

Entity Decoupling and Alignment Mechanism for First-Order Logic Parsing

Anonymous EACL submission

Abstract

Semantic parsing is the task of obtaining machine-interpretable representations from natural language. We consider FOL and model its parsing as a seq2seq mapping where given a sentence, it is encoded using an LSTM followed by a decoder which sequentially generates the predicates. We show the effectiveness of decoupling the FOL entity and predicting its *category* - Unary, Binary, Variables and Scoped, at each decoder step as an auxiliary task. We further improve upon it by introducing a variable alignment mechanism to align variables across predicates. We perform extensive evaluations & ablations to explore the capability of neural models in mimicking the ground truth FOL generated by *Boxer*. We also release our code to aid further research in logic-based parsing and inference in NLP.

1 Introduction

Semantic parsing aims at mapping natural language to structured meaning representations. This enables a machine to understand unstructured text better which is useful in many tasks like question answering (Berant et al., 2013; Pasupat and Liang, 2015), robot navigation (MacMahon et al., 2006; Artzi and Zettlemoyer, 2013), database querying (Zelle and Mooney, 1996) etc. A variety of logical forms and meaning representations have been proposed including graph-based formalisms (Banarescu et al., 2013; Abend and Rappoport, 2013; Oepen et al., 2014; Kollar et al., 2018) where text is represented as a typed graph. The entities and action events are represented as nodes with labeled edges depicting relations between them. AMR (Abstract Meaning Representation) graphs (Banarescu et al., 2013) use variables to annotate nodes following neo-Davidsonian style (Davidson, 1969). In this work, we focus on first-order logic (FOL) (Smullyan, 2012) as the language formalism for

text. FOL represents entities and actions in natural language through quantified variables and consists of functions (called predicates) which take variables as arguments. The predicates attach semantics to variables and express relations between objects (Blackburn, 2005). For instance, sentence - “a man is eating” can be represented through FOL

$$\exists A(\exists B(man(A) \wedge eat(B) \wedge agent(B, A)))$$

The FOL is interpreted with the context that entities in sentence make the universe. Natural language concepts as in sentence “the man and woman are seated facing each other” can be expressed as

$$\begin{aligned} \exists A(\exists B(\exists D(\exists C(man(A) \wedge woman(B) \wedge \\ seat(D) \wedge subset_of(A, C) \wedge \\ subset_of(B, C) \wedge theme(D, C) \wedge \\ not(\exists E(other(E) \wedge not(\exists F(face(F) \wedge \\ theme(F, E) \wedge agent(F, C)))))))) \end{aligned}$$

where “man” and “woman” are represented together through shared variable *C* and “facing each other” is represented by negating the existence of a thing *E* for which *C* is not facing *E* holds true.

The success of encoder-decoder framework based neural approaches in NLP tasks like machine translation (Cho et al., 2014; Sutskever et al., 2014; Vaswani et al., 2017) and logical inference (Kim et al., 2019) has motivated their use in semantic parsing (Kočiský et al., 2016; Buys and Blunsom, 2017; Cheng et al., 2017; Liu et al., 2018; Li et al., 2018). Some of these are designed to solve question answering tasks for specific domains (Jia and Liang, 2016; Dong and Lapata, 2016) on confined logical formalism capturing limited concepts.

To parse sentences to FOL, we train our model on a large corpus of text-FOL pairs for SNLI Dataset (Bowman et al., 2015) parsed using C&C parser (Clark and Curran, 2007) and Boxer (Bos, 2008)¹. FOL parsing can enable neural models

¹<https://github.com/valeriobasile/candcapi>

to: 1) capture complex relationships between entities resulting in richer embeddings (can be useful in NLP tasks); 2) perform reasoning in a logical way (like theorem provers). Since this is one of the first exploration for neural text to FOL, we use Seq2Seq coupled with attention mechanism (Bahdanau et al., 2014; Cohn et al., 2016) as baseline. We disentangle prediction of different types of FOL entities (unary and binary predicates, variables etc) and show improvements through performing category type prediction as an auxiliary task. We further show major improvements by explicitly constraining the decoder to align variables across predicates to maintain consistency. Even though the ground truth for our model has been generated using Boxer and is therefore somewhat noisy, it provides a reasonable ground for testing the neural models' capability.

