# Quantum Field Theory

a study note based on A. Zee's textbook

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# Contents

co	nvention, notation, and units	4
Ι	motivation and foundation	5
1	free field theory  1.1 partition function	6 6 6 7 7 8 8
2	Coulomb and Newton: repulsive and attraction  2.1 massive spin-1 particle & QED 2.1.1 spin & polarization vector 2.1.2 Maxwell Lagrangian  2.2 massive spin-2 particle & gravity  2.3 remarks	11 11 11
3	Feynman diagrams  3.1 a baby problem	13 14 14 14 15 16
<b>4 5</b>	canonical quantization 4.1 Heisenberg and Dirac 4.1.1 quantum mechanics 4.1.2 scalar field 4.2 interaction picture 4.3 scattering amplitude 4.4 complex scalar field 4.4.1 charge  disturbing the vacuum: Casimir effect	20 21 22 22
II	Dirac and spinor	<b>27</b>
6	the Dirac spinor  6.1 gamma matrices	28 28 29 29 30

	6.2.2 Dirac bilinears		
7	the Dirac equation	3:	2
	7.1 Dirac equation	3	2
	7.2 Dirac Lagrangian		
	7.3 chirality or handedness		
	7.3.1 internal vector symmetry		
	7.3.2 axial symmetry		
	7.4 energy-momentum tensor and angular momentum		
	7.5 charge conjugation, parity and time reversal		
	7.5.1 charge conjugation and antimatter		
	7.5.3 time reversal		
	7.5.4 CPT theorem		
	7.6 interaction in QED		
	7.7 Majorana neutrino		
8	quantizing the Dirac field	3'	
O	8.1 anticommutation		
	8.2 plane wave solutions		
	8.3 the Dirac field		
	8.4 Hamiltonian, energy-momentum tensor and angular momentum		
	8.4.1 Hamiltonian		
	8.4.2 energy-momentum tensor	4	1
	8.4.3 angular momentum		
	8.5 electric current		
	8.6 free propagator	4	3
9	spin-statistics connection	4	
	9.1 the price of perversity		
	9.1.1 scalar field		
	9.1.2 Dirac field	4	4
10	Grassmann path integrals and Feynman diagrams for Fermions	40	_
	10.1 Grassmann path integral		
	10.2 Feynman rules for Yukawa interaction	4	7
ΙΙ	quantum electrodynamics	50	<b>1</b>
	•	J(	,
11	Maxwell's equations	5	
	11.1 the $(1,0) \oplus (0,1)$ representation of the Lorentz algebra		
	11.2 Maxwell's equations		
	11.2.1 gauge symmetry (gauge redundancy)	5	2
<b>12</b>	the quantization of the electromagnetic field	5	Т
	12.1 massive		
	12.2 massless		
	12.2.1 in Coulomb gauge		
	12.2.2 in Lorentz gauge	5	6
$\mathbf{A}$	pendices	59	9
	Dirac delta function & Fourier transformation	5	e
A	A.1 Delta function		
	A.2 Fourier transformation		
	A.2.1 an important example		
			-

$\mathbf{B}$	aussian integrals and Gaussian-Berezin integrals	<b>62</b>
	1 generalize to $N$ -dim	 62
	2 Grassmann number and Grassmann integrals	 63
	B.2.1 Gaussian-Berezin integrals	 63
$\mathbf{C}$	erturbation theory in QM	<b>65</b>
	1 non-degenerate perturbation theory	 65
	C.1.1 level repulsion or the seesaw mechanism	 67
	C.1.2 validity of the perturbation expansion	
	2 degenerate perturbation theory	
D	assical field theory and Noether's theorem	<b>68</b>
	1 classical field theory	 68
	D.1.1 Lagrangian density and the action	 68
	D.1.2 canonical momentum and the Hamiltonian	
	2 Noether's theorem	 69
	D.2.1 in classical particle mechanics	
	D.2.2 in classical field theory	
	D.2.3 spacetime translations and the energy-momentum tensor	
	D.2.4 Lorentz transformations, angular momentum and something else	
	3 charge as generators	
	4 what the graviton listens to: energy-momentum tensor	
	D.4.1 example: energy-momentum tensor of the electromagnetic field	
${f E}$	ntiunitary operator and time reversal	73
	1 complex conjugation operator	 73
	2 antiunitary operator	
	3 time reversal in QM	
	E.3.1 spin- $\frac{1}{2}$ non-relativistic electron	

# convention, notation, and units

- 笔记中的**度规号差**约定为 (-,+,+,+).
- 使用 Planck units, 此时  $G, \hbar, c, k_B = 1$ , 因此:

names/dimensions	expressions/values
Planck length $(L)$	$l_P = \sqrt{\frac{\hbar G}{c^3}} = 1.616 \times 10^{-35} \mathrm{m}$ $t_P = \frac{l_P}{c} = 5.391 \times 10^{-44} \mathrm{s}$
Planck time $(T)$	$t_P = \frac{t_P}{c} = 5.391 \times 10^{-44} \mathrm{s}$
Planck mass $(M)$	$m_P = \sqrt{\frac{\hbar c}{G}} = 2.176 \times 10^{-8} \text{ kg} \simeq 10^{19} \text{ GeV}$ $T_P = \sqrt{\frac{\hbar c^5}{Gk_B^2}} = 1.417 \times 10^{32} \text{ K}$
Planck temperature $(\Theta)$	$T_P = \sqrt{\frac{\hbar c^5}{Gk_B^2}} = 1.417 \times 10^{32} \mathrm{K}$

• 时空维度用 d = D + 1 表示.

# Part I motivation and foundation

# free field theory

# 1.1 partition function

• 考虑标量场

$$\mathcal{L} = -\frac{1}{2}(\partial\phi)^2 - V(\phi), \tag{1.1.1}$$

A. Zee: 在作用量里, 时间的导数项必须是正的, 包括标量场的  $(\partial_0 \phi)^2$  和电磁场的  $(\partial_0 A_i)^2$ .

• 含有 source function 的路径积分为

$$Z(J) = \int D\phi \, e^{i \int d^d x \, (-\frac{1}{2} (\partial \phi)^2 - V(\phi) + J(x)\phi(x))}. \tag{1.1.2}$$

- 当  $V(\phi) = \frac{1}{2} m^2 \phi^2$  时, 称作 free or Gaussian theory.
- 计算 free theory 的 partition function, 得到

$$Z(J) = Ce^{-\frac{i}{2} \int d^d x d^d y \, J(x) D(x-y) J(y)}, \tag{1.1.3}$$

另外, 用 W(J) 表示指数上的部分 (去除掉虚数 i).

# proof:

注意  $\partial^{\mu}\phi\partial_{\mu}\phi = \partial^{\mu}(\phi\partial_{\mu}\phi) - \phi\partial^{2}\phi$ , 忽略全微分项, 那么

$$Z(J) = \int D\phi \, e^{i \int d^d x \, (\frac{1}{2}\phi(\partial^2 - m^2)\phi + J(x)\phi(x))}, \tag{1.1.4}$$

代入 (B.1.1), 可知

$$Z(J) = Ce^{-\frac{i}{2} \int d^d x d^d y J(x) D(x-y) J(y)}, \qquad (1.1.5)$$

其中 D(x-y) 满足

$$\begin{cases} (\partial^2 - m^2)D(x - y) = \delta^{(d)}(x - y) \\ (-p^2 - m^2)\tilde{D}(p, q) = (2\pi)^d \delta^{(d)}(p - q) \end{cases} \Longrightarrow D(x - y) = \int \frac{d^d k}{(2\pi)^d} \frac{e^{ik \cdot (x - y)}}{-k^2 - m^2}. \tag{1.1.6}$$

# 1.2 free propagator

- 为了使 (1.1.4) 中的积分在  $\phi$  较大时收敛,作替换  $m^2\mapsto m^2-i\epsilon$ ,这样被积函数中会出现一项  $e^{-\epsilon\int d^dx\phi^2}$ .
- 注意 (1.1.6) 中的积分会遇到奇点,必须加入正无穷小量  $\epsilon$  避免发散,

$$D(x) = \int \frac{d^d k}{(2\pi)^d} \frac{e^{ik \cdot x}}{-k^2 - m^2 + i\epsilon} = -i \int \frac{d^D k}{(2\pi)^D 2\omega_k} \Big( \theta(t) e^{i(-\omega_k t + \vec{k} \cdot \vec{x})} + \theta(-t) e^{i(\omega_k t + \vec{k} \cdot \vec{x})} \Big). \tag{1.2.1}$$

#### calculation:

对  $k^0$  积分, 注意有两个奇点  $k^0 = \pm(\omega_k - i\epsilon)$ , 当 t > 0 时, contour 处于下半平面, ... (另外注意到我们可以任意改变  $\vec{k}$  的符号).

取 D=3, 可以尝试在球坐标下继续计算, 考虑  $\theta(t)$  项,

$$iI(x) = \frac{1}{(2\pi)^3} \int_0^{\pi} \sin\theta d\theta \int_0^{2\pi} d\phi \int_0^{\infty} k^2 dk \frac{e^{-i(\omega_k t - kr\cos\theta)}}{2(k^2 + m^2)}$$
$$= \frac{1}{(2\pi)^2 r} \frac{1}{2i} \int_{-\infty}^{+\infty} \frac{k}{k^2 + m^2} e^{-i(\omega_k t - kr)} dk, \tag{1.2.2}$$

注意到  $k = \pm im$  既是极点也是 branch cut 的顶点.

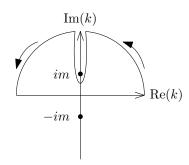


Figure 1.1: contour for evaluating the integral of the free field propagator.

参考 figure C.1, 可知  $\omega_k$  在 branch cut 两侧的取值分别为

$$\omega_k = \begin{cases} i\sqrt{\kappa^2 - m^2} & k = \pm (i\kappa + 0^+) \\ -i\sqrt{\kappa^2 - m^2} & k = \pm (i\kappa + 0^-) \end{cases},$$
(1.2.3)

其中  $\kappa > m$ . 注意到  $\theta(t)$  决定了 t > 0, 那么 contour 的两个上半平面的弧中, 左侧的弧对积分不贡献, 但右侧的弧在 t > r (类时) 情况下会发散, 在 t < r (类空) 的情况下才收敛到零.

- D(x) 的取值与 x 的类时, 类空性质关系密切.
  - 类时区域,

$$D(t,0) = -i \int \frac{d^D k}{(2\pi)^D 2\omega_k} \Big(\theta(t)e^{-i\omega_k t} + \theta(-t)e^{i\omega_k t}\Big). \tag{1.2.4}$$

- 类空区域,

$$D(0, \vec{x}) = -i \int \frac{d^D k}{(2\pi)^D 2\omega_k} e^{i\vec{k}\cdot\vec{x}} \sim e^{-m|\vec{x}|}.$$
 (1.2.5)

# 1.3 from field to particle to force

# 1.3.1 from field to particle

• 考虑 (1.1.3) 中的 W(J),

$$W(J) = -\frac{1}{2} \int d^d x d^d y J(y) D(x - y) J(y)$$
 (1.3.1)

$$= -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \tilde{J}(-k) \frac{1}{-k^2 - m^2 + i\epsilon} \tilde{J}(k), \tag{1.3.2}$$

其中, 如果 J(x) 是实函数, 那么  $\tilde{J}(-k) = \tilde{J}^*(k)$ .

• 考虑  $J(x) = J_1(x) + J_2(x)$ , 那么 W(J) 共有 4 项, 其中一个交叉项如下,

$$W_{12}(J) = -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \tilde{J}_1(-k) \frac{1}{-k^2 - m^2 + i\epsilon} \tilde{J}_2(k), \tag{1.3.3}$$

可见 W(J) 取值较大的条件是:

- 1.  $\tilde{J}_1(k), \tilde{J}_2(k)$  有较大重叠,
- 2. 重叠位置的 k 是 on shell (即  $k^2 = -m^2$ ).
- 可以看出来, 这里有一个粒子从 1 传递到 2 (?).

# 1.3.2 from particle to force

• 考虑  $J(x) = \delta^{(D)}(\vec{x} - \vec{x}_1) + \delta^{(D)}(\vec{x} - \vec{x}_1) \Longrightarrow \tilde{J}_a(k) = 2\pi e^{-i\vec{k}\cdot\vec{x}_a}\delta(k^0)$ , 那么

$$W_{12}(J) + W_{21}(J) = \delta(0) \int \frac{d^D k}{(2\pi)^{D-1}} \frac{1}{|\vec{k}|^2 + m^2 - i\epsilon} \cos(\vec{k} \cdot (\vec{x}_1 - \vec{x}_2))$$

$$\stackrel{D=3}{=} 2\pi \delta(0) \frac{1}{4\pi r} e^{-mr}, \qquad (1.3.4)$$

 $(-i\epsilon$  显然可以舍去), 注意到  $\langle 0|e^{-iHT}|0\rangle=e^{-iET}$ , 而时间间隔  $T=\int dx^0=2\pi\delta(0)$ , 所以

$$E = -\frac{W(J)}{T} \stackrel{D=3}{=} -\frac{1}{4\pi r} e^{-mr}.$$
 (1.3.5)

#### calculation:

计算 (1.3.4) 中的积分, 令  $\vec{x}_1 - \vec{x}_2 = \vec{r}$ ,

$$I_{D} = \int \frac{d^{D}k}{(2\pi)^{D}} \frac{1}{|\vec{k}|^{2} + m^{2}} \underbrace{\cos(\vec{k} \cdot \vec{r})}_{\text{cos}(\vec{k} \cdot \vec{r})}$$

$$= \frac{1}{(2\pi)^{D}} \int (k \sin \theta_{1})^{D-2} d\Omega_{D-2} \int k d\theta_{1} dk \frac{1}{k^{2} + m^{2}} e^{ikr \cos \theta_{1}}$$

$$= \frac{S_{D-2}}{(2\pi)^{D}} \int k^{D-1} \sin^{D-2} \theta_{1} d\theta_{1} dk \frac{1}{k^{2} + m^{2}} e^{ikr \cos \theta_{1}}, \qquad (1.3.6)$$

取 D=3, 那么

$$I_{D=3} = \frac{1}{(2\pi)^2} \int k^2 \sin \theta_1 d\theta_1 dk \frac{1}{k^2 + m^2} e^{ik\cos\theta_1}$$

$$= \frac{1}{2\pi^2 r} \int_0^\infty \sin(kr) \frac{kdk}{k^2 + m^2} = \frac{-i}{4\pi^2 r} \int_{-\infty}^\infty e^{ikr} \frac{kdk}{k^2 + m^2}$$

$$= \frac{-i}{4\pi^2 r} 2\pi i \underbrace{\text{Res}(f, im)}_{=\frac{1}{2}e^{-mr}} = \frac{1}{4\pi r} e^{-mr}.$$
(1.3.7)

# 1.4 vacuum energy

• 注意到

$$Z(J=0) = \langle 0|e^{-iHT}|0\rangle, \qquad (1.4.1)$$

所以

$$E_0 = \langle 0|H|0\rangle = V \int \frac{d^D k}{(2\pi)^D} \frac{1}{2} \omega_k + \text{irrelevant terms.}$$
 (1.4.2)

# calculation:

代入 (B.1.1) (其中 N 是时空格点总数),

$$Z(J=0) = (2\pi)^{\frac{N}{2}} (\det A)^{-\frac{1}{2}},$$
 (1.4.3)

其中  $A = -i(\partial^2 - m^2 + i\epsilon)$ .

- 注意到  $\det e^A = e^{\operatorname{tr} A} \Longrightarrow \det A = e^{\operatorname{tr} \ln A}$ , 代入, 并有

$$(\ln A)\phi(x) = \int \frac{d^d k}{(2\pi)^d} e^{ik \cdot x} \ln(-i(-k^2 - m^2 + i\epsilon))\tilde{\phi}(k), \tag{1.4.4}$$

对于  $A: v \mapsto u$  以及变换  $P: v \mapsto \tilde{v}$ , 有  $PAP^{-1}: \tilde{v} \mapsto \tilde{u}$ , 且  $\operatorname{tr} A = \operatorname{tr} PAP^{-1}$ , 所以

$$-\frac{1}{2}\operatorname{tr} \ln A = -\frac{1}{2}\operatorname{tr} \ln(-i(-k^2 - m^2 + i\epsilon))$$

$$= -\frac{1}{2}\sum_{k}\ln(-i(-k^2 - m^2 + i\epsilon))$$

$$= -\frac{1}{2}\frac{VT}{(2\pi)^d}\int d^dk \ln(-i(-k^2 - m^2 + i\epsilon)), \qquad (1.4.5)$$

其中, 参考 (A.2.5), 有  $\sum_k = \frac{VT}{(2\pi)^d} \int d^d k$ .

代入 (1.4.1),

$$E_{0} = \frac{i}{T} \left( \frac{N}{2} \ln(2\pi) - \frac{1}{2} \frac{VT}{(2\pi)^{d}} \int d^{d}k \ln(-i(-k^{2} - m^{2} + i\epsilon)) \right)$$

$$= \frac{iN}{2T} \ln(2\pi) - \frac{i}{2}V \int \frac{d^{d}k}{(2\pi)^{d}} \left( \ln(\underbrace{-k^{2} - m^{2} + i\epsilon}) - \frac{\pi}{2}i \right), \tag{1.4.6}$$

略去与 m 无关的常数项

$$\frac{\Delta E_0}{V} = -\frac{i}{2} \int \frac{d^D k}{(2\pi)^D} \int \frac{dk^0}{2\pi} \ln((k^0)^2 - \omega_k^2 + i\epsilon), \tag{1.4.7}$$

做分部积分,

$$\ln((k^0)^2 - \omega_k^2 + i\epsilon) = \frac{d}{dk^0} (k^0 \ln((k^0)^2 - \omega_k^2 + i\epsilon)) - k^0 \frac{2k^0}{(k^0)^2 - \omega_k^2 + i\epsilon},$$
 (1.4.8)

代入,

$$\frac{E_0}{V} = \frac{i}{2} \int \frac{d^D k}{(2\pi)^D} \int \frac{dk^0}{2\pi} \frac{2(k^0)^2}{(k^0)^2 - \omega_k^2 + i\epsilon} 
= \frac{i}{2} \int \frac{d^D k}{(2\pi)^D} \left(\frac{1}{2\pi} 2\pi i \frac{2(-\omega_k)^2}{-2\omega_k}\right) = \int \frac{d^D k}{(2\pi)^D} \frac{1}{2} \omega_k.$$
(1.4.9)

另外,  $\ln(z^2 - 1 + i\epsilon)$  的图像如下:

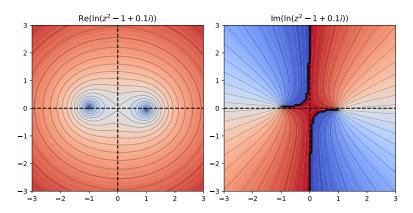


Figure 1.2: graph of  $\ln(z^2 - 1 + i\epsilon)$ .

# Coulomb and Newton: repulsive and attraction

# 2.1 massive spin-1 particle & QED

• 构造有质量的光子的 Lagrangian density,

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{1}{2}m^2A_{\mu}A^{\mu}, \qquad (2.1.1)$$

其中  $F_{\mu\nu} = 2\partial_{[\mu}A_{\nu]}$ .

• 做路径积分,

$$Z(J) = \int DA \, e^{i \int d^d x (\mathcal{L} + J_\mu A^\mu)} = \mathcal{C} e^{-\frac{i}{2} \int d^d x d^d y \, J_\mu D^{\mu\nu} (x - y) J_\nu(y)}. \tag{2.1.2}$$

# calculation:

massive photon 的作用量为

$$S(A) = \int d^{d}x \, \frac{1}{2} \left( - (\partial_{\mu}A_{\nu})(\partial^{\mu}A^{\nu}) + (\partial_{\mu}A_{\nu})(\partial^{\nu}A^{\mu}) - m^{2}A_{\mu}A^{\mu} \right)$$

$$= \int d^{d}x \, \frac{1}{2} \left( A_{\nu}\partial^{2}A^{\nu} - A_{\nu}\partial^{\nu}\partial_{\mu}A^{\mu} - m^{2}A_{\mu}A^{\mu} \right) + \text{total differential}$$

$$= \int d^{d}x \, \frac{1}{2} A_{\mu} \left( -\partial^{\mu}\partial^{\nu} + \eta^{\mu\nu}(\partial^{2} - m^{2}) \right) A_{\nu} + \text{total differential}$$

$$= \int \frac{d^{d}k}{(2\pi)^{d}} \tilde{A}_{\mu}(-k) \left( k^{\mu}k^{\nu} + \eta^{\mu\nu}(-k^{2} - m^{2}) \right) \tilde{A}_{\nu}(k) + \text{boundary term}, \tag{2.1.3}$$

那么,需要有

$$(-\partial^{\mu}\partial^{\rho} + \eta^{\mu\rho}(\partial^{2} - m^{2}))D_{\rho\nu}(x - y) = \delta^{\mu}_{\nu}\delta^{(d)}(x - y)$$

$$\Longrightarrow \tilde{D}_{\mu\nu}(k) = \frac{k_{\mu}k_{\nu}/m^{2} + \eta_{\mu\nu}}{-k^{2} - m^{2}}.$$
(2.1.4)

考虑到积分需要收敛, 作替换  $m^2\mapsto m^2-i\epsilon$ , (为什么  $A_\mu$  类空, 只知道  $\tilde{A}_\mu$  类空, 见 subsection 2.1.2, 但路径积分中的 A 显然不满足 field equation  $\Longrightarrow$  路径积分中起主要作用的  $\tilde{A}$  类空, 因此  $-\epsilon |\tilde{A}|^2 < 0$ ).

• 因此

$$W(J) = -\frac{1}{2} \int d^d x d^d y J_{\mu}(x) D^{\mu\nu}(x - y) J_{\nu}(y)$$
 (2.1.5)

$$= -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \tilde{J}_{\mu}(-k) \frac{k^{\mu} k^{\nu}/m^2 + \eta^{\mu\nu}}{-k^2 - m^2 + i\epsilon} \tilde{J}_{\nu}(k), \qquad (2.1.6)$$

注意到 current conservation, 有  $\partial_{\mu}J^{\mu}=0 \iff k^{\mu}\tilde{J}_{\mu}(k)=0$ , 所以

$$W(J) = -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \tilde{J}^{\mu}(-k) \frac{1}{-k^2 - m^2 + i\epsilon} \tilde{J}_{\mu}(k), \tag{2.1.7}$$

观察电荷分量, 可见同性相斥, 异性相吸.

# 2.1.1 spin & polarization vector

• spin-1 particle 可以有 3 个极化方向, 即空间的 x,y,z 方向, 在粒子静止系下, 极化矢量  $(\epsilon^i)_{\mu}=\delta^i_{\mu},i=1,2,3,$  而  $k_{\mu}=(-m,0,0,0),$  所以

$$k^{\mu}(\epsilon^i)_{\mu} = 0. \tag{2.1.8}$$

- 注意, 一个粒子的极化方向用  $e^i$  (这不是矢量) 表示, 极化矢量为  $\sum_{i=1}^3 e^i (\epsilon^i)_{\mu}$ .
- 在粒子静止系下, 考虑

$$\sum_{i=1}^{3} (\epsilon^{i})_{\mu} (\epsilon^{i})_{\nu} = \begin{pmatrix} 0 & 0 \\ 0 & \delta_{ij} \end{pmatrix} = \frac{k_{\mu} k_{\nu}}{m^{2}} + \eta_{\mu\nu} := -G_{\mu\nu}, \tag{2.1.9}$$

可见

$$\tilde{D}_{\mu\nu}(k) = \frac{\sum_{i=1}^{3} (\epsilon^{i})_{\mu} (\epsilon^{i})_{\nu}}{-k^{2} - m^{2} + i\epsilon}.$$
(2.1.10)

# 2.1.2 Maxwell Lagrangian

• 根据 (2.1.1) 中的 Lagrangian density, 得到 field equation,

$$\left(-\partial^{\mu}\partial^{\nu} + \eta^{\mu\nu}(\partial^2 - m^2)\right)A_{\nu} = 0. \tag{2.1.11}$$

- spin-1 particle 有 3 个自旋自由度, 而  $A_{\mu}$  有 4 个分量, 所以需要一个约束方程 (只在  $m \neq 0$  情况下存在),

$$\partial^{\mu} A_{\mu} = 0 \iff k^{\mu} \tilde{A}_{\mu}(k) = 0. \tag{2.1.12}$$

来源: 在 (2.1.11) 左右两边作用一个  $\partial_{\mu}$  即可得到这个约束方程.

