

1 Quasi-Succinct Indexing

1.1 Index Compression

- Instantaneous codes – storage of integers is proportional to size of integer
 - smaller numbers use fewer bits
- Gap encoding – turns lists of increasing numbers into lists of smaller integers
 - smaller integers being the gaps between successive values

1.2 Brief Overview of Unary-Code

Unary code represents a natural number n as

- n 1's followed by a 0 – for n *non-negative*, or
- $n - 1$ 1's followed by a 0 – for n *strictly positive*.

We can exchange 0's and 1's without loss of generality. The flipped version is often called negated unary code. Example: $5 \rightarrow 111110$ or 000001

1.3 Representation of a Monotone Sequence

Suppose we have the following monotone sequence for $x_i \in \mathbb{N}$:

$$x_0 \leq x_1 \leq \dots \leq x_{n-1} \leq u,$$

where u is some upper bound.

- We store the sequence in a “high/low bit representation” using two *bit-arrays*.
 - The lower $l = \max\{0, \lfloor \log \frac{u}{n} \rfloor\}$ bits of each x_i are stored *explicitly* and *contiguously* in a **lower-bits array**.
 - The remaining upper bits are stored as a sequence of *unary code gaps* in an **upper-bits array**.
 - * difference in upper bits = $\lfloor \frac{x_i}{2^l} \rfloor - \lfloor \frac{x_{i-1}}{2^l} \rfloor$.
 - * For the sake of completeness, let $x_{-1} = 0$.
- This representation uses at most $2 + \lceil \log \frac{u}{n} \rceil$ bits **per element** meaning that this representation is **quasi-succinct**.

Proof. Each unary encoding uses 1 stop bit, and each other written bit increases the value of the upper bits by 2^l . This cannot happen more than $\lfloor \frac{x_{n-1}}{2^l} \rfloor$ times. This leads us to the following:

$$\left\lfloor \frac{x_{n-1}}{2^l} \right\rfloor \leq \left\lfloor \frac{u}{2^l} \right\rfloor \leq \frac{u}{2^l} \leq 2n.$$

Unless $\frac{u}{n}$ is a power of 2, we have

$$\left\lceil \log \frac{u}{n} \right\rceil = \left\lfloor \log \frac{u}{n} \right\rfloor + 1.$$

If $\frac{u}{n}$ is a power of 2, then

$$\left\lceil \log \frac{u}{n} \right\rceil = \log \frac{u}{n},$$

but the previous equation is bounded by n instead of $2n$. The informational-theoretical limit is

$$\left\lceil \log \binom{u+n}{n} \right\rceil \approx n \log \left(\frac{u+n}{n} \right),$$

so we conclude that this representation is close to succinct. ■

1.4 Example

Suppose we have a list $[5, 8, 8, 15, 32]$ with $u = 36$. We compute $l = \lfloor \log \frac{36}{5} \rfloor = 2$. Split the lower l bits of the binary representation of each element of the list.

5	8	8	15	32
+-----+				
1 01	10 00	10 00	11 11	1000 00
+-----+				

The lower bits array:

+-----+					
01	00	00	11	00	00
+-----+					

To compute the upper bits array, we find the difference between each of the upper bits and then convert to unary code.

5	8	8	15	32
+-----+				
1 01	10 00	10 00	11 11	1000 00
+-----+				
v	v	v	v	v
1	2	2	3	8

Recall that we choose $x_{-1} = 0$ for simplicity. The upper bits array:

1	1	0	1	5
+-----+				
01	01	1	01	000001
+-----+				

1.5 Recovering x_i

- Perform i unary code reads in the upper-bits array to position p bits
 - The value of the upper bits is exactly $p - i$
 - Example: To read the upper bits of 15, we perform 4 unary code reads to position 7 of the upper bits array. Thus, the value of the upper bits of 15 is $7 - 4 = 3$.
- Extract lower bits with random access at position il in the lower bits array

1.6 Some Optimizations for Accessing

1.6.1 Forward Pointers

- Choose a *quantum* q
- Store **forward pointers** at positions that one would reach after kq unary code reads
 - This is the position immediately after $kq - 1$ bits
- Retrieve x_i by simulating $q \lfloor \frac{i}{q} \rfloor$ reads then $i \bmod q$ sequential reads

1.6.2 Skip Pointers

- – TODO –

1.7 Quasi-Succinct Bitstream

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