# Learning and Spiking Neural Network Project Report

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#### **Abstract**

In this project, a Deep neural network is proposed and simulated to classify different classes of color images using the CIFAR-10 dataset. Distinct sets of neural networks (i.e with different architectures and different hyper-parameters) were applied on the CIFAR-10 dataset to improve the classification accuracy.

#### 1 Introduction

Deep learning in general terms, implies a learning technique that learns features in layers. It is a technique that enables computers/intelligent systems to improve with experience and data. Deep learning is a class of machine learning algorithms that:

- Uses a series of multiple layers for feature extraction and transformation. Each successive layer uses the output from the previous layer as input[5].
- Use some form of gradient descent for training via backpropagation.

#### 2 Problem

In this section, the dataset used to implement the Neural Network, different deep learning architectures are discussed.

#### 2.1 CIFAR-10 Dataset

Organization of the CIFAR-10 Dataset:

It consists of 60,000 32X32 color images in 10 classes(each image consists of 3 color channels-R,G,B), with 6000 images per class. The classes are labelled as: "'airplane', 'automobile', 'bird', 'cat', 'deer', 'dog', 'frog', 'horse', 'ship', 'truck'".

- There are 60,000 total images
- The dataset is divided into 40,000 training images, 10,000 validation images and 10,000 test images.

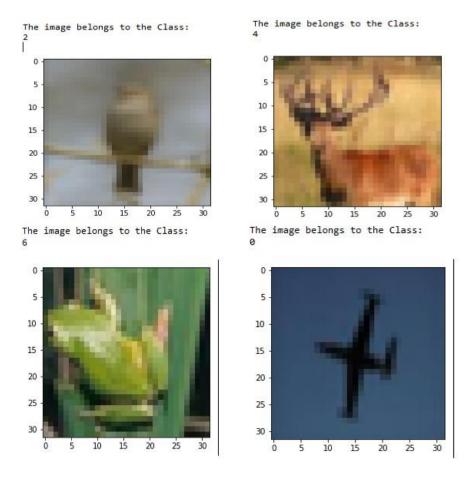


Figure 1: Sample images from the CIFAR10 dataset

# 2.1.1 Data Processing

- The dataset (in the raw format) is divided into five training batches and one test batch, each with 10,000 images and corresponding labels. The test batch is kept as it is. Four out of the five training batches are concatenated and used as the final training image set for the project. The fifth batch is used as the validation image set.
- The images and labels are zipped together using the 'zip' function so as to associate each image with its corresponding label, namely: Training data=(images,labels), Validation data=(images,labels) and

Test data=(images,labels)

• The image is reshaped to form a 3072 X 1 vector.

### 2.1.2 Data Normalization

Image:

The pixel values are in the range of 0 to 255 for each of the red, green and blue

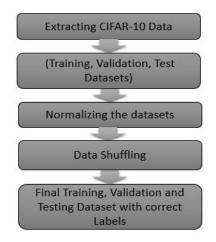


Figure 2: Data Processing Flowchart

channels. The input values are normalized to the range 0 to 1 by dividing each value by the maximum observation which is 255.

Since the data is loaded as integers, the input values are converted to floating point values in order to perform the division. The output variables are defined as a vector of integers from 0 to 1 for each class.

#### Labels:

The output label lies between 0 to 9 each integer indicating a different class.

# 2.1.3 Data Shuffling

The data is then shuffled using the 'shuffle' function so as to randomize the input pattern.

#### **2.2** Feed-Forward Neural Network

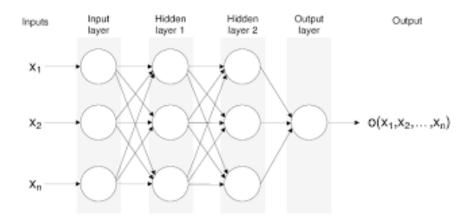


Figure 3: Multilayer Neural Network [8]

A feed-forward neural network comprises of one or more hidden layers, excluding the input and the output layer. The input layer accepts the data and passes it on to the network, while the output layer gives the prediction information of the input (eg, an image).

Training the feed-forward network essentially means tuning the weights so as to optimize a predetermined loss function with respect to the dataset. A commonly used loss function is cross-entropy which defines how well a model fits on the dataset.[6] Gradient descent is generally used to optimize this loss function.

Input Layer Neurons	Hidden Layer 1 Neurons	Hidden Layer 2 Neurons	Output Layer Neurons	Learning Rate (eta)	Regularization (Lambda)	Classification Accuracy (%)	
3072	30		10	0.5	0.05		
3072	30	5	10	0.5	0.5	37.67	
3027	30	30	10	0.5	0.5	36.63	
3072 30		30	10	0.5	0.05	36.90	
3072	30	30	10	0.05	0.05	36.23	

Figure 4: Feedforward Network Results

## 2.2.1 Feed-Forward Neural Network Result

The Neural Network is first implemented using the Feed-forward network. Fig- ure 4 provides the information about the classification accuracy obtained using different architectures.

