# Adversarial Weakness Recognition for Efficient Black-Box Validation

Efficiently select candidate failures to avoid exhaustive validation

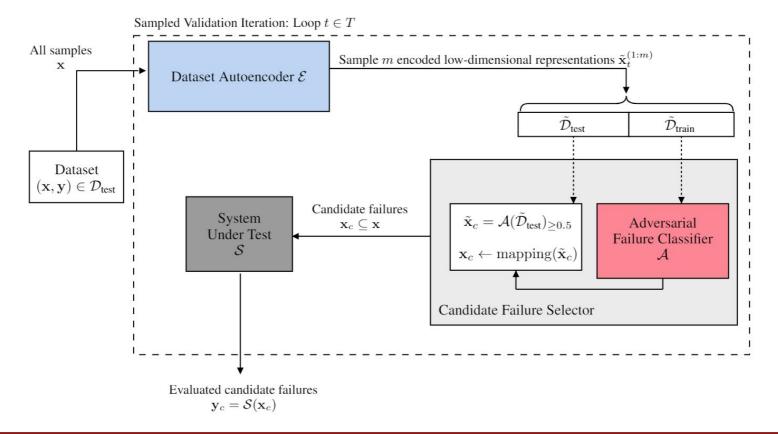
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### **Motivation**: Black-box validation

- 1. Validate a black-box system without exhaustively iterating the entire validation set
  - Want to focus on failure cases.
- 2. Automate the process of selecting failures
  - Do not want to hand pick failures, or randomly sample candidate inputs to test
- 3. Intended for systems that are computationally expensive to call
  - Also intended for very large datasets that may be computationally intractable to exhaustively evaluate

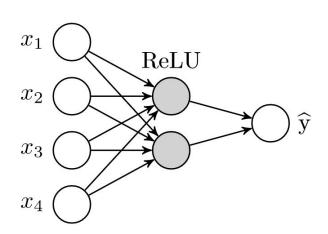
## **Method:** Validation Framework



# Black-Box System Under Test: MNIST classifier

- MNIST classifier trained on 60,000 28x28 gray-scale images
  - Used the Julia machine learning package Flux.jl for modelling and training
  - Two dense layers with a ReLU activation
  - Trained using the logit cross-entropy loss function:  $\mathcal{L}_{\mathcal{S}}(\operatorname{softmax}(\hat{\mathbf{y}}), \mathbf{y}) = -\frac{1}{m} \sum_{i=1}^{m} y_i \log(\hat{y}_i)$
- Treated as a "black-box" system
  - We only care about passing inputs and parsing outputs
- Achieves about 93.2% accuracy, so known failures exist
  - Failure is defined as a *misclassification*
  - Example: misclassified this 7 incorrectly as a 1

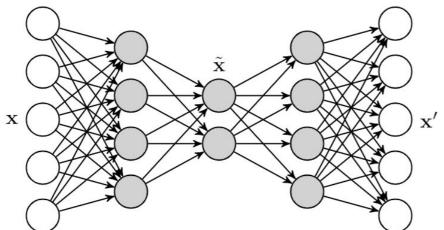




# Dataset Autoencoder: Low-dimensional representation

- Represent dataset inputs x as a low-dimensional vector (trained on MNIST test dataset)
  - 1. Reduces the input size
  - 2. We can learn low-dimensional feature representations that led to failures
- Trained as a unsupervised autoencoder network, using LeakyReLU activations
  - We use the mean squared error loss:

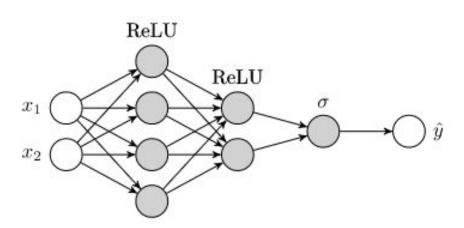
$$\mathcal{L}_{\mathcal{E}}(\mathbf{x}', \mathbf{x}) = \frac{1}{m} \sum_{i=1}^{m} (x_i' - x_i)^2$$

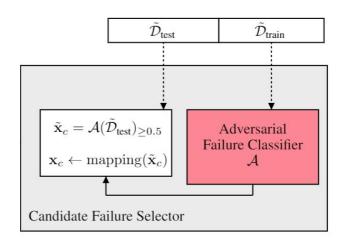




### Adversarial Failure Classifier: Select failures

- Adversary is framed as a failure classifier, trained on the portion of low-dimensional samples
  - Trained using the binary cross-entropy loss function
- Failure prediction is output after being passed through a sigmoid layer to turn it into a probability

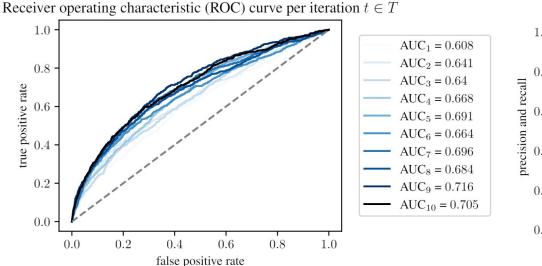


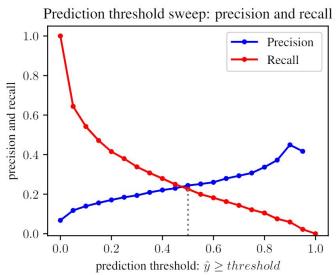


$$\mathcal{L}_{\mathcal{A}}(\hat{\mathbf{y}}, \mathbf{y}) = -\frac{1}{m} \sum_{i=1}^{m} y_i \log(\hat{y}_i) - (1 - y_i) \log(1 - \hat{y}_i)$$

