

# FYS4150 - Project 1

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github.com/sindrerb/FYS4150-Collaboration/tree/master/Doc/Project2

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## Abstract

### I. INTRODUCTION

Quantum mechanical systems with one particle, like a quantum dot, is often possible to approximate to simple systems and solve analytically. Systems containing two or more particles complicates the problem drastically and is often not possible to solve in the same way as for a single particle system. By numerical methods, a close approximation may be achieved, and may be used to confirm experimental results or used as an experiment by itself. This project consists of two main parts where the first is solving the Shroedinger equation (SE) numerically for one particle in a three dimensional harmonic oscillator(HO) potential. The second part is to introduce a second particle and solve the system with and without Coulomb interactions. The schrodinger equation is solved by Jacobi's method as an eigenvalue equation rewriting it in a discretized form.

### II. METHODS

#### i. Jacobi's method

The Jacobi's method uses Jacobi's rotation matrix  $\hat{S}$  a number of times to turn all vectors in a symmetric or hermittian matrix  $\hat{A}$  so that it become a diagonal matrix  $\hat{D}$ .

$$\hat{S}_n^T \hat{S}_{n-1}^T \cdots \hat{S}_1^T \hat{A} \hat{S}_1 \cdots \hat{S}_{n-1} \hat{S}_n = \hat{D}$$

The rotation matrix  $\hat{S}_i$  has the form of an identity matrix with  $c = \cos(\theta)$  and  $s = \sin(\theta)$  in a symmetric fashion inside depending on which two elements in  $\hat{A}$  is to be rotated.  $n$  depends on the number of rotations needed to transform  $\hat{A}$  to  $\hat{D}$ .

$$\hat{S} = \begin{bmatrix} 1 & 0 & \cdots & 0 \\ 0 & \ddots & & \\ & & c & \cdots & s \\ \vdots & & \vdots & \ddots & \vdots \\ & & -s & \cdots & c \\ & & & & \ddots & 0 \\ 0 & & \cdots & 0 & 1 \end{bmatrix}$$

If  $\hat{A}$  is composed of elements  $a_{ij}$  and matrix  $\hat{B}$  of elements  $b_{ij}$ . One rotation  $\hat{S}^T \hat{A} \hat{S} = \hat{B}$  can be done with an algorithm.

First the largest element  $a_{kl}$  has to be located, then the new element will be set to zero  $b_{kl} = (a_{kk} - a_{ll})cs + a_{kl}(c^2 - s^2) = 0$ . For  $b_{kl} = 0$  the equation  $(a_{kk} - a_{ll})cs + a_{kl}(c^2 - s^2) = 0$  is solved using  $\Gamma = \frac{a_{ll} - a_{kk}}{2a_{kl}}$  by the second order polynomial  $t^2 + 2\Gamma t - 1 = 0$ . Here  $t = \tan(\theta) = \frac{s}{c}$ . This gives solutions for the trigonometrical expressions  $t = -\Gamma \pm \sqrt{1 + \Gamma^2}$ ,  $s = tc$  and  $c = \frac{1}{\sqrt{1 + \Gamma^2}}$ . To avoid problems where  $\Gamma$  gets large and possible loss of numerical precision we rewrite  $t = \frac{1}{\Gamma + \sqrt{1 + \Gamma^2}}$ .

Rotation algorithm is solved with the prevusly

given solutions for  $t, c$  and  $s$ . Here  $i$  is the iteration variable and  $k$  and  $l$  are parameter belonging to the element in rotation:

$$\begin{aligned} b_{ii} &= a_{ii} & |i \neq k, l \\ b_{ik} &= a_{ik}c - a_{il}s & |i \neq k, l \\ b_{il} &= a_{il}c + a_{ik}s & |i \neq k, l \\ b_{kk} &= a_{kk}c^2 - 2a_{kl}cs + a_{ll}s^2 \\ b_{ll} &= a_{ll}c^2 + 2a_{kl}cs + a_{kk}s^2 \\ b_{kl} &= b_{lk} = 0 \end{aligned}$$

## ii. Unit tests

A unit test is a small piece of code that tests parts of a program for calculation errors. This to ensure that the program runs as expected and delivers correct results throughout the program. The unit tests we have used in this assignment are as follows.

