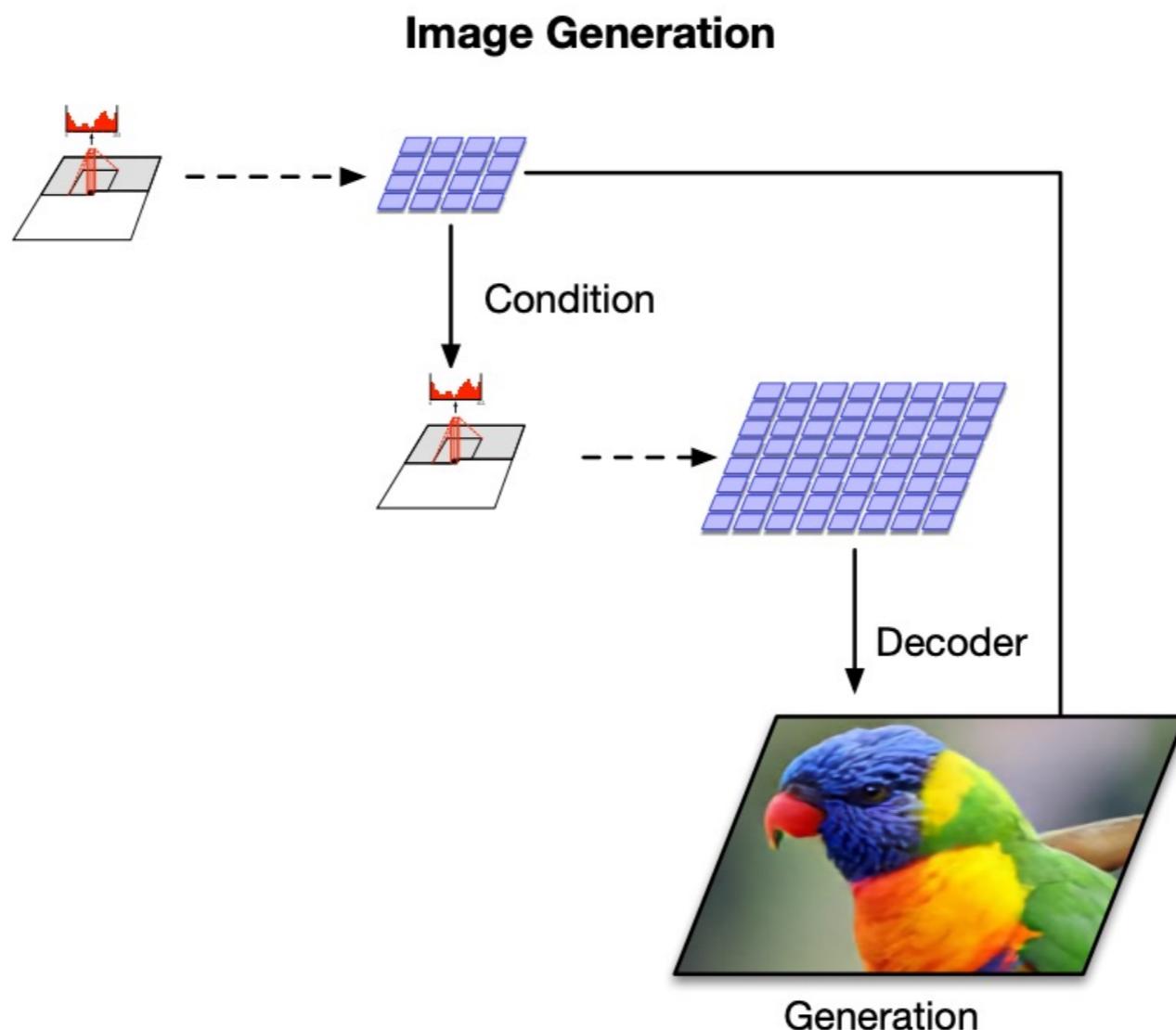
VQ (Vector quantization) maps continuous vectors into discrete codes
Common methods: clustering (e.g., k-means)

VQ-VAE-2: VAE+PixelCNN



VAE+VQ: learn a more compact codebook for PixelCNN (instead of pixels)
PixelCNN: use a more expressive bottleneck for VAE (instead of Gaussian)

