

# APPLIED PHYSICS AND APPLIED MATHEMATICS WITH MATERIALS SCIENCE AND ENGINEERING

## **MSAE E6273**

# **TBA**

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## 1 Some alogrithm for molecular dynamics

### 1.1 Closed methods

#### 1.1.1 Euler's method

#### 1.1.2 Modified Euler's method

### 1.1.3 Gear algorithm

## 1.2 Open methods

#### 1.2.1 Verlet algorithm

The Verlet algorithm starts from the basic assumption

$$\frac{x_{n+1} + x_{n-1} - 2x_n}{h^2} = F(x_n),\tag{1}$$

which is, the second-order central difference method.

#### 1.2.2 Leap-frog algorithm

#### 1.2.3 Beeman algorithm

Beeman's algorithm, which is used in the code, is also an open method. The basic equations are

$$x_{n+1} = x_n + hv_n + \frac{2}{3}h^2F_n - \frac{1}{6}h^2F_{n-1},$$
 (2a)

$$v_{n+1} = v_n + \frac{1}{3}hF_{n+1} + \frac{5}{6}hF_n - \frac{1}{6}hF_{n-1},$$
(2b)

where  $F_n = F(x_n)$  is the force on *n*th step.<sup>1</sup> This algorithm is equivalent to the Verlet algorithm. First consider

$$v_{n-1} = \frac{1}{h}(x_n - x_{n-1}) - \frac{1}{6}h(4F_{n-1} - F_{n-2}),\tag{3}$$

by transforming (2a), then use (2b) we derive

$$v_n = \frac{1}{h}(x_n - x_{n-1}) + \frac{1}{6}hF_{n-2} + \frac{5}{6}h(F_n - F_{n-1}) + \frac{1}{3}hF_{n+1}, \tag{4}$$

substitute (4) back into (2a) we recover (1).

# 2 Invariant molecular dynamics with variable cell shape: the history

Here lists some key papers to keep track the purposes and methods of this simulation.

## 2.1 Andersen's approach

Andersen first extends the molecular dynamics field to ensembles other than micro-canonical ensemble.<sup>2</sup> In his ground-breaking paper, he proposed ways to calculate properties average over isoenthalpic-isobaric (NPH) ensemble. In the article, he introduced a Lagrangian

$$\mathcal{L}(\rho, \dot{\rho}, Q, \dot{Q}) = \frac{1}{2} m Q^{\frac{2}{3}} \sum_{i=1}^{N} \dot{\rho}_{i} \cdot \dot{\rho}_{i} - \sum_{i < i=1}^{N} u \left( Q^{\frac{1}{3}} \rho_{ij} \right) + \frac{1}{2} M \dot{Q}^{2} - \alpha Q, \tag{5}$$

where  $\rho_i = r_i/V^{\frac{1}{3}}$ , i = 1, 2, ..., N, is called scaled coordinates. Here  $\alpha$  and M are constants,  $\frac{1}{2}M\dot{Q}$  now is regarded as a kinetic energy with fictitious mass M, and  $\alpha Q$  is regarded as a potential energy for the motion of Q. The generalized momentum conjugate to  $\rho$  is

$$\pi_i = \frac{\partial \mathcal{L}_2}{\partial \dot{\rho}_i} = mQ^{\frac{2}{3}} \rho_i \,, \tag{6}$$

and which for Q is

$$\Pi = \frac{\partial \mathcal{L}_2}{\partial \dot{Q}} = M\dot{Q}. \tag{7}$$

The Hamiltonian is thus

$$\mathcal{H}(\rho, \boldsymbol{\pi}, Q, \Pi) = \sum_{i=1}^{N} \boldsymbol{\pi}_{i} \cdot \dot{\rho}_{i} + \Pi \dot{Q} - \mathcal{L}_{2}(\rho, \dot{\rho}, Q, \dot{Q})$$

$$= \frac{1}{2mQ^{\frac{2}{3}}} \sum_{i=1}^{N} \boldsymbol{\pi}_{i} \cdot \boldsymbol{\pi}_{i} + \sum_{i < j=1}^{N} u(Q^{\frac{1}{3}}\rho_{ij}) + \frac{1}{2M}\Pi^{2} + \alpha Q.$$
(8)

