

TABLE OF CONTENTS

	<u>PAGE</u>
REVIEW OF LITERATURE	1
Rice Pests	2
Interactions of cropping practice and plant pests	10
Crop establishment and pests	11
Nutrient management	12
Interactions between coexisting rice pests	14
Survey in the field of epidemiological research	16
Common techniques for analysis of survey data	18
Network analysis	19
The unique values of network analysis	24
Networks and Plant Pathology	27
Using Network analysis to understand plant disease spread	27
Using Network analysis to understand molecular plant pathology	31
A variety of Network Construction Methods	34
Summary	37

REVIEW OF LITERATURE

The world's population is growing rapidly. It reached 6 billion people in 1999 and is expected to reach 8.1 billion in 2025 and 9.6 billion in 2050, according to UN (2013). Our long-term ability to meet growing demands for food seems uncertain. Thus, one of the greatest challenges is increasing food production in a sustainable manner so that everyone can have adequate food and proper nutrition without over-exploiting the Earth's ecosystems.

Rice is predominantly grown in Asia. So much so that thirty-one percent of the rice harvested globally comes from Southeast Asia alone (OECD/FAO, 2012). The highest levels of productivity are found in irrigated areas, the most intensified rice production system. Farmers can grow more than one rice crop per year here. Approximately 45 percent of the rice growing area in Southeast Asia is irrigated, with the largest irrigated areas being found in Indonesia, Vietnam, Philippines and Thailand (Mutert & Fairhurst, 2002). In South Asia, the two major rice-growing countries are India and Bangladesh. India has the largest rice growing area globally, approximately 43 million hectares, and contributes 25 percent of global rice production. Combined, rice production in South and Southeast Asia contributes around half of global rice production. If rice production in South and Southeast Asia is threatened, it will significantly affect global rice production.

Pests in rice production are significant yield reducing factors globally.

Oerke (2006) estimated that weeds, animal pests, and disease caused losses around 10.2, 15.1 and 12.2 percent of global rice production, respectively. In most Asian countries, rice yields average 3-5 t/ha. One recent study estimated that between 120 and 200 million tons of grain are lost yearly to insects, diseases, and weeds in rice fields in tropical Asia (Willocquet et al., 2004). The mean region-wide rice yield loss due to pests was estimated at 37 percent (Savary et al., 2000).

In crop fields, pests, so-called biotic constraints, can be defined as organisms that caused plant injuries, and maybe lead to economic losses. Among the pests that attack rice are microorganisms (viruses, mycoplasmas, phytoplasmas, bacteria, oomycetes, and fungi) that can cause plant diseases, parasitic plants, weeds, and even vertebrates such as rat, birds, and invertebrates (insects, mollusks).

Rice Pests

Rice fields are humman-managed ecosystems, which harbor diverse communities of plant, animal, and microbial species. Many of these species are harmful to rice plant, and causes of yield losses (quantity and quality). They actually benefit with rice plants, such as predators, parasitoids, flowering plants, and soil bacteria (Norton et al., 2010). However, there are some species threatening plant health and maybe reducing the productions mostly when their occurrences are in high level.

A weed is considered to be an undesirable plant in the crop growing area. Weeds compete for water, light, and soil nutrients. Every rice field has problems with weed infestations. In Asia, they are believed to cause yield losses of between 10-25 percent, depending on how rice crops are established, how water is

controlled, and how fields are managed.

Rat can affect all stages of rice from sowing to harvest and is a destructive rice pests in many counties in Asia such as Bangladesh, Cambodia, People's Republic of China, India, Indonesia, Lao People's Democratic Republic, Malaysia, Myanmar, Philippines, Thailand, and Vietnam. *Rattus argentiventer* is a major rodent pest across Southeast Asia. Crop losses in rice-growing areas due to this species are typically around 10- 20 percent.

Golden Apple Snail, *Pomacea canaliculata* (Lamarck), is a exotic species in Asia. Their origin is South America. They were introduced to farmers in countries in Asia, to increase farmers' income and enrich the protein in their diet, and also as an aquarium pet (Joshi, 2007). Now they are spreading around Asia and are a major rice pest in Asia. Snails attack the younger seedlings at deep water level but seedlings will become tolerant to snail attacks at the age of 40 days old (Sin, 2003).

Insect pests

Insect pests are serious threats to rice production in almost all regions, where rice is grown. The introduction of high yielding technology in the 1960s involving rice varieties with high tillering ability, denser plant spacing, high fertilizer application and irrigation where farmers planted two or three crops per year provided abundant habitat and food sources for stemborers, leaf hoppers and leafhoppers, that enabled the populations to reproduce continuously throughout the year. Moreover, the use of nitrogen fertilizers increased the insects' reproductive potential (Bottrell & Schoenly, 2012). In South and South East Asia rice is grown in warm, humid environments conducive to the survival and proliferation

of key insect pests: stem borer, rice leaffolders, brown planthoppers, whitebacked planthopper and green leafhoppers.

Stem borers are ubiquitous throughout rice fields in Asia and cause some damage in every rice field every year. The larvae bore into the stems and eat their way down to the base of the plant hollowing out the stem. If the damage occurred during the vegetative stage the central leaves do not unfold, turn browns and dried off, this is called “deadheart”. If this damage occurred during the productive stage, after panicle initiation stage, it results in the drying of the panicles, which may not emerge or do not produce grains. This is called “whitehead”. Losses from stem borer damage can reach up to 95 percent in severe infestations.

Rice leaf feeders, which include: rice leaffolder (*Cnaphalocrocis medinalis*), whorl maggot and rice hispa often attack rice in the early crop stages, causing highly visible leaf injury, but, because of plant compensation, the injury often does not translate into a yield loss. Leaf folder larvae fold the leaves by stitching the leaf margins and feed by scraping green leaf tissue. Rice hispa, Leaf miner, defoliators

Brown planthopper, *Nilaparvata lugens* (Stål) and whitebacked planthopper, *Sogatella furcifera* (Horvath)) are sucking insects that feed on the rice plant. As a result of feeding by both nymphs and adults at the base of the tillers, plants turn yellow and dry up, turn brown and die. At early stages of the infestation, round, yellow patches appear, which soon turn brownish due to the drying up of the plants. This condition is called “hopperburn”. It can cover large patches in rice fields. The brown planthopper also transmits the ragged stunt and grassy stunt viruses. The green leafhopper is a vector of Tungro virus.

