



# BOTTOM UP PARSING

# HANDLE PRUNING

- Handle is the substring which matches right side of the production and we can reduce such string by a non terminal on the LHS of the production.
- Reduction of a string or handle by a suitable Non terminal is called pruning.



# CONFLICTS IN SHIFT REDUCE PARSER

- Shift reduce conflict
- Reduce reduce conflict



# SHIFT REDUCE CONFLICT

$$\begin{array}{lcl}
 stmt & \rightarrow & \text{if } expr \text{ then } stmt \\
 & & | \quad \text{if } expr \text{ then } stmt \text{ else } stmt \\
 & & | \quad \text{other}
 \end{array}$$

If we have a shift-reduce parser in configuration

STACK  
 $\dots \text{if } expr \text{ then } stmt$

INPUT  
 $\text{else } \dots \$$

- We can resolve above conflict by giving preference to shift



# REDUCE REDUCE CONFLICT

- (1) *stmt* → **id** ( *parameter\_list* )
- (2) *stmt* → *expr* := *expr*
- (3) *parameter\_list* → *parameter\_list* , *parameter*
- (4) *parameter\_list* → *parameter*
- (5) *parameter* → **id**
- (6) *expr* → **id** ( *expr\_list* )
- (7) *expr* → **id**
- (8) *expr\_list* → *expr\_list* , *expr*
- (9) *expr\_list* → *expr*

STACK

... **id** ( **id**

INPUT

, **id** ) ...

- Same syntax for function name and array
- LA returns **id** function name and array element.



# REDUCE REDUCE CONFLICT[CONTD..]

Change this to `procid`

- (1) *stmt* → **id** ( *parameter\_list* )
- (2) *stmt* → *expr* := *expr*
- (3) *parameter\_list* → *parameter\_list* , *parameter*
- (4) *parameter\_list* → *parameter*
- (5) *parameter* → **id**
- (6) *expr* → **id** ( *expr\_list* )
- (7) *expr* → **id**
- (8) *expr\_list* → *expr\_list* , *expr*
- (9) *expr\_list* → *expr*

STACK

... **procid** ( **id**

INPUT

, **id** ) ...



# LR PARSER

- Shift reduce parser is general class of bottom up parser.
- One level down in hierarchy , LR parser.
- Types of LR parsers
  - SLR parser : simple LR – basic
  - Canonical LR parser
  - LALR : lookahead LR parser
- More complex
- So difficult to construct in hand
- LR parser generator is usually used.



# WHY LR PARSERS?

- LR parser can be constructed to recognize most of the programming languages for which CFG can be written.
- LR parser works using non backtracking shift reduce technique.
- LR parser can detect a syntactic error as soon as it is possible.
- Class of grammar that can be parsed by LR parser is a superset of class of grammars that can be parsed using predictive parsing





# ITEMS AND LR(0) AUTOMATON

- How does a shift reduce parser know when to shift and when to reduce?

Ex -

STACK	INPUT	ACTION
\$	$\text{id}_1 * \text{id}_2 \$$	shift
$\$ \text{id}_1$	$* \text{id}_2 \$$	reduce by $F \rightarrow \text{id}$
$\$ F$	$* \text{id}_2 \$$	reduce by $T \rightarrow F$
$\$ T$	$* \text{id}_2 \$$	shift
$\$ T *$	$\text{id}_2 \$$	shift
$\$ T * \text{id}_2$	$\$$	reduce by $F \rightarrow \text{id}$
$\$ T * F$	$\$$	reduce by $T \rightarrow T * F$
$\$ T$	$\$$	reduce by $E \rightarrow T$
$\$ E$	$\$$	accept

Reduce  
to E or  
shift



## ITEMS AND LR(0) AUTOMATON[CONTD..]

- An LR parser make this decision by maintaining states to keep track of where are we in a parse.
- States represent set of “items”.
- An LR(0) item of a grammar  $G$  is a prodn of  $G$  with a dot at some position of the body.
- An item indicates how much of a prodn we have seen at given point in the parsing process.



# ITEMS AND LR(0) AUTOMATON[CONTD..]

- Production  $A \rightarrow XYZ$

Items are

$A \rightarrow \bullet XYZ$

$A \rightarrow X \bullet YZ$

$A \rightarrow XY \bullet Z$

$A \rightarrow XYZ \bullet$

- $A \rightarrow X \bullet YZ$  indicates that we have just parsed input string derivable from  $X$  and  $YZ$  are yet to be parsed.



# ITEMS AND LR(0) AUTOMATON[CONTD..]

- An item indicates how much of a prodn we have seen at given point in the parsing process.
- $A \rightarrow XYZ \bullet$   
time to reduce  $XYZ$  to  $A$ .
- So, there is a prodn  $A \rightarrow \epsilon$ . what is the item?  
 $A \rightarrow \bullet$



# ITEMS AND LR(0) AUTOMATON[CONTD..]

○ Ex 2:  $S' \rightarrow S$

$S \rightarrow ( S ) S \mid \epsilon$

- The grammar has 3 production choices.
- The grammar has 8 items

○  $S' \rightarrow .S$

$S' \rightarrow S.$

○  $S \rightarrow .( S ) S$

$S \rightarrow ( . S ) S$

○  $S \rightarrow ( S . ) S$

$S \rightarrow ( S ) . S$

○  $S \rightarrow ( S ) S.$

$S \rightarrow .$



# ITEMS AND LR(0) AUTOMATON[CONTD..]

○ Ex 3:  $E' \rightarrow E$

$E \rightarrow E + n \mid n$

- The grammar has 3 production choices.
- The grammar has 8 items.