So the equations of motions are

$$\dot{\rho}_i = \frac{\partial \mathcal{H}}{\partial \pi_i} = \frac{\pi_i}{mQ^{\frac{2}{3}}},\tag{9}$$

$$\dot{\boldsymbol{\pi}}_{i} = -\frac{\partial \mathcal{H}}{\partial \boldsymbol{\rho}_{i}} = -Q^{\frac{1}{3}} \sum_{\substack{j=1\\j \neq i}} \frac{u' \boldsymbol{\rho}_{ij}}{|\boldsymbol{\rho}_{ij}|}, \tag{10}$$

$$\dot{Q} = \frac{\partial \mathcal{H}}{\partial \Pi} = \frac{\Pi}{M} \,, \tag{11}$$

$$\dot{\Pi} = -\frac{\partial \mathcal{H}}{\partial Q} = -\frac{1}{3Q} \left( -\frac{1}{mQ^{\frac{2}{3}}} \sum_{i=1}^{N} \pi_i \cdot \pi_i + Q^{\frac{1}{3}} \sum_{i \le j} \rho_{ij} u' (Q^{\frac{1}{3}\rho_{ij}}) + 3\alpha Q \right). \tag{12}$$

With these equations, the trajectory of the scaled system are given by  $\rho(t)$ ,  $\pi(t)$ , Q(t), and  $\Pi(t)$ .

Use this trajectory, any function's time average are given by

$$\overline{G} = \lim_{T \to \infty} \frac{1}{T} \int_0^T dt G(\rho(t), \pi(t), Q(t), \Pi(t)), \tag{13}$$

and this can be given by the average of an NE ensemble. That is,

$$G_{NE}(N,E) = \frac{1}{N!\Omega(N,E)} \int d\rho \int d\boldsymbol{\pi} \int dQ \int d\Pi$$
$$\delta (\mathcal{H}(\rho,\boldsymbol{\pi},Q,\Pi) - E) G(\rho(t),\boldsymbol{\pi}(t),Q(t),\Pi(t)), \quad (14)$$

where

$$\Omega(N, E) = \frac{1}{N!} \int d\rho \int d\pi \int dQ \int d\Pi \, \delta (\mathcal{H}(\rho, \pi, Q, \Pi) - E). \tag{15}$$

The scaled system has a correspondence

$$V = Q, (16)$$

$$\boldsymbol{r}_i = Q^{\frac{1}{3}} \boldsymbol{\rho}_i \,, \tag{17}$$

$$\boldsymbol{p}_i = \boldsymbol{\pi}_i / Q^{\frac{1}{3}} \,, \tag{18}$$

to the phase space of a system spanned by  $\mathbf{r}_i$ ,  $i=1,\ldots,N$  and  $\mathbf{p}_i$ ,  $i=1,\ldots,N$ , where  $\mathbf{r}_i$  is the particle coordinate,  $\mathbf{p}_i$  is its momentum. Thus the trajectory  $\mathbf{p}(t)$ ,  $\mathbf{\pi}(t)$ ,  $\mathbf{Q}(t)$ , and  $\mathbf{\Pi}(t)$  have its correspondence V(t),  $\mathbf{r}_i(t)$  and  $\mathbf{p}_i(t)$  by (16). Since the time average  $\overline{F}$  of any function F derived by this trajectory is the same as an isoentahlpic-isobaric ensemble average of  $F_{NPH}$ , and  $\overline{G} = \overline{F}$ , thus we get the NPH ensemble average. The ensemble pressure P is  $\alpha$  in (5) indeed.