Stink bug

Black bug damage can cause reddish brown or yellowing of plants. Leaves also have chlorotic lesions. Check for decreased tillering. Bugburn symptoms show wilting of tillers with no visible honeydew deposits or sooty molds. Plants are also stunted; and can develop stunted panicles, no panicles, incompletely exerted panicles, and unfilled spikelets or whiteheads at booting stage.

Diseases

Collectively, rice diseases result in yield reductions of 10-15 percent in tropical Asia. Sheath blight and brown spot as the two most important diseases in rice in Asia, each responsible for 6 percent of yield loss, whereas rice blast, bacterial leaf blight account for 1-3 percent and 0.1 percent of yield losses, respectively. Sheath rot, stem rot, and those known as sheath rot complex and grain discoloration are responsible for rice yield losses ranging from 0.1 - 0.5 percent, respectively. All other diseases alone or in combination do not cause more than 0.5 - 1 percent of yield losses based on estimates (Savary et al., 2000; Mew & Gonzales, 2002).

Disease on tiller Sheath blight, caused by *Rhizoctonia solani* is characterized by irregular lesions usually found on the leaf sheaths (initially water-soaked to greenish gray and later becoming grayish white with a brown margin) (IRRI, 2015). Sclerotia may present on the stems. Symptoms are usually observed from tillering to milk stage in a rice crop. This fungus lives in the soil and floats to the top when fields are flooded, contacts rice plants and spreads to adjacent plants. Spread of sheath blight is thus favored by dense crop canopies. The flow of water and nutrients in the rice plant is interrupted and the leaf dies, reducing rice yield. Sheath blight has developed into a major disease only since the intensification

of the rice-cropping system with new short-statured, profusely-tillering varieties, high planting densities and an increase in nitrogen fertilization inducing a favorable microclimate for the pathogen.

Bakanae, caused by *Gibberella fujikuroi*, is commonly found throughout the whole growth stages of the rice plant. Infected seedlings elongates abnormally, becomes slender and the leaves turn pale yellow green. Infected plants develop roots at the upper nodes and the whole plant turns yellow. At booting stage, some infected plants die.

Foliar diseases include **brown spot**, cause by *Cochliobolus miyabeanus* (Ito & Kuribayashi), one of the most important disease of rice in Asia. Brown spot is reported across in the South and South-East Asian countries (Barnwal et al., 2013). Disease appears as small oval or circle, dark brown spot on leaves or glumes. Disease is seed-transmitted and infected seed is primary inoculum. Disease develops quickly in fields with poor fertility conditions (low nitrogen, phosphorus and potassium).

Rice blast, caused by *Magnaporthe grisea* (Hebert) Barrand, overwinters in rice straw and stubble and spreads rapidly by airborne spores. Blast has two phases: leaf blast and neck blast. Leaf blast characterized by elliptical or spindle shaped lesion with whitish-gray or greenish center and brown or purple margins with yellow halo. Neck blast, which is more damaging, appear as a dark necrotic lesion covering partially or complete around the panicle base or secondary branches. It may lead to breaking of panicles resulting in few or no grain setting. Disease is favored by high nitrogen. Soils with poor silica availability are blast conducive.

Narrow Brown Spot, caused by *Cercospora oryzae*, characterized by small, narrow, elongated dark brown spots spreading uniformly over the leaf.

Bacterial leaf blight or bacterial blight caused by *Xanthomonas oryzae* pv. *oryzae* is characterized by yellowing and dry which begin at the tips of the leaf and spread down one or both sides of the leaf and maybe through the mid-vain. In India, this disease is serious outbreak during the monsoon seaon. The high wind and rain were believed to aid in rapid dispersal of the pathogen. In severe epidemics, yield losses can range from 20 to 40 % (Sonti, 1998).

Bacterial leaf streak caused by *Xanthomonas oryzae* pv. *oryzae* symptoms begin with fine translucent streak between veins. As the disease progresses, the streaks becomes yellowish-gray, the lesions coalesce, then eventually turn to brown to grayish white causing the leaves to die.

Panicle diseases commonly include sheath rot, dirty panicle complex or grain discoloration, and false smut.

Sheath rot caused by *Sarocladium oryzae* (Sawada) W. Gams & D. Hawksworth, reduces grain yield by retarding or aborting panicle emergence, and producing unfilled seeds and sterile panicles. Sheath rot also reduces grain quality by causing panicles to rot and grains to become discolored. The typical sheath rot lesion starts at the uppermost leaf sheath enclosing the young panicles. It appears oblong or as irregular spot with dark reddish, brown margins, and gray center or brownish gray throughout.

Dirty panicle complex is characterized by darkening of glumes of spikelets, brown to black, including rotten glumes. This disease caused by multiple fungal species (*Cuvularia* spp., *Cochilobolus miyabeanus*, *Magnaporthe salvini*, *M. grisea*,

Alternaria alternata, *Alternaria padwickii*, etc.)

False smut caused by *Ustilaginoidea virens* (Cooke) (Takahashi) is characterized by the grain transformed into a mass of spore ball, which is initially orange, then become olive-green, and then greenish black at maturity. In favorable conditions, which are high humidity, and soils with high nitrogen content, yield loss caused by this disease can reach to 7 - 75 percent.

Viral diseases include rice grassy stunt disease, rice ragged stunt disease, and rice tungro disease. Grassy stunt is caused by grassy stunt virus is transmitted by brown plant hopper. Infected rice plants show stunting and proliferation of short, erect, and narrow leaves that are pale green or pale yellow. The virus reduces yields by inhibiting panicle production. Plants can be infected at all growth stages. They are most vulnerable to infection at the tillering stage. Infected stubble and volunteer rice are sources of rice grassy stunt virus. The virus cannot transmit via brown planthopper eggs.

Rice ragged stunt disease, caused by rice ragged stunt virus, is transmitted by brown planthopper. Leaves of infected plants have a ragged appearance. Rice ragged stunt virus infection is particularly high in tropical conditions where rice is planted all-year-around and provides a continuous host for the brown plant hopper vector. The virus is not transmitted via the brown planthopper eggs. Infected stubble and volunteer rice are sources of rice grassy stunt virus. Rice ragged stunt virus reduces yield by causing partially exerted panicles, unfilled grains and plant density loss. Infected crops will suffer significant yield losses of up to 80 percent.

