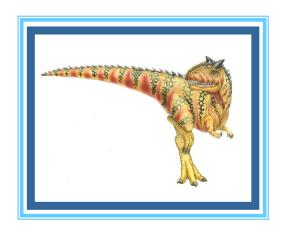
Chapter 2: Operating-System Structures





Chapter 2: Operating-System Structures

- Operating System Services
- User Operating System Interface
- System Calls
- Types of System Calls
- System Programs
- Operating System Design and Implementation
- Operating System Structure
- Operating System Debugging
- Operating System Generation
- System Boot





Objectives

- To describe the services an operating system provides to users, processes, and other systems
- To discuss the various ways of structuring an operating system
- To explain how operating systems are installed and customized and how they boot

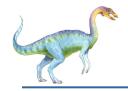




Operating System Services

- Operating systems provide an environment for execution of programs and services to programs and users
- One set of operating-system services provides functions that are helpful to the user:
 - User interface Almost all operating systems have a user interface (UI).
 - Varies between Command-Line (CLI), Graphics User Interface (GUI), Batch
 - Program execution The system must be able to load a program into memory and to run that program, end execution, either normally or abnormally (indicating error)
 - I/O operations A running program may require I/O, which may involve a file or an I/O device

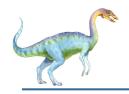




Operating System Services (Cont.)

- One set of operating-system services provides functions that are helpful to the user (Cont.):
 - File-system manipulation The file system is of particular interest.
 Programs need to read and write files and directories, create and delete them, search them, list file Information, permission management.
 - Communications Processes may exchange information, on the same computer or between computers over a network
 - Communications may be via shared memory or through message passing (packets moved by the OS)
 - Error detection OS needs to be constantly aware of possible errors
 - May occur in the CPU and memory hardware, in I/O devices, in user program
 - For each type of error, OS should take the appropriate action to ensure correct and consistent computing
 - Debugging facilities can greatly enhance the user's and programmer's abilities to efficiently use the system





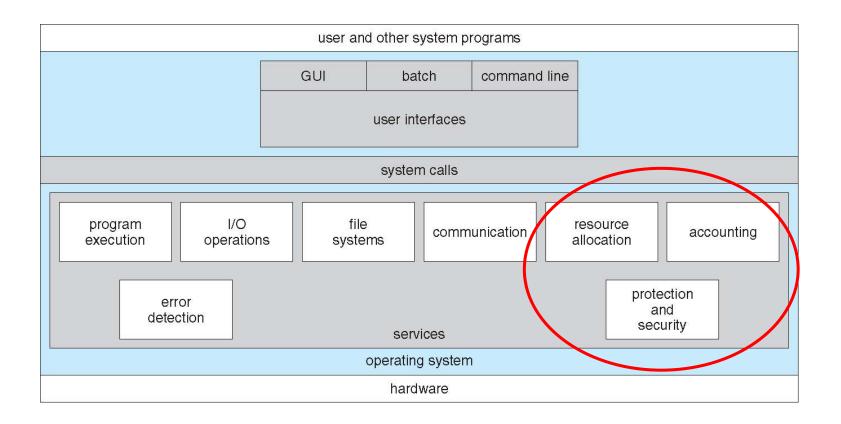
Resource Management Efficiency

- Another set of OS functions exists for ensuring the efficient operation of the system itself via resource sharing
 - Resource allocation When multiple users or multiple jobs running concurrently, resources must be allocated to each of them
 - Many types of resources CPU cycles, main memory, file storage, I/O devices.
 - Accounting (logging) To keep track of which users use how much and what kinds of computer resources
 - Protection and security The owners of information stored in a multiuser or networked computer system may want to control use of that information, concurrent processes should not interfere with each other
 - Protection involves ensuring that all access to system resources is controlled
 - Security of the system from outsiders requires user authentication, extends to defending external I/O devices from invalid access attempts





A View of Operating System Services







User Operating System Interface - CLI

CLI or command interpreter allows direct command entry

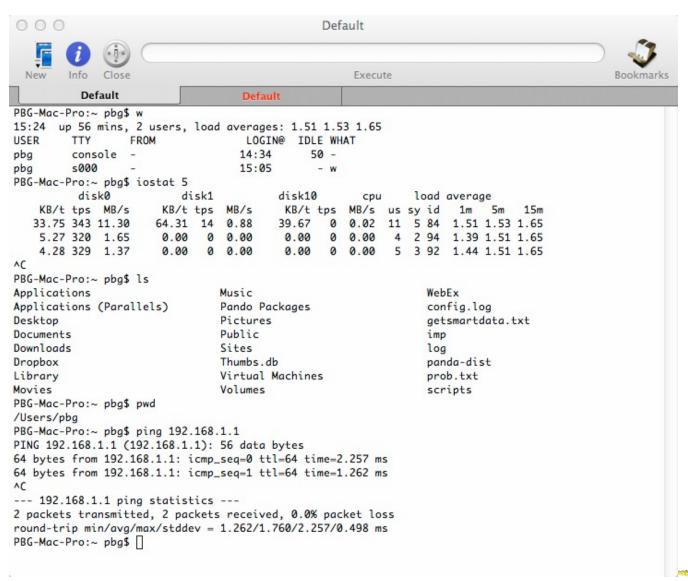
- Sometimes implemented in kernel, sometimes by systems program such as compilers, assembler, linker, readelf/readobj, and so on.
- Sometimes multiple flavors implemented shells
 - At least 10 different shells available to choose
- Primarily fetches a command from user and executes it
- Sometimes commands built-in, sometimes just names of programs
 - If the latter, adding new features doesn't require shell modification
 - Users could create his own new commands to the shell
- Shell programming

