

1. (51.1) Show that if  $h, h': X \rightarrow Y$  are homotopic and  $k, k': Y \rightarrow Z$  are homotopic, then  $k \circ h$  and  $k' \circ h'$  are homotopic.

*Proof.* Suppose  $h, h': X \rightarrow Y$  are homotopic and  $k, k': Y \rightarrow Z$  are homotopic.

Define the map  $H': X \times I \rightarrow Y \times I$  by  $H'(x, t) = (H(x, t), t)$ . It is clear that this map is continuous because the component maps are continuous. Then the desired homotopy is the map  $K \circ H': X \times I \rightarrow Z$  (continuous because composition of continuous maps are continuous). We have

$$K \circ H'(x, 0) = K(H(x, 0), 0) = K(h(x), 0) = k(h(x)) = (k \circ h)(x)$$

$$K \circ H'(x, 1) = K(H(x, 1), 1) = K(h'(x), 0) = k'(h'(x)) = (k' \circ h')(x)$$

as desired, meaning  $k \circ h$  and  $k' \circ h'$  are homotopic.  $\square$

2. (51.3) A space  $X$  is said to be **contractible** if the identity map  $i_X: X \rightarrow X$  is nullhomotopic.

- (a) Show that  $I$  and  $\mathbb{R}$  are contractible.

*Proof.* Observe that  $I$  and  $\mathbb{R}$  are both convex, path connected sets. Let  $f: I \rightarrow I$  and  $g: \mathbb{R} \rightarrow \mathbb{R}$  be constant maps. Then the straight line homotopies

$$F: I \times I \rightarrow I \text{ given by } F(x, t) = tf(x) + (1 - t)x$$

$$G: \mathbb{R} \times I \rightarrow \mathbb{R} \text{ given by } G(x, t) = tg(x) + (1 - t)x$$

are continuous; furthermore,  $F(x, 1) = f(x)$ ,  $G(x, 1) = g(x)$ , and  $F(x, 0) = \text{id}_I(x)$ ,  $G(x, 0) = \text{id}_{\mathbb{R}}(x)$ .  $\square$

- (b) Show that a contractible space is path connected.

*Proof.* Suppose that  $X$  is a contractible space. Let  $a, b$  be any two points in  $X$ . Then for a constant map  $f$  on  $X$  sending any  $x$  to  $b$ , the identity map is homotopic to this map by some homotopy  $F$ . Then for any  $x$ , we can define a path  $P_x: I \rightarrow X$  by  $P_x(t) = F(x, t)$ , which connects  $x$  ( $t = 0$ ) to  $b$  ( $t = 1$ ). So there are paths connecting any  $x$  to  $b$ , and in particular,  $P_a$  is a path connecting  $a$  to  $b$ . Since  $a, b$  were arbitrary it follows that  $X$  is path connected.  $\square$

- (c) Show that if  $Y$  is contractible, then for any  $X$ , the set  $[X, Y]$  has a single element.

*Proof.* Suppose  $Y$  is contractible and let  $X$  be any space. Let  $g$  be a constant map on  $Y$  mapping  $y \in Y$  to  $b$ . There is a homotopy  $F: Y \times I \rightarrow Y$  from the identity map on  $Y$  to the map  $g$  on  $Y$  such that  $F(y, 0) = \text{id}_Y(y)$  and  $F(y, 1) = g(y) = b$ . We show that any continuous map  $f$  from  $X$  to  $Y$  is homotopic to  $g$ .

The homotopy required is the map  $G: X \times I \rightarrow Y$  given by  $G(x, t) = F(f(x), t)$  so that  $G(x, 0) = f(x)$  and  $G(x, 1) = g(f(x)) = b$ . (It is clear that this map is continuous as it is a similar construction to the one given in the previous problem.)

Since any map from  $X$  to  $Y$  is homotopic to a constant map on  $Y$ , by transitivity it follows that all such maps from  $X$  into  $Y$  are homotopic to each other and hence there is only one element in  $[X, Y]$ .  $\square$

- (d) Show that if  $X$  is contractible and  $Y$  is path connected, then  $[X, Y]$  has a single element.