# 2.2 massive spin-2 particle & gravity

- Lagrangian for spin-2 particle = linearized Einstein Lagrangian.
- 受 subsection 2.1.1 启发, 对于 spin-2 particle, 其极化矢量有 5 个方向, 满足

$$\begin{cases} k^{\mu}(\epsilon^{a})_{(\mu\nu)} = 0\\ \eta^{\mu\nu}(\epsilon^{a})_{(\mu\nu)} = 0 \end{cases}, \tag{2.2.1}$$

其中下指标  $\mu, \nu$  对称,  $a = 1, \dots, 5$ , (可以验证  $(\epsilon^a)_{\mu\nu}$  确实有 5 个独立分量).

- 对  $(\epsilon^a)_{\mu\nu}$  的归一化条件可以定义为  $\sum_{a=1}^{5} (\epsilon^a)_{12} (\epsilon^a)_{12} = 1$ .
- 与 subsection 2.1.1 中提示一样, 粒子的极化方向用  $e^a$  表示.
- 那么

$$\sum_{a=1}^{5} (\epsilon^a)_{\mu\nu} (\epsilon^a)_{\rho\sigma} = (G_{\mu\rho} G_{\nu\sigma} + G_{\mu\sigma} G_{\nu\rho}) - \frac{2}{3} G_{\mu\nu} G_{\rho\sigma}. \tag{2.2.2}$$

# calculation:

首先用  $k_\mu$  和  $\eta_{\mu\nu}$  构造最一般的关于  $\mu\leftrightarrow\nu,\rho\leftrightarrow\sigma,\mu\nu\leftrightarrow\rho\sigma$  对称的 4 阶张量, (下式中把  $\frac{k_\mu}{m}$  略写作  $k_\mu$ ),

$$Ak_{\mu}k_{\nu}k_{\rho}k_{\sigma} + B(k_{\mu}k_{\nu}\eta_{\rho\sigma} + k_{\rho}k_{\sigma}\eta_{\mu\nu}) + C(k_{\mu}k_{\rho}\eta_{\nu\sigma} + k_{\mu}k_{\sigma}\eta_{\nu\rho} + k_{\nu}k_{\rho}\eta_{\mu\sigma} + k_{\nu}k_{\sigma}\eta_{\mu\rho}) + D\eta_{\mu\nu}\eta_{\rho\sigma} + E(\eta_{\mu\rho}\eta_{\nu\sigma} + \eta_{\mu\sigma}\eta_{\nu\rho}),$$
(2.2.3)

代入 (2.2.1) 得

$$\begin{cases} 0 = -A + B + 2C = -B + D = -C + E \\ 0 = -A + 4B + 4C = -B + 4D + 2E \end{cases} \Longrightarrow \frac{B = D, C = E}{A} = -\frac{1}{2}, \frac{3}{4}, \tag{2.2.4}$$

因此, 这个 4 阶张量最终确定为

$$\frac{3}{4}A\Big((G_{\mu\rho}G_{\nu\sigma} + G_{\mu\sigma}G_{\nu\rho}) - \frac{2}{3}G_{\mu\nu}G_{\rho\sigma}\Big). \tag{2.2.5}$$

• 所以

$$\tilde{D}_{\mu\nu\rho\sigma}(k) = \frac{(G_{\mu\rho}G_{\nu\sigma} + G_{\mu\sigma}G_{\nu\rho}) - \frac{2}{3}G_{\mu\nu}G_{\rho\sigma}}{-k^2 - m^2 + i\epsilon}.$$
(2.2.6)

• 计算路径积分中的 W(T),

$$W(T) = -\frac{1}{2} \int \frac{d^4k}{(2\pi)^4} \tilde{T}_{\mu\nu}(-k) \frac{(G^{\mu\rho}G^{\nu\sigma} + G^{\mu\sigma}G^{\nu\rho}) - \frac{2}{3}G^{\mu\nu}G^{\rho\sigma}}{-k^2 - m^2 + i\epsilon} \tilde{T}_{\rho\sigma}(k), \qquad (2.2.7)$$

注意到  $\partial_{\mu}T^{\mu\nu}(x)=0\iff k_{\mu}\tilde{T}^{\mu\nu}(k)=0$ , 并考虑到 T 是对称张量, 所以

$$W(T) = -\frac{1}{2} \int \frac{d^4k}{(2\pi)^4} \tilde{T}_{\mu\nu}(-k) \frac{2\eta^{\mu\rho}\eta^{\nu\sigma} - \frac{2}{3}\eta^{\mu\nu}\eta^{\rho\sigma}}{-k^2 - m^2 + i\epsilon} \tilde{T}_{\rho\sigma}(k), \tag{2.2.8}$$

考虑能量项,可见质量互相吸引.

# 2.3 remarks

- 由于 seesaw mechanism (见 subsection C.1.1), 引入扰动一般会降低基态能量, 因此大多数相互作用表现为吸引, 而 spin-1 表现为同性相斥是因为  $\eta^{00} = -1$ .
- $\Phi$  chapter 中的计算都是  $m \neq 0$  的粒子, 与真实世界有差异.

# Feynman diagrams

# 3.1 a baby problem

• 考虑如下积分,

$$Z(J) = \int_{-\infty}^{+\infty} dq \, e^{-\frac{1}{2}m^2q^2 - \frac{\lambda}{4!}q^4 + Jq}.$$
 (3.1.1)

• Schwinger's way: 把 integrand 对  $\lambda$  展开, 并将 q 用  $\frac{\partial}{\partial J}$  替代, 得到

$$Z(J) = e^{-\frac{\lambda}{4!} (\frac{\partial}{\partial J})^4} \int_{-\infty}^{+\infty} dq \, e^{-\frac{1}{2}m^2 q^2 + Jq}$$

$$= \sqrt{\frac{2\pi}{m^2}} e^{-\frac{\lambda}{4!} (\frac{\partial}{\partial J})^4} e^{\frac{J^2}{2m^2}},$$
(3.1.2)

后面的计算中忽略  $Z(J=0, \lambda=0)$ .

• 每个 vertex 带有  $-\lambda$ , 每个 line 带有  $\frac{1}{m^2}$ , 剩下的系数通过展开项算, 如下 (numerical factors 最好通过 Wick's way 算, 不过 baby problem 里 q 无法区分, 所以不方便算, 先略了):

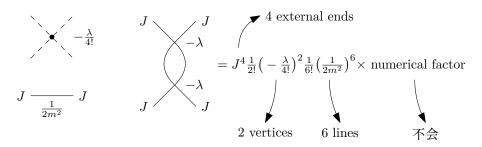


Figure 3.1: baby problem - Feynman diagram.

# 

# 3.1.1 Wick contraction and Green's functions

• 把积分 (3.1.1) 对 J 展开,

$$Z(J) = \sum_{n=0}^{\infty} \frac{1}{n!} J^n \underbrace{\int_{-\infty}^{+\infty} dq \, e^{-\frac{1}{2}m^2 q^2 - \frac{\lambda}{4!} q^4} q^n}_{=Z(0,0)G^{(n)}},$$
(3.1.4)

其中 Green's function  $G^{(n)}$  对  $\lambda$  展开后, 可以用 Wick contraction 计算 (见 (B.1.5)), 这就是 Wick's way.

#### calculation:

计算  $\lambda J^4$  项, 它来自  $G^{(4)}$  对  $\lambda$  展开的一阶项,

$$-\frac{\lambda}{4!} \int dq \, e^{-\frac{1}{2}m^2q^2} q^8 = -\frac{\lambda}{4!} \langle q^8 \rangle$$

$$= -\frac{\lambda}{4!} \sum_{\text{Wick}} \left(\frac{1}{m^2}\right)^4$$

$$= -\frac{\lambda}{4!} \frac{7 \times 5 \times 3 \times 1}{m^8}, \qquad (3.1.5)$$

所以  $\lambda J^4$  项等于  $\frac{105}{(4!)^2} \frac{-\lambda J^4}{m^8}$ .

#### 3.1.2 connected vs. disconnected

• 考虑

$$Z(J,\lambda) = Z(J=0,\lambda)e^{W(J,\lambda)},$$
(3.1.6)

其中  $Z(J=0,\lambda)$  由 diagrams with no external source J 组成, 而  $W(J,\lambda)$  则由 connected diagrams 组成 (?).

• 我们希望计算的是 W, 而不是 Z (?).

# 3.2 a child problem

• 考虑如下积分

$$Z(J) = \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q - \frac{\lambda}{4!}q^4 + J^T \cdot q}, \tag{3.2.1}$$

其中  $q^4 = \sum_i q_i^4$ .

• Schwinger's way: 对  $\lambda$  展开并把 q 替换为  $\frac{\partial}{\partial J}$ , 得到

$$Z(J) = \sqrt{\frac{(2\pi)^N}{\det A}} e^{-\frac{\lambda}{4!} (\frac{\partial}{\partial J})^4} e^{\frac{1}{2}J^T \cdot A^{-1} \cdot J},$$
(3.2.2)

其中  $\left(\frac{\partial}{\partial J}\right)^4 = \sum_i \left(\frac{\partial}{\partial J_i}\right)^4$ .

# 3.2.1 *n*-point Green's function

• Wick's way: 对 J 展开获得带 Green's function 的表达式,

$$Z(J) = \sum_{n=0}^{\infty} \frac{1}{n!} \sum_{i_1=1}^{N} \cdots \sum_{i_n=1}^{N} J_{i_1} \cdots J_{i_n} \underbrace{\int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q - \frac{\lambda}{4!} q^4} q_{i_1} \cdots q_{i_n}}_{=Z(0,0)G_{i_1 \cdots i_n}^{(n)}}, \tag{3.2.3}$$

其中  $G_{i_1\cdots i_n}^{(n)}$  称为 n-point Green's function.

# Taylor expansion:

多元函数的 Taylor 展开为

$$f(x_1, \dots, x_N) = \sum_{n_1=0}^{\infty} \dots \sum_{n_N=0}^{\infty} \frac{x_1^{n_1}}{n_1!} \dots \frac{x_N^{n_N}}{n_N!} \frac{\partial^{n_1}}{\partial x_1^{n_1}} \dots \frac{\partial^{n_N}}{\partial x_N^{n_N}} f(x=0)$$

$$= \sum_{n=0}^{\infty} \frac{1}{n!} \sum_{i_1=1}^{N} \dots \sum_{i_n=1}^{N} x_{i_1} \dots x_{i_n} \frac{\partial}{\partial x_{i_1}} \dots \frac{\partial}{\partial x_{i_N}} f(x=0), \qquad (3.2.4)$$

这两种求和方法,  $x_1^{n_1}\cdots x_N^{n_N}$  项的 numerical factor 都等于

$$\frac{1}{n!} \times \frac{n!}{n_1! \cdots n_N!} = \frac{1}{n_1! \cdots n_N!}, \tag{3.2.5}$$

其中  $n = n_1 + \cdots + n_N$ 

•  $\triangle$  = 0  $\triangle$ 

$$G_{ij}^{(2)}(\lambda = 0) = \frac{1}{Z(0,0)} \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q} q_i q_j$$
$$= \frac{\partial}{\partial J_i} \frac{\partial}{\partial J_j} e^{\frac{1}{2}J^T \cdot A^{-1} \cdot J} \Big|_{J=0} = A_{ij}^{-1}. \tag{3.2.6}$$

• 来计算 2, 3, 4-point Green's functions,

$$\begin{cases} G_{ij}^{(2)} = A_{ij}^{-1} - \frac{\lambda}{4!} \sum_{m} (3A_{mm}^{-1} A_{mm}^{-1} A_{ij}^{-1} + 12A_{mm}^{-1} A_{mi}^{-1} A_{mj}^{-1}) + O(\lambda^{2}) \\ G_{ijk}^{(3)} = 0 \\ G_{ijkl}^{(4)} = A_{ij}^{-1} A_{kl}^{-1} + A_{ik}^{-1} A_{jl}^{-1} + A_{il}^{-1} A_{jk}^{-1} \\ - \frac{\lambda}{4!} \sum_{m} (A_{mm}^{-1} A_{mm}^{-1} A_{ij}^{-1} A_{kl}^{-1} + \dots + 4! A_{im}^{-1} A_{jm}^{-1} A_{km}^{-1} A_{lm}^{-1}) + O(\lambda^{2}) \end{cases}$$

$$(3.2.7)$$

# calculation:

2-point Green's function 计算如下,

$$G_{ij}^{(2)} = \frac{1}{Z(0,0)} \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q} \left( 1 - \frac{\lambda}{4!} q^4 + O(\lambda^2) \right) q_i q_j$$

$$= A_{ij}^{-1} - \frac{\lambda}{4!} \left\langle q^4 q_i q_j \right\rangle + O(\lambda^2)$$

$$= A_{ij}^{-1} - \frac{\lambda}{4!} \sum_m (3A_{mm}^{-1} A_{mm}^{-1} A_{ij}^{-1} + 12A_{mm}^{-1} A_{mi}^{-1} A_{mj}^{-1}) + O(\lambda^2), \tag{3.2.8}$$

3-point Green's function 计算如下,

$$G_{ijk}^{(32)} = \frac{1}{Z(0,0)} \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q} \left(1 - \frac{\lambda}{4!} q^4 + O(\lambda^2)\right) q_i q_j q_k = 0, \tag{3.2.9}$$

4-point Green's function 计算如下,

$$G_{ijkl}^{(4)} = \frac{1}{Z(0,0)} \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q} \left( 1 - \frac{\lambda}{4!} q^4 + O(\lambda^2) \right) q_i q_j q_k q_l$$

$$= A_{ij}^{-1} A_{kl}^{-1} + A_{ik}^{-1} A_{jl}^{-1} + A_{il}^{-1} A_{jk}^{-1} - \frac{\lambda}{4!} \left\langle q^4 q_i q_j q_k q_l \right\rangle + O(\lambda^2). \tag{3.2.10}$$

# 3.3 perturbative field theory

• 做如下替换即可,

$$\begin{cases} A \mapsto -i(\partial^2 - m^2) \\ J \mapsto iJ \end{cases}$$
 (3.3.1)

• Schwinger's way:  $\phi^4$  theory 的路径积分,

$$Z(J) = \int D\phi \, e^{i \int d^d x \, (\frac{1}{2}\phi(\partial^2 - m^2)\phi - \frac{\lambda}{4!}\phi^4 + J(x)\phi(x))}$$
(3.3.2)

$$= Z(0,0)e^{-i\frac{\lambda}{4!}\int d^dz \left(\frac{\delta}{i\delta J(z)}\right)^4} e^{-\frac{i}{2}\int d^dx d^dy J(x)D(x-y)J(y)}, \tag{3.3.3}$$

其中 D(x-y) 是自由场的 propagator, 见 (1.2.1).

• Wick's way: 同样, 对 J 展开得到含 Green's functions 的表达式,

$$\frac{Z(J)}{Z(0,0)} = \sum_{n=0}^{\infty} \frac{i^n}{n!} \int d^d x_1 \cdots d^d x_n J(x_1) \cdots J(x_n) G^{(n)}(x_1, \cdots, x_n), \tag{3.3.4}$$

其中

$$G^{(n)}(x_1, \dots, x_n) = \frac{1}{Z(0, 0)} \int D\phi \, e^{i \int d^d x \, (\frac{1}{2}\phi(\partial^2 - m^2)\phi - \frac{\lambda}{4!}\phi^4)} \phi(x_1) \dots \phi(x_n), \tag{3.3.5}$$

有时 Z(J) 被称为 generating functional, 因为它能生成 Green's functions.

# 3.3.1 collision between particles

• 通过 Wick's way, 考虑  $J(x_1)J(x_2)J(x_3)J(x_4)$  项, 实际上就是要计算  $G^{(4)}(x_1,x_2,x_3,x_4)$ , 它的 0 阶项为

$$G^{(4)}(x_1, x_2, x_3, x_4, \lambda = 0) = \frac{\delta}{i\delta J(x_1)} \frac{\delta}{i\delta J(x_2)} \frac{\delta}{i\delta J(x_3)} \frac{\delta}{i\delta J(x_4)} e^{-\frac{i}{2} \int d^d x d^d y J(x) D(x-y) J(y)}$$

$$= -(D_{12}D_{34} + D_{13}D_{24} + D_{14}D_{23}), \tag{3.3.6}$$

其中  $D_{ij}$  是  $D(x_i - x_j)$  的简写, 可见, 传播子实际上是  $(-i)^3D = iD$ .

•  $G_{1234}^{(4)}$  的 1 阶项为

1st order term = 
$$-\frac{i\lambda}{4!} \int d^d z \, \langle \phi_1 \cdots \phi_4 \phi^4(z) \rangle$$
  
=  $-\frac{i\lambda}{4!} \int d^d z \, \frac{\delta}{i\delta J_1} \cdots \frac{\delta}{i\delta J_4} \left( \frac{\delta}{i\delta J(z)} \right)^4 e^{-\frac{i}{2} \int d^d x d^d y \, J(x) D(x-y) J(y)}$   
=  $-\frac{i\lambda}{4!} \int d^d z \, \left( 4! D_{1z} D_{2z} D_{3z} D_{4z} + 4 \times 3 D_{12} D_{3z} D_{4z} + \cdots + 3 D_{12} D_{34} D_{zz} D_{zz} + \cdots \right),$  (3.3.7)

其中各项分别对应如下 Feynman diagrams:

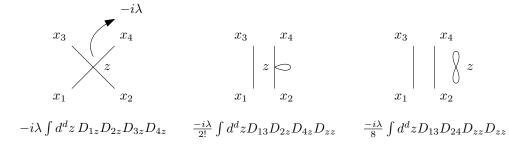


Figure 3.2: position space - Feynman diagrams.

其中 numerical factor 可以从 vertex 的四个 external end 的对称性得出.

• 再举一个例子,

$$\begin{array}{ccc}
x_3 & x_4 \\
& z_2 \\
z_1 & = (4 \times 3)^2 \times 2 \times \left(\frac{-i\lambda}{4!}\right)^2 \int d^d z_1 d^d z_2 D_{1z_1} D_{2z_1} D_{3z_2} D_{4z_2} D_{z_1 z_2} D_{z_1 z_2}. \\
& x_1 & x_2
\end{array} \tag{3.3.8}$$

#### 3.3.2 in momentum space

• 本 subsection 将 (3.3.5) 转换到 momentum space, 注意到  $\tilde{J}(k)$  和  $\tilde{J}(-k)$  并不独立, 所以  $\frac{\partial}{\partial i \tilde{J}}$  不适用. 最 方便的办法是直接对 position space 下的结果做 Fourier transformation,

$$\tilde{G}^{(n)}(k_1, \dots, k_n) = \int d^d x_1 \dots d^d x_n \, e^{-i(k_1 \cdot x_1 + \dots)} G^{(n)}(x_1, \dots, x_n) 
= \sum_{n=0}^{\infty} \frac{1}{n!} \int d^d x_1 \dots d^d x_n \, e^{-i(k_1 \cdot x_1 + \dots)} \left\langle \left( -\frac{i\lambda}{4!} \int d^d z \, \phi_z^4 \right)^n \phi_1 \dots \phi_n \right\rangle.$$
(3.3.9)

- propagator 的 Fourier transformation 是

$$\tilde{D}_{pq} = \int d^d x d^d y \, e^{-i(p \cdot x + q \cdot y)} D(x - y) = \frac{(2\pi)^d \delta^{(d)}(p + q)}{-p^2 - m^2 + i\epsilon},\tag{3.3.10}$$

但似乎没有用.

•  $\tilde{G}^{(4)}(k_1,k_2,k_3,k_4)$  的 1 阶项为

1st order term = 
$$-\frac{i\lambda}{4!} \int d^d x_1 \cdots d^d x_4 e^{-i(k_1 \cdot x_1 + \cdots)} \int d^d z \left\langle \phi_z^4 \phi_1 \cdots \phi_4 \right\rangle,$$
 (3.3.11)

考虑第1项,

$$-\frac{i\lambda}{4!} \int d^{d}x_{1} \cdots d^{d}x_{4} e^{-i(k_{1} \cdot x_{1} + \cdots)} \int d^{d}z \, 4! D_{1z} \cdots D_{4z}$$

$$= -i\lambda \int d^{d}x_{1} \cdots d^{d}x_{4} d^{d}z \, e^{-i(k_{1} \cdot x_{1} + \cdots)} e^{i(p_{1} \cdot (x_{1} - z) + \cdots)} \prod_{i=1}^{4} \int \frac{d^{d}p_{i}}{(2\pi)^{d}} \, \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$= -i\lambda \underbrace{\int d^{d}z \, e^{-iz \cdot (k_{1} + \cdots + k_{4})}}_{=(2\pi)^{d}\delta^{(d)}(k_{1} + \cdots + k_{4})} \prod_{i=1}^{4} \frac{1}{-k_{i}^{2} - m^{2} + i\epsilon}.$$
(3.3.12)

- 出射粒子不一定 on-shell (?).
- 得到这些 Feynman diagrams:

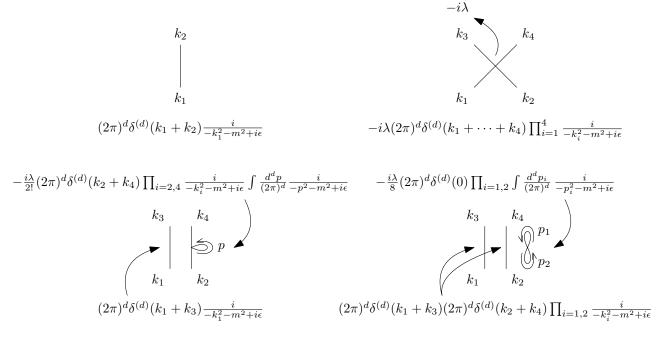


Figure 3.3: momentum space - Feynman diagrams.