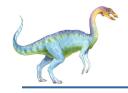
Script programs: as sequence of commands, run by the CLI interpreter.





Bourne Shell Command Interpreter





User Operating System Interface - GUI

- User-friendly desktop metaphor interface
 - Usually mouse, keyboard, and monitor
 - Icons represent files, programs, actions, etc
 - Various mouse buttons over objects in the interface cause various actions (provide information, options, execute function, open directory (known as a folder)
 - Invented at Xerox PARC
- Many systems now include both CLI and GUI interfaces
 - Microsoft Windows is GUI with CLI "command" shell
 - Apple Mac OS X is "Aqua" GUI interface with UNIX kernel underneath and shells available
 - Unix and Linux have CLI with optional GUI interfaces (CDE, KDE, GNOME)





Touchscreen Interfaces

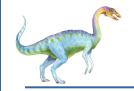
- Touchscreen devices require new interfaces
 - Mouse not possible or not desired
 - Actions and selection based on gestures
 - Virtual keyboard for text entry
- Voice commands.





The Mac OS X GUI





System Calls

- Programming interface to the services provided by the OS
- Typically written in a high-level language (C or C++)
- Mostly accessed by programs via a high-level Application Programming Interface (API) rather than direct system call use
- Three most common system call APIs are
 - Win32 API for Windows,
 - POSIX API for POSIX-based systems (including virtually all versions of UNIX, Linux, and Mac OS X), and
 - Java API for the Java virtual machine (JVM)

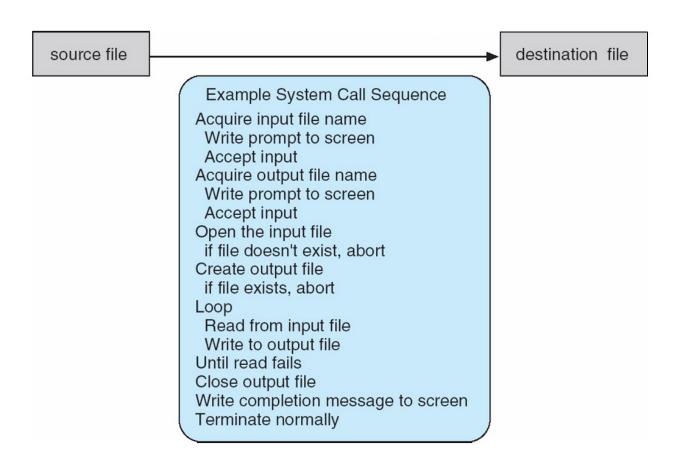
We have seen some system related functions in the standard C library such as the clib. How is clib different from Posix API?



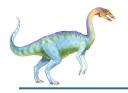


Example of System Calls

System call sequence to copy the contents of one file to another file







Example of Standard API

EXAMPLE OF STANDARD API

As an example of a standard API, consider the read() function that is available in UNIX and Linux systems. The API for this function is obtained from the man page by invoking the command

man read

on the command line. A description of this API appears below:

```
#include <unistd.h>
ssize_t read(int fd, void *buf, size_t count)

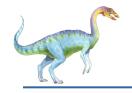
return function parameters
value name
```

A program that uses the read() function must include the unistd.h header file, as this file defines the ssize_t and size_t data types (among other things). The parameters passed to read() are as follows:

- int fd—the file descriptor to be read
- void *buf —a buffer where the data will be read into
- size_t count—the maximum number of bytes to be read into the buffer

On a successful read, the number of bytes read is returned. A return value of 0 indicates end of file. If an error occurs, read() returns -1.





