

## An intermezzo on cones

To a lattice  $N \simeq \mathbb{Z}^n$  we associate a real vector space  $N_{\mathbb{R}} = N \otimes_{\mathbb{Z}} \mathbb{R} \simeq \mathbb{R}^n$ . The dual vector space  $M_{\mathbb{R}} \simeq \text{Hom}_{\mathbb{R}}(N_{\mathbb{R}}, \mathbb{R}) \simeq M \otimes_{\mathbb{Z}} \mathbb{R}$  comes from the dual lattice  $M = \text{Hom}_{\mathbb{Z}}(N, \mathbb{Z})$ .

A convex polyhedral cone (CPC) in  $N_{\mathbb{R}}$  is a set of the form

$$\sigma = \text{cone}(S) = \left\{ \sum_{u \in S} \lambda_u u \mid \lambda_u \in \mathbb{R}_{\geq 0} \right\} \subset N_{\mathbb{R}},$$

where  $S \subset N_{\mathbb{R}}$  is a finite subset.

A CPC  $\sigma$  is rational if  $\sigma = \text{cone}(S)$  for  $S \subset N$ .  
(finite)

Given a CPC  $\sigma \subset N_{\mathbb{R}}$ , its dual cone is

$$\sigma^{\vee} = \{ m \in M_{\mathbb{R}} \mid \langle m, u \rangle \geq 0 \text{ for all } u \in \sigma \}$$

FACT:  $\sigma^{\vee}$  is a CPC and  $(\sigma^{\vee})^{\vee} = \sigma$ .

Let  $H_m = \{u \in N_{\mathbb{R}} \mid \langle m, u \rangle = 0\} \subset N_{\mathbb{R}}$ .

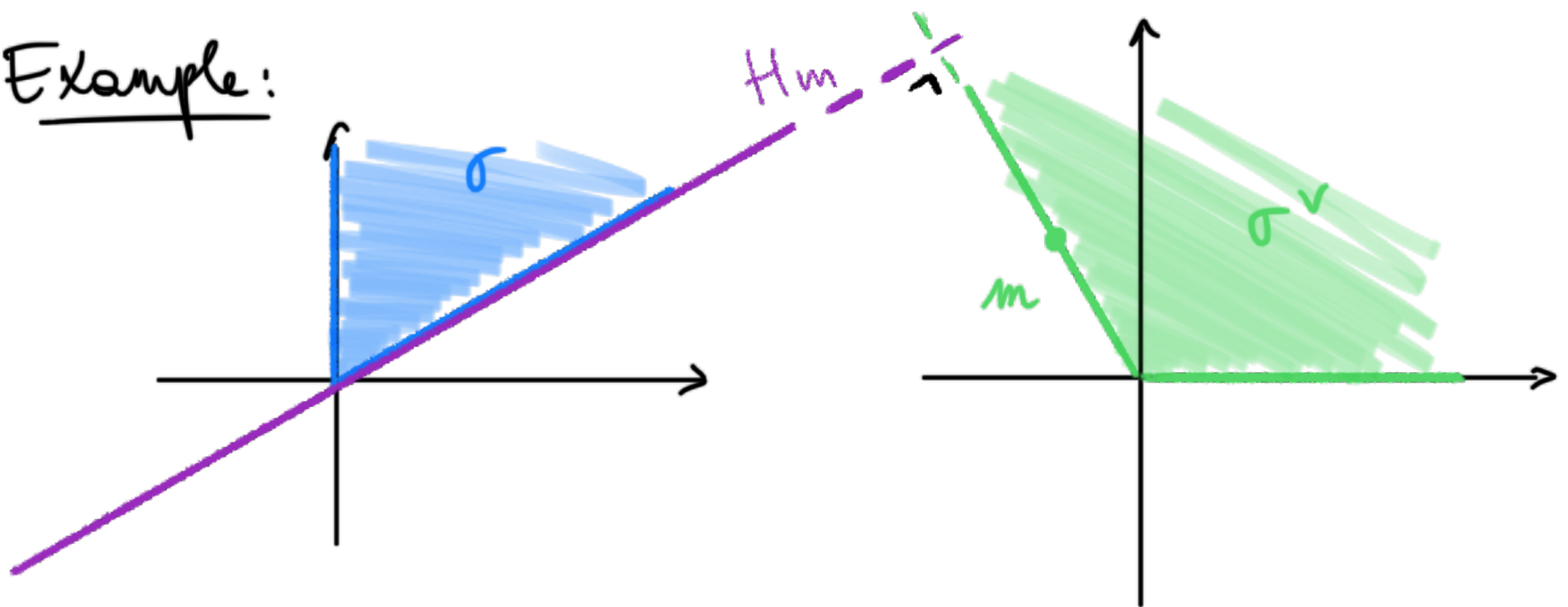
$H_m^+ = \{u \in N_{\mathbb{R}} \mid \langle m, u \rangle \geq 0\} \subset N_{\mathbb{R}}$ .

