# Quantum Field Theory

- based on A. Zee's textbook -

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# convention, notation, and units

- 笔记中的**度规号差**约定为 (-,+,+,+).
- 使用 Planck units, 此时  $G, \hbar, c, k_B = 1$ , 因此,

| name/dimension                | expression/value  |
|-------------------------------|---|
| Planck length $(L)$           | $l_P = \sqrt{\frac{\hbar G}{c^3}} = 1.616 \times 10^{-35} \mathrm{m}$ $t_P = \frac{l_P}{c} = 5.391 \times 10^{-44} \mathrm{s}$                                      |
| Planck time $(T)$             | $t_P = \frac{l_P}{c} = 5.391 \times 10^{-44} \mathrm{s}$  |
| Planck mass $(M)$             | $m_P = \sqrt{\frac{\hbar c}{G}} = 2.176 \times 10^{-8} \mathrm{kg} \simeq 10^{19} \mathrm{GeV}$   |
| Planck temperature $(\Theta)$ | $m_P = \sqrt{\frac{\hbar c}{G}} = 2.176 \times 10^{-8} \text{kg} \simeq 10^{19} \text{GeV}$ $T_P = \sqrt{\frac{\hbar c^5}{Gk_B^2}} = 1.417 \times 10^{32} \text{K}$ |

• 时空维度用 d = D + 1 表示.

# Part I motivation and foundation

# Chapter 1

# free field theory

#### 1.1 partition function

• 考虑如下标量场,

$$\mathcal{L} = -\frac{1}{2}(\partial\phi)^2 - V(\phi) \tag{1.1.1}$$

A. Zee 说: 在作用量里, 时间的导数项必须是正的, 包括标量场的  $(\partial_0 \phi)^2$  和电磁场的  $(\partial_0 A_i)^2$ .

• 含有 source function 的路径积分为,

$$Z(J) = \int D\phi \, e^{i \int d^d x \, (-\frac{1}{2} (\partial \phi)^2 - V(\phi) + J(x)\phi(x))}$$
(1.1.2)

- 当  $V(\phi) = \frac{1}{2}m^2\phi^2$  时, 称作 free or Gaussian theory.
- 计算 free theory 的 partition function, 得到,

$$Z(J) = Ce^{-\frac{i}{2} \int d^d x d^d y J(x) D(x-y) J(y)}$$
(1.1.3)

另外, 用 W(J) 表示指数上的部分 (去除掉虚数 i).

#### proof:

注意  $\partial^{\mu}\phi\partial_{\mu}\phi = \partial^{\mu}(\phi\partial_{\mu}\phi) - \phi\partial^{2}\phi$ , 忽略全微分项, 那么,

$$Z(J) = \int D\phi \, e^{i \int d^d x \, (\frac{1}{2}\phi(\partial^2 - m^2)\phi + J(x)\phi(x))}$$
(1.1.4)

代入 (B11) 可知

$$Z(J) = Ce^{-\frac{i}{2} \int d^d x d^d y \, J(x) D(x-y) J(y)}$$
(1.1.5)

其中 D(x-y) 满足

$$\begin{cases} (\partial^2 - m^2)D(x - y) = \delta^{(d)}(x - y) \\ (-p^2 - m^2)\tilde{D}(p, q) = (2\pi)^d \delta^{(d)}(p - q) \end{cases} \Longrightarrow D(x - y) = \int \frac{d^d k}{(2\pi)^d} \frac{e^{ik \cdot (x - y)}}{-k^2 - m^2}$$
(1.1.6)

#### 1.2 free propagator

- 为了使 (1.1.4) 中的积分在  $\phi$  较大时收敛, 作替换  $m^2\mapsto m^2-i\epsilon$ , 这样被积函数中会出现一项  $e^{-\epsilon\int d^dx\phi^2}$ .
- 注意 (1.1.6) 中的积分会遇到奇点,必须加入正无穷小量  $\epsilon$  避免发散,

$$D(x) = \int \frac{d^d k}{(2\pi)^d} \frac{e^{ik \cdot x}}{-k^2 - m^2 + i\epsilon} = -i \int \frac{d^D k}{(2\pi)^D 2\omega_k} \left( e^{i(-\omega_k t + \vec{k} \cdot \vec{x})} \theta(t) + e^{i(\omega_k t + \vec{k} \cdot \vec{x})} \theta(-t) \right)$$
(1.2.1)

#### calculation:

对  $k^0$  积分, 注意有两个奇点  $k^0=\pm(\omega_k-i\epsilon)$ , 当 t>0 时, contour 处于下半平面, ...

- D(x) 的取值与 x 的类时, 类空性质关系密切.
  - 类时区域,

$$D(t,0) = -i \int \frac{d^D k}{(2\pi)^D 2\omega_k} \left( e^{-i\omega_k t} \theta(t) + e^{i\omega_k t} \theta(-t) \right)$$
(1.2.2)

- 类空区域,

$$D(0, \vec{x}) = -i \int \frac{d^D k}{(2\pi)^D 2\omega_k} e^{i\vec{k}\cdot\vec{x}} \sim e^{-m|\vec{x}|}$$
 (1.2.3)

# Chapter 2

# from field to particle to force

#### 2.1 from field to particle

• 考虑 (1.1.3) 中的 W(J),

$$W(J) = -\frac{1}{2} \int d^d x d^d y J(y) D(x - y) J(y)$$
 (2.1.1)

$$= -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \tilde{J}(-k) \frac{1}{-k^2 - m^2 + i\epsilon} \tilde{J}(k)$$
 (2.1.2)

其中, 如果 J(x) 是实函数, 那么  $\tilde{J}(-k) = \tilde{J}^*(k)$ .

• 考虑  $J(x) = J_1(x) + J_2(x)$ , 那么 W(J) 共有 4 项, 其中一个交叉项如下,

$$W_{12}(J) = -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \tilde{J}_1(-k) \frac{1}{-k^2 - m^2 + i\epsilon} \tilde{J}_2(k)$$
 (2.1.3)

可见 W(J) 取值较大的条件是:

- 1.  $\tilde{J}_1(k), \tilde{J}_2(k)$  有较大重叠,
- 2. 重叠位置的 k 是 on shell (即  $k^2 = -m^2$ ).
- 可以看出来, 这里有一个粒子从 1 传递到 2 (?).

#### 2.2 from particle to force

• 考虑  $J(x) = \delta^{(D)}(\vec{x} - \vec{x}_1) + \delta^{(D)}(\vec{x} - \vec{x}_1) \Longrightarrow \tilde{J}_a(k) = 2\pi e^{-i\vec{k}\cdot\vec{x}_a}\delta(k^0)$ , 那么,

$$W_{12}(J) + W_{21}(J) = \delta(0) \int \frac{d^D k}{(2\pi)^{D-1}} \frac{1}{|\vec{k}|^2 + m^2 - i\epsilon} \cos(\vec{k} \cdot (\vec{x}_1 - \vec{x}_2))$$

$$\stackrel{D=3}{=} 2\pi \delta(0) \frac{1}{4\pi r} e^{-mr}$$
(2.2.1)

 $(-i\epsilon$  显然可以舍去), 注意到  $\langle 0|e^{-iHT}|0\rangle = e^{-iET}$ , 而时间间隔  $T = \int dx^0 = 2\pi\delta(0)$ , 所以,

$$E = -\frac{W(J)}{T} \stackrel{D=3}{=} -\frac{1}{4\pi r} e^{-mr}$$
 (2.2.2)

#### calculation:

计算 (2.2.1) 中的积分, 令  $\vec{x}_1 - \vec{x}_2 = \vec{r}$ ,

$$I_D = \int \frac{d^D k}{(2\pi)^D} \frac{1}{|\vec{k}|^2 + m^2} \overbrace{\cos(\vec{k} \cdot \vec{r})}^{\mapsto e^{i\vec{k} \cdot \vec{r}}}$$

$$= \frac{1}{(2\pi)^D} \int (k\sin\theta_1)^{D-2} d\Omega_{D-2} \int kd\theta_1 dk \, \frac{1}{k^2 + m^2} e^{ikr\cos\theta_1}$$

$$= \frac{S_{D-2}}{(2\pi)^D} \int k^{D-1} \sin^{D-2}\theta_1 d\theta_1 dk \, \frac{1}{k^2 + m^2} e^{ikr\cos\theta_1}$$
(2.2.3)