# **Experiments:** ROC and AUC

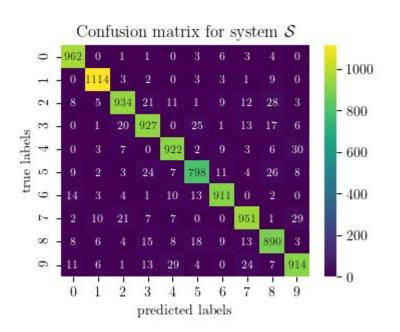
- To assess the false positive rate and true positive rate, ROC curves and their AUC were calculated
  - We also swept the prediction threshold to balance the trade-off between *precision* and *recall*

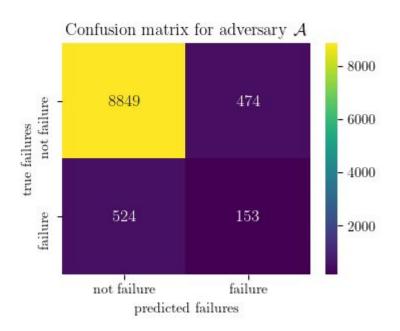




## **Experiments:** Confusion matrices

- ullet Confusion matrices for the classification system under test  ${\mathscr S}$  and adversarial failure classifier  ${\mathscr A}$ 
  - System under test predicts well, which makes it tough for the adversary to predict rare failures





## Results and Analysis: Evaluation metrics

- Metrics of *precision* and *recall* were used to evaluate the adversary against a random selector
  - During the sampled validation iteration loop (i.e. the framework loop), the adversary will select failures at a rate 3 times more likely than random
  - The system has a true failure rate of about 0.0677, which roughly matches the precision of *random*
  - Precision for the adversary is much higher, given that the rate of true failures is so low
  - The balance of precision and recall is also good for the adversary

Table 1: Evaluation Metrics

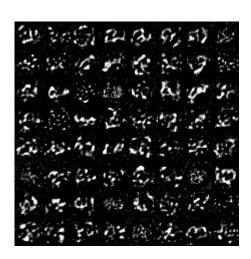
Failure Selector	Precision*	Recall*	Sampled Precision <sup>†</sup>	Sampled Recall <sup>†</sup>
Adversary $A$	0.2441	0.2260	$0.2374 \pm 0.11$	$0.3244 \pm 0.17$
Random	0.0647	0.4712	$0.0618 \pm 0.04$	$0.0910 \pm 0.07$

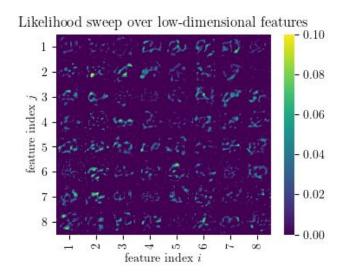
<sup>\*</sup> Run over  $\mathcal{D}_{\text{test}}$  only calculated for the "failure" class.

<sup>&</sup>lt;sup>†</sup> Calculated from T = 10 iterations of the sampled validation loop.

# Results and Analysis: Failure feature space

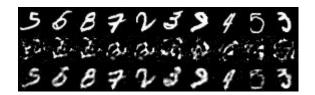
- We took 64 one-hot vectors, each of size 64, and "activated" each individual index then decoded
  - **Left**: this shows a mapping of the low-dimensional (64) encoded space back to images (28x28)
  - **Right**: we then use the adversary to generate a likelihood value given each of these one-hot vectors
    - This indicates the areas of the features that are likely to cause failures



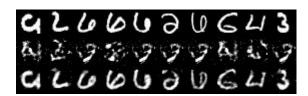


# Results and Analysis: Analyzing predictions

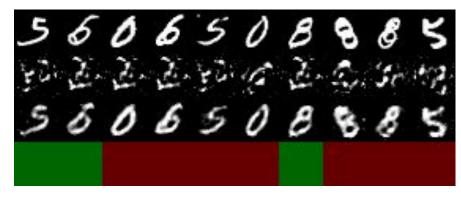
- Analyzing predictions made by the adversary
  - We show *true positives* and *false negatives*, noticing similar feature representations (middle row)
  - We also show the highest likely predicted failures (with indications whether they're <u>true failures</u> or <u>not</u>)



true positives



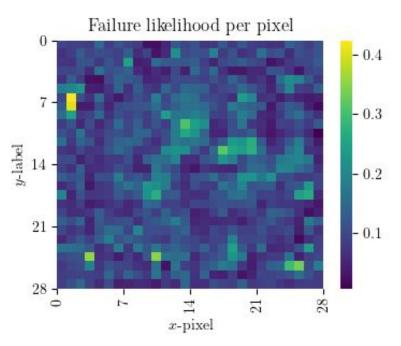
false negatives



likely failures

# Visualizations: Failure likelihood per pixel

• To see which element of the low-dimensional feature vector contributed the most to a likely failure as predicted by the adversary, we encode a one-hot vector over  $\mathbb{R}^{28\times28}$  and plot the likelihood per pixel



### **Conclusions:** Discussion and future work

- We propose a validation framework for iteratively selecting candidate failures
  - With the intention to reduce computational load on the system under test
- Use an autoencoder to get a low-dimensional representation on the inputs
  - Learn which low-dimensional features are likely to result in a failure
- A supervised adversarial failure classifier selects candidate inputs that are likely to be system failure
  - Selects failures 3 times more likely than random—especially important for a system with rare failures
- Future work would extend this framework into a continual learning domain
  - How can we learn from these failures to improve the system under test?
  - Then, can we use past known failures to look for failures in the updated system?