## 2.2 Rahman-Parrinello approach

Rahman and Parrinello then proposed a method to perform MD simulations which allows volume and shape of the MD cell to change with time.<sup>3</sup> If the MD cell edges are  $\boldsymbol{a}$ ,  $\boldsymbol{b}$  and  $\boldsymbol{c}$ , which are time dependent. Stack them to form a matrix  $\boldsymbol{h} = \{\boldsymbol{a}, \boldsymbol{b}, \boldsymbol{c}\}$ , and the volume of a cell is then  $\Omega = \boldsymbol{a} \cdot \boldsymbol{b} \times \boldsymbol{c}$ , the metric tensor is  $g = h^T h$ . Let  $\boldsymbol{r}_i = \xi_i \boldsymbol{a} + \eta_i \boldsymbol{b} + \zeta_i \boldsymbol{c} = h \boldsymbol{s}_i$ , where  $\boldsymbol{s}_i$  store its coordinates  $\xi_i$ ,  $\eta_i$ , and  $\zeta_i$ , each from 0 to 1. Then they introduced a Lagrangian

$$\mathcal{L} = \frac{1}{2} \sum_{i=1}^{N} m_i \dot{\mathbf{s}}_i^{\mathsf{T}} g \dot{\mathbf{s}}_i - \sum_{i=1}^{N} \sum_{i < j} \phi(r_{ij}) + \frac{1}{2} W \operatorname{Tr} \left( \dot{h}^{\mathsf{T}} \dot{h} \right) - P \Omega, \tag{19}$$

where  $r_{ij}^2 = (s_i - s_j)^T g(s_i - s_j)$ , P is the external hydrostatic pressure,  $\phi(r_{ij})$  is the pair potential, W is the fictitious mass.

Then the equations of motion are

$$\ddot{s}_i = \frac{1}{m_i} \sum_{j \neq i} \frac{\phi'(r_{ij})}{r_{ij}} (s_i - s_j) - g^{-1} \dot{g} \dot{s}_i, \quad i, j = 1, 2, \dots, N,$$
(20a)

$$\ddot{h} = \frac{1}{W}(\Pi - P)\sigma,\tag{20b}$$

where  $\sigma = \{a \times b, b \times c, c \times a\}$ , matrix  $\Pi$  is given by

$$\Omega\Pi = \sum_{i=1}^{N} m_i \boldsymbol{v}_i \boldsymbol{v}_i^{\mathsf{T}} + \sum_{i=1}^{N} \sum_{i < j} \frac{\phi'(r_{ij})}{r_{ij}} (\boldsymbol{r}_i - \boldsymbol{r}_j) (\boldsymbol{r}_i - \boldsymbol{r}_j)^{\mathsf{T}},$$
(21)

with  $v_i = hs_i$ . Then Andersen's equations of motion is a special case of (20), where  $h = \operatorname{diag}(\Omega^{\frac{1}{3}}, \dots, \Omega^{\frac{1}{3}})$  and  $g^{-1}\dot{g} = \frac{2\dot{\Omega}}{3\Omega}$ . Though his equation for  $\ddot{V}$  cannot be obtained from (20b). But this Lagrangian also results in a isoenthalpic, isobaric ensemble, though with a small correction from the third term.

## 2.3 Wentzcovitch's approach

Wentzcovitch stated that Rahman-Parrinello method is dependent on the choice of cell edges.<sup>4</sup> For different a, b, and c, the fictitious kinetic energy  $K_L$  term could be different. For MD simulation of  $\sim 10^2$  particles the problem may not be too serious. But if the cell experience a modular transformation, the nominal value of  $K_L$ , and the resulted forces and trajectories will be dependent on the choice of h. Then she proposed a new Lagrangian

$$\mathcal{L} = \sum_{i=1}^{N} \dot{q}_{i}^{\mathsf{T}} d\dot{q}_{i} - \sum_{i=1}^{N} \sum_{i < i} \phi(r_{ij}) + \frac{W}{2} \operatorname{Tr} \left( \dot{\epsilon} \dot{\epsilon}^{\mathsf{T}} \right) - P\Omega, \tag{22}$$

where  $\epsilon$  is the strain,  $\mathbf{q}_i$  is the defined as  $\mathbf{r}_i = (1 + \epsilon)\mathbf{q}_i$ , thus  $d = (1 + \epsilon)^T(1 + \epsilon)$ . The equations of motion are

$$\ddot{q}_i = -\frac{1}{m_i} \sum_{\substack{i,j=1\\i\neq i}}^N \frac{\phi'(r_{ij})}{r_{ij}} (q_i - q_j) - d^{-1} \dot{d} \dot{q}_i,$$
(23)

$$\ddot{\varepsilon} = \frac{\Omega}{W} (\Pi - P) \left( (1 + \epsilon)^{\mathsf{T}} \right)^{-1}. \tag{24}$$