取 D=3, 那么,

$$I_{D=3} = \frac{1}{(2\pi)^2} \int k^2 \sin \theta_1 d\theta_1 dk \frac{1}{k^2 + m^2} e^{ik \cos \theta_1}$$

$$= \frac{1}{2\pi^2 r} \int_0^\infty \sin(kr) \frac{kdk}{k^2 + m^2} = \frac{-i}{4\pi^2 r} \int_{-\infty}^\infty e^{ikr} \frac{kdk}{k^2 + m^2}$$

$$= \frac{-i}{4\pi^2 r} 2\pi i \underbrace{\text{Res}(f, im)}_{=\frac{1}{2}e^{-mr}} = \frac{1}{4\pi r} e^{-mr}$$
(2.2.4)

# Chapter 3

# Coulomb and Newton: repulsive and attraction

#### 3.1 massive spin-1 particle & QED

• 构造有质量的光子的 Lagrangian density,

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{1}{2}m^2A_{\mu}A^{\mu} \tag{3.1.1}$$

其中  $F_{\mu\nu} = 2\partial_{[\mu}A_{\nu]}$ .

• 做路径积分,

$$Z(J) = \int DA e^{i \int d^d x (\mathcal{L} + J_{\mu} A^{\mu})} = Ce^{-\frac{i}{2} \int d^d x d^d y J_{\mu} D^{\mu\nu} (x - y) J_{\nu}(y)}$$
(3.1.2)

#### calculation:

massive photon 的作用量为,

$$S(A) = \int d^{d}x \, \frac{1}{2} \left( - (\partial_{\mu}A_{\nu})(\partial^{\mu}A^{\nu}) + (\partial_{\mu}A_{\nu})(\partial^{\nu}A^{\mu}) - m^{2}A_{\mu}A^{\mu} \right)$$

$$= \int d^{d}x \, \frac{1}{2} \left( A_{\nu}\partial^{2}A^{\nu} - A_{\nu}\partial^{\nu}\partial_{\mu}A^{\mu} - m^{2}A_{\mu}A^{\mu} \right) + \text{total differential}$$

$$= \int d^{d}x \, \frac{1}{2} A_{\mu} \left( -\partial^{\mu}\partial^{\nu} + \eta^{\mu\nu}(\partial^{2} - m^{2}) \right) A_{\nu} + \text{total differential}$$

$$= \int \frac{d^{d}k}{(2\pi)^{d}} \tilde{A}_{\mu}(-k) \left( k^{\mu}k^{\nu} + \eta^{\mu\nu}(-k^{2} - m^{2}) \right) \tilde{A}_{\nu}(k) + \text{boundary term}$$
(3.1.3)

那么,需要有,

$$(-\partial^{\mu}\partial^{\rho} + \eta^{\mu\rho}(\partial^{2} - m^{2}))D_{\rho\nu}(x - y) = \delta^{\mu}_{\nu}\delta^{(d)}(x - y)$$

$$\Longrightarrow \tilde{D}_{\mu\nu}(k) = \frac{k_{\mu}k_{\nu}/m^{2} + \eta_{\mu\nu}}{-k^{2} - m^{2}}$$
(3.1.4)

考虑到积分需要收敛, 作替换  $m^2\mapsto m^2-i\epsilon$ , (为什么  $A_\mu$  类空 (?), 只知道  $\tilde{A}_\mu$  类空, 见 subsection 3.1.2, 但路径积分中的 A 显然不满足 field equation).

• 因此,

$$W(J) = -\frac{1}{2} \int d^d x d^d y J_{\mu}(x) D^{\mu\nu}(x - y) J_{\nu}(y)$$
(3.1.5)

$$= -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \tilde{J}_{\mu}(-k) \frac{k^{\mu} k^{\nu}/m^2 + \eta^{\mu\nu}}{-k^2 - m^2 + i\epsilon} \tilde{J}_{\nu}(k)$$
 (3.1.6)

注意到 current conservation, 有  $\partial_{\mu}J^{\mu}=0 \iff k^{\mu}\tilde{J}_{\mu}(k)=0$ , 所以,

$$W(J) = -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \tilde{J}^{\mu}(-k) \frac{1}{-k^2 - m^2 + i\epsilon} \tilde{J}_{\mu}(k)$$
(3.1.7)

观察电荷分量, 可见同性相斥, 异性相吸.

#### 3.1.1 spin & polarization vector

• spin-1 particle 可以有 3 个极化方向, 即空间的 x,y,z 方向, 在粒子静止系下, 极化矢量  $(\epsilon^i)_{\mu} = \delta^i_{\mu}, i = 1,2,3$ , 而  $k_{\mu} = (-m,0,0,0)$ , 所以,

$$k^{\mu}(\epsilon^i)_{\mu} = 0 \tag{3.1.8}$$

- 注意,一个粒子的极化方向用  $e^i$  (这不是矢量) 表示,极化矢量为  $\sum_{i=1}^3 e^i (\epsilon^i)_\mu$ .
- 在粒子静止系下, 考虑,

$$\sum_{i=1}^{3} (\epsilon^{i})_{\mu} (\epsilon^{i})_{\nu} = \begin{pmatrix} 0 & 0 \\ 0 & \delta_{ij} \end{pmatrix} = \frac{k_{\mu}k_{\nu}}{m^{2}} + \eta_{\mu\nu} := -G_{\mu\nu}$$
 (3.1.9)

可见,

$$\tilde{D}_{\mu\nu}(k) = \frac{\sum_{i=1}^{3} (\epsilon^{i})_{\mu} (\epsilon^{i})_{\nu}}{-k^{2} - m^{2} + i\epsilon}$$
(3.1.10)

#### 3.1.2 Maxwell Lagrangian

• 根据 (3.1.1) 中的 Lagrangian density, 得到 field equation 如下,

$$\left(-\partial^{\mu}\partial^{\nu} + \eta^{\mu\nu}(\partial^2 - m^2)\right)A_{\nu} \tag{3.1.11}$$

— spin-1 particle 有 3 个自旋自由度, 而  $A_{\mu}$  有 4 个分量, 所以需要一个约束方程,

$$\partial^{\mu} A_{\mu} = 0 \iff k^{\mu} \tilde{A}_{\mu}(k) = 0 \tag{3.1.12}$$

实际上在 (3.1.11) 左右两边作用一个  $\partial_{\mu}$  即可得到这个约束方程.

#### 3.2 massive spin-2 particle & gravity

- Lagrangian for spin-2 particle = linearized Einstein Lagrangian.
- 受 subsection 3.1.1 启发, 对于 spin-2 particle, 其极化矢量有 5 个方向, 满足,

$$\begin{cases} k^{\mu}(\epsilon^{a})_{(\mu\nu)} = 0\\ \eta^{\mu\nu}(\epsilon^{a})_{(\mu\nu)} = 0 \end{cases}$$
(3.2.1)

其中下指标  $\mu, \nu$  对称,  $a = 1, \dots, 5$ , (可以验证  $(\epsilon^a)_{\mu\nu}$  确实有 5 个独立分量).

