



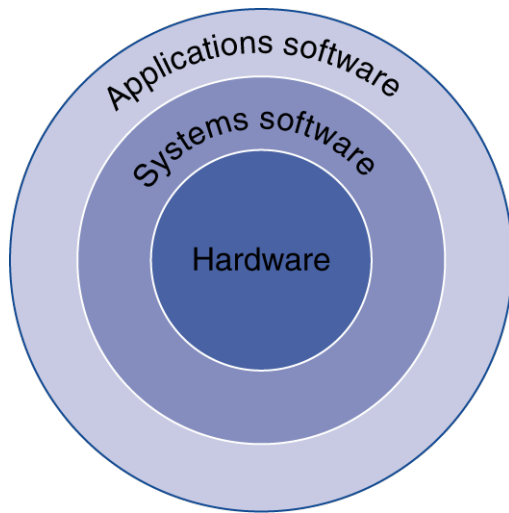
Chapter 1

Computer Abstractions and Technology

Understanding Performance

- Algorithm
 - Determines number of operations executed
- Programming language, compiler, architecture
 - Determine number of machine instructions executed per operation
- Processor and memory system
 - Determine how fast instructions are executed
- I/O system (including OS)
 - Determines how fast I/O operations are executed

Below Your Program



- Application software
 - Written in high-level language
- System software
 - **Compiler**: translates HLL code to machine code
 - **Operating System**: service code
 - Handling input/output
 - Managing memory and storage
 - Scheduling tasks & sharing resources
- Hardware
 - Processor, memory, I/O controllers

Levels of Program Code

- High-level language
 - Level of abstraction closer to problem domain
 - Provides for productivity and portability
- Assembly language
 - Textual representation of instructions
- Hardware representation
 - Binary digits (bits)
 - Encoded instructions and data

Compiler converts the source code written by the programmer (e.g. Java code) to a machine level language (assembly code). Assembler converts the assembly code into the machine code

High-level
language
program
(in C)

```
swap(int v[], int k)
{int temp;
  temp = v[k];
  v[k] = v[k+1];
  v[k+1] = temp;
}
```

Compiler

Assembly
language
program
(for MIPS)

```
swap:
  muli $2, $5, 4
  add  $2, $4, $2
  lw   $15, 0($2)
  lw   $16, 4($2)
  sw   $16, 0($2)
  sw   $15, 4($2)
  jr   $31
```

Assembler

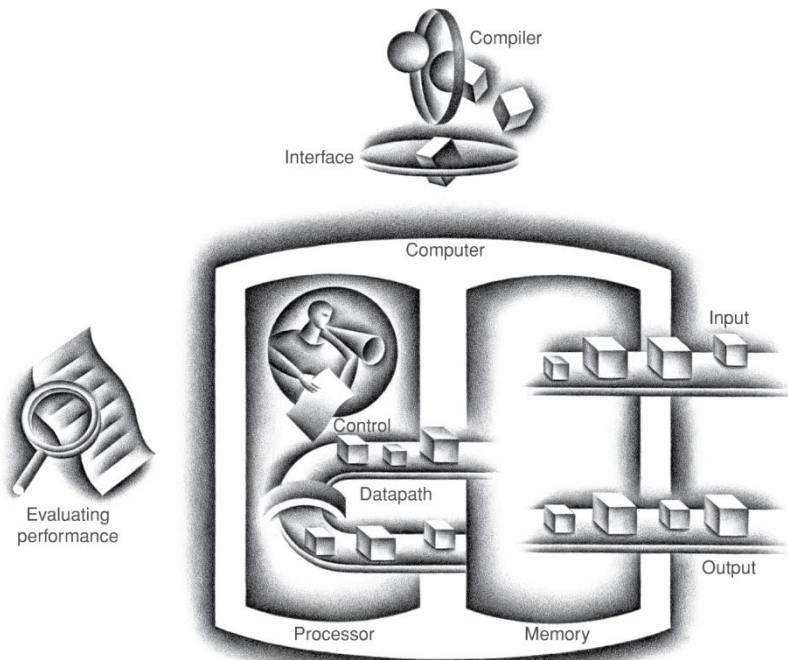
Binary machine
language
program
(for MIPS)

```
000000001010000100000000000011000
000000000000110000001100000100001
100011000110001000000000000000000
100011001111001000000000000000100
101011001111001000000000000000000
101011000110001000000000000000100
00000011111000000000000000001000
```



Components of a Computer

The BIG Picture



- Same components for all kinds of computer
 - Desktop, server, embedded
- Input/output includes
 - User-interface devices
 - Display, keyboard, mouse
 - Storage devices
 - Hard disk, CD/DVD, flash
 - Network adapters
 - For communicating with other computers