Rice tungro disease, caused by rice tungro viruses, are transmitted by green leafhoppers. Tungro infection can occur during all growth stages of the

rice plant. It is most frequently seen during the vegetative phase. Plants are most vulnerable at tillering stage. It causes leaf discoloration, stunted growth, reduced tiller numbers and sterile or partly filled grains. Tungro is one of the most damaging and destructive diseases of rice in South and Southeast Asia. In severe cases, Tungro susceptible varieties infected at an early growth stage can have as high as 100 percent yield loss.

An important concept applied in pest management is “disease triangle”, which explains that an occurrence of plant disease epidemic need three components, virulent pest, susceptible plant and conducive environment. In farm level, we emphasize the interactions at population level. These interactions depend on not only the physical, biological environment but also man-made activities (Fig.1-1). According to Savary et al. (2006), pest management tetrahedral first discussed by Zadoks & Schein (1979) consists of four elements, a pest, crop, the environment and human. Human is recognized the important role in agroecosystem and should be considered to sustainable pest management (Zadoks, 1985). Humans are not limited to farmers, but also included to farmers’ communities, social networks, agro-technology suppliers, food-chain stakeholders, research and extension and policy-makers.

Pest management has been a dynamic area of research, which requires improvement in understanding of the rice ecosystem ?. The research fields would be to improved ecosystem understanding; the association of pests associated yields and different crop production conduction. The goal of this research can contribute into integrated pest management (IPM) in farmers’ fields. The IPM techniques are applied to improve the farmers’ practices to avoid serious yield losses from pests

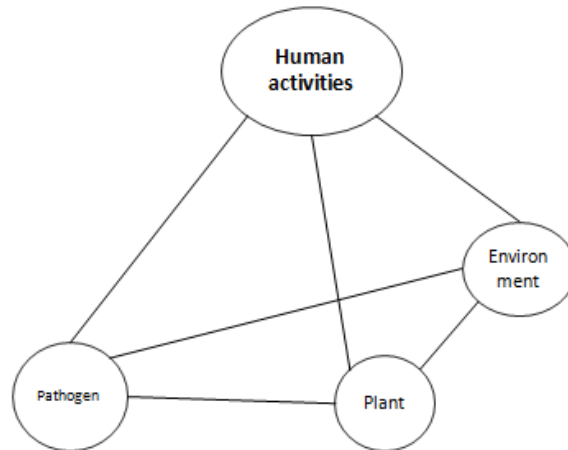


Figure 1-1: The epidemiological tetrahedron and the four components of plant disease epidemics: a pathogen; a susceptible host; their environment; and the human activities (e.g., through cropping practices in agroecosystems

by underlying the crop ecosystem, and combine biological, chemical and cultural tactics.

The effective pest management is not sufficient to consider the main pests of rice insect pest, pathogens, weed, and others. There are several factors that cause crops unhealthy. Thus crop health must be taken into account more holistically, which means that the entire agricultural system is from seeds to consumable products.

Interactions of cropping practice and plant pests

Each type of pest (animal pest, weeds, pathogens) is often coexist and reduce yields in agricultural systems. They may have interactions. In addition to the individual effects on crops, these types of pests and cropping practices can interact and impact crop production. Ou (1985); Ho et al. (1994); Cohen et al. (1998) and Mew et al. (2004) reviewed the relationships between crop management and pests.

Crop establishment and pests

Crop establishment methods of rice are various, but mainly can be categorized into two types, direct seeding and transplanting.

Weed composition in the rice field is constantly changing. Some weed species are favorably affected by production practice while others are adversely affected. Direct seeded rice more possibly encounter with weed competition than transplanted rice, because they emerge simultaneously with rice seedlings because of the absence of flooding in the early stages. Generally, weeds such as grasses, sedges, and broadleaf weeds are found in direct seeded rice fields. Dominant weeds in direct seeded rice fields are *Echinochloa crus-galli* and *Leptochloa chinensis* among grasses, *Cyperus difformis* and *Fimbristylis miliacea* among sedges, and *Ammania baccifera*, *Eclipta prostrata*, and *Sphenoclea zeylanica* in the broadleaf category.

Compared with transplanted rice, the occurrence of insect pests and diseases is more intense in direct seeded rice because of high plant density and the consequent cooler, more humid, and shadier microclimate inside the canopy. The major insect pests of direct seeded rice are brown plant hoppers, stem borers, leafhoppers, and gall midges. Important diseases that affect direct seeded rice are blast, ragged stunt virus, yellow orange leaf virus, sheath blight, and dirty panicle (Pongprasert, 1995). In addition to insect pests and diseases, other pests that attack emerging rice seedlings are the golden apple snail (*Pomacea canaliculata*) and rats, which are more serious problems in direct seeded rice than transplanted rice.

Nutrient management

Nutrition management is one of the most important practices for high production system, but nutrition management may affect the response of rice to pests, as well as development pattern of pest populations due to the change of environments. Soil fertility practices can impact the physiological susceptibility of crop plants to insect pests by either affecting the resistance of individual plant to attack or by weakening plant vulnerability to certain pests. Some studies have also documented how the shift from organic soil management to chemical fertilizers has increased the potential of certain insects and diseases to cause economic losses.

Nitrogen (N), Phosphorus (P) and Potassium (K) are most often managed by the addition of fertilizers to soils. The others are most often found in sufficient quantities in most soils and no soil amendments are needed to ensure adequate supply unless soil pH limits them. However, I will briefly summery the effects of nitrogen, phosphorus, and potassium with their relationship to some of the most important rice diseases and insects.

The effects of nitrogen It was also found that nitrogen prolongs the vegetative period and increases the proportion of young to mature tissues in rice plants. N can also reduce the amount of cellulose in plant cell walls, predisposing plants to lodging. Aside from these, increased N was found to reduce phytoalexins in plant cells. Phytoalexins are anti-microbial compounds that build up in plants as a result of infection or stress, and are associated with resistance to fungal and bacterial diseases. On the other hand, if applied correctly, N allows better plant compensation and tolerance to injury.

For example, in the case of tungro infected rice plants, affected plants

given additional N are able to outgrow the disease due to the production of new and healthier tillers. Bacterial leaf blight (BLB) is another disease that can be aggravated by too much N, and it is even more problematic during the wet season. This is one reason why the recommendation for nitrogen fertilizer during the wet season is less compared to the recommendation during the dry season. Other than BLB, excessive nitrogen can also be conducive to the development of many other diseases, such as bakanae, bacterial leaf streak, false smut, leaf blast, sheath blight, and sheath rot.

