Abstract Algebra Lecture Notes

Simon Xiang

Lecture notes for the Fall 2021 graduate section of Abstract Algebra (Math 380C) at UT Austin, taught by Dr. Raskin. These notes were taken live in class (and so they may contain many errors). Source files: https://git.simonxiang.xyz/math_notes/files.html

Contents

1 August 25, 2021

Welcome to the first day of class! This is how Dr. Raskin thinks about algebra– in some way abstract algebra is a set of organizational tools that teaches you how to break up a question or pose a question, or reduce it to a simpler case. There was a tradition to classify all sorts of finite algebraic structures, eg finite dim Lie algebras or Lie rings or some binary operation, etc. Studying geometry or showing there are no solutions are more interesting aspects of algebra.

We'll start with finite group theory, then move on to ring theory. We'll follow *Dummit and Foote*, as well as lecture notes from Gaitsgory's Math 122 taught at Harvard. The formalism of group theory is somewhat abstract, because it's an organizational tool. What is group theory? In life, there are various ways of symmetry, eg reflections ($\mathbb{Z}/2$ symmetry, where actions of $\mathbb{Z}/2$ preserve the structure). Another $\mathbb{Z}/2$ symmetry is rotations, and so these two different types of symmetry are the "same". Group theory abstracts this idea of G symmetries by realizing $\mathbb{Z}/2$ as a group.

Definition 1.1. A **group** G consists of a set G and a map $m\colon G \times G \to G$, $(g,h) \mapsto g \cdot h$ (multiplication) such that

(a) the multiplication is associative, eg for all g_1, g_2, g_3, $(g_1 \cdot g_2) \cdot g_3 = g_1 \cdot (g_2 \cdot g_3)$,

(b) there exists some $1 \in G$ such that $1 \cdot g = g \cdot 1 = g$ for all $g \in G$,

(c) for all $g \in G$ there exists some $g^{-1} \in G$ such that

We say that 1 is the identity and g^{-1} is the inverse for g.

Lemma 1.1. *Identity elements are unique.*

Proof. Suppose $1, \widetilde{1} \in G$ are both identity elements. Then

$$\widetilde{1} = 1 \cdot \widetilde{1} = 1. \qquad \boxtimes$$

Lemma 1.2. *Inverses are unique.*

Proof. Suppose $g \in G$ with inverses g^{-1} and $\widetilde{g^{-1}}$. Then

$$g^{-1} = g^{-1} \cdot 1 = g^{-1} \cdot (g \cdot \widetilde{g^{-1}}) = (g^{-1} \cdot g) \cdot \widetilde{g^{-1}} = 1 \cdot \widetilde{g^{-1}} = \widetilde{g^{-1}}. \qquad \boxtimes$$

Example 1.1. Here are some examples of groups.

- Suppose $\mathbb{R}^{\times} \subseteq \mathbb{R}$ is the set of non-zero real numbers. Then $(\mathbb{R}^{\times}, \cdot)$ is a group. Some variants include $(\mathbb{R}^{>0}, \cdot)$, $(\{-1, 1\}, \cdot)$, and $(\mathbb{Q}^{\times}, \cdot)$ which are all groups.

- $(\mathbb{R}, \cdot)$ is not a group because 0 has no inverse. However, $(\mathbb{R}, +)$ is a group with unit 0, and the inverse of some $x \in \mathbb{R}$ is $-x$. Sometimes we write groups additively where it makes more sense.

- The **symmetric group on n letters**, denoted S_n, is the set of maps

$$S_n = \{\sigma\colon \{1, \cdots, n\} \xrightarrow{\cong} \{1, \cdots, n\}\}$$

where multiplication is just composition and the identity element is the identity morphism. Since each σ is a bijection, we have a unique inverse map σ^{-1} which is the multiplicative inverse for σ.

You can think of groups as subgroups of S_n (leading to Cayley's theorem), for example a reflection is a shuffling of infinitely many points in $\mathbb{R}^2$ around some axis.

2   August 27, 2021

Last time: we defined groups, sets with an associative binary operation, having a unit/identity and inverses. Algebraic structures are not interesting on their own, but what is interesting is how they talk to each other.

Definition 2.1. A **morphism** (or **homomorphism** or map) of groups from G to H is a function $\varphi: G \to H$ such that $\varphi(gh) = \varphi(g)\varphi(h)$ for all $g, h \in G$. We could also say the following diagram commutes:

$$\begin{array}{ccc} G \times G & \xrightarrow{m_G} & G \\ \downarrow{\scriptstyle \varphi \times \varphi} & & \downarrow{\scriptstyle \varphi} \\ H \times H & \xrightarrow{m_H} & H \end{array}$$

In this case, m refers to the multiplication on G or H.

Note. A note on notation: a *morphism* of (thing) is a function between (thing)s preserving all structures. In this case, the structure being preserved is multiplication.

Proposition 2.1. *Let $\varphi: G \to H$ be a group homomorphism. Then*

   (1) $\varphi(1_G) = 1_H$,
   (2) For all $g \in G$, $\varphi(g^{-1}) = \varphi(g)^{-1}$.

Proof. For (1),
$$\varphi(1_G) = \varphi(1_G \cdot 1_G) = \varphi(1_G) \cdot \varphi(1_G) = \varphi(1_G),$$

then left multiplying by $\varphi(1_G)^{-1}$ gives

$$\varphi(1_G)^{-1} \cdot \varphi(1_G) \cdot \varphi(1_G) = \varphi(1_G)^{-1} \cdot \varphi(1_G) \implies 1_H \cdot \varphi(1_G) = 1_H \implies \varphi(1_G) = 1_H.$$

In other words, $g \cdot h = g$ implies $h = 1$. For (2),

$$1_H = \varphi(1_G) = \varphi(g \cdot g^{-1}) = \varphi(g) \cdot \varphi(g^{-1}) \implies \varphi(g^{-1}) = \varphi(g)^{-1}.$$

The general property that we use is for $x, y \in H$ such that $x \cdot y = 1$, then $y = x^{-1}$. We can show this because $x^{-1} \cdot x \cdot y = x^{-1}$, so $y = x^{-1}$. $\boxtimes$

Definition 2.2. An **isomorphism** of groups G, H is a map $\varphi: G \to H$ of groups such that there exists a $g^{-1}: H \to G$ such that $\varphi^{-1}\varphi = \mathrm{id}_G$ and $\varphi\varphi^{-1} = \mathrm{id}_H$.

Lemma 2.1. *A homomorphism $\varphi: G \to H$ is an isomorphism iff φ is bijective.*

Proof. If φ is an isomorphism, proving that φ is a bijection is straightforward. Conversely, if φ is a bijection, then there exists a unique $\varphi^{-1}: H \to G$ satisfying the conditions above. We want φ^{-1} to be a homomorphism, or $\varphi^{-1}(g) \cdot \varphi^{-1}(h) = \varphi^{-1}(g \cdot h)$. Choose $g, h \in H$, then

$$\varphi(\varphi^{-1}(g) \cdot \varphi^{-1}(h)) = \varphi\varphi^{-1}(g) \cdot \varphi\varphi(h) = gh$$
$$= \varphi(\varphi^{-1}(gh)),$$

so $\varphi^{-1}(g) \cdot \varphi^{-1}(h) = \varphi^{-1}(g \cdot h)$ because φ is injective. $\boxtimes$

Definition 2.3. An **automorphism** of a group G is an isomorphism $\varphi: G \xrightarrow{\cong} G$.

Example 2.1. Let $G = \mathbb{R}$ under addition and $H = \mathbb{R}^{>0}$ under multiplication. Then $\exp\colon G \to H$ is an isomorphism, where $\exp(g + h) = \exp(g) \cdot \exp(h)$. The inverse of exp is ln.

Question. What do groups do?

Answer. Like men, groups will be known by their actions.

Definition 2.4. A **group action**, or **action** of a group G on a set S is a map $G \times S \xrightarrow{\text{act}} S$, $(g,s) \mapsto g \cdot s$ such that $1_G \cdot s = s$ for all $s \in S$, and the following diagram commutes:

$$
\begin{array}{ccc}
G \times G \times S & \xrightarrow{\text{id}_G \times \text{act}} & G \times S \\
\downarrow{\scriptstyle m \times \text{id}_S} & & \downarrow{\scriptstyle \text{act}} \\
G \times S & \xrightarrow{\quad \text{act} \quad} & S
\end{array}
$$

In other words, $(g_1 g_2) \cdot s = g_1 \cdot (g_2 \cdot s)$ for all $g_1, g_2 \in G$, $s \in S$. We also impose the condition that $1 \in G$ acts by the identity map, which is equivalent to the fact that any element of G acts by an automorphism of X.

We informally denote "G acts on X" by $G \curvearrowright X$. For example, S_n acts canonically on $\{1, \cdots, n\}$ where $S_n \times \{1, \cdots, n\} \xrightarrow{\text{act}} \{1, \cdots, n\}$ sends $(\sigma, i) \mapsto \sigma(i)$. This puts into context the action of $\mathbb{Z}/2$ on the set $\mathbb{R}^2$.

3   August 30, 2021

Example 3.1. Here are some examples of group actions:

(1) Let $G = (\mathbb{Z}, +)$. Then an action of $\mathbb{Z}$ on a set X is equivalent to an isomorphism $T\colon X \xrightarrow{\simeq} X$. Given an action of $\mathbb{Z}$ on X, then for $1 \in \mathbb{Z}$, the map $1 + (-)\colon X \to X$ is a bijection (since we already have the action of $\mathbb{Z}$). Conversely, given a bijection T, define $n + (-)\colon X \to X$ as

$$
\begin{aligned}
T^n &= \overbrace{T \circ \cdots \circ T}^{n \text{ times}}, \quad n > 0, \\
&= \overbrace{T^{-1} \circ \cdots \circ T^{-1}}^{-n \text{ times}}, \quad n < 0 \\
&= \text{id}, \quad n = 0.
\end{aligned}
$$

(2) Let $G = (\mathbb{Z}/n, +)$. (You can check that the quotient projection $\mathbb{Z} \xrightarrow{\pi} \mathbb{Z}/n$ where $m \mapsto m \pmod{n}$ is a homomorphism.) Then an action of G on X is equivalent to a bijection $T\colon X \xrightarrow{\simeq} X$ such that $T^n = \text{id}$.

Example 3.2. If X is a set, then define

$$
\text{Aut}(X) = \{\sigma\colon X \to X \mid \sigma \text{ is a bijection}\}.
$$

Then $\text{Aut}(X)$ is a group under the multiplication $\sigma_1 \cdot \sigma_2 := \sigma_1 \circ \sigma_2$. There is a canonical action of $\text{Aut}(X)$ on X, where $\sigma \cdot x := \sigma(x)$. An informal claim is that this example is universal. Suppose G acts on X, then we obtain a homomorphism $\varphi\colon G \to \text{Aut}(X)$, where $g \mapsto (x \mapsto g \cdot x)$. Conversely, given $\varphi\colon G \to \text{Aut}(X)$, we obtain an action of G on X by $g \cdot x := \varphi(g)(x)$.

Remark 3.1. Let $X = \{1, \cdots, n\}$, then $\text{Aut}(X)$ is the symmetric group on n letters S_n. So we can think of actions of a group G on finite sets as homomorphisms to symmetric groups.

We spend some time talking about the geometric properties of the action of $\mathbb{Z}/5$ on the pentagon (free and transitive actions?).

Definition 3.1. If G acts on X, then there is an equivalence relation on X where $x \sim y$ iff there exists a $g \in G$ such that $y = gx$. An **orbit** of G on X is an equivalence class for this relation. The set of orbits is denoted X/G.

Example 3.3. Let $G = \mathbb{Z}/5$ act on the vertices of the pentagon, then there is a unique orbit. But if G acts on the set of edges, then there are two orbits of internal and external edges.

4 September 1, 2021

We quickly review equivalence relations.

Definition 4.1. An **equivalence relation** on a set X is a subset $R \subseteq X \times X$ such that:

(1) $x \sim x$,

(2) $x \sim y \iff y \sim x$,

(3) $x \sim y$ and $y \sim z$ implies $x \sim z$.

Example 4.1 (The example to end all examples)**.** Given a map $f : X \to Y$, we obtain an equivalence relation on X where $x_1 \sim x_2$ iff $f(x_1) = f(x_2)$.

Now we construct the quotient by an equivalence relation. Given a set X and an equivalence relation $\sim$ on X, where is another set $X/\sim$ which receives a canonical projection $\pi : X \to X/\sim$, having the following "universal property": giving a map of sets $X/\sim \xrightarrow{f} Y$ is equivalent to giving a map $X \xrightarrow{\varphi} Y$ such that $x_1 \sim x_2$ implies $\varphi(x_1) = \varphi(x_2)$.

There is an omitted assumption here: given a map $g : Y \to Z$ and $f : X/\sim \to Y$ corresponding to $\varphi : X \to Y$, the maps gf and $g\varphi$ correspond as well (functorality).

Remark 4.1. Informally, a universal property for a set, group, topological space, etc, is a rule for either mapping into the set or mapping out of the set. Mapping out universal properties look like quotient relations, and it can be hard to tell what points are.

Consider the identity map $\mathrm{id} : X/\sim \to X/\sim (= Y)$. This corresponds to a canonical map $\pi : X \to X/\sim$ such that whenever $x_1 \sim x_2$, $\pi(x_1) = \pi(x_2)$. Moreover, by functorality whenever we have some Y and φ as before, the following diagram commutes:

$$
\begin{array}{ccc}
X & & \\
{\scriptstyle \pi}\downarrow & \searrow^{\varphi} & \\
X/\sim & \xrightarrow{f} & Y
\end{array}
$$

Our relation $\sim$ defines a map $X \xrightarrow{p} \mathcal{P}(X)$ (where $\mathcal{P}(X)$ denotes the power set of X) by sending $x \mapsto p(x) \subseteq X$, where $p(x) := \{y \in X \mid x \sim Y\}$. We formallly construct $X/\sim$ by defining it as the image of the map p. Then by construction, there exists a unique surjection π fitting into

$$
X \xrightarrow[\pi]{\ \ \ \ } \overset{p}{\frown} X/\sim \subseteq \mathcal{P}(X)
$$

Claim. *$X/\sim$ satisfies the universal property, i.e., given $\varphi : X \to Y$ with $\varphi(x_1) = \varphi(x_2)$, for every $x_1 \sim x_2$, there exists a unique factorization*

$$
\begin{array}{ccc}
X & & \\
{\scriptstyle \pi}\downarrow & \searrow^{\varphi} & \\
X/\sim & \overset{f}{\dashrightarrow} & Y
\end{array}
$$

For the construction of f, observe that $\varphi|_{p(x)}$ is constant with value $\varphi(x)$, i.e, for every $y \in p(x)$, $\varphi(y) = \varphi(x)$.

Proof. We have $y \in p(x)$ iff $x \sim y$ which implies $\varphi(x) = \varphi(y)$. To construct f, suppose we have $S \in X/\sim$ (nonempty by assumption), then choose any $x \in S$ and take $f(S) := \varphi(x)$. This is independent of choice by the observation above. Moreover, the diagram commutes. ⊠

Lemma 4.1. *Given $x, y \in X$, $x \sim y$ iff $\pi(x) = \pi(y)$.*

Proof. We want to show that for $S_1, S_2 \in X/\sim$, $S_1 = S_2$ or $S_1 \cap S_2 = \emptyset$. Moreover, $X = \coprod_{S \in X/\sim} S$. For the first claim, $z \in \pi(x) \cap \pi(y)$ implies $x \sim z$ and $y \sim z$ implies $z \sim y$. So $x \sim y$ implies $y \in p(x)$. Moreover, for every $w \in p(y)$, this also shows that $w \sim x$. Then $p(y) \subseteq p(x)$, and by symmetry $p(y) = p(x)$. For the second claim, it suffices to show that $X = \bigcup_{S \in X/\sim} S$, which is clear because $x \in p(x)$ (since $x \sim x$). This gives rise to a partition of X into equivalence classes.

Now to prove Lemma 4.1, suppose $\pi(x) = \pi(y)$. We want to show that $x \sim y$. Then by our first claim, there exists a map $\varphi : X \to \{0, 1\}$ with $\varphi(z) = 1$ iff $z \in p(x)$, $\varphi(z) = 0$ otherwise, since $\varphi(z_1) = \varphi(z_2)$ for $z_1 \sim z_2$. By the universal property,

$$\begin{array}{ccc} & X & \\ {\scriptstyle \pi} \downarrow & & \searrow {\scriptstyle \varphi} \\ X/\sim & \overset{f}{\dashrightarrow} & \{0, 1\} \end{array}$$

Clearly $f(x) = f(y)$. But by the above construction, this implies $x \sim y$. ⊠

5   September 3, 2021

todo:missed this lecture

6   September 8, 2021

Observe that all fibers of the map $\pi : G \to G/H$ are in *non-canonical* bijection.

Definition 6.1. A **fiber** of a map $f : X \to Y$ is a subset of X of the form $f^{-1}(y)$ for some $y \in Y$.

We know that π is surjective. Therefore, there exists a section $\tau : G/H \to G$ such that

$$G/H \xrightarrow{\tau} G \xrightarrow{\pi} G/H$$
$$\underbrace{\phantom{G/H \xrightarrow{\tau} G \xrightarrow{\pi} G/H}}_{\text{id}_{G/H}}$$

This is called "choosing coset representatives", since the fibers of π are right H-cosets. Then given $x \in G/H$, $\pi^{-1}(x) = \sigma(x) \cdot H$. This is in bijection with H via the map multiplication on the left with $\sigma(x)$.