Our contributions can be enumerated as: 1) We analyse the capability of neural models to parse sentences to FOL (ground truth) generated by Boxer; 2) We show effectiveness of disentangled FOL entity type prediction & argument variable alignment mechanism through extensive ablations; 3) We aim to release our code and models to aid further research in neural FOL parsing.

2 Proposed Approach

We convert the output FOL comprising of existential quantifiers and disjunction of atomic formulas into an equivalent mapping as a sequence of predicates, argument variables, scoping symbols (such as "fol(", ")", "not(") and train our models to predict the sequence. We arrange scope symbols in accordance to their nesting level (top most appearing first in the sequence) with further ordering that entities that are part of same scope are arranged as sequence of unary predicates, followed by binary predicates and other nested scoped entities. We model parsing a given sentence into FOL as a sequence to sequence *transduction* task.

2.1 Baseline

Our baseline consists of Encoder-Decoder model. The Encoder E is a bidirectional LSTM (biLSTM) which encodes a sequence of input tokens $X : \{x_0, x_1, \dots, x_m\}$ into a sequence of hidden states $H_e : \{h_{e_0}, h_{e_1}, \dots, h_{e_m}\}$, $h_{e_i} \in R^{d_h}$. The decoder D consists of an LSTM which uses the outputs of encoder E along with previously decoded outputs, provided as embeddings $E_d : \{e_{d_0}, e_{d_1}, \dots, e_{d_n}\}$ to it as input, to generate a sequence of hidden states $H_d : \{h_{d_0}, h_{d_1}, \dots, h_{d_n}\}$. We also use atten-

tion (Bahdanau et al., 2014) to calculate context c_{e_i} at i^{th} decoding step. We train the model on the standard cross-entropy objective L_{CE} while adopting *teacher forcing* methodology *i.e.* giving the inputs to the decoder from ground truth instead of previously decoded tokens while training

2.2 Separate Heads

The output tokens in an FOL sequence do not all belong to the same token category unlike majority of sequence to sequence problems. The output tokens can be divided into four major types - Unary Predicates U , Binary Predicates B , Variables V , and Scoped Entities S . We create separate vocabularies for each category. The variables V (which do not have standalone semantics) have one-hot embedding while other types of output tokens have *dense* embeddings. Building on above intuition, we use five different softmax *heads* on top of Decoder LSTM. We train the model to predict which type of token to choose at each step (using head T) as an *auxiliary* task while other heads decode the probability of the four different types of tokens. Hence, the overall cross-entropy objective becomes as follows, t_i^t being the target token type to be predicted at step i :

$$L_{sep}(\theta, \phi) = L_{CE}(\theta, \phi) - \frac{1}{n} \sum_{i=0}^n t_i^t * \log(t_i) \quad (1)$$

2.3 Alignment Mechanism

One of the key challenges for the model is to identify relationship between *variables*. A variable A which is argument in a binary predicate should be aligned with the argument variable in a unary predicate where it was used previously. This could be achieved through decoder self-attention which did not perform well (shown in experiments) since the model does not receive any explicit signal and have to such discover relations. To mitigate this, we introduce **Alignment Mechanism**. At each step where a *variable* is decoded, an additional linear classifier calculates probability A_{d_i} whether current variable aligns with any previously decoded variable and with which variable, in case it does align. The probability α_{i_j} that a particular step j aligns with the current decoding step i , $i > j$ is calculated with a dot product based attention over the already decoded tokens and a context vector c_{a_i} is thus generated as probability weighted sum. For other category of tokens but *variables*, the decoder heads remain the same. For *variables*, we determine aligned hidden state as