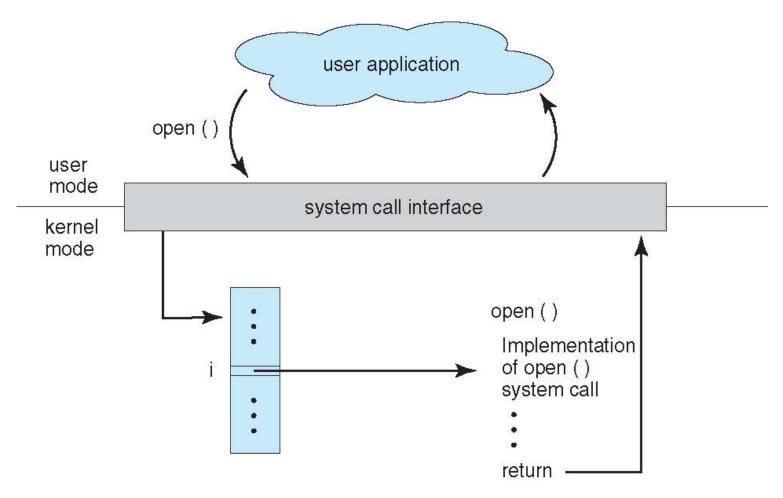
System Call Implementation

- Typically, a number associated with each system call
 - System-call interface maintains a table indexed according to these numbers
- The system call interface invokes the intended system call in OS kernel and returns status of the system call and any return values
- The caller need know nothing about how the system call is implemented
 - Just needs to obey API and understand what OS will do as a result call
 - Most details of OS interface hidden from programmer by API
 - Managed by run-time support library (set of functions built into libraries included with compiler)

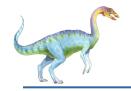




API – System Call – OS Relationship







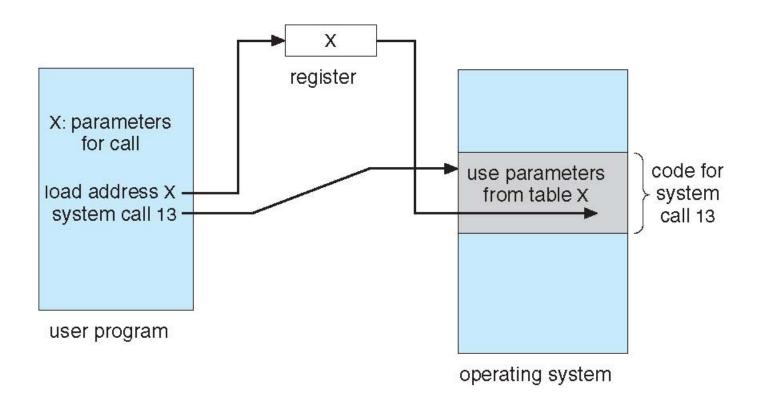
System Call Parameter Passing

- Often, more information is required than simply identity of desired system call
 - Exact type and amount of information vary according to OS and call
- Three general methods used to pass parameters to the OS
 - Simplest: pass the parameters in registers
 - In some cases, may be more parameters than registers
 - Parameters stored in a block, or table, in memory, and address of block passed as a parameter in a register
 - This approach taken by Linux and Solaris
 - Parameters placed, or pushed, onto the stack by the program and popped off the stack by the operating system
 - Block and stack methods do not limit the number or length of parameters being passed





Parameter Passing via Table



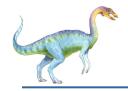




Differences of parameter passing in user-level calls and system calls

- User level calls could pass as many parameters as they want in registers, as long as they do not follow calling convention. Calling convention is for program inter-operability. If you need to call library routines, calling conventions must be followed. If you are self-contained, calling convention can be bypassed. System calls are standard API, so the number of parameters passed in registers is constrained.
- System calls must carefully validate the parameters passed to ensure security and safety.
- System calls cross two different address spaces and two different modes (user mode and kernel mode)
- Parameters passed to system calls are always copied, rather than using directly in some user level calls.





Types of System Calls

- Process control
 - create process, terminate process
 - end, abort
 - load, execute
 - get process attributes, set process attributes
 - wait for time
 - wait event, signal event
 - allocate and free memory
 - Dump memory if error
 - Debugger for determining bugs, single step execution
 - Locks for managing access to shared data between processes

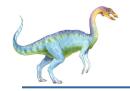




Types of System Calls

- File management
 - create file, delete file
 - open, close file
 - read, write, reposition
 - get and set file attributes
- Device management
 - request device, release device
 - read, write, reposition
 - get device attributes, set device attributes
 - logically attach or detach devices





Types of System Calls (Cont.)

- Information maintenance
 - get time or date, set time or date
 - get system data, set system data
 - get and set process, file, or device attributes
- Communications
 - create, delete communication connection
 - send, receive messages if message passing model to host name or process name
 - From client to server
 - Shared-memory model create and gain access to memory regions
 - transfer status information
 - attach and detach remote devices

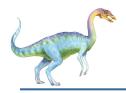




Types of System Calls (Cont.)

- Protection
 - Control access to resources
 - Get and set permissions
 - Allow and deny user access