*Proof.* Suppose  $X$  is contractible and  $Y$  is path connected. Let  $g$  be a constant map on  $X$  mapping  $x \in X$  to  $a$ . There exists a homotopy  $F: X \times I \rightarrow X$  from the identity map on  $X$  to the map  $g$  on  $X$  such that  $F(x, 0) = \text{id}_X(x)$  and  $F(x, 1) = g(x) = a$ . For any two continuous maps  $f, f'$  from  $X$  into  $Y$ , there are homotopies  $f \circ F: X \times I \rightarrow Y$  from  $f(x)$  to the constant map sending elements of  $X$  to  $f(a)$  and  $f' \circ F: X \times I \rightarrow Y$  from  $f'(x)$  to the constant map sending elements of  $X$  to  $f'(a)$ . Because  $Y$  is path connected, the two constant maps are homotopic to each other (the homotopy needed is any path  $H: X \times I \rightarrow Y$  given by  $H(x, t) = P(t)$  where  $P$  is any path connecting  $f(a) = (f \circ g)(x)$  and  $f'(a) = (f' \circ g)(x)$ ).

By transitivity again it follows that any two continuous maps from  $X$  into  $Y$  are homotopic so that  $[X, Y]$  only has one element.  $\square$

3. (52.1) A subset  $A$  of  $\mathbb{R}^n$  is said to be **star convex** if for some point  $a_0$  of  $A$ , all the line segments joining  $a_0$  to other points of  $A$  lie in  $A$ .

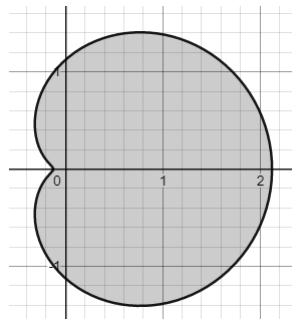
- (a) Find a star convex set that is not convex.

We first choose a star convex set  $S$  in  $\mathbb{R}^2$  which is not convex in  $\mathbb{R}^2$ , and take the direct product of  $S$  with  $\mathbb{R}^{n-2}$  to form the desired star convex subset of  $\mathbb{R}^n$ .

A choice for a star convex subset of  $\mathbb{R}^2$  which is not convex is the set given by

$$S = \left\{ (x, y) : \sqrt{x^2 + y^2} \leq \frac{9}{8} + \frac{y}{\sqrt{x^2 + y^2}} \right\},$$

which in polar coordinates makes the picture clear. The set  $S$  is the set enclosed by (and including the boundary) the filled-in cardioid given by the inequality  $r \leq 9/8 + \cos(\theta)$ :



Clearly, there are points of  $S$  in the second quadrant which are not connected by a line segment to some points of  $S$  in the third quadrant, meaning that  $S$  is not convex. But by construction (the polar inequality), every point in this set is connected by a line segment to the origin, so that  $S$  is star convex. Then the product  $S \times \mathbb{R}^{n-2}$  (the “cylinder” of  $S$ ) is the desired subset of  $\mathbb{R}^n$  which is also star convex but not convex. The origin is connected to any point  $\vec{x} = (x, y, x_1, \dots, x_{n-2})$  by the line segment given by the parameterization  $t\vec{x}$  for  $t \in I$ , but the points  $(-1/4, 1/2, x_1, \dots, x_{n-2})$  and  $(-1/4, -1/2, x_1, \dots, x_{n-2})$  cannot be connected by a line segment.

- (b) Show that if  $A$  is star convex,  $A$  is simply connected.

*Proof.* Since  $A$  is star convex, there exists a point  $a_0$  which is connected by line segments to every point in  $A$ . It follows that  $A$  is path connected because for any two points  $a, b$  in  $A$ , a line segment path from  $a$  to  $a_0$  can be adjoined to a line segment path from  $a_0$  to  $b$  by the path product  $*$  to form a path from  $a$  to  $b$ .

Then take any loop  $P$  starting at  $a_0$ , and observe that for any point  $a$  on the loop  $P(I)$ , the straight line path  $ta_0 + (1-t)a$  connects  $a$  with  $a_0$ . Hence the straight line homotopy  $H: A \times I \rightarrow A$  given by  $H(x, t) = te_{a_0}(x) + (1-t)P(x)$ , where  $e_{a_0}$  is the constant map into  $a_0$ , is the homotopy required to show that all loops in  $A$  starting from  $a_0$  are homotopic to a constant map into  $a_0$ , so that  $\pi_1(A, a_0)$  is the trivial group.  $\square$

4. (52.2) Let  $\alpha$  be a path in  $X$  from  $x_0$  to  $x_1$ ; let  $\beta$  be a path in  $X$  from  $x_1$  to  $x_2$ . Show that if  $\gamma = \alpha * \beta$ , then  $\hat{\gamma} = \hat{\beta} \circ \hat{\alpha}$ .