### Orthogonality test

The Jacobi method performs orthogonal or unitary transformations to the matrix it operates on. That means the orthogonality of each column in a matrix  $\hat{A}$  is conserved.

If  $\hat{A}$  is a orthogonal matrix with orthogonal column vectors  $\hat{A} = [\vec{a}_1 \vec{a}_2 \dots \vec{a}_n]$  the dot product of any column vector can be described by a Kronecker delta  $\delta_{ij}$ .

$$\vec{a}_i^T \vec{a}_j = \delta_{ij} = \begin{cases} 1 & |i = j \\ 0 & |i \neq j \end{cases}$$

For a transformation done by a matrix  $\hat{S}$  to be unitary any column all vectors  $\vec{w}_i$  produced by the transformation  $\hat{S}\vec{v}_i = \vec{w}_i$  must also be orthogonal.

$$\begin{aligned} \vec{w}_i^T \vec{w}_j &= (\hat{S}\vec{v}_i)^T \hat{S}\vec{v}_j = \hat{S}^T \vec{v}_i^T \hat{S}\vec{v}_j = \\ \vec{v}_i^T \hat{S}^T \hat{S} \vec{v}_j &= \vec{v}_i^T \vec{v}_j = \delta_{ij} \end{aligned}$$

### Normality test

Since the Jacobi method performs unitary transformations to the matrix it operates on, the

normality is conserved if the columns of  $\hat{A}$  is normalized.

This project is using Jacobi rotation on the identity matrix which is normalized by definition. Introducing the criteria

$$\text{col}_i(\hat{A})^T \cdot \text{col}_i(\hat{A}) = 1$$

gives a brief indication of the conservation of normality.

## III. IMPLEMENTATION

A quantum dot may be approximated to a three dimensional HO-potential. By assuming spherical symmetry the radial part the SL may be rewritten to

$$\begin{aligned} -\frac{\hbar^2}{2m} \left( \frac{1}{r^2} \frac{d}{dr} r^2 \frac{d}{dr} - \frac{l(l+1)}{r^2} \right) R(r) + V(r)R(r) \\ = ER(r). \end{aligned}$$

Where the potential  $V(r)$  is known by the HO-potential  $\frac{1}{2}kr^2$ , with  $k = m\omega^2$  and the quantum number  $l$  describe the orbital momentum of the electron. The energies and oscillator frequency is given by

$$E_{nl} = \hbar\omega \left( 2n + l + \frac{3}{2} \right)$$

where  $n \in \mathbb{N}$ ,  $l \in \mathbb{N}$  and  $r \in [0, \infty)$ . By rewriting  $R(r)$  as  $rR(r) = u(r)$  we get

$$-\frac{\hbar^2}{2m} \frac{d^2}{dr^2} u(r) + \left( V(r) + \frac{l(l+1)}{r^2} \frac{\hbar^2}{2m} \right) u(r) = Eu(r)$$

The expression can be further simplified by introducing the dimensionless variable  $\rho = \frac{1}{\alpha}r$ , setting  $V(\rho) = \frac{1}{2}k\alpha^2\rho^2$ , multiply with  $\frac{2m\alpha^2}{\hbar^2}$  and set  $l = 0$  so that

$$-\frac{d^2}{d\rho^2} u(\rho) + \underbrace{\frac{mk}{\hbar^2} \alpha^4 \rho^2}_{I} u(\rho) = \underbrace{\frac{2m\alpha^2}{\hbar^2} E}_{II} u(\rho)$$

and finally setting  $I = 1$  and defining  $II = \lambda$  the final form of the SL for one electron in a HO-potential can be written as

$$-\frac{d^2}{d\rho^2} u(\rho) + \rho^2 u(\rho) = \lambda u(\rho) \quad (1)$$

Equation 1 may be discretized and solved numerically as a matrix eigenvalue problem.

$$-\frac{u_{i+1} - 2u_i + u_{i-1}}{h^2} + V_i u_i = \lambda u_i \quad (2)$$

Where  $V_i = \rho_i^2$ .