An equivalent form of (22) is

$$\mathcal{L} = \frac{1}{2} \sum_{i=1}^{N} m_i \dot{\mathbf{s}}_i^{\mathsf{T}} g \dot{\mathbf{s}}_i - \sum_{i=1}^{N} \sum_{i \leq i} \phi(r_{ij}) + \frac{1}{2} W \operatorname{Tr} \left( \dot{h} f_0 \dot{h}^{\mathsf{T}} \right) - P \Omega, \tag{25}$$

with  $f_0 = \sigma_0^\mathsf{T} \sigma_0$ , where  $\sigma_0 = \{ \boldsymbol{a}_0 \times \boldsymbol{b}_0, \boldsymbol{b}_0 \times \boldsymbol{c}_0, \boldsymbol{c}_0 \times \boldsymbol{a}_0 \}$ . These vectors form the matrix  $h_0$ , and the h in (19) is  $h = (1 + \epsilon)h_0$ . This will lead to (24) to be restated by using  $\ddot{h}$  as

$$\ddot{h} = \frac{1}{W}(\Pi - P)\sigma f_0^{-1},\tag{26}$$

which makes it easier to implement in code.

If further modify the fictitious kinetic energy term  $K_L$  to be  $\frac{1}{2}W \operatorname{Tr} (\dot{h}\sigma^{\mathsf{T}}\sigma\dot{h}^{\mathsf{T}})$ , then (26) will become

$$\ddot{h} = \frac{1}{W}(\Pi - P)\sigma f^{-1} + \frac{1}{2}\operatorname{Tr}\left(e\frac{\partial f}{\partial h}\right)f^{-1} - \dot{h}\dot{f}f^{-1},\tag{27}$$

with  $f = \sigma^T \sigma$ ,  $e = \dot{h}^T \dot{h}$ . This Lagrangian coincide with (5) in isoshape limit.

She then performed MD simulations using (19) and (22), as well as the modified Lagrangian under zero temperature to compare. Her method eliminated the symmetry breaking introduced by Rahman–Parrinello non-invariant fictitious part of the dynamics.

## 3 First principles molecular dynamics

The first quantum molecular dynamics (QMD), a.k.a., "ab initio" or "first principles" simulation was carried out by Car and Parrinello in 1985.<sup>5</sup> Their and subsequent work have led to a great development on treating real, complex molecules, solids and liquids with forces derived by density functional theory (DFT).<sup>6</sup> Now we are going to have a look on this work.

## 3.1 Car-Parrinello approach

Car and Parrinello were dedicating to find the ground-state electronic solution for the electrons as the nuclei move. Their MD simulation was based on 2 assumptions: the validity of classical mechanics to describe ionic motions, and Born–Oppenheimer approximation to separate the nuclear and electronic coordinates. They invented a new strategy other than the Metropolis Monte Carlo method introduced by Kirkpatrick, Gelatt, and Vecchi, the so-called "dynamical simulated annealing" method. By this method, they could minimize the energy of electrons and solve for the motion of the nuclei simultaneously. This is achieved by introducing a Lagrangian

$$\mathcal{L} = \sum_{i} \frac{1}{2} \mu \int d\mathbf{r} |\dot{\psi}_{i}(\mathbf{r})|^{2} + \sum_{I} \frac{1}{2} M_{I} \dot{\mathbf{R}}_{I}^{2} + \sum_{V} \frac{1}{2} \mu_{V} \dot{\alpha}_{V}^{2} - E[\{\psi_{i}\}, \{\mathbf{R}_{I}\}, \{\alpha_{V}\}],$$
 (28)

with the holonomic constraints

$$\int d\mathbf{r}\psi_i^*(\mathbf{r},t)\psi_j(\mathbf{r},t) = \delta_{ij}, \tag{29}$$

where  $M_I$  are the physical ionic masses, while  $\mu$  and  $\mu_{\nu}$  are just arbitrary parameters of appropriate units. In (28), the dynamics associated with the  $\{\psi_i\}$ 's and the  $\{\alpha_{\nu}\}$ 's is fictitious and should only be considered as a numerical tool. Combine (28) and (29) we derive