VQ-VAE-2: VAE+PixelCNN

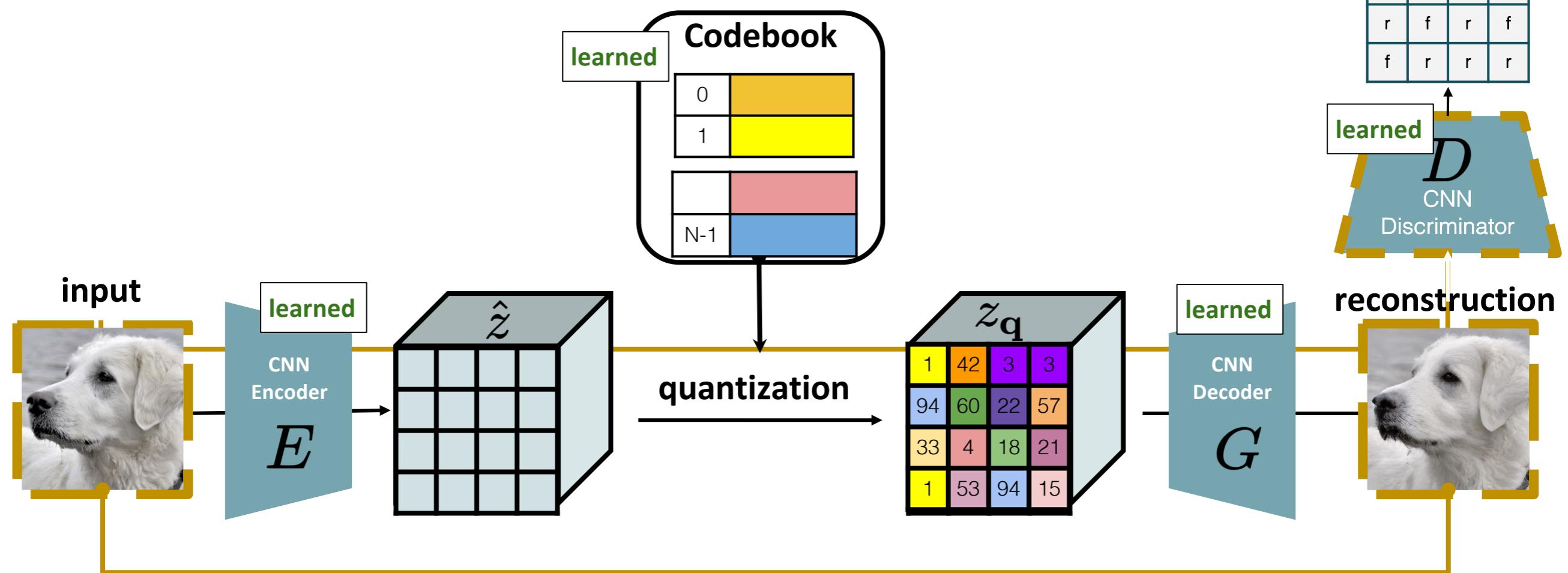


VAE+VQ: learn a more compact codebook for PixelCNN (instead of pixel colors)
PixelCNN: use a more expressive bottleneck for VAE (instead of Gaussian prior)

How to Improve further?