#### calculation:

第3幅图的计算如下,

$$-\frac{i\lambda}{2!} \int d^d x_1 \cdots d^d x_4 e^{-i(k_1 \cdot x_1 + \cdots)} \int d^d z \, D_{13} D_{2z} D_{4z} D_{zz}$$

$$= -\frac{i\lambda}{2!} \int d^d x_1 \cdots d^d x_4 d^d z \, e^{-i(k_1 \cdot x_1 + \cdots)} e^{i(p_1 \cdot (x_1 - x_3) + p_2 \cdot (x_2 - z) + p_4 \cdot (x_4 - z) + p_4 \cdot 0)}$$

$$\prod_{i=1}^4 \int \frac{d^d p_i}{(2\pi)^d} \frac{1}{-p_i^2 - m^2 + i\epsilon}$$

$$= -\frac{i\lambda}{2!} \int d^d z \, e^{-iz \cdot (p_2 + p_4)} \delta^{(d)}(p_1 - k_1) \delta^{(d)}(p_2 - k_2) \delta^{(d)}(p_1 + k_3) \delta^{(d)}(p_4 - k_4)$$

$$\prod_{i=1}^4 \int d^d p_i \frac{1}{-p_i^2 - m^2 + i\epsilon}$$

$$= -\frac{i\lambda}{2!} (2\pi)^d \delta^{(d)}(k_1 + k_3) \delta^{(d)}(k_2 + k_4) \prod_{i=1,2,4} \frac{1}{-k_i^2 - m^2 + i\epsilon} \int \frac{d^d p}{-p^2 - m^2 + i\epsilon}, \quad (3.3.13)$$

第4幅图的计算如下,

$$-\frac{i\lambda}{8} \int d^{d}x_{1} \cdots d^{d}x_{4} e^{-i(k_{1} \cdot x_{1} + \cdots)} \int d^{d}z \, D_{13} D_{24} D_{zz} D_{zz}$$

$$= -\frac{i\lambda}{8} \int d^{d}x_{1} \cdots d^{d}x_{4} d^{d}z \, e^{-i(k_{1} \cdot x_{1} + \cdots)} e^{i(p_{1} \cdot (x_{1} - x_{3}) + p_{2} \cdot (x_{2} - x_{4}) + p_{3} \cdot 0 + p_{4} \cdot 0)}$$

$$\prod_{i=1}^{4} \int \frac{d^{d}p_{i}}{(2\pi)^{d}} \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$= -\frac{i\lambda}{8} \int d^{d}z \, \delta^{(d)}(p_{1} - k_{1}) \delta^{(d)}(p_{2} - k_{2}) \delta^{(d)}(p_{1} + k_{3}) \delta^{(d)}(p_{2} + k_{4})$$

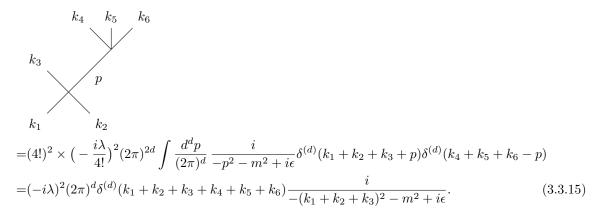
$$\prod_{i=1}^{4} \int d^{d}p_{i} \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$= -\frac{i\lambda}{8} (2\pi)^{d} \delta^{(d)}(0) \delta^{(d)}(k_{1} + k_{3}) \delta^{(d)}(k_{2} + k_{4}) \prod_{i=1,2} \frac{1}{-k_{i}^{2} - m^{2} + i\epsilon}$$

$$\prod_{i=1,2} \int d^{d}p_{i} \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}.$$

$$(3.3.14)$$

• 再举一个例子 (略去了  $\prod_{i=1}^{6} \frac{i}{-k^2-m^2+i\epsilon}$ ),



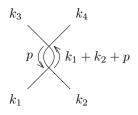
# 3.3.3 loops and a first look at divergence

• subsection 3.3.2 里的 loop diagrams 出现了如下积分,

$$\int \frac{d^d p}{(2\pi)^d} \frac{i}{-p^2 - m^2 + i\epsilon} = \int \frac{d^D p}{(2\pi)^D 2\omega_p} \sim \int \frac{d^D p}{|p|},$$
(3.3.16)

积分发散.

• 再举一个例子 (略去了  $\prod_{i=1}^4 rac{i}{-k_i^2-m^2+i\epsilon}$ ),



$$= (4 \times 3)^{2} \times 2 \times \left(\frac{-i\lambda}{4!}\right)^{2} \int \frac{d^{d}p}{(2\pi)^{d}} \frac{i}{-p^{2} - m^{2} + i\epsilon} \int \frac{d^{d}q}{(2\pi)^{d}} \frac{i}{-q^{2} - m^{2} + i\epsilon}$$

$$(2\pi)^{d}\delta^{(d)}(k_{1} + k_{2} + p - q)(2\pi)^{d}\delta^{(d)}(k_{3} + k_{4} - p + q)$$

$$= \frac{(-i\lambda)^{2}}{2}(2\pi)^{d}\delta^{(d)}(k_{1} + k_{2} + k_{3} + k_{4}) \int \frac{d^{d}p}{(2\pi)^{d}} \frac{i}{-p^{2} - m^{2} + i\epsilon} \frac{i}{-(k_{1} + k_{2} + p)^{2} - m^{2} + i\epsilon}$$

$$= \frac{(-i\lambda)^{2}}{2}(2\pi)^{d}\delta^{(d)}(k_{1} + k_{2} + k_{3} + k_{4}) \int \frac{d^{D}p}{(2\pi)^{D}} \left(\frac{1}{2\omega_{p}} \frac{i}{(k_{1}^{0} + k_{2}^{0} - \omega_{p})^{2} - \omega_{k_{1} + k_{2} + p}^{2}} + \frac{i}{(\omega_{k_{1} + k_{2} + p} - k_{1}^{0} - k_{2}^{0})^{2} - \omega_{p}^{2}} \frac{1}{2\omega_{k_{1} + k_{2} + p}}\right)$$

$$(3.3.18)$$

$$\sim \int \frac{d^{D}p}{p^{3}},$$

同样, 积分发散.

# canonical quantization

- A. Zee: the canonical and the path integral formalisms often appear complementary, in the sense that results difficult to see in one are clear in the other.
- nobody is perfect:
  - canonical quantization: 如何定义场算符乘积的顺序.
  - path integral: integration measure.

# 4.1 Heisenberg and Dirac

# 4.1.1 quantum mechanics

• 单粒子的 classical Lagrangian 为

$$L = \frac{1}{2}\dot{q}^2 - V(q) \Longrightarrow \begin{cases} p = \dot{q} \\ H = p\dot{q} - L = \frac{1}{2}p^2 + V(q) \end{cases}$$
 (4.1.1)

• canonical commutation relation 如下,

$$[p,q] = -i,$$
 (4.1.2)

因此, 算符的演化方程为

$$\begin{cases} \frac{dp}{dt} = i[H, p] = -V'(q) \\ \frac{dq}{dt} = i[H, q] = p \end{cases}$$
(4.1.3)

#### calculation:

$$\begin{cases} [p,q] = -i \\ [p,q^2] = -2iq \\ \vdots \\ [p,q^n] = -iq^{n-1} + q[p,q^{n-1}] \end{cases} \Longrightarrow [p,q^n] = -inq^{n-1} \Longrightarrow [p,V(q)] = -iV'(q). \tag{4.1.4}$$

• follow Dirac's approach,

$$a = \frac{1}{\sqrt{2\omega}}(\omega q + ip) \iff \begin{cases} q = \frac{1}{\sqrt{2\omega}}(a + a^{\dagger}) \\ p = -i\sqrt{\frac{\omega}{2}}(a - a^{\dagger}) \end{cases} \Longrightarrow [a, a^{\dagger}] = 1, \tag{4.1.5}$$

算符 a 的演化方程为

$$\frac{da}{dt} = -i\sqrt{\frac{\omega}{2}} \left(\frac{1}{\omega} V'(q) + ip\right). \tag{4.1.6}$$

# 4.1.2 scalar field

• 标量场的 Lagrangian 为

$$L = \int d^{D}x \left( -\frac{1}{2} ((\partial \phi)^{2} + m^{2} \phi^{2}) - u(\phi) \right), \tag{4.1.7}$$

canonical commutation relation 为

$$\pi(\vec{x},t) = \frac{\delta L(t)}{\delta \partial_0 \phi(\vec{x},t)} = \partial_0 \phi(\vec{x},t) \quad \text{and} \quad [\pi(\vec{x},t), \phi(\vec{y},t)] = -i\delta^{(D)}(\vec{x} - \vec{y}), \tag{4.1.8}$$

标量场的 Hamiltonian 为

$$H = \int d^{D}x \left(\pi\phi - \mathcal{L}\right) = \int d^{D}x \left(\frac{1}{2}(\pi^{2} + |\vec{\nabla}\phi|^{2} + m^{2}\phi^{2}) + u(\phi)\right). \tag{4.1.9}$$

\_\_\_\_\_

• 算符的演化方程为

$$\begin{cases} \partial_0 \phi = i[H, \phi] = \pi \\ \partial_0 \pi = i[H, \pi] = (-\vec{\nabla}^2 + m^2)\phi + \frac{du}{d\phi} \Longrightarrow (\partial^2 - m^2)\phi - \frac{du}{d\phi} = 0. \end{cases}$$
(4.1.10)

• 当  $u(\phi)=0$  时, 求解场方程 (4.1.10) 和 canonical commutation relation (4.1.8) 得到

$$\phi(\vec{x},t) = \int \frac{d^D k}{(2\pi)^D 2\omega_k} (\alpha_k(t)e^{i\vec{k}\cdot\vec{x}} + \alpha_k^{\dagger}(t)e^{-i\vec{k}\cdot\vec{x}}), \tag{4.1.11}$$

其中

$$\alpha_k(t) = \sqrt{(2\pi)^D 2\omega_k} \, a_{\vec{k}} e^{-i\omega_k t} \quad \text{and} \quad [a_{\vec{p}}, a_{\vec{q}}^{\dagger}] = \delta^{(D)}(\vec{p} - \vec{q}).$$
 (4.1.12)

另外, 在后面的笔记中使用简记  $\sqrt{(2\pi)^D 2\omega_k} = \rho(k)$ .

#### calculation:

求解场方程 (4.1.10), 得到

$$\phi(\vec{x},t) = \int \frac{d^D k}{(2\pi)^D} \left(\alpha_{\vec{k}} e^{i(-\omega_k t + \vec{k} \cdot \vec{x})} + \alpha_{\vec{k}}^{\dagger} e^{-i(-\omega_k t + \vec{k} \cdot \vec{x})}\right),\tag{4.1.13}$$

代入 canonical commutation relation (4.1.8), 有 (其中  $x^0=y^0=t, k^0=\omega_k$ )

$$\int \frac{d^{D}k_{2}}{(2\pi)^{D}} \left( -i\omega_{k_{1}} [\alpha_{\vec{k}_{1}}, \alpha_{\vec{k}_{2}}] e^{i(k_{1} \cdot x + k_{2} \cdot y)} + i\omega_{k_{1}} [\alpha_{\vec{k}_{1}}^{\dagger}, \alpha_{\vec{k}_{2}}^{\dagger}] e^{-i(k_{1} \cdot x + k_{2} \cdot y)} \right) 
- i\omega_{k_{1}} [\alpha_{\vec{k}_{1}}, \alpha_{\vec{k}_{2}}^{\dagger}] e^{i(k_{1} \cdot x - k_{2} \cdot y)} + i\omega_{k_{1}} [\alpha_{\vec{k}_{1}}^{\dagger}, \alpha_{\vec{k}_{2}}] e^{-i(k_{1} \cdot x - k_{2} \cdot y)} \right) = -ie^{i\vec{k}_{1} \cdot (\vec{x} - \vec{y})} 
\Longrightarrow \begin{cases}
[\alpha_{\vec{k}_{1}}, \alpha_{\vec{k}_{2}}^{\dagger}] = \frac{1}{2\omega_{k_{1}}} \delta^{(D)} (\vec{k}_{1} + \vec{k}_{2}) \Longrightarrow [\alpha_{\vec{k}}, \alpha_{\vec{k}}] \neq 0 & \text{wrong} \\
[\alpha_{\vec{k}_{1}}, \alpha_{\vec{k}_{2}}^{\dagger}] = \frac{1}{2\omega_{\vec{k}_{1}}} \delta^{(D)} (\vec{k}_{1} - \vec{k}_{2}) & \text{right}
\end{cases} (4.1.14)$$

• 代入 (4.1.9) 可得 (依然是  $u(\phi) = 0$  的情况下)

$$H = \int d^D k \,\omega_k \frac{a_{\vec{k}}^{\dagger} a_{\vec{k}} + a_{\vec{k}} a_{\vec{k}}^{\dagger}}{2} = \int d^D k \,\omega_k \left( a_{\vec{k}}^{\dagger} a_{\vec{k}} + \frac{1}{2} \delta^{(D)}(0) \right) \Longrightarrow \langle 0|H|0 \rangle = V \int \frac{d^D k}{(2\pi)^D} \frac{1}{2} \omega_k, \quad (4.1.15)$$
其中,  $V = \int d^D x = (2\pi)^D \delta^{(D)}(0).$ 

• vacuum state 定义为  $a_{\vec{k}} |0\rangle = 0$ , 有

$$\langle 0|\phi(x)\phi(y)|0\rangle = \int \frac{d^D k}{(2\pi)^D 2\omega_k} e^{ik\cdot(x-y)},\tag{4.1.16}$$

其中  $k^0 = \omega_k$ . 因此, 对比 (1.2.1), 有

$$\langle 0|T(\phi(x)\phi(y))|0\rangle = iD(x-y). \tag{4.1.17}$$

#### energy-momentum tensor

• scalar field 的动量算符为

$$P^{\mu} = \int d^{D}x T^{0\mu} = \int d^{D}k \, k^{\mu} a_{\vec{k}}^{\dagger} a_{\vec{k}}, \tag{4.1.18}$$

其中, energy-momentum tensor 见 subsection D.2.3, 另外  $P^0 = H$  还有一个 vacuum energy.

# 4.2 interaction picture

- 注意, 在  $u(\phi) \neq 0$  的情况下, (即便在 Schrödinger's picture 里, t = 0 时) (4.1.11) 不再成立, 因此无法通过 Schrödinger's picture or Heisenberg's picture 求解存在相互作用的场论.
- 将 Hamiltonian 分成两个部分,

$$H = H_0 + H'. (4.2.1)$$

• operators 以自由场的 Hamiltonian 演化,

$$O_I(t) = U_0^{\dagger}(t,0)O(0)U_0(t,0) \quad \text{where} \quad U_0(t_2,t_1) = \text{Texp}\Big(-i\int_{t_1}^{t_2} dt \, H_0\Big),$$
 (4.2.2)

states 以如下方式演化,

$$|\psi(t)\rangle_I = U_0^{\dagger}(t,0)U(t,0)|\psi(0)\rangle \quad \text{where} \quad U(t_2,t_1) = \text{Texp}\Big(-i\int_{t_1}^{t_2} dt \, H\Big),$$
 (4.2.3)

因此

$$|\psi(t_2)\rangle_I = U_I(t_2, t_1) |\psi(t_1)\rangle_I \quad \text{where} \quad U_I(t_2, t_1) = \text{Texp}\Big(-i \int_{t_1}^{t_2} dt \, H_I(t)\Big),$$
 (4.2.4)

注意, (4.2.2) 和 (4.2.3) 中, Texp 里的  $H, H_0$  都是 Schrödinger's picture 里的算符.

## calculation:

首先有

$$U_I(t_2, t_1) = U_0^{\dagger}(t_2, 0)U(t_2, t_1)U_0(t_1, 0), \tag{4.2.5}$$

因此

$$\frac{d}{dt}U_{I}(t,t_{0}) = iH_{0}U_{I}(t,t_{0}) - iU_{0}^{\dagger}(t,0)HU(t,t_{0})U_{0}(t_{0},0)$$

$$= -i\underbrace{U_{0}^{\dagger}(t,0)H'U_{0}(t,0)}_{=H_{I}(t)}U_{I}(t,t_{0}).$$
(4.2.6)

# 4.3 scattering amplitude

• 最一般的过程是  $p_1, \dots, p_m \to q_1, \dots, q_n$ , 其 scattering amplitude 为

$$\langle q_1, \cdots, q_n | U_0^{\dagger}(-\infty, 0) U_I(+\infty, -\infty) U_0(-\infty, 0) | p_1, \cdots, p_m \rangle, \qquad (4.3.1)$$

一般会忽略掉  $U_0$  产生的相位.

• 考虑  $\phi^4$  理论中的  $k_1, k_2 \to k_3, k_4$  过程,

$$\langle k_3, k_4 | e^{-i \int d^d x \frac{\lambda}{4!} \phi^4} | k_1, k_2 \rangle$$
, (4.3.2)

对  $\lambda$  展开, 0 阶项为

0th order term = 
$$\langle k_3, k_4 | k_1, k_2 \rangle$$
  
=  $\rho(k_1) \rho(k_2) \rho(k_3) \rho(k_4) \langle 0 | a_{\vec{k}_3} a_{\vec{k}_4} a_{\vec{k}_4}^{\dagger} a_{\vec{k}_5}^{\dagger} | 0 \rangle$ 

$$= \rho(k_{1})\rho(k_{2})\rho(k_{3})\rho(k_{4}) \left( \underbrace{\langle 0|\vec{a}_{\vec{k}_{3}}\vec{a}_{\vec{k}_{4}}\vec{a}_{\vec{k}_{1}}^{\dagger}\vec{a}_{\vec{k}_{2}}^{\dagger}|0\rangle}_{=\delta_{31}^{(D)}\delta_{42}^{(D)}} + \underbrace{\langle 0|\vec{a}_{\vec{k}_{3}}\vec{a}_{\vec{k}_{4}}\vec{a}_{\vec{k}_{1}}^{\dagger}\vec{a}_{\vec{k}_{2}}^{\dagger}|0\rangle}_{=\delta_{32}^{(D)}\delta_{41}^{(D)}} \right)$$

$$= (2\pi)^{2D}4\omega_{k_{1}}\omega_{k_{2}}(\delta^{(D)}(\vec{k}_{1} - \vec{k}_{3})\delta^{(D)}(\vec{k}_{2} - \vec{k}_{4}) + \delta^{(D)}(\vec{k}_{1} - \vec{k}_{4})\delta^{(D)}(\vec{k}_{2} - \vec{k}_{3})), \quad (4.3.3)$$

1 阶项为 (其中  $k^0 = \omega_k$ )

1st order term = 
$$\frac{-i\lambda}{4!} \int d^{d}x \, \langle k_{3}, k_{4} | \phi^{4}(x) | k_{1}, k_{2} \rangle$$

$$= \underbrace{-i\lambda(2\pi)^{d} \delta^{(d)}(k_{1} + k_{2} - k_{3} - k_{4})}_{=-i\lambda(2\pi)^{d} \delta^{(d)}(k_{1} + k_{2} - k_{3} - k_{4}) \cdot x} + \rho(k_{1})\rho(k_{4})\delta_{14}^{(D)} \times 12 \times \frac{-i\lambda}{4!} (2\pi)^{d} \delta_{23}^{(d)} \int \frac{d^{D}p}{\rho^{2}(p)}$$

$$+ \dots + \rho(k_{1})\rho(k_{2})\rho(k_{3})\rho(k_{4})\delta_{13}^{(D)} \delta_{24}^{(D)} \times 3 \times \frac{-i\lambda}{4!} \int d^{d}x \int \frac{d^{D}p_{1}}{\rho^{2}(p_{1})} \frac{d^{D}p_{2}}{\rho^{2}(p_{2})} + \dots, (4.3.4)$$

分别对应如下 Feynman diagrams:

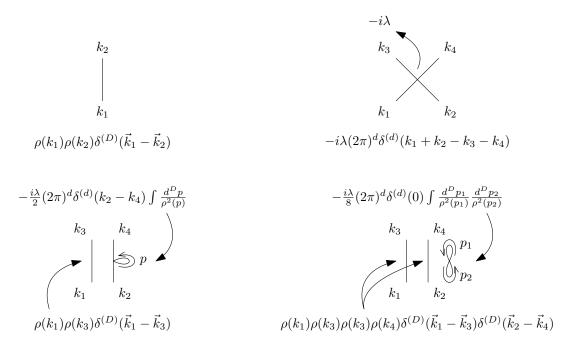


Figure 4.1: canonical quantization - Feynman diagrams.

观察可见, 上图和 figure 3.3 有对应关系.

# • 再举一个例子,

$$k_{3} \qquad k_{4}$$

$$p\left(\bigcap_{k_{1}+k_{2}+p} k_{1} + k_{2} + p\right)$$

$$k_{1} \qquad k_{2}$$

$$= (4 \times 3)^{2} \times 2 \times \left(\frac{-i\lambda}{4!}\right)^{2} \rho(k_{1}) \cdots \int d^{d}x_{1} d^{d}x_{2} \int \frac{d^{D}p_{1} \cdots d^{D}q_{1} \cdots}{\rho(q_{1}) \cdots \rho(q_{1}) \cdots} e^{i(p_{1}+p_{2}-p_{3}-p_{4}) \cdot x_{1}} e^{i(q_{1}+q_{2}-q_{3}-q_{4}) \cdot x_{2}}$$

$$\left(\theta(t_{2}-t_{1}) \langle 0| a_{\vec{k}_{3}} a_{\vec{k}_{4}} a_{\vec{q}_{1}} a_{\vec{q}_{2}} a_{\vec{q}_{3}}^{\dagger} a_{\vec{q}_{4}}^{\dagger} a_{\vec{p}_{1}} a_{\vec{p}_{2}} a_{\vec{p}_{3}}^{\dagger} a_{\vec{k}_{4}}^{\dagger} a_{\vec{k}_{1}}^{\dagger} a_{\vec{k}_{2}}^{\dagger} |0\rangle + \cdots\right)$$

$$= \frac{(-i\lambda)^{2}}{2} \int d^{d}x_{1} d^{d}x_{2} \int \frac{d^{D}p_{3}}{\rho^{2}(p_{3})} \frac{d^{D}p_{4}}{\rho^{2}(p_{4})} \left(\theta(t_{2}-t_{1})e^{i(k_{1}+k_{2}-p_{3}-p_{4}) \cdot x_{1}} e^{i(p_{3}+p_{4}-k_{3}-k_{4}) \cdot x_{2}} + \theta(t_{1}-t_{2})e^{i(k_{1}+k_{2}+p_{3}+p_{4}) \cdot x_{1}} e^{i(-p_{3}-p_{4}-k_{3}-k_{4}) \cdot x_{2}}\right)$$

$$= \frac{(-i\lambda)^2}{2} \int d^d x_1 d^d x_2 e^{i((k_1+k_2)\cdot x_1 - (k_3+k_4)\cdot x_2)} \int \frac{d^D p_3}{\rho^2(p_3)} \frac{d^D p_4}{\rho^2(p_4)} \Big(\theta(t_2 - t_1)e^{i(p_3+p_4)\cdot (x_2 - x_1)} + \theta(t_1 - t_2)e^{i(p_3+p_4)\cdot (x_1 - x_2)}\Big), \tag{4.3.5}$$

同样, 与 (3.3.18) 有对应关系, (注意按时间排序  $\langle k_3k_4|T(\phi^4(x_1)\phi^4(x_2))|k_1k_2\rangle$ ).

#### calculation:

从 (3.3.17) 开始 (5(1.2.1) 类似,  $\vec{p}$ ,  $\vec{q}$  的符号可以任意改变),

$$\int d^{d}x_{1}d^{d}x_{2} e^{i(k_{1}+k_{2}+p-q)\cdot x_{1}} e^{i(k_{3}+k_{4}-p+q)\cdot x_{2}} \int \frac{d^{d}p}{(2\pi)^{d}} \frac{d^{d}q}{(2\pi)^{d}} \frac{i}{-p^{2}-m^{2}+i\epsilon} \frac{i}{-q^{2}-m^{2}+i\epsilon}$$

$$= \int d^{d}x_{1}d^{d}x_{2} e^{i((k_{1}+k_{2})\cdot x_{1}+(k_{3}+k_{4})\cdot x_{2})} \int \frac{d^{d}p}{(2\pi)^{d}} \frac{d^{d}q}{(2\pi)^{d}} \frac{ie^{ip\cdot(x_{1}-x_{2})}}{-p^{2}-m^{2}+i\epsilon} \frac{ie^{ie^{iq\cdot(x_{2}-x_{1})}}}{-q^{2}-m^{2}+i\epsilon}$$

$$= \int d^{d}x_{1}d^{d}x_{2} e^{i((k_{1}+k_{2})\cdot x_{1}+(k_{3}+k_{4})\cdot x_{2})} \int \frac{d^{D}p}{(2\pi)^{d}} \frac{d^{D}q}{(2\pi)^{d}} \left(\theta(t_{2}-t_{1})\frac{2\pi i^{2}e^{-ip\cdot(x_{1}-x_{2})}}{-2\omega_{p}}\right)$$

$$= \int d^{d}x_{1}d^{d}x_{2} e^{i((k_{1}+k_{2})\cdot x_{1}+(k_{3}+k_{4})\cdot x_{2})} \int \frac{d^{D}p}{2\omega_{p}} \frac{d^{D}q}{-2\omega_{q}} \left(\theta(t_{2}-t_{1})e^{i(p+q)\cdot(x_{2}-x_{1})}\right)$$

$$= \int d^{d}x_{1}d^{d}x_{2} e^{i((k_{1}+k_{2})\cdot x_{1}+(k_{3}+k_{4})\cdot x_{2})} \int \frac{d^{D}p}{\rho^{2}(p)} \frac{d^{D}q}{\rho^{2}(q)} \left(\theta(t_{2}-t_{1})e^{i(p+q)\cdot(x_{2}-x_{1})}\right)$$

$$+ \theta(t_{1}-t_{2})e^{i(p+q)\cdot(x_{1}-x_{2})}, \qquad (4.3.6)$$

结果与 (4.3.5) 对应.