$$\mathcal{L}' = \sum_{i} \frac{1}{2} \mu \int d\mathbf{r} |\dot{\psi}_{i}(\mathbf{r})|^{2} + \sum_{I} \frac{1}{2} M_{I} \dot{\mathbf{R}}_{I}^{2} + \sum_{\nu} \frac{1}{2} \mu_{\nu} \dot{\alpha}_{\nu}^{2} - E[\{\psi_{i}\}, \{\mathbf{R}_{I}\}, \{\alpha_{\nu}\}] + \sum_{ij} \Lambda_{ij} \left[ \int d\mathbf{r} \psi_{i}^{*}(\mathbf{r}, t) \psi_{j}(\mathbf{r}, t) - \delta_{ij} \right], \quad (30)$$

where  $E[\{\psi_i\}, \{R_I\}, \{\alpha_v\}]$  is a functional,  $\Lambda_{ij}$  is a Lagrangian multiplier. Thus the corresponding equations of motion are

$$\mu \ddot{\psi}_i(\mathbf{r}, t) = \frac{\partial \mathcal{L}'}{\partial \psi_i^*(\mathbf{r}, t)} = -\frac{\delta E}{\delta \psi_i^*(\mathbf{r}, t)} + \sum_k \Lambda_{ik} \psi_k(\mathbf{r}, t) = -\hat{H} \psi_i(\mathbf{r}, t) + \sum_k \Lambda_{ik} \psi_k(\mathbf{r}, t)$$
(31)

$$M_I \ddot{\mathbf{R}}_I = \frac{\partial \mathcal{L}'}{\partial \mathbf{R}_I} = \mathbf{F}_I = -\frac{\partial E}{\partial \mathbf{R}_I},\tag{32}$$

$$\mu_{\nu}\ddot{\alpha}_{\nu} = \frac{\partial \mathcal{L}'}{\partial \alpha_{\nu}} = -\frac{\partial E}{\partial \alpha_{\nu}},\tag{33}$$

where  $\hat{H}$  is the hamiltonian. These equations can be simulated by the Verlet algorithm, that is,

$$\psi_i^{n+1}(\mathbf{r}) = 2\psi_i^n(\mathbf{r}) - \psi_i^{n-1}(\mathbf{r}) - \frac{h^2}{\mu} \left[ \hat{H} \psi_i^n(\mathbf{r}) - \sum_k \Lambda_{ik} \psi_k(\mathbf{r}, t) \right], \tag{34a}$$

$$\mathbf{R}_{I}^{n+1} = 2\mathbf{R}_{I}^{n} - \mathbf{R}_{I}^{n-1} + \frac{h^{2}}{M_{I}}\mathbf{F}_{I},$$
(34b)

$$\alpha_{\nu}^{n+1} = 2\alpha_{\nu}^{n} - \alpha_{\nu}^{n-1} - \frac{h^2}{\mu_{\nu}} \frac{\partial E}{\partial \alpha_{\nu}}, \qquad (34c)$$

where h is the time step interval. The holonomic constraints are handled by a method called SHAKE.<sup>8</sup>

At stationary state, all time derivatives are 0 so that (34a) leads to

$$\hat{H}\psi_i^n(\mathbf{r}) = \sum_k \Lambda_{ik} \psi_k(\mathbf{r}, t), \tag{35}$$

which shows that  $\Lambda$  is the transpose of usual Kohn–Sham hamiltonian matrix H, i.e.,  $\Lambda_{ij} = H_{ji}$ . Thus the eigenvalues of  $\Lambda$  coincides with Kohn–Sham eigenvalues. And the solution is stationary if and only if the Kohn–Sham energy is at a variational minimum.<sup>6</sup> This method leads to the possibility to consider real dynamics of the nuclei in *ab initio* electronic structure algorithms.

## 3.2 Wentzcovitch's approach

In Car–Parrinello method, as (34) has shown, at each timestep  $\hat{H}\psi_i$  is calculated. However, this is the most time-consuming operation in the algorithm.