○  $E' \rightarrow \cdot E$

$E' \rightarrow E \cdot$

○  $E \rightarrow \cdot E + n$

$E \rightarrow E \cdot + n$

○  $E \rightarrow E + \cdot n$

$E \rightarrow E + n \cdot$

○  $E \rightarrow \cdot n$

$E \rightarrow n \cdot$



## TERMS RELATED

- Canonical LR(0) collection
- LR(0) automaton
- Augmented grammar
- Kernel :  $S' \rightarrow .S$  + all items without dot at leftmost of RHS
- Non kernel : All items with dot at left end except  $S' \rightarrow .S$



# CLOSURE OF ITEM SETS

- [closure.pdf](#)
- $I$  – set of items for  $G$
- $\text{Closure}(I)$  – 2 rules
- Initially add every item in  $I$  to  $\text{closure}(I)$ .
- If  $A \rightarrow \alpha \bullet B \beta$  is in  $\text{closure}(I)$  and  $B \rightarrow \gamma$  is a production then add item  $B \rightarrow \bullet \gamma$





# GOTO FUNCTION

○ [goto.pdf](#)

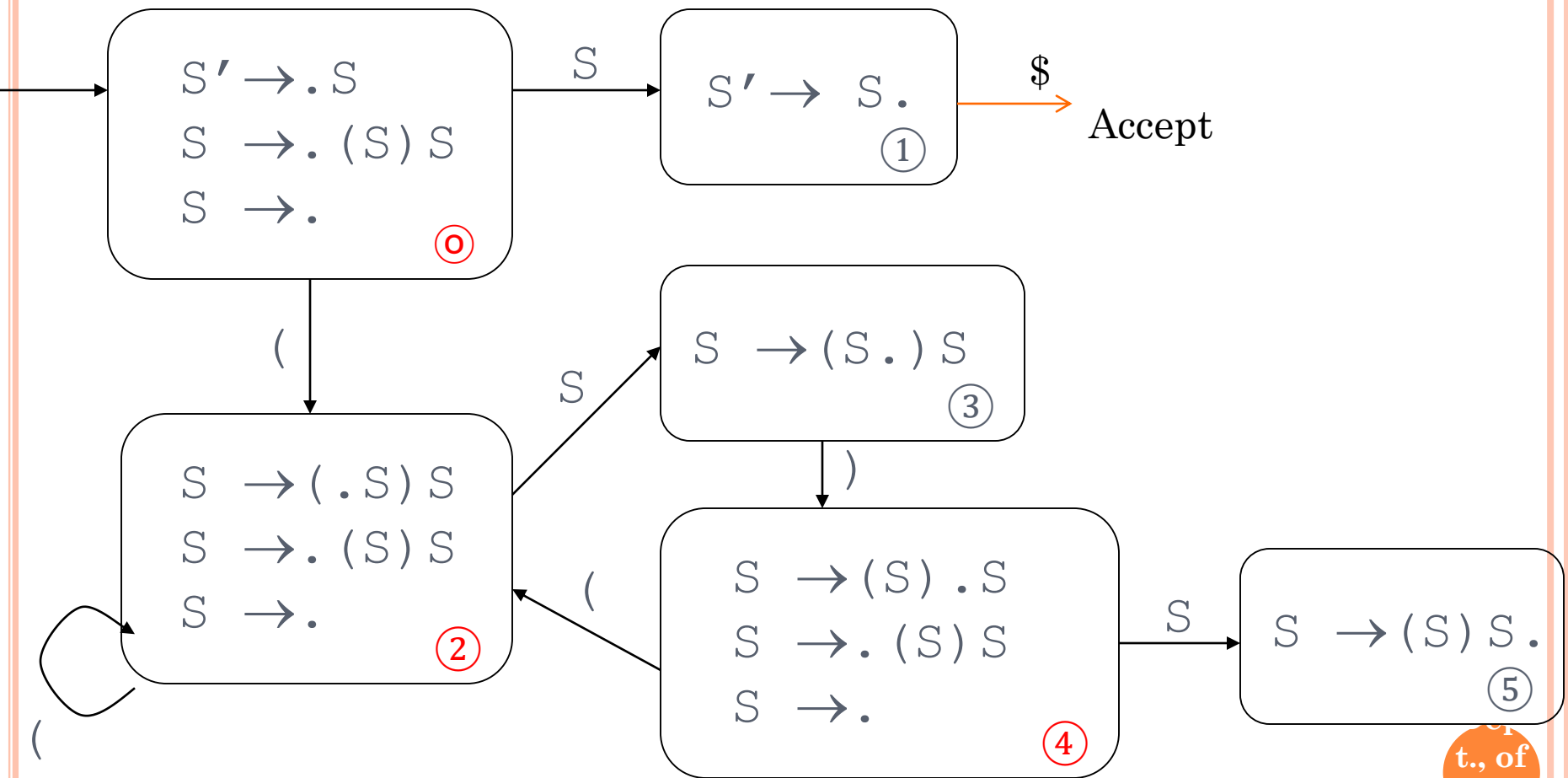
Definition :  $\text{Goto}(I, X)$  is closure of the set of all items  $[A \rightarrow \alpha \bullet X \beta]$  such that  $[A \rightarrow \alpha X \bullet \beta]$  is in  $I$ .