Lagrange's Theorem. *If G and H are finite, then $|G| = |G/H| \cdot |H|$.*

Proof. If we have $\pi : G \to G/H$, all fibers have order $|H|$. ⊠

Definition 6.2. A **map/morphism/homomorphism** of sets with G-action is a map $f : X \to Y$ such that $f(gx) = gf(x)$ for all $x \in X$, $g \in G$. A **isomorphism** of G-sets is a morphism f with an inverse g ($fg = \text{id}_Y$, $gf = \text{id}_X$). This is equivalent to f being bijective.

Definition 6.3. For X a G-set and $x \in X$, the **stabilizer** of x is the subgroup $\text{stab}(x) = \text{stab}_G(x) = \{g \in G \mid g \cdot x = x\}$.

The implicit claim is that $\text{stab}(x)$ is a subgroup. To check this, $1 \in \text{stab}(x)$ as $1 \cdot x = x$. For $g, h \in \text{stab}(x)$, then $(gh)x = g(hx) = gx = x$, so $gh \in \text{stab}(x)$. Finally, if $g \in \text{stab}(x)$, then $x = 1 \cdot x = g^{-1} \cdot g \cdot x = g^{-1}x$, so $g^{-1} \in \text{stab}(x)$.

Example 6.1. Let $X = G/H$, $x = \pi(1) \in G/H$. Then $\text{stab}(x) = H$, since $g \in \text{stab}(x)$ iff $gH = H$ iff $g \in H$.

Example 6.2. Let $G = S_n$ act on $\{1, \cdots, n\} = X$. Then $\text{stab}(n) = S_{n-1}$, since we fix n and shuffle $1, \cdots, n-1$.

Lemma 6.1. *Suppose we are given a G-set X and a point $x \in X$ such that*

   (a) $|X/G| = 1$ (there exists a unique orbit),

   (b) $\text{stab}(x) = H \subseteq G$.

Then there exists a unique isomorphism of G between $G/H \xrightarrow{\cong} X$ such that $\pi(1) \mapsto x$.

Proof. To figure out which way maps should go, recall the universal property of G/H.

$$\begin{array}{ccc} & G & \\ \pi \downarrow & \searrow \tilde{f} & \\ G/H & \xrightarrow{\quad f \quad} & X \end{array}$$

Define $\tilde{f}(g) = gx$. Then by the universal property of quotients, we see that f exists iff $\tilde{f}(gh) = \tilde{f}(g)$ for all $g \in G, h \in H$.

   todo:not sure what happened here   ⊠

Lemma 6.2. *Giving a morphism of all G-sets is equivalent to a point $x \in X$, $f(\pi(1)) = x$, such that $\text{stab}(x) \supseteq$.*

Suppose G acts on X. Then $X \simeq \prod_{i \in I} G/H$ as a G-set for I some (?). The construction is as follows: let $I = X/G$. Choose some representatives of each orbit, i.e. a section of the map $X \to X/G$. For each $i \in I = X/G$, choose x_i in that orbit. For $i \in I$, take $H_i = \text{stab}(x)$. Now apply our previosu result to obtain the deomposition of X.

7 September 10, 2021

Definition 7.1. A subgroup H is **normal** if either

   (a) $gH = Hg$ as sets for every $g \in G$,

   (b) for every $g \in H, h \in H$, there exists some $\tilde{h} \in H$ such that $gh = \tilde{h}g$,

   (c) $ghg^{-1} \in H$ for every $g \in G, h \in G$,

   (d) $gHg^{-1} = H$.

In other words, H is normal if its left and right cosets coincide.

Lemma 7.1. *A subgroup H is normal iff there exists a group structure on G/H such that the map $G \xrightarrow{\pi} G/H$ is a homomorphism.*

Proof. If G/H has such a group structure, then we have a commutative diagram

$$\begin{array}{ccc} G \times G & \xrightarrow{\;m_G,\; g_1,g_2 \mapsto g_1 g_2\;} & G \\ \pi \times \pi \downarrow & & \downarrow \pi \\ G/H \times G/H = (G \times G)/(H \times H) & \xrightarrow{\;m_{G/H}\;} & G/H \end{array}$$

By the universal property, the dotted arrow exists uniquely iff for all $(g_1, g_2) \in G \times G$, $(h_1, h_2) \in H \times H$,

$$\pi(g_1 g_2) = \pi(g_1 h_2 g_2 h_2). \tag{1}$$

The reason is that the left hand side is $\pi \circ m_G(g_1, g_2)$, the right hand side is $(\pi \circ m_G)(g_1 h_2, g_2 h_2)$, and $(g_1, g_2) \sim (g_1 h_1, g_2 h_2)$ defines the equivalence relation. The right hand side of Equation (1) is equal to $\pi(g_1 h_1 g_2)$.

Assume H is normal. Then $\pi(g_1 h_1 g_2) = \pi(g_1 g_2 g_2^{-1} h_1 h_2)$, then by the normality of H the last three terms reduce to get $\pi(g_1 g_2)$ which is the left hand side of Equation (1). Conversely, if Equation (1) holds for every g_1, g_2, h_1, h_2, take $g_1 = 1 \in G$, $g_2 = G$, $h_1 = h$, $h_2 = 1$. So $\pi(g) = \pi(1 \cdot h * 8g \cdot 1) = \pi(hg)$. This means there exists some $\widetilde{h} \in H$ such that $g \cdot \widetilde{h} = hg$, which is true iff $\widetilde{h} = g^{-1} hg \in H$.   ⊠

Definition 7.2. We say

(a) Two elements $g, h \in G$ **commute** if $gh = hg$;

(b) G is **commutative/abelian** if $gh = hg$ for all $g, h \in G$;

(c) The **center** $Z(G)$ is the subset $\{z \in G \mid zg = gz \text{ for all } g \in G\}$.

It is easy to see that $Z(G)$ is a normal subgroup of G. A related construction is the **adjoint** action or **conjugation** action of G on itself. For $g, h \in G$, $\mathrm{Ad}_g(h) := ghg^{-1}$. This defines an action of G on itself with the action map

$$G \times G = G \times X \xrightarrow{(g,h) \mapsto ghg^{-1} = \mathrm{Ad}_g(h)} G$$

NB:[1] The adjoint action mixes left and right actions of G on itself.

Lemma 7.2. *The map* $\mathrm{Ad}_g : G \to G$ *is a group homomorphism.*

Proof. Let $h_1, h_2 \in G$. Then

$$\mathrm{Ad}_g(h_1) \cdot \mathrm{Ad}_g(h_2) = (gh_1 g^{-1})(gh_2 g^{-1}) = gh_1 h_2 g^{-1} = \mathrm{Ad}_g(h_1 h_2).$$

The inverse to Ad_g is $\mathrm{Ad}_{g^{-1}}$: $\mathrm{Ad}_{g^{-1}} \mathrm{Ad}_g(h) = \mathrm{Ad}_{g^{-1}} ghg^{-1} = g^{-1}(ghg^{-1})g = h$.   ⊠

Definition 7.3. A **conjugacy class** in G is an orbit for the adjoint action. Two elements g_1, g_2 are **conjugate** if the lie in the same conjugacy class iff there exists a $g_3 \in G$ such that $g_3 g_1 g_3^{-1} = g_2$. Write G/G_{Ad} for the set of conjugacy classes of G.

From now on, p is a prime.

Definition 7.4. A group G is a p-**group** if G is finite, and $|G| = p^r$ for some r.

Definition 7.5. For a group G acting on X, the **fixed point set** of X is the set $X^G = \{x \in X \mid gx = x \text{ for every } g \in G\}$.

Lemma 7.3. *Suppose G is a p-group acting on a finite set X. Then $|X| = |X^G| \pmod{p}$.*

Proof. Break up $X = \prod_{i=1}^N X_i$, with the X_i being orbits for the G-action. For every i, choose some $x_i \in X_i$, $H_i = \mathrm{stab}(x_i)$, so $X_i \simeq G/H_i$.

- Option 1: $H_i = G \iff x_i \in X_i^G$.
- Option 2: $H_i \neq G \implies |G| = |H_i| \cdot |G/H_i| \implies P \mid |G/H_i|$. (Note $p^r = p^s \cdot p^{r-s}$ where $s < r, r - s > 0$).

So

$$|X| = \sum_{i=1}^N |X_i| \sum_{i=1}^N |G/H_i| = \sum_{H_i = G} |G/G| + \sum_{H_i \neq G} |G/H_i| = |X^G| + \text{divisible by } P.$$

⊠

---

[1] Nota Bene

8   September 13, 2021

todo:missed this lecture

9   September 15, 2021

Recap from last time: there were two applications of the p-group congruence lemma.

(1) Let G be a p-group, then $Z(G) \neq \{1\}$. The proof idea is to let G act on itself. A corollary is that for G a p-group, $|G| = p^r$ for $r > 0$. This implies that there exists a normal subgroup $\mathbb{Z}/p \subseteq Z(G)$, so that $G/(\mathbb{Z}/p)$ has order p^{r-1}.

(2) Cauchy's theorem: Let G be finite and p be prime, and $p \mid |G|$. Then there exists a $g \in G$ such that $g^p = 1$. This is equivalent to the fact that $\mathbb{Z}/p \to G$ is a non-trivial homomorphism. The proof idea is that $\mathbb{Z}/p$ acts on $Y = G^p = \mathrm{Hom}_{\mathsf{Sets}}(\mathbb{Z}/p, G)$, and contained in this is $\mathbb{Z}/p$ acting on $X = \{(g_1, \cdots, g_p) \mid g_1 \cdots g_p = 1\}$. (The p-group lemma was applied here.)

This is the brief heuristic for the Sylow theorems: let p be a prime and n be a positive integer. Then $n = p^r m$, $p \nmid m$. The Sylow theorems give a sor tof "analogue" for finite groups. Fix p a prime, G be finite, and $p \mid |G|$.

Definition 9.1. A p-**Sylow subgroup of** G is a subgroup $G_p \subseteq G$ such that:

- G_p is a p-group,
- $p \nmid |G/G_p|$.

Note. By Lagrange's theorem,

$$|G/G_p| \cdot |G_p| = |G|.$$

So the conditions for a p-Sylow subgroup is equivalent to saying $|G_p| = p^r$, where $|G| = p^r \cdot m$, $p \nmid m$.

Theorem 9.1 (Sylow I)**.** *Sylow subgroups exist, i.e., if $p \mid |G|$, there exists some $G_p \subseteq G$ a p-Sylow subgroup.*

This is the easiest Sylow theorem to parse, and probably the hardest one to prove.

Proof sketch of Theorem 9.1. The strategy is to proceed by induction.

(a) For all $1 \leq s \leq r$, we'll show there exists an $H_s \subseteq G$ with $|H_s| = p^r$. The case $s = 1$ is by Cauchy's theorem.

(b) We'll use some observations of p-groups to motivate our approach.

Definition 9.2. Suppose $H \subseteq G$. Then the **normalizer** $N_G(H)$ of H is defined by

$$\{g \in G \mid gHg^{-1} = H\} = \{g \in G \mid ghg^{-1} \in H \text{ for all } h \in H\}.$$

Some easy checks are that $N_G(H)$ is a subgroup, H is normal in $N_G(H)$, and that $N_G(H)$ is the maximal subgroup of G in which H is normal.

Proposition 9.1. *Suppose that G is a p-group, $H \subsetneq G$ is a subgroup. Then $N_G(H) \neq H$.*

Proof of Proposition 9.1. Suppose $|G| = p^r$, $r \geq 1$. We'll proceed by induction on r. If $r = 1$, $G \simeq \mathbb{Z}/p$, and $H \subsetneq G \implies H = \{1\}$, and $N_G(H) = \mathbb{Z}/p$. Now assume the result for integers less than r, we'll prove it for r. Case 1 is that $Z(G) \subsetneq H$. Then there exists a $z \in Z(G)$ such that $z \notin H$. Clearly $z \in N_G(H)$, and we are done. Case 2 is when $Z(G) \subseteq H$. Then take $G_0 := G/Z(G)$, $H_0 := H/Z(G)$. As $Z(G)$ is nontrivial, $|G_0| = p^s$ for some $s < r$. We

have $H_0 \subsetneq G_0$ which implies by induction that there exists a $g_0 \in N_{G_0}(H_0)$, $g_0 \notin H_0$. Lift g_0 to an element $g \in G$ and check that $g \in N_G(H)$, $g \notin H$. For the quotient projection $\pi \colon G \to G_0 = G/Z(G)$, for $h \in H$,

$$\pi(ghg^{-1}) = \pi(g)\pi(h)\pi(g^{-1}) = g_0\pi(h)g_0^{-1} \in H_0.$$

By $\pi^{-1}(H_0) = H$, which implies $ghg^{-1} \in H$. So $g \in N_G(H)$. This argument applies for nilpotent groups more generally. ⊠

Now for our actual problem: the strategy is to take $N_G(H_s)$, where $|H_s| = p^s$. We show that for $s < r$, $p^{s+1} \mid |N_G(H_s)|$, then we'll use Cauchy's theorem in $N_G(H_s)/H_s$.

10 September 17, 2021

Last time: we started showing that for G finite, $|G| = p^r \cdot m$ for $p \nmid m$, there exists $G_p \subseteq G$ with $|G_p| = p^r$ (a p-Sylow subgroup). By induction, we will show there exist subgroup

$$H_1 \subseteq H_2 \subseteq \cdots \subseteq H_r = G_p \subseteq G$$

such that $|H_i| = p^i$.

Digression. How did these Sylow theorems come about? When people were working on this in the 1900s, Sylow probably had tools like Cauchy's theorem and worked from there. Computing this is hard: if we have a group of order 1000, to show it has a subgroup of order 125, we need to check $\binom{1000}{3}$ subsets. The technique of choosing a normal subgroup of order 5, quotienting by it, etc, is powerful.

Last time: we argued that if we believe the theorem, then for $i < r$, $N_{G_p}(H_i)/H_i \subseteq N_G(H_i)/H_i$.

Lemma 10.1. *For $i < r$, $p \mid |N_G(H_i)/H_i|$.*

Assuming Lemma 10.1, then there exists a $\Gamma \subseteq N_G(H_i)/H_i$ being a subgroup of order p. Let $\pi \colon N_G(H_i) \to N_G(H_i)/H_i$, and define $H_{i+1} = \pi^{-1}(\Gamma)$. Then $H_i \subseteq H_{i+1}$ is a normal subgrouop, with $H_{i+1}/H_i \simeq \Gamma \simeq \mathbb{Z}/p$. This implies that

$$|H_{i+1}| = \underbrace{|H_i|}_{p^i} \cdot \underbrace{|H_{i+1}/H_i|}_{p}.$$

Proof of Lemma 10.1. The idea is to use the p-group congruence lemma. Let H_i be a p-group, and $X = G/H_i$. Then

$$|X| \equiv |X^{H_i}| \pmod{p},$$

where $|X| = |G/H_i| = |G|/|H_i| = m \cdot p^{r-i} \equiv 0 \pmod{p}$. The homework this week tells us that $(G/H_i)^{H_i} \simeq N_G(H_i)/H_i$. The idea is that given an element $gH_i \in (G/H_i)^{H_i}$, this implies that for all $h \in H_i$,

$$
\begin{aligned}
ghH_i = gH_i &\iff \\
g^{-1}hgH_i = H_i &\iff \\
g^{-1}hg \in H_i &\iff \\
g \in N_G(H_i).
\end{aligned}
$$

Therefore

$$|N_G(H_i)/H_i| = |(G/H_i)^{H_i}| = |G/H_i| \pmod{p}. \qquad ⊠$$

This concludes the proof of the first Sylow theorem. ⊠

There are other Sylow theorems, which address the question: How many p-Sylow subgroups are there? How do they compare?

Theorem 10.1 (Sylow II). *All p-Sylow subgroups of G a finite group are conjugate, i.e., given $G_p, \widetilde{G_p} \subseteq G$ two p-Sylow subgroups, there exists a $g \in G$ such that*

$$\widetilde{G_p} = G_p g^{-1} = \mathrm{Ad}_g(G_p),$$

where $\mathrm{Ad}_g : G \to G$ is an isomorphism mapping G_p isomorphically onto G_p. In particular, we see that all p-Sylow subgroups are isomorphic.

Proof. We apply the p-group congruence lemma. The group will be G_p, and set $X = G/\widetilde{G_p}$. By the congruence lemma, $|X| = |X^{G_p}| \pmod{p}$, and $|X| = |G/\widetilde{G_p}| \neq 0$ because these are both p-Sylow subgroups. So $X^{G_p} \neq \emptyset$, which implies there exists some $g \in G$ such that for every $h \in G_p$, $h \cdot g \cdot \widetilde{G_p} = g \cdot \widetilde{G_p}$. Then

$$g^{-1} h g \cdot \widetilde{G_p} = g \cdot \widetilde{G_p} \iff$$
$$g^{-1} h g \in \widetilde{G_p} \iff$$
$$\mathrm{Ad}_{g^{-1}}(G_p) \subseteq \widetilde{G_p}$$

up to signs, and we are done.   ⊠

Remark 10.1. This argument shows that any p-subgroup of G can be conjugated into a fixed p-Sylow subgroup.

11   September 20, 2021

Recap of last time: so far we were proving Sylow's theorems. Let G be a finite group, p be a prime, and $p \mid |G|$. The first Sylow theorem says that there exists a $G_p \subseteq G$, a p-Sylow subgroup (G_p is a p-group, and pt $|G/G_p|$). The idea of the proof is to use induction with Cauchy's theorem, with H actings on G/H.

The second Sylow theorem says that any subgroup $H \subseteq G$ of oreder p^s can be conjugated into G_p. In particular, any two p-Sylow subgroups are conjugate. A consequence of that is that they're automatically isomorphic. The idea of the proof is to consider the action of H on G/G_p.

A new question is this: how many p-Sylow subgroups are there? It is not always the case that there is a unique p-Sylow subgroup. Let $n_p :=$ the number of p-Sylow subgroups of G.