## 4 Molecular dynamics code

The following part is an introduction on how to use Wentzcovitch's code to perform MD simulation. The code is based on one of her papers.<sup>4</sup>

There are 4 types of simulations in code, denoted as md, cd, nd, sd, respectively. The first one means "molecular dynamics", based on Andersen's paper,,<sup>2</sup> the second one means "cell dynamics", based on RahmanParrinello approach,,<sup>3</sup> the main equations are (20). The third case is called "new cell dynamics" and is based on (20a) and (27), the last one is called "strain dynamics" and is based on (20a) and (26).

The simulation is done under zero temperature, as stated above.

mxdtyp denotes the array dimension for type of atoms, in the input file there will be a line labeled by (ntype), it contains a scalar, i.e., number of atom types, so mxdtyp = 1.

## 4.1 Main program

Then we start a MD loop. First update the current step nzero, and then update those accumulators acu, ack and acp, then calculate their averages by dividing nzero. Then call move subroutine, p is updated during this step, however vcell is not. Then calculate pV and do a corresponding accumulator acpv and average avpv. And then, update arrays like utm with length nstep (read from input). Then calculate the new temperature, tnew, by assuming equipartition theorem  $\bar{E} = \frac{3}{2}k_BT$ , where  $\bar{E}$  is the average kinetic energy avk. Then do rescaling on Cartesian velocity v and reduced velocity ratd.

At the end of MD loop, write energy outputs to file e: total potential energy  $U_t$  as utm array, total kinetic energy  $E_t$  as ekintm array, total energy  $\mathcal{E}_t = E_t + U_t$  as etotm array, and total  $p\Omega$  as pvm. And, write decomposed energy outputs to file eal: atomic contribution to total potential energy as utam array, to total kinetic energy as ekam array, to total energy as eklm array; and lattice contribution to total potential energy as utlm array, to total kinetic energy as eklm array, to total energy as etlm array. It could be also understood as ionic and cell contributions, respectively. Then write average accumulated outputs to file ave, by avum, avkm, etc. Another important output file is avec, which stores lengths and angles between primitive cell vectors for each MD step, denoted by bmodm and thetam matrices. bmodm at each MD step is set to lattice vectors moduli avmod, computed by MOVE. tv file stores the "instantaneous" temperature and volume for each MD step.

## 4.2 Setup steps

#### 4.2.1 CRSTL subroutine

This subroutine does some pre-setting work before INIT. The avec parameter is the 3 lattice primitive vectors in Cartesian coordinates, i.e.,  $\{a, b, c\}$ , denoted as h in Wentzcovitch's paper. That is the atomic positions in terms of lattice primitive vectors, rated is its first-order time derivative. g is just  $g = h^T h$  and gm1 is  $g^{-1}$ . cmass is the fictitious mass W and press is the external pressure P in Wentzcovitch's paper.

variable na	variable symbol	variable	write to file	
	potential energy	$U_{t}$	utm	е
total	total kinetic energy	$E_{t}$	ekintm	
totai	energy	$\mathscr{E}_t$	etotm	
	$p\Omega$	$p\Omega$	pvm	
	potential energy		utam	
atomic contribution to total	kinetic energy		ekam	
	energy		etam	eal
	potential energy		utlm	ear
lattice contribution to total	kinetic energy		eklm	
	energy		etlm	
overege of accumulated	potential energy	$ar{U}_t$	avum	ave
average of accumulated	kinetic energy	$ar{E}_{\cdot}$	avkm	

Table 1: List of variables.

#### 4.2.2 INIT subroutine

First, call RANV subroutine, and initialize avecd, avec2d and gd, etc. ilj is the only variable set by hand in code, if it is equal to 1, the code will call FORCLJ subroutine, else it will call FORC subroutine, see 4.3.2 and 4.3.1 for differences.

Then if calc flag is not set to md and mm then gmgd is calculated.

If calc flag is set to nd or nm then SIGP is called, if it is set to sd or sm then SIGS is called, see 4.3.4 for more detail. With  $\sigma_0 = \{ \boldsymbol{a}_0 \times \boldsymbol{b}_0, \boldsymbol{b}_0 \times \boldsymbol{c}_0, \boldsymbol{c}_0 \times \boldsymbol{a}_0 \} = \frac{V_0}{2\pi} \{ \boldsymbol{c}_0^*, \boldsymbol{a}_0^*, \boldsymbol{b}_0^* \}$ , we know  $\ddot{d} = \frac{1}{V_0} \ddot{h} \sigma_0$ . Then we do strain symmetrization,  $\ddot{d}_{ij} = \frac{1}{2} (\ddot{d}_{ij} + \ddot{d}_{ji})$ . Then  $\ddot{h} = \ddot{d}h_0$ .