*Proof.* We first prove a small lemma. Socks and shoes: With  $\alpha, \beta, \gamma$  as given above, we have  $\bar{\gamma} = \bar{\beta} * \bar{\alpha}$ . This is clear since

$$\bar{\gamma}(t) = \gamma(1-t) = \begin{cases} \alpha(1-t) = \bar{\alpha}(t) & 0 \leq t \leq 1/2 \\ \beta(1-t) = \bar{\beta}(t) & 1/2 \leq t \leq 1 \end{cases} = (\bar{\beta} * \bar{\alpha})(t)$$

for all  $t \in I$ .

Then for any  $[f] \in \pi_1(X, x_0)$ , we have  $\hat{\gamma}([f]) = [\bar{\gamma}] * [f] * [\gamma] = [\bar{\beta} * \bar{\alpha}] * [f] * [\alpha * \beta] = [\bar{\beta}] * ([\bar{\alpha}] * [f] * [\alpha]) * [\beta] = (\hat{\beta} \circ \hat{\alpha})([f])$  so that  $\hat{\gamma} = \hat{\beta} \circ \hat{\alpha}$ .  $\square$

5. (52.3) Let  $x_0$  and  $x_1$  be points of the path-connected space  $X$ . Show that  $\pi_1(X, x_0)$  is abelian if and only if for every pair  $\alpha$  and  $\beta$  of paths from  $x_0$  to  $x_1$ , we have  $\hat{\alpha} = \hat{\beta}$ .

*Proof.* Suppose that every pair  $\alpha$  and  $\beta$  of paths from  $x_0$  to  $x_1$  satisfies  $\hat{\alpha} = \hat{\beta}$ . We show that for any loops  $f, g$  starting at  $x_0$ , that  $[f] * [g] = [g] * [f]$ .

Let  $\alpha$  be any path from  $x_0$  to  $x_1$ , and choose  $\beta = \bar{f} * \alpha$  so that

$$\begin{aligned} \hat{\alpha}([g]) &= [\bar{\alpha}] * [g] * [\alpha] \\ \hat{\beta}([g]) &= \widehat{(\bar{f} * \alpha)}([g]) = [\bar{f} * \alpha] * [g] * [\bar{f} * \alpha] = [\bar{\alpha}] * [f] * [g] * [\bar{f}] * [\alpha] \end{aligned}$$

so that by cancellation of  $[\bar{\alpha}]$  and  $[\alpha]$  followed by right multiplication by  $[f]$ , we have that  $[f] * [g] = [g] * [f]$ .

Conversely, suppose that  $\pi_1(X, x_0)$  is abelian so that for any paths  $f, g$  starting at  $x_0$ , we have  $[f] * [g] = [g] * [f]$ . Because  $X$  is path connected,  $\pi_1(X, x_0)$  is isomorphic to  $\pi_1(X, x_1)$ , meaning  $\pi_1(X, x_1)$  is also abelian (conjugation is the trivial action).

Let  $\alpha, \beta$  be any two paths from  $x_0$  to  $x_1$ . For elements  $[\bar{\alpha} * \beta], [\bar{\alpha} * f * \alpha] \in \pi_1(X, x_1)$ , we have

$$\begin{aligned}\hat{\alpha}([f]) &= [\bar{\alpha}] * [f] * [\alpha] = [\bar{\alpha} * f * \alpha] = [\bar{\alpha} * \beta]^{-1} * [\bar{\alpha} * f * \alpha] * [\bar{\alpha} * \beta] \\ &= [\bar{\beta}] * [\alpha] * [\bar{\alpha}] * [f] * [\alpha] * [\bar{\alpha}] * [\beta] \\ &= [\bar{\beta}] * [f] * [\beta] = \hat{\beta}([f]),\end{aligned}$$

as desired. □

6. (52.4) Let  $A \subset X$ ; suppose  $r: X \rightarrow A$  is a continuous map such that  $r(a) = a$  for each  $a \in A$ . (The map  $r$  is called a **retraction** of  $X$  onto  $A$ .) If  $a_0 \in A$ , show that

$$r_*: \pi_1(X, a_0) \rightarrow \pi_1(A, a_0)$$

is surjective.

*Proof.* Note that the formulation of  $r_*$  is correct because  $r$  sends  $a_0 \in X$  to  $a_0 \in A$ .