### Two particle system

By introducing another electron to the system, the system includes two particles in the potential and the interactions between them. SE for two electrons with no Coulomb interactions can be written as

$$\left( -\frac{\hbar^2}{2m} \nabla^2 + \frac{1}{2} k(r_1^2 + r_2^2) \right) u(r_1, r_2) = E u(r_1, r_2)$$

This can be rewritten by introducing the relative coordinate  $\mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2$  and the center-of-mass (COM) coordinate  $\mathbf{R} = \frac{1}{2}(\mathbf{r}_1 + \mathbf{r}_2)$ . The radial SE is then

$$\left( -\frac{\hbar^2}{m} \frac{d^2}{dr^2} - \frac{\hbar^2}{4m} \frac{d^2}{dR^2} + \frac{1}{4} k r^2 + k R^2 \right) u(r, R) = E u(r, R)$$

The ansatz for the wavefunction  $u(r, R) = \psi(r)\phi(R)$  makes it possible to separate the equation for  $\mathbf{r}$  and  $\mathbf{R}$  so that the energy is the sum of the relative energy and the COM-energy ( $E = E_r + E_R$ ). Taking the repulsive Coulomb interaction

$$V(r_1, r_2) = \frac{\beta e^2}{|\mathbf{r}_1 - \mathbf{r}_2|} = \frac{\beta e^2}{r}, \quad \beta e^2 = 1.44 \text{ eVnm}$$

in to account the  $r$ -dependent part of the SE can be written as

$$\left( -\frac{\hbar^2}{m} \frac{d^2}{dr^2} + \frac{1}{4} k r^2 + \frac{\beta e^2}{r} \right) \psi(r) = E_r \psi(r)$$

By now introducing the dimensionless variable  $\rho = \frac{r}{\alpha}$  and repeating the same steps as for the one electron case we get

$$-\frac{d^2}{d\rho^2} \psi(\rho) + \frac{1}{4} \frac{mk}{\hbar^2} \alpha^4 \rho^2 \psi(\rho) + \underbrace{\frac{m\alpha\beta e^2}{\hbar^2}}_I \frac{1}{\rho} \psi(\rho) = \underbrace{\frac{m\alpha^2}{\hbar^2} E_r}_{II} \psi(\rho)$$

To further simplify the equation we introduce the new frequency

$$\omega_r^2 = \frac{1}{4} \frac{mk\alpha^4}{\hbar^2}$$

Setting  $I = 1$  and  $II = \lambda$  we arrive at the final form of SE for the two electron case

$$-\frac{d^2}{d\rho^2} \psi(\rho) + \omega_r^2 \rho^2 \psi(\rho) + \frac{1}{\rho} \psi(\rho) = \lambda \psi(\rho) \quad (3)$$

Like equation 1, equation 3 may be discretized into

$$-\frac{u_{i+1} - 2u_i + u_{i-1}}{h^2} + V_i u_i = \lambda u_i \quad (4)$$

Where the new potential  $V_i = \omega_r^2 \rho_i^2 + \frac{1}{\rho_i}$ . Both equation 2 and 4 may be rewritten to  $\hat{H}\hat{u} = \lambda\hat{u}$  where  $\hat{H}$  is a tridiagonal matrix with center diagonal  $d_{ii} = \frac{2}{h^2} + V_i$  and the upper diagonal equal to the lower diagonal equal to  $e_{ij} = \frac{-1}{h^2}$ . These matrix eigenvalue problems may be solved by Jacobi's method.

Tests of conserved orthogonality and normality was done on a one electron system to ensure that the algorithm was correct, sample-matrices was written to a file and later tested for conserved orthogonality and normality. Later a convergence test of the number of iterations was done to weight the accuracy and computational time. The algorithm was set to solve the schrodinger equation for a two particle system with  $\omega_r$  equal to 0.01, 0.5, 1 and 5. Both with and without columb interactions.

## IV. RESULTS AND DISCUSSION

The jacobian method requires many iterations in order to reach a satisfying accuracy of the energies. Table 1 from the convergence test of meshpoints indicates that  $N = 500$  is a minimum requirement for a four digit accuracy but results also in a high computational time. Figure 1 shows the number of iterations for a given set of meshpoints, assumed to be proportional to computation time. A number of meshpoints  $N = 400$  results in a high enough accuracy for the purpose of this experiment

and reducing the computational time drastically.