Examples of Windows and Unix System Calls

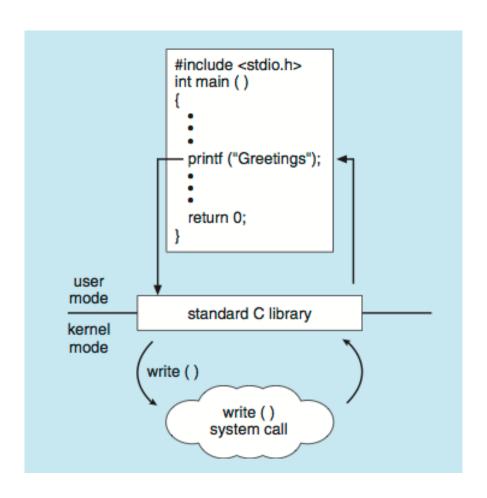
	Windows	Unix
Process Control	<pre>CreateProcess() ExitProcess() WaitForSingleObject()</pre>	<pre>fork() exit() wait()</pre>
File Manipulation	<pre>CreateFile() ReadFile() WriteFile() CloseHandle()</pre>	<pre>open() read() write() close()</pre>
Device Manipulation	SetConsoleMode() ReadConsole() WriteConsole()	ioctl() read() write()
Information Maintenance	<pre>GetCurrentProcessID() SetTimer() Sleep()</pre>	<pre>getpid() alarm() sleep()</pre>
Communication	<pre>CreatePipe() CreateFileMapping() MapViewOfFile()</pre>	<pre>pipe() shmget() mmap()</pre>
Protection	<pre>SetFileSecurity() InitlializeSecurityDescriptor() SetSecurityDescriptorGroup()</pre>	<pre>chmod() umask() chown()</pre>





Standard C Library Example

C program invoking printf() library call, which calls write() system call



2.26





Clib vs. POSIX API

- Both POSIX API and the C standard library are API
- C standard library provides a standardized set of tools and interfaces that programmers use to develop C programs, abstracting lower-level details and ensuring a degree of portability.
- There are some overlap between the Clib and the Posix API
 - String and Memory Operations: Both provide functions for string manipulation (like strcpy, strcat, strlen) and memory operations (like malloc, free, memcpy).
 - File and Directory Operations: Functions for handling files and directories, such as fopen, fclose, read, write, fseek, and directory manipulation functions (opendir, readdir, closedir).
 - Standard I/O: Both offer standard input, output, and error handling functions (printf, scanf, fprintf, fscanf, stderr).
 - Process Control: Basic process-related functions like exit and atexit are available in both. However, more advanced process control functions like fork and exec are specific to POSIX.
 - Error Handling: Both provide mechanisms for error handling, including setting and retrieving the errno variable.
 - Time and Date Functions: Functions for handling and manipulating time and dates (like time, localtime, gmtime) are available in both standards.



Process Control in MS-DOS

- Single-tasking
- Shell invoked when system booted
- Simple method to run program
 - No process created
- Single memory space
- Loads program into memory, overwriting all but the kernel
- Program exit -> shell reloaded

command interpreter kernel

At system startup

process

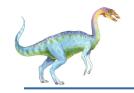
command
interpreter

kernel

(b)

running a program



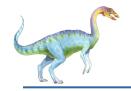


Example: FreeBSD

- Unix variant
- Multitasking
- User login -> invoke user's choice of shell
- Shell executes fork() system call to create process
 - Executes exec() to load program into process
 - Shell waits for process to terminate or continues with user commands
- Process exits with:
 - code = 0 no error
 - code > 0 error code

process D free memory process C interpreter process B

kernel



System Programs

- System programs provide a convenient environment for program development and execution. They can be divided into:
 - File manipulation
 - Status information sometimes stored in a File modification
 - Programming language support
 - Program loading and execution
 - Communications
 - Background services
 - Application programs
- Most users' view of the operation system is defined by system programs, not the actual system calls





System Programs

- Provide a convenient environment for program development and execution
 - Some of them are simply user interfaces to system calls; others are considerably more complex
- **File management** Create, delete, copy, rename, print, dump, list, and generally manipulate files and directories

Status information

- Some ask the system for info date, time, amount of available memory, disk space, number of users
- Others provide detailed performance, logging, and debugging information
- Typically, these programs format and print the output to the terminal or other output devices
- Some systems implement a registry used to store and retrieve configuration information



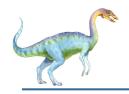


System Programs (Cont.)

File modification

- Text editors to create and modify files
- Special commands to search contents of files or perform transformations of the text
- Programming-language support Compilers, assemblers, debuggers and interpreters sometimes provided
- Program loading and execution- Absolute loaders, relocatable loaders, linkage editors, and overlay-loaders, debugging systems for higher-level and machine language
- Communications Provide the mechanism for creating virtual connections among processes, users, and computer systems
 - Allow users to send messages to one another's screens, browse web pages, send electronic-mail messages, log in remotely, transfer files from one machine to another