As with the impact of nitrogen on the disease, more nitrogen application was force more occurrence of insect pest especially stem borers, brown plant hoppers and leaffolders Chau et al. (2003) and Rashid et al. (2014). Chau et al. (2003) claimed that whorl maggot and rice thrips were not develop following as the dose of nitrogen increase. But Litsinger et al. (2011) claimed that increased nitrogen inputs promoted the population of whorl maggots.

The effects of phosphorous Just as important as nitrogen, phosphorous (P) is another essential nutrient element that promotes vigorous root development and is responsible for hardening plant tissue. P also shortens vegetative period of the plant, as opposed to the effect of nitrogen. Proper timing in the application of P may lower the incidence of brown spot and leaf blast.

In relation to disease incidence, what we know is that P can reduce the occurrence of bacterial leaf blight and brown spot, but may promote leaf blast and sheath blight in rice. The effect of phosphorus to promote the population of rice insect pests was not strong Chau et al. (2003); Rashid et al. (2014), but the result of Rashid et al. (2014) showed that increased phosphorus applicant enhanced the

population of brown plant hoppers .

The effects of potassium Among the three essential nutrients mentioned, only potassium (K) appeared to be the most consistent and effective in minimizing disease incidence. It was found to lower the infection rate of bacterial leaf blight, leaf blast, and brown spot. It also helps in keeping away sheath blight, sheath rot, stem rot and narrow brown spot among others. This is because K is found to increase the concentration of inhibitory amino acids in the plants as well as phytoalexins. K also hardens the plants tissues which can be helpful in minimizing lodging incidence and allows faster recovery of injured or stressed plants. There were not many studies about the effect of potassium to the rice insect pest. Rashid et al. (2014) showed the result in Bangladesh that high potassium application decreased population build up and dry weight of brown plant hoppers and Salim et al. (2002) reported that deficiency of K in rice plants increased population build up of white backed planthopper, *Sogatella furcifera* and application of high dose of K to rice plants decreased population build up of the insect.

Interactions between coexisting rice pests

Mixed populations of brown plant hoppers and white backed planthoppers (*Sogatella furcifera* (Horvath)) was reported from many area. citenaganagoud2010studies reported the experiment multiple pest yield loss to damage function and economic injury level sio-loss equation by mutual regression of incidence of two pests Leaf folder negative to stem borers, leaffolders negative to stemborrer, and stem borers Selvaraj et al. (2012). Light trap of four major pest of Rice in Tungabha negative to brown plant hoppers negatively affect each other

Akiochi disease in connection with a physiological disease called akiochi. Associated with abnormal soils deficient in nutrient element, or with soil The extensive akiochi disease it was found that akiochi and spot occurred together in parallel in conditioning in which silica, potassium, magnesiums or was deficient bacuase hydro-sulphide was eveolve to caused root rot, which affect the nutrient absorption. Plants affected by Akiochi become susceptible to *Bipolaris oryzae* (Breda de Haan) Shoem., the pathogen of the rice Brown spot disease which was used as an index of Akiochi Ou (1985). Brown spot develops profusely in rice fields on sandy soils, where it causes great damage decreasing both the grain yield and the grain quality.

The dirty panicle (grain discoloration) are complexed disease caused my many fungal pathogens on the glumes, kernels or both. The fungi that are reported to be associated with discoloration of grains are *Bipolaris oryzae*, *Alternaria padwickii*, *Pyricularia oryzae*, *Fusarium moniliforme*, *F. graminearum*, *Nigrospora oryzae* and *Curvularia* spp..Ou (1985). but it is till conflict such as the Pyriculris test the seed from a filed heavily infested by blast, but no P.oryzae isolated.The relationships between insect and disease are in the term of vectors. Insects are the vectors of important rice diseases, mostly are viral disease. Tungro the incidence of tungor depend on

- vector population and composition(different species of vector differ in transmission ability
- availability of virus source, since the virus is nonpersistnace
- Susceptibility and age of host plant

- synchronization of these three factor. Weed hosts of the vectors and of the virus play a role and climates affect all these factors Naganagoud et al. (2010). Some found the tungro with yellow dwaft disease Ou (1985)

Survey in the field of epidemiological research

The rice crop system is complex that the number of components is large and their interaction are complex. The dynamic of the system is encompassed by a growing crop, its physical environment, its diseases and pests, and farmers' actions, which influence the whole system. The number of rice pests (diseases, insects, and weeds) to be considered and the levels at which they vary from field to field. A rationale is often deeded to delineate the limits of the system to be addresses.

A survey is a good tool to provide an adequate account of this diversity and deal with the several pests or variables affecting crop production, which are essential components of systems research in plant protection Zadoks & Schein (1979). Field surveys generate information at the level of the population of crop stands rather than of the individual plot, field, or orchard. Such surveys raise questions regarding variation in injury and its relationships to crop management, crop environment, crop performance, and the occurrence of multiple injuries.

Application of surveys can be found in a huge diversity of research fields, including crop characteristic, cropping practices and single disease or multiple disease and insect. The pest are measurable biological variable that can be used for characterize the injuries profiled (disease and insect injuries syndrome) to stratify farmer's fields account into the characteristic of their production situations.

He emphasized field surveys as the basic means for acquiring information and expanding knowledge to higher scales. Conversely, field surveys and the associated analytical approaches provide a framework in which to characterize disease intensities and their relationships with attributes (including crop management) of the environments where injuries occur; but they usually do not generate crop loss data *per se*.

The concept of production situation described the set of factors - physical, biological, and socioeconomic - that determine agricultural production. This concept is used here within the restricted scope of an individual rice field and from the limited view point of plant protection. A quick overview of the variables listed in the following survey procedure indicates how this concept is operationalized in a survey: this list includes a few key components of rice crop management (which reflect the physical and socioeconomic environments of a crop and their interactions) and a series of rice pests (that is, insects, pathogens, and weeds).

This approach has the considerable advantage of providing quantitative estimate of the contribution of each constraint to the total yield loss. They study may be considered among the first series, where a set of pest constraints of a crop, simultaneously with environmental variables, and variables representing the current cropping practices were considered. Pests only considered some of the 'yield determining variables'. the approaches may also be considerate an attempt to incorporated the concept of production situation ie. the set of environment that lead to a given yield output of the system.