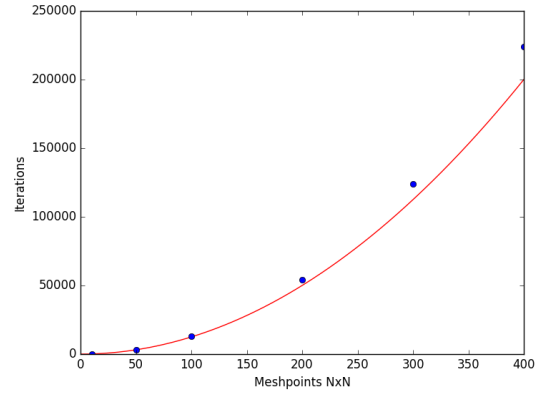
$N$	$\lambda_0$	$\lambda_1$	$\lambda_2$
10	2.68672	6.11302	11.0574
50	2.98745	6.93692	10.8453
100	2.99687	6.98432	10.9617
200	2.99916	6.99610	10.9904
300	2.99961	6.99828	10.9958
400	2.99986	6.99903	10.9976
500	2.99993	6.99937	10.9986

**Table 1:** Table showing the three lowest computed eigenvalues  $\lambda$  with  $N$  meshpoints, in comparison to the exact  $\lambda_0 = 3, \lambda_1 = 7$  and  $\lambda_2 = 11$ .

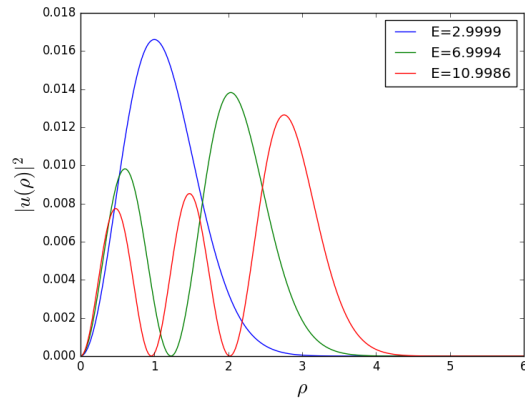
Results from the computation of the two particle systems is given in Figure 3 – 8, where it is a clear correspondance between the  $\omega_r$  and the columb interactions. A high  $\omega_f$  results in a narrow potential and a confinement of the electrons, in this narrow potential the columb interactions was thought to give a great impact on the wavefunction but Figure 8 contradicts this hypothesis. Figure 3 does also show an unexpected behaviour where the columb interaction has a greater impact in the electrons than expected. A relation between the energies of the systems with and without columb interaction is given in Table 2. The behaviour may be explained by the fact that  $V_{Columb} = \frac{\beta}{r}$  diverge while  $r$  goes to zero. In a narrow potential, the columb interactions is large but does not overpower the confinement of the potential. While widening the potential, the confinement decreases more rapidly than the columb interactions.

## V. SUMMARY AND CONCLUSION

From Table 2 it is clear that the columb interaction does have a larger impact on a system with a wide potential than with a narrow potential.



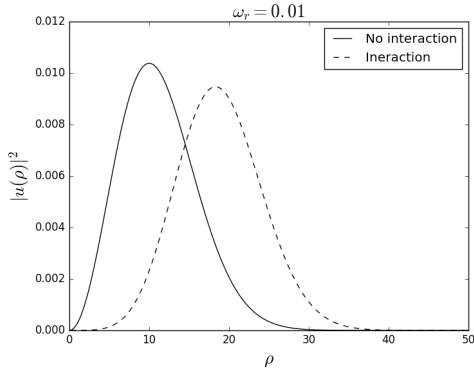
**Figure 1:** Graph over the number of iterations for a given set of meshpoints  $N$ . The red line is proportional to  $N^2$  as comparement.