Theorem 11.1 (Sylow III).

(a) $n_p = |G/N_G(G_p)|$,
(b) n_p divides $|G/G_p|$,
(c) $n_p \equiv 1 \pmod{p}$.

Corollary 11.1. $n_p = 1$ *iff G_p is normal in G.*

Proof of Corollary 11.1. In this case, by (a), we have

$$1 = n_p = |G/N_G(G_p)| \iff N_G(G_p) = G$$

by Lagrange's theorem. This is equivalent to the fact that G_p is normal.   ⊠

n_p is always compatible with the restrictions (equal to 1 $\pmod{p}$, always divides anything). But: sometimes this is the only integer compatible with the restrictions.

Example 11.1. Let p, q be two distinct primes, and let G be a group of order pq. Suppose $p < q$. Then we claim that $n_q = 1$. We know $n_q = 1 \pmod{q}$, and

$$n_q \mid |G/G_q| = |G|/|G_q| = pq/q = p.$$

We see that $n_q = 1$ or p, but since $p < q$, we have $n_q = 1$. In particular, any such G has a non-trivial normal subgroup of order q.

Proof of Theorem 11.1. Let $\Sigma_p := \{p\text{-Sylow subgroups of } G\}$. Then G acts on Σ_p by conjugation. By Theorem 10.1, this action is transitive (there is a unique orbit). Moreover, $G_p \in \Sigma_p$, and its stabilizer for this action of G is (by definition) $N_G(G_p)$. We then know that $\Sigma_p \simeq G/N_G(G_p)$ as a G-set. Then (a) follows:

$$n_p := |\Sigma_p| = |G/N_G(G_p)|.$$

Then (b) also follows:

$$|G/G_p| = |G/N_G(G_p)| \cdot |N_G(G_p)/G_p| = n_p \cdot |N_G(G_p)/G_p|.$$

For (c), we want to use the p-subgroup congruence lemma. Let G_p act on $\Sigma_p \simeq G/N_G(G_p)$. We obtain

$$n_p = |\Sigma_p| = |(\Sigma_p)^{G_p}| \pmod{p}.$$

We want to show that $\Sigma_p^{G_p} = \{G_p\}$. Suppose $\widetilde{G}_p$ is a p-Sylow subgroup in $\Sigma_p^{G_p}$. Unwinding this definition means that for all $g \in G_p$, $g\widetilde{G}_p g^{-1} = \widetilde{G}_p$. This means that $G_p \subseteq N_G(\widetilde{G}_p)$. Now let $H = N_G(\widetilde{G}_p)$. Clearly $\widetilde{G}_p \subseteq H \subseteq G$, this implies that $\widetilde{G}_p$ is a p-Sylow subgroup of H. Also, $\widetilde{G}_p$ is normal in H. By (a), $\widetilde{G}_p$ is the *unique* p-Sylow subgroup of H. But $G_p \subseteq H$ by assumption, and is a p-Sylow subgroup, so $G_p = \widetilde{G}_p$. $\boxtimes$

12 September 22, 2021

It's getting hard to continue without examples involving finite fields, so today we make a digression about other algebraic structures.

Definition 12.1. A **monoid** is a triple $(M, m, 1)$ where M is a set, a map $m \colon M \times M \to M$, $(x_1, x_2) \mapsto m(x_1, x_2) = x_1 x_2$, and an element $1 \in M$ such that multiplication is associative and $1 \cdot x = x$ for all $x \in M$.

You can think of a monoid as a group without inverses. Homomorphisms of monoids are maps $\varphi \colon M_1 \to M_2$ with $\varphi(1_{M_1}) = 1_{M_2}$, $\varphi(x_1 x_2) = \varphi(x_1)\varphi(x_2)$.

Example 12.1. Some examples of monoids:

(1) Any group is a monoid.
(2) $(\mathbb{R}^{\geq 1}, \text{mult})$ or $(\mathbb{R}, \text{mult})$ are monoids with unit one, but are not groups. $(\mathbb{R}^{>1}, \text{mult})$ has an associative multiplication, but no unit, so is not a monoid.

Definition 12.2. A **ring** A is a set A with two binary operations (maps $A \times A \to A$) denoted like multiplication and addition with $1 \in A$, such that

- $(A, \text{mult}, 1)$ is a monoid,
- (A, add) is an abelian group with unit $0 \in A$,
- multiplication and addition are distributive:

$$a(b + c) = ab + ac,$$
$$(a + b)c = ac + bc.$$

Ring homomorphisms are maps of sets that are homomorphisms of monoids for multiplication and addition, i.e., $\varphi : A_1 \to A_2$ such that $\varphi(1_{A_1}) = 1_{A_2}$, $\varphi(ab) = \varphi(a)\varphi(b)$, $\varphi(a+b) = \varphi(a) + \varphi(b)$. A ring is **commutative** if multiplication is commutative.

Example 12.2. $\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$ are all (commutative) rings. The set of $n \times n$ complex matrices, denoted $M_n(\mathbb{C})$, is non-commutative.

If A is a ring, then let $A^\times = \{a \in A \mid \exists a^{-1} \in A \text{ with } a \cdot a^{-1} = a^{-1} \cdot a = 1\}$ denote the **set of units** of A, which always forms a group under multiplication. Sometimes "ring" means "commutative ring". Sometimes people write "associative ring" to mean "reader, I want to consider non-commutative rings in particular here".

Definition 12.3. A **field** is a commutative ring k such that for all $x \in k$ either $x = 0$, or there exists an $x^{-1} \in k$ such that $x \cdot x^{-1} = 1$. So a field is a commutative ring with $k^\times = k \setminus \{0\}$.

By convention, $\{0\}$ is a ring with $0 = 1$, but it's not a field.

Example 12.3. $\mathbb{Q}, \mathbb{R}$, and $\mathbb{C}$ are all fields, but $\mathbb{Z}$ is not.

Suppose $\varphi : A \to B$ is a map of rings. Then $\ker(\varphi) := \varphi^{-1}(0)$. Observe that for $x, y \in \ker(\varphi)$, then $x + y \in \ker(\varphi)$. For $x \in \ker(\varphi), y \in A$, we have $x \cdot y, y \cdot x \in \ker(\varphi)$:

$$\varphi(xy) = \varphi(x) \cdot \varphi(y) = 0 \cdot \varphi(y) = 0.$$

Definition 12.4. A **two-sided ideal** $I \subseteq A$ is a subset closed under addition and left/right multiplication by elements of A, i.e., $x, y \in I \implies x + y \in I$, and $x \in I, y \in A$ implies $xy, yx \in I$. If A is commutative, we simply speak of ideals.

If $I \subseteq A$ is a two-sided ideal, there is a unique ring structrure on $A//I$ (the group quotient) such that the map $A \xrightarrow{\pi} A/I$ is a ring map. We need to check that we have addition on A/I as $I \subseteq A$ is a (necessarily) normal subgroup (under addition). For multiplication:

$$
\begin{array}{ccc}
A \times A & \xrightarrow{\text{mult}} & A \\
\downarrow & & \downarrow{\scriptstyle \pi} \\
A/I \times A/I & \overset{?}{\dashrightarrow} & A/I \\
{\scriptstyle =(A \times A)/(I \times I)} & &
\end{array}
$$

We need that for all $a, b \in A$, all $x, y \in I$, $\pi(a + b) = \pi((a + x) \cdot (b + y))$. For the right hand side, $\pi(ab + xb + ay + xy) \implies xb + ay + xy \in I$. $\pi(ab) = \pi(ab + \text{something} \in I)$ which implies the equation.

Example 12.4. $\mathbb{Z}/n = \mathbb{Z}/n\mathbb{Z}$ has a ring structure so $\mathbb{Z} \to \mathbb{Z}/n$ is a homomorphism.

13   September 24, 2021

Last time we did a bunch of algebraic stuff, like rings, fields, ideals, and so on.

Lemma 13.1. *Every ideal I of $\mathbb{Z}$ has form $n \cdot \mathbb{Z}$ for some $n \in \mathbb{Z}$.*

Proof. This was on the homework. But for the sake of the completeness, here's the proof. Option 1: $I = \{0\}$, and we are done. If $I \neq 0$, there exists some $x \in I$, $x > 0$ (since I is closed under additive inverses). Let n be the minimal positive element of I. Clearly $n\mathbb{Z} \subseteq I$. Our claim is that $n\mathbb{Z} = I$, or $I \subseteq n\mathbb{Z}$. If this were not true, there exists some positive $y \in I$ such that $y \notin n\mathbb{Z}$. Let m tbe the minimal positive element, if $m > n$ then $m - n \in I$, $m - n > 0$. So $m - n \in n\mathbb{Z}$ by the minimality of m, which implies $m \in n\mathbb{Z}$. Otherwise, $0 < m \leq n$ contradicting the minimality of n (unless $m = n$).   ⊠

Corollary 13.1. *Given integers x, y with $\gcd(x, y) = d \geq 0$, there exists $\alpha, \beta \in \mathbb{Z}$ such that $\alpha x + \beta y = d$.*

Proof. Let I be the ideal $\mathbb{Z}x + \mathbb{Z}y$, i.e.: $\{\gamma x + \delta y \mid \gamma, \delta \in \mathbb{Z}\}$. We know that $I = D \cdot \mathbb{Z}$ for some $D \in \mathbb{Z}$. We can assume $D \geq 0$, by construction $\mathbb{Z}x \subseteq \mathbb{Z}D$, or $x \in \mathbb{Z}D$, also $y \in \mathbb{Z}D$. So $D \mid x$ and $D \mid y$, which implies $D \mid \gcd(x, y) = d$.

On the other hand, $\mathbb{Z}D = \mathbb{Z}x + \mathbb{Z}y \implies D = \alpha x + \beta y$ for some $\alpha, \beta \in \mathbb{Z}$. So the RHS is divisible by d, which implies $d \mid D$, and so $d = D$.   ⊠

Corollary 13.2. *Given $n \in \mathbb{Z}$ and $m \in \mathbb{Z}$, then m maps to a unit of $\mathbb{Z}/n$ iff m is coprime to n.*

Proof. First, suppose $\gcd(m, n) = d > 1$. We want to show that m is not a unit mod n. This implies

$$m \cdot \frac{n}{d} = \frac{m}{d} \cdot d \cdot \frac{n}{d} = 0 \pmod{n}.$$

Note that $0 < \frac{n}{d} < n$ implies $\frac{n}{d}$ is not zero mood n. If m were invertible, we could multiply the above by m^{-1} which implies $\frac{n}{d} = 0 \pmod{n}$, a contradiction. On the other hand, if m is coprime to n, this implies the existence of $\alpha, \beta \in \mathbb{Z}$ such that $\alpha m + \beta n = 1$. Reduce this mod n to see that $\alpha m = 1 \pmod{n}$, which implies $\alpha = m^{-1}$.   ⊠

Corollary 13.3. *If p is prime, then $\mathbb{Z}/p$ is a field.*

Proof. Non-zero elements of $\mathbb{Z}/p$ are in bijection with $\{1, \cdots, p-1\}$ which are all coprime to p, which implies they map to units in $\mathbb{Z}/p$.   ⊠

A note on notation: we denote $\mathbb{F}_p = \mathbb{Z}/p$ when we think about it as as field/ring, the finite field with p elements. A natural question to ask is; what does $\mathbb{F}_p^\times$ look like? The answer is that it's a cyclic group of order $p-1$, i.e., $\mathbb{F}_p^\times \simeq \mathbb{Z}/(p-1)$ as groups non-canonically. We'll show this ... soon. First some digressions. Another note on notation: if A is a commutative ring, then

$$A[t] = \left\{ \sum_{i=0}^{n} a_i t^i \;\middle|\; a_i \in A \right\}.$$

This is the set of polynomials of one variable with coefficients in A. In fact, $A[t]$ is a ring. The setup is that that

$$\sum_{i=0}^{n} a_i t^i + \sum_{i=0}^{m} b_i t^i := \sum (a_i + b_i) t^i$$

and

$$\left(\sum_{i=0}^{n} a_i t^i \right) \left(\sum_{j=0}^{m} b_j t^j \right) = \sum_{j=0}^{m} \sum_{i=0}^{n} a_i b_j t^{i+j}.$$

For example, for $\lambda \in A$, $(1 + \lambda t^{10})(2 + 4 \cdot t^5) = 2 + 4t^5 + 2\lambda t^{10} + 4\lambda t^{15}$.

Definition 13.1. An **integral domain** (often called domain) is a commutative ring A where $xy = 0$ implies $x = 0$ or $y = 0$. We also require $0 \neq 1$.

Example 13.1. Here are some examples of integral domains:

(1) Any field is an integral domain.

(2) Any subring of a field is an integral domain, for example $\mathbb{Z} \subseteq \mathbb{Q}$ is an integral domain.

(3) $\mathbb{Z}/4$ is **not** an integral domain, since $2 \not\equiv 0 \pmod{4}$ here, but $2 \cdot 2 \equiv 0 \pmod{4}$.

Claim. *If A is an integral domain, then $A[t]$ is as well. A related claim is that for $f(t) \in A[t]$, let $\deg(f)$ be the minimal integer n such that $f(t) = \sum_{i=0}^{n} a_i t^i$, i.e., the maximal integer m such that the coefficient of t^m is nonzero. Then*

$$\deg(f g) = \deg(f) + \deg(g)$$

for all $f, g \in A[t]$.

Proof of both claims. Let

$$f = \underbrace{a_{\deg(f)}}_{\neq 0} t^{\deg(f)} + \underbrace{\cdots}_{\text{lower order terms}}, \quad g = \underbrace{b_{\deg(g)}}_{\neq 0} t^{\deg(g)} + \cdots .$$

This implies that

$$f g = \underbrace{a_{\deg(f)} g_{\deg(g)}}_{\neq 0 \text{ b/c } A \text{ is a domain}} t^{\deg(f) \deg(g)} + \underbrace{\cdots}_{\text{lower order terms}}. \qquad \boxtimes$$

14   September 27, 2021

Lats time, we introduced the commutative ring $A[t] = \left\{ \sum_{i=0}^{N} a_i t^i \mid a_i \in A \right\}$ of polynomials in one variable t. We are headed to developing some field theory and properties of polynomials.

Definition 14.1. A **principal ideal domain**, or **PID**, is a commutative ring A that is a domain such that every ideal I is principal, i.e., $I = Ad$ for some $d \in A$.

Example 14.1. $\mathbb{Z}$ is a PID.

Proposition 14.1. *For k a field, $k[t]$ is a PID.*

Definition 14.2. A **Euclidian domain** is a pair (A, δ) where A is a commutative ring, $\delta : A \to \mathbb{Z}^{\geq 0}$ such that

- $\delta^{-1}(0) = \{0\}$,
- $\delta(xy) = \delta(x) \cdot \delta(y)$ for all $x, y \in A$,
- For every $f \in A$, the map $A_{<\delta(f)} \to A/f$ is surjective, where for $n \in \mathbb{Z}^{\geq 0}$, $A_{\leq n} := \{g \in A \mid \delta(g) < n\}$.

In other words, a Euclidian domain is a place where the Euclidian algorithm makes sense. The function of δ is some measure of "size" of the elements of A.

Example 14.2. If $A = \mathbb{Z}$, let $\delta = |\cdot|$, i.e., $\delta(m) := |m|$. Note that given $f \in \mathbb{Z}$ non-zero, then $\mathbb{Z}_{<|f|} = \{-f + 1, -f + 2, \cdots, f - 2, f - 1\} \subseteq \mathbb{Z}$ surjecting onto $\mathbb{Z}/f$. In fact, even $\{0, 1, \cdots, f - 1\}$ surjects. For example, if a clock is represented as $\mathbb{Z}/12$, the fact that you can represent every element $0, \cdots, 11$ as an element of $\mathbb{Z}/12$ should be true, hence the surjection onto $\mathbb{Z}/f$ condition.

Remark 14.1. Sometimes we say A is a Euclidian domain to mean that there exists a δ making d into a Euclidian domain.

Before we prove Proposition 14.1, we prove two intermediary results.

Lemma 14.1. *$k[t]$ is a Euclidian domain.*

Lemma 14.2. *Any Euclidian domain is a PID.*

Proof of Lemma 14.1. Let $\delta(f) := 2^{\deg f}$, where $\deg f$ is assumed to be greater than zero (if not, let $\deg f = -\infty, 2^{-\infty} = 0$). (We can replace 2 with any integer greater than 1.) Clearly $\delta(f) = 0 \iff f = 0$. We also have

$$\begin{aligned}
\delta(fg) &= 2^{\deg(fg)} \\
&= 2^{\deg(f) + \deg(g)} \\
&= 2^{\deg(f)} \cdot 2^{\deg(g)} \\
&= \delta(f) \cdot \delta(g).
\end{aligned}$$

Given $f \in k[t]$ non-zero with $\deg f = d$, we want to show that the map $k[t]_{\deg < d} \to k[t]/f$ is surjective. Suppose $f = a_d t^d + \cdots + a_0$, then there a_d is non-zero implies a unit in k. $k[t] \cdot f = k[t] \cdot \frac{1}{a_d} \cdot f$ implies that we can replace f by $\frac{1}{a_d} f$ to assume f is **monic** (leading coefficient is1). Let $\pi \colon k[t] \to k[t]/f$ be the projection. We want to show that for every $g \in k[t]$, $\pi(g)$ is in $\pi\left(k[t]_{\deg < d}\right)$.