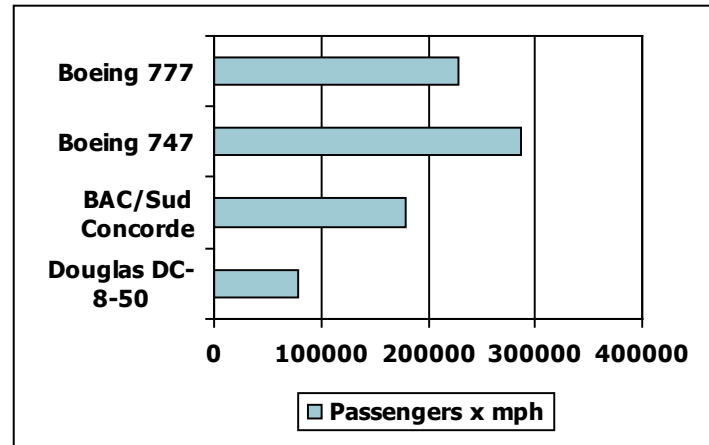
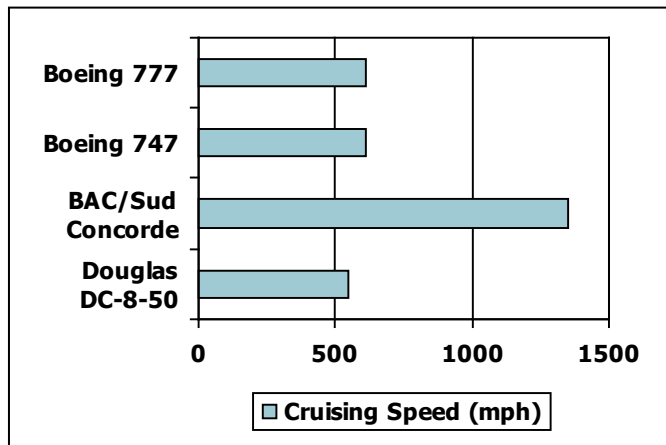
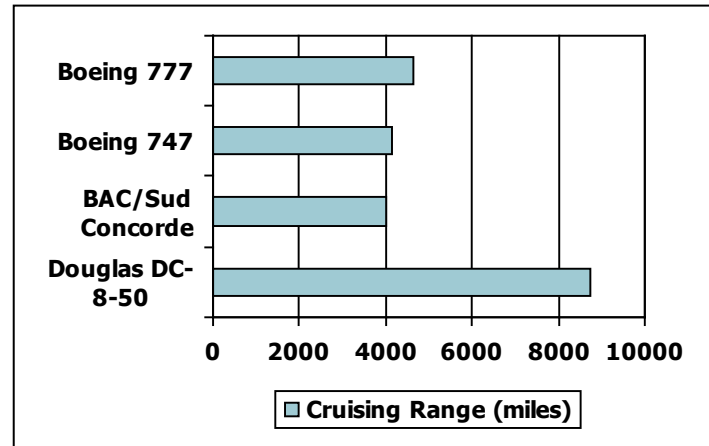
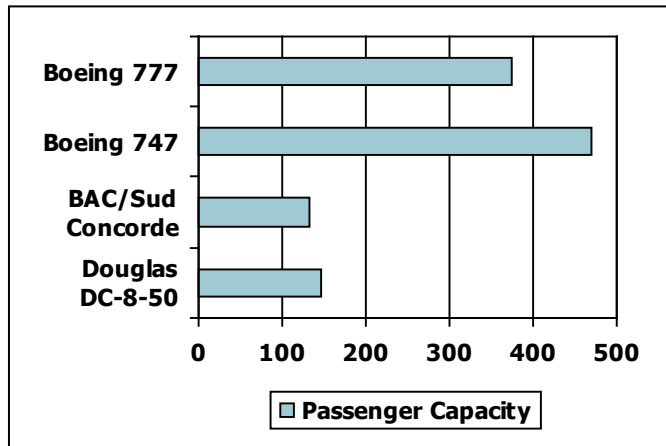
Abstractions

The BIG Picture

- **Abstraction** helps us deal with complexity
 - Hide lower-level detail
- Instruction set architecture (ISA)
 - The hardware/software interface
- Application binary interface
 - The ISA plus system software interface

Defining Performance

- Which airplane has the best performance?



Response Time and Throughput

- Response time
 - How long it takes to do a task
- Throughput
 - Total work done per unit time
 - e.g., tasks/transactions/... per hour
- How are response time and throughput affected by
 - Replacing the processor with a faster version?
 - Adding more processors?
- We'll focus on response time for now...