Linker and Loader

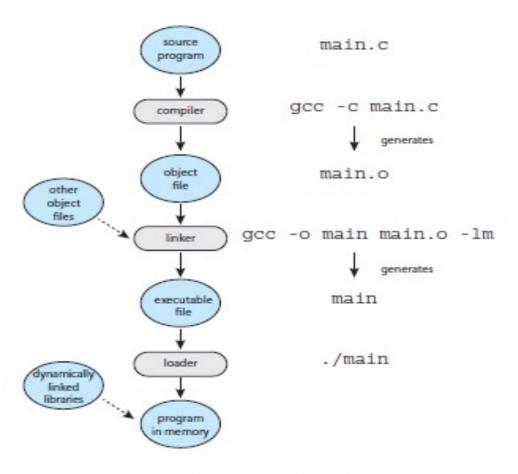
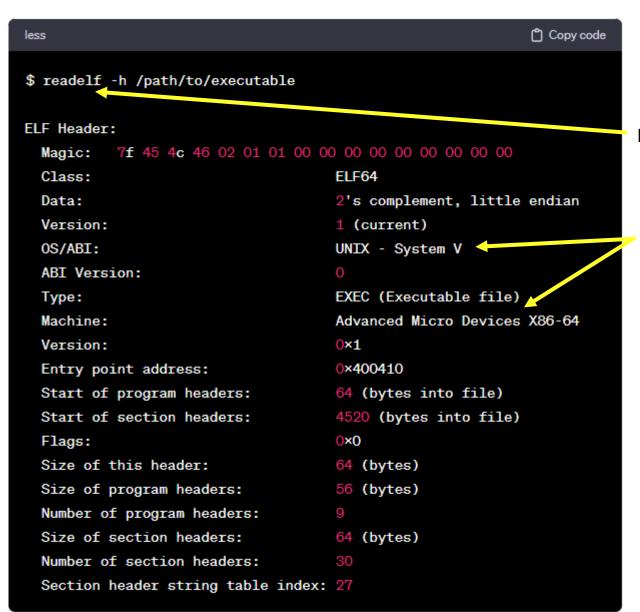


Figure 2.11 The role of the linker and loader.

- Static linking vs Dynamic Linking
- .so (Linux/Unix) vs .dll (Windows)







Check file type: file a.out

readelf

ABI





System Programs (Cont.)

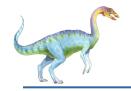
Background Services

- Launch at boot time
 - Some for system startup, then terminate
 - Some from system boot to shutdown
- Provide facilities like disk checking, process scheduling, error logging, printing
- Run in user context not kernel context
- Known as services, subsystems, daemons

Application programs

- Don't pertain to system
- Run by users
- Not typically considered part of OS
- Launched by command line, mouse click, finger poke





System Services, Daemons

Typical daemons in a Unix/Linux

- init/systemd: The parent of all processes.
- crond/cron: Handles scheduled tasks.
- **3. syslogd**: Manages system logging.
- 4. **sshd**: Secure Shell daemon for secure remote logins.
- **5. httpd**: Web server daemon (e.g., Apache).
- 6. **ftpd**: File Transfer Protocol daemon for file transfers.
- **7. networking**: For managing network connections.
- 8. smtpd: For handling email sending.
- nmbd/smbd: For Windows network sharing (Samba).
- **10. cupsd**: For print services.
- ☐ Use "ps —aux " or "top" command to see all the processes running, including the daemon processes.





Operating System Design and Implementation

- Design and Implementation of OS not "solvable", but some approaches have proven successful
- Internal structure of different Operating Systems can vary widely
- Start the design by defining goals and specifications
- Affected by choice of hardware, type of system
- User goals and System goals
 - User goals operating system should be convenient to use, easy to learn, reliable, safe, and fast
 - System goals operating system should be easy to design, implement, and maintain, as well as flexible, reliable, error-free, and efficient





Operating System Design and Implementation (Cont.)

Important principle to separate

Policy: What will be done? Mechanism: How to do it?

- Mechanisms determine how to do something, policies decide what will be done
- The separation of policy from mechanism is a very important principle, it allows maximum *flexibility* if policy decisions are to be changed later (example timer)
- Specifying and designing an OS is highly creative task of software engineering

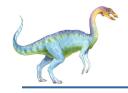




Implementation

- Much variation
 - Early OSes in assembly language
 - Then system programming languages like Algol, PL/1
 - Now C, C++
- Actually usually a mix of languages
 - Lowest levels in assembly
 - Main body in C
 - Systems programs in C, C++, scripting languages like PERL, Python, shell scripts
- More high-level language easier to port to other hardware
 - But slower
- Emulation can allow an OS to run on non-native hardware





Operating System Structure

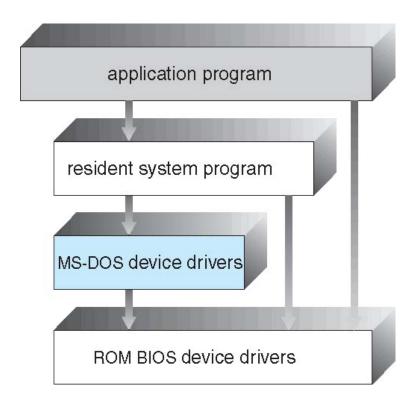
- General-purpose OS is very large program
- Various ways to structure ones
 - Simple structure MS-DOS
 - More complex -- UNIX
 - Layered an abstraction
 - Microkernel –Mach
- OS Performance
 - Major performance improvements come from better data structures and algorithms.
 - Most performance critical are interrupt handlers, I/O managers, Memory managers, and CPU schedulers.