$I$  – set of items

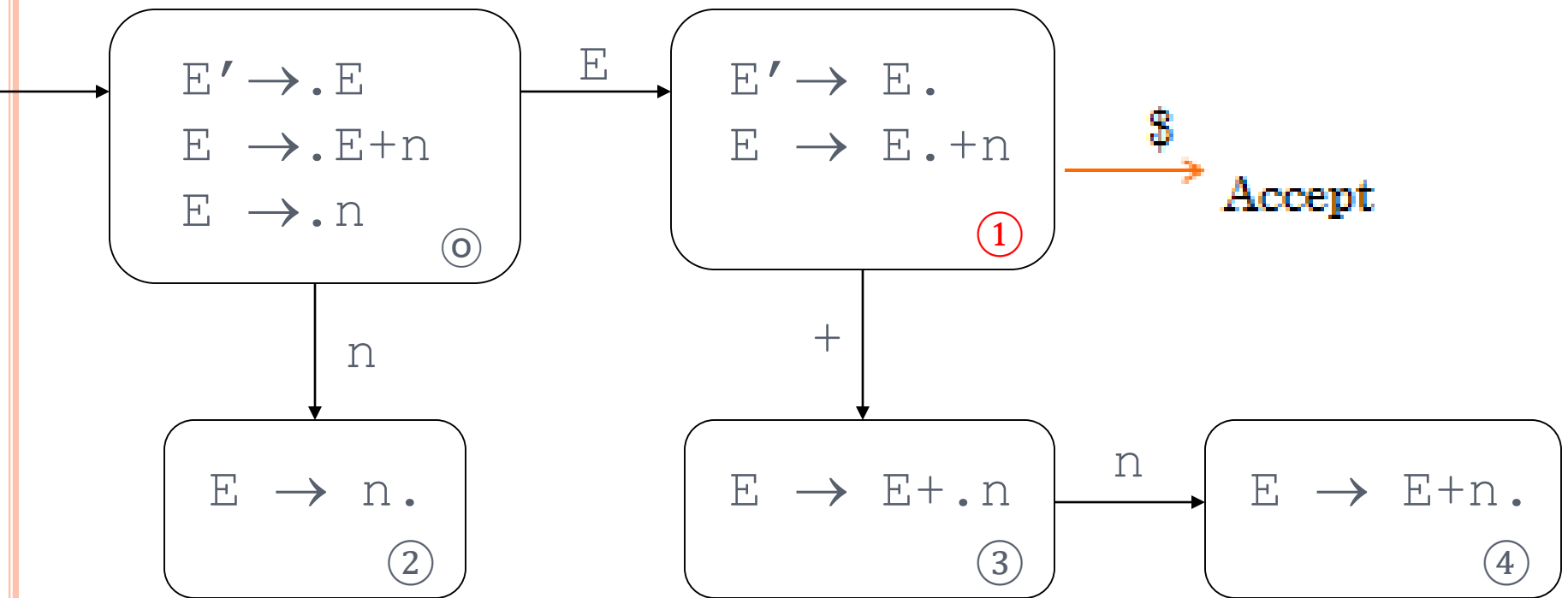
$X$  – grammar symbol



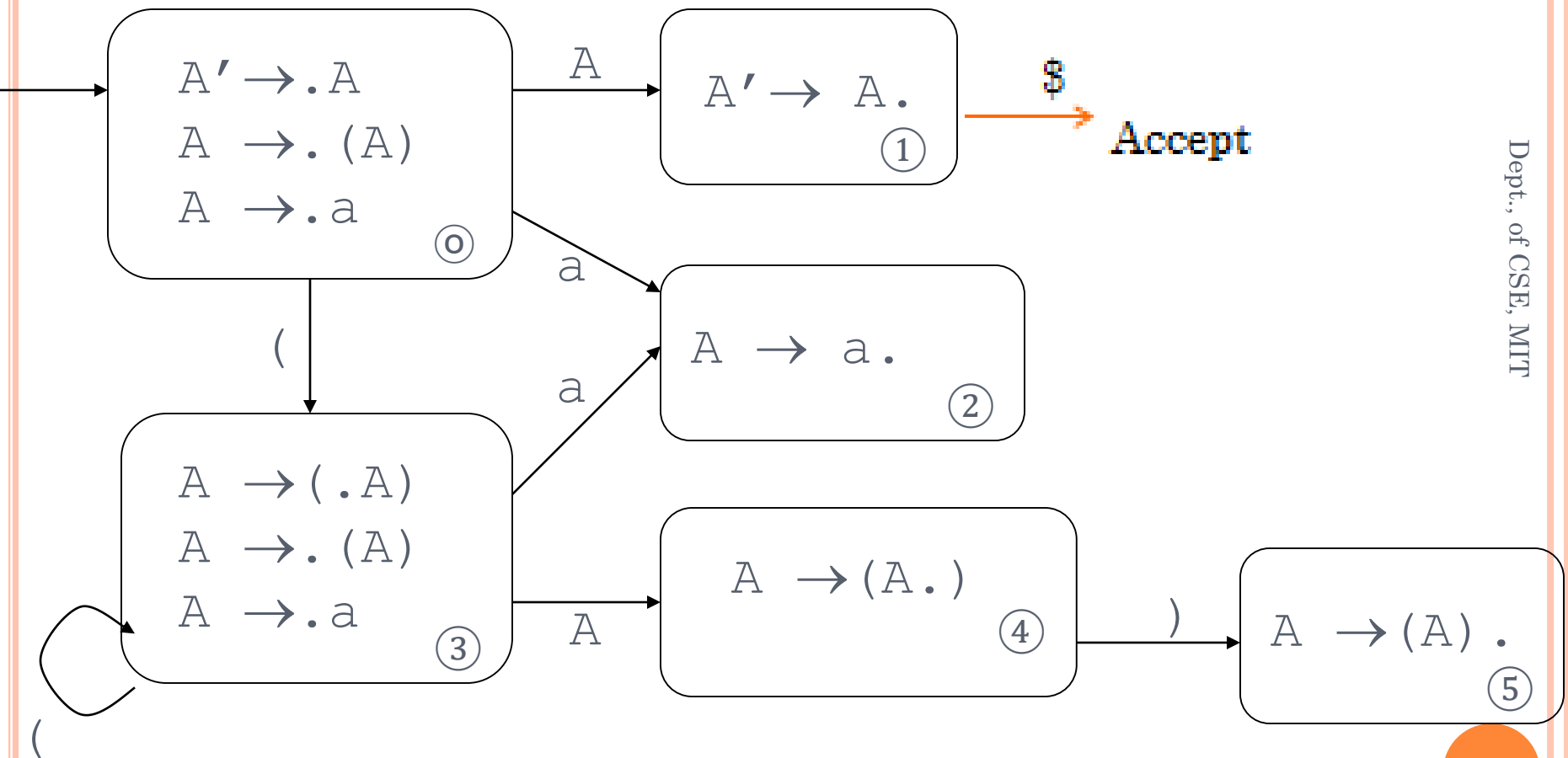
# EXAMPLE 1: DFA OF LR(0) ITEMS



## EXAMPLE 2: DFA OF LR(0) ITEMS



# Example 3: DFA of LR(0) Items

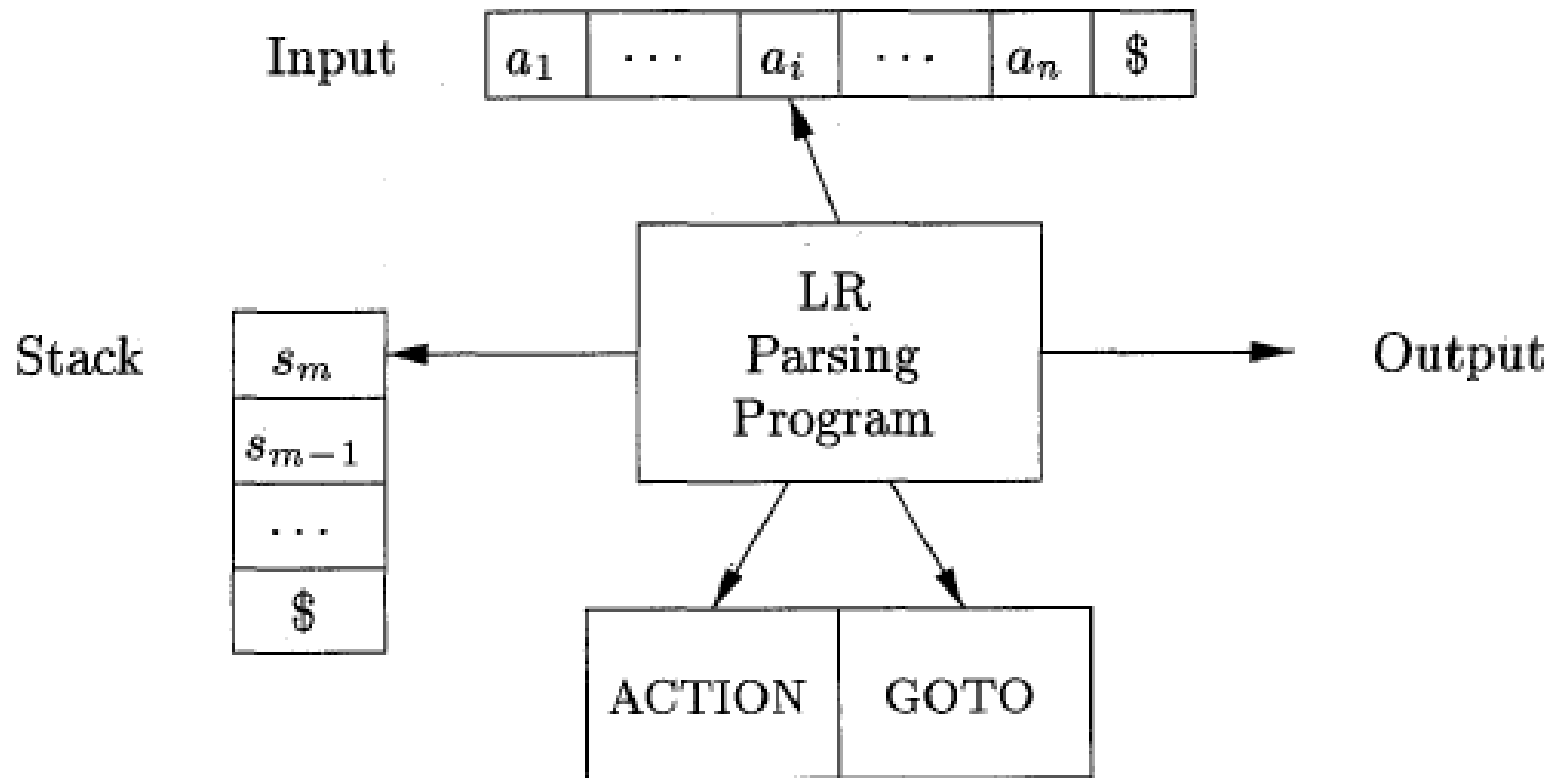


# SLR(1)

- Simple LR parser
- Lookahead 1 - uses follow in construction of parse table
- Uses LR(0) items and DFA
- Parse table and parsing



# LR PARSING ALGORITHM



- Stack maintains states rather than symbols.
- LR parser pushes states not symbols.



