

Differential Topology Notes

Simon Xiang

April 7, 2021

Notes for the Spring 2021 graduate section of Differential Topology (Math 382D) at UT Austin, taught by Dr. Freed. Source files: https://git.simonxiang.xyz/math_notes/files.html

Contents

| | | |
|-----|------------------------------|---|
| 1 | March 30, 2021 | 2 |
| 1.1 | Mod 2 degree: first attempt | 2 |
| 1.2 | The mod 2 winding number | 3 |
| 1.3 | The Jordan Brouwer theorem | 3 |
| 2 | March 25, 2021 | 3 |
| 2.1 | Mod 2 degree (again) | 3 |
| 2.2 | Mod 2 intersection theory | 4 |
| 2.3 | Examples | 4 |
| 3 | March 30, 2021 | 5 |
| 3.1 | Mod 2 winding number | 5 |
| 4 | April 6, 2020 | 5 |
| 4.1 | Tensor algebras | 5 |
| 4.2 | Existence of tensor algebras | 6 |
| 4.3 | The Exterior Algebra | 6 |

March 30, 2021

todo:brouwer stuff (not hard)

1.1 Mod 2 degree: first attempt

Fix a positive integer n . Let X be a compact n -manifold and Y a connected n -manifold. Suppose $f : X \rightarrow Y$ is smooth. If $q \in Y$ is a regular value, then $f^{-1}(q)$ is a 0-dimensional submanifold (by the preimage theorem). The degree counts the number of points in $f^{-1}(q)$.

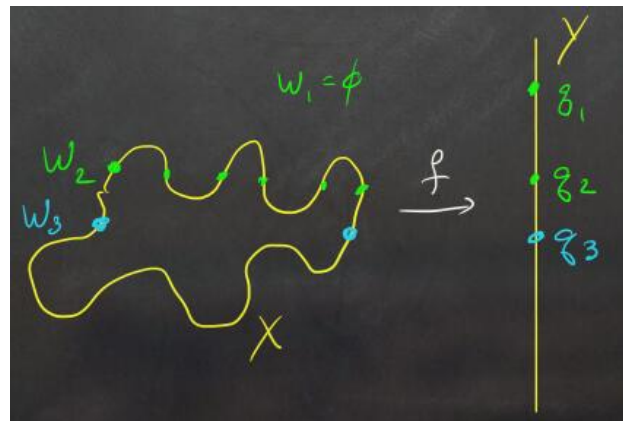


Figure 1: The mod 2 degree is independent of the regular value q_i .

The standard degree depends on the regular value q ; in Figure 1, you can see the degree go from $0 \rightarrow 6 \rightarrow 2$ as $q_1 \rightarrow q_2 \rightarrow q_3$. However, mod 2 the degree is constant, so it's independent of q . Examine the inverse images W_1 and W_2 of the closed intervals $[q_1, q_2]$ and $[q_2, q_3]$; note that W_1 and W_2 are 1-dimensional compact manifolds with boundary **todo:see lec 13 for proof**. In fact, W_1 is a bordism from $f^{-1}(q_1)$ to $f^{-1}(q_2)$, while W_2 is a bordism from $f^{-1}(q_2)$ to $f^{-1}(q_3)$.

The fact that this degree mod 2 is invariant follows from **todo:see classificaiton of 1-manifold lec: number of boundary points of a compact 1-manifold is even**. As t varies through $[q_1, q_3]$, we can see the birth and death of preimage pairs as we pass through critical values.

Definition 1.1. A **smooth homotopy** of maps $X \rightarrow Y$ between manifolds (without boundary) is a smooth map $F : [0, 1] \times X \rightarrow Y$. We write $F_t : X \rightarrow Y$ for the restriction of F to $\{t\} \times X$.

Theorem 1.1. Fix $n \in \mathbb{Z}^{>0}$ and let X be a compact n -manifold, Y a connected n -manifold, and $f : X \rightarrow Y$ a smooth map. Then

- (1) The mod 2 cardinality $\#f^{-1}(q) \pmod{2}$ of the inverse image of a regular value $q \in Y$ is independent of q .
- (2) If $F : [0, 1] \times X \rightarrow Y$ is a smooth homotopy of maps, and $q \in Y$ a simultaneous regular value of F, F_0 , and F_1 , then $\#F_0^{-1}(q) \equiv \#F_1^{-1}(q) \pmod{2}$.