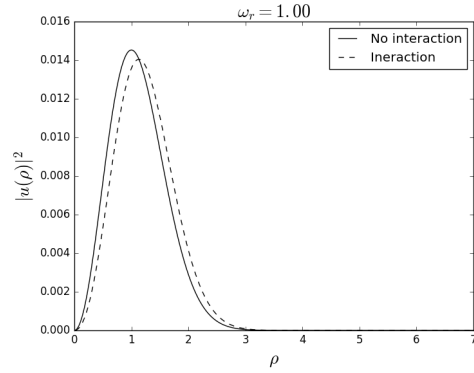
**Figure 2:** The first three eigenstates with their respective eigenstates in a harmonic oscillator with one particle, computed with  $N = 500$  meshpoints.

$\omega_r$	Interaction	No Interaction	Impact
0.01	0.105	0.030	3.5
0.25	1.250	0.750	1.67
0.50	2.230	1.500	1.49
1.00	4.058	3.000	1.35
2.50	9.211	7.500	1.23
5.00	17.448	15.000	1.16

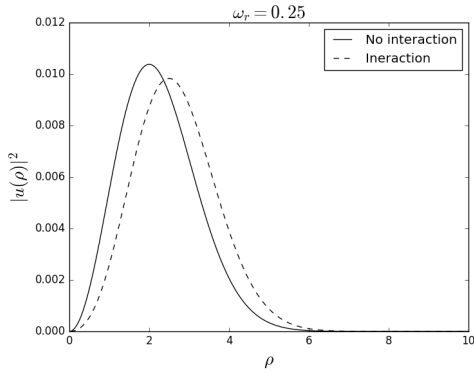
**Table 2:** Table showing the energy of the ground state in a two particle system with and without columb interactions. The impact is defined by the energy of the interacting system divided by the non-interacting system.



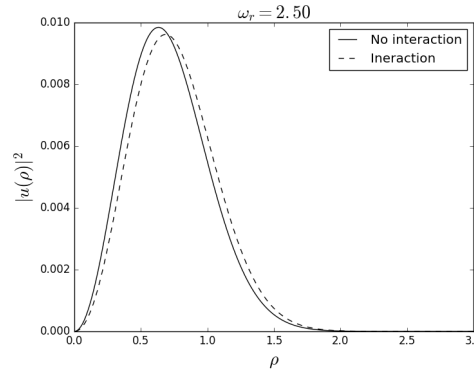
**Figure 3:** Comparison of the wavefunction with and without columb interactions in a HO-potential where  $\omega_r = 0.01$



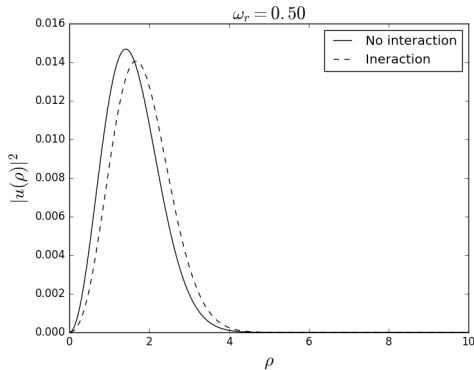
**Figure 6:** Comparison of the wavefunction with and without columb interactions in a HO-potential where  $\omega_r = 1.00$



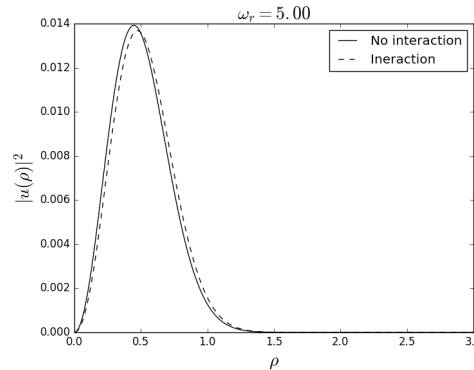
**Figure 4:** Comparison of the wavefunction with and without columb interactions in a HO-potential where  $\omega_r = 0.25$



**Figure 7:** Comparison of the wavefunction with and without columb interactions in a HO-potential where  $\omega_r = 2.50$



**Figure 5:** Comparison of the wavefunction with and without columb interactions in a HO-potential where  $\omega_r = 0.5$



**Figure 8:** Comparison of the wavefunction with and without columb interactions in a HO-potential where  $\omega_r = 5.00$