Common techniques for analysis of survey data

Methodologies used to interpret the survey data are developed for studies of the relationships for injuries – yield loss. The development of these studies started focusing on single-disease (pest) pathosystem to more complex. The classic analytical approaches aim to assess group-wise differences, either in a univariate i.e. parameter-by-parameter fashion or using multivariate techniques (e.g. MANOVA, CA, MCA, PCA). Univariate methodologies are frequently used to reduce a possibly large number of measured analyses to only those that show the strongest response under the investigated conditions. Examples for such univariate approaches are significance testing methods and simple linear regression model. However, univariate methods fail to apply to different production situation or the scope that model can be applied.

Therefore, multivariate analysis methods seek to capture not only changes of single pest. Probably the most prominent multivariate analysis techniques applied in the field of survey data are principal component analysis (PCA), cluster analysis and Correspondence analysis. These analyses do not always; and to yield loss estimate. They can inform us the close relationship between changing production situations and shift crop health syndromes (changes in the dynamics of group disease or crop-limiting factors in an area).

Farmers' fields survey data sets are of immense value to plant pathologists; they, however, are generally heterogeneous in format (being qualitative or quantitative) and/or precision. A number of methods, some now old and seldom used, and other more recent and comparatively easier to use, are available to process

this type of information. The approach used to analyse these data included three main steps: cluster analysis, multiple correspondence analysis and logistic regression.

Cluster analysis represents another unsupervised multivariate technique suitable for the analysis of survey data with hierarchical cluster analysis (HCA) and k-means clustering being the most prominent representatives. In general, clustering methods group and visualize samples according to intrinsic similarities in their measurements, irrespective of sample groupings.

The applications of network analysis have increased exponentially over the past two decades in various disciplines. Even though documented applications of network analysis in plant pathology are still relatively sparse, network applications in the social sciences, systems biology and ecology have been increasingly found. Here I review the empirical works that exist and argue that network analysis is a promising approach for exploring questions in the context of plant pathology.

Network Analysis

Introduction to network analysis

Network analysis is used for determining relationships between elements of interest. It offers toolkits for visualizing data in a network model and measuring its properties, and network thinking (Proulx et al., 2005). It has been widely used by various branches of science, such as social science, ecology, biology, computer science, and many others to study the interactions between elements, e.g., the relationships of students in school (Moody, 2001), species in food webs (Krause et al., 2003), interactions of genes or proteins in cells (Guimera & Amaral, 2005),

or the connections of computer in the network (Pastor-Satorras & Vespignani, 2001; Newman, 2006).

Newman (2003) loosely categorized four types of networks based on different complex data. The first category is social network, representing sets or groups of people forming some patterns of contacts or interactions between them such as the patterns of friendship or business relationships. Analyzing the structure of whole social entities gives us the perspectives from a social network, which enables us to explain the patterns observed. Moody (2001) analyzed the social behaviors in high school students using social network approaches. (Kasari et al., 2011) applied network analysis to compare the social relationships and friendships between children with and without autism spectrum disorder (ADS). The second type of network is an information network or knowledge network. The classic example of this network is the network of citations between academic papers (Newman, 2003). The articles cited other papers, which have related topics. They formed a citation network that has vertices as articles and direct links as citations. The citation network visualizes the structure and the movement of the information. The third category, technological network, is object connected network, or man-made network which represents a physical connection between objects. This network is mostly applied for illustrating physical structures and systems such as the electrical power grid, the connections of rivers, transport systems, etc. The fourth category of network is a biological network. It represents the biological systems such as genes to genes, genes to protein, protein to protein interactions, which enable biologists understand the connections and interactions between individual constituents including genes, proteins, and metabolites at the level of the cell,

tissue and organ to ultimately describe the entire organism system. Biologists use biological networks in various branches of biology at different levels (from a single molecule to an entire organism). For example, Yang et al. (2014); Barabási & Oltvai (2004) studied in the patterns of gene expression in different conditions and different types of cells (normal cells and cancer cells) in order to characterize the genes that change and do not change following the particular conditions; Freilich et al. (2010) applied a molecular ecological network analysis to study the communities of soil microorganisms. Networks revealed the complex relationships between microbial species in soils and their communities. Moreover, network analysis enables ecologists to understand ecological properties and predict the ecological roles of species in a soil ecosystem. Although the application of each type of network approach varies, all four categories of networks share a common empirical focus on relational structure and a similar set of mathematical analysis.

Network analysis can be a powerful tool to study plant disease. Moslonka-Lefebvre et al. (2011); Jeger et al. (2007) applied network models and concepts to study disease spreads in regional networks of plant nurseries and garden centers of *Phytophthora ramorum*, the oomycete causing Sudden Oak Death in the West Coast of the USA and leaf blight and dieback in many ornamental shrubs both in America and Europe. The result could be applied to design measures to control plant disease epidemic from movement of infected material among plant nurseries. Shaw & Pautasso (2014) recently reviewed critically about network analysis to plant disease management (*i.e.*, to design ways to reduce the flow of disease in traded plants, to find the best sites to monitor as warning sites for annually reinvading diseases, to understand the fundamentals of how plant pathogen spreads in

different structures of simulated trade network models). Windram et al. (2014) reviewed the applications of network analysis in molecular plant pathology. Network models were applied to reveal plant defense mechanism during plant-pathogen interactions.

Concepts, principles, and methods of network analysis

A network represents relationships between elements of interest, which are defined by links (edges) among nodes (vertices). Nodes can be units of interests or studies, and links represent interactions between nodes. Network analysis aims to identify the patterns of associations among nodes, not only features or attributes of particular nodes.

Network analysis follows three principles. Nodes and their behaviors are mutually dependent, not autonomous; links between nodes can be channels for transmission of both material (*e.g.*, money, disease) and non-material (*e.g.*, information, knowledge, relationship, interaction) and; persistent pattern of association among nodes create structure that can define, enable, or restrict the behavior of a node.

Network models have two different organizational structures depending on goals of the representation and analysis (Borgatti et al., 2013). Flow models, commonly named directed graphs, represent the network as a system of pathways along which something move such as transportation networks (*e.g.*, of highways, railways and airlines) or communication networks. Since flow networks show the directions of movement between nodes, thus these networks are interesting to study their behaviors. Analysis of flow networks can identify which nodes are more active or which ones are more important connectors. Jeger et al. (2007); Shaw & Pautasso

(2014) applied such network models to study plant disease spread. Architectural models, or indirected graphs, are mainly used to determine the structure of the network, seeking to discern whether specific structures lead to similar outcomes or whether nodes in similar network positions behave in similar ways. Ecological applications related to the ecology and spatial structure of “community” tend to be organized and analyzed as architectural models. For example, Faust et al. (2012) studied networks of soil microbial interactions. Network models can describe how microbial populations change over time, which will require the use of dynamic models of microbial communities. Beyond these basic principles, network analysis enables the calculation of structural properties of nodes, groups, or the entire network.