#### complex scalar field 4.4

• complex scalar field 的 Lagrangian 为

$$\mathcal{L} = -(\partial \psi^{\dagger})(\partial \psi) - m^2 \psi^{\dagger} \psi, \tag{4.4.1}$$

实际上, complex scalar field 可以视为 2 个 real scalar fields 的和

$$\psi = \frac{1}{\sqrt{2}}(\phi_1 + i\phi_2) \Longrightarrow \left| \frac{\partial \phi_1, \phi_2}{\partial \psi, \psi^{\dagger}} \right| = i, \tag{4.4.2}$$

因此, 也可以把  $\psi$ ,  $\psi$ <sup>†</sup> 视为两个独立的场.

• 其 canonical momentum 为

$$\pi(x) = \frac{\delta \mathcal{L}}{\delta \partial_0 \psi} = \partial_0 \psi^{\dagger}, \quad \pi^{\dagger} = \partial_0 \psi,$$
 (4.4.3)

其 Hamiltonian 为

$$\mathcal{H} = \pi^{\dagger} \pi + (\vec{\nabla} \psi^{\dagger}) \cdot (\vec{\nabla} \psi) + m^2 \psi^{\dagger} \psi, \tag{4.4.4}$$

$$\mathcal{H} = \pi^{\dagger} \pi + (\vec{\nabla} \psi^{\dagger}) \cdot (\vec{\nabla} \psi) + m^{2} \psi^{\dagger} \psi, \tag{4.4.4}$$

$$\Longrightarrow \begin{cases} \partial_{0} \pi = i[H, \pi] = \vec{\nabla}^{2} \psi^{\dagger} - m^{2} \psi^{\dagger} \\ \partial_{0} \psi = i[H, \psi] = \pi^{\dagger} \end{cases} \Longrightarrow (-\partial^{2} - m^{2}) \psi = 0. \tag{4.4.5}$$

• 求解得到 (其中  $k^0 = \omega_k$ )

$$\psi(x) = \int \frac{d^D k}{\rho(k)} \left( a_{\vec{k}} e^{ik \cdot x} + b_{\vec{k}}^{\dagger} e^{-ik \cdot x} \right). \tag{4.4.6}$$

• 从 path integral 的角度,

$$Z(J,J^{\dagger}) = \int D\psi D\psi^{\dagger} e^{i \int d^{d}x \, (\psi^{\dagger}(\partial^{2} - m^{2})\psi + J^{\dagger}\psi + \psi^{\dagger}J)}$$

$$(4.4.7)$$

$$= \mathcal{C}e^{-\frac{i}{2}\int d^dx d^dy \, 2J^{\dagger}(x)D(x-y)J(y)}. \tag{4.4.8}$$

#### calculation:

转换为  $\phi_1, \phi_2$  后计算路径积分,

$$Z(J, J^{\dagger}) = Ce^{-\frac{i}{2} \int d^{d}x d^{d}y \, (J_{1}(x)D(x-y)J_{1}(y) + J_{2}(x)D(x-y)J_{2}(y))}$$

$$= Ce^{-\frac{i}{2} \int d^{d}x d^{d}y \, 2J^{\dagger}(x)D(x-y)J(y)}. \tag{4.4.9}$$

# 4.4.1 charge

• 对场算符做如下变换,

$$\psi(x,\lambda) = e^{i\lambda}\psi(x) \Longrightarrow D_{\lambda}\mathcal{L} = 0.$$
 (4.4.10)

• 因此, 得到 conserved current,

$$J^{\mu} = \pi^{\mu} D_{\lambda} \psi + \pi^{\dagger \mu} D_{\lambda} \psi^{\dagger} = i(\psi \partial^{\mu} \psi^{\dagger} - \psi^{\dagger} \partial^{\mu} \psi), \tag{4.4.11}$$

其 0 分量对空间积分就是 charge,

$$Q = \int d^D x J^0 = \int d^D x i (\psi^{\dagger} \partial_0 \psi - \psi \partial_0 \psi^{\dagger})$$
$$= \int d^D k (a_{\vec{k}}^{\dagger} a_{\vec{k}} - b_{\vec{k}}^{\dagger} b_{\vec{k}}). \tag{4.4.12}$$

# calculation:

$$Q = \int d^{D}x \int \frac{d^{D}p}{\rho(p)} \frac{d^{D}q}{\rho(q)} i \Big( (a_{\vec{p}}^{\dagger}e^{-ip\cdot x} + b_{\vec{p}}e^{ip\cdot x}) (-i\omega_{q}) (a_{\vec{q}}e^{iq\cdot x} - b_{\vec{q}}^{\dagger}e^{-iq\cdot x})$$

$$- (a_{\vec{q}}e^{iq\cdot x} + b_{\vec{q}}^{\dagger}e^{-iq\cdot x}) (i\omega_{p}) (a_{\vec{p}}^{\dagger}e^{-ip\cdot x} - b_{\vec{p}}e^{ip\cdot x}) \Big)$$

$$= \int d^{D}x \int \frac{d^{D}p}{\rho(p)} \frac{d^{D}q}{\rho(q)} \Big( (\omega_{p}a_{\vec{q}}a_{\vec{p}}^{\dagger} + \omega_{q}a_{\vec{p}}^{\dagger}a_{\vec{q}}) e^{-i(p-q)\cdot x} - (\omega_{p}b_{\vec{q}}^{\dagger}b_{\vec{p}} + \omega_{q}b_{\vec{p}}b_{\vec{q}}^{\dagger}) e^{i(p-q)\cdot x}$$

$$+ a_{\vec{p}}^{\dagger}b_{\vec{q}}^{\dagger} (\omega_{p} - \omega_{q}) e^{-i(p+q)\cdot x} - a_{\vec{q}}b_{\vec{p}} (\omega_{p} - \omega_{q}) e^{i(p+q)\cdot x} \Big)$$

$$= \int \frac{d^{D}p}{\rho(p)} \frac{d^{D}q}{\rho(q)} \Big( (\omega_{p}a_{\vec{q}}a_{\vec{p}}^{\dagger} + \omega_{q}a_{\vec{p}}^{\dagger}a_{\vec{q}}) e^{i(\omega_{p} - \omega_{q})\cdot t} - (\omega_{p}b_{\vec{q}}^{\dagger}b_{\vec{p}} + \omega_{q}b_{\vec{p}}b_{\vec{q}}^{\dagger}) e^{-i(\omega_{p} - \omega_{q})\cdot t} \Big) (2\pi)^{D} \delta^{(D)}(\vec{p} - \vec{q})$$

$$+ \left( a_{\vec{p}}^{\dagger}b_{\vec{q}}^{\dagger} (\omega_{p} - \omega_{q}) e^{i(\omega_{p} + \omega_{q})\cdot x} - a_{\vec{q}}b_{\vec{p}} (\omega_{p} - \omega_{q}) e^{-i(\omega_{p} + \omega_{q})\cdot x} \right) (2\pi)^{D} \delta^{(D)}(\vec{p} + \vec{q}) \Big)$$

$$= \int \frac{d^{D}k}{2} \left( a_{\vec{k}}a_{\vec{k}}^{\dagger} + a_{\vec{k}}^{\dagger}a_{\vec{k}} - b_{\vec{k}}b_{\vec{k}}^{\dagger} - b_{\vec{k}}^{\dagger}b_{\vec{k}} \right) = \int d^{D}k \left( a_{\vec{k}}^{\dagger}a_{\vec{k}} - b_{\vec{k}}^{\dagger}b_{\vec{k}} \right).$$

$$(4.4.13)$$

• 代入 (D.3.2), 有 
$$i[Q, \psi] = -i\psi$$
, 所以 
$$e^{-i\lambda Q}\psi e^{i\lambda Q} = e^{i\lambda}\psi. \tag{4.4.14}$$

# disturbing the vacuum: Casimir effect

• 考虑一个沿  $x^1$  方向满足 periodic b.c. 的空间, 在垂直于  $x^1$  方向有两个 plates, s.t. 在 plates 上  $\phi(x)=0$ , 如下图:

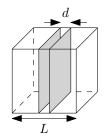


Figure 5.1: Casimir effect.

• 平板内外, 标量场的波矢的取值为

$$\begin{cases} (n\frac{\pi}{d}, k_2, k_3) & 平板内\\ (n\frac{\pi}{L-d}, k_2, k_3) & 平板外 \end{cases}$$
(5.0.1)

其中  $n \in \mathbb{Z}^+$ .

• 因此, 代入真空能公式 (4.1.15), 平板内的能量为

$$\frac{E(d)}{A} = \sum_{n=1}^{\infty} \int \frac{dk_2 dk_3}{(2\pi)^2} \frac{1}{2} \sqrt{\left(n\frac{\pi}{d}\right)^2 + k_2^2 + k_3^2},\tag{5.0.2}$$

而总能量为 E = E(d) + E(L - d).

• 为解决能量发散的问题, 引入 ultra-violet (UV) cut-off,

$$\frac{E(d)}{A} = \sum_{n=1}^{\infty} \int \frac{dk_2 dk_3}{(2\pi)^2} \frac{1}{2} \sqrt{\left(n\frac{\pi}{d}\right)^2 + k_2^2 + k_3^2} e^{-a\sqrt{(n\frac{\pi}{d})^2 + k_2^2 + k_3^2}},$$
(5.0.3)

for some  $a \ll d$ .

• 为了简化问题, 考虑 d = 1 + 1 的情况,

$$E_{1+1}(d) = \frac{\pi}{2d} \sum_{n=1}^{\infty} n e^{-\frac{a\pi}{d}n} = \frac{\pi}{2d} \frac{e^{\frac{a\pi}{d}}}{(e^{\frac{a\pi}{d}} - 1)^2} = \frac{d}{2\pi a^2} - \frac{\pi}{24d} + O(a^2), \tag{5.0.4}$$

因此

$$E_{1+1} = E_{1+1}(d) + E_{1+1}(L-d) = \frac{L}{2\pi a^2} - \frac{\pi}{24} \left(\frac{1}{d} + \frac{1}{L-d}\right) + O(a^2), \tag{5.0.5}$$

得到 Casimir force,

$$F_{1+1} = -\frac{\partial E_{1+1}}{\partial d} = -\frac{\pi}{24} \left( \frac{1}{d^2} - \frac{1}{(L-d)^2} \right) + O(a^2) \stackrel{L \to \infty, a \to 0}{=} -\frac{\pi}{24d^2}.$$
 (5.0.6)

• 问题中, a 引入了 UV cut-off, L 引入了 infrared cut-off.

# Part II Dirac and spinor

# the Dirac spinor

- 整个 Part II 中, 我们使用 (+, -, -, -) 号差, 因为 Cl<sub>1,3</sub>(ℝ)∠Cl<sub>3,1</sub>(ℝ).
- 本笔记中的算符的定义与 A. Zee 的定义不同, 存在如下对应关系:

A. Zee's def.	my def.
$\omega_{\mu u} \ -iJ^{\mu u} \ -i\sigma^{\mu u}$	$\omega_{\mu u} \ J^{\mu u} \ \sigma^{\mu u}$

•  $\Pi(\Lambda)$  的写法可能不准确, (要考虑 universal cover,  $\mathrm{Spin}(1,3) \simeq \mathrm{Spin}(3,1)$ ), 因为 Lorentz transform 对 spinor 的操作是"path dependent", 因此本 chapter 中的  $\Lambda$  都默认沿着以下的 path 做变换,

$$\Lambda(\lambda) = e^{\frac{\lambda}{2}\omega_{\mu\nu}J^{\mu\nu}}, \lambda \in [0, 1]. \tag{6.0.1}$$

# 6.1 gamma matrices

• Pauli 矩阵如下,

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$
 (6.1.1)

• gamma 矩阵 (also called Dirac matrices) 如下 (其中 i = 1, 2, 3),

$$\gamma^0 = \begin{pmatrix} I \\ I \end{pmatrix} = I \otimes \tau_1, \quad \gamma^i = \begin{pmatrix} \sigma_i \\ -\sigma_i \end{pmatrix} = i\sigma_i \otimes \tau_2, \quad \gamma^5 = i\Omega = \begin{pmatrix} -I \\ I \end{pmatrix} = -I \otimes \tau_3. \tag{6.1.2}$$

其中  $au_{2,3}$  也是 Pauli 矩阵,  $\Omega=\gamma^0\gamma^1\gamma^2\gamma^3$ , 有时候使用符号  $\sigma^\mu=(I,\vec{\sigma}), \bar{\sigma}^\mu=(I,-\vec{\sigma}).$ 

- 另外

$$\begin{cases} \gamma^0 \gamma^i = -\sigma_i \otimes \tau_3 \\ \gamma^i \gamma^j = -(\sigma_i \sigma_j) \otimes I = -i\epsilon_{ijk} \sigma_k \otimes I \end{cases}, \begin{cases} \Omega \gamma^0 = -I \otimes \tau_2 \\ \Omega \gamma^i = -\sigma_i \otimes \tau_2 \end{cases}, \tag{6.1.3}$$

其中, 用到了  $\sigma_i \sigma_j = i \epsilon_{ijk} \sigma_k$ .

• gamma 矩阵满足

$$\begin{cases} (\gamma^{\mu})^2 = \eta^{\mu\mu} \\ \gamma^{\mu}\gamma^{\nu} = -\gamma^{\nu}\gamma^{\mu} & \mu \neq \nu \end{cases} \Longrightarrow \{\gamma^{\mu}, \gamma^{\nu}\} = 2\eta^{\mu\nu}. \tag{6.1.4}$$

• 且存在如下关系,

$$\Omega \gamma^{0} = -\gamma^{1} \gamma^{2} \gamma^{3}, \quad \Omega \gamma^{1} = -\gamma^{0} \gamma^{2} \gamma^{3}, \quad \Omega \gamma^{2} = \gamma^{0} \gamma^{1} \gamma^{3}, \quad \Omega \gamma^{3} = -\gamma^{0} \gamma^{1} \gamma^{2},$$

$$\iff -\epsilon^{\mu\nu\rho}{}_{\sigma} \Omega \gamma^{\sigma} = \gamma^{\mu} \gamma^{\nu} \gamma^{\rho}, \text{ when } \mu \neq \nu \neq \rho.$$
(6.1.5)

并且有 (注意到  $\Omega^2 = -1$ ),

$$\{\Omega, \gamma^{\mu}\} = 0, \quad \{\Omega, \Omega \gamma^{\mu}\} = 0, \quad [\Omega, \gamma^{\mu} \gamma^{\nu}] = 0.$$
 (6.1.6)

-----

• 定义  $\sigma^{\mu\nu}=\frac{1}{2}[\gamma^{\mu},\gamma^{\nu}]$  (注意, 我们的定义中没有虚数 i, 与 A. Zee 的定义不同), 因此

$$\gamma^{\mu}\gamma^{\nu} = \frac{1}{2}\{\gamma^{\mu}, \gamma^{\nu}\} + \frac{1}{2}[\gamma^{\mu}, \gamma^{\nu}] = \eta^{\mu\nu} + \sigma^{\mu\nu} \Longrightarrow \begin{cases} \sigma^{0i} = \begin{pmatrix} -\sigma_i \\ \sigma_i \end{pmatrix} = -\sigma_i \otimes \tau_3 \\ \sigma^{ij} = -i\epsilon^{ijk} \begin{pmatrix} \sigma_k \\ \sigma_k \end{pmatrix} = -i\epsilon^{ijk}\sigma_k \otimes I \end{cases}, \quad (6.1.7)$$

与笔记 Lie Groups and Lie Algebras 中  $(\frac{1}{2},0)\oplus(0,\frac{1}{2})$  表示对比,可见  $\pi_{(\frac{1}{2},0)\oplus(0,\frac{1}{2})}(J^{\mu\nu})=\frac{1}{2}\sigma^{\mu\nu}$ .

# 6.1.1 gamma matrices under Dirac basis

• 做如下相似变换  $(B = S^{-1}AS)$ ,

$$S = \frac{\sqrt{2}}{2} \begin{pmatrix} I & -I \\ I & I \end{pmatrix} \iff S^{-1} = \frac{\sqrt{2}}{2} \begin{pmatrix} I & I \\ -I & I \end{pmatrix}, \tag{6.1.8}$$

得到

$$\gamma^0 = \begin{pmatrix} I & \\ & -I \end{pmatrix} = I \otimes \tau_3, \quad \gamma^i = \begin{pmatrix} & \sigma_i \\ -\sigma_i & \end{pmatrix} = i\sigma_i \otimes \tau_2, \quad \gamma^5 = \begin{pmatrix} & I \\ I & \end{pmatrix} = I \otimes \tau_1. \tag{6.1.9}$$

• 另外,

$$\begin{cases} \gamma^0 \gamma^i = \sigma_i \otimes \tau_1 \\ \gamma^i \gamma^j = -i\epsilon_{ijk} \sigma_k \otimes I \end{cases}, \begin{cases} \Omega \gamma^0 = -I \otimes \tau_2 \\ \Omega \gamma^i = i\sigma_i \otimes \tau_3 \end{cases}, \tag{6.1.10}$$

以及

$$\sigma^{0i} = \begin{pmatrix} \sigma_i \\ \sigma_i \end{pmatrix} = \sigma_i \otimes \tau_1, \quad \sigma^{ij} = -i\epsilon^{ijk} \begin{pmatrix} \sigma_k \\ \sigma_k \end{pmatrix} = -i\epsilon^{ijk} \sigma_k \otimes I. \tag{6.1.11}$$

# **6.2** Lorentz transformation and the $(\frac{1}{2},0) \oplus (0,\frac{1}{2})$ representation

• Lorentz 变换可以写成如下形式,

$$\Lambda = e^{\frac{1}{2}\omega_{\mu\nu}J^{\mu\nu}},\tag{6.2.1}$$

其中  $\omega_{\mu\nu}$  反对称,  $J^{0i}$  generate boosts and  $J^{ij}$  generate rotations, (详见笔记 Lie Groups and Lie Algebras).

$$x = \phi^* x' = \Lambda^{-1} x'$$

$$\phi_* \frac{\partial}{\partial x^{\mu}} = \Lambda_{\mu}{}^{\nu} \frac{\partial}{\partial x^{\nu}} = \frac{\partial}{\partial x'^{\mu}} \text{ or } \eta \Lambda \eta \frac{\partial}{\partial x} = \frac{\partial}{\partial x'}$$

$$x(q) = \Lambda^{-1} x(p)$$

$$x'(q) = x(p) = \Lambda x(q)$$

$$x = \phi^* x' = \Lambda^{-1} x'$$

$$\phi_* \frac{\partial}{\partial x^{\mu}} = \Lambda_{\mu}{}^{\nu} \frac{\partial}{\partial x^{\nu}} = \frac{\partial}{\partial x'^{\mu}} \text{ or } \eta \Lambda \eta \frac{\partial}{\partial x} = \frac{\partial}{\partial x'}$$

$$\phi^* dx'^{\mu} = \Lambda_{\nu}{}^{\mu} dx'^{\nu} = dx^{\mu} \text{ or } \Lambda^{-1} dx' = dx$$

$$x'(q) = x(p) = \Lambda x(q)$$

Figure 6.1: Lorentz transformation.

• Weyl spinor 是  $(\frac{1}{2},0) \oplus (0,\frac{1}{2})$  rep. 的 vector space 中的元素,

$$\Psi = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix}, \quad \text{with} \quad \Psi_{\text{Dirac}} = S^{-1}\Psi = \frac{\sqrt{2}}{2} \begin{pmatrix} \psi_L + \psi_R \\ -\psi_L + \psi_R \end{pmatrix}. \tag{6.2.2}$$

在 Weyl basis 下很容易看出,

$$\Psi_L = \underbrace{\frac{1}{2}(1 - \gamma^5)}_{=P_L} \Psi, \quad \Psi_R = \underbrace{\frac{1}{2}(1 + \gamma^5)}_{=P_R} \Psi.$$
 (6.2.3)

• 对于 gamma 矩阵, 有

$$\Pi(\Lambda)\gamma^{\rho}\Pi^{-1}(\Lambda) = e^{\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}}\gamma^{\rho}e^{-\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}} = (\Lambda^{-1})^{\rho}{}_{\sigma}\gamma^{\sigma}. \tag{6.2.4}$$

#### calculation:

利用 Campbell's identity,

$$e^{\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}}\gamma^{\rho}e^{-\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}} = e^{\frac{1}{4}\omega_{\mu\nu}\operatorname{ad}_{\sigma^{\mu\nu}}}\gamma^{\rho},\tag{6.2.5}$$

其中 (注意  $(J^{\mu\nu})^{\rho}{}_{\sigma}=2\eta^{[\mu|\rho}\delta^{[\nu]}{}_{\sigma},$  其中度规号差与笔记 Lie Groups and Lie Algebras 中的不同)

$$\begin{cases} \sigma^{\mu\nu}, \gamma^{\rho}] = \frac{1}{2} (\gamma^{\mu} \gamma^{\nu} \gamma^{\rho} - \gamma^{\nu} \gamma^{\mu} \gamma^{\rho} - \gamma^{\rho} \gamma^{\mu} \gamma^{\nu} + \gamma^{\rho} \gamma^{\nu} \gamma^{\mu}) \\ \rho \neq \mu, \nu \\ = -\frac{1}{2} \underbrace{(\epsilon^{\mu\nu\rho\sigma} - \epsilon^{\nu\mu\rho\sigma} - \epsilon^{\rho\mu\nu\sigma} + \epsilon^{\rho\nu\mu\sigma})}_{=0} \Omega \gamma_{\sigma} = 0 \\ \rho = \mu \text{ or } \nu \text{ and } \mu \neq \nu \quad [\sigma^{\mu\nu}, \gamma^{\rho}] = 2(\eta^{\mu\rho} \gamma^{\mu} - \eta^{\nu\rho} \gamma^{\nu}) \\ \Longrightarrow [\sigma^{\mu\nu}, \gamma^{\rho}] = 2(\eta^{\nu\rho} \gamma^{\mu} - \eta^{\mu\rho} \gamma^{\nu}) = -2(J^{\mu\nu})^{\rho}_{\sigma} \gamma^{\sigma}, \end{cases}$$
(6.2.6)

代入,得到

$$e^{\frac{1}{4}\omega_{\mu\nu}\operatorname{ad}_{\sigma^{\mu\nu}}}\gamma^{\rho} = \sum_{n=0}^{\infty} \frac{1}{n!} \left( \left( -\frac{1}{2}\omega_{\mu\nu}J^{\mu\nu} \right)^{n} \right)^{\rho}{}_{\sigma}\gamma^{\sigma} = (\Lambda^{-1})^{\rho}{}_{\sigma}\gamma^{\sigma}. \tag{6.2.7}$$