We proceed by induction on $\deg(g)$. If $\deg(g) < d$ we are done. Otherwise, we can write

$$g = b_e t^e + b_{e-1} t^{e-1} + \cdots + b_0$$

for $b_e \neq 0$ and some $e^{\geq d}$. Observe that

$$g - b_e \cdot t^{e-d} \cdot f = b_e t^e - b_e t^e + \text{lower order terms}, 0 \cdot t^e,$$

i.e., $\deg(g - b_e t^{e-d} \cdot f) < e$. This is pretty much the division algorithm. Therefore, by induction, there exists an $h \in k[t]_{<d}$ such that $\pi(h) = \pi(g - b_e t^{e-d} f)$. But the right hand side obviously equals $\pi(g)$ (since $b_e t^{e-d} f$ is a multiple of f), so we are done. $\boxtimes$

Proof of Lemma 14.2. Let $I \subseteq A$ be an ideal. If $I = \{0\}$ we are done. Otherwise, let $d \in I$ be a non-zero element with minimal δ, i.e., $d \neq 0$, $d \in I$, $\delta(d) \leq \delta(d')$ for all $d' \in I$ non-zero. Note that this d exists since δ maps into the integers. Clearly $A \cdot dd \subseteq I$. We want to show that this is an equality. Choose some $f \in I$, we want to show that $f \in A \cdot d$.

Let $\pi.??$ todo: this proof By assumption on (A, δ), there exists a $\widetilde{f} \in A$ with $\delta(f) < \delta(d)$ and $\pi(\widetilde{f}) = \pi(f)$, i.e., $f = \widetilde{f} + g \cdot d$, $g \in A$. Observe that $\widetilde{f} = f - g \cdot d \in I$. Since $\delta(\widetilde{f}) < \delta(d)$, $\boxtimes$

15   September 29, 2021

Today, we discuss prime and maximal ideals. The general idea is that we want to reduce commutative algebra to field theory. Fix A a commutative ring.

Definition 15.1. A **prime ideal** in A is an ideal $\mathfrak{p} \subseteq A$ such that $A/\mathfrak{p}$ is a domain. A **maximal ideal** in A is an ideal $\mathfrak{m} \subseteq A$ such that $A/\mathfrak{m}$ is a field.

Remark 15.1. Some remarks:

(1) Maximal implies prime ideal.

(2) Any prime ideal $\mathfrak{p} \subseteq A$ is not equal to A by convention. Essentially, $A/A = 0$, and we assume $1 \neq 0$ in a domain.

(3) $\{0\} \subseteq A$ is prime (resp maximal) iff A is a domain (resp field).

(4) Given a field K and a (resp surjective) homomorphism $\varphi \colon A \to K$, $\ker(\varphi)$ is prime (resp maximal) since $A/\ker(\varphi) \hookrightarrow K$.

(5) By definition, an ideal $\mathfrak{p} \subseteq A$ is prime iff

(a) $\mathfrak{p} \neq A$,

(b) For all $f, g \in A$, $f g \in \mathfrak{p}$ implies $f \in \mathfrak{p}$ or $g \in \mathfrak{p}$.

(6) Claim: An ideal $\mathfrak{m} \subseteq A$ is maximal iff

(a) $\mathfrak{m} \neq A$,

(b) For all $\mathfrak{m} \subseteq I \subseteq A$ an ideal, $I = \mathfrak{m}$ or $I = A$.

Lemma 15.1. *A commutative ring A is a field iff A has exactly two ideals, the trivial ideal $\{0\}$ and A itself.*

Proof. Assume A is a field. Then $0 \neq 1$ implies $\{0\}$ and A are distinct ideals, so we have at least two ideals. Given $\{0\} \subsetneq I \subseteq A$ a non-zero ideal, take $f \in I$ non-zero. As A is a field, $\frac{1}{f} \in A$. Then for all $g \in A$, $g = \frac{g}{f} \cdot f \in I$ which implies $I = A$. Conversely, if we have exactly two ideals, then $0 \neq 1$ (or I would have one ideal) and for all $f \in A$ non-zero,

$$\underbrace{A \cdot f}_{\text{ideal}} \neq 0 \implies A \cdot f = A$$

$$\implies \exists f^{-1} \in A \text{ s.t. } f^{-1} \cdot f = 1$$

$$\implies A \text{ is a field.} \qquad \boxtimes$$

Ideals generalize the notion of divisibility. The structure of the ideals under inclusion (for the integers for instance) is the same structure as ideals under divisibility. For a field, the idea of divisibility isn't really interesting (2 divides 1 because $2 \cdot \frac{1}{2} = 1$), but for domains they are, hence this result.

To prove the earlier claim, given $\mathfrak{m} \subseteq A$, it is easy to see that ideals $\mathfrak{m} \subseteq I \subseteq A$ correspond to ideals in $A/\mathfrak{m}$, where $I \mapsto I/\mathfrak{m}$.

Proposition 15.1. *Given A a non-zero commutative ring, there exists a maximal ideal $\mathfrak{m} \subseteq A$.*

Remark 15.2.

(1) This produces a non-zero map from A to a field, namely $A/\mathfrak{m}$.

(2) It follows that any $I \subsetneq A$ is contained in a maximal ideal: choose a maximal ideal in A/I, take its inverse image in A.

(3) The proof is non-constructive and uses Zorn's lemma.

Proof of Proposition 15.1. Let $S = \{\text{ideals } I \subseteq A, I \neq A\}$. As $A \neq \{0\}$, we have $S \neq \emptyset$ ($\{0\} \in S$). Consider S as partially ordered under inclusions $I \leq J \iff I \subseteq J$. Given a totally ordered subset $\Theta \subseteq S$, this leads to $I_\Theta := \bigcup_{J \in \Theta} J$.

Claim. *I_Θ is an ideal with $I_\Theta \neq A$.*

To check this claim, $x, y \in I_\Theta$ implies by total orderedness that there exists a $J \in \Theta$, $x, y \in J$, which implies $x + y \in J \subseteq I_\Theta$. Θ being non-empty implies that $0 \in I_\Theta$. For $x \in A$, $y \in I_\Theta$ implies that there exists a $J \in \Theta$ such that $y \in J$ which implies that $xy \in J \subseteq I_\Theta$. We have $I_\Theta \neq A$, otherwise $1 \in I_\Theta$ which implies the existence of a $J \in \Theta$ such that $1 \in J$, which implies $A \subseteq J \implies J = A$, contradicting the definition of S. Clearly $J \subseteq I_\Theta$ for all $J \in \Theta$, which implies I_Θ is a (least) upper bound for Θ. So Zorn's lemma applies and says there exists a $\mathfrak{m} \in S$ a maximal element. By what we did earlier, this means that $\mathfrak{m}$ is a maximal ideal. $\boxtimes$

16   October 4, 2021

Today is our first in person class! Today we'll do more on polynomials, discussing irreducibility and roots of polynomials. Let k be a field.

Definition 16.1. A polynomial $f \in k[t]$ is **irreducible** if the following conditions hold:

  (1) $f \neq 0$,
  (2) f is not a unit ($\deg f > 0$),
  (3) whenever $f = f_1 \cdot f_2$, f_1 is a unit or f_2 is a unit.

  A reminder that being a unit in this polynomial ring means a polynomial has degree zero.

Example 16.1. Some examples:

  (1) $t \in k[t]$ is irreducible.
  (2) $\deg(f) = 1$ implies that f is irreducible, since if $f = f_1 \cdot f_2$ then $\deg(f_1) + \deg(f_2) = 1$, therefore one of the numbers has to be zero.
  (3) For $k = \mathbb{Q}, \mathbb{R}$, and $f(t) = t^2 + 1$, then f is irreducible. If $f = f_1 \cdot f_2$, then $\deg(f_i) = 0 \implies \deg(f_i) = 1$. We can assume that the f_i's are monic, which implies $f_i = t - \lambda_i$ for $\lambda_i \in k$. So $t^2 + 1 = (t - \lambda_1)(t - \lambda_2)$ for $\lambda_1, \lambda_2 \in \mathbb{Q}, \mathbb{R}$. This is impossible, because for $t = \lambda_1$, $\lambda_1^2 + 1 = 0$, a contradiction.

Remark 16.1. Sometimes it's convenient to think of "plug in λ for t" as considering the (unique) homomorphism $k[t] \xrightarrow{t \mapsto \lambda} k$, which is the identity on $k \subseteq k[t]$.

Note. A note on notation: for A a commutative ring, $f \in A$, then we denote $(f) := Af \subseteq A$, the ideal generated by f (repeated multiples of f). More generally, for $f_1, \cdots, f_n \in A$, we have $(f_1, \cdots, f_n) := Af_1 + \cdots + Af_n$ the ideal generated by the f_i.

Lemma 16.1. *A polynomial $f \in k[t]$ is irreducible if and only if (f) is maximal.*

Proof. Suppose that f is irreducible. We want to show that (f) is maximal. Suppose $(f) \subseteq I \subsetneq k[t]$ where I is some ideal. We want to show that $f = I$. We previously showed that $k[t]$ is a PID, so $I(g)$ for some non-unit polynomial g. Now

$$(f) \subseteq (g) \implies f \in (g) \implies f = g \cdot h$$

for some $h \in k[t]$. Since g is not a unit, and f is irreducible, then h is a unit. Furthermore,

$$h^{-1} \cdot f = g \implies g \in (f) \implies (g) \subseteq f \implies (f) = (g).$$

Conversely, suppose f is maximal. We want to show that f is irreducible. If $f = g \cdot h$ with g not a unit, we want to show that h is a unit. Suppose $(f) \subseteq (g) \subsetneq k[t]$, by maximality we have $(f) = (g)$. This implies there exists an $\eta \in k[t]$, or $\eta \cdot f = g$. Multiplying by (non-zero) h, we have

$$h \cdot \eta \cdot f = hg = f \underset{\text{domain}}{\implies} h \cdot \eta = 1.$$

So h is a unit with inverse η.   ⊠

Remark 16.2. All of this is valid in any PID. In general, it's difficult to stare at a polynomial and tell whether it's irreducible or not. In degree zero and one this is trivial, and for degree two plug it into the quadratic formula and find the discriminant.

Lemma 16.2. *Let $f \in k[t]$ be non-zero. Then there exist $f_1, \cdots, f_n$ irreducible such that $f = \prod_{i=1}^{n} f_i$.*

Proof 1. This proof is for $k[t]$. If f is not irreducible, then $f = g \cdot h$ for g, h not units. If $\deg f = 0$ then we are done. If $\deg f = 1$ we have f irreducible so we are done. For g, h not units, $\deg(g), \deg(h) < \deg(f)$, which implies $g = g_1, \cdots, g_r, h = h_1, \cdots, h_s$ with g_i, h_j irreducible. So f is a product of irreducibles and we are done.   ☒

Proof 2. This proof is valid for any PID. Given f non-zero, by last time there exists some maximal ideal $\mathfrak{m}_1 \supseteq (f)$. We have $\mathfrak{m}_1 = (f_1)$ for f_1 irreducible, which implies $f_1 \mid f$. If $\frac{f}{f_1}$ is a unit, then we are done. Otherwise, we can take the ideal $\left(\frac{f}{f_1}\right) \subseteq \mathfrak{m}_2 = (f_2)$ for f_2 irreducible. We get that $f_2 \mid \frac{f}{f_1}$ - if $\frac{f}{f_1 f_2}$ is a unit we are done, otherwise we repeat. If the process $f = f_1 \cdots f_n \cdot \frac{f}{f_1 \cdots f_n}$ doesn't terminate (for all $n > 0, f_i$ irreducible), $f \in (f_1), f \in (f_1 f_2) \subseteq (f_1)$. Eventually,

$$(f_1) \supsetneq (f_1 f_2) \supsetneq \cdots$$

which is a strict inclusion since f_2 is not a unit, and so on. For $I = \bigcap_{n>0}(f_1 \cdot \cdots \cdot f_n)$, we want to show that $I = (0)$. This gives a contradiction since $f \in I$ with f non-zero. Since we are in a PID, this implies $I = (g) \subseteq (f_1 \cdots f_n)$ for all $n > 0$. We can then form $\frac{g}{f_1 \cdots f_n}$, where

$$(g) \subsetneq \left(\frac{g}{f_1}\right) \subsetneq \left(\frac{g}{f_1 f_2}\right) \subsetneq \cdots$$

Decreasing sequences of ideals are more subtle than increasing sequences of ideals, which we have transformed our increasing sequence into by the fact that we live in a PID. Form $J = \bigcup_{n>0} \left(\frac{g}{f_1 \cdots f_n}\right)$, and $J = (h)$. But this is a union, so $h \in \left(\frac{g}{f_1 \cdots f_n}\right)$ for some $n > 0$. Moving some symbols around,

$$\frac{g}{f_1 \cdots f_{n+1}} \in J = (h),$$
$$\frac{g}{f_1 \cdots f_{n+1}} \in \left(\frac{g}{f_1 \cdots f_n}\right),$$
$$\frac{g}{f_1 \cdots f_{n+1}} = \eta \cdot \frac{g}{f_1 \cdots f_n}.$$

Since we live in a domain, $1 = f_{n+1} \cdot \eta$, so f_{n+1} is a unit, a contradiction.   ☒

That was a lot of manipulation, how do we set up the argument? There is some sort of built in "finiteness", the fact that we can't be divisible by an infinite amount of irreducible polys. So for ideals, the union eventually stabilizes, and the increasing sequence eventually stops.

17   October 6, 2021

Let's continue with polynomials.

Definition 17.1. If k is a field, then a **field extension** of k is a field K with a (necessarily injective) homomorphism $k \to K$. We denote K/k to mean that K is a field extension over k.

Example 17.1. $\mathbb{C}/\mathbb{R}, \mathbb{C}/\mathbb{Q}, \mathbb{R}/\mathbb{Q}$ are all field extensions.

Claim. *Given a field k and a polynomial $f \in k[t]$, there exists a field extension K/k such that f has a root in K, i.e., there exists $\lambda \in K$ such that $f(\lambda) = 0$.*

Example 17.2. If $k = \mathbb{R}$ and $f(t) = t^2 + 1$, then $K = \mathbb{C}$.

Proof. Choose some irreducible polynomial $g \in k[t]$ such that g divides f. Take $K := k[t]/g$. Note that we have a homomorphism $k \to k[t] \xrightarrow{\pi} k[t]/g = K$. Also note that K is a field, since $(g) \subseteq k[t]$ is maximal. Take $\lambda := \pi(t)$. Then $g(\lambda) = g(\pi(t)) = \pi(g(t)) = 0$. So $f = g \cdot h$ implies $f(\lambda) = g(\lambda) \cdot h(\lambda) = 0$. ⊠

Example 17.3. Say $k = \mathbb{R}$ and $f(t) = t^2 + 1$. Then $K := \mathbb{R}[t]/t^2 + 1$. Let $i \in K$ be the image of t (what we just called λ). On the homework it was shown that every element of K can be written uniquely as $\alpha + \beta i$ for $\alpha, \beta \in \mathbb{R}$. Then

$$(\alpha + \beta i)(\gamma + \delta i) = \alpha\gamma + (\alpha\delta + \beta\gamma)i + \beta\delta(i^2).$$

Note that $i^2 = -1$ by construction since i solves $t^2 + 1 = 0$, so $K = \mathbb{C}$.

Example 17.4. Why do we need an irreducible polynomial in our proof? Take $f(t) = t^2 - 1 = (t-1)(t+1)$, and by the homework there is a natural map

$$\mathbb{R}[t]/(t-1)(t+1) \xrightarrow{\overset{\text{homework}}{\simeq}} \underbrace{\mathbb{R}}_{\mathbb{R}[t]/t-1} \times \underbrace{\mathbb{R}}_{\mathbb{R}[t]/t-1},$$

which is not a field.

Given a polynomial $f \in [t]$ of degree n, does there exist an extension K/k such that f has n roots? The answer appears to be yes, but consider $f(t) = t^2$.

Proposition 17.1. *Given $f(t) \in k[t]$ monic of degree $n > 0$, there exists a field extension K/k and elements $\lambda_1, \cdots, \lambda_n \in K$ such that $f = \prod_{i=1}^{n}(t - \lambda_i)$.*

Remark: maybe the λ_i's are not all distinct.

Lemma 17.1. *Suppose k is a commutative ring, $f \in k[t]$, and $\lambda \in k$ is a root of f, i.e., $f(\lambda) = 0 \in k$. Then there exists a unique $g \in k[t]$ such that $g(t) \cdot (t - \lambda) = f(t)$.*

Proof. Define $\widetilde{f}(t) := f(t + \lambda)$, i.e., if $f(t) = a_n t^n + \cdots + a_0$, then $\widetilde{f}(t) = a_n(t - \lambda)^n + \cdots + a_0$ which we expand by the binomial theorem. Write $\widetilde{f}(t) = \widetilde{a}_n t^n + \widetilde{a_{n-1}} t^{n-1} + \cdots + \widetilde{a}_0$. By assumption, $\widetilde{a}_0 = \widetilde{f}(0) = f(\lambda) = 0$. Take $\widetilde{g}(t) := \widetilde{a}_n t^{n-1} + \widetilde{a_{n-1}} t^{n-2} + \cdots + \widetilde{a}_1$, where $\widetilde{g} \cdot t = \widetilde{f}$. Then $g := \widetilde{g}(t - \lambda)$, and

$$f = \widetilde{f}(t - \lambda) = \widetilde{g}(t - \lambda) \cdot (t - \lambda) = g \cdot (t - \lambda).$$ ⊠

Proof of Proposition 17.1. We prove this by induction on degree, with the case $\deg 0$ being trivial. By what we did earlier, there exists K_0/k and $\lambda_1 \in K_0$ such that $f(\lambda_1) = 0$. So we have this embedding $k[t] \subseteq K_0[t]$. By Lemma 17.1, $f(t) = (t - \lambda_1) \cdot g(t) \in K_0[t]$, where $\deg g = \deg f - 1 = n - 1$. By induction, suppose there exists K/K_0 with $\lambda_2, \cdots, \lambda_n \in K$ such that $g_9 t) = \prod_{i=2}^{n}(t - \lambda_i)$. Then $k \subseteq K_0 \subseteq K$, and $f(t) = \prod_{i=1}^{n}(t - \lambda_i)$ in $K[t]$. ⊠

Question: given f of degree n, when does f have n *distinct* roots?