For some element  $[g] \in \pi_1(A, a_0)$  we seek to find a preimage of  $[g]$  under  $r_*$ ; that is, to find an element  $[f] \in \pi_1(X, a_0)$  such that  $[r \circ f] = [g]$ . A choice of  $f$  which works is the path given by  $i_{A \hookrightarrow X} \circ g$ , where  $i_{A \hookrightarrow X}$  is the canonical inclusion map from  $A$  into  $X$ .

We have  $r_*([i_{A \hookrightarrow X} \circ g]) = [r \circ i_{A \hookrightarrow X} \circ g] = [\text{id}_A \circ g] = [g]$ . It follows that  $r_*$  is surjective.

Alternatively, we use the functorial properties of the induced homomorphism to see that since  $r \circ i_{A \hookrightarrow X} = \text{id}_A$ , we have that  $\text{id}_{\pi_1(A, a_0)} = (\text{id}_A)_* = (r \circ i_{A \hookrightarrow X})_* = r_* \circ (i_{A \hookrightarrow X})_*$ . Thus  $r_*$  has a right inverse and so must be surjective. □

7. (52.6) Show that if  $X$  is path connected, the homomorphism induced by a continuous map is independent of base point, up to isomorphisms of the groups involved. More precisely, let  $h: X \rightarrow Y$  be continuous, with  $h(x_0) = y_0$  and  $h(x_1) = y_1$ . Let  $\alpha$  be a path in  $X$  from  $x_0$  to  $x_1$ , and let  $\beta = h \circ \alpha$ . Show that

$$\hat{\beta} \circ (h_{x_0})_* = (h_{x_1})_* \circ \hat{\alpha}.$$

This equation expresses the fact that the following diagram of maps “commutes.”

$$\begin{array}{ccc}\pi_1(X, x_0) & \xrightarrow{(h_{x_0})_*} & \pi_1(Y, y_0) \\ \downarrow \hat{\alpha} & & \downarrow \hat{\beta} \\ \pi_1(X, x_1) & \xrightarrow{(h_{x_1})_*} & \pi_1(Y, y_1)\end{array}$$

*Proof.* Let  $[f]$  be any element of  $\pi_1(X, x_0)$ . We apply the maps  $\hat{\beta} \circ (h_{x_0})_*, (h_{x_1})_* \circ \hat{\alpha}$  to  $[f]$ :

$$\begin{aligned}(\hat{\beta} \circ (h_{x_0})_*)([f]) &= \hat{\beta}([h \circ f]) = [\overline{h \circ \alpha}] * [h \circ f] * [h \circ \alpha] = [h \circ \bar{\alpha}] * [h \circ f] * [h \circ \alpha] \\ ((h_{x_1})_* \circ \hat{\alpha})([f]) &= (h_{x_1})_*([\bar{\alpha}] * [f] * [\alpha]) = [h \circ \bar{\alpha}] * [h \circ f] * [h \circ \alpha],\end{aligned}$$

where the last equalities follow from  $(\overline{h \circ \alpha})(t) = (h \circ \alpha)(1 - t) = h(\alpha(1 - t)) = h(\bar{\alpha}(t)) = (h \circ \bar{\alpha})(t)$  and the fact that  $(h_{x_1})_*$  is a homomorphism. □

8. (53.3) For a connected space  $B$ , let  $p: E \rightarrow B$  be a covering map. Show that if  $p^{-1}(b_0)$  has  $k$  elements for some  $b_0 \in B$ , then  $p^{-1}(b)$  has  $k$  elements for every  $b \in B$ . In such a case,  $E$  is called a ***k-fold covering*** of  $B$ .

*Proof.* Let  $p: E \rightarrow B$  be a covering map, and let  $B$  be connected.

We prove a curious lemma first: For any positive integer  $j$ , the disjoint sets  $S_j = \{b \in B \mid |p^{-1}(b)| = j\}$  and  $S_\omega = \{b \in B \mid |p^{-1}(b)| \text{ is infinite}\}$  are open.

If  $S_j$  is empty, we are done. Otherwise, for any element  $x \in S_j$ , there exists an evenly covered neighborhood  $U_x$  of  $x$  whose preimage under  $p$  is the disjoint union of open sets of  $E$  given by  $\coprod_\alpha V_\alpha$ . But because the preimage of  $x$  under  $p$  contains only  $j$  elements, there are exactly  $j$  open sets  $V_\alpha$  which form the preimage of  $U_x$ . (if there are fewer, then  $p$  must not be continuous). By relabeling, write  $p^{-1}(U_x) = \coprod_{i=1}^j V_i$ , and observe that because each  $V_i$  is mapped homeomorphically into  $U_x$  by  $p$ , it follows that  $|p^{-1}(y)| = j$  for every  $y \in U_x$ . It follows that  $U_x \subseteq S_j$ , so that  $S_j$  is open.