# CONSTRUCTION OF PARSE TABLE FOR SLR(1)

- Write states of DFA as rows
- Has two parts – **action and goto**
- Under **action**, make columns for all **terminals**
- Under **goto**, make columns for all **Non terminals**
- For each state, refer DFA and fill table
  - Shift
  - Reduce
  - Accept
  - error
  - Goto entries



Basic function



# LR PARSING

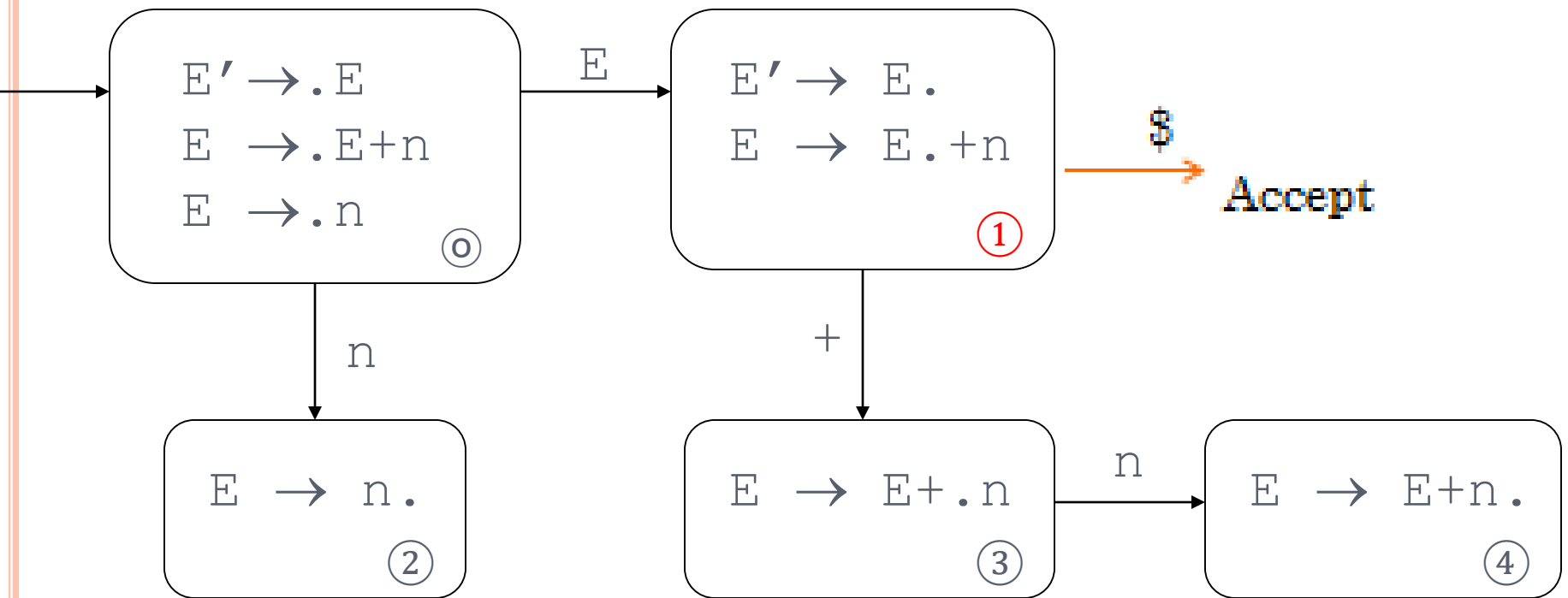
```
let  $a$  be the first symbol of  $w\$$ ;  
while(1) { /* repeat forever */  
    let  $s$  be the state on top of the stack;  
    if ( ACTION[ $s, a$ ] = shift  $t$  ) {  
        push  $t$  onto the stack;  
        let  $a$  be the next input symbol;  
    } else if ( ACTION[ $s, a$ ] = reduce  $A \rightarrow \beta$  ) {  
        pop  $|\beta|$  symbols off the stack;  
        let state  $t$  now be on top of the stack;  
        push GOTO[ $t, A$ ] onto the stack;  
        output the production  $A \rightarrow \beta$ ;  
    } else if ( ACTION[ $s, a$ ] = accept ) break; /*  
    else call error-recovery routine;  
}
```