If in nd or nm, we do  $Tr(\dot{h}^T \sigma \sigma \dot{h})$ .

If in sd or sm, we do  $\text{Tr}(\dot{h}^{\mathsf{T}}\sigma_0\sigma_0^{\mathsf{T}}\dot{h})$ .

Then in both cases, we do  $ekl = ekl + Tr(\dot{h}^T\dot{h})$ .

Total kinetic energy is  $E_t = \text{eka} + \frac{1}{2}Wekl$ , where W is the fictitious mass, total potential energy  $U_t = ????E_t + P\Omega$ , where P is the external pressure. Total energy is  $\mathscr{E}_t = E_t + U_t$ .

#### 4.2.3 RDPP subroutine

This subroutine reads the pair-potential file. Here ntype is the number of different types of atoms. It reads the potential of *i*th atom and *j*th atom, where  $j \ge i$ . So in the code we need to do some assignments like

$$U_{ii} = U_{ii}, \tag{36}$$

$$F_{ij} = F_{ji}, (37)$$

where  $U_{ji}$  and  $F_{ji}$  are what we read from file, thus we can save time on IO operations.

#### 4.2.4 RANV subroutine

This subroutine is dedicated for setting up Maxwell distributed random velocities at temperature T.

### **4.3** Force calculation

#### 4.3.1 FORCLJ subroutine

The subroutine will first calculate the Cartesian distance between 2 atoms. It will call ELJ subroutine for each pair of atoms i and j, in which the force between these 2 atoms in both Cartesian and reduced coordinates are calculated, and denoted as fo and fos, respectively. More detailedly, ELJ uses the Lennard–Jones scheme.

The Lennard-Jones potential has general form

$$U(r) = 4\varepsilon \left( \left( \frac{\sigma}{r} \right)^{12} - \left( \frac{\sigma}{r} \right)^{6} \right), \tag{38}$$

where r is the distance between 2 atoms,  $\sigma$  and  $\varepsilon$  are constants. The force is calculated by

$$\boldsymbol{F}_{ji} = \frac{24\varepsilon}{\sigma} (\boldsymbol{r}_j - \boldsymbol{r}_i) \left( 2\left(\frac{\sigma}{r}\right)^{14} - \left(\frac{\sigma}{r}\right)^8 \right), \tag{39}$$

where  $r = |\mathbf{r}_i - \mathbf{r}_i|$ , or equivalently, its scalar form

$$F(r) = \frac{24\varepsilon}{\sigma} \left( 2\left(\frac{\sigma}{r}\right)^{13} - \left(\frac{\sigma}{r}\right)^{7} \right),\tag{40}$$

which is plotted in Fig. 1.

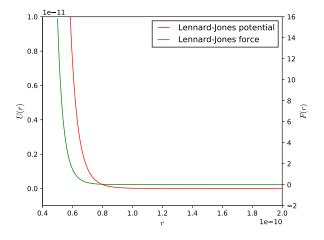


Figure 1: Lennard–Jones potential and force for Ar, as a function of distance between 2 atoms, with  $\sigma = 3.405 \times 10^{-10} \text{m}$ ,  $\varepsilon = 1.654 \times 10^{-21} \text{J}$ .

Back to FORCLJ subroutine, it considers 2 cases: particle j and i in the same cell and in different cells, the first case is trivial, in the second case, we need to add multiple times of primitive vectors and then calculate distance  $r_{ji}$ , and the rest steps are the same as first case. In both cases, we do not consider interaction out of the radius  $r_{\rm cut}$ . It appends fo and for modified by ELJ subroutine to the f and fs, respectively.

#### 4.3.2 FORC subroutine

If FORCLJ is used for analytical computation, then this subroutine is used as numerical methods. We have talked about RDPP subroutine before, stating that it reads n(n+1) columns of potentials and forces, denoted as vpp and fpp, respectively. The potentials interpolated by quadratic functions, the forces are also calculated similar process.

