ENEE 691 Homework 3.v0

Spring 2023

Please submit your homework as a zip file which should include a pdf file explaining your solutions and your codes/notebook. Due date: 9:00 PM, March 31, 2023.

Introduction

For this homework, you will be working on a differential reflectance dataset. This dataset is taken from one of my earlier papers. Please read it, if you have time. Here's the link for an electronic copy of that paper. Figure—shows a typical reflectance measurement setup. The one on the left measures reflectance from a p-polarized laser, the one on right from an s-polarized laser. ¹ The wavelength of the laser is 400 nm. We place a 0.7 nm thick film on a substrate whose complex refractive index is $\tilde{n} = n + ik$, where the real part n is the refractive index and indicates the phase velocity, while the imaginary part k is called the optical extinction coefficient or absorption coefficient. We work either with SiO_2 -coated silicon substrates or glass substrates. We change the incidence angle (θ_{inc}) from 0 degrees to 60 degrees at a step of 1 degrees and we record the intensity of the reflected light with the help of a photodiode. When we use reflectance from the bare substrate as a reference, then we can get the differential reflectance by simply calculating $(\Gamma - \Gamma_0)/\Gamma_0$, where Γ and Γ_0 are the reflectances from the sample coated substrate and bare substrate.

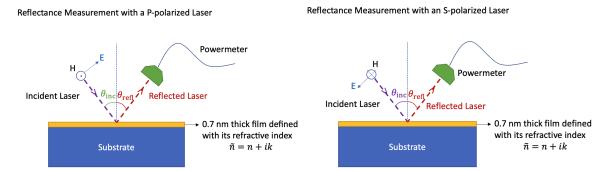


Figure 1: Reflectance measurement setup for (left) p-polarized and (right) s-polarized light.

Please the three datasets (csv) files from https://github.com/simsekergun/ENEE691/tree/main/homework3 or use the cell below to get a copy of them in your jupyter notebook.

```
import numpy as np
import matplotlib.pyplot as plt
import pandas as pd

X_train = pd.read_csv('https://raw.githubusercontent.com/simsekergun/ENEE691/main/homework3/Reflectance_X_train.csv')
Y_train = pd.read_csv('https://raw.githubusercontent.com/simsekergun/ENEE691/main/homework3/Reflectance_Y_train.csv')
X_test = pd.read_csv('https://raw.githubusercontent.com/simsekergun/ENEE691/main/homework3/Reflectance_X_test.csv')
n_train = Y_train.iloc[:,1]
k_train = Y_train.iloc[:,2]
```

"Reflectance_X_train.csv" has 244 columns as follows.

- (a) Columns 1-61: reflectance data as a function of incidence angle (from 0 degrees to 60 degrees) of p-polarized 400 nm laser from a material with a thickness of 0.7 nm placed on top of a $\rm SiO_2/silicon$ substrate,
- (b) Columns 62-122: similar to (a) for s-polarized laser,

 $^{^{1}}$ These letters have a German origin: s = senkrecht = perpendicular, p = parallel. It basically tells whether the electric field is perpendicular or parallel to the plane of incidence.

- (c) Columns 123-183: similar to (a) for a glass substrate,
- (d) Columns 184:244: similar to (c) for s-polarized laser.

Each row corresponds to a unique (n, k)-pair given in "Reflectance_Y_train.csv". As you can see, n changes between 0.1 and 6 and k changes between 0 and 5, both at the steps of 0.1.

Your task is finding the (n, k) values for the reflectance data given in Reflectance_X_test.csv, which is in the same format as the Reflectance_X_train.csv.

TASK-1 (30 points)

Use linear regression and predict the complex refractive index, in other words n and k values for the unknown material. The reflectance from this unknown material is the "Reflectance_X_test.csv". Do not forget to report your training accuracy both for predicting n and k.

TASK-2 (40 points)

Note that we have 60 unique values of n and 51 unique values of k. Hence this problem (finding n and k values) can be done with multinomial logistic regression as well. You need to treat each unique value of n (first, then of k) as a class.

2.1 Build a multinomial logistic regression model to predict the most probable four n-values this unknown material that might have. You will need to use a lot of iterations (e.g., set max_iter = 100000). Create a table something like this

Then use the following formula to get your final prediction for n_f

Table 1: The most probable four n values predicted with the logistic regression and their probabilities.

Guess No.	Prediction n value	Probability
1	n_1	p_1
2	n_2	p_2
3	n_3	p_3
4	n_4	p_4

$$n_f = \frac{\sum_{i=1}^4 n_i p_i}{\sum_{i=1}^4 p_i} \tag{1}$$

Use linear regression and predict the complex refractive index, in other words n and k values for the unknown material. The reflectance from this unknown material is the "Reflectance_X_test.csv".

2.2 Repeat task **2.1** to estimate the k.

2.3 Compare the n and k values you determined at the end of Task 1 and 2.

TASK-3 (40 points)

TASK-3.1 Use SVMs to predict n and k. Since I haven't done this step by myself, I have no clue what kind of kernel we should use. Try different approaches and come up with your best solution.

TASK-3.2 Compare the performances of regression via classification done with logistic regression vs. SVMs. **TASK-3.3** The true (experimentally measured) refractive index of this material is 2.5 + 3.74i. Calculate the error for each solution, i.e.,

Linear Regression Error (real part): ... $\!\%$

Linear Regression Error (imag. part): ...%

Which one is the most accurate?