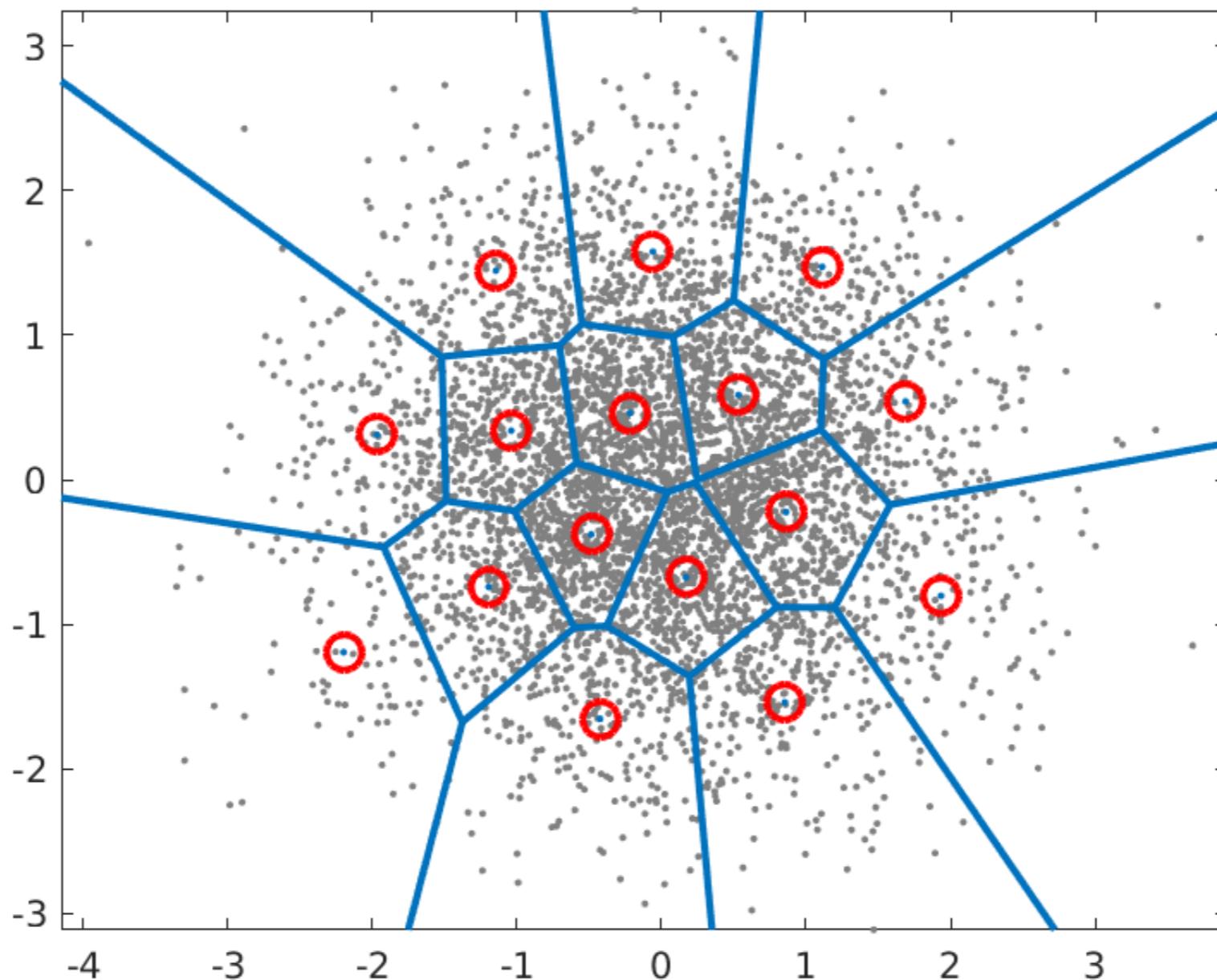
- Better architectures
- Better loss functions for encoder-decoder