- 对  $(\epsilon^a)_{\mu\nu}$  的归一化条件可以定义为  $\sum_{a=1}^{5} (\epsilon^a)_{12} (\epsilon^a)_{12} = 1$ .
- 与 subsection 3.1.1 中提示一样, 粒子的极化方向用  $e^a$  表示.
- 那么,

$$\sum_{a=1}^{5} (\epsilon^a)_{\mu\nu} (\epsilon^a)_{\rho\sigma} = (G_{\mu\rho} G_{\nu\sigma} + G_{\mu\sigma} G_{\nu\rho}) - \frac{2}{3} G_{\mu\nu} G_{\rho\sigma}$$
 (3.2.2)

#### calculation:

首先用  $k_\mu$  和  $\eta_{\mu\nu}$  构造最一般的关于  $\mu\leftrightarrow\nu,\rho\leftrightarrow\sigma,\mu\nu\leftrightarrow\rho\sigma$  对称的 4 阶张量, (下式中把  $\frac{k_\mu}{m}$  略写作  $k_\mu$ ),

$$Ak_{\mu}k_{\nu}k_{\rho}k_{\sigma} + B(k_{\mu}k_{\nu}\eta_{\rho\sigma} + k_{\rho}k_{\sigma}\eta_{\mu\nu}) + C(k_{\mu}k_{\rho}\eta_{\nu\sigma} + k_{\mu}k_{\sigma}\eta_{\nu\rho} + k_{\nu}k_{\rho}\eta_{\mu\sigma} + k_{\nu}k_{\sigma}\eta_{\mu\rho})$$

$$+ D\eta_{\mu\nu}\eta_{\rho\sigma} + E(\eta_{\mu\rho}\eta_{\nu\sigma} + \eta_{\mu\sigma}\eta_{\nu\rho})$$

$$(3.2.3)$$

代入 (3.2.1) 得,

$$\begin{cases} 0 = -A + B + 2C = -B + D = -C + E \\ 0 = -A + 4B + 4C = -B + 4D + 2E \end{cases} \Longrightarrow \frac{B = D, C = E}{A} = -\frac{1}{2}, \frac{3}{4}$$
 (3.2.4)

因此, 这个 4 阶张量最终确定为,

$$\frac{3}{4}A\Big((G_{\mu\rho}G_{\nu\sigma} + G_{\mu\sigma}G_{\nu\rho}) - \frac{2}{3}G_{\mu\nu}G_{\rho\sigma}\Big)$$
(3.2.5)

• 所以,

$$\tilde{D}_{\mu\nu\rho\sigma}(k) = \frac{(G_{\mu\rho}G_{\nu\sigma} + G_{\mu\sigma}G_{\nu\rho}) - \frac{2}{3}G_{\mu\nu}G_{\rho\sigma}}{-k^2 - m^2 + i\epsilon}$$
(3.2.6)

• 计算路径积分中的 W(T),

$$W(T) = -\frac{1}{2} \int \frac{d^4k}{(2\pi)^4} \tilde{T}_{\mu\nu}(-k) \frac{(G^{\mu\rho}G^{\nu\sigma} + G^{\mu\sigma}G^{\nu\rho}) - \frac{2}{3}G^{\mu\nu}G^{\rho\sigma}}{-k^2 - m^2 + i\epsilon} \tilde{T}_{\rho\sigma}(k)$$
(3.2.7)

注意到  $\partial_{\mu}T^{\mu\nu}(x)=0\iff k_{\mu}\tilde{T}^{\mu\nu}(k)=0,$  并考虑到 T 是对称张量, 所以,

$$W(T) = -\frac{1}{2} \int \frac{d^4k}{(2\pi)^4} \tilde{T}_{\mu\nu}(-k) \frac{2\eta^{\mu\rho}\eta^{\nu\sigma} - \frac{2}{3}\eta^{\mu\nu}\eta^{\rho\sigma}}{-k^2 - m^2 + i\epsilon} \tilde{T}_{\rho\sigma}(k)$$
(3.2.8)

考虑能量项,可见质量互相吸引.

#### 3.3 remarks

- 由于 seesaw mechanism (见 subsection C.1.1), 引入扰动一般会降低基态能量, 因此大多数相互作用表现为吸引, 而 spin-1 表现为同性相斥是因为  $\eta^{00}=-1$ .
- $\star$  chapter 中的计算都是  $m \neq 0$  的粒子, 与真实世界有差异.

### Chapter 4

# Feynman diagrams

#### 4.1 a baby problem

• 考虑如下积分,

$$Z(J) = \int_{-\infty}^{+\infty} dq \, e^{-\frac{1}{2}m^2 q^2 - \frac{\lambda}{4!} q^4 + Jq}$$
(4.1.1)

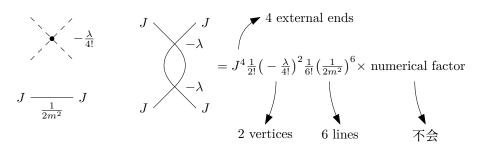
• Schwinger's way: 把 integrand 对  $\lambda$  展开, 并将 q 用  $\frac{\partial}{\partial J}$  替代, 得到,

$$Z(J) = e^{-\frac{\lambda}{4!} (\frac{\partial}{\partial J})^4} \int_{-\infty}^{+\infty} dq \, e^{-\frac{1}{2}m^2 q^2 + Jq}$$

$$= \sqrt{\frac{2\pi}{m^2}} e^{-\frac{\lambda}{4!} (\frac{\partial}{\partial J})^4} e^{\frac{J^2}{2m^2}}$$
(4.1.2)

后面的计算中忽略  $Z(J=0,\lambda=0)$ .

• 每个 vertex 带有  $-\lambda$ , 每个 line 带有  $\frac{1}{m^2}$ , 剩下的系数通过展开项算, 如下 (numerical factors 最好通过 Wick's way 算, 不过 baby problem 里 q 无法区分, 所以不方便算, 先略了),



#### calculation:

在这里计算  $\lambda J^4$  项,

但是直接计算 (4.1.2) 的展开项, 得到的结果与 (4.1.5) 一样.

#### 4.1.1 Wick contraction and Green's functions

• 把积分 (4.1.1) 对 J 展开,

$$Z(J) = \sum_{n=0}^{\infty} \frac{1}{n!} J^n \underbrace{\int_{-\infty}^{+\infty} dq \, e^{-\frac{1}{2}m^2 q^2 - \frac{\lambda}{4!} q^4 q^n}}_{=Z(0,0)G^{(n)}}$$
(4.1.4)

其中 Green's function  $G^{(n)}$  对  $\lambda$  展开后, 可以用 Wick contraction 计算 (见 (B.1.5)), 这就是 Wick's way.

#### calculation:

计算  $\lambda J^4$  项, 它来自  $G^{(4)}$  对  $\lambda$  展开的一阶项,

$$-\frac{\lambda}{4!} \int dq \, e^{-\frac{1}{2}m^2 q^2} q^8 = -\frac{\lambda}{4!} \langle q^8 \rangle$$

$$= -\frac{\lambda}{4!} \sum_{\text{Wick}} \left(\frac{1}{m^2}\right)^4$$

$$= -\frac{\lambda}{4!} \frac{7 \times 5 \times 3 \times 1}{m^8}$$
(4.1.5)

所以  $\lambda J^4$  项等于  $\frac{105}{(4!)^2} \frac{-\lambda J^4}{m^8}$ .

#### 4.1.2 connected vs. disconnected

考虑,

$$Z(J,\lambda) = Z(J=0,\lambda)e^{W(J,\lambda)}$$
(4.1.6)

其中  $Z(J=0,\lambda)$  由 diagrams with no external source J 组成, 而  $W(J,\lambda)$  则由 connected diagrams 组成 (?).

• 我们希望计算的是 W, 而不是 Z (?).

#### 4.2 a child problem

• 考虑如下积分,

$$Z(J) = \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q - \frac{\lambda}{4!}q^4 + J^T \cdot q}$$
(4.2.1)

其中  $q^4 = \sum_i q_i^4$ .

• Schwinger's way: 对  $\lambda$  展开并把 q 替换为  $\frac{\partial}{\partial J}$ , 得到,

$$Z(J) = \sqrt{\frac{(2\pi)^N}{\det A}} e^{-\frac{\lambda}{4!} (\frac{\partial}{\partial J})^4} e^{\frac{1}{2}J^T \cdot A^{-1} \cdot J}$$
(4.2.2)

其中  $\left(\frac{\partial}{\partial J}\right)^4 = \sum_i \left(\frac{\partial}{\partial J_i}\right)^4$ .

#### 4.2.1 *n*-point Green's function

• Wick's way: 对 J 展开获得带 Green's function 的表达式,

$$Z(J) = \sum_{n=0}^{\infty} \frac{1}{n!} \sum_{i_1=1}^{N} \cdots \sum_{i_n=1}^{N} J_{i_1} \cdots J_{i_n} \underbrace{\int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q - \frac{\lambda}{4!} q^4} q_{i_1} \cdots q_{i_n}}_{=Z(0,0)G_{i_1 \cdots i_n}^{(n)}}$$
(4.2.3)

其中  $G_{i_1\cdots i_n}^{(n)}$  称为 n-point Green's function.