Definition 17.2. We say $f \in k[t]$ of degree n is **separable** if there exists some field extension K/k such that f has n distinct roots in K.

Example 17.5. Let $k = \mathbb{Q}$.

(1) $t^2 + 1$ is separable.

(2) $t^2 - 1$ is separable.

(3) t^n for $n > 1$ is not separable.

(4) $t^3 + 2t^2 + t = t(t+1)^2$ is not separable.

18   October 8, 2021

Last time we ended with a question: given a field k and a polynomial $f \in k[t]$ of degree n, when is f *separable*, i.e., when does f have exactly n distinct roots in some extension field K/k?

Example 18.1. $t(t-1)$ or $t^2 + 1$ are (usually separable). $t^2, (t-1)^2, (t-1)^2(t^2+1)$ are not separable.

Definition 18.1. Given $f = a_n t^n + \cdots + a_0 \in k[t]$, define the **derivative** of f as $f'(t) := n a_n t^{n-1} + (n-1) a_{n-1} t^{n-2} + \cdots + a_1$.

   Note that $(fg)' = f'g + fg'$.

Proposition 18.1. *For $f \in k[t]$ with $\deg(f) = n > 0$, the following are equivalent:*

   (1) f is separable (has n distinct roots in some K/k),

   (2) For every extension field K/k and $\lambda \in K$, $(t-\lambda)^2$ does not divide f.

   (3) The ideal generated by f and f' is equal to the whole ring $k[t]$, or $(f, f') := k[t]f + k[t]f' = k[t]$. In other words, there exist $\alpha, \beta \in k[t]$ such that $\alpha f + \beta f' = 1$.

   (4) There exists an extension field K/k such that $K[t]f + K[t]f' = K[t]$. In other words, there exist $\alpha, \beta \in K[t]$ such that $\alpha f + \beta f' = 1$.

Proof. We know (1) is equivalent to (2) because we know there exists some K/k and $c \in k^\times$, $\lambda_1, \cdots, \lambda_n \in K$ such that $f = c \cdot \prod_{i=1}^n (t - \lambda_i) \in K[t]$. Assuming (2), all roots must be distinct, so (2) implies (1). Assuming (1), if f is divisible by $(t-\lambda)^2$, this implies that $f = c(t-\lambda)^2 \cdot \prod_{i=1}^{n-2} (t - \lambda_i)$. The better way to say this is, by induction on degree, factorization into monic irreducible factors is unique.

   Tautologically, the two halves of (3) and (4) are equivalent. (3) implies (4) because take the field extension in (4) to be k. Now we want to show that (2) implies (3). Now $(f, f') = (g)$ for some $g \in k[t]$ as $k[t]$ is a PID. WLOG, g is monic. If $g = 1$, we are done. Otherwise, $\deg(g) > 0$, so there exists some extension field K/k where g has a root by last time. The claim is that $(t-\lambda)^2$ divides f in $K[t]$ in this case, giving a contradiction. We know $(t-\lambda) \mid g \mid f$, which implies that $(t-\lambda) \mid f$. Let $\widetilde{f} := \frac{f}{(t-\lambda)}$, we want to see that λ is a root of $\widetilde{f}$. In other words, $f = \widetilde{f} \cdot (t-\lambda)$. By the product rule, $f' = (\widetilde{f})'(t-\lambda) + \widetilde{f} \cdot 1$. Then $(t-\lambda) \mid f'$, and
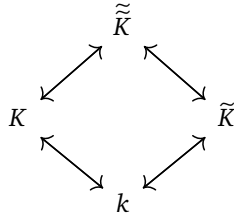
$$0 = f'(\lambda) = (\widetilde{f})'(\lambda) \cdot (\lambda - \lambda) + \widetilde{f}(\lambda).$$

This implies $\widetilde{f}(\lambda) = 0$, and $(t-\lambda) \mid \widetilde{f}$. Multiplying through, $(t-\lambda)^2 \mid f$. So (2) implies (3). Now we want to show that (3) implies (2), which is the same kind of idea. Suppose $\alpha f + \beta f' = 1$, and suppose that K/k and $\lambda \in K$ such that $(t-\lambda)^2 \mid f$. Then $f = (t-\lambda)\widetilde{f}$ with $\widetilde{f}(\lambda) = 0$. Then $f' = 1\widetilde{f} + (t-\lambda)\widetilde{f}$ which implies $f'(\lambda) = \widetilde{f}(\lambda) = 0$. This implies that $\alpha(\lambda)f(\lambda) + \beta(\lambda)f'(\lambda) = 1$, so $0 = 1$, a contradiction.

   It remains to show that (4) implies (2), here is the sketch. The idea is that given some K and $\alpha f + \beta f' = 1$ in $K[t]$, in (2), given $\widetilde{K}$, $\lambda \in \widetilde{K}(t-\lambda)^2 \mid f$. The claim is that there exists a non-zero commutative ring A and embeddings
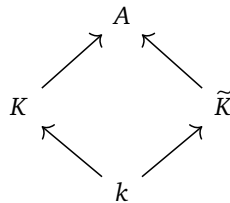
We skip showing that $K \otimes_k \widetilde{K} = A$. Define $\widetilde{\widetilde{K}} := A/$ a maximal ideal means that $\widetilde{\widetilde{K}}$ is a field, so we have embeddings

$$
\begin{array}{ccc}
 & \widetilde{\widetilde{K}} & \\
\swarrow & & \searrow \\
K & & \widetilde{K} \\
\searrow & & \swarrow \\
 & k &
\end{array}
$$

Run (3) implies (2) in $\widetilde{\widetilde{K}}$, and we are done.   ⊠

Given a field k, there is an important invariant char(k), the **characteristic** of k. Either char$(k) = 0$, or char(k) is some prime number. The construction is as follows: for every A a ring, there exists a unique ring homomorphism $\mathbb{Z} \xrightarrow{i_A} A$, where $0 \mapsto 0, 1 \mapsto 1, 2 \mapsto 2 := 1 + 1$ ($\mathbb{Z}$ is the initial object for Ring). If k is a field, then $\ker(i_k) = (p) \subseteq \mathbb{Z}$, which is sprime because $\mathbb{Z}/\ker(i_k) \hookrightarrow k$. This p is the characteristic of k. For $\mathbb{F}_p := \mathbb{Z}/p$, this has characteristic p. $\mathbb{Q}, \mathbb{R}$, and $\mathbb{C}$ have characteristic 0, since $\mathbb{Z}$ maps injectively into these fields.

19   October 11, 2021

I missed this class so I'm transcribing John's notes. Fix k a field of characteristic $p \geq 0$. Recall that a field of characteristic 0 means that repeated addition of any non-zero element is nonzero. For example, $\mathbb{R}, \mathbb{Q}$, and $\mathbb{C}$ are fields of characteristic 0, while $\mathbb{F}_p = \mathbb{Z}/p$ has characteristic p.

Definition 19.1. For k a field and $n \geq 1$, define $\mu_n(k) := \{\zeta \in k \mid \zeta^n = 1\}$ to be the set of n**th roots of unity** of k.

Note that $\mu_n(k)$ is a group, since for $\zeta, \widetilde{\zeta} \in \mu_n(k)$ implies $\zeta\widetilde{\zeta} \in \mu_n(k)$, similarly $\zeta^{-1} \in \mu_n(k)$.

Definition 19.2. A group is **cyclic** if there is an isomorphism $G \simeq \mathbb{Z}/n$ for some $n \geq 0$.

Example 19.1. Let $k = \mathbb{C}$, then $\mu_n(k) = \{e^{2\pi i/n} \mid n \in \mathbb{Z}\}$. In general, $\mu(\mathbb{C}) \simeq \mathbb{Z}/n$ as a group, so $\mu(\mathbb{C})$ is cyclic of order n.

Proposition 19.1. *Let k be a field of characteristic p. Then*

(1) For all $n \geq 1$, $\mu_n(k)$ is cyclic,

(2) Any finite subgroup $G \subseteq k^\times$ is contained in $\mu_n(k)$ for some n,

(3) Any finite subgroup of $k^\times$ is cyclic,

(4) If n is coprime to p (vacuously true for $p = 0$), then there exists a field extension K/k with $|\mu_n(k)| = n$,

(5) If $n = p^r n_0$ where $p \nmid n_0$, $\mu_n(k) = \mu_{n_0}(k)$.

Example 19.2. If k has characteristic $\neq 2$, then $\mu_2(k) = \{-1, 1\}$ (in the characteristic two case, $\mu_2(k) = \{1\}$).

Corollary 19.1. $\mathbb{F}_p^\times \simeq \mathbb{Z}/(p-1)$ *as a group, i.e., $\mathbb{F}_p^\times$ is cyclic.*

Proof. To show (4), for n coprime to p, let $f(t) := t^n - 1$. Then f and f' are coprime because f is separable, so we have some field extension K/k with f having n distinct roots for $\deg(f) = n$, which implies $|\mu_n(k)| = n$. For (1), assume that $|\mu_n(k)| = n$, we want to show that $\mu_n(k)$ is cyclic. Suppose $d \mid n$, then $(t^d - 1)$ divide $(t^n - 1)$. Then every dth root of unity is an nth root of unity, and $|\mu_d(k)| = d$.   ⊠

20   October 13, 2021

A digression on counting.

Definition 20.1 (Euler's φ-function). For $n \geq 1$, $\varphi(n) = |\{1 \leq m \leq n \mid \gcd(m, n) = 1\}$.

For example, $\varphi(1) = 1$, for $n = p$ a prime, $\varphi(p) = p - 1$, and $\varphi(8) = 4$. Here is why this function is significant:

Lemma 20.1. $\varphi(n) = |(\mathbb{Z}/n)^{\times}|$.

Proof. If m is coprime to n, then there exist $\alpha, \beta \in \mathbb{Z}$ such that $\alpha m + \beta n = 1$ which implies m is a unit (mod n). Conversely, if m is a unit (mod n), then there exists an $\alpha \in \mathbb{Z}$ such that $am = 1$ (mod n), which implies the existence of a $\beta \in \mathbb{Z}$ such that $\alpha m + \beta m = 1$, which implies $\gcd(m, n) = 1$. So

$$\begin{array}{ccc} \{1, \cdots, n\} & \xrightarrow{\sim} & \mathbb{Z}/n, \\ {\scriptstyle\subseteq} & & {\scriptstyle\subseteq} \\ \{m \mid \gcd(m,n)=1\} & \xrightarrow{\sim} & (\mathbb{Z}/n)^{\times} \end{array}$$

and we are done.   ☒

Lemma 20.2. $(\mathbb{Z}/n)^{\times} = \{m \in \mathbb{Z}/n \mid \mathrm{ord}(m) = n\}$.

Proof. Recall that for G a finite group, the order of an element g is defined as $\mathrm{ord}(g) = \min\{r > 0 \mid g^r = 1\}$. For $m \in \mathbb{Z}/n$, $\mathrm{ord}(m) = d$, $d \mid n, d \neq n$. This implies that $m + \cdots + m$ (d-times) $= m \cdot d = 0$, and $d < n$ implies that $d \neq 0$ so m is not a unit. Conversely, if $\mathrm{ord}(m) = n$, this implies that $d \cdot m \neq 0$ for all $d \mid n, d \neq n$. Let $\delta := \gcd(m, n)$. Then $\frac{n}{\delta} \mid n$, OTOH

$$m \cdot \frac{n}{\delta} = \frac{m}{\delta} \cdot \delta \cdot \frac{n}{\delta} = \frac{m}{\delta} \cdot n = 0 \implies \frac{n}{\delta} = n \implies \delta = 1 \implies \gcd(m, n) = 1 \implies m \in (\mathbb{Z}/n)^{\times}. \quad ☒$$

Remark 20.1. What this argument really shows is that $\{m \in \mathbb{Z}/n \mid \mathrm{ord}(m) = d\} = \{m \in \mathbb{Z}/n \mid \gcd(m, n) = \frac{n}{d}\}$.

Corollary 20.1. $\sum_{d \mid n} \varphi(d) = n$.

Proof. It is equivalent to show that $\sum_{d \mid n} \varphi\left(\frac{n}{d}\right) = n$ counts $|\{1 \leq m \leq n \mid \gcd(m, nd) = d\}$.   ☒

Example 20.1. If $1 \mid p$ is prime, $\varphi(p) = p - 1$, and $\varphi(1) + \varphi(p) = p$ as stated.

Now we return to roots of unity. For any field k such that $|\mu_n(k)| = n$, $\mu_n(k) \simeq \mathbb{Z}/n$. We'll prove this by induction on n. The case $n = 1$ is vacuous, assume that the claim is true for all $1 \leq m < n$. Last time we showed that $d \mid n$, $|\mu_d(k)| = d$. Note that for any root of unit, $\zeta \in \mu_n(k)$, $\zeta^n = 1$ implies that $\mathrm{ord}(\zeta) \mid |n$.

Claim. *For every $d \mid n$, $d \neq n$, $|\{\zeta \in \mu_n(k) \mid \mathrm{ord}(\zeta) = d\zeta\}| = \varphi\left(\frac{n}{d}\right)$.*

Proof. If $\mathrm{ord}(\zeta) = d \mid n, d \neq n$ implies that $\zeta^d = 1$ which implies $\zeta \in \mu_d(k)$. By induction, $\mu_d(k) \simeq \mathbb{Z}/d$. From before, we know that there are exactly $\varphi(d)$ elements of order exactly d in $\mathbb{Z}/d \simeq \mu_d(k)$.   ☒

Corollary 20.2. *There are exactly $\varphi(n)$ many $\zeta \in \mu_n(k)$ such that $\mathrm{ord}(\zeta) = n$.*

Proof. We have

$$n = \sum_{d \mid n} |\{\zeta \in \mu_n(k) \mid \mathrm{ord}(\zeta) = d\}|$$

$$= \sum_{\substack{d \mid n \\ d \neq n}} |\{\mathrm{ord}\zeta = d\}| + |\{\zeta \mid \mathrm{ord}(\zeta) = n\}|$$

$$= \underset{d \neq n}{d \mid n} \varphi(d) + \text{mystery term.}$$

We showed that $\sum_{d \mid n} \varphi(d) = n$, which implies that $n - \sum_{d \mid n, d \neq n} \varphi(d) = \varphi(n) = $mystery term.   ☒

The key point is that there exists a $\zeta \in \mu_n(k)$ such that $\text{ord}(\zeta) - n$, in fact, $\varphi(n)$ such elements. This leads to a map $\mathbb{Z}/n \to \mu_n(k), 1 \mapsto \zeta$. $\text{ord}(\zeta) = n$ implies this map is injective, and therefore bijective. This could be an approach to showing a group is $\mathbb{Z}/n$– list all the divisors and count their orders.

Lemma 20.3. *Let A be a commutative ring with $p = 0$ (with $p > 0$ a prime). Define the Frobenius map $\varphi : A \to A$ by $f \mapsto f^p$. Then φ is a homomorphism.*

Proof. Clearly $\varphi(fg) = \varphi(f)\varphi(g)$. To show additivity, we have

$$\varphi(f+g) := (f+g)^p = f^p + \binom{p}{1}f^{p-1}g + \cdots + \binom{p}{p-1}fg^{p-1} + g^p.$$

By a previous homework, $\binom{p}{i} \equiv 0 \pmod{p}$ for $0 < i < p$, so this whole picture is equivalent to $f^p + g^p = \varphi(f) + \varphi(g)$. More on this next time. ⊠

21 October 15, 2021

Today we finish up on roots of unity. Assume k is a field of characteristic $p > 0$. Last time we showed that this Frobenius map $\varphi : k \to k, f \mapsto f^p$ is a homomorphism. If $k = \mathbb{F}^p$, then this Frobenius map is just the identity: $\varphi(1) = 1, \varphi(2) = 2\varphi(1) = 2$, and $n^p = \varphi(n) = n$ for every n. So $\varphi = \text{id}$, and $n^p = n \pmod{p}$ for every $n \in \mathbb{Z}$, which is **Fermat's little theorem**. But for any field k of characteristic p, there's always a map

$$\mathbb{Z} \xrightarrow{\qquad\qquad} k$$
$$\mathbb{Z}/p = \mathbb{F}_p$$

Claim. $\mathbb{F}_p = \{f \in k \mid \varphi(f) = f\}$.

Proof. The right hand side is equal to the roots of $t^p - t$, and there are less than or equal to p of them, and p of them in $\mathbb{F}_p$. ⊠

Lemma 21.1. *For every $n \geq 1$, $\mu_{np}(k) = \mu_n(k) \subseteq k^\times$.*

Corollary 21.1. $\mu_{np^r}(k) = \mu_n(k)$ *for every $r \geq 0$.*

Proof. Consider the case where $n = 1$. Then $\mu_p(k) = \{1\}$. Suppose $\zeta \in \mu_p(k)$, so $\zeta^p = 1$, which implies $\zeta^p - 1 = \varphi(\zeta) - \varphi(1) = 0$. Therefore $(\zeta - 1)^p = 0$ (freshman's dream in a field of characteristic p), so $\zeta - 1 = 0$ since we're in a domain (field). In the general case, we clearly have $\mu_n(k) \subseteq \mu_{np}(k)$. Conversely, if $\zeta \in \mu_{np}(k)$, we have $\zeta^{np} = 1$ which implies $(\zeta^n)^p = 1$, which means $\zeta^n \in \mu_p(k)$. So by earlier, $\zeta^n = 1$, and $\zeta \in \mu_n(k)$. ⊠

Now we can prove the rest of Proposition 19.1 from Monday. We showed that if $p \nmid n$, then there exists a field extension K/k such that $|\mu_n(K)| = n$. (The proof uses the fact that $t^n - 1$ is separable). What we just showed is that if $n = p^r m, p \nmid m$, then there exists a K/k such that $|\mu_n(K)| = |\mu_m(K)| = m$.