可以用"无穷小"Lorentz 变换验证以上计算,

$$\Pi(1 + \delta\omega^{\mu}_{\nu})\gamma^{\rho}\Pi^{-1}(1 + \delta\omega^{\mu}_{\nu}) = \gamma^{\rho} + \frac{1}{4}\delta\omega_{\mu\nu}[\sigma^{\mu\nu}, \gamma^{\rho}]$$
$$= (1 - \delta\omega^{\rho}_{\sigma})\gamma^{\sigma}. \tag{6.2.8}$$

# 6.2.1 Dirac spinor

• 对于 Dirac spinor,

$$\Pi(\Lambda)\Psi(x) = \Psi'(\Lambda x),\tag{6.2.9}$$

注意  $\partial'_{\mu} = \Lambda_{\mu}{}^{\nu} \partial_{\nu}$ , 所以

$$(i\gamma^{\mu}\partial_{\mu} - m)\Psi(x) = 0 \iff (i\gamma^{\mu}\partial'_{\mu} - m)\Psi'(\Lambda x) = 0.$$
(6.2.10)

- 关键部分在于

$$\gamma^{\mu}\Psi'(\Lambda x) = \gamma^{\mu}\Pi(\Lambda)\Psi(x) = \Pi(\Lambda)\Lambda^{\mu}_{\ \nu}\gamma^{\nu}\Psi(x). \tag{6.2.11}$$

# calculation:

首先

$$\Lambda^T \eta \Lambda = \eta \Longrightarrow (\Lambda^{-1})^{\mu}_{\ \nu} = (\eta \Lambda^T \eta)^{\mu}_{\ \nu} = \Lambda_{\nu}^{\ \mu}, \tag{6.2.12}$$

考虑

$$\Pi^{-1}(\Lambda)\gamma^{\mu}\Pi(\Lambda) = \Lambda^{\mu}_{,\nu}\gamma^{\nu} \Longrightarrow \gamma^{\mu}\Pi(\Lambda) = \Lambda^{\mu}_{,\nu}\Pi(\Lambda)\gamma^{\nu}, \tag{6.2.13}$$

代入,

$$(i\gamma^{\mu}\partial'_{\mu} - m)\Psi'(\Lambda x) = (i\gamma^{\mu}\Lambda_{\mu}{}^{\nu}\partial_{\nu} - m)\Pi(\Lambda)\Psi(x)$$

$$= \Pi(\Lambda)(i\gamma^{\rho}\underbrace{\Lambda_{\mu}{}^{\nu}\partial_{\nu} - m)\Psi(x)}_{=\delta^{\nu}_{\rho}}$$

$$= \Pi(\Lambda)(i\gamma^{\mu}\partial_{\mu} - m)\Psi(x) = 0. \tag{6.2.14}$$

#### 6.2.2 Dirac bilinears

•  $\gamma^0$  是 Hermitian 矩阵, 而  $\gamma^i$  不是, 有

$$\gamma^{i\dagger} = -\gamma^i = \gamma^0 \gamma^i \gamma^0, \tag{6.2.15}$$

可以统一写作  $\gamma^{\mu\dagger} = \gamma^0 \gamma^\mu \gamma^0$ , 并且有

$$\sigma^{\mu\nu\dagger} = -\gamma^0 \sigma^{\mu\nu} \gamma^0, \quad \Pi^{\dagger}(\Lambda) = \gamma^0 \Pi(\Lambda^{-1}) \gamma^0. \tag{6.2.16}$$

#### calculation:

对于  $\sigma^{\mu\nu}$ ,

$$\sigma^{\mu\nu\dagger} = \frac{1}{2} (\gamma^{\nu\dagger}\gamma^{\mu\dagger} - \gamma^{\mu\dagger}\gamma^{\nu\dagger}) = \gamma^0 \sigma^{\nu\mu} \gamma^0 = -\gamma^0 \sigma^{\mu\nu} \gamma^0, \tag{6.2.17}$$

所以

$$((\omega_{\mu\nu}\sigma^{\mu\nu})^{\dagger})^n = \gamma^0 (-\omega_{\mu\nu}\sigma^{\mu\nu})^n \gamma^0 \Longrightarrow \Pi^{\dagger}(\Lambda) = \gamma^0 \Pi(\Lambda^{-1}) \gamma^0. \tag{6.2.18}$$

• 所以

$$\begin{cases} \bar{\Psi}'(\Lambda x)\Psi'(\Lambda x) = \bar{\Psi}\Psi & \text{scalar field} \\ \bar{\Psi}'\gamma^{\mu}\Psi' = \Lambda^{\mu}_{\ \nu}\bar{\Psi}\gamma^{\nu}\Psi & \text{vector field} \end{cases}$$
(6.2.19)

其中  $\bar{\Psi} = \Psi^{\dagger} \gamma^0$ .

#### calculation:

$$\begin{cases} \Psi'^{\dagger}(\Lambda x)\gamma^{0}\Psi'(\Lambda x) = \Psi^{\dagger}(x)\gamma^{0}\Pi(\Lambda^{-1})(\gamma^{0})^{2}\Pi(\Lambda)\Psi(x) = \Psi^{\dagger}\gamma^{0}\Psi\\ \Psi'^{\dagger}\gamma^{0}\gamma^{\mu}\Psi' = \Psi^{\dagger}(x)\gamma^{0}\Pi(\Lambda^{-1})(\gamma^{0})^{2}\gamma^{\mu}\Pi(\Lambda)\Psi(x) = \Lambda^{\mu}_{\ \nu}\Psi^{\dagger}\gamma^{0}\gamma^{\nu}\Psi \end{cases}.$$
(6.2.20)

此外

$$\begin{cases} \bar{\Psi}' \sigma^{\mu\nu} \Psi' = \Psi^{\dagger} \gamma^0 \Pi(\Lambda^{-1}) (\gamma^0)^2 \sigma^{\mu\nu} \Pi(\Lambda) \Psi = \Lambda^{\mu}{}_{\rho} \Lambda^{\nu}{}_{\sigma} \bar{\Psi} \sigma^{\rho\sigma} \Psi & \text{order 2 tensor} \\ \bar{\Psi}' \Omega \gamma^{\mu} \Psi' = \bar{\Psi} \Pi(\Lambda^{-1}) \Omega \gamma^{\mu} \Pi(\Lambda) \Psi = \det(\Lambda) \Lambda^{\mu}{}_{\nu} \bar{\Psi} \Omega \gamma^{\nu} \Psi & \text{pseudovector} \\ \bar{\Psi}' \Omega \Psi' = \bar{\Psi} \Pi(\Lambda^{-1}) \Omega \Pi(\Lambda) \Psi = \det(\Lambda) \bar{\Psi} \Omega \Psi & \text{4-form (pseudoscalar)} \end{cases}$$
(6.2.21)

其中 (注意到下面的计算中, 第二个等号后, 含  $\eta$  的项都等于零; 由此可以看出, 对  $\mu_i$  求和的过程中, 任何两个  $\mu_i, \mu_j$  相等的项求和之后都等于零)

$$\Pi(\Lambda^{-1})\Omega\Pi(\Lambda) = \prod_{i=0}^{3} \Lambda^{i}_{\mu_{i}} \gamma^{\mu_{0}} \gamma^{\mu_{1}} \gamma^{\mu_{2}} \gamma^{\mu_{3}}$$

$$= \prod_{i=0}^{3} \Lambda^{i}_{\mu_{i}} (\eta^{\mu_{0}\mu_{1}} + \sigma^{\mu_{0}\mu_{1}}) (\eta^{\mu_{2}\mu_{3}} + \sigma^{\mu_{2}\mu_{3}})$$

$$= \prod_{i=0}^{3} \Lambda^{i}_{\mu_{i}} \gamma^{\mu_{0}} \gamma^{\mu_{1}} \gamma^{\mu_{2}} \gamma^{\mu_{3}} \quad \text{with} \quad \mu_{0} \neq \mu_{1} \neq \mu_{2} \neq \mu_{3}$$

$$= \det(\Lambda)\Omega. \tag{6.2.22}$$

# 6.2.3 parity and time reversal

- 这里沿用笔记 Lie Groups and Lie Algebras 中的记号, 选择 O(3,1) 而非 O(1,3), 因为他们没有区别.
- O(3,1) 有 4 个联通分支,

$$I \in SO_{+}(3,1), PT \in SO_{-}(3,1), P \in O'_{+}(3,1), T \in O'_{-}(3,1),$$
 (6.2.23)

其中

$$P = \operatorname{diag}(+1, -1, -1, -1), \quad T = \operatorname{diag}(-1, +1, +1, +1), \tag{6.2.24}$$

另外,  $\eta P \eta = P, \eta T \eta = T$ .

- 另外, Lorentz algebra 的 representation 不能自然的生成对 P,T 的表示, 因为本质上它只能生成 spin group 的表示, 是  $SO_+(3,1)$  的 universal cover, 与 Lorentz group 的其它三个连通分支没有直接联系.
- 因此, 对 P,T 的表示要从物理的角度定义, (可能) 无法单纯靠数学的方法给出, 所以这部分放在下一章.

# the Dirac equation

# 7.1 Dirac equation

- A. Zee: our discussion provides a unified view of the equations of motion in relativistic physics: they just project out the unphysical components.
- the Dirac equation is

$$(i\gamma^{\mu}\partial_{\mu} - m)\Psi = 0 \iff (\gamma^{\mu}p_{\mu} - m)\tilde{\Psi} = 0 \Longrightarrow \begin{cases} i\sigma^{\mu}\partial_{\mu}\psi_{R} - m\psi_{L} = 0\\ i\bar{\sigma}^{\mu}\partial_{\mu}\psi_{L} - m\psi_{R} = 0 \end{cases}.$$
 (7.1.1)

首先可以看出 Ψ 满足 Klein-Gordan equation,

$$(i\gamma^{\mu}\partial_{\mu} - m)(i\gamma^{\nu}\partial_{\nu} - m)\Psi = \left(-\frac{1}{2}\{\gamma^{\mu}, \gamma^{\nu}\}\partial_{\mu}\partial_{\nu} - 2im\gamma^{\mu}\partial_{\mu} + m^{2}\right)\Psi = 0$$

$$\Longrightarrow (-\partial^{2} - m^{2})\Psi = 0. \tag{7.1.2}$$

- 在粒子静止系下  $p_{\mu}=(m,0,0,0)$ , Dirac 方程给出 (这里采用 Dirac basis)

$$(\gamma^0 - 1)\tilde{\Psi}_{\text{Dirac}} = 0 \Longrightarrow \begin{pmatrix} 0 \\ I \end{pmatrix} \tilde{\Psi}_{\text{Dirac}} = 0.$$
 (7.1.3)

因此,  $\tilde{\Psi}$  的后两个分量为零  $\Longrightarrow \Psi$  只有两个自由度.

• Dirac 方程的 Lorentz covariance 见 (6.2.10).

# 7.2 Dirac Lagrangian

• 根据 (6.2.19) 以及之前标量场的计算经验, 可知

$$\mathcal{L} = \bar{\Psi}(i\gamma^{\mu}\partial_{\mu} - m)\Psi = (-i\partial_{\mu}\bar{\Psi}\gamma^{\mu} - m\bar{\Psi})\Psi + \text{total diff.}, \tag{7.2.1}$$

其中, 与复标量场论中类似, 可以把  $\Psi, \Psi^{\dagger}$  或  $\Psi, \bar{\Psi}$  视为独立变量.

# 7.3 chirality or handedness

• parity transformation 会把 left spinor 变成 right spinor and vice versa,

$$\gamma^0 \Psi_L = \begin{pmatrix} 0 \\ \psi_L \end{pmatrix}, \quad \gamma^0 \Psi_R = \begin{pmatrix} \psi_R \\ 0 \end{pmatrix}.$$
 (7.3.1)

• 把 Lagrangian 中的 Ψ 拆开,

$$\mathcal{L} = \bar{\Psi}_L(i\partial)\Psi_L + \bar{\Psi}_R(i\partial)\Psi_R - m(\bar{\Psi}_L\Psi_R + \bar{\Psi}_R\Psi_L)$$

$$= \psi_L^{\dagger} i \bar{\sigma}^{\mu} \partial_{\mu} \psi_L + \psi_R^{\dagger} i \sigma^{\mu} \partial_{\mu} \psi_R - m(\psi_L^{\dagger} \psi_R + \psi_R^{\dagger} \psi_L), \tag{7.3.2}$$

其中注意到了  $\gamma^0\gamma^\mu$  的非对角分块为零.

# 7.3.1 internal vector symmetry

• 做变换  $\Psi \mapsto e^{i\theta}\Psi$ , Lagrangian 保持不变, 利用 Noether's theorem 得到守恒流 (见 section D.2),

$$J_V^{\mu} = \bar{\Psi}\gamma^{\mu}\Psi,\tag{7.3.3}$$

其中, 按照惯例省略了虚数 i.

# calculation:

计算广义动量,

$$\begin{cases} \pi^{\mu}_{\Psi} = \frac{\delta \mathcal{L}}{\delta \partial_{\mu} \Psi} = \bar{\Psi} i \gamma^{\mu} \\ \pi^{\mu}_{\bar{\Psi}} = 0 \end{cases} \quad \text{or} \quad \begin{cases} \pi^{\mu}_{\Psi} = 0 \\ \pi^{\mu}_{\bar{\Psi}} = \frac{\delta \mathcal{L}}{\delta \partial_{\mu} \bar{\Psi}} = -i \gamma^{\mu} \Psi \end{cases} . \tag{7.3.4}$$

这里看起来有点奇怪 (canonical transformation), 需要再说明一下. 对于 (7.2.1) 第一个等号后边,

$$\begin{cases}
\pi_{\bar{\Psi}}^{\mu} = \frac{\delta \mathcal{L}}{\delta \partial_{\mu} \Psi} = \bar{\Psi} i \gamma^{\mu} & \frac{\delta \mathcal{L}}{\delta \Psi} = -m \bar{\Psi} \\
\pi_{\bar{\Psi}}^{\mu} = 0 & \frac{\delta \mathcal{L}}{\delta \bar{\Psi}} = (i \gamma^{\mu} \partial_{\mu} - m) \Psi
\end{cases} \Longrightarrow \begin{cases}
-(\partial_{\mu} \bar{\Psi}) i \gamma^{\mu} - m \bar{\Psi} = 0 \\
(i \gamma^{\mu} \partial_{\mu} - m) \Psi = 0
\end{cases}, (7.3.5)$$

对于 (7.2.1) 第二个等号后边, 忽略掉全微分项,

$$\begin{cases}
\pi_{\Psi}^{\mu} = 0 & \frac{\delta \mathcal{L}}{\delta \Psi} = -i\partial_{\mu}\bar{\Psi}\gamma^{\mu} - m\bar{\Psi} \\
\pi_{\bar{\Psi}}^{\mu} = \frac{\delta \mathcal{L}}{\delta \partial_{\mu}\bar{\Psi}} = -i\gamma^{\mu}\Psi & \frac{\delta \mathcal{L}}{\delta \bar{\Psi}} = -m\Psi
\end{cases} \Longrightarrow \begin{cases}
-i\partial_{\mu}\bar{\Psi}\gamma^{\mu} - m\bar{\Psi} = 0 \\
(i\gamma^{\mu}\partial_{\mu} - m)\Psi = 0
\end{cases} . (7.3.6)$$

# 7.3.2 axial symmetry

• 做变换

$$\Psi \mapsto e^{i\theta\gamma^5} \Psi = \begin{pmatrix} e^{-i\theta} \Psi_L \\ e^{i\theta} \Psi_R \end{pmatrix}, \tag{7.3.7}$$

在 m=0 时 Lagrangian 保持不变, 对应的守恒流为

$$J^{\mu}_{\Lambda} = \bar{\Psi}\gamma^{\mu}\gamma^{5}\Psi,\tag{7.3.8}$$

根据 (6.2.21), 是一个 pseudovector.

# 7.4 energy-momentum tensor and angular momentum

• Dirac 场的 energy-momentum tensor 为

$$T_{\mu\nu} = i\bar{\Psi}\gamma_{\mu}\partial_{\nu}\Psi - \eta_{\mu\nu}\mathcal{L},\tag{7.4.1}$$

其中, 对于满足运动方程的 Dirac 场,  $\mathcal{L}=0$ .

• Dirac 场的 angular momentum 为

$$M^{\mu\nu\rho} = \frac{i}{2} \bar{\Psi} \gamma^{\mu} \sigma^{\nu\rho} \Psi(x) + (x^{\nu} T^{\mu\rho} - x^{\rho} T^{\mu\nu}). \tag{7.4.2}$$

#### calculation:

做变换  $x \mapsto e^{\frac{1}{2}\lambda\omega_{\mu\nu}J^{\mu\nu}}x$ , 那么

$$\Psi(x) \mapsto \Psi'(x') = e^{\frac{1}{4}\lambda\omega_{\mu\nu}\sigma^{\mu\nu}}\Psi(x)$$

$$\Longrightarrow D_{\lambda}\Psi'(x) = \frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}\Psi(x) - \frac{1}{2}\omega_{\mu\nu}(J^{\mu\nu})^{\rho}{}_{\sigma}x^{\sigma}\partial_{\rho}\Psi(x), \tag{7.4.3}$$

所以

$$J^{\mu} = \frac{i}{4}\omega_{\nu\rho}\bar{\Psi}\gamma^{\mu}\sigma^{\nu\rho}\Psi(x) + \cdots \Longrightarrow M^{\mu\nu\rho} = \frac{i}{2}\bar{\Psi}\gamma^{\mu}\sigma^{\nu\rho}\Psi(x) + (x^{\nu}T^{\mu\rho} - x^{\rho}T^{\mu\nu}). \tag{7.4.4}$$

# 7.5 charge conjugation, parity and time reversal

• 沿用 A. Zee 的 notation, 变换映射分别用  $C, \mathcal{P}, \mathcal{T}$  表示, 相应的矩阵用 C, P, T 表示.

# 7.5.1 charge conjugation and antimatter

• 定义矩阵 C,

$$C = -\gamma^0 \gamma^2 \Longrightarrow C\gamma^0 = -i \begin{pmatrix} & & 1 \\ & -1 \\ 1 & & \end{pmatrix} = \gamma^2 \Longrightarrow \begin{cases} (\gamma^2)^{-1} \gamma^\mu \gamma^2 = -\gamma^{\mu*} \\ C^{-1} \gamma^\mu C = -(\gamma^\mu)^T \end{cases}, \tag{7.5.1}$$

因此  $-\gamma^{\mu*}$  同样满足 Clifford algebra.

- 另外, 有 
$$(\gamma^2)^{-1} = \gamma^{2*} = -\gamma^2$$
 和  $C^{-1} = C$ .

# calculation:

$$\gamma^{0}C^{-1}\gamma^{0}C\gamma^{0} = -\gamma^{\mu*} \Longrightarrow C^{-1}\gamma^{0}C = -\gamma^{0}\gamma^{\mu*}\gamma^{0} = -(\underbrace{\gamma^{0}\gamma^{\mu}\gamma^{0}}_{=\gamma^{\mu\dagger}})^{*}, \tag{7.5.2}$$

其中用到了  $\gamma^0 \gamma^\mu \gamma^0 = \gamma^{\mu\dagger}$ , 见 (6.2.15).

•  $\Psi_c = \gamma^2 \Psi^*$  满足如下方程 (对比 (7.6.1)),

$$(-i\gamma^{\mu*}(\partial_{\mu} - ieA_{\mu}) - m)\Psi^* = 0 \Longrightarrow (\gamma^2)^{-1}(i\gamma^{\mu}(\partial_{\mu} - ieA_{\mu}) - m)\Psi_c = 0, \tag{7.5.3}$$

可见  $\Psi_c$  满足变换  $-e \mapsto +e$  后的 Dirac 方程,  $\Psi_c$  is the field of positron.

• 对于 Lorentz 变换,  $e^{\frac{1}{2}\lambda\omega_{\mu\nu}J^{\mu\nu}}$ ,  $\lambda \in [0,1]$ , 有

$$\begin{cases}
\Psi \mapsto \Psi'(x') = e^{\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}}\Psi \\
\Psi_c \mapsto \gamma^2 \underbrace{(\gamma^2)^{-1} e^{\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}} \gamma^2 \Psi^*}_{=(\Psi'(x'))^*} = e^{\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}} \Psi_c , 
\end{cases} (7.5.4)$$

可见  $\Psi_c$  与  $\Psi$  的变换形式相同.

# **7.5.2** parity

• 对于 parity, 有  $x \to x' = (x^0, -\vec{x})$ , 在 Dirac eq. 中

$$\gamma^{0}\gamma^{\mu} = P^{\mu}_{\ \nu} \gamma^{\nu}\gamma^{0} \Longrightarrow (i\gamma^{\mu}\partial'_{\mu} - m)\gamma^{0}\Psi(x) = 0, \tag{7.5.5}$$

因此

$$\mathcal{P}: \Psi(x) \mapsto \Psi'(x') = \gamma^0 \Psi(x). \tag{7.5.6}$$

# 7.5.3 time reversal

• 时间反演算符为

$$T = (i\sigma_2 \otimes I)K = \gamma^1 \gamma^3 K, \tag{7.5.7}$$

其中 K 是 complex conjugation operator (见 appendix E). 另外, 有  $T^2 = -1$ , 符合预期.

# proof:

时间反演之后,  $\Psi'(t') = T\Psi(t)$  满足如下方程,

$$i\frac{\partial}{\partial t'}\Psi'(t') = H\Psi'(x'),$$
 (7.5.8)

其中

$$H = -i\gamma^0 \gamma^i \frac{\partial}{\partial x^i} + \gamma^0 m, \tag{7.5.9}$$

且 Hamiltonian 满足时间反演不变,  $H'(t') \equiv TH(t)T^{\dagger} = H(t)$ , 即 (其中 T = UK)

$$\begin{cases} T(i\gamma^{0}\gamma^{i})T^{\dagger} = i\gamma^{0}\gamma^{i} \\ T\gamma^{0}T^{\dagger} = \gamma^{0} \end{cases} \Longrightarrow \begin{cases} U(-i\gamma^{0}\gamma^{i*})U^{\dagger} = U(-i\gamma^{0}\gamma^{2}\gamma^{i}\gamma^{2})U^{\dagger} = i\gamma^{0}\gamma^{i} \\ [U,\gamma^{0}] = 0 \end{cases}, \tag{7.5.10}$$

满足以上要求的 U 具有以下形式,

$$U = \begin{pmatrix} a\sigma_2 & b\sigma_2 \\ b\sigma_2 & a\sigma_2 \end{pmatrix}, \text{ with } \begin{cases} |a|^2 + |b|^2 = 1 \\ a^*b + b^*a = 0 \end{cases},$$
 (7.5.11)

不妨令 a = i, b = 0.

### 7.5.4 CPT theorem

• 在 CPT 变换下

$$\mathcal{CPT}: \Psi(x) \mapsto \gamma^1 \gamma^3 K(\gamma^0 \gamma^2 \Psi^*) = \Omega \Psi = -i \gamma^5 \Psi. \tag{7.5.12}$$

• 任何 Lorentz covariant theory 都满足 CPT 不变性.

# 7.6 interaction in QED

- 注意, 我们采用通常的符号 e > 0, 与 A. Zee 的符号 e = -|e| 不同.
- QED 的 Lagrangian 为

$$\mathcal{L}_{\text{QED}} = \bar{\Psi}(i\gamma^{\mu}D_{\mu} - m)\Psi - \frac{1}{4}F^{\mu\nu}F_{\mu\nu} + \frac{1}{2}\mu^{2}A^{\mu}A_{\mu}, \tag{7.6.1}$$

其中

$$D_{\mu} = \partial_{\mu} + ieA_{\mu},\tag{7.6.2}$$

可见电子和电磁场耦合项为  $-eA_{\mu}J_{V}^{\mu}$ , 其中  $J_{V}^{\mu}$  是 internal vector symmetry 的守恒流, 见 (7.3.3).