#### Taylor expansion:

多元函数的 Taylor 展开如下,

$$f(x_1, \dots, x_N) = \sum_{n_1=0}^{\infty} \dots \sum_{n_N=0}^{\infty} \frac{x_1^{n_1}}{n_1!} \dots \frac{x_N^{n_N}}{n_N!} \frac{\partial^{n_1}}{\partial x_1^{n_1}} \dots \frac{\partial^{n_N}}{\partial x_N^{n_N}} f(x=0)$$

$$= \sum_{n=0}^{\infty} \frac{1}{n!} \sum_{i_1=1}^{N} \dots \sum_{i_n=1}^{N} x_{i_1} \dots x_{i_n} \frac{\partial}{\partial x_{i_1}} \dots \frac{\partial}{\partial x_{i_N}} f(x=0)$$

$$(4.2.4)$$

这两种求和方法,  $x_1^{n_1} \cdots x_N^{n_N}$  项的 numerical factor 都等于,

$$\frac{1}{n!} \times \frac{n!}{n_1! \cdots n_N!} = \frac{1}{n_1! \cdots n_N!} \tag{4.2.5}$$

其中  $n = n_1 + \cdots + n_N$ .

•  $\triangle$  = 0  $\triangle$ 

$$G_{ij}^{(2)}(\lambda = 0) = \frac{1}{Z(0,0)} \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q} q_i q_j$$

$$= \frac{\partial}{\partial J_i} \frac{\partial}{\partial J_j} e^{\frac{1}{2}J^T \cdot A^{-1} \cdot J} \Big|_{J=0} = A_{ij}^{-1}$$
(4.2.6)

• 来计算 2, 3, 4-point Green's functions,

$$\begin{cases} G_{ij}^{(2)} = A_{ij}^{-1} - \frac{\lambda}{4!} \sum_{m} (3A_{mm}^{-1} A_{mm}^{-1} A_{ij}^{-1} + 12A_{mm}^{-1} A_{mi}^{-1} A_{mj}^{-1}) + O(\lambda^{2}) \\ G_{ijk}^{(3)} = 0 \\ G_{ijkl}^{(4)} = A_{ij}^{-1} A_{kl}^{-1} + A_{ik}^{-1} A_{jl}^{-1} + A_{il}^{-1} A_{jk}^{-1} \\ - \frac{\lambda}{4!} \sum_{m} (A_{mm}^{-1} A_{mm}^{-1} A_{ij}^{-1} A_{kl}^{-1} + \dots + 4! A_{im}^{-1} A_{jm}^{-1} A_{km}^{-1} A_{lm}^{-1}) + O(\lambda^{2}) \end{cases}$$

$$(4.2.7)$$

#### calculation:

2-point Green's function 计算如下,

$$G_{ij}^{(2)} = \frac{1}{Z(0,0)} \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q} \left( 1 - \frac{\lambda}{4!} q^4 + O(\lambda^2) \right) q_i q_j$$

$$= A_{ij}^{-1} - \frac{\lambda}{4!} \left\langle q^4 q_i q_j \right\rangle + O(\lambda^2)$$

$$= A_{ij}^{-1} - \frac{\lambda}{4!} \sum_m (3A_{mm}^{-1} A_{mm}^{-1} A_{ij}^{-1} + 12A_{mm}^{-1} A_{mi}^{-1} A_{mj}^{-1}) + O(\lambda^2)$$
(4.2.8)

3-point Green's function 计算如下,

$$G_{ijk}^{(32)} = \frac{1}{Z(0,0)} \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q} \left(1 - \frac{\lambda}{4!} q^4 + O(\lambda^2)\right) q_i q_j q_k = 0 \tag{4.2.9}$$

4-point Green's function 计算如下,

$$G_{ijkl}^{(4)} = \frac{1}{Z(0,0)} \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q} \left( 1 - \frac{\lambda}{4!} q^4 + O(\lambda^2) \right) q_i q_j q_k q_l$$

$$= A_{ij}^{-1} A_{kl}^{-1} + A_{ik}^{-1} A_{jl}^{-1} + A_{il}^{-1} A_{jk}^{-1} - \frac{\lambda}{4!} \left\langle q^4 q_i q_j q_k q_l \right\rangle + O(\lambda^2)$$
(4.2.10)

#### 4.3 perturbative field theory

• 做如下替换即可,

$$\begin{cases} A \mapsto -i(\partial^2 - m^2) \\ J \mapsto iJ \end{cases} \tag{4.3.1}$$

• Schwinger's way:  $\phi^4$  theory 的路径积分,

$$Z(J) = \int D\phi \, e^{i \int d^d x \, (\frac{1}{2}\phi(\partial^2 - m^2)\phi - \frac{\lambda}{4!}\phi^4 + J(x)\phi(x))}$$
(4.3.2)

$$= Z(0,0)e^{-i\frac{\lambda}{4!}\int d^dz \left(\frac{\delta}{i\delta J(z)}\right)^4} e^{-\frac{i}{2}\int d^dx d^dy J(x)D(x-y)J(y)}$$
(4.3.3)

其中 D(x-y) 是自由场的 propagator, 见 (1.2.1).

• Wick's way: 同样, 对 J 展开得到含 Green's functions 的表达式,

$$\frac{Z(J)}{Z(0,0)} = \sum_{n=0}^{\infty} \frac{i^n}{n!} \int d^d x_1 \cdots d^d x_n J(x_1) \cdots J(x_n) G^{(n)}(x_1, \cdots, x_n)$$
(4.3.4)

其中,

$$G^{(n)}(x_1, \dots, x_n) = \frac{1}{Z(0, 0)} \int D\phi \, e^{i \int d^d x \, (\frac{1}{2}\phi(\partial^2 - m^2)\phi - \frac{\lambda}{4!}\phi^4)} \phi(x_1) \dots \phi(x_n)$$
(4.3.5)

有时 Z(J) 被称为 generating functional, 因为它能生成 Green's functions.

#### 4.3.1 collision between particles

• 通过 Wick's way, 考虑  $J(x_1)J(x_2)J(x_3)J(x_4)$  项, 实际上就是要计算  $G^{(4)}(x_1,x_2,x_3,x_4)$ , 它的 0 阶项为,

$$G^{(4)}(x_1, x_2, x_3, x_4, \lambda = 0) = \frac{\delta}{i\delta J(x_1)} \frac{\delta}{i\delta J(x_2)} \frac{\delta}{i\delta J(x_3)} \frac{\delta}{i\delta J(x_4)} e^{-\frac{i}{2} \int d^d x d^d y J(x) D(x-y) J(y)}$$

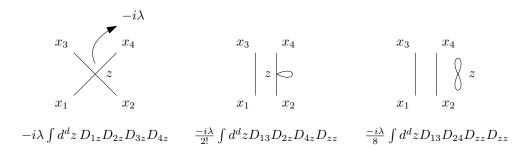
$$= -(D_{12}D_{34} + D_{13}D_{24} + D_{14}D_{23})$$
(4.3.6)

其中  $D_{ij}$  是  $D(x_i - x_j)$  的简写, 可见, 传播子实际上是  $(-i)^3 D = iD$ .

•  $G_{1234}^{(4)}$  的 1 阶项为,

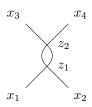
1st order term = 
$$-\frac{i\lambda}{4!} \int d^dz \langle \phi_1 \cdots \phi_4 \phi^4(z) \rangle$$
  
=  $-\frac{i\lambda}{4!} \int d^dz \frac{\delta}{i\delta J_1} \cdots \frac{\delta}{i\delta J_4} \left(\frac{\delta}{i\delta J(z)}\right)^4 e^{-\frac{i}{2} \int d^dx d^dy J(x)D(x-y)J(y)}$   
=  $-\frac{i\lambda}{4!} \int d^dz \left(4!D_{1z}D_{2z}D_{3z}D_{4z}\right)$   
+  $4 \times 3D_{12}D_{3z}D_{4z}D_{zz} + \cdots + 3D_{12}D_{34}D_{zz}D_{zz} + \cdots$  (4.3.7)

其中各项分别对应如下 Feynman diagrams,



其中 numerical factor 可以从 vertex 的四个 external end 的对称性得出.