Next we claim that for any $n \geq 1$ and any k, $\mu_n(k)$ is cyclic.

Proof. WLOG, assume $p \nmid n$. Then we have some field extension K/k such that $|\mu_n(K)| = n$. So $\mu_n(k) \subseteq \mu_n(K) \simeq \mathbb{Z}/n$ by last time. Any subgroup of $\mathbb{Z}/n$ has the form of $d\mathbb{Z}/n\mathbb{Z}$ (or $\mathbb{Z}/\left(\frac{n}{d}\right)\mathbb{Z}$) for some $d \mid n$. This proves the claim that $\mu_n(k)$ is cyclic.

Our next claim is that any finite subgroup $G \subseteq k^\times$ is cyclic. For every $g \in G$, $g^{|G|} = 1$. This implies $G \subseteq \mu_{|G|}(k)$. By the same argument, G is cyclic. ⊠

Again, the main corollary is that $\mathbb{F}_p^\times \simeq \mathbb{Z}/(p-1)$. The same applies as is for any finite field k, i.e., $k^\times \simeq \mathbb{Z}/(|k|-1)\mathbb{Z}$.

This ends our whole schpeal on finite fields. Now we'll explain some constructions with finite groups, probably using this result, and then move on to modules over PIDs. There is a slogan, which is that finite groups get more complicated the more prime factors they have.

0	$*$ the trivial group
1	$\mathbb{Z}/p$ for some p
2	$\mathbb{Z}/p \times \mathbb{Z}/p, \mathbb{Z}/p^2, \mathbb{Z}/p \ltimes \mathbb{Z}/q$ the *semidirect product*

Figure 1: Groups get more complicated the more prime factors they have.

What is a semidirect product? Good question. Given two groups G, H and an action of G on H by a group automorphism, for every $g \in G$ the map $H \xrightarrow{\simeq} H$ (act by g) is a group homomorphism/automorphism. This is the main example to keep in mind: if k is a commutative ring, take $G = (k^\times, \text{mult})$ and $H = (k, +)$. So G acts on H via multiplication. Note that for $\lambda \in k^\times$, $k \xrightarrow{\lambda \cdot (-)} k$ is a group homomorphism (distributive property of multiplication).

Note. A note on notation. For $g \in G$, the automorphism $H \xrightarrow{\text{act by } g} H$ is denoted by $h \mapsto {}^g h$.

Some basic identities. ${}^g(h_1 h_2) = ({}^g h_1)({}^g h_2)$, also ${}^{g_1 g_2}(h) = {}^{g_1}({}^{g_2} h)$. Furthermore ${}^g(1) = 1$, and ${}^1 h = h$.

22   October 18, 2021

This is the setup- let G, H be groups, and let G act on H by group automorphisms. For $g \in H, h \in H$, $h \mapsto {}^g h$ is the action of g. This leads to a new group $G \ltimes H$ that does something– what is this something? An action of $G \ltimes H$ is the data of an action of G and an action of H such that something natural happens.

Example 22.1. Let $G = \mathbb{Z}/2, H = \mathbb{Z}, X = \mathbb{Z}$. Then G acts on H by group automorphisms (denote this action σ), where $\sigma: n \mapsto -n$. We also have a Z-action τ (shift up by 1), then $(\sigma\tau)(n) = \sigma(n+1) = -n-1 = \tau^{-1}(-n) = \tau^{-1}\sigma(n) = {}^\sigma\tau(\sigma(n))$.

The setup in general goes like this: an action of a semidirect product $G \ltimes H$ on X is an action of G, H such that for every $g \in G, h \in H, x \in X$, $g(h(x)) = {}^g h \cdot (gx)$. Heuristic: we want the formula $ghg^{-1} = {}^g h$ to make sense and be true in $G \ltimes H$. So how do we construct $G \ltimes H$?

Definition 22.1. Consider the setup in the beginning, where G, H are groups and G acts on H by automorphisms. Let the **semidirect product** $G \ltimes H = G \times H$ as a set, with group multiplication

$$(g_1, h_1) \cdot (g_2, h_2) := (g_1 g_2, h_1 \cdot {}^{g_1} h_2).$$

Example 22.2. If G acts on H trivially, then $G \ltimes H = G \times H$.

The claim is that this indeed forms a group. To check associativity, we have

$$(g_1, h_1) \cdot [(g_2, h_2) \cdot (g_3, h_3)] = (g_1, h_1) \cdot (g_2 g_3, h_2 {}^{g_2} h_3)$$
$$= (g_1 g_2 g_3, h_1 {}^{g_1}(h_2 {}^{g_2} h_3))$$
$$= (g_1 g_2 g_3, h_1 {}^{g_1} h_2 {}^{g_1 g_2} h_3) = \cdots$$

Example 22.3. Some basic structures:

(a) We have $H \hookrightarrow G \ltimes H, h \mapsto (1, h)$ a homomorphism. Moreover, the image is a *normal* subgroup: $(g, 1) \cdot (1, h) \cdot (g^{-1}, 1) = (g, {}^g h) \cdot (g^{-1}, 1) = (1, {}^g h)$. This fits into a sequence

$$0 \to H \to G \ltimes H \to G \to 0.$$

(b) $G \ltimes H \to G, (g, h) \mapsto g$ is a *surjective* homomorphism with kernel $H = \{(1, h)\}$, which implies H is normal.

(c) $G \to G \ltimes H, g \mapsto (g, 1)$ is a **splitting** of the map from (b), i.e., $G \to G \ltimes H \to G$ is the identity map.

Note. A note on notation: $G \ltimes H = H \rtimes G$, and $K \triangleleft G$ means K is normal in G. The $\triangleright$ in $G \ltimes H$ tells you H is the one who is normal, which implies G is the one who acts.

Suppose we're given a space X and an action $G \ltimes H$. We need to check the data from before: $H \to G \ltimes H, G \to G \ltimes H \rightsquigarrow$ actions of G and H on X. To see this, $(g, 1) \cdot (1, h) = (g, {}^g h) = (1, {}^g h) \cdot (g, 1)$ implies that for every $x \in X$,

$$\begin{aligned}
g \cdot (h \cdot x) &= (g, 1)(h, 1)x \\
&= (g, {}^g h)x = (1, {}^g h)(g, 1)x \\
&= {}^g h \cdot (g \cdot x).
\end{aligned}$$

Conversely, given G, H actions, $(g, h) \cdot x = {}^g h \cdot (g \cdot x)$ defines an action if the compatibility condition holds.

Example 22.4. Some examples of semidirects:

(1) Let $G = k^\times$ act on $k = H$, and $X = k$. Then H acts on k by addition, and $G = k^\times$ acts on k by mulitplication.

(2) For $k = \mathbb{Z}$, this is what we discussed at the beginning of the class. $\mathbb{Z}^\times = \{\pm 1\} \simeq \mathbb{Z}/2$. Then

$$G \ltimes H = \left\{ \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \,\middle|\, a \in k^\times, b \in k \right\} \subseteq \mathrm{GL}_2(k).$$

(3) Let $G = \mathbb{Z}/2 = \{1, s\}, H = \mathbb{Z}/n = \{1, r, r^2, \cdots, r^{n-1}\}$. Then G acts on H by $sr = r^{-1}$. Then $G \ltimes H = D_{2n}$, the dihedral group of order $2n$. We have $r^i, r^i s$ and $srs^{-1} = r^{-1}, s^2 = 1, r^n = 1$, or $D_{2n} = \langle r, s \mid r^n = s^2 = (sr)^2 = 1 \rangle$. D_{2n} is setup to act on a regular n-gon by "rigid motions" (isometries in $\mathbb{R}^3$).

23   October 20, 2021

Today we'll talk about the recognition criterion for semidirect products.

Definition 23.1. Given groups G, H, an **extension** of G by H is the data (E, π, i) where E is a group, $\pi \colon E \twoheadrightarrow G, i \colon H \hookrightarrow E$, and we have that $\pi i(y) = 1$ for every $h \in H$. Then the map $H \xrightarrow{i} \ker(\pi) \subseteq E$ is an isomorphism, and π is surjective iff $E / \ker(\pi) \xrightarrow{\simeq} G$ iff $E/H \xrightarrow{\simeq} G$. In short, E is a group, $H \subseteq E$ is normal, and $E/H \simeq G$.

Note. A note on notation. We write $1 \to H \xrightarrow{i} E \xrightarrow{\pi} G \to 1$, with an exception by replacing the 1s with 0s. The group H (resp G) is uniquely written positively (resp G). A sequence like this is a **short exact sequence** (or SES for short) of groups.

Example 23.1. Some examples of short exact sequences:

(1) $0 \to \mathbb{Z}/2 \xrightarrow{1 \mapsto 2} \mathbb{Z}/4 \xrightarrow{1 \mapsto 1} \mathbb{Z}/2 \to 0$ is a short exact sequence.

(2) $0 \to \mathbb{Z}/2 \xrightarrow{x \mapsto (x, 0)} \mathbb{Z}/2 \times \mathbb{Z}/2 \xrightarrow{(x, y) \mapsto y} \mathbb{Z}/2 \to$ is a short exact sequence.

(3) For every $n, m \geq 1$, $0 \to \mathbb{Z}/n \xrightarrow{1 \mapsto m} \mathbb{Z}/nm \xrightarrow{1 \mapsto 1} \mathbb{Z}/m \to 0$ is a short exact sequence.

(4) For every G, H, we have $1 \to H \xrightarrow{h \mapsto (1,h)} G \times H \xrightarrow{(g,h) \mapsto g} G \to 1$ is a short exact sequence.

(5) Suppose G acts on H by group automorphisms. Then by last time, $1 \to H \xrightarrow{h \mapsto (1,h)} G \ltimes H \xrightarrow{(g,h) \mapsto g} G \to 1$ is a short exact sequence.

Principle. $G \ltimes H$ is the simplest extension of G by H.

Definition 23.2. A **map of extensions** of G by H is a commutative diagram

$$
\begin{array}{ccccccccc}
1 & \longrightarrow & H & \xrightarrow{i_1} & E_1 & \xrightarrow{\pi_1} & G & \longrightarrow & 1 \\
& & \downarrow{\scriptstyle \mathrm{id}} & & \downarrow{\scriptstyle f} & & \downarrow{\scriptstyle \mathrm{id}} & & \\
1 & \longrightarrow & H & \xrightarrow{i_2} & E_2 & \xrightarrow{\pi_2} & G & \longrightarrow & 1
\end{array}
$$

for f a homomorphism.

Lemma 23.1. *Any map $f : E_1 \to E_2$ is a group isomorphism, and f^{-1} is a map of extensions.*

Proof. Some claims:

(1) Suppose $g \in E$, with $f(g) = 1 \in E_2$, then

$$
\begin{aligned}
1 = \pi_2(1) = \pi_2 f(g) = \pi_1(g) &\implies \pi_1(g) = 1 \\
&\implies g \in \ker(\pi_1) \\
&\implies g \in H \subseteq E \\
&\implies g = i_1(h).
\end{aligned}
$$

So $1 = f(g) = f(i_1(h)) = i_2(h)$, which implies $i_2(h) = 1$, so i is injective implying that $h = 1$, which subsequently implies $g = 1$.

(2) f is surjective, $g \in E_2$. Then

$$
\begin{aligned}
\pi_2(g) \in G = E_1/H &\implies \exists \gamma \in E_2 \text{ s.t. } \pi_1(\gamma) = \pi_2(f(\gamma)) = \pi_2(g) \\
&\implies \pi_2(f(\gamma) \cdot g^{-1}) = 1 \\
&\implies \exists h \in H \text{ s.t. } i_2(h) = f(\gamma) \cdot g^{-1} \\
&\implies g = i_2(h^{-1}) \cdot f(\gamma).
\end{aligned}
$$

Set $\widetilde{\gamma} = i_1(h^{-1}) \cdot \gamma \in E_1$, then $f(\widetilde{\gamma}) - f i_1(h^{-1}) \cdot f(\gamma) = g$ which implies $g \in \mathrm{im}(f)$. ⊠

Definition 23.3. A **splitting** of an extension $1 \to H \to E \to G \to 1$ is a map of groups $\sigma : G \to E$ such that $\pi \sigma = \mathrm{id}_G$.

$$
\begin{array}{ccccccccc}
& & & & G & & & & \\
& & & \sigma\downarrow & \,\,\searrow{\scriptstyle \mathrm{id}} & & & & \\
1 & \longrightarrow & H & \xrightarrow{i} & E & \xrightarrow{\pi} & G & \longrightarrow & 1
\end{array}
$$

An extension is said to be **split** if there exists a splitting.

Example 23.2. $0 \to \mathbb{Z}/2 \to \mathbb{Z}/4 \to \mathbb{Z}/2 \to 0$ is a non-split extension.

Given a split extension, this leads to an action of G on H: define ${}^g h := \sigma(g) h (\sigma(g)^{-1})$. Then $\pi(\mathrm{RHS}) = \pi \sigma(g) \pi(h) \pi(\sigma(g))^{-1} = g \cdot 1 \cdot g^{-1} = 1$, which implies the RHS is in H.

Lemma 23.2. *Given a splitting σ for an extension $1 \to H \to E \to G \to 1$, there exists a unique isomorphism of split extensions $E \simeq G \ltimes H$ by this action of G on H.*

Proof. An exercise is to show the map $G \ltimes H \xrightarrow{(g,h) \mapsto i(h)\sigma(g)} E$ is a homomorphism. Then this map is an isomorphism of split extensions. We'll continue this next time. ⊠

24   October 22, 2021

todo:this lecture

25   October 25, 2021

Digression for today and maybe tomorrow: we'll talk about Fermat's two square theorem, which is an application of previous ideas we've discussed (this is a cookie??).

Theorem 25.1 (Fermat). *Let p be an odd prime, then there exist integers $\alpha, \beta \in \mathbb{Z}$ such that $p = \alpha^2 + \beta^2$ iff $p \equiv 1$ (mod 4).*

Proof (partial). We have p odd, so $p \equiv$ either 1 or 3 (mod 4). For every $\alpha \in \mathbb{Z}$, $\alpha^2 = 0, 1$ (mod 4). If α is even, then $4 \mid \alpha^2 \implies \alpha^2 = 0$ (mod 4), and α odd implies $\alpha = 2k + 1 \implies \alpha^2 = 4k^2 + 4k + 1 = 1$ (mod 4). Any number that is 3 (mod 4) is not the sum of two squares. This is the easy direction.   ⊠

The other direction is way more subtle. Experimentally, consider the following table:

p	$\alpha^2 + \beta^2$
3	bad
5	$2^2 + 1^2$
7	bad
11	bad
13	$3^2 + 2^2$
17	$4^2 + 1^2$
19	bad
23	bad
29	$5^2 + 2^2$
$\vdots$	$\vdots$
61	$6^2 + 5^2$
$\vdots$	$\vdots$

Figure 2: Checking Fermat's two square theorem.

For example, $21 \equiv 1$ (mod 4) but is not the sum of two squares, so primeness is a crucial hypothesis. The key ingredient to proving this consists of things we have already done.

Definition 25.1. Define the ring of **Gaussian integers** $\mathbb{Z}[i] \subseteq \mathbb{C}$ by $\{a + bi \mid a, b \in \mathbb{Z}\}$.

Lemma 25.1. *We have $\mathbb{Z}[i] \simeq \mathbb{Z}[t]/t^2 + 1$.*

Proof. There exists a unique homomorphism $\mathbb{Z}[t] \xrightarrow{t \mapsto i} \mathbb{Z}[i]$ which factors through $\mathbb{Z}[t]/t^2 + 1$. The resulting map is obviously surjective, and injectivity follows from a previous homework, where we showed that every element of $\mathbb{Z}[t]$ mod a monic degree two polynomial can be *uniquely* written as $a + b \cdot t$, with $a, b \in \mathbb{Z}$.   ⊠

Theorem 25.2. *The Gaussian integers $\mathbb{Z}[i]$ are a Euclidian domain.*

Recall that this implies the Gaussian integers are a PID. We will prove Theorem 25.2 later, but for now let us assume it's true. We can deduce Fermat's theorem from here.

Proof of Theorem 25.1. Let p be an odd prime where $p \equiv 1 \pmod 4$. We want to show there exists $\alpha, \beta \in \mathbb{Z}$ such that $p = \alpha^2 + \beta^2$.

Lemma 25.2. $\mathbb{Z}[i]p$ *is not a prime ideal if* $p \equiv 1 \pmod 4$.