Simple Structure -- MS-DOS

- MS-DOS written to provide the most functionality in the least space
 - Not divided into modules
 - Although MS-DOS has some structure, its interfaces and levels of functionality are not well separated







Non Simple Structure -- UNIX

UNIX – limited by hardware functionality, the original UNIX operating system had limited structuring. The UNIX OS consists of two separable parts

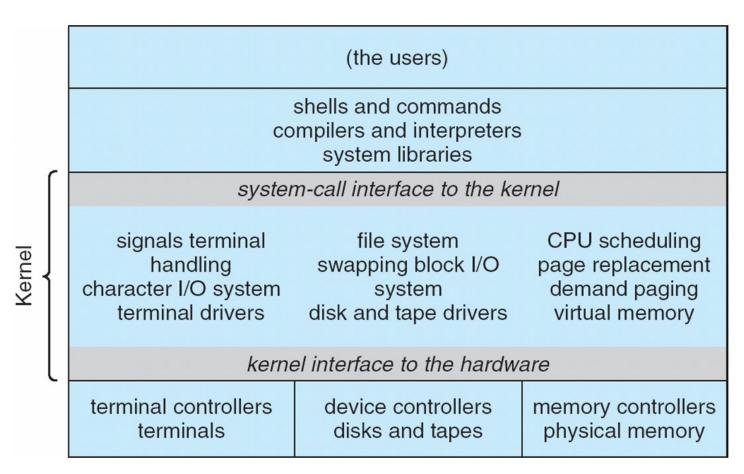
- Systems programs
- The kernel
 - Consists of everything below the system-call interface and above the physical hardware
 - Provides the file system, CPU scheduling, memory management, and other operating-system functions; a large number of functions for one level





Traditional UNIX System Structure

Beyond simple but not fully layered

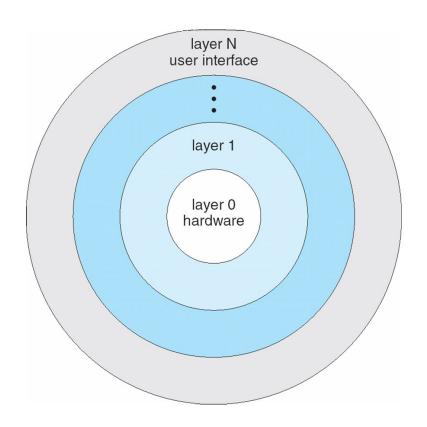






Layered Approach

- The operating system is divided into a number of layers (levels), each built on top of lower layers. The bottom layer (layer 0), is the hardware; the highest (layer N) is the user interface.
- With modularity, layers are selected such that each uses functions (operations) and services of only lower-level layers







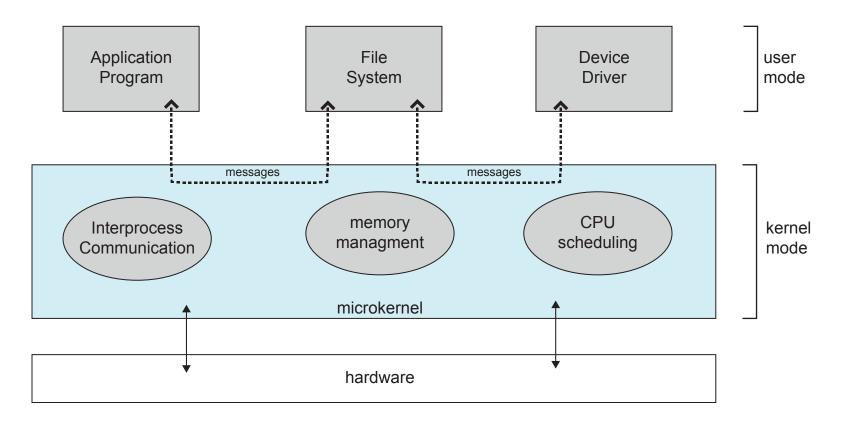
Microkernel System Structure

- Moves as much from the kernel into user space
- Mach example of microkernel
 - Mac OS X kernel (Darwin) partly based on Mach
- Communication takes place between user modules using message passing
- Benefits:
 - Easier to extend a microkernel
 - Easier to port the operating system to new architectures
 - More reliable (less code is running in kernel mode)
 - More secure
- Detriments:
 - Performance overhead of user space to kernel space communication





Microkernel System Structure







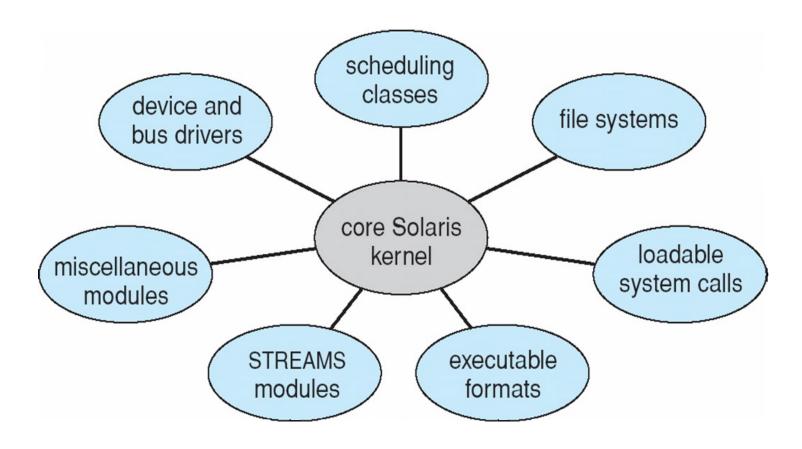
Modules

- Many modern operating systems implement loadable kernel modules
 - Uses object-oriented approach
 - Each core component is separate
 - Each talks to the others over known interfaces
 - Each is loadable as needed within the kernel
- Overall, similar to layers but with more flexible
 - Linux, Solaris, etc