In the first iteration, I use the input layer with , 3\*32\*32=3072, neurons, one hidden layer (30 neurons) and the output layer (10 neurons representing the 10 classes). The hyperparamteres used are : eta=0.5 and lambda=0.5. The classification accuracy obtained was equal to 37.67. To increase the accuracy I tried using different combinations of hyperparameters, but the best result (with only one hidden layer) was obtained with eta=0.5,lambda=0.05. A second hid- den layer (30 neurons) was also added to improve the performance. But the performance didnot

increase much as the network now suffers the problem of over fitting since it has too many parameters to optimize. The best result obtained was around 37 percent. I implemented the Convolution neural network next to enhance the performance.

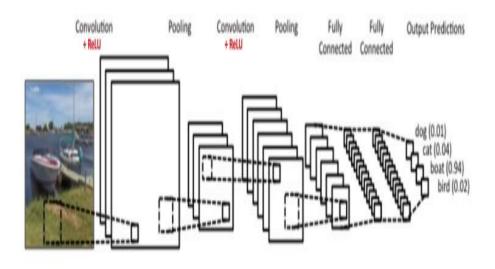


Figure 5: Convolutional Neural Network [7]

## 2.3 Convolutional Neural Netork(CNN)

A Convolutional Neural Net (CNN) neuron collects the output from all the neu-rons from all the neurons in the previous layer. It uses a window of fixed size on a region of data and computes the weighted sum over the region. The window is then moved horizontally and vertically and applied to all possible regions of data and the corresponding weighted sum is calculated. This method of extracting information is known as 'Convolution' [9].

#### 2.4 Theano

There are many open source tools available which are used for deep learning algorithms to reduce the computational effort such as keras, tensorflow, theano, pyTorch, Caffe etc. Here, theano was chosen. [4]

# 3 Methodology and Results

A convolutional network was and implemented on the CIFAR-10 data. This net- work used three convolutional layers, three max-pooling layers, , ,two fully-connected layers, one softmax layer form a multi-layer network with 1000 hidden neurons and 10 outputs. The details for the convolutional layers are tabulated below.

#### • Network architecture 1:

I started the CNN using only one fully-connected and one softmax with the default activation function (sigmoid) for simplicity. The accuracy was 38.54 percent.

• Network architeture 2: I added one convolutional layer. I tried using various combinations of different activation functions and hyperparameters.

When I used sigmoid as the activation function the accuracy touched the lowest possible percentage (10 percent). I changed the activation function to ReLU and tried different hyperparameters. The best accuracy obtained was 64.88 percent. A change in the number of filters used and filter size resulted in a marginal increase in accuracy over the previous iterations (65.77 percent).

Convpool1	Filter1	Pool1	Fully- connected1	Softmax	Act. Func	Eta	Lambda	Dropout	%Accuracy
	3	13	3072	1000	Sigmoid	0.03	0.1		37.38
	98	8	3072	1000	Sigmoid	0.03	0.01		38.54
32	20,3,3	2,2	20*15*15	1000	Sigmoid	0.03	0.1	0.5	9.87
32	20,3,3	2,2	20*15*15	1000	Sigmoid	0.03	0.01	0.5	10.03
32	20,3,3	2,2	20*15*15	1000	ReLU	0.03	0.1	0.5	64.88
32	20,3,3	2,2	20*15*15	1000	ReLU	0.03	0.01	0.5	63.11
32	20,3,3	2,2	20*15*15	1000	ReLU	0.03	0.001	0.5	63.61
32	40,3,3	2,2	40*15*15	1000	ReLU	0.03	0.1	0.5	63.76
32	40,5,5	2,2	40*14*14	1000	ReLU	0.03	0.1	0.5	65.77

Figure 6: One CNN Layer Results

#### • Network architecture 3:

To elicit a better performance from the network, I further added a second convolutional layer.

Conv Layer 1	Filter1	Pool1	Conv Layer 2	Filter2	Pool2	FC1	FC2	Softmax	Act. Func	Eta	Lmda	Dropout	%Acc
32	40,5,5	2,2	14	20,40,5,5	2,2	20*5*5		1000	ReLU	0.03	0.1	0.5	66.75
32	40,5,5	2,2	14	20,40,5,5	2,2	20*5*5	1000	1000	ReLU	0.03	0.1	0.5	71.07
32	40,5,5	2,2	14	40,40,5,5	2,2	40*5*5	1000	1000	ReLU	0.03	0.1	0.5	72.42
32	40,5,5	2,2	14	40,40,5,5	2,2	40*5*5	1000	1000	ReLU	0.03	0.01	0.5	71.21