A face  $\tau$  of  $\sigma$  is given by

$\tau = \sigma \cap H_m$  for some  $m \in \sigma^\vee$ .

We write  $\tau \leq \sigma$  and  $\tau < \sigma$  if  $\tau \neq \sigma$ .

Example:



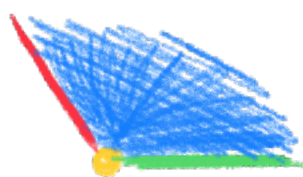
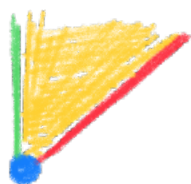
If  $\{m_1, \dots, m_s\} \subset M$  generate  $\sigma^\vee$ , then

$$\sigma = H_{m_1}^+ \cap \dots \cap H_{m_s}^+,$$

and vice versa.

- faces are CPCs
- $\tau \leq \sigma, \tau' \leq \sigma \Rightarrow \tau \cap \tau' \leq \sigma$
- $\tau \leq \sigma, \tau' \leq \tau \Rightarrow \tau' \leq \sigma$
- If  $\tau \leq \sigma, v, w \in \sigma$ , then  

$$v + w \in \tau \Rightarrow v \in \tau \text{ and } w \in \tau.$$
- there is a bijective, inclusion-reversing correspondence between faces of  $\sigma$  and faces of  $\sigma^\vee$ :



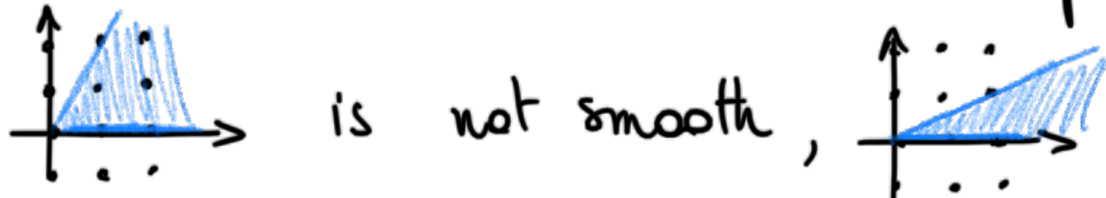
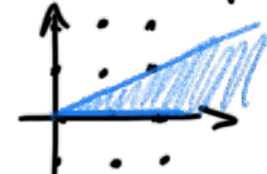
A CPC is strongly convex if  $\{0\}$  is a face of  $\sigma$ . Equivalently  $\sigma \cap (-\sigma) = \{0\}$ , or  $\dim \sigma^\vee = n$ .

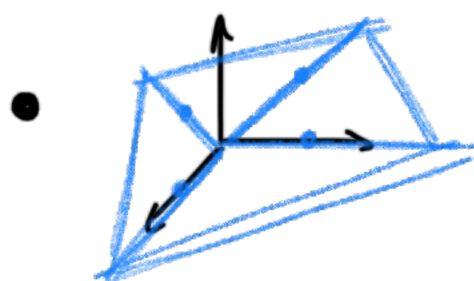
A rational CPC (RCPC) in  $N_{\mathbb{R}}$  is smooth if its minimal generators form part of a  $\mathbb{Z}$ -basis of  $N$ .