• QED 里的 Dirac 方程为

$$(i\gamma^{\mu}(\partial_{\mu} + ieA_{\mu}) - m)\Psi = 0 \quad \text{and} \quad -i(\partial_{\mu} - ieA_{\mu})\bar{\Psi}\gamma^{\mu} - m\bar{\Psi} = 0.$$
 (7.6.3)

# 7.7 Majorana neutrino

• 因为在 Lorentz 变换下,  $\Psi$ ,  $\Psi$ <sub>c</sub> 行为相同, 因此 Majorana 方程同样满足 Lorentz covariance,

$$i\partial \Psi - m\Psi_c = 0 \text{ and } i\partial \Psi_c - m\Psi = 0,$$
 (7.7.1)

因此

$$(-\partial^2 - m^2)\Psi = 0, (7.7.2)$$

满足 Klein-Gordon 方程.

#### calculation:

$$-\gamma^{\mu}\gamma^{\nu}\partial_{\mu}\partial_{\nu}\Psi = m(i\partial)\Psi_{c} = m^{2}\Psi. \tag{7.7.3}$$

• Majorana 方程对应的 Lagrangian 为

$$\mathcal{L} = \bar{\Psi}i\partial \!\!\!/ \Psi - \frac{1}{2}m(\Psi^T C \Psi + \bar{\Psi} C \bar{\Psi}^T), \tag{7.7.4}$$

相应的广义动量为

$$\begin{cases}
\pi_{\Psi}^{\mu} = \bar{\Psi}i\gamma^{\mu} & \frac{\delta\mathcal{L}}{\delta\Psi} = -m\Psi^{T}C \\
\pi_{\bar{\Psi}}^{\mu} = 0 & \frac{\delta\mathcal{L}}{\delta\bar{\Psi}} = i\partial\Psi - mC\bar{\Psi}^{T} = i\partial\Psi - m\Psi_{c}
\end{cases} .$$
(7.7.5)

— 注意,  $\Psi$  应该被当作 Grassmann numbers, 因此, 对于反对称矩阵 C, 有  $\Psi^T C \Psi$ ,  $\bar{\Psi} C \bar{\Psi}^T \neq 0$ .

#### calculation:

对 业 变分得到

$$0 = \frac{\delta \mathcal{L}}{\delta \Psi} - \partial_{\mu} \pi_{\Psi}^{\mu}$$

$$= -m \Psi^{T} C - i \partial_{\mu} \bar{\Psi} \gamma^{\mu}$$

$$= (-m \Psi^{T} - i \partial_{\mu} \bar{\Psi} \gamma^{\mu} C) C$$

$$= (-m \Psi + i C (\gamma^{\mu})^{T} \gamma^{0} \partial_{\mu} \Psi^{*})^{T} C, \qquad (7.7.6)$$

其中

$$C(\gamma^{\mu})^{T} \gamma^{0} = C(-C^{-1} \gamma^{\mu} C) \gamma^{0} = -\gamma^{\mu} C \gamma^{0} = -\gamma^{\mu} \gamma^{2}, \tag{7.7.7}$$

代入. 得到 (?).

$$-i\partial \Psi_c - m\Psi = 0. (7.7.8)$$

- Majorana eq. v.s. Dirac eq.:
  - Majorana eq. 只适用于 electrically neutral fields (?).
  - Majorana eq. preserves handedness (?).

# Chapter 8

# quantizing the Dirac field

#### 8.1 anticommutation

•  $\Pi \alpha, \beta$  表示电子的量子态 (包括动量和自旋), 那么

$$\{b_{\alpha}, b_{\beta}\} = 0 \quad \{b_{\alpha}, b_{\beta}^{\dagger}\} = \delta_{\alpha\beta}.$$
 (8.1.1)

#### comment:

反对称关系  $\{b_{\alpha}, b_{\beta}\} = 0$  由实验发现, 我们希望电子有 number operator,

$$N = \sum_{\alpha} b_{\alpha}^{\dagger} b_{\alpha}, \quad \text{with} \quad \begin{cases} [N, b_{\alpha}] = -b_{\alpha} \\ [N, b_{\alpha}^{\dagger}] = b_{\alpha}^{\dagger} \end{cases}, \tag{8.1.2}$$

考虑到  $[AB, C] = ABC - CAB = A\{B, C\} - \{A, C\}B$ , 所以

$$\begin{cases}
[N, b_{\alpha}] = \sum_{\beta} (b_{\beta}^{\dagger} \{b_{\beta}, b_{\alpha}\} - \{b_{\beta}^{\dagger}, b_{\alpha}\} b_{\beta}) = -\sum_{\beta} \{b_{\beta}^{\dagger}, b_{\alpha}\} b_{\beta} \\
[N, b_{\alpha}^{\dagger}] = \sum_{\beta} (b_{\beta}^{\dagger} \{b_{\beta}, b_{\alpha}^{\dagger}\} - \{b_{\beta}^{\dagger}, b_{\alpha}^{\dagger}\} b_{\beta}) = \sum_{\beta} b_{\beta}^{\dagger} \{b_{\beta}, b_{\alpha}^{\dagger}\} 
\end{cases},$$
(8.1.3)

可见  $\{b_{\alpha}, b_{\beta}^{\dagger}\} = \delta_{\alpha\beta}$ .

#### 8.2 plane wave solutions

• Dirac 方程的平面波解具有如下形式 (其中  $p^0 = \omega_p$ ),

$$\Psi = u(\vec{p})e^{-ip\cdot x}$$
 and  $\Psi = v(\vec{p})e^{ip\cdot x}$ , (8.2.1)

代入 Dirac 方程, 得到

$$(\not p - m)u(\vec{p}) = 0$$
 and  $(-\not p - m)v(\vec{p}) = 0,$  (8.2.2)

解为

$$u(\vec{p}) = \begin{pmatrix} \sqrt{p \cdot \sigma} \xi \\ \sqrt{p \cdot \bar{\sigma}} \xi \end{pmatrix}, \quad v = \begin{pmatrix} -\sqrt{p \cdot \sigma} \chi \\ \sqrt{p \cdot \bar{\sigma}} \chi \end{pmatrix}, \tag{8.2.3}$$

其中  $\xi$ ,  $\chi$  为任意  $2-\dim$  列向量, 因此  $u(\vec{p}), v(\vec{p})$  各有两个独立解, 分别用  $u(\vec{p},s), v(\vec{p},s), s=\pm 1$  表示.

#### proof:

令  $u^T = (u_1, u_2)$  代入,

$$\begin{pmatrix} -m & p \cdot \sigma \\ p \cdot \bar{\sigma} & -m \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = 0 \Longrightarrow \begin{cases} p \cdot \sigma u_2 = mu_1 \\ p \cdot \bar{\sigma} u_1 = mu_2 \end{cases}, \tag{8.2.4}$$

注意到

$$(p \cdot \sigma)(p \cdot \bar{\sigma}) = \omega_p^2 - p^i p^j \sigma_i \sigma_j = \omega_p^2 - |\vec{p}|^2 = m^2, \tag{8.2.5}$$

所以, 令  $u_2 = m\xi'$ , 那么

$$u = \begin{pmatrix} p \cdot \sigma \xi' \\ m \xi' \end{pmatrix} \Longrightarrow \xi = \sqrt{p \cdot \sigma} \xi' \Longrightarrow \cdots, \tag{8.2.6}$$

其中,  $\xi$  可以任意选取, 并且注意到了  $[(p \cdot \sigma), (p \cdot \bar{\sigma})] = 0$ , 因此

$$\sqrt{p \cdot \sigma} \sqrt{p \cdot \bar{\sigma}} = \sqrt{(p \cdot \sigma)(p \cdot \bar{\sigma})} = m. \tag{8.2.7}$$

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类似地, 对于  $v^T = (v_1, v_2)$ , 代入,

$$\begin{pmatrix} m & p \cdot \sigma \\ p \cdot \bar{\sigma} & m \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = 0 \Longrightarrow \begin{cases} p \cdot \sigma v_2 = -mv_1 \\ p \cdot \bar{\sigma} v_1 = -mv_2 \end{cases}, \tag{8.2.8}$$

令  $v_1 = -\sqrt{p \cdot \sigma} \chi$ , 那么...

最后,

$$\begin{cases}
\sqrt{p \cdot \sigma} = \sqrt{\frac{m + \omega_p}{2}} I + \frac{1}{\sqrt{2(m + \omega_p)}} \vec{p} \cdot \vec{\sigma} \\
\sqrt{p \cdot \bar{\sigma}} = \sqrt{\frac{m + \omega_p}{2}} I - \frac{1}{\sqrt{2(m + \omega_p)}} \vec{p} \cdot \vec{\sigma}
\end{cases}, (8.2.9)$$

以及一些有用的公式,

$$\begin{cases}
\sqrt{p \cdot \sigma} \sigma^{\mu} \sqrt{p \cdot \sigma} = \begin{cases}
\omega_{p} + \vec{p} \cdot \vec{\sigma} & \mu = 0 \\
\omega_{p} \sigma^{i} + p^{i} + \frac{\vec{p} \cdot \vec{\sigma}}{2(m + \omega_{p})} 2i\epsilon_{ijk} p^{j} \sigma^{k} & \mu = i \end{cases} \\
\sqrt{p \cdot \bar{\sigma}} \sigma^{\mu} \sqrt{p \cdot \bar{\sigma}} = \begin{cases}
\omega_{p} - \vec{p} \cdot \vec{\sigma} & \mu = 0 \\
\omega_{p} \sigma^{i} - p^{i} + \frac{\vec{p} \cdot \vec{\sigma}}{2(m + \omega_{p})} 2i\epsilon_{ijk} p^{j} \sigma^{k} & \mu = i \end{cases} \\
\sqrt{p \cdot \bar{\sigma}} \sigma^{\mu} \sqrt{p \cdot \bar{\sigma}} = \begin{cases}
m & \mu = 0 \\
m \sigma^{i} - \frac{\sqrt{p \cdot \bar{\sigma}}}{\sqrt{2(m + \omega_{p})}} 2i\epsilon_{ijk} p^{j} \sigma_{k} & \mu = i \end{cases} \\
\sqrt{p \cdot \bar{\sigma}} \sigma^{\mu} \sqrt{p \cdot \bar{\sigma}} = \begin{cases}
m & \mu = 0 \\
m \sigma^{i} + \frac{\sqrt{p \cdot \bar{\sigma}}}{\sqrt{2(m + \omega_{p})}} 2i\epsilon_{ijk} p^{j} \sigma_{k} & \mu = i \end{cases}
\end{cases}$$

$$(8.2.10)$$

另外  $(-p) \cdot \sigma = p \cdot \bar{\sigma}, (-p) \cdot \bar{\sigma} = p \cdot \sigma,$ 其中  $(-p) = (\omega_p, -\vec{p}).$ 

#### • 选择归一化条件

$$\begin{cases} \bar{u}(\vec{p}, s)u(\vec{p}, s') = 2m\delta_{ss'} \\ \bar{v}(\vec{p}, s)v(\vec{p}, s') = -2m\delta_{ss'} \end{cases} \text{ and } \bar{u}(\vec{p}, s)v(\vec{p}, s') = 0,$$
 (8.2.11)

其中  $\bar{u} = u^{\dagger} \gamma^{0}, \bar{v} = v^{\dagger} \gamma^{0},$ 那么

$$\begin{cases} \xi^{s\dagger} \xi^{s'} = \delta_{ss'} \\ \chi^{s\dagger} \chi^{s'} = \delta_{ss'} \end{cases} \text{ and } \xi^{s\dagger} \chi^{s'} - \chi^{s\dagger} \xi^{s'} = 0,$$
 (8.2.12)

可以选取

$$\xi^{+1} = \chi^{+1} = (1,0)^T, \quad \xi^{-1} = \chi^{-1} = (0,1)^T.$$
 (8.2.13)

- 在粒子静止系下,  $p_r = (m, 0, 0, 0)$ ,

$$\frac{u(\vec{p}_r, +1)}{\sqrt{m}} = \begin{pmatrix} 1\\0\\1\\0 \end{pmatrix}, \quad \frac{u(\vec{p}_r, -1)}{\sqrt{m}} = \begin{pmatrix} 0\\1\\0\\1 \end{pmatrix}, \quad \frac{v(\vec{p}_r, +1)}{\sqrt{m}} = \begin{pmatrix} -1\\0\\1\\0 \end{pmatrix}, \quad \frac{v(\vec{p}_r, -1)}{\sqrt{m}} = \begin{pmatrix} 0\\-1\\0\\1 \end{pmatrix}, \quad (8.2.14)$$

可见  $s=\pm 1$  分别代表 spin-up 和 spin-down.

- 另外, 我们注意到 (对 v 同样适用)

$$\begin{pmatrix} \omega_p \\ \vec{p} \end{pmatrix} = e^{\lambda J^{01}} \begin{pmatrix} m \\ 0 \end{pmatrix} \iff u(\vec{p}, s) = e^{\frac{1}{2}\lambda\sigma^{01}} u(\vec{p}_r, s), \quad \text{with} \quad \frac{p_1}{m} = \sinh \lambda, p_2 = p_3 = 0. \tag{8.2.15}$$

最后,

$$\begin{cases} \sum_{s=\pm 1} u(\vec{p}, s) \bar{u}(\vec{p}, s) = \not p + m \\ \sum_{s=\pm 1} v(\vec{p}, s) \bar{v}(\vec{p}, s) = \not p - m \end{cases}$$
(8.2.16)

#### calculation:

首先,

$$u(\vec{p}, s)u^{\dagger}(\vec{p}, s) = \begin{pmatrix} \sqrt{p \cdot \sigma} \xi^{s} \\ \sqrt{p \cdot \bar{\sigma}} \xi^{s} \end{pmatrix} \left( \xi^{s\dagger} \sqrt{p \cdot \sigma} \quad \xi^{s\dagger} \sqrt{p \cdot \bar{\sigma}} \right), \tag{8.2.17}$$

注意到

$$\sum_{s=\pm 1} \xi^s \xi^{s\dagger} = I_{2\times 2},\tag{8.2.18}$$

代入,

$$\sum_{s=\pm 1} u(\vec{p}, s) u^{\dagger}(\vec{p}, s) = \begin{pmatrix} p \cdot \sigma & m \\ m & p \cdot \bar{\sigma} \end{pmatrix} = (\not p + m) \gamma^{0}. \tag{8.2.19}$$

类似地.

$$\sum_{s=\pm 1} v(\vec{p}, s) v^{\dagger}(\vec{p}, s) = \sum_{s=\pm 1} \begin{pmatrix} \sqrt{p \cdot \sigma} \chi^{s} \\ -\sqrt{p \cdot \bar{\sigma}} \chi^{s} \end{pmatrix} \left( \chi^{s\dagger} \sqrt{p \cdot \sigma} - \chi^{s\dagger} \sqrt{p \cdot \bar{\sigma}} \right) \\
= \begin{pmatrix} p \cdot \sigma & -m \\ -m & p \cdot \bar{\sigma} \end{pmatrix} = (\not p - m) \gamma^{0}. \tag{8.2.20}$$

#### 8.3 the Dirac field

Ψ(x), Ψ̄ 有如下形式,

$$\begin{cases} \Psi(x) = \sum_{s=\pm 1} \int \frac{d^3p}{(2\pi)^{3/2} \sqrt{2\omega_p}} (b^s_{\vec{p}} u(\vec{p}, s) e^{-ip \cdot x} + c^{s\dagger}_{\vec{p}} v(\vec{p}, s) e^{ip \cdot x}) \\ \bar{\Psi}(x) = \sum_{s=\pm 1} \int \frac{d^3p}{(2\pi)^{3/2} \sqrt{2\omega_p}} (b^{s\dagger}_{\vec{p}} \bar{u}(\vec{p}, s) e^{ip \cdot x} + c^s_{\vec{p}} \bar{v}(\vec{p}, s) e^{-ip \cdot x}) \end{cases}$$
(8.3.1)

- 回顾 section 4.4 关于 complex scalar field 的内容, 可知  $b^{\dagger}$  和  $c^{\dagger}$  产生的粒子具有相反的电荷, 不妨令  $b^{\dagger}$  产生 electron (带电荷 -e),  $c^{\dagger}$  产生 position (带电荷 e).
- section 8.1 中的讨论说明

$$\begin{cases}
\{b_{\vec{p}}^{s}, b_{\vec{p}'}^{s'}\} = 0 \\
\{b_{\vec{p}}^{s}, b_{\vec{p}'}^{s'}\} = \delta^{(3)}(\vec{p} - \vec{p}')\delta_{ss'}
\end{cases}$$
(8.3.2)

•  $\Psi$  的 momentum conjecture 为  $(\pi_{\Psi}^{\mu}$  见 (7.3.4))

$$\pi_{\Psi} = \frac{\delta \mathcal{L}}{\delta \partial_{\sigma} \Psi} = \pi_{\Psi}^{0} = \bar{\Psi} i \gamma^{0} = i \Psi^{\dagger}, \tag{8.3.3}$$

存在如下 anticommutation relation,

$$\{\Psi_{\alpha}(t,\vec{x}), i\Psi_{\beta}^{\dagger}(t,\vec{y})\} = i\delta^{(3)}(\vec{x} - \vec{y})\delta_{\alpha\beta}. \tag{8.3.4}$$

#### calculation:

代入 (8.3.2), (下式中  $x = (t, \vec{x}), y = (t, \vec{y})$ , 另外注意到  $u\bar{u} = uu^{\dagger}\gamma^{0}$ ),

$$\begin{split} \{\Psi_{\alpha}(t,\vec{x}),\Psi_{\beta}^{\dagger}(t,\vec{y})\} &= \sum_{s=\pm} \int \frac{d^{3}p_{1}d^{3}p_{2}}{(2\pi)^{3}\sqrt{4\omega_{p_{1}}\omega_{p_{2}}}} \Big( \{b_{\vec{p}_{1}}^{s},b_{\vec{p}_{2}}^{s\dagger}\}u(\vec{p}_{1},s)u^{\dagger}(\vec{p}_{2},s)e^{i(-p_{1}\cdot x+p_{2}\cdot y)} \\ &+ \{c_{\vec{p}_{1}}^{s\dagger},c_{\vec{p}_{2}}^{s}\}v(\vec{p}_{1},s)v^{\dagger}(\vec{p}_{2},s)e^{i(p_{1}\cdot x-p_{2}\cdot y)} \Big) \\ &= \sum_{s=\pm} \int \frac{d^{3}p}{(2\pi)^{3}2\omega_{p}} \Big( u(\vec{p},s)u^{\dagger}(\vec{p},s)e^{ip\cdot(-x+y)} + v(\vec{p},s)v^{\dagger}(\vec{p},s)e^{ip\cdot(x-y)} \Big) \\ &= \int \frac{d^{3}p}{(2\pi)^{3}2\omega_{p}} \Big( (\not p+m)\gamma^{0}e^{i\vec{p}\cdot(\vec{x}-\vec{y})} + (\not p-m)\gamma^{0}e^{-i\vec{p}\cdot(\vec{x}-\vec{y})} \Big) \\ &= \int \frac{d^{3}p}{(2\pi)^{3}2\omega_{p}} \Big( 2\omega_{p}I\cos(\vec{p}\cdot(\vec{x}-\vec{y})) - 2p^{i}\gamma^{i}\gamma^{0}\cos(\vec{p}\cdot(\vec{x}-\vec{y})) \\ &+ 2im\gamma^{0}\sin(\vec{p}\cdot(\vec{x}-\vec{y})) \Big), \end{split} \tag{8.3.5}$$

注意, 只有第一项是偶函数, 积分后不为零,

$$\{\Psi_{\alpha}(t,\vec{x}), \Psi_{\beta}^{\dagger}(t,\vec{y})\} = \int \frac{d^{3}p}{(2\pi)^{3}} I \cos(\vec{p} \cdot (\vec{x} - \vec{y}))$$

$$= \int \frac{d^{3}p}{(2\pi)^{3}} e^{i\vec{p} \cdot (\vec{x} - \vec{y})} = \delta^{(3)}(\vec{x} - \vec{y})I.$$
(8.3.6)

• 另外, 显然有

$$\{\Psi(x), \Psi(y)\} = \{\Psi^{\dagger}(x), \Psi^{\dagger}(y)\} = 0. \tag{8.3.7}$$

#### 8.4 Hamiltonian, energy-momentum tensor and angular momentum

#### 8.4.1 Hamiltonian

• 计算 Hamiltonian,

$$H = \sum_{s=\pm 1} \int d^3 p \,\omega_p(b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s - c_{\vec{p}}^s c_{\vec{p}}^{s\dagger}) = \sum_{s=\pm 1} \int d^3 p \,\omega_p(b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s + c_{\vec{p}}^{s\dagger} c_{\vec{p}}^s) + E_0, \tag{8.4.1}$$

其中 vacuum energy,

$$E_0 = -2\delta^{(3)}(0) \int d^3 p \,\omega_p = -2V \int \frac{d^3 p}{(2\pi)^3} \omega_p, \tag{8.4.2}$$

的符号与标量场的正好相反.

#### calculation:

the Hamiltonian density is

$$\mathcal{H} = i\Psi^{\dagger}\partial_{0}\Psi - \mathcal{L} = -\bar{\Psi}(i\gamma^{i}\partial_{i} - m)\Psi$$

$$= \sum_{s_{1},s_{2}=\pm 1} \int \frac{d^{3}p_{1}d^{3}p_{2}}{(2\pi)^{3}\sqrt{4\omega_{p_{1}}\omega_{p_{2}}}} (b_{\vec{p}_{1}}^{s_{1}\dagger}\bar{u}(\vec{p}_{1},s_{1})e^{ip_{1}\cdot x} + c_{\vec{p}_{1}}^{s_{1}}\bar{v}(\vec{p}_{1},s_{1})e^{-ip_{1}\cdot x})$$

$$\underbrace{((\gamma^{i}p_{2}^{i} + m)}_{\mapsto \omega_{p_{2}}\gamma^{0}} b_{\vec{p}_{2}}^{s_{2}}u(\vec{p}_{2},s_{2})e^{-ip_{2}\cdot x} + \underbrace{(-\gamma^{i}p_{2}^{i} + m)}_{\mapsto -\omega_{p_{2}}\gamma^{0}} c_{\vec{p}_{2}}^{s_{2}\dagger}v(\vec{p}_{2},s_{2})e^{ip_{2}\cdot x}), \tag{8.4.3}$$

代入,

$$\begin{split} H &= \int d^3x \, \mathcal{H} = \sum_{s_1, s_2 = \pm 1} \int \frac{d^3p}{2\omega_p} \Big( b_{\vec{p}}^{s_1\dagger} \bar{u}(\vec{p}, s_1) \omega_p \gamma^0 b_{\vec{p}}^{s_2} u(\vec{p}, s_2) \\ &- b_{\vec{p}}^{s_1\dagger} \bar{u}(\vec{p}, s_1) \omega_p \gamma^0 c_{-\vec{p}}^{s_2\dagger} v(-\vec{p}, s_2) e^{2i\omega_p t} \\ &+ c_{\vec{n}}^{s_1} \bar{v}(\vec{p}, s_1) \omega_p \gamma^0 b_{-\vec{n}}^{s_2} u(-\vec{p}, s_2) e^{-2i\omega_p t} \end{split}$$

$$-c_{\vec{p}}^{s_1}\bar{v}(\vec{p},s_1)\omega_p\gamma^0c_{\vec{p}}^{s_2\dagger}v(\vec{p},s_2)\Big), \tag{8.4.4}$$

注意到

$$\begin{cases} u^{\dagger}(\vec{p}, s_{1})u(\vec{p}, s_{2}) = 2\omega_{p}\delta_{s_{1}s_{2}} \\ u^{\dagger}(\vec{p}, s_{1})v(-\vec{p}, s_{2}) = 0 \\ v^{\dagger}(\vec{p}, s_{1})u(-\vec{p}, s_{2}) = 0 \\ v^{\dagger}(\vec{p}, s_{1})v(\vec{p}, s_{2}) = 2\omega_{p}\delta_{s_{1}s_{2}} \end{cases}$$

$$(8.4.5)$$

代入,

$$H = \sum_{s_1, s_2 = \pm 1} \int \frac{d^3 p}{2\omega_p} \left( b_{\vec{p}}^{s_1 \dagger} b_{\vec{p}}^{s_2} (2\omega_p^2) \delta_{s_1 s_2} + c_{\vec{p}}^{s_1} c_{\vec{p}}^{s_2 \dagger} (-2\omega_p^2) \delta_{s_1 s_2} \right) = \cdots$$
 (8.4.6)

#### 8.4.2 energy-momentum tensor

• Dirac field 的动量算符为

$$P^{\mu} = \int d^3x \, T^{0\mu} = \int d^3p \, p^{\mu} (b^{s\dagger}_{\vec{p}} b^s_{\vec{p}} + c^{s\dagger}_{\vec{p}} c^s_{\vec{p}}), \tag{8.4.7}$$

另外  $P^0 = H$  还有一个 vacuum energy.