From VQ-VAE to VQGAN



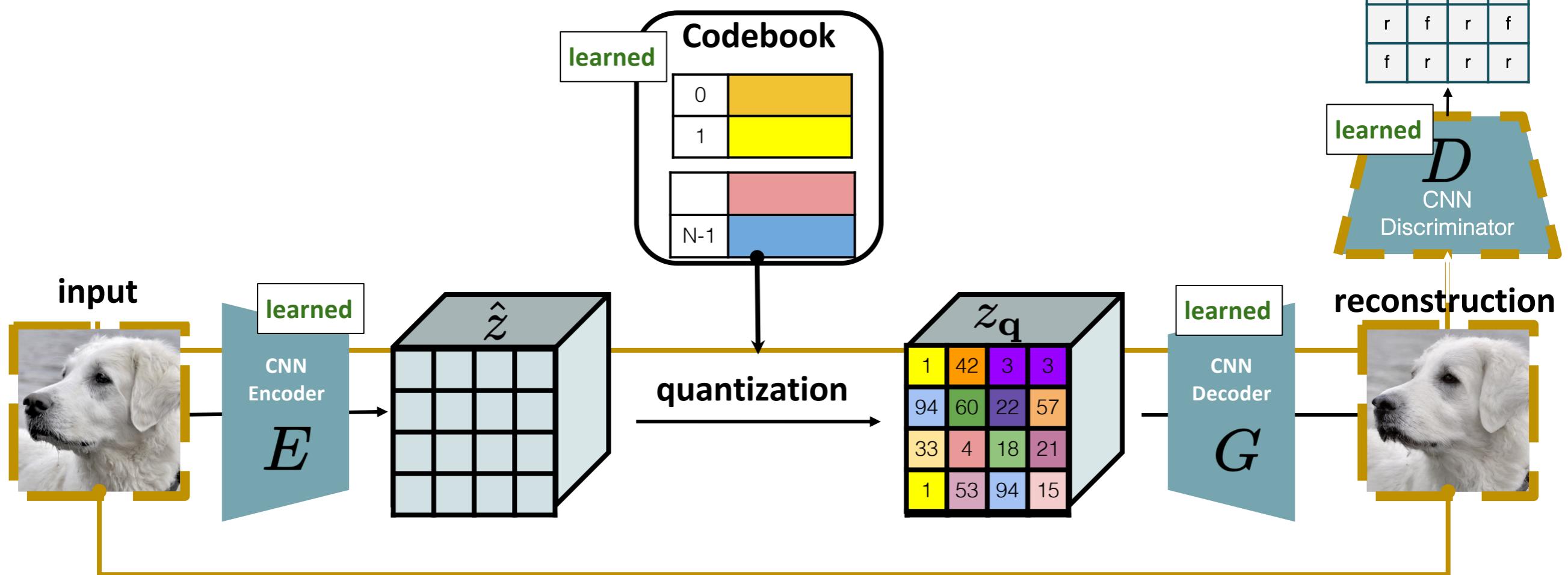
- 1) replace L2/L1 rec. loss with Perceptual loss (includes pixel-level)
- 2) add Discriminator to favor realism over per-pixel reconstruction

Vector Quantization (VQ)