Measuring network properties

A network is made up of nodes and links from relational data. It is constructed from an adjacency matrix, which is obtained from analysis using metric algebra techniques. The row and column headings for an adjacency matrix are identical, listing the names of the components involved in the network. In the simplest case, the cells of the matrix are coded with “1” if a link exists between the node or “0” if no edge exists. However, a link can be valued. Value indicates a characteristic of the relationship that the research has quantified. The values may be binary, such as whether two friends recognize each other, or variable strength (*e.g.*, the number of mutual friends between two friends). A network link need not imply positive or cooperative interaction; they can also be a negative or competitive interaction between two individuals.

The distribution of links in a network suggests two important structural

characteristics: centrality (importance) of nodes in the network and division of the network into subgroups. Variants of centrality in a network include degree, closeness, and betweenness. Degree centrality of a node is the sum of the value of the links between that node and every other node in the network. This measure tells us how well-connected a particular node is to the other nodes. Closeness centrality is calculated using the length of the path between a node and every other node. This measure could estimate the time required for information or resources to propagate to a given node in a network. Betweenness centrality corresponds to the number of paths in the network that pass through a particular node, and therefore measures the dependence of a network on a particular node for maintaining connectedness (Toubiana et al., 2013). Deng et al. (2012); Newman (2003); Toubiana et al. (2013) are recommended references for descriptions of the theory and uses, as well as the formal calculation of these measures.

The unique values of network analysis

There are four key points that will help to understand network analysis 1) how it differs from traditional approaches of scientific research; 2) how it relates to those traditional approaches; 3) how networks are constructed, manipulated and measured; and 4) what value network analysis offers beyond traditional approaches.

The first point of network analysis is that there are two types of data represented in the network graphs; technical and rational data. The first is data characterizing the actors or variables being studied referring to attributes. Attributes describe characteristics of individual actors or variables, for example their race, income or physical location, and are the primary variables considered in traditional

approaches. The second type of data is relational data, that is, data about the relationships between individual nodes. For example, Lazega & Pattison (1999) represented the network model of cooperation among lawyers in three law firms, through the exchange of various type of resources among them. This model consisted of over 70 lawyers in three different offices in three different cities. Relational data reflected to resources exchange and additional attribute information were included type of practice, genders, and seniority of each lawyers.

Relationships are also referred to as edges (links) in network analysis. Edges cannot be attributed to any single actor. Rather, edges only exist between nodes. This leads to the second point about network analysis that it requires a different conceptual approach. Because edges only exist between nodes, it is useful to think of edges existing in a separate dimension from nodes, who are connected in physical space. This dimension is sometimes referred to as relational space. To visualize the difference, think of someone far away with whom you correspond regularly, say using a phone, email, or Facebook. Even though the two of you are not physically close, you have a strong relationship. The two of you are distant in physical space but close in relational space. This notion of relational space is in part what means when he refers to the space of flows as something distinct from the space of places (Castells, 2001).

The third point that distinguishes network analysis from other approaches is it involves different methods of analysis. Because traditional research methods consider variable attributes in a wide variety of statistical analyses such as measures of center (*e.g.*, mean, median, etc.) and dispersion (*e.g.*, standard deviation, range, etc.), these methods are sometimes referred to as variable analysis, whereas,

network analysis models relational data and to measure various characteristics of network structure. For example, for lawyers data (Lazega & Pattison, 1999), it is natural to ask to what extent two lawyers that both work with third lawyer are likely to work with each other as well. This notion corresponds to the social network concept of transitivity and can be captured numerically through an enumeration of proportion of vertex triples that form triangles, so-called cluster coefficient.

The idea that network structure may be correlated with variable attributes and behaviors is the fourth point to consider in comparing network analysis to other approaches. In network analysis, the arrangement of the network in relational space is basically correlated with the behavior and attributes of those variables. For example, in the network created by Lazega & Pattison (1999) lawyers of the same firm may share similar attributes such as office location or department, and lawyers in similar roles within that network may share similar behaviors. Basically, conventional approaches measure various attributes of variable (nodes in a network) and attempts to discern something about the relationships between actors (edges in a network) based on those attributes. When the network structure is simple and the differences in node attributes are clear, the conventional analytic approach is sufficient. However when relationships are complex or node attributes are more nuanced, clear answers using conventional analysis may prove elusive. As a result, network analysis offers a tool to help researchers visualize the large network and disentangle some of the relational complexities within the network, just as cluster analysis and multivariate analysis for help research disentangle the complex data.

Networks and Plant Pathology

Recently, a broad expansion of applications of network analysis has occurred across many disciplines. It has been evaluated as a promising tool to study a complex system. Plant pathologists have applied network analysis for their research. Moslonka-Lefebvre et al. (2011); Jeger et al. (2007); Windram et al. (2014) supported that network analysis can be fruitful models in many applications relevant to plant pathology because of its generality and flexibility. For example, the network of main fresh cut flowers movements among European countries was determined the likelihood of introduction of new pathogens and other organisms associated with plants (Eagling, 2007), and plant-pathogen interaction network models were applied to present plant defense mechanisms (Dietz et al., 2010).

The development of network analysis challenges conventional approaches to uncover rational complexities of plant pathology studies. Two fields of research relevant to plant pathology presented particularly strong growth and proved that network analysis has significant potential to augment traditional analysis methods. The first is plant disease epidemiology, which investigates questions related to plant disease spread. The second is plant molecular biology, which investigates questions related to biological networks.

Using Network analysis to understand plant disease spread

Network analysis challenges conventional approaches of studies in plant disease epidemiology, especially underling the spatiotemporal flow of plants and their pathogens (Pautass et al., 2010). When plant pathological studies were restricted to a single geographical location, there was a limit to thinking about the

connections or relationships between plants or fields in different locations. However, network analysis can enlarge the view of studies and can consider whether or not plant pathogens are moving from one field to others in the regions of interest. For example, networks of plant disease spread in trade networks presented the flows of plant disease from infected units (infected plants or epidemic areas) to susceptible units (susceptible plants or areas).