Relative Performance

- Define Performance = $1/\text{Execution Time}$
- “X is n time faster than Y”

$$\begin{aligned} & \text{Performance}_X / \text{Performance}_Y \\ &= \text{Execution time}_Y / \text{Execution time}_X = n \end{aligned}$$

- Example: time taken to run a program
 - 10s on A, 15s on B
 - $\text{Execution Time}_B / \text{Execution Time}_A$
 $= 15\text{s} / 10\text{s} = 1.5$
 - So A is 1.5 times faster than B

Measuring Execution Time

- **Elapsed time**

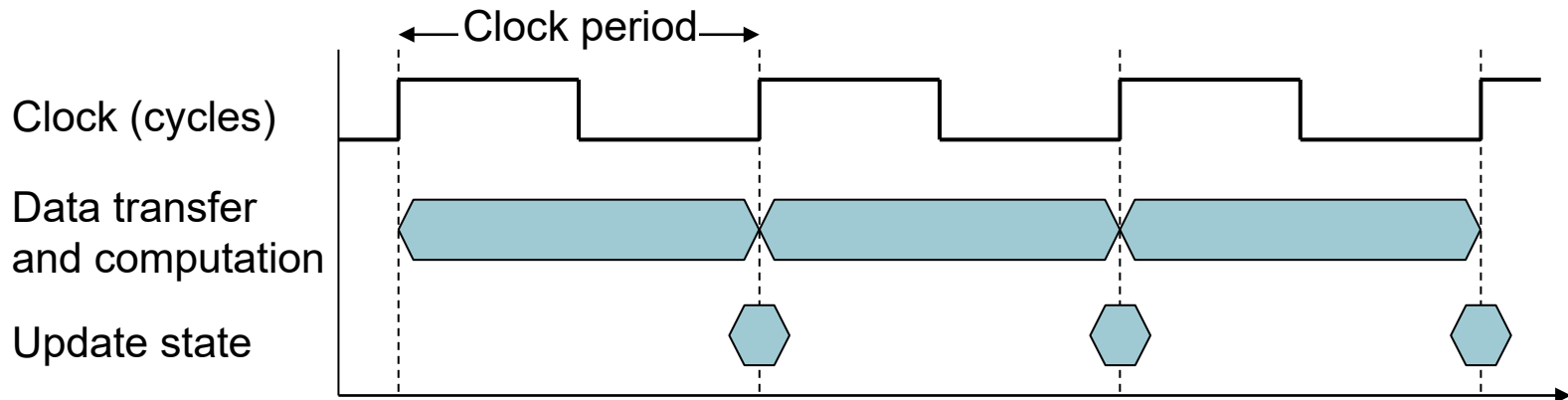
- Total response time, including all aspects
 - Processing, I/O, OS overhead, idle time
- Determines system performance

- **CPU time**

- Time spent processing a given job
 - Discounts I/O time, other jobs' shares
- Consist of user CPU time and system CPU time
- Different programs are affected differently by CPU and system performance

CPU Clocking

- Operation of digital hardware governed by a constant-rate clock



- Clock period: duration of a **clock cycle**
 - e.g., $250\text{ps} = 0.25\text{ns} = 250 \times 10^{-12}\text{s}$
- **Clock frequency** (rate): cycles per second
 - e.g., $4.0\text{GHz} = 4000\text{MHz} = 4.0 \times 10^9\text{Hz}$

CPU Time

$$\begin{aligned}\text{CPU Time} &= \text{CPU Clock Cycles} \times \text{Clock Cycle Time} \\ &= \frac{\text{CPU Clock Cycles}}{\text{Clock Rate}}\end{aligned}$$

- Performance improved by
 - Reducing number of clock cycles
 - Increasing clock rate
 - Hardware designer must often trade off clock rate against cycle count

CPU Time Example

- Computer A: 2GHz clock, 10s CPU time
- Designing Computer B
 - Aim for 6s CPU time
 - Can do faster clock, but causes $1.2 \times$ clock cycles
- How fast must Computer B clock be?

$$\text{Clock Rate}_B = \frac{\text{Clock Cycles}_B}{\text{CPU Time}_B} = \frac{1.2 \times \text{Clock Cycles}_A}{6s}$$

$$\begin{aligned}\text{Clock Cycles}_A &= \text{CPU Time}_A \times \text{Clock Rate}_A \\ &= 10s \times 2\text{GHz} = 20 \times 10^9\end{aligned}$$

$$\text{Clock Rate}_B = \frac{1.2 \times 20 \times 10^9}{6s} = \frac{24 \times 10^9}{6s} = 4\text{GHz}$$