• 再举一个例子,



$$(4 \times 3)^2 \times 2 \times \left(\frac{-i\lambda}{4!}\right)^2 \int d^d z_1 d^d z_2 D_{1z_1} D_{2z_1} D_{3z_2} D_{4z_2} D_{z_1 z_2} D_{z_1 z_2}$$

#### 4.3.2 in momentum space

• 本 subsection 将 (4.3.5) 转换到 momentum space, 注意到  $\tilde{J}(k)$  和  $\tilde{J}(-k)$  并不独立, 所以  $\frac{\partial}{\partial i\tilde{J}}$  不适用. 最 方便的办法是直接对 position space 下的结果做 Fourier transformation,

$$\tilde{G}^{(n)}(k_1, \dots, k_n) = \int d^d x_1 \dots d^d x_n \, e^{-i(k_1 \cdot x_1 + \dots)} G^{(n)}(x_1, \dots, x_n)$$

$$= \sum_{n=0}^{\infty} \frac{1}{n!} \int d^d x_1 \cdots d^d x_n \, e^{-i(k_1 \cdot x_1 + \cdots)} \left\langle \left( -\frac{i\lambda}{4!} \int d^d z \, \phi_z^4 \right)^n \phi_1 \cdots \phi_n \right\rangle \tag{4.3.8}$$

- propagator 的 Fourier transformation 是,

$$\tilde{D}_{pq} = \int d^d x d^d y \, e^{-i(p \cdot x + q \cdot y)} D(x - y) = \frac{(2\pi)^d \delta^{(d)}(p + q)}{-p^2 - m^2 + i\epsilon}$$
(4.3.9)

但似乎没有用.

•  $\tilde{G}^{(4)}(k_1,k_2,k_3,k_4)$  的 1 阶项为,

1st order term = 
$$-\frac{i\lambda}{4!} \int d^d x_1 \cdots d^d x_4 e^{-i(k_1 \cdot x_1 + \cdots)} \int d^d z \langle \phi_z^4 \phi_1 \cdots \phi_4 \rangle$$
 (4.3.10)

考虑第1项,

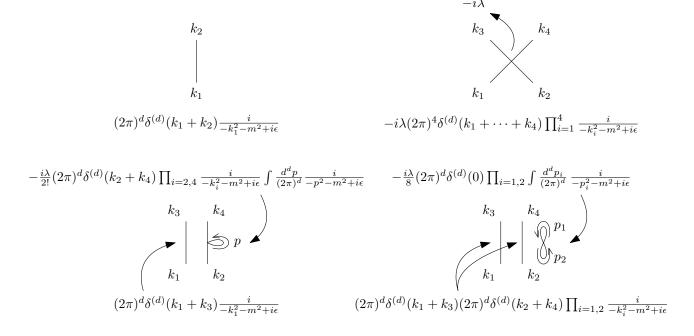
$$-\frac{i\lambda}{4!} \int d^{d}x_{1} \cdots d^{d}x_{4} e^{-i(k_{1} \cdot x_{1} + \cdots)} \int d^{d}z \, 4! D_{1z} \cdots D_{4z}$$

$$= -i\lambda \int d^{d}x_{1} \cdots d^{d}x_{4} d^{d}z \, e^{-i(k_{1} \cdot x_{1} + \cdots)} e^{i(p_{1} \cdot (x_{1} - z) + \cdots)} \prod_{i=1}^{4} \int \frac{d^{d}p_{i}}{(2\pi)^{d}} \, \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$= -i\lambda \underbrace{\int d^{d}z \, e^{-iz \cdot (k_{1} + \cdots + k_{4})}}_{=(2\pi)^{d}\delta^{(d)}(k_{1} + \cdots + k_{4})} \prod_{i=1}^{4} \frac{1}{-k_{i}^{2} - m^{2} + i\epsilon}$$

$$(4.3.11)$$

- 出射粒子不一定 on-shell (?).
- 得到这些 Feynman diagrams,



#### calculation:

第3幅图的计算如下,

$$-\frac{i\lambda}{2!} \int d^d x_1 \cdots d^d x_4 e^{-i(k_1 \cdot x_1 + \cdots)} \int d^d z D_{13} D_{2z} D_{4z} D_{zz}$$

$$= -\frac{i\lambda}{2!} \int d^d x_1 \cdots d^d x_4 d^d z e^{-i(k_1 \cdot x_1 + \cdots)} e^{i(p_1 \cdot (x_1 - x_3) + p_2 \cdot (x_2 - z) + p_4 \cdot (x_4 - z) + p_4 \cdot 0)}$$

$$\prod_{i=1}^{4} \int \frac{d^{d}p_{i}}{(2\pi)^{d}} \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$= -\frac{i\lambda}{2!} \int d^{d}z \, e^{-iz \cdot (p_{2} + p_{4})} \delta^{(d)}(p_{1} - k_{1}) \delta^{(d)}(p_{2} - k_{2}) \delta^{(d)}(p_{1} + k_{3}) \delta^{(d)}(p_{4} - k_{4})$$

$$\prod_{i=1}^{4} \int d^{d}p_{i} \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$= -\frac{i\lambda}{2!} (2\pi)^{d} \delta^{(d)}(k_{1} + k_{3}) \delta^{(d)}(k_{2} + k_{4}) \prod_{i=1,2,4} \frac{1}{-k_{i}^{2} - m^{2} + i\epsilon} \int \frac{d^{d}p}{-p^{2} - m^{2} + i\epsilon}$$

$$= \frac{i\lambda}{8} \int d^{d}x_{1} \cdots d^{d}x_{4} \, e^{-i(k_{1} \cdot x_{1} + \cdots)} \int d^{d}z \, D_{13} D_{24} D_{zz} D_{zz}$$

$$= -\frac{i\lambda}{8} \int d^{d}x_{1} \cdots d^{d}x_{4} d^{d}z \, e^{-i(k_{1} \cdot x_{1} + \cdots)} e^{i(p_{1} \cdot (x_{1} - x_{3}) + p_{2} \cdot (x_{2} - x_{4}) + p_{3} \cdot 0 + p_{4} \cdot 0)}$$

$$-\frac{i\lambda}{8} \int d^{d}x_{1} \cdots d^{d}x_{4} e^{-i(k_{1} \cdot x_{1} + \cdots)} \int d^{d}z \, D_{13} D_{24} D_{zz} D_{zz}$$

$$= -\frac{i\lambda}{8} \int d^{d}x_{1} \cdots d^{d}x_{4} d^{d}z \, e^{-i(k_{1} \cdot x_{1} + \cdots)} e^{i(p_{1} \cdot (x_{1} - x_{3}) + p_{2} \cdot (x_{2} - x_{4}) + p_{3} \cdot 0 + p_{4} \cdot 0)}$$

$$\prod_{i=1}^{4} \int \frac{d^{d}p_{i}}{(2\pi)^{d}} \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$= -\frac{i\lambda}{8} \int d^{d}z \, \delta^{(d)}(p_{1} - k_{1}) \delta^{(d)}(p_{2} - k_{2}) \delta^{(d)}(p_{1} + k_{3}) \delta^{(d)}(p_{2} + k_{4})$$

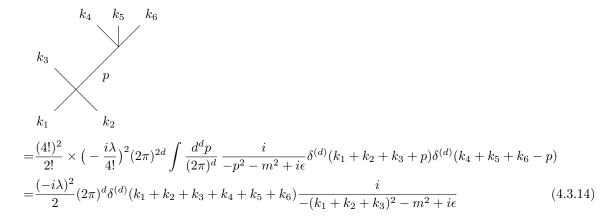
$$\prod_{i=1}^{4} \int d^{d}p_{i} \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$= -\frac{i\lambda}{8} (2\pi)^{d} \delta^{(d)}(0) \delta^{(d)}(k_{1} + k_{3}) \delta^{(d)}(k_{2} + k_{4}) \prod_{i=1,2} \frac{1}{-k_{i}^{2} - m^{2} + i\epsilon}$$

$$\prod_{i=1,2} \int d^{d}p_{i} \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$(4.3.13)$$

• 再举一个例子 (略去了  $\prod_{i=1}^6 \frac{i}{-k_i^2 - m^2 + i\epsilon}$ ),



#### 4.3.3 loops and a first look at divergence

• subsection 4.3.2 里的 loop diagrams 出现了如下积分,

$$\int \frac{d^d p}{(2\pi)^d} \frac{i}{-p^2 - m^2 + i\epsilon} \stackrel{d=4}{\sim} \int \frac{d^4 p}{p^2}$$
(4.3.15)

积分发散.