Proof. For (2), note that the simultaneous regular values of F, F_0, F_1 exist by Sard's theorem. Observe that $\partial([0, 1] \times X) = \{0\} \times X \sqcup \{1\} \times X$, so $\partial F = F_0 \sqcup F_1$. **todo:by some theorem**, we have $W := F^{-1}(q)$ a 1-dimensional submanifold of $[0, 1] \times X$, and

$$\partial W = W \cap (\{0\} \times X) \sqcup W \cap (\{1\} \times X) = \{0\} \times F_0^{-1}(q) \sqcup \{1\} \times F_1^{-1}(q).$$

Since $\#\partial W$ is even, it follows that $\#F_0^{-1}(q) \equiv \#F_1^{-1}(q) \pmod{2}$. □

todo:finish

1.2 The mod 2 winding number

Let A be $(n+1)$ -dimensional affine space with V acting on A by translations, X be a compact n -manifold. Let $f: X \rightarrow A, q \in A \setminus f(X)$. Define $w_q: X \rightarrow S = S(V) \subseteq V, p \mapsto \frac{f(p)-q}{\|f(p)-q\|}$.

Definition 1.2. The **mod 2 winding number** is given by

$$W_2(f, q) = \deg_2 w_q \in \mathbb{Z}/2\mathbb{Z}.$$

Remark 1.1.

- $w_2(f, q)$ depends only on $[q] \in \pi_0(A \setminus f(X))$,
- $w_2(f, q)$ is unchanged under smooth homotopies of f which do not contain q in the image.

There are two methods to compute $w_2(f, q)$.

Theorem 1.2. If W is a compact $(n+1)$ -manifold with $\partial W = X$, $F: W \rightarrow A$ such that $\partial F = f$, suppose $q \in A \setminus f(X)$ is a regular of F . Then $w_2(f, q) = \#F^{-1}(q) \pmod{2}$.

Proof. **todo:free time** ☒

Theorem 1.3. Let $z = z_q(\xi)$. If $f \not\sim z$, then $w_2(f, q) = \#_2(f, z)$ in $Y = A \setminus \{q\}$.

1.3 The Jordan Brouwer theorem

This is the famous topological fact that's notoriously hard to prove. Say we embed S^1 in \mathbb{R}^2 . Then the embedding has two components, a bounded interior and an unbounded exterior.

The Jordan curve theorem. Suppose $X \subseteq A$ (where A is affine space) is a compact connected hypersurface (submanifold of codimension 1). Then $A \setminus X$ has two path components D_0, D_1 , exactly one of which, say D_1 , is bounded. The closure $\overline{D_1}$ is a compact manifold with boundary with $\partial \overline{D_1} = X$. Finally, if $q \in D_j$, then $w_2(i_X, q) = j \pmod{2}$, where $i_X: X \rightarrow A$ denotes the inclusion.

Proof. **todo:** ☒

Seems like Borsuk Ulam is going in the notes.

Corollary 1.1. There does not exist an embedding $\mathbb{RP}^2 \hookrightarrow \mathbb{A}^3$.

Lecture 2

March 25, 2021

todo:a lot of unclear notes commented out, also read everything about perturbing to get transverse intersection

2.1 Mod 2 degree (again)

todo:complete last time proof

Proposition 2.1. Let X be a compact connected manifold. Then id_X is not smoothly homotopic to a constant map.

Proof. The mod 2 degree is defined for maps $X \rightarrow X$, and $\deg_2 \text{id}_X = 1$, since every point of X is a regular value with a single inverse image point. On the other hand, the constant map $X \rightarrow X$ with value $p \in X$ has any $q \neq p$ as a regular value with empty inverse image, so the mod two degree of a constant map is zero. ☒

Proposition 2.2. Let n be a positive integer, W a compact $(n+1)$ -dimensional manifold with boundary, Y a connected n -dimensional manifold, and $F: W \rightarrow Y$ a smooth map. Then the mod two degree of the restriction of F to the boundary vanishes, or $\deg_2 \partial F = 0$.

Proof. Let $q \in Y$ be a simultaneous regular value of $F, \partial F$. Then $F^{-1}(q) \subseteq W$ is a compact 1-dimensional with $\partial F^{-1}(q) = F^{-1}(q) \cap \partial W$. Now apply **todo:fact that boundary of 1 manifold is even** \square

Proposition 2.3. *Let X be a compact n -manifold. Then there exists $f : X \rightarrow S^n$ such that $\deg_2 f = 1$.*

Proof. **todo:** \square

2.2 Mod 2 intersection theory

Let Y be a smooth manifold and $X, Z \subseteq Y$ submanifolds of complementary dimension: $\dim X + \dim Z = \dim Y$. We want to define the *intersection number* of X and Z in Y by counting the elements of $X \cap Z \subseteq Y$. An issue is that this intersection may be infinite; let $Y = \mathbb{A}^r$ and $X = Z = \{(x, 0) \mid x \in \mathbb{R}\} \subseteq \mathbb{A}^2$. So we need to perturb one of the submanifolds to achieve a transverse intersection. Our techniques allow us to perturb maps, so perturb the inclusion $i_X : X \hookrightarrow Y$. So we can generalize the setup to an arbitrary smooth map $f : X \rightarrow Y$. **todo:corollary from last lec** implies that we can homotope f to a map $g : X \rightarrow Y$ such that $g \bar{\cap} Z$, and so $g^{-1}(Z) \subseteq X$ is a 0-dimensional submanifold. We want this set to be finite, so we add that X must be *compact* in the conditions. We also want the number of points mod 2 in $g^{-1}(Z)$ to be independent of perturbation, which requires $Z \subseteq Y$ be *closed*.

