

# Methods of Proofs



# This Lecture

Now we have learned the basics in logic.

We are going to apply the logical rules in proving mathematical theorems.

- Direct proof
- Contrapositive
- Proof by contradiction
- Proof by cases

# Basic Definitions

An integer  $n$  is an **even** number  
if there exists an integer  $k$  such that  $n = 2k$ .

An integer  $n$  is an **odd** number  
if there exists an integer  $k$  such that  $n = 2k+1$ .

# Proving an Implication

**Goal:** If  $P$ , then  $Q$ . ( $P$  implies  $Q$ )

**Method 1:** Write assume  $P$ , then show that  $Q$  logically follows.

The sum of two even numbers is even.

**Proof**

$$\begin{aligned}x &= 2m, y = 2n \\x+y &= 2m+2n \\&= 2(m+n)\end{aligned}$$

# Direct Proofs

The product of two odd numbers is odd.

**Proof**

$$\begin{aligned}x &= 2m+1, y = 2n+1 \\xy &= (2m+1)(2n+1) \\&= 4mn + 2m + 2n + 1 \\&= 2(2mn+m+n) + 1.\end{aligned}$$

If  $m$  and  $n$  are perfect squares, then  $m+n+2\sqrt{mn}$  is a perfect square.

**Proof**

$$\begin{aligned}m &= a^2 \text{ and } n = b^2 \text{ for some integers } a \text{ and } b \\ \text{Then } m + n + 2\sqrt{mn} &= a^2 + b^2 + 2ab \\ &= (a + b)^2 \\ \text{So } m + n + 2\sqrt{mn} &\text{ is a perfect square.}\end{aligned}$$

# This Lecture

- Direct proof
- **Contrapositive**
- Proof by contradiction
- Proof by cases

# Proving an Implication

**Goal:** If  $P$ , then  $Q$ . ( $P$  implies  $Q$ )

**Method 1:** Write assume  $P$ , then show that  $Q$  logically follows.

**Claim:** If  $r$  is irrational, then  $\sqrt{r}$  is irrational.

How to begin with?

What if I prove "If  $\sqrt{r}$  is rational, then  $r$  is rational", is it equivalent?

Yes, this is equivalent, because it is the **contrapositive** of the statement, so proving "if  $P$ , then  $Q$ " is equivalent to proving "if not  $Q$ , then not  $P$ ".

# Rational Number

A real number  $r$  is **rational** if there are integers  $a$  and  $b$  such that

$$r = \frac{a}{b} \quad \text{and } b \neq 0.$$

Diagram illustrating the definition of a rational number  $r$  as a fraction  $\frac{a}{b}$ . The word "numerator" points to  $a$ , and the word "denominator" points to  $b$ .

Is 0.281 a rational number?

Yes, 281/1000

Is 0 a rational number?

Yes, 0/1

If  $m$  and  $n$  are non-zero integers, is  $(m+n)/mn$  a rational number?

Yes

Is the sum of two rational numbers a rational number?

Yes,  $a/b + c/d = (ad+bc)/bd$

Is  $x=0.12121212\dots$  a rational number?

Note that  $100x - x = 12$ , and so  $x = 12/99$ .



# Proving the Contrapositive

**Goal:** If  $P$ , then  $Q$ . ( $P$  implies  $Q$ )

**Method 2:** Prove the *contrapositive*, i.e. prove "not  $Q$  implies not  $P$ ".

**Claim:** If  $r$  is irrational, then  $\sqrt{r}$  is irrational.

**Proof:**

We shall prove the contrapositive -

*"if  $\sqrt{r}$  is rational, then  $r$  is rational."*

Since  $\sqrt{r}$  is rational,  $\sqrt{r} = a/b$  for some integers  $a, b$ .

So  $r = a^2/b^2$ . Since  $a, b$  are integers,  $a^2, b^2$  are integers.

Therefore,  $r$  is rational.

Q.E.D.

(Q.E.D.)

"thus it has been demonstrated", or "quite easily done". ☺

# Proving an “if and only if”

**Goal:** Prove that two statements  $P$  and  $Q$  are “logically equivalent”, that is, one holds if and only if the other holds.

**Example:** For an integer  $n$ ,  $n$  is even if and only if  $n^2$  is even.

**Method 1a:** Prove  $P$  implies  $Q$  and  $Q$  implies  $P$ .

**Method 1b:** Prove  $P$  implies  $Q$  and not  $P$  implies not  $Q$ .

**Method 2:** Construct a chain of if and only if statement.

# Proof the Contrapositive

For an integer  $n$ ,  $n$  is even if and only if  $n^2$  is even.

**Method 1a:** Prove  $P$  implies  $Q$  and  $Q$  implies  $P$ .

**Statement:** If  $n$  is even, then  $n^2$  is even

**Proof:**  $n = 2k$

$$n^2 = 4k^2$$

**Statement:** If  $n^2$  is even, then  $n$  is even

**Proof:**  $n^2 = 2k$

$$n = \sqrt{2k}$$

??

# Proof the Contrapositive

For an integer  $n$ ,  $n$  is even if and only if  $n^2$  is even.

**Method 1b:** Prove  $P$  implies  $Q$  and not  $P$  implies not  $Q$ .

**Statement:** If  $n^2$  is even, then  $n$  is even

**Contrapositive:** If  $n$  is odd, then  $n^2$  is odd.

Proof (the contrapositive):

Since  $n$  is an odd number,  $n = 2k+1$  for some integer  $k$ .

$$\begin{aligned}\text{So } n^2 &= (2k+1)^2 \\ &= (2k)^2 + 2(2k) + 1 = 2(2k^2 + 2k) + 1\end{aligned}$$

So  $n^2$  is an odd number.