$$h_{d_i}^{ali} = A_{d_i} * c_{a_i} + (1 - A_{d_i}) * h_{d_i} \quad (2)$$

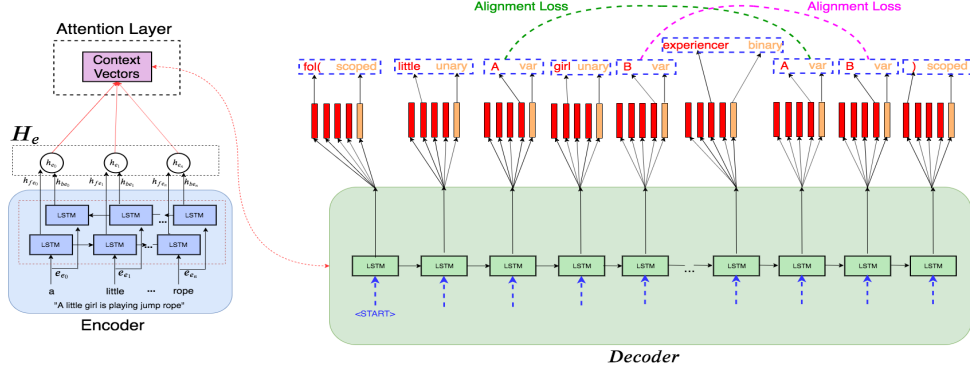


Figure 1: Overview of our architecture showing separate heads (red), category prediction (orange) and alignment mechanism (green and pink). Input to Decoder LSTM (blue) depicts the output of last step being fed at next step. Red arrow between Attention Layer and Decoder depicts standard encoder-decoder attention.

The output, then, is $o_v^i = W_o * [h_{d_i}^{ali}; c_{e_i}]$. To provide explicit signal during training, we train α (attention weights) and A_{d_i} on target alignment positions and decisions with a cross entropy objectives

$$L_{dec}(\theta, \phi, \zeta) = -\frac{1}{n} \sum_{i=0}^n (A_{d_i}^t * \log(A_{d_i}) + (1 - A_{d_i}^t) * \log(1 - A_{d_i})) \quad (3)$$

$$L_{pos}(\theta, \phi, \zeta) = -\frac{1}{n} \sum_{i=0}^n \sum_{j=0}^{i-1} A_{d_i}^t * \alpha_{ij}^t * \log(\alpha_{ij}) \quad (4)$$

where $A_{d_i}^t$ and α_{ij}^t refer to ground truth decision and alignment position values and ζ refers to additional parameters introduced due to alignment mechanism. Therefore our overall loss becomes sum of L_{sep} , L_{dec} and L_{pos} .

3 Experiments

We used a subset of SNLI (Bowman et al., 2015) corpus by eliminating duplicates and create 255,501 training instances, 10,691 dev and 10,633 test instances (from SNLI development & test sets). All the models were trained with an Adam Optimizer (Kingma and Ba, 2014) initialized with a learning rate of 0.001 with a decay rate of 10^{-4} . We use an embedding size $D = 100$ for both encoder and decoder. Unary and Binary predicates have an embedding size of 100 each while variable and type tokens have one-hot embeddings. All the embeddings were initialized randomly.

3.1 Results and Discussion

3.1.1 Evaluation Framework

We evaluate degree of match between two FOLs following intuition behind D-match and Smatch (Cai and Knight, 2013). We align two FOLs beginning with variables where two variables are aligned if

corresponding predicates' name (of which they are arguments) and argument positions match. While aligning two predicates, we check if their arguments are aligned and names are same. Finally we align nested scope symbols (eg. "not("). For scoped entity, we determine predicted scoped entity having maximum alignment with it based on count of other aligned predicates and scoped entities that are contained inside them. We decompose FOL into related pairs of the form (n_1, n_2) such that n_2 appears inside the scope of n_1 . For instance, a variable that is an argument in a predicate or a predicate appearing inside a scoped entity. We estimate number of pairs in expected FOL that can be matched with pairs in predicted FOL based on whether corresponding entities in the pairs are aligned.