• 再举一个例子 (略去了  $\prod_{i=1}^4 rac{i}{-k_i^2-m^2+i\epsilon}$ ),

$$k_{3} \qquad k_{4}$$

$$p \qquad k_{1} \qquad k_{2}$$

$$= (4 \times 3)^{2} \times 2 \times \frac{1}{2!} \times \left(\frac{-i\lambda}{4!}\right)^{2} \int \frac{d^{d}p}{(2\pi)^{d}} \frac{i}{-p^{2} - m^{2} + i\epsilon} \int \frac{d^{d}q}{(2\pi)^{d}} \frac{i}{-q^{2} - m^{2} + i\epsilon}$$

$$(2\pi)^{d} \delta^{(d)}(k_{1} + k_{2} + p - q)(2\pi)^{d} \delta^{(d)}(k_{3} + k_{4} - p + q)$$

$$= \frac{(-i\lambda)^{2}}{4} (2\pi)^{d} \delta^{(d)}(k_{1} + k_{2} + k_{3} + k_{4}) \int \frac{d^{d}p}{(2\pi)^{d}} \frac{i}{-p^{2} - m^{2} + i\epsilon} \frac{i}{-(k_{1} + k_{2} + p)^{2} - m^{2} + i\epsilon}$$

$$d \stackrel{d=4}{\sim} \int \frac{d^{d}p}{p^{4}} \qquad (4.3.16)$$

同样, 积分发散.

# Chapter 5 canonical quantization

# Appendices

# Appendix A

# Dirac delta function & Fourier transformation

#### A.1 Delta function

• 可以认为以下是定义式,

$$\delta(x) = \int \frac{dk}{2\pi} e^{ikx} \iff \tilde{\delta}(k) = 1 = \int dx \, \delta(x) e^{-ikx}$$
(A.1.1)

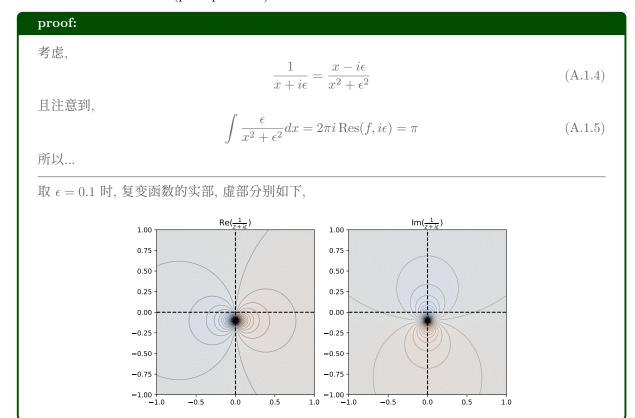
• 第一个常用的公式,

$$\int_{-\infty}^{+\infty} \delta(f(x))g(x)dx = \sum_{\{i, f(x_i) = 0\}} \frac{g(x_i)}{|f'(x_i)|}$$
(A.1.2)

• 第二个常用的公式 (Sokhotski-Plemelj theorem),

$$\lim_{\epsilon \to 0^+} \frac{1}{x + i\epsilon} = \mathcal{P}\frac{1}{x} - i\pi\delta(x)$$
(A.1.3)

其中  $\mathcal{P}$  表示复函数的主值 (principal value).



• 另外,  $\delta(x-a)\delta(x-b) = \delta(b-a)\delta(x-a)$ .

#### A.2 Fourier transformation

• d-dim. Fourier transformation 如下,

$$\begin{cases} \phi(x) = \int \frac{d^d k}{(2\pi)^d} e^{ik \cdot x} \tilde{\phi}(k) \\ \tilde{\phi}(k) = \int d^d x \, e^{-ik \cdot x} \phi(x) \end{cases}$$
(A.2.1)

• 因此,

$$\partial_{\mu}\phi(x) \mapsto ik_{\mu}\tilde{\phi}(k)$$
 (A.2.2)

• 对于实函数, Fourier transformation 是正交变换, 其 Jacobi determinant 为,

$$\left| \frac{\partial \phi(x) \cdots}{\partial \operatorname{Re}\tilde{\phi}(k) \cdots \partial \operatorname{Im}\tilde{\phi}(k) \cdots} \right| = \left( \frac{2}{V} \right)^{(2N+1)^d} \det A = \left( \frac{2(2N)^d}{V^2} \right)^{\frac{(2N+1)^d}{2}} \tag{A.2.3}$$

#### proof:

position space 和 momentum space 的格点分别为,

$$\begin{cases} x_i^{\mu} = i^{\mu} \epsilon \in \{0, \pm \epsilon, \cdots, \frac{L}{2}\} \\ k_n^{\mu} = n^{\mu} \frac{2\pi}{L} \in \{0, \pm \frac{2\pi}{L}, \cdots, \frac{\pi}{\epsilon}\} \end{cases} \iff i^{\mu}, n^{\mu} \in \{0, \pm 1, \cdots, N\}$$
 (A.2.4)

 $x^\mu,k^\mu$  分别有 2N+1 个取值, 其中  $N\epsilon=\frac{L}{2}$ , 时空总体积为  $V=L^d$ , momentum space 的总体积为  $\tilde{V}=\frac{(4\pi N)^d}{V}$ .

将 (A.2.1) 写成格点求和的形式,

$$\begin{cases}
\phi(x_i) = \frac{1}{(2\pi)^d} \left(\frac{2\pi}{L}\right)^d \sum_n e^{ik_n \cdot x_i} \tilde{\phi}(k_n) \\
= \frac{2}{V} \sum_{n^0 > 0} \left(\cos(k_n \cdot x_i) \operatorname{Re} \tilde{\phi}(k_n) - \sin(k_n \cdot x_i) \operatorname{Im} \tilde{\phi}(k_n)\right) \\
\tilde{\phi}(k_n) = \epsilon^d \sum_i e^{-ik_n \cdot x_i} \phi(x_i) \\
= \frac{V}{(2N)^d} \sum_i \left(\cos(k_n \cdot x_i) - i\sin(k_n \cdot x_i)\right) \phi(x_i)
\end{cases} \tag{A.2.5}$$

0

proof

 $\phi(x_i)$  的变换需要做一些说明. 注意到  $\tilde{\phi}$  的分量的数量是  $\phi$  的两倍 (考虑到实部与虚部), 但在  $\phi \in \mathbb{R}^{(2N+1)^d}$  时,

$$\tilde{\phi}^*(k) = \tilde{\phi}(-k) \tag{A.2.6}$$

可见  $\tilde{\phi}$  的分量并不独立, 取  $k^0 > 0$  的部分为独立分量, 那么...