Figure 7: Two CNN Layers Results

I kept the filter window size the same as before (5,5). I tried the network with one fully-connected layer initially. The result was 66.75 percent accuracy. Addition of another fully-connected layer increased the accuracy to 71.07 percent. Increasing the number of feature maps did not affect the accuracy too much. It marginally increased to 72.42 percent. Changing the hyperpa-rameters in fact lowered the performance. So I chose the network configura- tion which gave the best result when two convolutional layers were used (i.e eta=0.1,lambda=0.03,filter size=5,5 with 40 feature maps)

# 3.1 Final Design

• Final Network architecture:

At the expense of computational effort, I decided to add yet another convolutional layer. The network structure now:

The input size for the first convolutional layer is 3072 (3\*32\*32). I have used

ConvPoolLayer 1: (image shape=3, 32, 32), filter shape= (40, 3, 5, 5), pool size= (2,2), activation func=ReLU)

ConvPoolLayer 2: (image shape=40, 14, 14), filter shape= (40, 40, 3, 3), pool size= (2, 2), activation func=ReLU)

ConvPoolLayer 3: (image shape=40, 6, 6), filter shape= (40, 40, 3, 3), pool size= (2, 2), activation func=ReLU)

Fully-Connected Layer 1: (N in=40\*2\*2, N out=1000, activation func=ReLU, p dropout=0.5

Fully-Connected Layer 2: (N in=1000, N out=1000, activation func=ReLU, p dropout=0.5

Softmax Layer: (N in=1000, N out=10)

eta=0.03, lambda=0.1

Figure 8: Final Network Design

40 feature maps of size 5,5 for the first layer with a Max pooling of 2,2. This results in a downsampled image of size 14,14. I use 40 feature maps of 3,3 size for the second convolutional layer with a Max pooling of 2,2. This produces a downsampled image of size 6,6. 40 feature maps of size 3,3 were used for the third convolutional layer which produced a 2,2 downsampled image size. Then I use a Fully-connected layer which accepts an input of size 40\*2\*2. The number of hidden neurons for this layer are 1000 with a droupout rate of 0.5. The second fully-connected layer accepts an input of size 1000. It also has 1000 hidden neurons. The droupout is maintained at 0.5. The activation function for both these layers is ReLU (since using sigmoid produces unfavorable results, as previously seen). A single Softmax layer with a log-likelihood cost function is used. Mini-batch size was equal to 10. The best result was obtained for this case (73.84 percent). Increasing the mini- batch size to 50 from 10 tends to decrease the accuracy (66.83 percent).

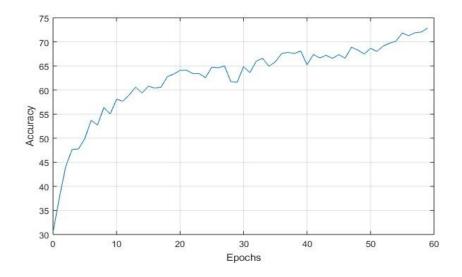


Figure 9: Final Accuracy Plot

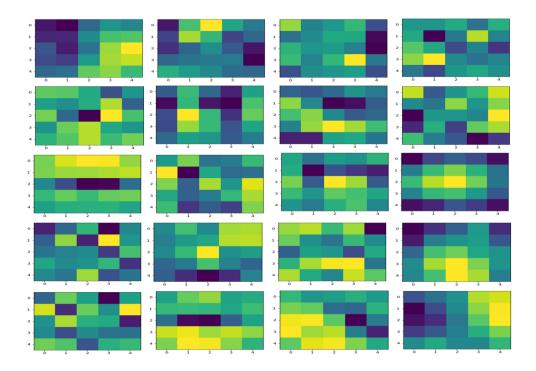


Figure 10: First Convolutional Layer Weights(First 20 maps)

# 4 Discussion

The convolutional network working on the MNIST dataset was adapted to work with CIFAR-10 dataset.

Feed forward network is not recommended for this dataset as it achieves a

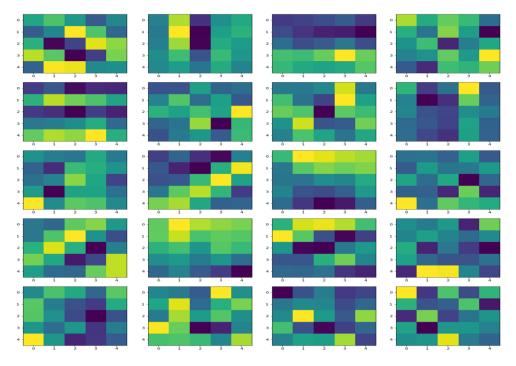


Figure 11: First Convolutional Layer Weights(Last 20 maps)

performance accuracy of 37 percent at best. Since CIFAR-10 data contains color images it is better to use a convolutional neural net since it contains multiple feature maps. This project achieves a best result close to about 74 percent accuracy using three convolutional layers. It still has quite a scope for improvement since Keras and Tensorflow libraries have been used to increase the performance upto 95 percent. While I did not achieve the full results I had originally intended, I have successfully managed to implemented multiple neural network architectures (including CNN) and successfully applied them to object classification problems with good results.

### References

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