Proof of Lemma 25.2. It suffices to show that $\mathbb{Z}[i]/p\mathbb{Z}[i]$ is not a domain. We have $\mathbb{Z}[i]/p\mathbb{Z}[i] = \mathbb{Z}[t]/(p, t^2+1) = \mathbb{Z}/p[t]/t^2+1 = \mathbb{F}_p[t]/(t^2+1)$. By last week's homework, when $p \equiv 1 \pmod 4$ in $\mathbb{F}_p$ there exists a $\sqrt{-1} \in \mathbb{F}_p$. This implies $t^2 + 1$ factors into $(t + \sqrt{-1})(t - \sqrt{-1}) \in \mathbb{F}_p[t]$, which implies $\mathbb{F}_p[t]/(t^2 + 1) \simeq \mathbb{F}_p[t]/(t + \sqrt{-1}) \times \mathbb{F}_p[t]/(t - \sqrt{-1})$ (by the remainder theorem, an old hw) which is just $\mathbb{F}_p \times \mathbb{F}_p$. This quotient is not an integral domain, since $(1, 0) \cdot (0, 1) = (0, 0)$, so (p) is not prime in $\mathbb{Z}[i]$. ⊠

The big idea is that modding out by a reducible polynomial leads to something that is not a field. Assuming Theorem 25.2, because $\mathbb{Z}[i]$ is a Euclidian domain (and PID), we have $p = x \cdot y$ for some $x, y \in \mathbb{Z}[i]$ non-units by Lemma 25.2. Note that we can't have $x, y \in \mathbb{Z}$. Assume that the complex conjugate $\overline{x} \neq x$, also $|\overline{x}| \neq 1$ since if this were true, this implies that for $x = a + bi, a^2 + b^2 = 1$, which implies $a = \pm 1, b = 0$ or $a = 0, b = \pm 1$, which implies $x \in \{1, i, -1, = i\} \subseteq \mathbb{Z}[i]^\times$. So $|x|^2 = x \cdot \overline{x} \in \mathbb{Z}$, and $p^2 = |p|^2 = |x|^2 \cdot |y|^2$. We have $|x|^2, |y|^2 \in \mathbb{Z} > 1$, which implies $|x|^2 = |y|^2 = p$. So if $x = \alpha + \beta i$, then $|x|^2 = \alpha^2 + \beta^2 = p$, and we are done. ⊠

Remark 25.1. If $|x|^2 = p$, this implies x is irreducible, since $x = x_1 x_2, |x_i|^2 = 1$ for some $i = 1, 2$, which subsequently implies that $x_i \in \mathbb{Z}[i]^\times$.

Now to prove Theorem 25.2. We'll do this next time.

26   October 27, 2021

27   October 29, 2021

Today we'll talk about modules, the goal being the classification of modules over a PID. The motivation is the following: no one gets excited over the definition of a module. Modules are organizational tools, and serve many purposes:

(1) Many interesting problems can be phrased in terms of modules. Module theory leads to tools (induction, extensions, homological algebra, ...).

(2) Properties of modules in the aggregate tell you interesting things about rings.

The setup: let A be a ring, possibly non-commutative.

Definition 27.1. A (left) **A-module** is the data (M, act) where $M = (M, +)$ is an abelian group, $\text{act}: A \times M \to M, a, m \mapsto a \cdot m$ such that

- $a \cdot (m_1 + m_2) = a \cdot m_1 + a \cdot m_2$ for every $a \in A, m_1, m_2 \in M$,
- $a \cdot (b \cdot m) = (a \cdot b) \cdot m$ for every $a, b \in A, m \in M$,
- $(a + b) \cdot m = a \cdot m + b \cdot m$ for every $a, b \in A, m \in M$,
- $1 \cdot m = m$ for every $m \in M$.

A **right A-module** is one with a map $M \times A \to M, (m, a) \mapsto ma$ such that analogous stuff happens.

Define $A^{\text{op}} := A$ as an abelian group, with multiplication $a \overset{\text{op}}{\cdot} b := ba$. This is a perfectly good procedure, so left A^{op}-modules are equivalent to right A-modules. In particular, left and right A-modules are the same when A is commutative. We think of groups acting on sets (symmetries), while we think of rings acting on modules.

Example 27.1. Some examples of modules:

(1) Let $M = A$ with $\text{act}(a, b) := a \cdot b$, this is an A-module.

(2) For $I \subseteq A$ a (left) ideal (closed under addition and multiplication on the left), then I is a submodule of A. Conversely, a submodule of A is a left ideal.

(3) A $\mathbb{Z}$-module is an abelian group. Specifically, for M a $\mathbb{Z}$-module, then M is an abelian group, and the action must be $(n, m) \to \underbrace{m + \cdots + m}_{n \text{ times}}$ or $(n, m) \to = \underbrace{m - \cdots - m}_{n \text{ times}}$.

(4) If $A = k$ is a field, then a k-module is precisely a vector space over k.

Recall that G acting on X is the same as a map $G \to \text{Aut}(X)$, which is sometimes a convenient perspective. Analogously, for M an abelian group, define $\text{End}_{\text{gps}}(M) := \{\varphi : M \to M \mid \varphi \text{ is a homomorphism}\}$. Then $\text{End}(M)$ is naturally a ring, where for $\varphi_1, \varphi_2 \in \text{End}(M), (\varphi_1 + \varphi_2)(m) := \varphi_1(m) + \varphi_1(m)$. For $(\varphi_1 + \varphi_2)$ to be an endomorphism, we need M to be abelian. Furthermore, $\varphi_1 \cdot \varphi_2 := \varphi_1 \circ \varphi_2$, i.e., $(\varphi_1 \cdot \varphi_2)(m) := \varphi_1(\varphi_2(m))$. In this case, $1 \in \text{End}(M)$ is equal to id_M. Then unwinding things, an A-module structure on M is equivalent to a ring homomorphism $A \to \text{End}(M), a \mapsto \varphi_a$, where $\varphi_a(m) = a \cdot m$ (recall we are considering group endomorphisms, which are universal like the automorphism group).

Briefly, a **homomorphism** of A-modules is a map $f : M \to N$ such that f is a homomorphism of abelian groups and $f(a \cdot m) = a \cdot f(m)$ for every $a \in A, m \in M$. We use the same language as groups, etc a submodule is closed under stuff, an automorphism of modules is a bijective homomorphism of modules, etc.

Fix k a field, and let $A = k[t]$.

Question. *What are $k[t]$-modules?*

Answer. Let V be a $k[t]$-module. Then V is a k-module via the homomorphism $k \to k[t]$, also $t \in k[t]$ determines a map $T := (t \cdot -) : V \to V$ (the action of t). Note that T is a linear transformation (AKA k-module endomorphism). For example, $T(\lambda v) := (t \cdot \lambda \cdot v) = (\lambda t) \cdot v = \lambda \cdot (t \cdot v) = \lambda T(v)$ for every $\lambda \in k, v \in V$. Conversely, given V/k a vector space and $T : V \to V$ a linear transformation, for $f(t) = \sum a_i t^i \in k[t], f \cdot v := \sum a_i T^i(v) \in V$ defines a $k[t]$-module structure.

Slogan. $k[t]$-modules are vector spaces with an endomorphism.

Our goal is going to be classification of modules over PIDs. We have two great PIDs, the integers and $k[t]$. One corresponds to finite groups, and the other is the classification of finite dimensional vector spaces with endomorphism. This is the idea of Jordan canonical forms, giving a relationship between vector spaces with endomorphisms and ideals inside the polynomial algebra. They seem unrelated, but the connection between the two is module theory.

28   November 5, 2021

Last time: let A be a ring and M be an A-module. We defined a set $\{m_i\}_{i \in I} \subseteq M$ to be **generators** of M if $A^{\oplus I} \to M$ is surjective. The set $\{v_j\}_{j \in J} \subseteq A^{\oplus I}$ $(r_j = \sum_{\text{finite}} a_{ij} e_i$ sometimes expressed) is a set of **relations** for the generators $\{m_i\}$ if $r_j \in \ker(A^{\oplus I} \to M)$ (or $\sum a_{ij} m_i = 0$ for every j) and $\{r_j\}$ generates $\ker(A^{\oplus I} \to M)$.

Example 28.1. $\mathbb{Z}/2$ has generator $1 = m$, and relations $2 \cdot m_1 = 0$.

Remark 28.1. Given generators and relations for M, we obtain a "mapping out" universal property for M. Namely, giving a map $M \xrightarrow{f} N$ is equivalent to elements $n_i \in N$ for $i \in I$ such that $\sum a_{ij} n_i = 0$ for every $j \in J$.

Proof. $M = \operatorname{coker}(A^{\oplus J} \to A^{\oplus I})$.   ⊠

Definition 28.1. An A-module is **finitely generated** if there exists if there exists a finite set of generators for M. This is equivalent to the existence of an $A^{\oplus n} := A^{\oplus\{1,\cdots,n\}} \to M$ surjective.

Example 28.2. Let $A = \mathbb{Z}$.

(1) $\mathbb{Z}/n$ is finitely generated by 1 (or every element).

(2) $\mathbb{Z}$ is generated by 1.

(3) The direct sum $\bigoplus_A \mathbb{Z}$ for A countably infinite is not finitely generated.

(4) $\mathbb{Q}$ is not finitely generated, since any finite subset of $\mathbb{Q}$ is contained in $\frac{1}{n}\mathbb{Z}$ for some n.

  Some takeaways:

(1) Any quotient of a finitely generated module is finitely generated.

(2) If we have submodules $M_0 \subsetneq M_1 \subsetneq M_2 \subsetneq \cdots \subsetneq M$ with $M = \bigcup_{i=0}^{\infty} M_i$, then M is not finitely generated.

The idea behind (2) is why (3) and (4) is not finitely generated. Inside $\bigoplus \mathbb{Z}$, consider $\mathbb{Z} \subsetneq \mathbb{Z} \oplus \mathbb{Z} \subsetneq \cdots$ and in $\mathbb{Q}$ we can do a bunch of things. The reason why (2) is true is because each generator lies in one of the M_i's, and the maximal one won't generate M_{i+1}.

Theorem 28.1. *Let A be a PID. Then every finitely generated A-module M is isomorphic to $\bigoplus_{i=1}^{n} A/f_i$ for some $f_i \in A$.*

Theorem 28.2. *If M is finitely generated over some PID A, then there exist a unique $n \geq 0$, $m_1, \cdots, m_r > 0$, $\pi_1, \cdots, \pi_r \in A$ irreducible such that $M \simeq \bigoplus_{i=1}^{r} A/\pi_i^{m_i} \oplus A^{\oplus n}$.*

Corollary 28.1. *Let $A = \mathbb{Z}$. Then every finitely generated abelian group is isomorphic to $\bigoplus_{i=1}^{r} \mathbb{Z}/p_i^{m_i} \oplus \mathbb{Z}^{\oplus n}$ for some primes $p_1, \cdots, p_r$ and $n \geq 0, m_1, \cdots, m_r > 0$. In particular, every finite abelian group is isomorphic to $\bigoplus_{i=1}^{r} \mathbb{Z}/p_i^{m_i}$.*

  Let k be an algebraically closed field (every $\deg > 0$ polynomial $f \in k[t]$ has a root in k), e.g $k = \mathbb{C}$ (fundamental theorem of algebra). Then take $A = k[t]$; every irreducible element in A is of the form (non-zero) $\cdot (t - \lambda)$ for some $\lambda \in k$. Let V be a $k[t]$-module that is finite dimensional as a k-vector space ("torsion case"), $T : V \to V$. By the theorem, there exist $\lambda_i \in K, m_i > 0$ for $i = 1, \cdots, r$ such that $V \simeq k[t]/(t - \lambda)^{m_1 \oplus \cdots \oplus k[t]}/(t - \lambda_r)^{m_r}$ as a $k[t]$-module.

Example 28.3. Let $\lambda = 0$, then $k[t]/t^m$ has a basis $1, t, \cdots, {}^{m=1}$, $1 \mapsto t, t \mapsto t^2, \cdots, t^{m-2} \mapsto t^{m-1}, t^{m-1} \mapsto 0$. In matrix form,

$$\begin{pmatrix} 0 & 0 & \cdots & 0 & 0 \\ 1 & 0 & \cdots & 0 & 0 \\ 0 & 1 & \cdots & 0 & 0 \\ 0 & 0 & \ddots & 0 & 0 \\ 0 & 0 & \cdots & 1 & 0 \end{pmatrix}$$

For a general λ, take the basis $k[t]/(t-\lambda)^m, 1, (t-\lambda), \cdots, (t-\lambda)^{m-1}, T(1) = t = \lambda \cdot t \cdot (t - \lambda)$. Then the matrix looks like this:

$$\begin{pmatrix} \lambda & 0 & \cdots & 0 & 0 \\ 1 & \lambda & \cdots & 0 & 0 \\ 0 & 1 & \ddots & 0 & 0 \\ 0 & 0 & \ddots & \lambda & 0 \\ 0 & 0 & \cdots & 1 & \lambda \end{pmatrix}$$

This is called the **Jordan block**, and a corollary of this is the **Jordan canonical form**.

29   November 8, 2021

Last time, we formulated a classification theorem for finitely generated modules over PIDs. We saw the "torsion case" (not defined), and for $A = \mathbb{Z}$ we got the classification for finite abelian groups, and for $A = k[t], k = \bar{k}$, we got the Jordan form for matrices.

Definition 29.1. A **simple** (or **irreducible**) A-module is an A-module M with exactly two submodules.

Note that the two submodules must be 0 and M; in particular, $M \neq 0$.

Lemma 29.1. *Any simple A-module M is isomorphic to $A/\mathfrak{m}$ where $\mathfrak{m} \subsetneq A$ is a maximal (left) ideal.*

Proof. Choose $m \neq 0$ in M. Then $A \xrightarrow{f} M, 1 \mapsto m$. For $0 \neq m \in \text{im}(f) \subseteq F$ a submodule, by simplicity $\text{im}(f) = M$ iff M is surjective. So $M \simeq A \ker(f)$. We want to show that $\ker(f)$ is a maximal (left) ideal. Suppose $\ker(f) \subsetneq I \subseteq A$. This implies that $I/\ker(f) \subseteq A/\ker(f) = M$. Then since $I/\ker(f) = A/\ker(f) = M$, so $I = A$. ⊠

Example 29.1. Some examples:

(1) If A is a PID, we saw that every maximal ideal in A is $(f) := \alpha f$ for f irreducible. So sijmple A-modules are A/f for f irreducible.

(2) If $A = \mathbb{Z}$, simple $\mathbb{Z}$-modules (abelian groups) is $\mathbb{Z}/p$ for some prime p.

Definition 29.2. An A-module M is of **finite length** if M has a sequence of submodules $0 = M_0 \subseteq M_1 \subseteq \cdots \subseteq M_n = M$, such that M_{i+1}/M_i is simple for all i.

In general, finite length modules are special cases of finitely generated modules. For example, $\mathbb{Z}$ is not of finite length as an abelian group; finite length for abelian group is equivalent to being finite.

Definition 29.3. An A-module M is **torsion** if for every $m \in M$, there exists an $0 \neq f \in A$ such that $f \cdot m = 0$.

Example 29.2. For A commutative, A/f for $f \neq 0$ is torsion.

Proposition 29.1. *If A is a PID and M is an A-module, then M is finite length iff M is finitely generated and torsion.*

Lemma 29.2. *For arbitrary A, M of finite length over A, then M is finitely generated.*

Proof. Choose $0 \subseteq M_0 \subseteq M_1 \subseteq \cdots \subseteq M_n$ as in the definition of finite length. We proceed by induction on n. If $n = 0$, we are done. Assume for $n-1$ that there exists $m_1, \cdots, m_r \in M_{n-1}$ generating M_{n-1}. Choose any element $m_{r+1} \in M$ and not in M_{n-1}.

Claim. *The $m_1, \cdots, m_{r+1}$ generate M.*

Proof of claim. Let $N \subseteq M$ be the submodule generated by $m_1, \cdots, m_{r+1}$. Then $M_{n-1} \subseteq N \subseteq M$, $o \neq N/M_{n-1} \subseteq M/M_{n-1}$. So $N/M_{n-1} = M/M_{n-1}$. ⊠

Lemma 29.3. *For A an integral domain but not a field, suppose M is finite length over A. Then M is torsion.*

Proof of Lemma 29.3. If $M \simeq A/m$, by hypothesis on A, $m \neq 0$. Choose $0 \neq f \in M$, then f acts by zero on A/m which implies torsion. Like before, by induction we have $M_{n-1} \subseteq M$ and $0 \neq f_0 \in A$ such that $f_0 M_{n-1} = 0$ and M/M_{n+1} is simple. We can choose $0 \neq f_1 \in A$ such that $f_1 \cdot (M/M_{n-1}) = 0$ (what we just showed). In other words, $f_1 \cdot M \subseteq M_{n-1}$. ⊠

⊠

30   November 11, 2021

Last time, we talked about Proposition 29.1. Recall that M is *torsion* if for every $m \in M$, there exists an $f \neq 0$ in A such that $fm = 0$. We want to show that if M is finitely generated and torsion, then M is of finite length.

A special case is where $M = A/I$ for some ideal $I \subseteq A$, $I = (f)$ for $f \in A$. Note that $f \neq 0$ because M is torsion. Write $f = g_1 \cdots g_r$ where $g_i \in A$ is irreducible. Proceed by induction on r. If $r = 1$, then f is irreducible and (f) is maximal. Last time, we showed this is equivalent to A/f being simple, so it is of finite length. Otherwise, suppose $r > 1$. Then we can construct a short exact sequence

$$0 \longrightarrow A/g_1 \cdots g_{r-1} \longrightarrow A/f = A/g_1 \cdots g_r \xrightarrow{\text{projection}} A/g_r \longrightarrow 0$$

where $1 \mapsto g_r$, $(f) = (g_1 \cdots g_r) \subseteq (g_r)$. Explaining this short exact sequence, giving a map of A-modules $A/Ah \to M$ is equivalent to $m \in M$, $h \cdot m = 0$ (m is defined as the image of 1). In our case, $M = A/f, h = g_1 \cdots g_{r-1}$, and $m \in A/f$ is $g - r$, which implies the first map exists.

Now to back up the claim that this is indeed a short exact sequence.

(a) *β is surjective*: True.

(b) *α is injective*: Given $x \in A$ such that $x \cdot g_r = 0 \pmod{f}$, this implies $g_1 \cdots g_{r-1}$ divides x, because g_r is irreducible.