3.1.2 Comparison and Ablation Studies

We evaluate different ablations of our method and also compare them with the baseline in Table 1. Vanilla is baseline model, Separate Heads model predicts different tokens based on category (with auxiliary task), Align refers to configuration where we add our alignment mechanism. Evidently, our final model **Separate Heads + Align** convincingly outperforms all described models and improves the baseline by ~ 7 F-1 points. Decoder self-attention, even though, improves **Vanilla** Model does not provide any improvements when used with **Separate Heads**. This can be attributed to its inability to incorporate decoder level information which probably becomes factorized automatically during training through using separate heads. However, it provides improvements over **Vanilla** by a good margin but still only matches or remains inferior to the standalone **Separate Heads** model. **Align Mechanism** manages to provide a huge boost to the **Sep-**

arate Heads model by improving it by ~ 5 F-1 points. However, performance deteriorates when used with **Vanilla** model since its ability to align variables *only* vanishes in this setup which we find critical for its working.

| Models | Valid | | | Test | | |
|---------------------------|--------------|--------------|--------------|--------------|--------------|--------------|
| | P | R | F-1 | P | R | F-1 |
| Vanilla (Baseline) | 65.48 | 65.15 | 65.31 | 66.43 | 65.84 | 66.13 |
| + Self Attention | 67.86 | 66.70 | 67.28 | 68.56 | 67.23 | 67.89 |
| + Align Mechanism | 62.13 | 61.06 | 61.59 | 61.74 | 60.60 | 61.17 |
| Separate Heads | 68.48 | 66.81 | 67.64 | 69.18 | 67.63 | 68.40 |
| + Self Attention | 67.11 | 65.87 | 66.48 | 66.92 | 65.69 | 66.30 |
| Sep Heads + Align | 73.68 | 72.17 | 72.92 | 74.05 | 72.53 | 73.28 |

Table 1: Results on both validation and test split

Variables Consistency: We consider binary predicate-variable argument pairs and not entire FOL. Our test set comprises of 92844 such pairs, baseline yields recall(R) of 58.4%(F1:58.9), Sep-Heads - R of 60.8%(F1:62.0) while Sep heads + align improves R to 65.7%(F1:66.8) since more variables get aligned now.

3.1.3 Analysis

Variation with Input Length: The proposed changes make model relatively much more robust to increase in length in the input sentence as shown in Fig. 2. This is due to many factors - increased model capacity as well as its ability to process different categories of output tokens separately giving better long range dependencies and less confusion in generating many variables over FOL owing to better alignment across the sequence.

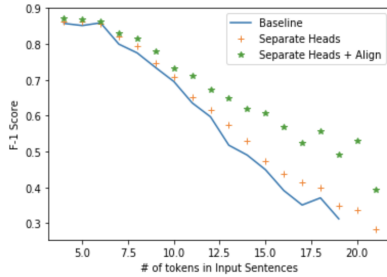


Figure 2: F-1 variation with input length on Test Data

Perturbed Training: It has been noticed ((Jia and Liang, 2017; Niven and Kao, 2019)) that neural models sometimes exploit trivial patterns in outputs/inputs to fool and provide pseudo-improved results. One such pattern could be presence of variables like *A* and *B* with some specific unary and binary predicates. In order to disturb such patterns, we randomly permute the presence of such variables in the ground truth during training. Our **baseline** model indeed shows a significant drop in

results (Table 2). On the other hand, our other two main models do not show such large drop proving their robustness to such disturbances.

| Model | Precision | Recall | F-1 |
|--------------------------|-----------|--------|-------|
| Vanilla(Baseline) | 63.75 | 62.32 | 63.03 |
| Separate Heads | 67.39 | 65.59 | 66.48 |
| Sep Heads + Align | 72.90 | 71.95 | 72.42 |