将 (A.2.5) 写成矩阵的形式,

$$\begin{cases}
\begin{pmatrix}
\phi(x_0) \\
\vdots \\
\phi(x_{\text{max}})
\end{pmatrix} = \frac{2}{V} \begin{pmatrix}
\cos k_0 \cdot x_0 & \cdots & \cos k_{\text{max}} \cdot x_0 & -\sin k_0 \cdot x_0 & \cdots \\
\vdots & & \ddots & & \end{pmatrix} \begin{pmatrix}
\operatorname{Re}\tilde{\phi}(k_0) \\
\vdots \\
\operatorname{Im}\tilde{\phi}(k_0) \\
\vdots \\
-\sin k_0 \cdot x_0 & \cdots & -\sin k_0 \cdot x_{\text{max}} \\
\vdots & & \ddots \\
-\sin k_0 \cdot x_0 & \cdots & -\sin k_0 \cdot x_{\text{max}}
\end{pmatrix} \begin{pmatrix}
\phi(x_0) \\
\vdots \\
\phi(x_{\text{max}})
\end{pmatrix}$$
(A.2.7)

观察可见  $\tilde{\phi}$  的变换中的矩阵是  $A^T$ , 所以,

$$\frac{2}{V}\frac{V}{(2N)^d}AA^T = I \Longrightarrow \det A = \left(\frac{(2N)^d}{2}\right)^{\frac{(2N+1)^d}{2}} \tag{A.2.8}$$

因此…

### Appendix B

# Gaussian integrals

• 最基本的几个 Gaussian integral 如下,

$$\int dx \, e^{-\frac{1}{2}ax^2} = \sqrt{\frac{2\pi}{a}} \tag{B.0.1}$$

$$\langle x^{2n} \rangle = \frac{\int dx \, e^{-\frac{1}{2}ax^2} x^{2n}}{\int dx \, e^{-\frac{1}{2}ax^2}} = \frac{1}{a^n} (2n-1)!!$$
 (B.0.2)

其中  $(2n-1)!! = 1 \cdot 3 \cdot \cdot \cdot (2n-3)(2n-1)$ .

• 一个重要的变体如下,

$$\int dx \, e^{-\frac{a}{2}x^2 + Jx} = \sqrt{\frac{2\pi}{a}} e^{\frac{J^2}{2a}} \tag{B.0.3}$$

另外, 将 a, J 分别替换为 -ia, iJ 也是重要的变体.

#### B.1 N-dim. generalization

• 考虑如下积分,

$$Z(A,J) = \int dx_1 \cdots dx_N \, e^{-\frac{1}{2}x^T \cdot A \cdot x + J^T \cdot x} = \sqrt{\frac{(2\pi)^N}{\det A}} e^{\frac{1}{2}J^T \cdot A^{-1} \cdot J}$$
 (B.1.1)

其中 x, J 是 N-dim. 列向量, A 是  $N \times N$  实对称矩阵

#### calculation:

根据 spectral theorem for normal matrices (对称矩阵是厄密矩阵在实数域上的对应), 可知存在 orthogonal transformation 使得,

$$A = O^{-1} \cdot D \cdot O \tag{B.1.2}$$

其中 D 是一个 diagonal matrix. 令  $y = O \cdot x$ , 那么,

$$Z(A,J) = \int dy_1 \cdots dy_N e^{-\frac{1}{2}y^T \cdot D \cdot y + (OJ)^T \cdot y}$$

$$= \prod_{i=1}^N \sqrt{\frac{2\pi}{D_{ii}}} e^{\frac{1}{2D_{ii}}(OJ)_i^2} = \sqrt{\frac{(2\pi)^N}{\det A}} e^{\frac{1}{2}J^T \cdot A^{-1} \cdot J}$$
(B.1.3)

其中, 注意到了  $\frac{1}{D_{ii}} = (O \cdot A^{-1} \cdot O^{-1})_{ii}$  以及  $\operatorname{tr} D = \det A$ .

- 一个重要的变体是  $A \mapsto -iA, J \mapsto iJ$ .
- 考虑 (B.0.2) 的变体, (注意 A 是对称的),

$$\langle x_i x_j \rangle = \frac{1}{Z(A,0)} \frac{\partial}{\partial J_i} \frac{\partial}{\partial J_j} Z(A,J) \Big|_{J=0} = A_{ij}^{-1}$$
 (B.1.4)

$$\langle x_i x_j \cdots x_k x_l \rangle = \sum_{Wick} A_{i'j'}^{-1} \cdots A_{k'l'}^{-1}$$
(B.1.5)

其中 (B.1.5) 中有偶数个 x, 否则等于零.

calculation:

$$\langle x_i x_j \cdots x_k x_l \rangle = \frac{1}{Z(A,0)} \frac{\partial}{\partial J_i} \frac{\partial}{\partial J_j} \cdots \frac{\partial}{\partial J_k} \frac{\partial}{\partial J_l} Z(A,J) \Big|_{J=0} = \cdots$$
 (B.1.6)

例如,

$$\langle x_i x_j x_k x_l \rangle = A_{ij}^{-1} A_{kl}^{-1} + A_{ik}^{-1} A_{jl}^{-1} + A_{il}^{-1} A_{jk}^{-1}$$
 (B.1.7)

其中, 可以用 Wick contraction 计算上式, 如下,

$$\langle \overrightarrow{x_i x_j x_k x_l} \rangle = A_{ik}^{-1} A_{jl}^{-1}$$
(B.1.8)

# Appendix C

# perturbation theory in QM

- this chapter is based on MIT OpenCourseWare Quantum Physics III Chapter 1: Perturbation Theory.
- 研究的 Hamiltonian 与 well studied Hamiltonian 有微小差异时, 使用 perturbation theory,

$$H(\lambda) = H^{(0)} + \lambda \delta H \tag{C.0.1}$$

其中  $\lambda \in [0,1]$ .

• 考虑 H<sup>(0)</sup> 的本征态为,

$$H^{(0)}|k^{(0)}\rangle = E_k^{(0)}|k^{(0)}\rangle \quad \text{and} \quad \begin{cases} \langle k^{(0)}|l^{(0)}\rangle = \delta_{kl} \\ E_0^{(0)} \le E_1^{(0)} \le E_2^{(0)} \le \cdots \end{cases}$$
 (C.0.2)

#### C.1 non-degenerate perturbation theory

• 考虑 non-degenerate 能级 k, 有  $\cdots \le E_{k-1}^{(0)} < E_k^{(0)} < E_{k+1}^{(0)} \le \cdots$ ,在 perturbation theory 适用的情况下,

$$\begin{cases} |k\rangle_{\lambda} = |k^{(0)}\rangle + \lambda |k^{(1)}\rangle + \lambda^{2} |k^{(2)}\rangle + \cdots \\ E_{k}(\lambda) = E_{k}^{(0)} + \lambda E_{k}^{(1)} + \lambda^{2} E_{k}^{(2)} + \cdots \end{cases}$$
(C.1.1)

- 注意, 我们可以选取修正项满足,

$$\langle k^{(0)}|k^{(n)}\rangle = 0, n = 1, 2, \cdots$$
 (C.1.2)

#### proof:

假设我们求解得到的修正项不满足  $\langle k^{(0)}|k^{(n)}\rangle = 0, n = 1, 2, \dots,$  考虑,

$$|k^{(n)}\rangle' = |k^{(n)}\rangle + a_n |k^{(0)}\rangle \quad \text{with} \quad \langle k^{(0)}|k^{(n)}\rangle' = 0$$
 (C.1.3)

那么, (注意到态矢量可以乘一个常数,  $\frac{1}{1-a_1\lambda-a_2\lambda^2-\cdots}=1+a_1\lambda+(a_1^2+a_2)\lambda^2+\cdots$ ),

$$|k\rangle_{\lambda} = (1 - a_{1}\lambda - a_{2}\lambda^{2} - \cdots) |k^{(0)}\rangle + \lambda |k^{(1)}\rangle' + \lambda^{2} |k^{(2)}\rangle' + \cdots$$

$$|k\rangle'_{\lambda} = |k^{(0)}\rangle + \frac{1}{1 - a_{1}\lambda - a_{2}\lambda^{2} - \cdots} (\lambda |k^{(1)}\rangle' + \lambda^{2} |k^{(2)}\rangle' + \cdots)$$

$$= |k^{(0)}\rangle + \lambda |k^{(1)}\rangle' + \lambda^{2} (a_{1} |k^{(1)}\rangle' + |k^{(2)}\rangle') + \cdots$$
(C.1.4)

可见修正项都与  $|k^{(0)}\rangle$  正交.