The set  $S_\omega$  is open due to a similar argument: Suppose  $S_\omega$  is not empty, and consider  $x \in S_\omega$ . The preimage of an evenly covered neighborhood  $U_x$  of  $x$  is an infinite cardinality disjoint union of open sets  $V_\alpha$  of  $E$ . Each  $V_\alpha$  is mapped homeomorphically into  $U_x$  so that the preimage of any element  $y \in U_x$  is also of infinite cardinality, and thus  $U_x \subseteq S_\omega$ . It follows that  $S_\omega$  is open, and we have proved the lemma.

Suppose by way of contradiction that there exists (at least one)  $b_1 \in B$  satisfying  $|p^{-1}(b)| \neq k$ . Observe that  $b_0 \in S_k$  and  $b_1 \in S' = S_\omega \cup (\bigcup_{i \neq k} S_i)$ , and that every element of  $B$  lies in one of these two sets. Using the lemma, it follows that  $S_k$  and  $S'$  are nonempty disjoint open subsets of  $B$  whose union is  $B$ . This is impossible since  $B$  is a connected space, so there are no elements in  $B$  whose preimage under  $p$  has cardinality not equal to  $k$ , as desired.  $\square$

9. (53.6b) Let  $p: E \rightarrow B$  be a covering map. If  $B$  is compact and  $p^{-1}(b)$  is finite for each  $b \in B$ , then  $E$  is compact.

*Proof.* Let  $S$  be an open cover of  $E$ .

Since each  $b \in B$  is contained in an evenly covered open set  $U_b$ , the preimage of  $U_b$  under  $p$  is a collection  $\{V_{b,\alpha}\}$  of disjoint open subsets of  $E$  which map homeomorphically to  $U_b$  by  $p$ . But because  $p^{-1}(b)$  is finite, it follows that  $\{V_{b,\alpha}\}$  is finite (that is,  $\alpha$  takes on finitely many values). Relabeling, write the collection as  $\{V_{b,1}, \dots, V_{b,|p^{-1}(b)|}\}$ .

Since  $E$  is covered by  $S$ , we can find open sets  $S_{b,1}, \dots, S_{b,|p^{-1}(b)|}$  from  $S$  such that  $S_{b,\alpha}$  contains the point  $p^{-1}(b) \cap V_{b,\alpha}$  (the single element of the preimage of  $b$  under  $p$  lying in the  $\alpha$ -th slice  $V_{b,\alpha}$ ). We apply  $p$  to each of the intersections  $S_{b,1} \cap V_{b,1}, \dots, S_{b,|p^{-1}(b)|} \cap V_{b,|p^{-1}(b)|}$  to produce a family of neighborhoods of  $b$  which lie in  $U_b$  given by  $\{p(S_{b,\alpha} \cap V_{b,\alpha})\}_{\alpha=1}^{|p^{-1}(b)|}$ , and so we take the (finite) intersection over this family to produce a small neighborhood  $W_b$  of  $b$  contained in  $U_b$ :

$$W_b = \bigcap_{\alpha=1}^{|p^{-1}(b)|} p(S_{b,\alpha} \cap V_{b,\alpha}).$$

Since  $b$  was arbitrary, the collection  $W = \{W_b\}$  for all  $b$  constitutes an open cover of  $B$ , and by compactness of  $B$  we can find a finite subcover  $W' = \{W_{b_n}\}_{n=1}^N$  of  $B$ . Note that the preimage of each  $W_b$  is contained in each  $S_{b,\alpha}$  for  $1 \leq \alpha \leq |p^{-1}(b)|$ . It follows also that the preimage of each  $W_b$  is contained in the union  $\bigcup_{\alpha=1}^{|p^{-1}(b)|} S_{b,\alpha}$  (the union is bigger than the intersection).

With  $W'$  being a finite subcover of  $B$ , we have that

$$E = p^{-1}(B) \subseteq p^{-1}\left(\bigcup_{n=1}^N W_{b_n}\right) \subseteq \bigcup_{n=1}^N \left[ \bigcup_{\alpha=1}^{|p^{-1}(b_n)|} S_{b_n,\alpha} \right],$$

where the last set is a finite union of open sets of  $S$  so that  $S$  admits a finite subcover of  $E$ . Since  $S$  was an arbitrary open cover of  $E$ , it follows that  $E$  is compact.  $\square$