Example 2.1. Consider $Y = \mathbb{A}^2, Z = \{(x, 0) \mid x \in \mathbb{R}^{\neq 0}\} \subseteq \mathbb{A}^2$, and $X = \{(x, y) \mid (x-1)^2 + y^2 = 1\}$. Then $\#(X \cap Z) = 1$, but any small nonzero translation of X intersects Z in 2 points.

Setup. Here, X is a compact manifold, Y is a manifold, $Z \subseteq Y$ is a *closed* submanifold, $f : X \rightarrow Y$ is smooth, and $\dim X + \dim Z = \dim Y$.

Lemma 2.1. *Let $g_0, g_1 : X \rightarrow Y$ be smoothly homotopic maps satisfying $g_0, g_1 \bar{\cap} Z$. Then $\#g_0^{-1}(Z) = \#g_1^{-1}(Z)$.*

Definition 2.1. Define the **mod 2 intersection number** $\#_2(f, Z) = \#g^{-1}(Z)$, where $g \simeq f$ is any smoothly homotopic map such that $g \bar{\cap} Z$. Such map exists by **todo:corollary in lec 16**, and the intersection number is independent of choice of g by Lemma 2.1.

Remark 2.1. If $X \subseteq Y$ is a compact submanifold and $f = i_X$ is the inclusion, then we write $\#_2(X, Z) = \#_2(Z, X)$. This is not symmetric for X compact and Z closed, but if Z is compact, then $\#_2(X, Z) = \#_2(Z, X)$. We can prove this by letting $\Delta \subseteq Y \times Y$ be the diagonal submanifold, then

$$\#_2^Y(X, Z) = \#_2^Y(Z, X) = \#_2^{Y \times Y}(i_X \times i_Z, \Delta).$$

Proposition 2.4. *Given our setup,*

- (1) *If $f_0 \simeq f_1$ are smoothly homotopic, then $\#_2(f_0, Z) = \#_2(f_1, Z)$.*
- (2) *If W is a compact $(n+1)$ -dimensional manifold with boundary $\partial W = X$, and $F : W \rightarrow Y$ a smooth map such that $\partial F = f$, then $\#_2(f, Z) = 0$.*

2.3 Examples

Example 2.2. Let $Y = S^1 \times S^1$, and consider the submanifolds $X = S^1 \times \{0\}$ and $Z = \{0\} \times S^1$. Then $\#_2(X, Z) = 1$. On the other hand, $\#_2(X, X) = \#_2(Z, Z) = 0$. You can organize these mod 2 intersection numbers into a 2×2 intersection matrix $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$.

Example 2.3. Let $Y = \mathbb{RP}^2$ be the real projective plane, and $X = \mathbb{RP}^1 \subseteq \mathbb{RP}^2$ a projective line. Then $\#(X, X) = 1$. To compute this, perturb the inclusion $i : \mathbb{RP}^1 \rightarrow \mathbb{RP}^2$ to achieve transversality with the given line X , something we can achieve by choosing a transverse line. In terms of $\mathbb{RP}^2 = \mathbb{P}(\mathbb{R}^3)$, a projective line is a 2-dimensional subspace of \mathbb{R}^3 , and two transverse 2-dimensional subspaces intersect in a 1-dimensional subspaces. That is, two projective lines intersect.

Theorem 2.1. *The 2-torus $S^1 \times S^1$ is not diffeomorphic to the 2-sphere S^2 .*

Proof. If there is a diffeomorphism, we can find two 1-dimensional submani \square

March 30, 2021

todo: the days are completely off

Setup. Let n denote a positive integer, A a real affine space of dimension $n+1$, V be a tangent space to A equipped with an inner product, X be a compact n -manifold, and $f : X \rightarrow A$ a smooth map.

3.1 Mod 2 winding number

Choose $q \in A \setminus f(X)$ ($f(X) \neq A$ by Sard's theorem). Let $S = S(V) \subseteq V$ be the n -sphere of unit norm vectors. Define $w_q : X \rightarrow S$, $p \mapsto \frac{f(p)-q}{\|f(p)-q\|}$.

Definition 3.1. The **mod 2 winding number** of f about q is

$$W_2(f, q) = \deg_2 w_q.$$

April 6, 2020

(last time: universal properties, motivating differential forms: watch!)