K-means, EM (GMM), end-to-end learning

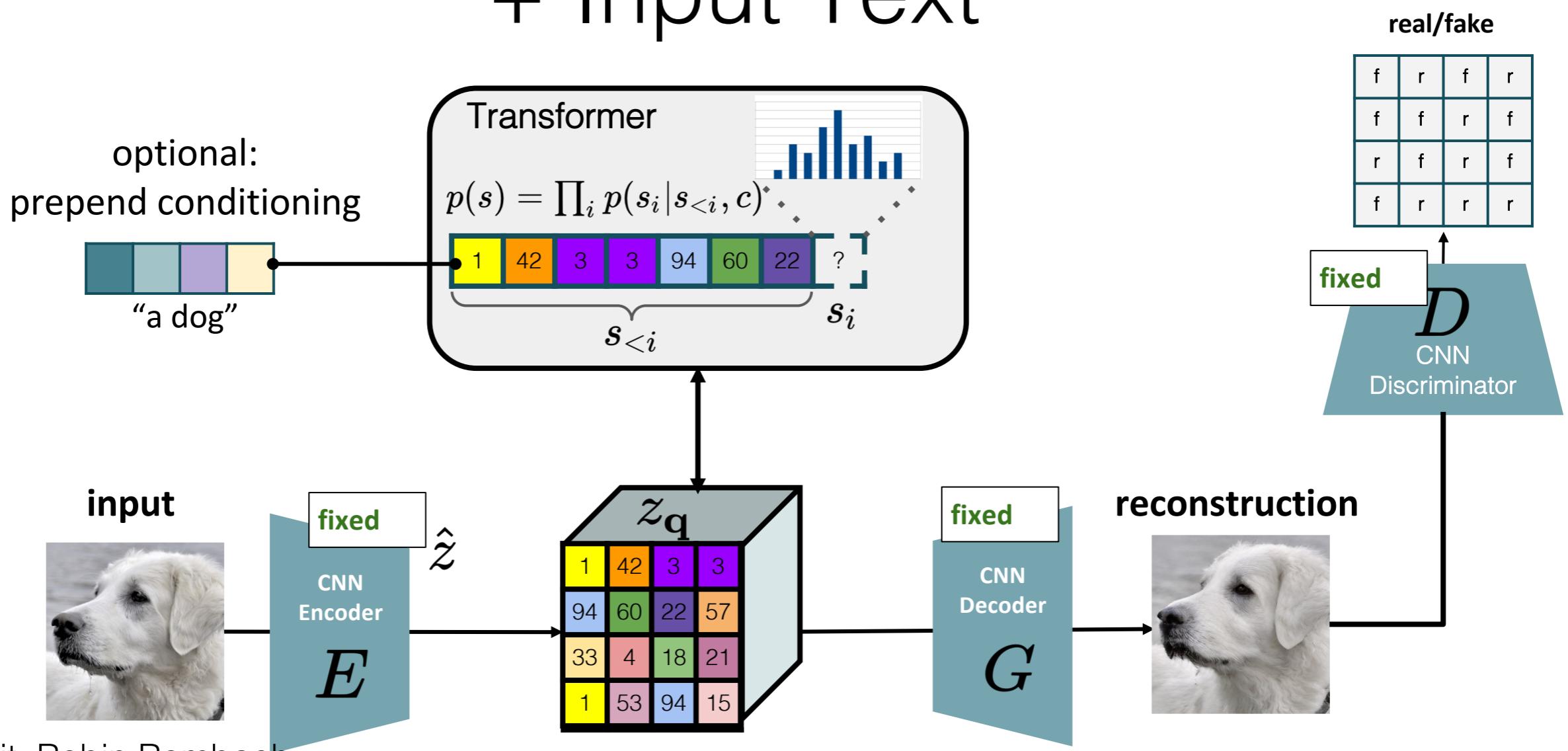
From VQ-VAE to VQGAN



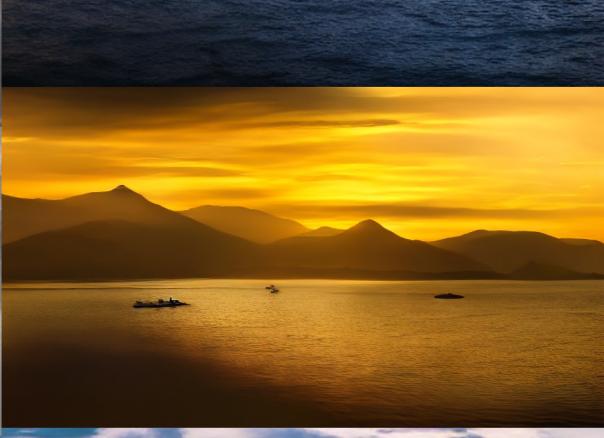
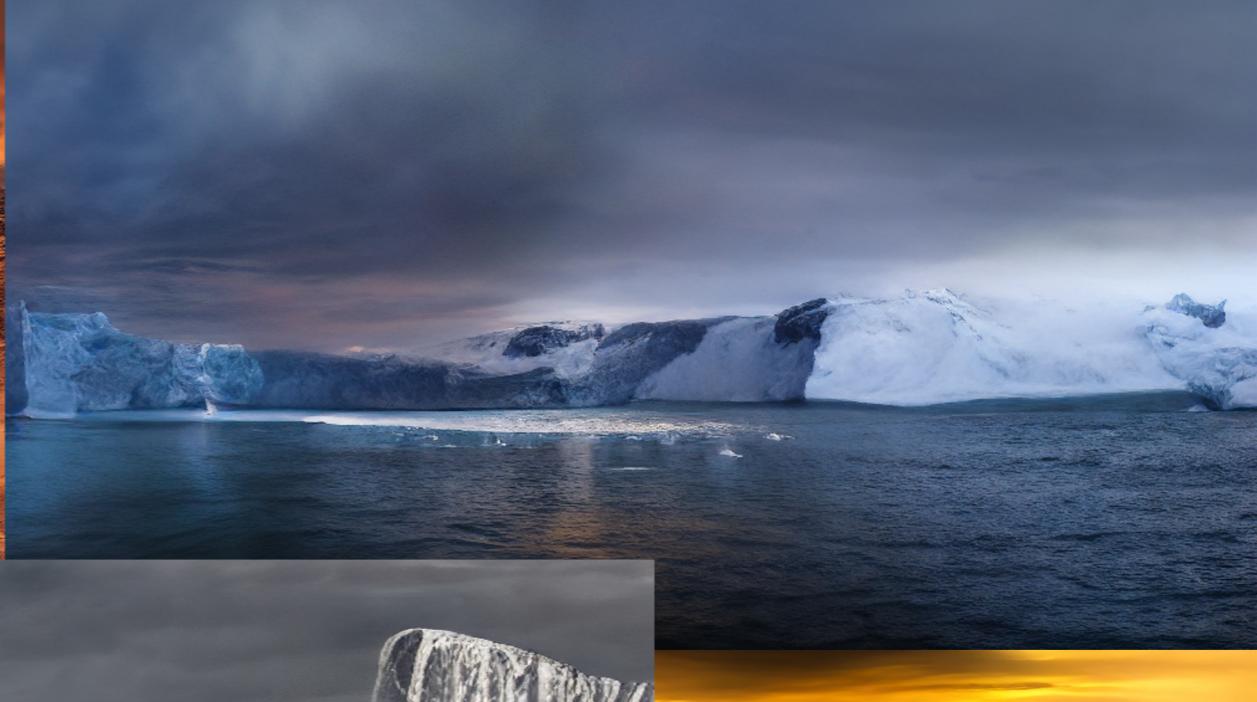
$$\mathcal{L}_{\text{total}} = \mathcal{L}_{\text{rec}} + \mathcal{L}_{\text{VQ}} + \lambda \mathcal{L}_{\text{GAN}} \text{ where } \lambda = \frac{\nabla_{G_L} [\mathcal{L}_{\text{rec}}]}{\nabla_{G_L} [\mathcal{L}_{\text{GAN}}] + \delta}$$