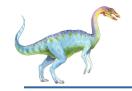




Solaris Modular Approach







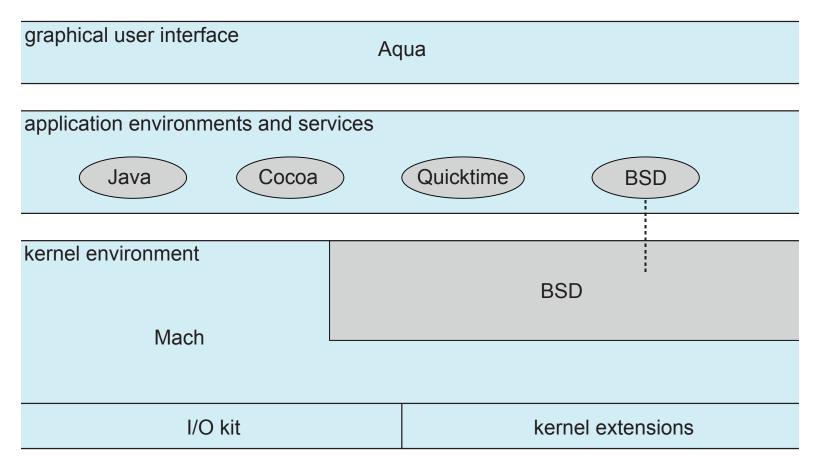
Hybrid Systems

- Most modern operating systems are actually not one pure model
 - Hybrid combines multiple approaches to address performance, security, usability needs
 - Linux and Solaris kernels in kernel address space, so monolithic, plus modular for dynamic loading of functionality
 - Windows mostly monolithic, plus microkernel for different subsystem *personalities*
- Apple Mac OS X hybrid, layered, Aqua UI plus Cocoa programming environment
 - Below is kernel consisting of Mach microkernel and BSD Unix parts, plus I/O kit and dynamically loadable modules (called kernel extensions)





Mac OS X Structure



Java: cross platform environment; Cocoa: API and framework for Apple Application developments; Quicktime: multimedia framework. BSD: core of the Mac OS X.



iOS

- Apple mobile OS for iPhone, iPad
 - Structured on Mac OS X, added functionality
 - Does not run OS X applications natively
 - Also runs on different CPU architecture (ARM vs. Intel)
 - Cocoa Touch Objective-C API for developing apps
 - Media services layer for graphics, audio, video
 - Core services provides cloud computing, databases
 - Core operating system, based on Mac OS X kernel

Cocoa Touch

Media Services

Core Services

Core OS





Android

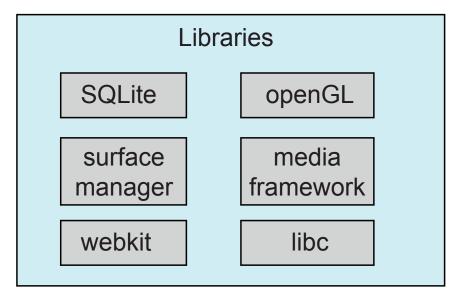
- Developed by Open Handset Alliance (mostly Google)
 - Open Source
- Similar stack to IOS
- Based on Linux kernel but modified
 - Provides process, memory, device-driver management
 - Adds power management
- Runtime environment includes core set of libraries and Dalvik virtual machine (another JVM)
 - Apps developed in Java plus Android API
 - Java class files compiled to Java bytecode then translated to executable than runs in Dalvik VM
- Libraries include frameworks for web browser (webkit), database (SQLite), multimedia, smaller libc





Android Architecture

Application Framework



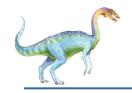
Android runtime

Core Libraries

Dalvik
virtual machine

ART: Android RunTime





Operating-System Debugging

- Debugging is finding and fixing errors, or bugs
- OS generate log files containing error information
- Failure of an application can generate core dump file capturing memory of the process
- Operating system failure can generate crash dump file containing kernel memory
- Beyond crashes, performance tuning can optimize system performance
 - Sometimes using trace listings of activities, recorded for analysis
 - Profiling is periodic sampling of instruction pointer to look for statistical trends

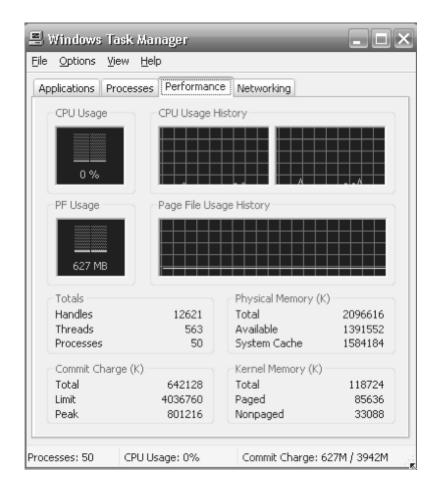
Kernighan's Law: "Debugging is twice as hard as writing the code in the first place. Therefore, if you write the code as cleverly as possible, you are, by definition, not smart enough to debug it."