Table 2: Results on Test set with perturbed training

4 Related Work

Early semantic parsers were majorly rule based (Johnson, 1984; Woods, 1973; Thompson et al., 1969) followed by statistical techniques (Kwiatkowski et al., 2010; Zettlemoyer and Collins, 2012). Data driven neural approaches (Buys and Blunsom, 2017; Cheng et al., 2017; Li et al., 2018) have alleviated need for manually defining lexicon. Some of these produce sequential parses (Jia and Liang, 2016; Kočiský et al., 2016) while others leverage hierarchical parse structure (Alvarez-Melis and Jaakkola, 2016; Rabinovich et al., 2017). (Dong and Lapata, 2016) proposed SEQ2TREE to recursively generate sub-trees for domain-specific hierarchical logical form. (Liu et al., 2018) parse DRSs using dedicated hierarchical decoders to generate partial structure first before semantic content. We instead make model disambiguate syntactic types through type prediction as auxiliary task and multiple prediction heads. Constrained decoding using target language grammar rules has been explored (Yin and Neubig, 2017; Xiao et al., 2016). Copy-mechanism (Gu et al., 2016) and decoder self-attn (Zhang et al., 2019) have been used previously, but our variable alignment mechanism is different since it enforces variable consistency through explicit decision based supervised loss on whether to align and where to align.

5 Conclusion and Future Work

We examined capability of neural models on task of parsing First-Order Logic from natural language sentences. We proposed to disentangle the representations of different token and perform category prediction as an auxiliary task. We utilized entity decoupling to build an alignment mechanism to capture relationship between variables across predicates and show effectiveness through various ablations. Despite the limitations of the dataset, the alignment and disentanglement concepts proposed are generic and can pave way for future explorations over different natural language parsing datasets.

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Entity Decoupling and Alignment Mechanism for First-Order Logic Parsing (Supplementary Material)

Anonymous EACL submission

1 Compute Details

Each of our models are implemented from scratch using Pytorch¹ and trained on a single V100 16 GB GPUs and 240 GB Memory machines. The models were trained till there was no validation loss improvements. On an average, the training for models took 6 hours each.

2 Evaluation Framework

Algorithm 1: Estimating alignment between variables (vars) and predicates (preds) in expected and predicted FOLs

```

1 Input : expected and predicted FOLs,  $FOL_{ex}$  and  $FOL_{pred}$  of an input sentence
2 Output : possible alignments between variables and predicates of input FOLs
3  $curr\_matching \leftarrow empty$ 
4  $var\_alignments \leftarrow ALIGN\_ENTS(FOL_{ex}^{vars}, FOL_{pred}^{vars}, curr\_matching)$ 
5  $pred\_alignments \leftarrow empty$ 
6 for  $curr\_matching \in var\_alignments$  do
7    $pred\_alignments.extend(ALIGN\_ENTS(FOL_{ex}^{preds}, FOL_{pred}^{preds}, curr\_matching))$ 
8 end
9 function  $ALIGN\_ENTS(F_{ex}^{ents}, F_{pred}^{ents}, matching)$ 
10   $ext\_match \leftarrow empty$ 
11   $noChange \leftarrow True$ 
12  for  $ent_{ex} \in F_{ex}^{ents}, ent_{pred} \in F_{pred}^{ents}$  do
13    if  $ent_{ex} \notin matching$  and  $ent_{pred} \notin matching$  and  $EntsMatch(ent_{ex}, ent_{pred})$  then
14       $noChange \leftarrow False$ 
15       $new\_matching \leftarrow copy(matching).append((ent_{ex}, ent_{pred}))$ 
16       $ext\_match.extend(ALIGN\_ENTS(F_{ex}^{ents}, F_{pred}^{ents}, new\_matching))$ 
17    end
18  end
19  if  $noChange$  then
20     $ext\_match.append(matching)$ 
21  end
22  return  $ext\_match$ 
23 end function

```

¹<https://pytorch.org/>