Slide credit: Robin Rombach

Transformer Training + Input Text



Slide credit: Robin Rombach

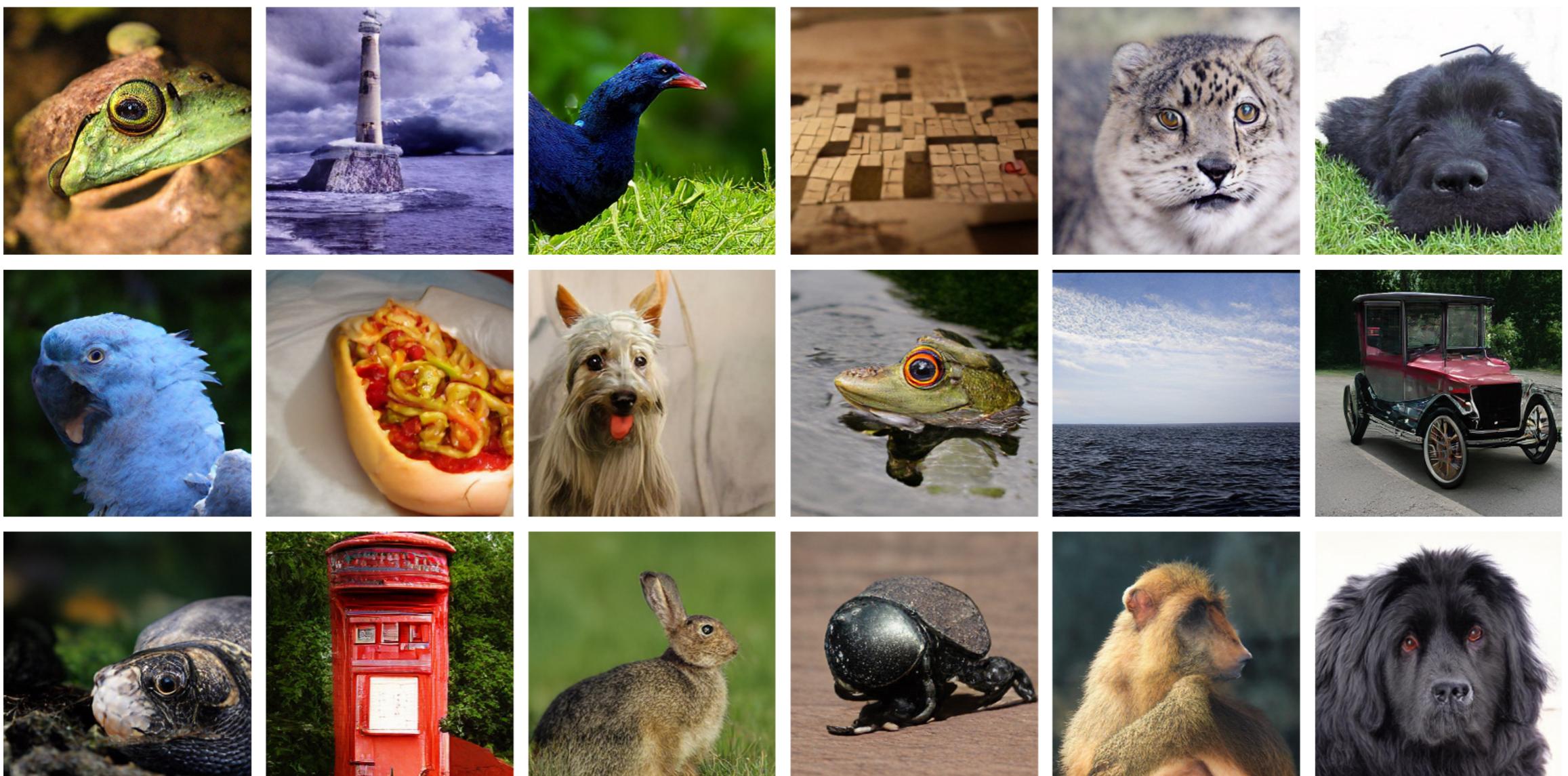


Slide credit: Robin Rombach

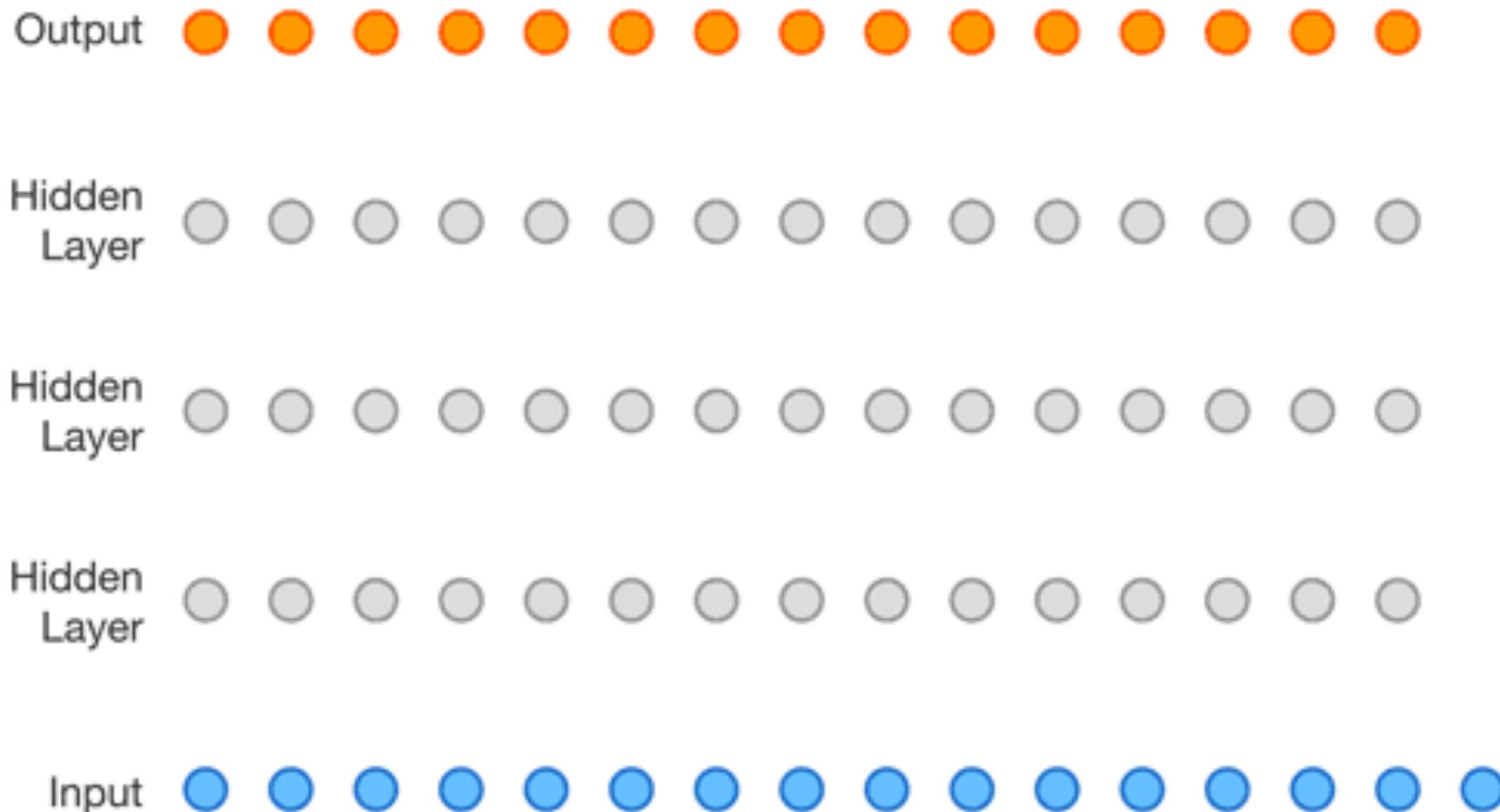


Class-Conditional Synthesis on ImageNet

1.4B Model trained on single A100