A RCPC in  $N_{\mathbb{R}}$  is simplicial if its minimal generators are  $\mathbb{R}$ -linearly independent.

(obviously : smooth  $\Rightarrow$  simplicial)

Examples: • all 2-dim cones are simplicial.

•  is not smooth,  is.



Cone  $\{(1,0,0), (0,1,0), (1,0,1), (0,1,1)\}$  is not simplicial.

### 1.5 Cones and affine toric varieties

Let  $\sigma$  be a RCPC. Consider the semigroup

$$S_{\sigma} = \sigma^{\vee} \cap M \subseteq M$$

Jordan's lemma:  $S_{\sigma}$  is affine.

Theorem: Let  $\sigma \subset N_{\mathbb{R}}$  be a RCP with semigroup

$$S_{\sigma} = \sigma^{\vee} \cap M. \text{ Then}$$

$$U_{\sigma} = \text{Specm}(\mathbb{C}[S_{\sigma}]) = \text{Spec}(\mathbb{C}[\sigma^{\vee} \cap M])$$

is an affine toric variety. Furthermore,

$$\dim U_{\sigma} = n \iff \text{the dense torus of } U_{\sigma} \text{ is } \overset{N \otimes_{\mathbb{Z}} \mathbb{C}^+}{\parallel} \textcircled{T_N}$$

$$\iff \sigma \text{ is strongly convex.}$$

proof: The first statement is obvious. We also

know that  $\dim U_{\sigma} = \text{rank } \mathbb{Z} S_{\sigma}$ . The key

$$\text{is to show } \text{rank } \mathbb{Z} S_{\sigma} = n \iff \mathbb{Z} S_{\sigma} = M$$

$$\iff \sigma \text{ strongly convex } \square$$

Example:  $\sigma = \text{Cone}(e_1, \dots, e_r) \subset \mathbb{R}^n$ ,  $r \leq n$ .

$$\text{Then } \sigma^{\vee} = \text{Cone}(e_1^{\vee}, \dots, e_r^{\vee}, \pm e_{r+1}^{\vee}, \dots, \pm e_n^{\vee})$$

$$\text{and } U_{\sigma} = \text{Specm}(\mathbb{C}[x_1, \dots, x_r, x_{r+1}^{\pm 1}, \dots, x_n^{\pm 1}])$$

$$= \mathbb{C}^r \times (\mathbb{C}^*)^{n-r}.$$

$\Rightarrow U_{\sigma}$  looks like this for all smooth cones  $\sigma$ .



Example:  $\mathcal{A} = \{(1,0), (0,1), (1,1)\} \subset \mathbb{Z}^2$

$$I = \langle xy - z \rangle \subset \mathbb{C}[x, y, z]$$

$$S = \mathbb{N} \mathcal{A}$$

$$\sigma = \text{[diagram of a blue shaded region with dots]} \quad (\text{looks the same as its dual})$$

give the same affine toric variety, isom. to  $\mathbb{C}^2$ .

How to find generators of  $S_\sigma$ ?

$m \in S_\sigma$  is called irreducible if  $m = m' + m''$

with  $m', m'' \in S_\sigma$  implies  $m' = 0$  or  $m'' = 0$ .

Prop: Let  $\mathcal{H} = \{m \in S_\sigma \mid m \text{ is irreducible}\}$ .

(a)  $\mathcal{H}$  is finite and generates  $S_\sigma$ .

(b)  $\mathcal{H}$  contains the ray generators of  $\sigma^\vee$ .

(c)  $\mathcal{H}$  is the minimal generating set w.r.t. inclusion

$\mathcal{H}$  is called the Hilbert basis of  $S_\sigma$ .

## 1.6 Points on affine toric varieties

Prop Let  $V = \text{Specm}(\mathbb{C}[S])$  for an affine semigroup  $S \subset M$ . Then there are 1:1 correspondences between

- (a) points  $p \in V$
- (b) maximal ideals  $m_p \in \mathbb{C}[S]$
- (c) semigroup homomorphisms  $S \rightarrow \mathbb{C}$ .  
image of 0 is 1 !!

proof (a)  $\xleftrightarrow{1:1}$  (b) is standard and holds for any affine variety.