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## Proof by Contradiction

$$\frac{\bar{P} \rightarrow \mathbf{F}}{P}$$

To prove  $P$ , you prove that not  $P$  would lead to a ridiculous result,  
and so  $P$  must be true.

# Proof by Contradiction

**Theorem:**  $\sqrt{2}$  is irrational.

Proof (by contradiction):

- Suppose  $\sqrt{2}$  was rational.
- Choose  $m, n$  integers **without common prime factors** (always possible)  
such that  $\sqrt{2} = \frac{m}{n}$
- Show that  $m$  and  $n$  are both even, thus having a common factor 2,  
**a contradiction!**

# Proof by Contradiction

**Theorem:**  $\sqrt{2}$  is irrational.

Proof (by contradiction):

Want to prove both  $m$  and  $n$  are even.

$$\sqrt{2} = \frac{m}{n}$$

$$\sqrt{2}n = m$$

$$2n^2 = m^2$$

so  $m$  is even.

so we have  $m = 2l$

$$m^2 = 4l^2$$

$$2n^2 = 4l^2$$

$$n^2 = 2l^2$$

so  $n$  is even.

Recall that  $m$  is even if and only if  $m^2$  is even.



# Infinitude of the Primes

**Theorem.** There are infinitely many prime numbers.

Proof (by contradiction):

Assume there are only finitely many primes.

Let  $p_1, p_2, \dots, p_k$  be all the primes.

(1) We will construct a number  $N$  so that  $N$  is not divisible by any  $p_i$ .

By our assumption, it means that  $N$  is not divisible by any prime number.

(2) On the other hand, we show that any number is divisible by *some* prime.

This will lead to a contradiction, and therefore the assumption must be false.

So there must be infinitely many primes.

# Divisibility by a Prime

**Theorem.** Any integer  $n > 1$  is divisible by a prime number.

- Let  $n$  be an integer.
- If  $n$  is a prime number, then we are done.
- Otherwise,  $n = ab$ , both  $a, b$  are smaller than  $n$ .
- If  $a$  or  $b$  is a prime number, then we are done.
- Otherwise,  $a = cd$ , both  $c, d$  are smaller than  $a$ .
- If  $c$  or  $d$  is a prime number, then we are done.
- Otherwise, repeat this argument, since the numbers are getting smaller and smaller, this will eventually stop and we will find a prime factor of  $n$ .

We will see a better proof by mathematical induction later.

# Infinitude of the Primes

**Theorem.** There are infinitely many prime numbers.

Proof (by contradiction):

Let  $p_1, p_2, \dots, p_k$  be all the primes.

Consider  $p_1 p_2 \dots p_k + 1$ .

**Claim:** if  $p$  divides  $a$ , then  $p$  does not divide  $a+1$ .

Proof (by contradiction):

$a = cp$  for some integer  $c$

$a+1 = dp$  for some integer  $d$

$\Rightarrow 1 = (d-c)p$ , contradiction because  $p \geq 2$ .

So, by the claim, none of  $p_1, p_2, \dots, p_k$  can divide  $p_1 p_2 \dots p_k + 1$ , a contradiction.

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# Proof by Cases

$$p \vee q$$

$$p \rightarrow r$$

$$q \rightarrow r$$

$$\therefore r$$

e.g. want to prove the square of a nonzero number is always positive.

$x$  is positive or  $x$  is negative

if  $x$  is positive, then  $x^2 > 0$ .

if  $x$  is negative, then  $x^2 > 0$ .

$$\therefore x^2 > 0.$$

# The Square of an Odd Integer

$$\forall \text{ odd } n, \exists m, n^2 = 8m + 1?$$

Idea 0: find counterexample.

$$3^2 = 9 = 8+1, \quad 5^2 = 25 = 3 \times 8 + 1 \quad \dots \quad 131^2 = 17161 = 2145 \times 8 + 1, \dots$$

Idea 1: prove that  $n^2 - 1$  is divisible by 8.

$$n^2 - 1 = (n-1)(n+1) = ??...$$

Idea 2: consider  $(2k+1)^2$

$$(2k+1)^2 = 4k^2 + 4k + 1 = 4(k^2 + k) + 1$$

If  $k$  is even, then both  $k^2$  and  $k$  are even, and so we are done.

If  $k$  is odd, then both  $k^2$  and  $k$  are odd, and so  $k^2 + k$  even, also done.

# Rational vs Irrational

**Question:** If  $a$  and  $b$  are irrational, can  $a^b$  be rational??

We (only) know that  $\sqrt{2}$  is irrational, what about  $\sqrt{2}^{\sqrt{2}}$ ?

**Case 1:**  $\sqrt{2}^{\sqrt{2}}$  is rational

Then we are done,  $a = \sqrt{2}$ ,  $b = \sqrt{2}$ .

**Case 2:**  $\sqrt{2}^{\sqrt{2}}$  is irrational

Then  $\left(\sqrt{2}^{\sqrt{2}}\right)^{\sqrt{2}} = \sqrt{2}^2 = 2$ , a rational number

So  $a = \sqrt{2}^{\sqrt{2}}$ ,  $b = \sqrt{2}$  will do.

So in either case there are  $a, b$  irrational and  $a^b$  be rational.

We don't (need to) know which case is true!

# Summary

We have learnt different techniques to prove mathematical statements.

- Direct proof
- Contrapositive
- Proof by contradiction
- Proof by cases

Next time we will focus on a very important technique, proof by induction.