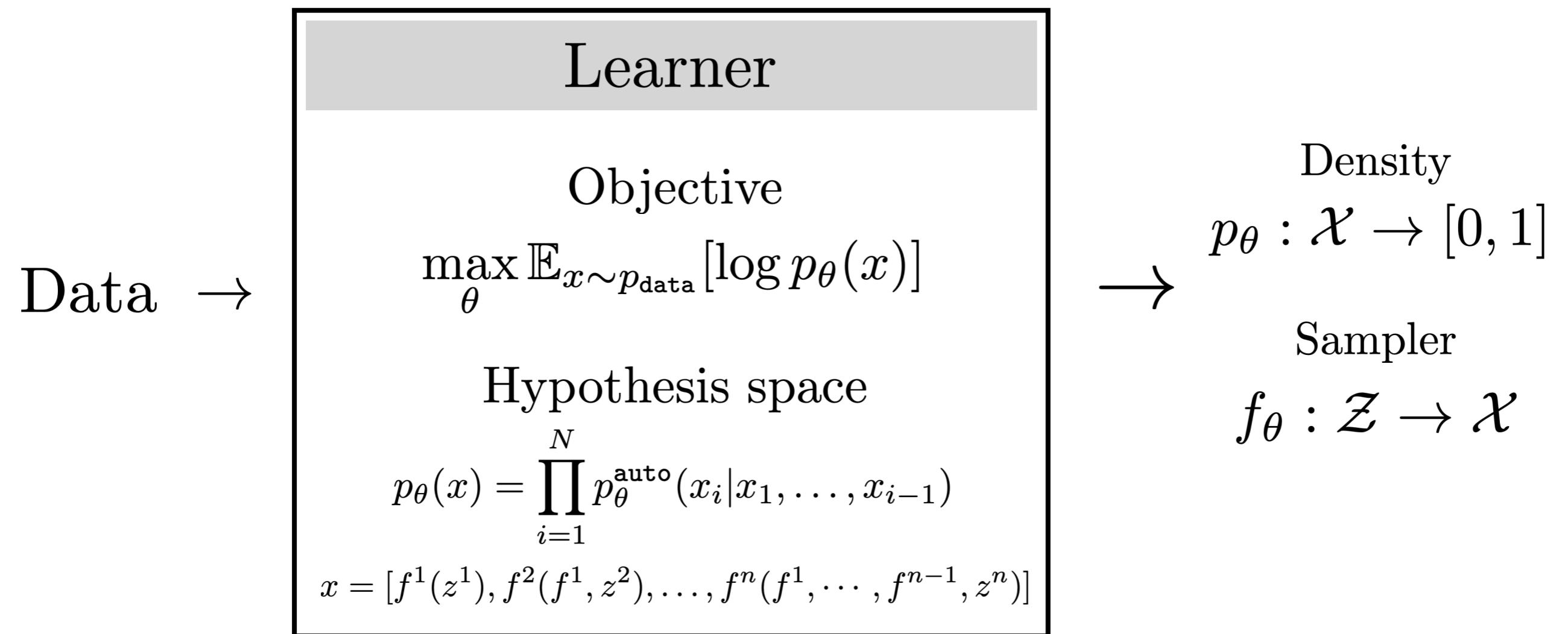
WaveNet



[Wavenet, <https://deepmind.com/blog/wavenet-generative-model-raw-audio/>]

Auto-regressive models work extremely well for audio/music data.

Autoregressive Model



Thank You!



16-726, Spring 2023

<https://learning-image-synthesis.github.io/sp23/>