## EXAMPLE 2: DFA OF LR(0) ITEMS

- 0)  $E' \rightarrow E$
- 1)  $E \rightarrow E+n$
- 2)  $E \rightarrow n$



# SLR PARSE TABLE

0.  $E^1 \rightarrow E$

1.  $E \rightarrow E+n$

2.  $E \rightarrow n$

$\text{Follow}(E) = \{+, \$\}$

State	ACTION			GOTO
	n	+	\$	E
0	s2			1
1		s3	Accept	
2		r2	r2	
3	s4			
4		r1	r1	

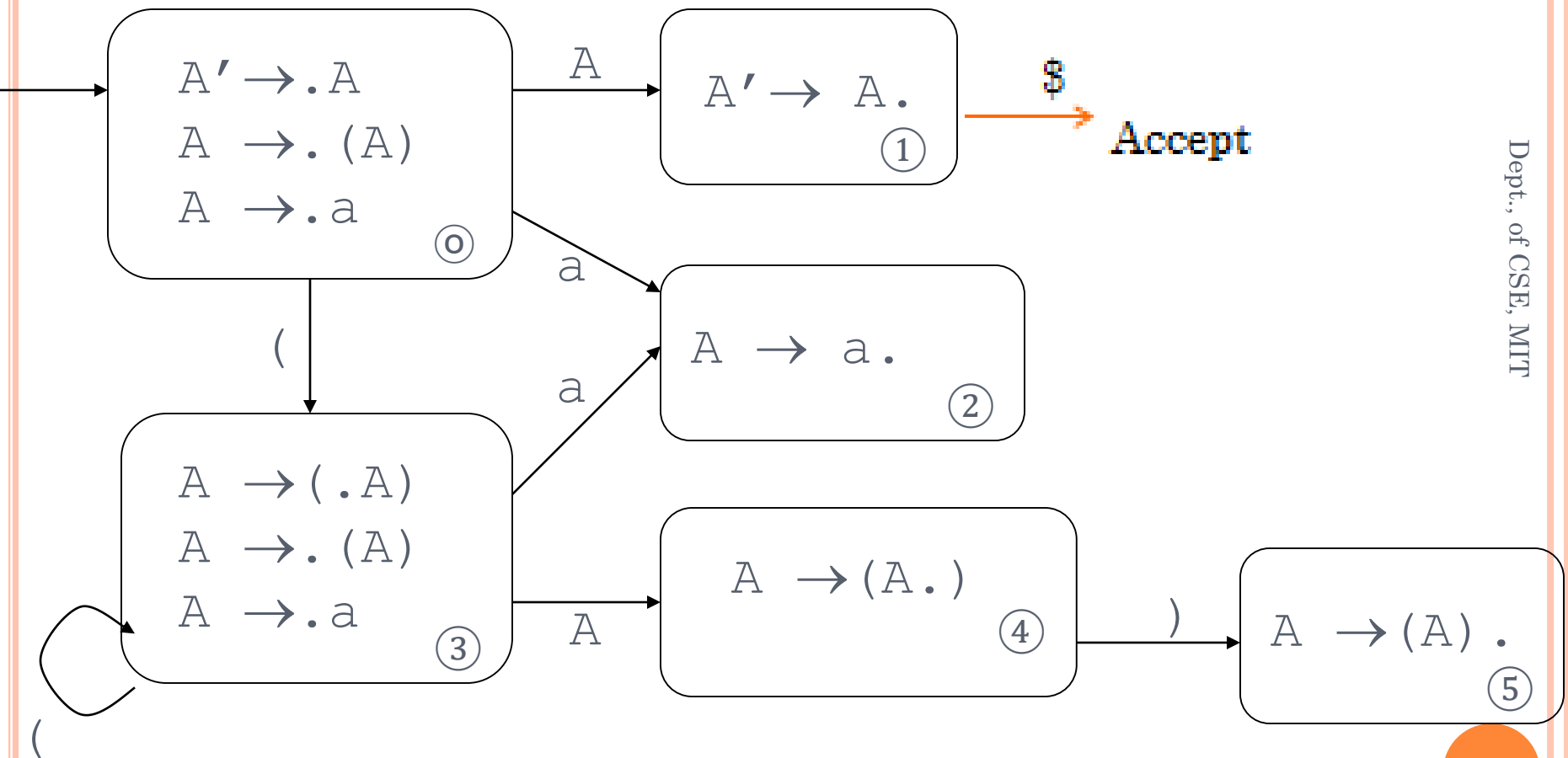


# PARSING ACTION

Stack	symbols	input	action
\$0		n+n+n\$	Shift
\$02	n	+n+n\$	Reduce $E \rightarrow n$
\$01	E	+n+n\$	Shift
\$013	E+	n+n\$	Shift
\$0134	E+n	+n\$	Reduce $E \rightarrow E+n$
\$01	E	+n\$	Shift
\$013	E+	n\$	Shift
\$0134	E+n	\$	Reduce $E \rightarrow E+n$
\$01	E	\$	Accept