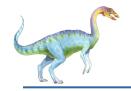


Performance Tuning

- Improve performance by removing bottlenecks
- OS must provide means of computing and displaying measures of system behavior
- For example, "top" program or Windows Task Manager







DTrace

- DTrace tool in Solaris,
 FreeBSD, Mac OS X allows
 live instrumentation on production systems
- Probes fire when code is executed within a provider, capturing state data and sending it to consumers of those probes
- Example of following XEventsQueued system call move from libc library to kernel and back

```
# ./all.d 'pgrep xclock' XEventsQueued
dtrace: script './all.d' matched 52377 probes
CPU FUNCTION
  0 -> XEventsOueued
      -> XEventsQueued
                                         U
        -> X11TransBytesReadable
        <- X11TransBytesReadable
                                         U
        -> X11TransSocketBytesReadable U
        <- X11TransSocketBytesreadable U
        -> ioctl
                                         U
          -> ioctl
                                         K
            -> getf
                                         K
              -> set active fd
                                         Κ
              <- set active fd
                                         Κ
            <- getf
                                         Κ
            -> get udatamodel
                                         Κ
            <- get udatamodel
                                         Κ
            -> releasef
              -> clear active fd
                                         K
              <- clear active fd
              -> cv broadcast
              <- cv broadcast
            <- releasef
                                         K
          <- ioctl
                                         Κ
        <- ioctl
      <- XEventsQueued
  0 <- XEventsQueued
```



Dtrace (Cont.)

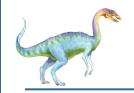
DTrace code to record amount of time each process with UserID 101 is in running mode (on CPU) in nanoseconds

```
sched:::on-cpu
uid == 101
{
    self->ts = timestamp;
}
sched:::off-cpu
self->ts
{
    @time[execname] = sum(timestamp - self->ts);
    self->ts = 0;
}
```

```
# dtrace -s sched.d.
dtrace: script 'sched.d' matched 6 probes
^C
   gnome-settings-d
                                 142354
   gnome-vfs-daemon
                                 158243
   dsdm
                                 189804
                                 200030
   wnck-applet
   gnome-panel
                                 277864
   clock-applet
                                 374916
   mapping-daemon
                                 385475
   xscreensaver
                                 514177
                                 539281
   metacity
                                2579646
   Xorg
   gnome-terminal
                                5007269
   mixer_applet2
                                7388447
                               10769137
   java
```

Figure 2.21 Output of the D code.





Strace and Dtrace

- Both DTrace and Strace are powerful diagnostic tools used for troubleshooting and analyzing system behavior, but have different capabilities.
- DTrace is known for its comprehensive and powerful *system profiling* abilities. It allows for dynamic tracing of both user programs and the operating system kernel. It can gather data about system calls, kernel functions, and application behavior. Dtrace was originally developed for Solaris, now available on BSD and Linux.
- Strace is used to monitor the system calls made by a program and the signals it receives. Its primary use is in *troubleshooting* and *debugging* issues related to system calls. Strace is a tool for Linux and other Unix-like OS. Its performance overhead is more visible than Dtrace.

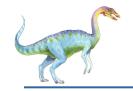




Operating System Generation

- Operating systems are designed to run on any of a class of machines; the system must be configured for each specific computer site
- SYSGEN program obtains information concerning the specific configuration of the hardware system
 - Used to build system-specific compiled kernel or systemtuned
 - Can generate more efficient code than one general kernel

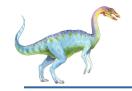




System Boot

- When power initialized on system, execution starts at a fixed memory location
 - Firmware ROM used to hold initial boot code
- Operating system must be made available to hardware so hardware can start it
 - Small piece of code bootstrap loader, stored in ROM or EEPROM locates the kernel, loads it into memory, and starts it
 - Sometimes two-step process where boot block at fixed location loaded by ROM code, which loads bootstrap loader from disk
- Common bootstrap loader, GRUB, allows selection of kernel from multiple disks, versions, kernel options
- Kernel loads and system is then running





Summary

- OS provides system services; Programmers use API such as Standard C library or POSIX API to get services.
- Interface to users: CLI, GUI, Touch screen
- System calls:
 - Process control, File management, Device management,
 - Information maintenance, Communications, Protection
- Other than system calls, system programs also offer services
- OS structures: Monolithic, Layered, Microkernel, Kernel Modules
- The performance of an OS can be monitored via counters or tracing:
 - Counters: Software counters and Hardware counters
 - Tracing: Strace and Dtrace



End of Chapter 2