#### 4.3.3 UPDG subroutine

This part is used to update several quantities of cell during calculations.

Here avec is h, avecd is  $\dot{h}$ , thus  $g = h^{\mathsf{T}} h$  and  $\dot{g} = \dot{h}^{\mathsf{T}} h + h \dot{h}^{\mathsf{T}}$ , gm1 is  $g^{-1}$  and gmgd is  $g^{-1} \dot{g}$ . sigma is the reciprocal lattice vectors  $\sigma$ .

It first reads a flag itg to see if  $\sigma$  and V need to be calculated, if it is 'yes', then do the following things: Firstly calculates  $\sigma$  by the components of h, and then calculate the MD cell volume by

$$V = \sigma \cdot h,\tag{41}$$

and then calculate g,  $\dot{g}$ ,  $g^{-1}$ ,  $g^{-1}\dot{g}$ , respectively.

#### 4.3.4 SIGS and SIGP subroutine

SIGP subroutine is used to calculate lattice vectors accelerations based on 'new cell dynamics', i.e., according to (20a) and (27); while SIGS is based on 'strain dynamics', i.e., (20a) and (26). At last, the result returned is  $\ddot{h}$ .

In SIGS subroutine, firstly calculates  $f_0^{-1}$ , by definition it is

$$f_0^{-1} = \frac{h_0^{\mathsf{T}} h_0}{V_0^2},\tag{42}$$

then set an argument avint to temporally store  $\ddot{h}$ , and perform calculation  $\ddot{h} = \ddot{h} f_0^{-1}$ . This subroutine also returns  $\ddot{h}$  in the end.

In SIGP subroutine, firstly calculates  $f^{-1}$ , by definition we know it is

$$f^{-1} = \frac{h^{\mathsf{T}}h}{V^2},\tag{43}$$

and  $e = \dot{h}^{\mathsf{T}} \dot{h}$ , as stated above, as well as a  $3 \times 3 \times 3 \times 3$  tensor

$$f' = \frac{\partial f}{\partial h_{ij}} = (\sigma'_{ij})^{\mathsf{T}} \sigma + \sigma^{\mathsf{T}} \sigma'_{ij}, \tag{44}$$

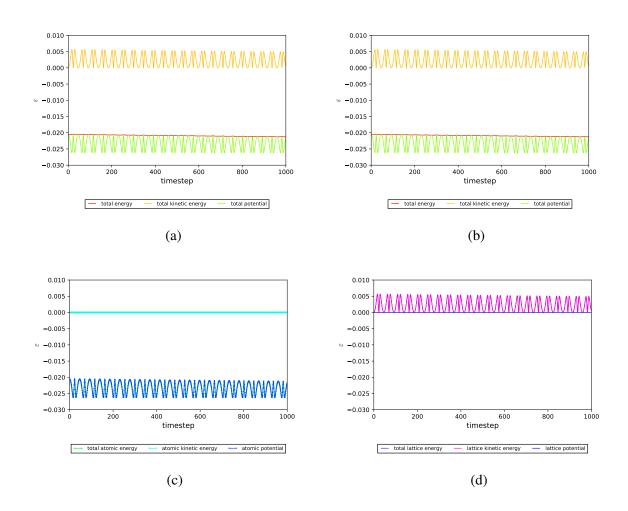
where  $\sigma'$  is denoted as sigmap in code, another  $3\times3\times3\times3$  tensor.  $\dot{f}_0=\dot{\sigma}^\mathsf{T}\sigma+\sigma^\mathsf{T}\dot{\sigma}$  is also calculated.  $f^{-1}=\sum_{k,l}e_{lk}f'_{ijkl}$ , and  $\sigma^{-1}=h\dot{f}$ . Then final returns  $\ddot{h}$ . As stated above,  $h=(1+\epsilon)h_0$ , where  $h_0=\{a_0,b_0,c_0\}$ .

## 4.4 Input file

Now let's have a look at the input file.

The second line is its calculation type, denoted by calc. cmass is the fictitious mass W talked in R and P's and Wentzcovitch's scheme, and press is the external pressure P.

Figure 2: Input 1



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