Network models of epidemic development

The idea of plant epidemics is that the probability of infection embedded in the connection or the contact patterns between susceptible/infected plants, and it forms as the networks. Pautasso et al. (2010) showed a network model of epidemic development (susceptible-infected-susceptible model) in a directed network. In the network model, vertices were represented plant, and their attributes were presented the infectious status (healthy or infected). The epidemic is started at a single node, then nodes with a connection from the starting infected node will be infected at the next time step with a certain probability of transmission. In turn, already infected nodes will be infected at the next time step depending on their infection status and on a certain probability of persistence. The probability of infection transmission is the same for all connections between infected nodes and susceptible nodes over times. Similarly, the probability of infection persistence is the same for infected nodes in a certain network replicate. For each network structure, the two probabilities of persistence and transmission define an epidemic threshold, which is independent of the starting node of the epidemic. This epidemiological model does not result in either susceptible or infected nodes, as nodes will have a infection status along a continuum. Key quantities for epidemiological

dynamics in networks were reviewed in Moslonka-Lefebvre et al. (2011).

Analysis of plant trade network

Phytophthora ramorum epidemic networks in the horticultural trade are an example of the application of network models in the study of plant disease spread (Harwood et al., 2009; Pautass et al., 2010). Simulations of spread of *P. ramorum* in different network structures (random, small-world and scale-free network) were found that epidemic threshold, the boundary between a no epidemic an epidemic outcome, is significantly lower for scale-free network, a network is dominated by a small number of nodes with many connections, compared to local, random and small-world network structure. Modeling suggested that was possible to control an epidemic by changing the structure of network, without having to decrease the probability of infection persistence at a nursery site and/or of transmission between sites. Simulation showed that correlation coefficient between link in and out nodes increased with connectivity level for all the structures investigated and underlined the importance of targeted control towards node with more connections than others.

Regardless of the network structure and connectivity level, epidemic threshold is negatively correlated to the correlation coefficient between link in and out nodes, (Moslonka-Lefebvre et al., 2009). In presence of high-connected nodes, the most effective way to control disease spread is to move from two-way to a one-way network. That is move from network where overall there is positive correlation among links-in and -out to one where the correlation are negative. In practice this would mean that a nursery network would be dominated by major node, which receives plant materials from many production sites but supply relatively few re-

tail sites, or by major nodes which received plant materials from a few production sites but supply many retail sites. However, the scenario where there are major nodes which both receive plant material from many production sites and supply many retail sites is the most problematic control. The better way to control should target towards the cluster (group of nodes), not only to high-connected nodes.

The last point, the modeling of disease spread in small-size directed networks showed that increasing the proportion of wholesalers (*i.e.*, traders without a preponderance of incoming or outgoing links) tends to decrease the epidemic threshold in local, random, and small-world network. The opposite result is obtained for the proportions of produces and retails. Scale free networks appear instead to be tolerant to changes in these hierarchical categories as the epidemic threshold in this case is governed by the presence of hub rather than by the features of the majority of nodes in the network (Pautass et al., 2010).

Network models to design strategies of plant disease management

Due to globalization, plant trades among countries are increasing and convenient. They potentially caused risks to plant health when they were not under good control. To control the risks of plant disease epidemic through plant trade, network models were applied to present the flows of trade network of plants and plant products across the world and within countries and to develop strategies of plant disease management (Pautasso, 2015). Networks could be found hubs or highly connected nodes, which represented locations or countries where imported and exported plants or plant parts. Hubs or highly connected nodes were targets for control disease flows in the scenarios that network presented because they

were considered to the likelihood of pathogens actually infecting along particular links. Strategies for disease management should be designed by focusing on links to and from hubs, nodes which have high degree of connectivity, so that it increase efficiency to control plant pathogen spreads. The strategies may aim to remove them from the network (Jeger et al., 2007; Moslonka-Lefebvre et al., 2011). Alternatively, strategies may pay attention on them in order to prevent disease spreads. Shaw & Pautasso (2014) suggested placing quarantine efforts on hubs or on connections between major hubs.

Additionally, Pautasso et al. (2008) showed the good examples, which are co-occurrence networks of the *Phytophthora ramorum* infected plant genera different environments. The networks may be helpful in identifying host taxa playing an important role in spreading a certain disease in the seminatural environment, in crop plants, and plants in the trade. Combining genetic network analysis and data on trace forward and trace back on movement of plants nursery trade supported to identified confidentially *P. ramorum* migration. From this approach, it was clear that the pathogen was introduced originally from nurseries, which *P. ramorum* populations in nurseries are genetically ancestral to all Californian forest populations.

Using Network analysis to understand molecular plant pathology

For understanding mechanisms of plant-pathogen interactions, network analysis offers tools to visualize interactions of biological components including genes, proteins, and metabolites, which are related to plant-pathogen interactions. Network concepts enable us to characterize interplay of those components following the properties of network structure. Analyzing structural properties of networks

may provide useful clues about the biological functions of individual genes, genes complexes, proteins, protein complexes, pathways they participate in.

Presenting biological data with network model

Networks are used in different contexts as ways to represent relationships between entities, such as interactions between genes, proteins or metabolites. Wu et al. (2007) gave the example of a network model built from gene-for-gene relationships between rice and various avirulence genes of the pathogen *Xanthomonas oryzae* pv. *oryzae* causing bacterial leaf blight of rice. Nodes represented isogenic lines of rice and weighted edges reflected the number of shared genes with high resistance (with respect to avirulence genes) in the two isogenic lines of rice. For a plant breeder, this graph can help in identifying particularly promising genes for developing a disease resistant variety.

Network analysis to study biological systems

Network analyses have been applied to visualize the myriad information and analyze the complex relationships. To better understand the collective impact of genes on complex traits and determine what governs their organization, biologists are most likely to apply gene co-expression networks (Usadel et al., 2009). Co-expression networks most commonly use the Pearson’s correlation coefficient to establish linear pairwise correlations between gene pairs in an adjacency matrix. Another associative metric that can be used is the Spearman correlation coefficient, which captures nonlinear correlations between genes to be uncovered (Usadel et al., 2009; Horvath, 2011). Once a co-expression network has been generated, identifying modules by clustering can help extract biological meaning from the network. Uncharacterized genes within such a module can be candi-

dates for participating in the same process. Similarly, genes directly connected to (or co-expressed with) known central regulators of a developmental process are candidates within this co-functional framework.