(a)  $\rightarrow$  (c) given  $p \in V$ , define  
 $S \rightarrow \mathbb{C}$  by  $m \mapsto \chi^m(p)$ .

(c)  $\rightarrow$  (b) given a semigroup homomorphism  $\gamma: S \rightarrow \mathbb{C}$ , we obtain an induced map of  $\mathbb{C}$ -algebras  $\mathbb{C}[S] \rightarrow \mathbb{C}$  which is surjective ( $\gamma(0) = 1$ ).

Call its kernel  $m_p$ . This is maximal

because  $0 \rightarrow m_p \rightarrow \mathbb{C}[S] \rightarrow \mathbb{C} \rightarrow 0$  is exact.

(c)  $\rightarrow$  (a) (more concretely) Let  $S = N \cup A$

with  $A = \{m_1, \dots, m_s\}$ . Let

$p = (\gamma(m_1), \dots, \gamma(m_s)) \in \mathbb{C}^s$ . If

$\alpha, \beta \in \mathbb{N}^s$  are such that  $\alpha - \beta \in \ker \hat{\phi}_A$ ,

$$\begin{aligned} \text{we have } p^\alpha - p^\beta &= \prod_{i=1}^s \gamma(m_i)^{\alpha_i} - \prod_{i=1}^s \gamma(m_i)^{\beta_i} \\ &= \gamma(\sum \alpha_i m_i) - \gamma(\sum \beta_i m_i) \\ &= 0 \end{aligned}$$

hence  $p \in Y_A$ . Moreover, in step

((a)  $\rightarrow$  (c)) we associated the semigroup

homomorphism  $m_i \mapsto \chi^{m_i}(p) = p_i = \gamma(m_i)$

to  $p$ .

□.



Example : intrinsic description of the group action:

$$p \sim (m \mapsto \gamma(m)) \Rightarrow t \cdot p \sim (m \mapsto \chi^m(t) \gamma(m))$$

Exercise 1.3.1

Prop Let  $V$  be an affine toric variety:

$$V = \text{Spec}_m(\mathbb{C}[S]) = Y_{\mathcal{A}} \subset \mathbb{C}^S \quad (\mathcal{A} = S \setminus \{0\}).$$

- (a) The torus action has a fixed point if and only if  $S$  is pointed. In this case, the fixed point is  $\gamma: m \mapsto \begin{cases} 1 & \text{if } m=0 \\ 0 & \text{otherwise.} \end{cases}$  unique!
- (b) The torus action has a fixed point if and only if  $0 \in Y_{\mathcal{A}}$ , in which case it is  $0$ .

proof (a)  $\chi^m(t) \gamma(m) = \gamma(m) \quad \forall t$

$$\Rightarrow m=0 \text{ s.t. } \gamma(m)=1 \text{ or } m \neq 0, \gamma(m)=0$$

(b) follows straightforwardly from (a), and the fact that  $0 \in \mathbb{C}^S$  is fixed by  $(\mathbb{C}^*)^S \curvearrowright \mathbb{C}^S$ .

Cor : Let  $U_\sigma$  be the affine toric variety corresponding to a strongly convex RCPC. Then the torus action has a fixed point iff  $\dim \sigma = \dim N_{\mathbb{R}}$ .  
 In this case, the fixed point is given by the max. ideal  $\langle x^m \mid m \in S_\sigma \setminus \{0\} \rangle \subseteq \mathbb{C}[S_\sigma]$ .

Exercises : 1.3.1 , 1.3.2 , 1.3.3

Let  $\sigma = \text{Cone}((1, 2, 3, 4, 5), (2, 1, 5, 4, 3), (5, 4, 3, 2, 1), (3, 2, 1, 5, 4), (1, 5, 4, 3, 2))$

Embed  $U_\sigma$  in the smallest possible ambient affine space.  
 How small is this? Is  $U_\sigma$  smooth? What's its dimension?  
 How many binomials generate the toric ideal?