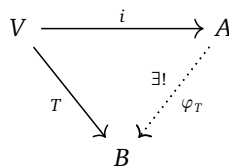
A lot of definitions, here's the new ones:

Definition 4.1. A **subalgebra** of an algebra A is a linear subspace $A' \subseteq A$ containing 1 such that $a'_1 a'_2 \in A'$ for all $a'_1, a'_2 \in A'$. A **2-sided ideal** $I \subseteq A$ is a linear subspace such that $AI = I$ and $IA = I$. A **\mathbb{Z} -grading** of an algebra A is a direct sum decomposition $A = \bigoplus_{k \in \mathbb{Z}} A^k$ such that $A^{k_1} A^{k_2} \subseteq A^{k_1+k_2}$ for all $k_1, k_2 \in \mathbb{Z}$. If A is a \mathbb{Z} -graded algebra and $a \in A^k$, $k \in \mathbb{Z}^{>0}$, then a is **decomposable** if it is expressible as a product $a = a_1 \cdots a_k$ for $a_1, \dots, a_k \in A^1$. If not, a is **indecomposable**.

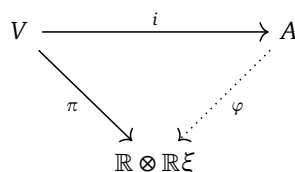
4.1 Tensor algebras

Let V be a vector space. We want to make the “free-est” algebra possible without relations, the tensor algebra $\otimes V$, thought of as the “free algebra generated by V ”.

Definition 4.2. Let V be a vector space. A **tensor algebra** (A, i) over V is an algebra A and a linear map $i : V \rightarrow A$ such that for all (B, T) of an algebra B and a linear map $T : V \rightarrow B$ such that φ_T is a homomorphism of algebras.



(A, i) is unique up to unique isomorphisms by a universal property argument (last time?). i is injective? If $(\xi \neq 0) \in V$ and $i(\xi) = 0$, set $B = \mathbb{R} \oplus \mathbb{R}\xi$ and define $\xi^2 = 0$.



Note that $\pi|_{\mathbb{R}\xi} = \text{id}$. But $\xi = \pi(\xi) = \varphi_1(\xi) = 0$, a contradiction. Furthermore, A has a canonical \mathbb{Z} -grading. $\lambda \in \mathbb{R}^{\neq 0, \neq 1}$, $T_\lambda: V \rightarrow V$ is scalar multiplication, $\varphi_\lambda: A \rightarrow A$ is a homomorphism. (look at notes)

Now let's define a new product of vector spaces, the tensor product, which is universal for bilinear forms.

Definition 4.3. Let V' and V'' be vector spaces. A **tensor product** (X, b) of V', V'' is a vector space X and a bilinear map $b: V' \times V'' \rightarrow X$ such that for all (W, B) ,

$$\begin{array}{ccc} V' \times V'' & \xrightarrow{b} & X \\ & \searrow B & \swarrow T_B \\ & & W \end{array}$$

$\exists!$

We denote $X = V' \otimes V''$, and $b(\xi', \xi'') = \xi' \otimes \xi''$, $\xi' \in V', \xi'' \in V''$.

If S' is a basis of V' , S'' a basis of V'' , then $S' \times S''$ is a basis of $V' \otimes V''$, where

$$S' \times S'' \cong \{\xi' \otimes \xi'' \mid \xi' \in S', \xi'' \in S''\}.$$

Note that \otimes is “commutative” and “associative” with unit \mathbb{R} , so

$$\begin{aligned} \mathbb{R} \otimes V &\rightarrow V \\ V_1 \otimes V_2 &\rightarrow V_2 \otimes V_1 \\ (V_1 \otimes V_2) \otimes V_3 &\rightarrow V_1 \otimes (V_2 \otimes V_3), \end{aligned}$$

forming what we call a **symmetric monoidal category**. We write $\otimes^1 V = V$, $\otimes^2 V = V \otimes V$, $\otimes^3 V = V \otimes V \otimes V$ and so on. We also write $\otimes^0 V = \mathbb{R}$, and sometimes replace $\otimes^n V$ with $V^{\otimes n}$.

4.2 Existence of tensor algebras

Let V be a vector space, and $A = \bigoplus_{k=0}^{\infty} \otimes^k V$. Let $i: V \hookrightarrow A$ be the inclusion into $\otimes^1 V = V$.

Claim. (A, i) is a tensor algebra over V .

To see this, note that

$$\xi_1 \otimes \cdots \otimes \xi_k \cdot_A \eta_1 \otimes \cdots \otimes \eta_\ell = \xi_1 \otimes \cdots \otimes \xi_k \otimes \eta_1 \otimes \cdots \otimes \eta_\ell \in \otimes^{k+\ell} V.$$

$\in \otimes^k V \qquad \in \otimes^\ell V$

Note that $A = \otimes^* V$ is *not* commutative.

4.3 The Exterior Algebra

We want to impose **todo:come back**