#### calculation:

energy-momentum tensor 的  $0, \mu$  分量为 (见 (7.4.1))

$$T^{0\mu} = i\bar{\Psi}\gamma^{0}\partial^{\mu}\Psi = i\Psi^{\dagger}\partial^{\mu}\Psi$$

$$= \sum_{s_{1},s_{2}=\pm 1} \int \frac{d^{3}p_{1}d^{3}p_{2}}{(2\pi)^{3}\sqrt{4\omega_{p_{1}}\omega_{p_{2}}}} (b_{\vec{p}_{1}}^{s_{1}\dagger}u^{\dagger}(\vec{p}_{1},s_{1})e^{ip_{1}\cdot x} + c_{\vec{p}_{1}}^{s_{1}}v^{\dagger}(\vec{p}_{1},s_{1})e^{-ip_{1}\cdot x})$$

$$p_{2}^{\mu}(b_{\vec{p}_{2}}^{s_{2}}u(\vec{p}_{2},s_{2})e^{-ip_{2}\cdot x} - c_{\vec{p}_{2}}^{s_{2}\dagger}v(\vec{p}_{2},s_{2})e^{ip_{2}\cdot x}), \tag{8.4.8}$$

代入,

$$P^{\mu} = \sum_{s_{1}, s_{2} = \pm 1} \int \frac{d^{3}p}{2\omega_{p}} \left( p^{\mu}b_{\vec{p}}^{s_{1}\dagger}u^{\dagger}(\vec{p}, s_{1})b_{\vec{p}}^{s_{2}}u(\vec{p}, s_{2}) - (-p^{\mu})b_{\vec{p}}^{s_{1}\dagger}u^{\dagger}(\vec{p}, s_{1})c_{-\vec{p}}^{s_{2}\dagger}v(-\vec{p}, s_{2})e^{2i\omega_{p}t} + (-p^{\mu})c_{\vec{p}}^{s_{1}}v^{\dagger}(\vec{p}, s_{1})b_{-\vec{p}}^{s_{2}}u(-\vec{p}, s_{2}) - p^{\mu}c_{\vec{p}}^{s_{1}}v^{\dagger}(\vec{p}, s_{1})c_{\vec{p}}^{s_{2}\dagger}v(\vec{p}, s_{2}) \right)$$

$$= \sum_{s_{1}, s_{2} = \pm 1} \int \frac{d^{3}p}{2\omega_{p}} \left( p^{\mu}b_{\vec{p}}^{s_{1}\dagger}b_{\vec{p}}^{s_{2}}(2\omega_{p}\delta_{s_{1}s_{2}}) - p^{\mu}c_{\vec{p}}^{s_{1}}c_{\vec{p}}^{s_{2}\dagger}(2\omega_{p}\delta_{s_{1}s_{2}}) \right)$$

$$= \int d^{3}p \, p^{\mu}(b_{\vec{p}}^{s\dagger}b_{\vec{p}}^{s} - c_{\vec{p}}^{s}c_{\vec{p}}^{s\dagger}). \tag{8.4.9}$$

#### 8.4.3 angular momentum

• Dirac field 的角动量算符为 (这部分在 Peskin 上有)

$$J^{ij} = \int d^3 M^{0ij}$$

$$= \epsilon^{ijk} \sum_{s_1, s_2 = \pm 1} \int d^3 p \, \frac{m}{2\omega_p} (b_{\vec{p}}^{s_1\dagger} b_{\vec{p}}^{s_2} + c_{\vec{p}}^{s_1} c_{\vec{p}}^{s_2\dagger}) \xi^{s_1\dagger} \sigma_k \xi^{s_2} + \int d^3 x \, (x^i T^{0j} - x^j T^{0i}), \tag{8.4.10}$$

其中,  $M^{\mu\nu\rho}$  见 (7.4.2).

- 把角动量算符中 spin 的部分表示为  $S^{ij}$ , 那么

$$\begin{cases} S^{12}b_{\vec{p}}^{s\dagger}|0\rangle = s\frac{m}{2\omega_p}b_{\vec{p}}^{s\dagger}|0\rangle \\ S^{12}c_{\vec{p}}^{s\dagger}|0\rangle = -s\frac{m}{2\omega_p}c_{\vec{p}}^{s\dagger}|0\rangle \end{cases} . \tag{8.4.11}$$

#### calculation:

角动量张量为

$$M^{0\mu\nu} = \frac{i}{2} \underbrace{\bar{\Psi}\gamma^{0}}_{\Psi^{\dagger}} \sigma^{\mu\nu}\Psi + (x^{\mu}T^{0\nu} - x^{\nu}T^{0\mu})$$

$$= \frac{i}{2} \sum_{s_{1}, s_{2} = \pm 1} \int \frac{d^{3}p_{1}d^{3}p_{2}}{(2\pi)^{3}\sqrt{4\omega_{p_{1}}\omega_{p_{2}}}} (b^{s_{1}\dagger}_{\vec{p_{1}}}u^{\dagger}(\vec{p_{1}}, s_{1})e^{ip_{1}\cdot x} + c^{s_{1}}_{\vec{p_{1}}}v^{\dagger}(\vec{p_{1}}, s_{1})e^{-ip_{1}\cdot x})$$

$$\sigma^{\mu\nu}(b^{s_{2}}_{\vec{p_{2}}}u(\vec{p_{2}}, s_{2})e^{-ip_{2}\cdot x} + c^{s_{2}\dagger}_{\vec{p_{2}}}v(\vec{p_{2}}, s_{2})e^{ip_{2}\cdot x}) + (x^{\mu}T^{0\nu} - x^{\nu}T^{0\mu}), \tag{8.4.12}$$

代入,

$$\begin{split} J^{\mu\nu} - \int d^3x \, (x^\mu T^{0\nu} - x^\nu T^{0\mu}) = & \frac{i}{2} \sum_{s_1, s_2 = \pm 1} \int \frac{d^3p}{2\omega_p} \Big( b^{s_1\dagger}_{\vec{p}} u^\dagger(\vec{p}, s_1) \sigma^{\mu\nu} b^{s_2}_{\vec{p}} u(\vec{p}, s_2) \\ & + b^{s_1\dagger}_{\vec{p}} u^\dagger(\vec{p}, s_1) \sigma^{\mu\nu} c^{s_2\dagger}_{-\vec{p}} v(-\vec{p}, s_2) e^{2i\omega_p t} \\ & + c^{s_1}_{\vec{p}} v^\dagger(\vec{p}, s_1) \sigma^{\mu\nu} b^{s_2}_{-\vec{p}} u(-\vec{p}, s_2) e^{-2i\omega_p t} \\ & + c^{s_1}_{\vec{p}} v^\dagger(\vec{p}, s_1) \sigma^{\mu\nu} c^{s_2\dagger}_{\vec{p}} v(\vec{p}, s_2) \Big), \end{split} \tag{8.4.13}$$

其中

$$\begin{cases} u^{\dagger}(\vec{p}, s_{1})\sigma^{ij}u(\vec{p}, s_{2}) = -2i\epsilon^{ijk}m\xi^{s_{1}\dagger}\sigma_{k}\xi^{s_{2}} \\ u^{\dagger}(\vec{p}, s_{1})\sigma^{ij}v(-\vec{p}, s_{2}) = 0 \\ v^{\dagger}(\vec{p}, s_{1})\sigma^{ij}u(-\vec{p}, s_{2}) = 0 \\ v^{\dagger}(\vec{p}, s_{1})\sigma^{ij}v(\vec{p}, s_{2}) = -2i\epsilon^{ijk}m\chi^{s_{1}\dagger}\sigma_{k}\chi^{s_{2}} \end{cases}$$
(8.4.14)

代入 (注意到  $\xi^s = \chi^s$ ),

$$J^{ij} - \int d^3x \left( x^i T^{0j} - x^j T^{0i} \right) = \epsilon^{ijk} \sum_{s_1, s_2 = \pm 1} \int \frac{d^3p}{2\omega_p} m(b_{\vec{p}}^{s_1\dagger} b_{\vec{p}}^{s_2} + c_{\vec{p}}^{s_1} c_{\vec{p}}^{s_2\dagger}) \xi^{s_1\dagger} \sigma_k \xi^{s_2}. \tag{8.4.15}$$

#### 8.5 electric current

• internal vector symmetry 对应的守恒流就是电流, 见 subsection 7.3.1, 有

$$Q = \int d^3x J_V^0 = \sum_{s=\pm 1} \int d^3p \left( b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s - c_{\vec{p}}^{s\dagger} c_{\vec{p}}^s \right) - 2\delta^{(3)}(0) \int d^3p. \tag{8.5.1}$$

#### calculation:

首先,

$$\int d^3x \, J_V^{\mu} = \int d^3x \, \bar{\Psi} \gamma^{\mu} \Psi = \sum_{s_1, s_2 = \pm 1} \int \frac{d^3p}{2\omega_p} \Big( b_{\vec{p}}^{s_1\dagger} b_{\vec{p}}^{s_2} \bar{u}(\vec{p}, s_1) \gamma^{\mu} u(\vec{p}, s_2) + b_{\vec{p}}^{s_1\dagger} c_{-\vec{p}}^{s_2\dagger} \bar{u}(\vec{p}, s_1) \gamma^{\mu} v(-\vec{p}, s_2) e^{2i\omega_p t} + c_{\vec{p}}^{s_1} b_{-\vec{p}}^{s_2} \bar{v}(\vec{p}, s_1) \gamma^{\mu} u(-\vec{p}, s_2) e^{-2i\omega_p t} + c_{\vec{p}}^{s_1} c_{\vec{p}}^{s_2\dagger} \bar{v}(\vec{p}, s_1) \gamma^{\mu} v(\vec{p}, s_2) \Big), \tag{8.5.2}$$

其中

$$\begin{cases}
\bar{u}(\vec{p}, s_1)\gamma^{\mu}u(\vec{p}, s_2) = 2p_{\mu}\delta_{s_1s_2} & (?) \\
\bar{u}(\vec{p}, s_1)\gamma^{0}v(-\vec{p}, s_2) = 0 \\
\bar{u}(\vec{p}, s_1)\gamma^{i}v(-\vec{p}, s_2) = \xi^{s_1\dagger}(2m\sigma^{i})\xi^{s_2} &, \\
\bar{v}(\vec{p}, s_1)\gamma^{\mu}u(-\vec{p}, s_2) = \bar{u}(\vec{p}, s_1)\gamma^{\mu}v(-\vec{p}, s_2) \\
\bar{v}(\vec{p}, s_1)\gamma^{\mu}v(\vec{p}, s_2) = \bar{u}(\vec{p}, s_1)\gamma^{\mu}u(\vec{p}, s_2)
\end{cases} (8.5.3)$$

$$Q = \sum_{s=\pm 1} \int d^3 p \, (b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s + c_{\vec{p}}^s c_{\vec{p}}^{s\dagger}), \tag{8.5.4}$$

$$J^{i} = \int d^{3}x J_{V}^{i} \stackrel{\text{(?)}}{=} \int d^{3}p \left( \sum_{s=\pm 1} -\frac{p^{i}}{\omega_{p}} (b_{\vec{p}}^{s\dagger} b_{\vec{p}}^{s} + c_{\vec{p}}^{s} c_{\vec{p}}^{s\dagger}) \right)$$

$$+ \sum_{s_{1}, s_{2} = \pm 1} (b_{\vec{p}}^{s_{1}\dagger} c_{-\vec{p}}^{s_{2}\dagger} e^{2i\omega_{p}t} + c_{\vec{p}}^{s_{1}} b_{-\vec{p}}^{s_{2}} e^{-2i\omega_{p}t}) \xi^{s_{1}\dagger} (2m\sigma^{i}) \xi^{s_{2}} \right).$$
(8.5.5)

#### 8.6 free propagator

• 参考 scalar field 中的 propagator (见 (4.1.17)), the propagator of the Dirac field is

$$iS(x - y) = \langle 0|T\Psi(x)\bar{\Psi}(y)|0\rangle$$

$$= \int \frac{d^{3}p}{(2\pi)^{3}2\omega_{p}} \Big(\theta(x^{0} - y^{0})(\not p + m)e^{-ip\cdot(x-y)} - \theta(y^{0} - x^{0})(\not p - m)e^{-ip\cdot(y-x)}\Big)$$

$$= \int \frac{d^{4}p}{(2\pi)^{4}} \frac{i}{\not p - m + i\epsilon} e^{-ip\cdot(x-y)},$$
(8.6.1)

其中

$$(T\Psi(x)\bar{\Psi}(y))_{\alpha\beta} = \theta(x^0 - y^0)\Psi_{\alpha}(x)\bar{\Psi}_{\beta}(y) - \theta(y^0 - x^0)\bar{\Psi}_{\beta}(y)\Psi_{\alpha}(x), \tag{8.6.2}$$

注意到这里交换  $\Psi$ ,  $\bar{\Psi}$  是产生湮灭算符层面上的, 不是 spinor 层面上的.

#### calculation:

分别计算  $\langle 0|\Psi_{\alpha}(x)\bar{\Psi}_{\beta}(y)|0\rangle$  和  $\langle 0|\bar{\Psi}_{\beta}(y)\Psi_{\alpha}(x)|0\rangle$ ,

$$\langle 0|\Psi_{\alpha}(x)\bar{\Psi}_{\beta}(y)|0\rangle = \sum_{s=\pm 1} \int \frac{d^{3}p}{(2\pi)^{3}2\omega_{p}} u_{\alpha}(\vec{p},s)\bar{u}_{\beta}(\vec{p},s)e^{-ip\cdot(x-y)}$$

$$= \int \frac{d^{3}p}{(2\pi)^{3}2\omega_{p}} (\not p + m)_{\alpha\beta}e^{-ip\cdot(x-y)}, \tag{8.6.3}$$

$$\langle 0|\bar{\Psi}_{\beta}(y)\Psi_{\alpha}(x)|0\rangle = \sum_{s=\pm 1} \int \frac{d^3p}{(2\pi)^3 2\omega_p} v_{\alpha}(\vec{p}, s) \bar{v}_{\beta}(\vec{p}, s) e^{-ip\cdot(y-x)}, \tag{8.6.4}$$

代入,得到...

把 iS(x) 的第二项中的  $\vec{p}$  变成  $-\vec{p}$ ,

$$iS(x) = \int \frac{d^3p}{(2\pi)^3 2\omega_p} \left( \theta(t)(\omega_p \gamma^0 - p^i \gamma^i + m) e^{-ip \cdot x} - \theta(-t)(\omega_p \gamma^0 + p^i \gamma^i - m) e^{i(\omega_p t + \vec{p} \cdot \vec{x})} \right)$$

$$= \int \frac{d^3p}{(2\pi)^3 2\omega_p} \left( \theta(t)(\omega_p \gamma^0 - p^i \gamma^i + m) e^{-ip \cdot x} + \theta(-t)(\omega_p \mapsto -\omega_p) \right)$$

$$= \int \frac{dp^0}{-2\pi i} \frac{1}{(p^0 - (\omega_p - i\epsilon))(p^0 + (\omega_p - i\epsilon))} \int \frac{d^3p}{(2\pi)^3} (\not p + m) e^{-ip \cdot x} = \cdots, \qquad (8.6.5)$$

最后,

$$\frac{\not p+m}{p^2-m^2+i\epsilon}(\not p-m+i\epsilon)=I. \tag{8.6.6}$$

### Chapter 9

# spin-statistics connection

- **spin-statistics theorem:** 在 3 维空间中, 具有整数自旋的粒子遵守 Bose-Einstein statistics, 具有半整数自旋的粒子遵守 Fermi-Dirac statistics.
- 本 chapter 不对此做出证明, 只是举例说明不能满足 spin-statistics theorem 会导致什么样的后果.

#### 9.1 the price of perversity

#### 9.1.1 scalar field

• 如果 scalar field 满足 anticommutation relation, 那么

$$\{\phi(\vec{x},t),\phi(\vec{y},t)\} = \int \frac{d^D k}{(2\pi)^D \omega_k} \cos(\vec{k} \cdot (\vec{x} - \vec{y})) \neq 0,$$
 (9.1.1)

违反狭义相对论.

#### calculation:

代入 (4.1.11),

$$\{\phi(\vec{x},t),\phi(\vec{y},t)\} = \int \frac{d^D k}{(2\pi)^D 2\omega_k} (e^{i\vec{k}\cdot(\vec{x}-\vec{y})} + e^{-i\vec{k}\cdot(\vec{x}-\vec{y})}) = \cdots$$
(9.1.2)

#### 9.1.2 Dirac field

• 如果 Dirac field 满足 commutation relation, 那么

$$[\Psi(\vec{x},t), \Psi^{\dagger}(\vec{y},t)] = \int \frac{d^3p}{(2\pi)^3 \omega_p} (i \not p \gamma^0 \sin(\vec{p} \cdot (\vec{x} - \vec{y})) + m\gamma^0 \cos(\vec{p} \cdot (\vec{x} - \vec{y}))), \tag{9.1.3}$$

考虑可观测量  $J_V^0 = \Psi^{\dagger} \Psi$  (其中  $x = (\vec{x}, t), y = (\vec{y}, t)$ ),

$$[J_V^0(x), J_V^0(y)] = \Psi_\alpha^{\dagger}(x)[\Psi_\alpha(x), \Psi_\beta^{\dagger}(y)]\Psi_\beta(y) - \Psi_\beta^{\dagger}(y)[\Psi_\beta(y), \Psi_\alpha^{\dagger}(x)]\Psi_\alpha(x). \tag{9.1.4}$$

#### calculation:

代入 (8.3.1),

$$\begin{split} [\Psi(\vec{x},t),\Psi^{\dagger}(\vec{y},t)] &= \sum_{s=\pm 1} \int \frac{d^{3}p}{(2\pi)^{3}2\omega_{p}} (u(\vec{p},s)u^{\dagger}(\vec{p},s)e^{i\vec{p}\cdot(\vec{x}-\vec{y})} - v(\vec{p},s)v^{\dagger}(\vec{p},s)e^{-i\vec{p}\cdot(\vec{x}-\vec{y})}) \\ &= \int \frac{d^{3}p}{(2\pi)^{3}2\omega_{p}} ((\not p+m)\gamma^{0}e^{i\vec{p}\cdot(\vec{x}-\vec{y})} - (\not p-m)\gamma^{0}e^{-i\vec{p}\cdot(\vec{x}-\vec{y})}) \\ &= \int \frac{d^{3}p}{(2\pi)^{3}2\omega_{p}} (2i\not p\gamma^{0}\sin(\vec{p}\cdot(\vec{x}-\vec{y})) + 2m\gamma^{0}\cos(\vec{p}\cdot(\vec{x}-\vec{y}))). \end{split}$$
(9.1.5)

然后,

$$\begin{split} [J_{V}^{0}(x),J_{V}^{0}(y)] = & \Psi_{\alpha}^{\dagger}(x)[\Psi_{\alpha}(x),\Psi_{\beta}^{\dagger}(y)]\Psi_{\beta}(y) - \Psi_{\beta}^{\dagger}(y)[\Psi_{\beta}(y),\Psi_{\alpha}^{\dagger}(x)]\Psi_{\alpha}(x) \\ = & \sum_{s_{1},s_{2}=\pm 1} \int \frac{d^{3}p_{1}d^{3}p_{2}d^{3}q}{(2\pi)^{6}\sqrt{4\omega_{p_{1}}\omega_{p_{2}}\omega_{q}}} (b_{\vec{p}_{1}}^{s_{1}\dagger}u^{\dagger}(\vec{p}_{1},s_{1})e^{ip_{1}\cdot x} + c_{\vec{p}_{1}}^{s_{1}}v^{\dagger}(\vec{p}_{1},s_{1})e^{-ip_{1}\cdot x}) \\ & (i\not{q}\gamma^{0}\sin(\vec{q}\cdot(\vec{x}-\vec{y})) + m\gamma^{0}\cos(\vec{q}\cdot(\vec{x}-\vec{y}))) \\ & (b_{\vec{p}_{2}}^{s_{2}}u(\vec{p}_{2},s_{2})e^{-ip_{2}\cdot y} + c_{\vec{p}_{2}}^{s_{2}}v(\vec{p}_{2},s_{2})e^{ip_{2}\cdot y}) - (x\leftrightarrow y), \end{split} \tag{9.1.6}$$

注意到  $p_1 \neq p_2$ , 这种情况怎么算 (?).

# Chapter 10

# Grassmann path integrals and Feynman diagrams for Fermions

• Grassmann number 和 Gaussian-Berezin integrals 见 section B.2.

#### 10.1 Grassmann path integral

• Dirac field 的 partition function 为

$$Z(\eta, \bar{\eta}) = \int D\Psi D\bar{\Psi} e^{i \int d^4 x \, (\bar{\Psi}(i\not\!\!\!/ - m + i\epsilon)\Psi + \bar{\eta}\Psi + \bar{\Psi}\eta)}$$

$$= e^{iE_0 T} e^{-i \int \frac{d^4 p}{(2\pi)^4} \tilde{\bar{\eta}}(-p) \frac{1}{\not\!\!\!/ - m + i\epsilon} \tilde{\eta}(p)}, \qquad (10.1.1)$$

其中 vacuum energy 为

$$E_0 = -4V \int \frac{d^3p}{(2\pi)^3} \frac{1}{2} \omega_p + \text{irrelevant terms.}$$
 (10.1.2)

#### calculation:

代入 (B.2.13),

$$Z(\eta, \bar{\eta}) = \det(\underbrace{i(i\partial \!\!\!/ - m + i\epsilon)}_{=iA}) e^{-i^2(-i)\bar{\eta}A^{-1}\eta}, \tag{10.1.3}$$

其中

$$\begin{cases}
\det(i(i\partial - m + i\epsilon)) = \det(i\underbrace{\gamma^5(i\partial - m + i\epsilon)\gamma^5}) \\
= (-i\partial - m + i\epsilon) \\
(i\partial - m + i\epsilon)(-i\partial - m + i\epsilon) = (\partial^2 + m^2 - i\epsilon)I_{4\times 4}
\end{cases}$$

$$\implies \det(i(i\partial - m + i\epsilon)) = \sqrt{\det((-\partial^2 - m^2 + i\epsilon)I_{4\times 4})} = e^{iE_0T}, \tag{10.1.4}$$

注意到  $I_{4\times4}$  会带来一个 4 次方的系数.