   Proof. $x g_r = f \cdot y = g_1 \cdots g_r y \implies \frac{x}{g_1 \cdots g_{r-1}} = y$.   ⊠

(c) $\ker \beta = \operatorname{im} \alpha$: $\ker(\beta) = (g_r)/(g_1 \cdots g_r)$ is generated by g_r, which is exactly the image of α.

In the general case, here is a useful general trick for dealing with finitely generated modules. We proceed by induction on the generators; suppose M is generated by $m_1, \cdots, m_n \in M$. Take $m_0 \in M$ to be the submodule generated by $m_1, \cdots, m_{n-1}$. Then we have the short exact sequence

$$0 \to M_0 \to M \to M/M_0 \to 0$$

and since M/M_0 is generated by m_n, we have $M/M_0 \simeq A/I$ for some I.

For us, we have M is finitely generated and torsion over a PID. Then

$$0 \to M_0 \to M \to A/I \to 0$$

as just described, where M_0 is generated by $n-1$ elements and torsion, by induction we have M_0 of finite length. Similarly, A/I is also torsion. By last time, we have A/f simple, which implies it is of finite length.

Our present goal is to classify finitely generated torsion modules over a PID.

Example 30.1. Some examples:

(1) For $A = \mathbb{Z}$, finitely generated and torsion just means finite abelian groups.
(2) For $A = \bar{k}[t]$, we have V finite dimensional over k with $T : V \to V$.

Definition 30.1. An A-module M is **indecomposable** if $M \neq 0$ and for any pair of A-modules M_1, M_2 with an isomorphism $M_1 \oplus M_2 \simeq M$, either $M_1 = 0$ or $M_2 = 0$.

Last time we talked about simple modules, which have no interesting submodules (the module incarnation of a prime number). Indecomposable modules is a different notion which somewhat resembles the notion of a prime number.

Example 30.2. Let $A = \mathbb{Z}$.

(1) $\mathbb{Z}/p$ is indecomposable. In general, simple implies indecomposable.

(2) $\mathbb{Z}/6 \simeq \mathbb{Z}/2 \oplus \mathbb{Z}/3$ (remainder theorem), so $\mathbb{Z}/6$ is not indecomposable.

(3) $\mathbb{Z}/4$ is indecomposable but not simple. In general, $\mathbb{Z}/p^n$ is indecomposable, and finitely generated indecomposable abelian groups will have this form.

Lemma 30.1. *Let M be a finite length A-module. Then M is isomorphic to a direct sum $M \simeq M_1 \oplus \cdots \oplus M_r$, where M_i is indecomposable.*

Proof. If M is indecomposable, then we are done. Otherwise, $M \simeq M' \oplus M''$ for M', M'' non-trivial submodules. Keep going, we have to stop eventually since M is of finite decreasing length. $\boxtimes$

The summary is that now we need to classify indecomposable torsion modules.
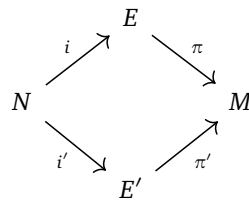
31 Extensions (Lec 32)

We know that finitely generated torsion modules over a PID are finite length ("built" from simple ones), where simple modules are of the form $A/\mathfrak{m} = A/f$, f irreducible in A (the PID case). Every finite length module is a sum of indecomposable modules.

Today, we talk about the extension problem.

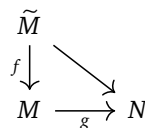**Question.** Given modules M and N, what modules arise as extensions of M by N?

Definition 31.1. An **extension** of M by N is the data (E, i, π) where E is an A-module, and $0 \to N \xrightarrow{i} E \xrightarrow{\pi} M \to 0$ is a short exact sequence. An **isomorphism** of extensions $(E, i, \pi) \simeq (E', i', \pi')$ of M by N is an isomorphism $E \simeq E'$ of A-modules such that the following diagram commutes:

$$
\begin{array}{ccc}
 & E & \\
{\scriptstyle i}\nearrow & & \searrow{\scriptstyle \pi} \\
N & & M \\
{\scriptstyle i'}\searrow & & \nearrow{\scriptstyle \pi'} \\
 & E' &
\end{array}
$$

Then define $\operatorname{Ext}_A(M, N) = \operatorname{Ext}_A^1(M, N)$ as the set $\{\text{extensions of } M \text{ by } N\}/\text{isomorphisms of extensions}$.

Remark 31.1. Yoga: $\operatorname{Ext}_A^1(M, N)$ behaves like $\operatorname{Hom}_A(M, N)$. There is a generalization in homological algebra called $\operatorname{Ext}_A^i(M, N)$ for all $i \geq 0$ such that $\operatorname{Ext}_A^0(M, N) = \operatorname{Hom}_A(M, N)$. For A a PID, it turns out that $\operatorname{Ext}_A^i(M, N) = 0$ for $i \geq 2$. That's why we don't need higher Ext's for our problem.

Developing the yoga: Given a map $\widetilde{M} \xrightarrow{f} M$, we get a map $\operatorname{Hom}_A(M, N) \to \operatorname{Hom}_A(\widetilde{M}, N)$, where $g \mapsto g \circ f$.

$$
\begin{array}{c}
\widetilde{M} \\
{\scriptstyle f}\downarrow \quad \searrow \\
M \xrightarrow{\; g \;} N
\end{array}
$$

Similarly for extensions, given a short exact sequence $0 \to N \to E \xrightarrow{\pi} M \to 0$ with $f : \widetilde{M} \to M$, we have

$$
\begin{array}{ccccccccc}
0 & \longrightarrow & N & \xrightarrow{n \mapsto (0, i(n))} & E_i^\flat := \{(m \in \widetilde{M}, x \in E) \mid \pi(x) = f(m)\} & \longrightarrow & \widetilde{M} & \longrightarrow & 0 \\
 & & \downarrow{\scriptstyle \mathrm{id}} & & \downarrow{\scriptstyle f} & & & & \in \operatorname{Ext}_A^1(\widetilde{M}, N) \\
0 & \longrightarrow & N & \longrightarrow & E & \longrightarrow & M & \longrightarrow & 0
\end{array}
$$

$\widetilde{E}$ is called the **pullback extension**. Next is the pushout extension, which is formally dual to what we just did given $N \to \widetilde{N}$. We expect a map $\text{Ext}^1(M,N) \to \text{Ext}^1(M,\widetilde{N})$. Given $0 \to N \to E \to M \to 0$, define

$$E_i^\sharp := (E \oplus \widetilde{N})/(N = \{(i(n),-g(n))\}) = \text{coker}(N \xrightarrow{(i,-g)} E \oplus \widetilde{N}).$$

Then the following diagram commutes by construction:

$$
\begin{array}{ccccccccc}
0 & \longrightarrow & N & \longrightarrow & E & \longrightarrow & M & \longrightarrow & 0 \\
& & \downarrow & & \downarrow & & \downarrow{\scriptstyle \text{id}} & & \\
0 & \longrightarrow & \widetilde{N} & \xrightarrow{\text{obvious}} & E^\sharp & \longrightarrow & M & \longrightarrow & 0
\end{array}
$$

An application is the **Baer sum**. Observe that $\text{Hom}_A(M,N)$ is an abelian group, $f,g \in \text{Hom}(M,N)$, $(f+g)(m) := f(m) + g(m)$. This is similar for extensions. First, there is a funny way to see the addition on Hom:

$$\text{Hom}(M,N) \times \text{Hom}(M,N) \xrightarrow{(f,g) \mapsto f \oplus g} \text{Hom}(M \oplus M, N \oplus N).$$

There exists maps $M \xrightarrow{m \mapsto (m,m)} M \times M \simeq M \oplus M$, $N \oplus N \xrightarrow{(n_1,n_2) \mapsto n_1+n_2} N$. This whole relationship is showin in the following diagram:

$$
\begin{array}{ccc}
& M & \\
\downarrow & {\scriptstyle f+g} \searrow & \\
M \oplus M & \xrightarrow{f \oplus g} & N \oplus N \\
& \searrow & \downarrow \\
& & N
\end{array}
$$

The Baer sum is defined in the same way.

$$
\begin{array}{ccc}
\text{Ext}^1(M,N) \times \text{Ext}^1(M,N) & \xrightarrow{E,E' \mapsto E \oplus E'} & \text{Ext}^1(M \oplus M, N \oplus N) \\
& & \downarrow \\
& & \text{Ext}^1(M,N \oplus N) \longrightarrow \text{Ext}^1(M,N)
\end{array}
$$

The **split extension** of M by N is $M \oplus N$ with the obvious i and π. It is easy to check that this is the unit for the Baer sum: $(M \oplus N) \underset{\text{Baer}}{+} (E,i,\pi) = (E,i,\pi)$. The reason is because split extensions are preserved under pullback and pushouts. One last thing: given $E = (E,i,\pi) \in \text{Ext}^1(M,N)$, define $(-E) := (E,i,-\pi)$, i.e. the extension $0 \to N \xrightarrow{i} E \xrightarrow{-\pi} M \to 0$. One can check that this is an inverse with respect to the Baer sum.

32 More on extensions (Lec 33)

Last time, we introduced $\text{Ext}_A^1(M,N) := \{0 \to N \to E \to M \to 0\}/\simeq$. We showed that given $N \to N'$, $M' \to M$, we have a map $\text{Ext}^1(M,N) \to \text{Ext}^1(M',N')$ (like $\text{Hom}(M,N) \to \text{Hom}(M',N')$). We deduced that Ext^1 is an abelian group with unit $0 \to N \to M \oplus N \to M \to 0$.

Definition 32.1. A sequence $M_1 \xrightarrow{f} M_2 \xrightarrow{g} M_3$ of abelian groups (or A-modules, ...) is **exact** if $\ker g = \text{im} f$. A sequence $M_1 \xrightarrow{f_1} M_2 \xrightarrow{f_2} \cdots \xrightarrow{f_n} M_{n+1}$ is an **exact sequence** (or is **exact**) if each segement $M_i \to M_{i+1} \to M_{i+2}$ is exact.

Example 32.1. $0 \to N \to E \to M \to 0$ is a short exact sequence iff it is exact.

Setup. Let $0 \to M_1 \to M_2 \to M_3 \to 0$ be an exact sequence, and N be all A-modules.

Consider the sequence $0 \to \mathrm{Hom}(M_3, N) \to \mathrm{Hom}(M_2, N) \to \mathrm{Hom}(M_1, N)$. Then $(\varphi \in \mathrm{Hom}(M_3, N)) \mapsto 0$;

$$M_2 \xrightarrow{\hspace{1cm}} M_3$$
$$\searrow^{0} \quad \downarrow$$
$$N$$

Since $M_3 = M_2/M_1$, suppose $\psi \in \mathrm{Hom}(M_2, N)$. Is there a $\varphi \to \psi$?

$$M_2 \xrightarrow{\hspace{1cm}} M_3 = M_2/M_1$$
$$\psi \searrow \quad \downarrow \exists \varphi?$$
$$N$$

There exists such a φ iff $\psi(M_1) = 0$. We have $\psi \in \ker(\mathrm{Hom}(M_2, N)$?? Notes very unclear.

Proposition 32.1. *The sequence* $0 \to \mathrm{Hom}(M_3, N) \to \mathrm{Hom}(M_2, N) \to \mathrm{Hom}(M_1, N)$ *is exact.*

Remark 32.1. This is a restatement of the universal property of quotient modules, but in a different notation.

Given $f : M_1 \to N$ (or $f \in \mathrm{Hom}(M_1, N)$),

$$
\begin{array}{ccccccccc}
0 & \longrightarrow & M_1 & \xrightarrow{i} & M_2 & \longrightarrow & M_3 & \longrightarrow & 0 \\
& & \downarrow{f} & & \downarrow & & \downarrow{\mathrm{id}} & & \\
0 & \longrightarrow & N & \longrightarrow & \text{pushout} & \longrightarrow & M_3 & \longrightarrow & 0
\end{array}
$$

Recall that the pushout is defined as $\widetilde{M_2} := M_2 \oplus N / \{((i(m_1), -f(m_1))\}_{m_1 \in M_1}$ given $\mathrm{Ext}^1(M_3, M_1) \to \mathrm{Ext}^1(M_3, N)$. We claim that the data of a map $\varphi : M_2 \to N$ extending f (or $\varphi|_{M_1} = f$) is equivalent to the data of a splitting of $0 \to N \to \widetilde{M_2} \to M_3 \to 0$.

Proof. A splitting of $0 \to N \xrightarrow{\tilde{i}} \widetilde{M_2} \to M_3 \to 0$ is equivalent to a map $\widetilde{M_2} \xrightarrow{\sigma} N$ such that $\sigma \tilde{i} = \mathrm{id}_N$. Then $\widetilde{M_2} = M_2 \oplus N/M_1$. $\boxtimes$

The upshot is that we have a sequence

$$\mathrm{Hom}(M_3, N) \longrightarrow \mathrm{Hom}(M_2, N) \longrightarrow \mathrm{Hom}(M_1, N) \rightharpoondown$$
$$\hookrightarrow \mathrm{Ext}^1(M_3, N)$$

More is true: we have an exact sequence

$$\mathrm{Hom}(M_3, N) \longrightarrow \mathrm{Hom}(M_2, N) \longrightarrow \mathrm{Hom}(M_1, N) \rightharpoondown$$
$$\hookrightarrow \mathrm{Ext}^1(M_3, N) \longrightarrow \mathrm{Ext}^1(M_2, N) \longrightarrow \mathrm{Ext}^1(M_1, N)$$

Homework is to show the last part is exact.

33   **Calculating** Ext **(Lec 34)**

Recall from last time that for $0 \to M_1 \to M_2 \to M_3 \to 0$ a short exact sequence of A-modules and N an A-module, then the sequence

$$0 \to \mathrm{Hom}(M_3, N) \to \mathrm{Hom}(M_2, N) \to \mathrm{Hom}(M_1, N) \to \mathrm{Ext}^1(M_3, N) \to \mathrm{Ext}^1(M_2, N) \to \mathrm{Ext}^1(M_1, N)$$

is exact.

Lemma 33.1. *For every A-module N,* $\mathrm{Ext}_A^1(A,N) = 0$.

Proof. Given an extension

$$0 \to N \xrightarrow{i} E \xrightarrow{\pi} A \to 0,$$

choose $x \in E$ where $\pi(x) = 1 \in A$. Then there exists a unique $\sigma \colon A \to E$, $\sigma(1) = x$, $\pi\sigma = \mathrm{id}$. This implies the sequence splits.   ⊠

Remark 33.1. An *A*-module *P* is **projective** if $\mathrm{Ext}_A^1(P,N) = 0$ for every *N* an *A*-module. So *A* is projective. More generally: any free *A*-module is projective.

The setup is as follows to calculate Ext^1. Let *A* be a commutative ring, $f \in A$ be a non-zero divisor; if $f g = 0$, then $g = 0$. This is equivalent to $A \xrightarrow{f \cdot -} A$ being injective.

$$
\begin{array}{ccccc}
\mathrm{Hom}(A/f,N) & \longrightarrow & \underset{=N}{\mathrm{Hom}(A,N)} & \xrightarrow{f \cdot -} & \underset{=N}{\mathrm{Hom}(A,N)} \\
\\
\hookrightarrow \mathrm{Ext}^1(A/f,N) & \longrightarrow & \underset{0}{\underbrace{\mathrm{Ext}^1(A,N)}} & \longrightarrow & \mathrm{Ext}^1(A,N)
\end{array}
$$

Proposition 33.1. *Let* $f \in A$ *be a non-zero divisor. Then for any A-module N, there exists a canonical isomorphism* $\mathrm{Ext}_A^1(A/f,N) \simeq N/f$.

Proof. We have

$$0 \to \underset{=M_1}{A} \xrightarrow{f \cdot -} \underset{=M_2}{A} \to \underset{=M_3}{(A/f)} \to 0.$$

By the diagram above, we have an exact sequence $N \xrightarrow{f \cdot -} N \to \mathrm{Ext}_A^1(A/f,N) \to 0$.   ⊠

We give a general strategy for calculating $\mathrm{Ext}^1(M,N)$; choose generators for *M*.

$$0 \to R := \ker \to A^{\oplus I} \to M \to 0$$

Then we have an exact sequence

$$N^I = \mathrm{Hom}_A(A^{\oplus I},N) \to \mathrm{Hom}_A(R,N) \to \mathrm{Ext}_A^1(M,N) \to 0 = \mathrm{Ext}_A^1(A^{\oplus I},N).$$

A sample application. Take *A* a PID and $f \in A$ an irreducible element.

Proposition 33.2. *Every extension of* A/f *by* A/f^n *is isomorphic as an A-module to either* A/f^{n+1} *or* $A/f \oplus A f^r$.

Lemma 33.2. *Suppose* $M \simeq M'$ *and an extension* $0 \to N \to E \to M \to 0 \in \mathrm{Ext}_A^1(M,N)$*corresponds to* $0 \to N \to E' \to M' \to)$ *in* $\mathrm{Ext}_A^1(M',N)$*. Then E and E' are isomorphic.*

Corollary 33.1. *The action of the group* $\mathrm{Aut}_A(M) \times \mathrm{Aut}_A(N)$ *acting on* $\mathrm{Ext}_A^1(M,N)$ *preserves isomorphism classes of underlying A-modules of an extension.*

Proof of Proposition 33.2. By an earlier proposition, $(A/f)\,0 = (A/f)^\times = \mathrm{Aut}(A/f)$ for *f* maximal acts on $\mathrm{Ext}_A^1(A/f,A/f^n) = (A/f^n) = A/f$. It is easy to check that the action of $(A/f)^\times$ on A/f is multiplication. Then there are exactly two orbits of this action, which implies that there are ≤ 2 isomorphism type for an extension of A/f by A/f^n. But we see two different ones; $0 \to A/f^n \to A/f \to 0$ and $A/f \oplus A/f^n$.   ⊠