# Example 3: DFA of LR(0) Items



0 .A' → .A

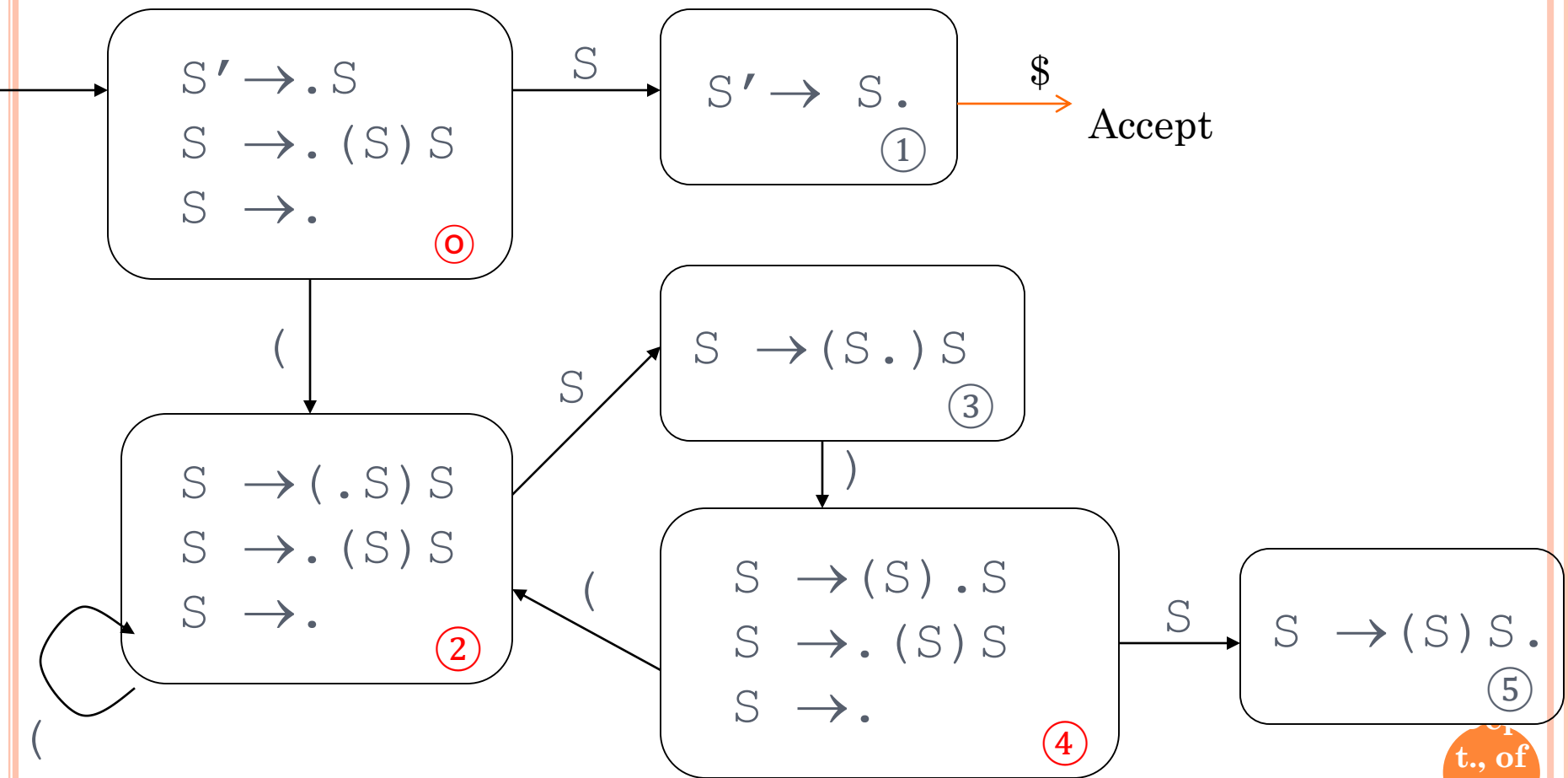
1 .A → . (A)

2 .A → .a

State	ACTION				GOTO
	(	)	a	\$	
0	s3		s2		1
1				Accept	
2		r2		r2	
3	s3		s2		4
4		s5			
5		r1		r1	



# EXAMPLE 1: DFA OF LR(0) ITEMS



- 0.  $S' \rightarrow S$
- 1.  $S \rightarrow (S) S$
- 2.  $S \rightarrow \epsilon$

State	ACTION			GOTO
	(	)	\$	S
0	s2	r2	r2	1
1			Accept	
2	s2	r2	r2	3
3		s4		
4	s2	r2	r2	5
5		r1	r1	

Input :  $()()$



# WHY TO AUGMENT GRAMMAR

- To indicate parser when it should stop parsing and announce acceptance of the input.
- Single node
- Start symbol of the given grammar may have more than one definition.
- It may be difficult to judge whether whole string is parsed.
- May also be part of other production





EX4:

$S \rightarrow Aa \mid bAc \mid dc \mid bda$

$A \rightarrow d$

Step 1: Augment Grammar

Step 2: Find start state of DFA

$S^1 \rightarrow \bullet S$

$S \rightarrow \bullet Aa$

$S \rightarrow \bullet bAc$

$S \rightarrow \bullet dc$

$S \rightarrow \bullet bda$

$A \rightarrow \bullet d$

Step 3: Draw DFA

Step 4: construct Parse table

Step 5: Show parsing action



# LIMITATIONS OF SLR(1)

- Applies lookaheads after the construction of the DFA of LR(0) items
- **The construction of DFA ignores lookaheads**
- The general LR(1) method:
  - **Using a new DFA with the lookaheads built into its construction**

The DFA items are an extension of LR(0) items  
LR(1) items include a single lookahead token in each item.
  - A pair consisting of an LR(0) item and a lookahead token.