Zheng & Zhao (2013) used co-expression network inference to investigate plant immunity system of citrus in response to *Candidatus Liberibacter asiaticus*. Gene coexpression networks based on Pearson’s correlation coefficients using four transcriptomic data sets studies of citrus infected by *Candidatus Liberibacter asiaticus* bacterium were constructed. The result showed high degree of preservation of gene co-expression patterns across the networks based on different datasets. The network structures revealed hub genes, which have high connectivity. Highly connected nodes (genes) were closely related to Arabidopsis SYP71 encoding a plant syntaxin which functions as a plasma membrane- associated protein transporter. Furthermore, in the study of Mukhtar et al. (2011), plant-pathogen interaction networks revealed the interactions of novel *Arabidopsis* protein-pathogen effectors, provided evidences that pathogen effectors target a limited number of host immune proteins, and demonstrated that effectors from very distantly related pathogens interact with the same host proteins.

The main use of co-expression networks with large collections of static expression data is gene discovery. However, many biologists have attempted to construct differential networks to measure differences in connectivity patterns from datasets with different conditions or targeted experiments (Toubiana et al., 2013). Lu et al. (2013) constructed networks of soil fungal communities. The fungal networks represented two different conditions; yield-invigorating and yield-debilitating soils under prolonged potato monoculture were compared. The au-

thors discussed in differential network concepts that in healthy network three-eighths of fungal groups and soil organic matters were strongly correlated, and in diseases network two of four groups strongly correlated with soil electrical conductivity (EC) and ammonium nitrogen. Differential network analysis showed that average degrees of nodes belonging to *Sordariales* and *Hypocreales* in healthy network substantially were different in disease network. This indicated that they are key species of ecological communities.

A variety of Network Construction Methods

Until this section, I gave the general information of network analysis with network concepts, network modeling and inference. Ultimately, most of the systems studied from a network-based perspective are dynamic in nature. This underscores the importance of developing tools to understand the interplay between network structures and dynamic processes. I reviewed three network-based analyses: single network, differential network and dynamic network analysis. These techniques from network science have yielded many biological insights (Ideker & Krogan, 2012).

Single Network Analysis

Single-network analysis will be used for analyzing a network based on a single data set. It aims to model patterns of relationships between entities to a network, thereby determining its topological properties such as node degree, degree distribution, average path length, clustering coefficient, modularity index (Newman, 2006) to describe the patterns in the network model. These structural properties offer the potential to explore relationships within complex data sets and identify key factors or clusters.

Correlation based networks are widely used for studying biological networks based on pair-wise correlations between variables. Their edges are obtained using correlation-based measures removing spurious relationships. Correlation based measures include linear based correlation (Pearson’s correlation, partial correlation) and rank based correlation (Spearman’s correlation, Kendall’s correlation). Removal of spurious relationships is particularly important when one attempts to establish causal relationships between entities (Toubiana et al., 2013). A correlation based network allows one to define modules (clusters), intramodular hubs, and network nodes with regard to module membership, to study the relationships between modules, and to compare the network topology of different network.

Differential Network Analysis

Networks can respond differently under various environments or with external signals. They can be simplified by focusing on key components and capture only the essential components differently responding between environments in which they play a key role in the modeled response (Pe’er & Hacohen, 2011). Networks are examined by adding or deleting some variables. This allows predicting interactions or components that change following the changed structure of networks.

Differential network analysis is applied to identify and describe differences between two networks under different conditions. Differential networks from different data sets with different conditions might display different interactions from the single network based on the whole data set, which are disregarded the conditions. The strongest interactions in differential networks are not necessarily those

that are strong in the single network. Conversely, they may be weak or absent in single network. Compared between differential networks under different environment conditions, the differential interactions can be implied that they are a result of response to environmental conditions. Moreover, it can be implied that environments influence on the interaction between pairs of nodes contributing to the differential interactions.

The objective of differential network analysis is to identify the different interactions, that may reveal when condition has changed. For example, the differential network analysis of gene co-expression networks to understand the differences between human and chimpanzee brain was focused on how expression patterns of genes were different in human and chimpanzee brains. These networks are contrasted to find a) non-preserved modules (group of genes with sharing similar expression profiles and presumably similar functions), b) differentially occurred genes, and c) differentially connected genes. The result showed that

Dynamic Network

Biological systems are highly dynamic. They must continuously respond to external signals or the internal state of the system. The responses can be altered slowly or quickly over time (Pe'er & Hacohen, 2011). Thus, realistically the corresponding biological network models must evolve as well. It seems clear that dynamic networks enable us to see and understand the systems and how their dynamic effects change over time. Some understandings previously have been obtained from studies of dynamics of large networks, for example, gene expression or metabolic fluxes network (Ideker & Krogan, 2012).

The main goal of dynamic network analysis moves away from single net-

work analysis, which characterizes absolute properties of the system. It aims to concentrate on a specific dynamic response. Rather than answer what the key factors in the system, it answers what parts of the system are most affected by perturbation. Most commonly, dynamic networks are applied when the edges among a set of vertices or the sets of vertices itself are changing as a function of time. For example,

Summary

This literature review presented a brief introduction of network analysis and concise concepts and methods. Briefly, a network is usually represented by sets of nodes (or vertices) connected by edges (or links) in various ways. Networks can be categorized to four types, social network, information, technology network, and biological network. Even though four types of networks are described and applied in different context, they share a common empirical focus on relational structure and a similar set of mathematical analyses. Network models are cable of presenting unique values, which traditional approaches cannot present. Network analysis was discussed as applied to two broad areas of study of plant diseases. It firstly was applied to study plant disease epidemics. For example, networks of *P. ramorum* spread through plant nurseries trade. The results enabled us to understand the directions and processes of disease spread. Additionally, they could predict the movement of disease flows, and improve the implementation of plant disease policy. Secondly, molecular plant pathology showed two applications of network applications. The first use is to apply networks to model large and complex biological datasets. Another use is the consideration network structure to understand biological system. Emergent properties of network structure

influences may be identified, measured and analyzed to yield better explanations of the experiments being observed. While numbers of documented plant pathological studies using network analysis are sparse, the literature presented in this review showed a clear and compelling case for plant pathologists to expand the understanding of and use network concepts and methods. Biological networks are context-specific and dynamic in nature. Under different conditions, the topology of the network changes. Network approaches (differential network, dynamic network analysis) are successful to yield insight on biological system under different conditions or dynamic processes. Network analysis concepts and methods augment existing approaches and provide tools for exploring complex relationships, which have been widely acknowledged as influential but difficult to measure using traditional methods.

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