- 注意, 不能要求  $_{\lambda}\langle k|k\rangle_{\lambda}=1,$  否则  $|k^{(n)}\rangle$  将与  $\lambda$  相关 (包括  $|k^{(0)}\rangle),$ 

$$\begin{split} {}_{\lambda}\langle k|k\rangle_{\lambda} &= \langle k^{(0)}|k^{(0)}\rangle \\ &+ \lambda(\langle k^{(1)}|k^{(0)}\rangle + \langle k^{(0)}|k^{(1)}\rangle) \\ &+ \lambda^2(\langle k^{(2)}|k^{(0)}\rangle + \langle k^{(1)}|k^{(1)}\rangle + \langle k^{(0)}|k^{(2)}\rangle) \end{split}$$

:  
 
$$+ \lambda^{n} (\langle k^{(n)} | k^{(0)} \rangle + \langle k^{(n-1)} | k^{(1)} \rangle + \dots + \langle k^{(0)} | k^{(n)} \rangle)$$
 (C.1.5)

• 将 (C.1.1) 代入 Schrodinger's eq., 得到,

$$\lambda^{0} \qquad (H^{(0)} - E_{k}^{(0)}) | k^{(0)} \rangle = 0$$

$$\lambda^{1} \qquad (H^{(0)} - E_{k}^{(0)}) | k^{(1)} \rangle = (E_{k}^{(1)} - \delta H) | k^{(0)} \rangle$$

$$\lambda^{2} \qquad (H^{(0)} - E_{k}^{(0)}) | k^{(2)} \rangle = (E_{k}^{(1)} - \delta H) | k^{(1)} \rangle + E_{k}^{(2)} | k^{(0)} \rangle$$

$$\vdots \qquad \qquad \vdots$$

$$\lambda^{n} \qquad (H^{(0)} - E_{k}^{(0)}) | k^{(n)} \rangle = (E_{k}^{(1)} - \delta H) | k^{(n-1)} \rangle + E_{k}^{(2)} | k^{(n-2)} \rangle + \dots + E_{k}^{(n)} | k^{(0)} \rangle$$

#### calculation:

Schrodinger's eq. 为,

$$(H^{(0)} + \lambda \delta H - E_k(\lambda)) |k\rangle_{\lambda} = 0$$
 (C.1.6)

展开为,

$$\left( (H^{(0)} - E_k^{(0)}) + \lambda (\delta H - E_k^{(1)}) - \lambda^2 E_k^{(2)} - \cdots \right) (|k^{(0)}\rangle + \lambda |k^{(1)}\rangle + \lambda^2 |k^{(2)}\rangle + \cdots) = 0 \quad (C.1.7)$$

• 现在来计算  $\langle l^{(0)}|k^{(n)}\rangle$ , 有,

$$\begin{cases}
(E_{l}^{(0)} - E_{k}^{(0)}) \langle l^{(0)} | k^{(1)} \rangle = E_{k}^{(1)} \delta_{lk} - \delta H_{lk} \\
(E_{l}^{(0)} - E_{k}^{(0)}) \langle l^{(0)} | k^{(2)} \rangle = E_{k}^{(1)} \langle l^{(0)} | k^{(1)} \rangle - \langle l^{(0)} | \delta H | k^{(1)} \rangle + E_{k}^{(2)} \delta_{lk} \\
\vdots & \vdots & \vdots \\
(E_{l}^{(0)} - E_{k}^{(0)}) \langle l^{(0)} | k^{(n)} \rangle = E_{k}^{(1)} \langle l^{(0)} | k^{(n-1)} \rangle - \langle l^{(0)} | \delta H | k^{(n-1)} \rangle \\
+ E_{k}^{(2)} \langle l^{(0)} | k^{(n-2)} \rangle + \dots + E_{k}^{(n)} \delta_{lk}
\end{cases}$$
(C.1.8)

其中  $\delta H_{lk} = \langle l^{(0)} | \delta H | k^{(0)} \rangle$ , 对于满足 (C.1.2) 的解, 有,

$$E_k^{(n)} = \langle k^{(0)} | \delta H | k^{(n-1)} \rangle, n = 1, 2, \cdots$$
 (C.1.9)

并且,

$$|k^{(1)}\rangle = -\sum_{l \neq k} \frac{\delta H_{lk}}{E_l^{(0)} - E_k^{(0)}} |l^{(0)}\rangle \Longrightarrow E_k^{(2)} = -\sum_{l \neq k} \frac{|\delta H_{lk}|^2}{E_l^{(0)} - E_k^{(0)}}$$
(C.1.10)

#### calculation:

将 (C.1.10) 代入 (C.1.8), 得到  $(l \neq k)$ ,

$$(E_l^{(0)} - E_k^{(0)}) \langle l^{(0)} | k^{(2)} \rangle = -E_k^{(1)} \frac{\delta H_{lk}}{E_l^{(0)} - E_k^{(0)}} + \sum_{m \neq k} \frac{\delta H_{lm} \delta H_{mk}}{E_m^{(0)} - E_k^{(0)}}$$
(C.1.11)

所以,

$$\begin{cases} |k^{(2)}\rangle = \sum_{l \neq k} \left( -\frac{\delta H_{00}\delta H_{lk}}{(E_l^{(0)} - E_k^{(0)})^2} + \sum_{m \neq k} \frac{\delta H_{lm}\delta H_{mk}}{E_m^{(0)} - E_k^{(0)}} \right) |l^{(0)}\rangle \\ E_k^{(3)} = \sum_{l \neq k} \left( -\frac{\delta H_{00}|\delta H_{lk}|^2}{(E_l^{(0)} - E_k^{(0)})^2} + \sum_{m \neq k} \frac{\delta H_{kl}\delta H_{lm}\delta H_{mk}}{E_m^{(0)} - E_k^{(0)}} \right) \end{cases}$$
(C.1.12)

计算归一化系数,

$$_{\lambda}\langle k|k\rangle_{\lambda} = 1 + \lambda^2 \sum_{l \neq k} \frac{|\delta H_{lk}|^2}{(E_l^{(0)} - E_k^{(0)})^2} + O(\lambda^3)$$
 (C.1.13)

#### C.1.1 level repulsion or the seesaw mechanism

• 能量的展开式为,

$$E_k(\lambda) = E_k^{(0)} + \lambda \delta H_{kk} - \lambda^2 \sum_{l \neq k} \frac{|\delta H_{lk}|^2}{E_l^{(0)} - E_k^{(0)}} + O(\lambda^3)$$
 (C.1.14)

二阶项的效果是使能级间距增大,对于基态能级,二阶项使其能量减小.

#### C.1.2 validity of the perturbation expansion

• 考虑两能级系统, 可以得出微扰展开收敛的条件, 即,

$$|\lambda V| < \frac{1}{2} \Delta E^{(0)} \tag{C.1.15}$$

因此, 对于能级简并的情况,  $\Delta E^{(0)} = 0$ , 情况会更复杂.

#### calculation:

对于两能级系统,

$$H(\lambda) = H^{(0)} + \lambda \hat{V} = \begin{pmatrix} E_1^{(0)} & \lambda V \\ \lambda V^* & E_2^{(0)} \end{pmatrix}$$
 (C.1.16)

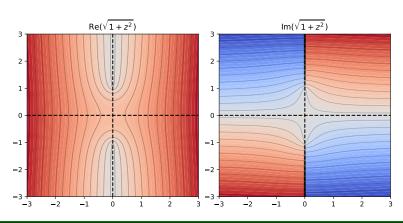
 $H(\lambda)$  的本征值可以直接计算,

$$E_{\pm}(\lambda) = \frac{1}{2} (E_1^{(0)} + E_2^{(0)}) \pm \frac{1}{2} (E_1^{(0)} - E_2^{(0)}) \sqrt{1 + \left(\frac{\lambda |V|}{\frac{1}{2} (E_1^{(0)} - E_2^{(0)})}\right)^2}$$
 (C.1.17)

考虑  $\sqrt{1+z^2}$  的 Taylor 展开,

$$\sqrt{1+z^2} = 1 + \frac{z^2}{2} - \frac{z^4}{8} + \dots + (-1)^{n+1} \frac{(2n-3)!!}{2^n n!} z^{2n} + \dots$$
 (C.1.18)

注意到  $\sqrt{1+z^2}$  在  $z=\pm i$  有 branch cut, 因此 z=0 附件的 Taylor expansion 只有在 |z|<1 内才收敛.



#### C.2 degenerate perturbation theory

• 暂时先跳过.