Instruction Count and CPI

$\text{Clock Cycles} = \text{Instruction Count} \times \text{Cycles per Instruction}$

$\text{CPU Time} = \text{Instruction Count} \times \text{CPI} \times \text{Clock Cycle Time}$

$$= \frac{\text{Instruction Count} \times \text{CPI}}{\text{Clock Rate}}$$

- Instruction Count for a program
 - Determined by program, ISA and compiler
- Average cycles per instruction
 - Determined by CPU hardware
 - If different instructions have different CPI
 - Average CPI affected by instruction mix

CPI Example

- Computer A: Cycle Time = 250ps, CPI = 2.0
- Computer B: Cycle Time = 500ps, CPI = 1.2
- Same ISA
- Which is faster, and by how much?

$$\begin{aligned}\text{CPU Time}_A &= \text{Instruction Count} \times \text{CPI}_A \times \text{Cycle Time}_A \\ &= 1 \times 2.0 \times 250\text{ps} = 1 \times 500\text{ps} \leftarrow \text{A is faster...}\end{aligned}$$

$$\begin{aligned}\text{CPU Time}_B &= \text{Instruction Count} \times \text{CPI}_B \times \text{Cycle Time}_B \\ &= 1 \times 1.2 \times 500\text{ps} = 1 \times 600\text{ps}\end{aligned}$$

$$\frac{\text{CPU Time}_B}{\text{CPU Time}_A} = \frac{1 \times 600\text{ps}}{1 \times 500\text{ps}} = 1.2 \leftarrow \text{...by this much}$$

CPI in More Detail

- If different instruction classes take different numbers of cycles

$$\text{Clock Cycles} = \sum_{i=1}^n (\text{CPI}_i \times \text{Instruction Count}_i)$$

- Weighted average CPI

$$\text{CPI} = \frac{\text{Clock Cycles}}{\text{Instruction Count}} = \sum_{i=1}^n \left(\text{CPI}_i \times \frac{\text{Instruction Count}_i}{\text{Instruction Count}} \right)$$

Relative frequency

CPI Example

- Alternative compiled code sequences using instructions in classes A, B, C

Class	A	B	C
CPI for class	1	2	3
IC in sequence 1	2	1	2
IC in sequence 2	4	1	1

- Sequence 1: IC = 5

- Clock Cycles
 $= 2 \times 1 + 1 \times 2 + 2 \times 3$
 $= 10$
- Avg. CPI = $10/5 = 2.0$

- Sequence 2: IC = 6

- Clock Cycles
 $= 4 \times 1 + 1 \times 2 + 1 \times 3$
 $= 9$
- Avg. CPI = $9/6 = 1.5$

Performance Summary

The BIG Picture

$$\text{CPU Time} = \frac{\text{Instructions}}{\text{Program}} \times \frac{\text{Clock cycles}}{\text{Instruction}} \times \frac{\text{Seconds}}{\text{Clock cycle}}$$

- Performance depends on
 - Algorithm: affects IC, possibly CPI
 - Programming language: affects IC, CPI
 - Compiler: affects IC, CPI
 - Instruction set architecture: affects IC, CPI, T_c

SPEC CPU Benchmark

- Programs used to measure performance
 - Supposedly typical of actual workload
- Standard Performance Evaluation Corp (SPEC)
 - Develops benchmarks for CPU, I/O, Web, ...
- SPEC CPU2006
 - Elapsed time to execute a selection of programs
 - Negligible I/O, so focuses on CPU performance
 - Normalize relative to reference machine
 - Summarize as geometric mean of performance ratios
 - CINT2006 (integer) and CFP2006 (floating-point)

$$\sqrt[n]{\prod_{i=1}^n \text{Execution time ratio}_i}$$

Pitfall: Amdahl's Law

- Improving an aspect of a computer and expecting a proportional improvement in overall performance

$$T_{\text{improved}} = \frac{T_{\text{affected}}}{\text{improvement factor}} + T_{\text{unaffected}}$$

- Example: multiply accounts for 80s/100s
 - How much improvement in multiply performance to get 5× overall?

$$20 = \frac{80}{n} + 20 \quad \quad \quad \blacksquare \text{ Can't be done!}$$

- Corollary: make the common case fast



Concluding Remarks

- Cost/performance is improving
 - Due to underlying technology development
- Hierarchical layers of abstraction
 - In both hardware and software
- Instruction set architecture
 - The hardware/software interface
- Execution time: the best performance measure
- Power is a limiting factor
 - Use parallelism to improve performance

