CSC 583 Homework 1

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1 Problem 1

Consider these documents:

Doc 1 breakthrough drug for schizophrenia

Doc 2 new approach for treatment of schizophrenia

Doc 3 new hopes for schizophrenia patients

Doc4new schizophrenia drug

1. Draw the term-document incidence matrix for this document collection.

	Doc 1	Doc 2	Doc 3	Doc 4
approach	0	1	0	0
breakthrough	1	0	0	0
drug	1	0	0	1
for	1	1	1	0
hopes	0	0	1	0
new	0	1	1	1
of	0	1	0	0
patients	0	0	1	0
schizophrenia	1	1	1	1
treatment	0	1	0	0

2. Draw the inverted index representation for this collection, as in Figure 1.3 in IIR.

approach	$\longrightarrow \boxed{2}$	(1)
breakthrough	$\longrightarrow \boxed{1}$	(2)
drug	$\longrightarrow \boxed{1} \boxed{4}$	(3)
for	$\longrightarrow \boxed{1 \ 2 \ 3}$	(4)
hopes	$\longrightarrow \boxed{3}$	(5)
new	$\longrightarrow 2 3 4$	(6)
of	$\longrightarrow \boxed{2}$	(7)
patients	$\longrightarrow \boxed{3}$	(8)
schizophrenia	$\longrightarrow \boxed{1 \ 2 \ 3 \ 4}$	(9)
treatment	$\longrightarrow \boxed{2}$	(10)

```
3. What are the returned results for these queries:
```

```
(a) schizophrenia AND drug
```

```
1111 \ AND \ 1001 = 1001
```

(b) for AND NOT(drug OR approach) 1.drug or approach:

 $1001 \ OR \ 0100 = 1101$

2.NOT(drug OR approach):

0010

3.for AND NOT(drug OR approach)

 $1110 \ AND \ 0010 = 0010$

2 Problem 2

1. Write out a postings merge algorithm, in the style of Figure 1.6 in IIR, for an x OR y query.

```
\begin{array}{ll} union\left(\left.p1\right.,p2\right.\right) \\ while \ p1 \ != \ NIL \ \ and \ \ p2 \ != \ NIL \end{array}
   do if docID(p1) = docID(p2)
      then ADD(answer, docID(p1))
      p1 \leftarrow next(p1)
   \begin{array}{l} p2 < & \text{next}(p2) \\ \text{else if } \operatorname{docID}(p1) < \operatorname{docID}(p2) \end{array}
      then ADD(answer, docID(p1))
      p1 \leftarrow next(p1)
10 else
      then ADD(answer, docID(p2))
11
12
      p2 \leftarrow next(p2)
13
14 # When only one of the tokens is available in all of the documents:
while p1 != NIL
      ADD(answer, docID(p1))
16
17
      p1 \leftarrow next(p1)
while p2 != NIL
      ADD(answer, docID(p2))
20
      p2 \leftarrow next(p2)
21
return (answer)
```

2. Write out a postings merge algorithm, in the style of Figure 1.6 in IIR, for an x AND NOT y query.

```
1 # p1 AND NOT p2
andnot (p1, p2)
while p1 != NIL and p2 != NIL
_{5} do if docID(p1) = docID(p2)
     p1 \leftarrow \underbrace{\mathsf{next}(p1)}
  p2 \leftarrow next(p2)
else if docID(p1) < docID(p2)
     then ADD(answer, docID(p1))
       p1 \leftarrow next(p1)
10
11 else
12
     p2 \leftarrow next(p2)
13
14 # When p2 is not available in the documents:
while p1 != NIL and p2 = NIL
    ADD(answer, docID(p1))
    p1 <- next(p1)
17
18 return (answer)
```

3 Problem 3

Recommend a query processing order for:

(tangerine OR trees) AND (marmalade OR skies) AND (kaleidoscope OR eyes) given the following postings list sizes are shown in the assignment1.

As mentioned in the book, we will have to get the frequencies for all terms, and then estimate the size of each OR by the sum of the frequencies of its disjuncts. We can then process the query in increasing order of the size of each disjunctive term.

So we will start with the following and continue to the end. The number in front of each term is the sum of the frequencies of its disjuncts.

- 1. kaleidoscope OR eyes = 259,965
- 2. marmalade OR skies =282,449
- 3. tangerine OR trees = 403,821

4 Problem 4

How should the Boolean query x OR NOT y be handled? Why is the naive evaluation of this query normally very expensive? Write out a postings merge algorithm that evaluates this query efficiently.

The naive evaluation is expensive, because you have to seek all the documents twice. First finding all the documents where y is not included and then do the OR query again on all the documents two find the x OR NOT y query. This implementation will have a runtime of 2 * N, where N is the number of docs in the collection. Using the following algorithm, we can reduce the time complexity:

```
1 # p1 OR NOT p2
2 ornot (p1, p2):
з m=1
  while p1 != NIL and p2 != NIL
    p2 < 0 # We start with 0, this will force the algorithm to count all the documents before
        either p1 or p2
     if docID(p2) < docID(p1)
       for i in [docID(p1)-docID(p2)]
9
10
         while docID(p2+i) < docID(next(p2))
           ADD(answer, docID(p2+i))
11
           i++
12
       p2 \leftarrow next(p2)
14
      ADD(answer, docID(p1))
15
       p1 \leftarrow next(p1)
17
18 # When only one of the tokens is available in all of the documents:
  while p1 != NIL
19
    for i in count (docID)
20
      ADD(answer, docID(i))
21
22
  while p2 != NIL
23
     while (docID (m)<docID (p2)
24
      ADD(answer, docID(m))
25
26
27
    p2 \leftarrow next(p2)
28
29 return (answer)
```