LR(1) items using square brackets as  $[A \rightarrow \alpha \cdot \beta, a]$   
where  $A \rightarrow \alpha \cdot \beta$  is an LR(0) item and  $a$  is a lookahead token

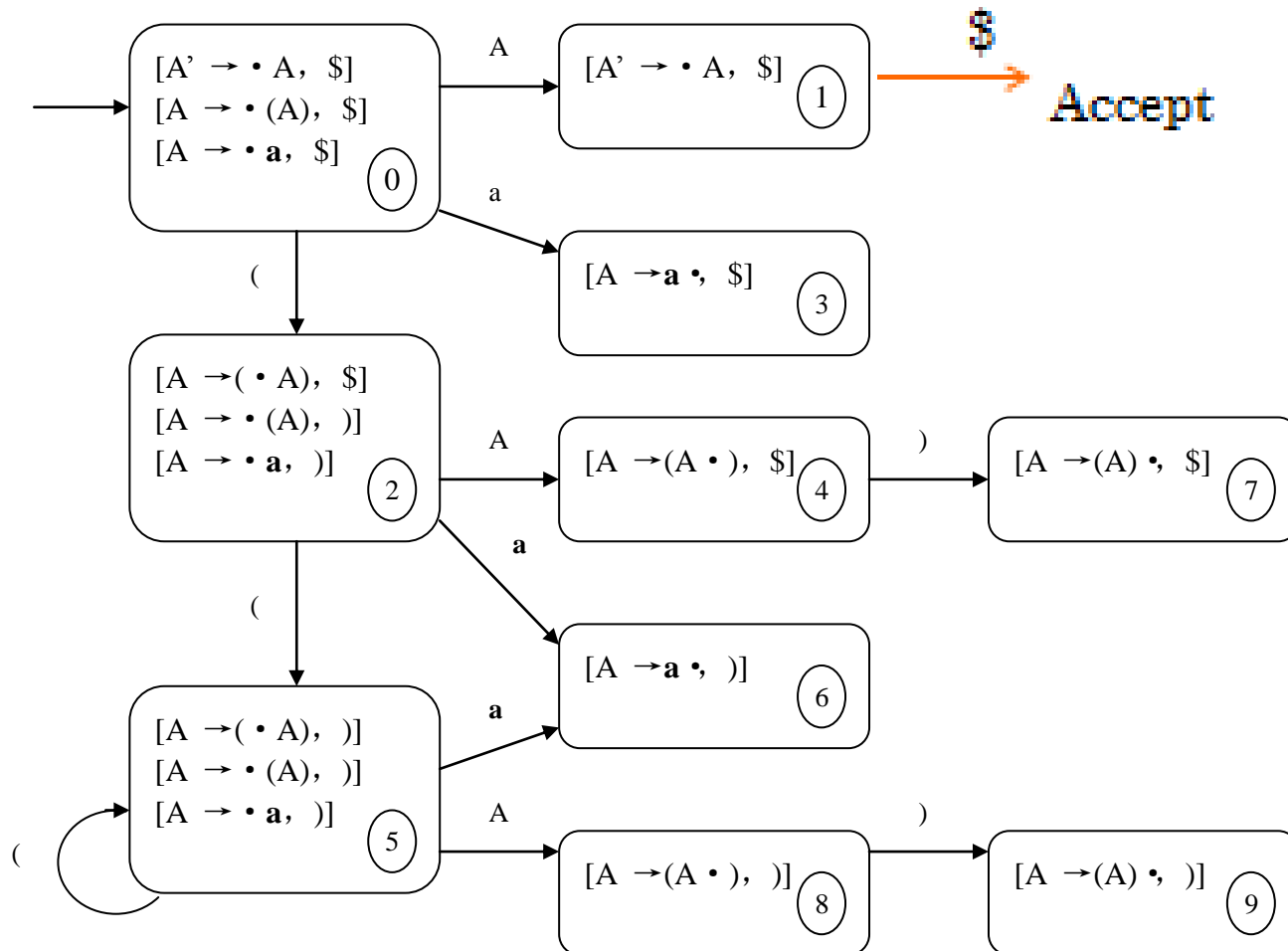


# CLR(1)

## The Grammar:

(1)  $A \rightarrow (A)$

(2)  $A \rightarrow a$



# The Grammar:

(1)  $A \rightarrow (A)$

(2)  $A \rightarrow a$

State	Input				Goto
	(	a	)	\$	
0	s3	s2			1
1				accept	
3	s6	s5			4
2				r2	
4			s9		
6	S6	S5			7
5			r2		
9				r1	
7			s8		
8			r1		