对于指数项,考虑

$$(i\partial \!\!\!/ - m + i\epsilon)\Psi(x) = \int d^4y \, A(x - y)\Psi(y), \qquad (10.1.5)$$

其中

$$A(x-y) = \int \frac{d^4p}{(2\pi)^4} (\not p - m + i\epsilon) e^{-ip \cdot (x-y)}$$

$$\Longrightarrow A^{-1}(x-y) = S(x-y), \tag{10.1.6}$$

其中 S(x-y) 是传播子, 见 (8.6.1), 所以指数项为

$$e^{-i\bar{\eta}A^{-1}\eta} = e^{-i\int d^4x d^4y \,\bar{\eta}(x)S(x-y)\eta(y)} = \cdots$$
 (10.1.7)

#### 10.2 Feynman rules for Yukawa interaction

• 考虑如下 Lagrangian,

$$\mathcal{L} = \bar{\Psi}(i\partial \!\!\!/ - m)\Psi + \frac{1}{2}((\partial \phi)^2 - \mu^2 \phi^2) - \frac{\lambda}{4!}\phi^4 + g\bar{\Psi}\phi\Psi, \tag{10.2.1}$$

对应如下 partition function,

$$\begin{split} \frac{Z(\bar{\eta},\eta,J;\lambda,g)}{Z(0;0)} = & e^{i\int d^4x \, (-\frac{\lambda}{4!}(\frac{\delta}{\delta iJ(x)})^4 + g \frac{\delta}{\delta i\eta_{\alpha}(x)} \frac{\delta}{\delta iJ(x)} \frac{\delta}{\delta i\bar{\eta}_{\alpha}(x)})} e^{-\frac{i}{2}JDJ - i\bar{\eta}_{\alpha}S_{\alpha\beta}\eta_{\beta}}, \quad \text{Schwinger's way}, \\ = & \sum_{l,m,n=0}^{\infty} \frac{i^{l+m+n}}{l!m!n!} (-1)^{\frac{m(m-1)+n(n-1)}{2}} \int d^4x_1 \cdots d^4x_l d^4y_1 \cdots d^4y_m d^4z_1 \cdots d^4z_n \\ & J(x_1) \cdots \bar{\eta}_{\alpha_1}(y_1) \cdots G_{\alpha_1 \cdots \beta_1 \cdots}^{(l,m,n)}(x_1,\cdots,z_n) \eta_{\beta_1}(z_1) \cdots, \quad \text{Weyl's way}, \end{split}$$

其中

$$G_{\alpha_1\cdots\alpha_1\cdots\alpha_1}^{(l,m,n)}(x_1,\cdots,z_n) = e^{i\int d^4x \,\mathcal{L}(\lambda,g)}\phi(x_1)\cdots\Psi_{\alpha_1}(y_1)\cdots\bar{\Psi}_{\beta_1}(z_1)\cdots$$
(10.2.3)

• 下面给出一些 Feynman diagrams 作为例子, 先用正则量子化方法计算, 首先,

$$p = \rho^{2}(p_{1})\delta^{(3)}(\vec{p}_{1} - \vec{p}_{2})\delta_{s_{1}s_{2}},$$

$$= \rho(p_{1})\rho(p_{2})\rho(k)(-ig) \int d^{4}x \ \langle 0|b_{\vec{p}_{2}}^{s_{2}}a_{\vec{k}}(\bar{\Psi}(x)\phi(x)\bar{\Psi}(x))b_{\vec{p}_{1}}^{s_{1}\dagger}|0\rangle$$

$$= (-ig) \int d^{4}x \ e^{-i(p_{1}-p_{2}-k)\cdot x}\bar{u}(\vec{p}_{2},s_{2})u(\vec{p}_{1},s_{1}),$$

$$(10.2.4)$$

再算一个复杂一点的例子,

$$p_{3} = (-ig)^{2} (2\pi)^{4} \delta^{(4)}(p_{1} - p_{2})$$

$$\bar{u}_{\alpha}(\vec{p}_{2}, s_{2}) \left( \int \frac{d^{4}p_{3}}{(2\pi)^{4}} \frac{i}{\not p_{3} - m + i\epsilon} \frac{i}{(p_{2} - p_{3})^{2} - \mu^{2} + i\epsilon} \right)_{\alpha\beta} u_{\beta}(\vec{p}_{1}, s_{1}). \tag{10.2.6}$$

# 

$$e^{i(p_{2}\cdot x_{1}-p_{1}\cdot x_{2})}\bar{u}_{\alpha}(\vec{p}_{2},s_{2})\left(\int \frac{d^{4}p_{3}}{(2\pi)^{4}} \frac{ie^{-ip_{3}\cdot(x_{1}-x_{2})}}{\not p_{3}-m+i\epsilon}\right)_{\alpha\beta} u_{\beta}(\vec{p}_{1},s_{1}) \int \frac{d^{4}k}{(2\pi)^{4}} \frac{ie^{-ik\cdot(x_{1}-x_{2})}}{k^{2}-\mu^{2}+i\epsilon}$$

$$=(-ig)^{2}(2\pi)^{4}\delta^{(4)}(p_{1}-p_{2})$$

$$\bar{u}_{\alpha}(\vec{p}_{2},s_{2})\left(\int \frac{d^{4}p_{3}}{(2\pi)^{4}} \frac{i}{\not p_{3}-m+i\epsilon} \frac{i}{(p_{2}-p_{3})^{2}-\mu^{2}+i\epsilon}\right)_{\alpha\beta} u_{\beta}(\vec{p}_{1},s_{1}). \tag{10.2.7}$$

• 对于 Weyl's way, 首先,

$$=ig(2\pi)^{4}\delta^{(4)}(p_{1}+p_{2}+k)\left(\frac{i}{p_{1}-m+i\epsilon}\frac{i}{-p_{2}-m+i\epsilon}\right)_{\alpha\beta}\frac{i}{k^{2}-\mu^{2}+i\epsilon},$$
 (10.2.9)

calculation:

$$p = \int d^{4}x d^{4}y \, e^{ip_{1} \cdot x + ip_{2} \cdot y} \langle \overline{\Psi_{\alpha}(x)} \overline{\Psi}_{\beta}(y) \rangle \\
= \int d^{4}x d^{4}y \, e^{ip_{1} \cdot x + ip_{2} \cdot y} (-i)^{2} (-iS_{\alpha\beta}(x - y)) = \cdots, \qquad (10.2.10)$$

$$= \int d^{4}x_{1} d^{4}x_{2} d^{4}y \, e^{ip_{1} \cdot x_{1} + ip_{2} \cdot x_{2} + ik \cdot y} \\
= \int d^{4}z \, \langle (ig \overline{\Psi}_{\gamma}(z) \phi(z) \Psi_{\gamma}(z)) \phi(y) \Psi_{\alpha}(x_{1}) \overline{\Psi}_{\beta}(x_{2}) \rangle \\
= ig \int d^{4}x_{1} d^{4}x_{2} d^{4}y \, e^{ip_{1} \cdot x_{1} + ip_{2} \cdot x_{2} + ik \cdot y} \\
\int d^{4}z (-(-i)^{2}iS_{\alpha\gamma}(x_{1} - z)) (-(-i)^{2}iS_{\gamma\beta}(z - x_{2})) (-(-i)^{2}iD(z - y)) \\
= ig \int d^{4}z \left( \frac{ie^{ip_{1} \cdot z}}{p_{1} - m + i\epsilon} - \frac{ie^{ip_{2} \cdot z}}{p_{2} - m + i\epsilon} \right) \frac{ie^{ik \cdot z}}{\alpha\beta} = \cdots \qquad (10.2.11)$$

再算 (10.2.6),

$$p_{3} = (ig)^{2} (2\pi)^{4} \delta^{(4)}(p_{1} + p_{2})$$

$$\frac{i}{\not p_{1} - m + i\epsilon} \int \frac{d^{4}p_{3}}{(2\pi)^{4}} \left( \frac{i}{\not p_{3} - m + i\epsilon} \frac{i}{(p_{1} - p_{3})^{2} - \mu^{2} + i\epsilon} \right) \frac{i}{\not p_{2} - m + i\epsilon}. \quad (10.2.12)$$

#### calculation:

$$= \frac{(ig)^{2}}{2!} \int d^{4}x_{1} d^{4}x_{2} d^{4}y_{1} d^{4}y_{2} e^{ip_{1} \cdot x_{1} + ip_{2} \cdot x_{2}} \left( \langle (\bar{\Psi}(y_{1})\phi(y_{1})\bar{\Psi}(y_{2})\phi(y_{2})\bar{\Psi}(y_{2})\bar{\Psi}(y_{2}))\bar{\Psi}_{1}\bar{\Psi}_{2} \rangle \right.$$

$$+ (y_{1} \leftrightarrow y_{2}) \left. \right)$$

$$= (ig)^{2} \int d^{4}x_{1} d^{4}x_{2} d^{4}y_{1} d^{4}y_{2} e^{ip_{1} \cdot x_{1} + ip_{2} \cdot x_{2}} iS(x_{1} - y_{1}) iS(y_{1} - y_{2}) iS(y_{2} - x_{2}) iD(y_{1} - y_{2})$$

$$= (ig)^{2} (2\pi)^{4} \delta^{(4)}(p_{1} + p_{2})$$

$$= \frac{i}{\not p_{1} - m + i\epsilon} \int \frac{d^{4}p_{3}}{(2\pi)^{4}} \left( \frac{i}{\not p_{3} - m + i\epsilon} \frac{i}{(p_{1} - p_{3})^{2} - \mu^{2} + i\epsilon} \right) \frac{i}{\not p_{2} - m + i\epsilon}.$$

$$(10.2.13)$$

# Part III quantum electrodynamics

# Chapter 11

# Maxwell's equations

#### 11.1 the $(1,0) \oplus (0,1)$ representation of the Lorentz algebra

• 反对称张量  $F_{[\mu\nu]}$  是  $(1,0)\oplus(0,1)$  rep. 中的向量, 详见笔记 Lie Groups and Lie Algebras.

#### 11.2 Maxwell's equations

• 电磁场的 Lagrangian 为 (现实中  $\mu = 0$ )

$$\mathcal{L} = -\frac{1}{4}F^{\mu\nu}F_{\mu\nu} + \frac{1}{2}\mu^2 A^{\mu}A_{\mu}, \quad \text{with} \quad F_{\mu\nu} = 2\partial_{[\mu}A_{\nu]}, \tag{11.2.1}$$

其中  $A_{\mu} = (\phi, -\vec{A})$ , 对作用量变分得到运动方程 (Proca equation),

$$\partial^{\nu} F_{\nu\mu} + \mu^2 A_{\mu} = 0. \tag{11.2.2}$$

#### calculation:

场方程还可以写成

$$\left(-\partial^{\mu}\partial^{\nu} + \eta^{\mu\nu}(\partial^{2} + \mu^{2})\right)A_{\nu} = 0 \iff (k^{2} - \mu^{2})\tilde{A}_{\mu}(k) = k_{\mu}k^{\nu}\tilde{A}_{\nu}(k)$$
(11.2.3)

和

$$\begin{cases}
-\nabla^2 A_0 - \nabla \cdot \frac{\partial \vec{A}}{\partial t} + \mu^2 A_0 = 0 \\
\frac{\partial}{\partial t} \left( -\nabla A_0 - \frac{\partial \vec{A}}{\partial t} \right) + \left( \underbrace{\nabla^2 \vec{A} - \nabla (\nabla \cdot \vec{A})}_{= -\nabla \times (\nabla \times \vec{A})} \right) - \mu^2 \vec{A} = 0
\end{cases}$$
(11.2.4)

— 如果引入 Lorentz gauge condition (如 (2.1.12) 所示, 在  $\mu \neq 0$  时必然成立),

field eq. (11.2.2) 
$$\iff$$
  $\begin{cases} (\partial^2 + \mu^2) A_{\mu} = 0 \\ \partial^{\mu} A_{\mu} = 0 \end{cases}$  (11.2.5)

• 此外,  $F_{\mu\nu}$  满足 Bianchi identity,

$$\nabla_{\rho} F_{\mu\nu} + \nabla_{\nu} F_{\rho\mu} + \nabla_{\mu} F_{\nu\rho} = 0. \tag{11.2.6}$$

#### calculation:

代入定义式,

$$= \underbrace{\left(R_{\rho\mu\nu}^{\phantom{\rho}\sigma} + R_{\nu\rho\mu}^{\phantom{\nu}\sigma} + R_{\mu\nu\rho}^{\phantom{\mu}\sigma}\right)}_{=0} A_{\sigma}. \tag{11.2.7}$$

• 令

$$F_{\mu\nu} = \begin{pmatrix} 0 & E_1 & E_2 & E_3 \\ -E_1 & 0 & -B_3 & B_2 \\ -E_2 & B_3 & 0 & -B_1 \\ -E_3 & -B_2 & B_1 & 0 \end{pmatrix} \iff \begin{cases} F_{0i} = \vec{E} = -\nabla\phi - \frac{\partial\vec{A}}{\partial t} \\ -\frac{1}{2}\epsilon^{ijk}F_{jk} = \vec{B} = \nabla \times \vec{A} \end{cases}, \tag{11.2.8}$$

代入场方程 (11.2.2),

$$\begin{cases} \nabla \cdot \vec{E} + \mu^2 \phi = 0 \\ -\frac{\partial \vec{E}}{\partial t} + \nabla \times \vec{B} + \mu^2 \vec{A} = 0 \end{cases}, \tag{11.2.9}$$

代入 Bianchi identity (11.2.6),

$$\begin{cases} \nabla \cdot \vec{B} = 0 & \rho, \mu, \nu = 1, 2, 3 \\ \nabla \times \vec{E} - \frac{\partial \vec{B}}{\partial t} = 0 & \rho, \mu, \nu = 0, i, j \end{cases}$$
 (11.2.10)

• 最后, 电磁场的能动量张量见 subsection D.4.1.

#### 11.2.1 gauge symmetry (gauge redundancy)

- A<sub>μ</sub> 有 4 个分量, 但光子只有 2 个自由度 (偏振态).
- 首先, 考虑  $\tilde{A}_{\mu}$  的场方程, 对于指标  $\mu=0$  有 (计算过程见 subsection A.2.1)

$$\tilde{A}_0 = \frac{k^0}{\omega_k^2} \vec{k} \cdot \vec{\tilde{A}} \Longrightarrow A_0(t, \vec{x}) = \int d^3 y \, \frac{e^{-\mu|\vec{x} - \vec{y}|}}{4\pi |\vec{x} - \vec{y}|} \nabla_y \cdot \frac{\partial \vec{A}(t, \vec{y})}{\partial t}, \tag{11.2.11}$$

这是一个约束条件, 将此式代入剩余的场方程, 得到

$$(k^2 - \mu^2)\vec{A} = (k^2 - \mu^2)\frac{\vec{k}(\vec{k} \cdot \vec{A})}{\omega_k^2},$$
(11.2.12)

因此:

- 当  $\mu = 0$  时,

$$\begin{cases} \text{on shell:} & \vec{\tilde{A}} \text{ } \text{ } \text{ } \text{ } \tilde{\text{}} \tilde{\text{}} \text{ } \tilde{\text{}} \tilde{\text{}} \text{ } \tilde{\text{}} \text{ } \tilde{\text{}} \tilde{\text{}} \text{ } \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \text{ } \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \text{ } \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}} \tilde{\text{}}} \tilde{\text{}}$$

其中  $\vec{\mathcal{A}}(k)$ ,  $\tilde{\lambda}(k) = \frac{|\vec{\hat{A}}|}{|\vec{k}|}$  是任意函数, 且  $\mathrm{sign}(k^0)|\vec{k}|\tilde{\mathcal{A}}_0 - \vec{k} \cdot \vec{\hat{\mathcal{A}}} = 0$ . 因此

$$A_{\mu}(x) = \partial_{\mu}\lambda(x) + \int \frac{d^{3}k}{(2\pi)^{3}2|\vec{k}|} \Big( \tilde{\mathcal{A}}_{\mu}(|\vec{k}|,\vec{k})e^{-i(|\vec{k}|x^{0} - \vec{k}\cdot\vec{x})} + \tilde{\mathcal{A}}_{\mu}^{*}(|\vec{k}|,\vec{k})e^{i(|\vec{k}|x^{0} - \vec{k}\cdot\vec{x})} \Big),$$
(11.2.14)

第二项是 on shell 平面波解的叠加, 并且振幅满足  $k^{\mu}\tilde{\mathcal{A}}_{\mu}(|\vec{k}|,\vec{k})=0$ .

- 当  $\mu \neq 0$  时,

$$\begin{cases} \text{on shell: } \vec{\tilde{A}} \text{ $\mathbb{R}$ fifthful fitting for shell: } \vec{\tilde{A}} = 0 \Longrightarrow \vec{\tilde{A}} = \tilde{A}_0 = 0 \end{cases} \Longrightarrow \tilde{A}_{\mu}(k) = \tilde{\mathcal{A}}_{\mu}(k) 2\pi \delta(k^2 - \mu^2). \tag{11.2.15}$$

其中  $\vec{\tilde{A}}(k)$  是任意函数, 且  $\mathrm{sign}(k^0)\omega_k\tilde{A}_0-\vec{k}\cdot\vec{\tilde{A}}=0$ . 因此

$$A_{\mu}(x) = \int \frac{d^{3}k}{(2\pi)^{3}2\omega_{k}} \left( \tilde{\mathcal{A}}_{\mu}(\omega_{k}, \vec{k})e^{-i(\omega_{k}x^{0} - \vec{k}\cdot\vec{x})} + \tilde{\mathcal{A}}_{\mu}^{*}(\omega_{k}, \vec{k})e^{i(\omega_{k}x^{0} - \vec{k}\cdot\vec{x})} \right). \tag{11.2.16}$$

#### calculation:

对 (11.2.12) 两边同时内积  $\vec{k}$ , 有

$$(k^2 - \mu^2)(\vec{k} \cdot \vec{A}) = 0 \quad \text{or} \quad \omega_k = |\vec{k}|,$$
 (11.2.17)

因此  $\mu=0$  时 (11.2.12) 自然成立, 而 massive 情况下  $\tilde{A}_0=\vec{k}\cdot\vec{\tilde{A}}=0$  除非 on shell.

- 除此之外还需要一个约束条件 (接下来默认  $\mu = 0$ ).
- 考虑 (11.2.14), 注意到给定初始条件  $A_{\mu}(t_0,\vec{x}), \partial_{\nu}A_{\mu}(t_0,\vec{x})$  无法唯一确定参数  $\lambda(x), \tilde{A}_{\mu}(|\vec{k}|,\vec{k})$

#### calculation:

对  $A_{\mu}$  做平面波分解,

$$\begin{cases} \int d^3x \, e^{-i\vec{p}\cdot\vec{x}} A_0(x) = \frac{1}{2|\vec{p}|} \left( e^{-i|\vec{p}|x^0} \tilde{\mathcal{A}}_0(|\vec{p}|,\vec{p}) + e^{i|\vec{p}|x^0} \tilde{\mathcal{A}}_0(-|\vec{p}|,\vec{p}) \right) + \int d^3x \, e^{-i\vec{p}\cdot\vec{x}} \frac{\partial \lambda}{\partial t} \\ \int d^3x \, e^{-i\vec{p}\cdot\vec{x}} \vec{\mathcal{A}}(x) = \frac{1}{2|\vec{p}|} \left( e^{-i|\vec{p}|x^0} \tilde{\mathcal{A}}(|\vec{p}|,\vec{p}) + e^{i|\vec{p}|x^0} \tilde{\mathcal{A}}(-|\vec{p}|,\vec{p}) \right) + i\vec{p} \int d^3x \, e^{-i\vec{p}\cdot\vec{x}} \lambda \end{cases}, \quad (11.2.18)$$

对  $\partial_{\nu}A_{\mu}$  做平面波分解

$$\begin{cases}
\frac{\partial A_0(x)}{\partial t} \mapsto \frac{-i}{2} \left( e^{-i|\vec{p}|x^0} \tilde{\mathcal{A}}_0(|\vec{p}|, \vec{p}) - e^{i|\vec{p}|x^0} \tilde{\mathcal{A}}_0(-|\vec{p}|, \vec{p}) \right) + \int d^3x \, e^{-i\vec{p}\cdot\vec{x}} \frac{\partial^2 \lambda}{\partial t^2} \\
\frac{\partial \vec{A}(x)}{\partial t} \mapsto \frac{-i}{2} \left( e^{-i|\vec{p}|x^0} \tilde{\mathcal{A}}(|\vec{p}|, \vec{p}) - e^{i|\vec{p}|x^0} \tilde{\mathcal{A}}(-|\vec{p}|, \vec{p}) \right) - i\vec{p} \int d^3x \, e^{-i\vec{p}\cdot\vec{x}} \frac{\partial \lambda}{\partial t} , \qquad (11.2.19)
\end{cases}$$

可见, 由于  $\lambda$  的存在, 我们无法唯一确定参数  $\tilde{A}_{u}$ .

也就是说, 给定初始条件, 我们可以求解  $A_{\mu}(x)$  up to a function  $\partial_{\mu}\lambda$ .

- gauge redundancy: 将  $A_{\mu}$  和  $A_{\mu} + \partial_{\mu}\lambda$  认为是同一个物理态.
- Lorentz gauge 是

$$\partial_{\mu}A^{\mu} = 0, \tag{11.2.20}$$

注意到方程  $\partial^2 \lambda = -f$  总是有解, 它的 Green's function 是

$$G^{(\pm)}(x) = \frac{1}{4\pi |\vec{x}|} \delta(x^0 \mp |\vec{x}|). \tag{11.2.21}$$

- Lorentz gauge 下,  $A_{\mu}$  的通解是

$$A_{\mu}(x) = \int \frac{d^{3}k}{(2\pi)^{3}2|\vec{k}|} \left( \tilde{\mathcal{A}}_{\mu}(|\vec{k}|,\vec{k})e^{-i(|\vec{k}|x^{0}-\vec{k}\cdot\vec{x})} + \tilde{\mathcal{A}}_{\mu}^{*}(|\vec{k}|,\vec{k})e^{i(|\vec{k}|x^{0}-\vec{k}\cdot\vec{x})} \right). \tag{11.2.22}$$

• Coulomb gauge 是

$$\nabla \cdot \vec{A} = 0, \tag{11.2.23}$$

注意到方程  $\nabla^2 \lambda = -f$  总是有解. 且根据 (11.2.11) 可知  $A_0(x) = 0$ .

- Coulomb gauge  $\overline{\Gamma}$ ,  $A_{\mu}$  的通解是

$$\vec{A}(x) = \int \frac{d^3k}{(2\pi)^3 2|\vec{k}|} \left( \vec{\tilde{A}}_{\perp}(|\vec{k}|, \vec{k}) e^{-i(|\vec{k}|x^0 - \vec{k} \cdot \vec{x})} + \vec{\tilde{A}}_{\perp}^*(|\vec{k}|, \vec{k}) e^{i(|\vec{k}|x^0 - \vec{k} \cdot \vec{x})} \right), \tag{11.2.24}$$

其中  $\vec{\tilde{A}}_{\perp} = \vec{\tilde{A}} - \hat{e}_k \hat{e}_k \cdot \vec{\tilde{A}}$ .

# Chapter 12

# the quantization of the electromagnetic field

#### 12.1 massive

• 场的广义动量为

$$\pi^{0} = 0, \quad \vec{\pi} = \vec{E} = i \int \frac{d^{3}k}{(2\pi)^{3} 2\omega_{k}} \omega_{k} \left(1 - \frac{\vec{k}\vec{k}}{\omega_{k}^{2}}\right) \cdot \left(\vec{\tilde{\mathcal{A}}}(k) e^{-i(\omega_{k}x^{0} - \vec{k}\cdot\vec{x})} - \vec{\tilde{\mathcal{A}}}^{*}(k) e^{i(\omega_{k}x^{0} - \vec{k}\cdot\vec{x})}\right). \tag{12.1.1}$$

• 场算符是

$$A_{\mu}(x) = \int \frac{d^3k}{(2\pi)^{3/2} \sqrt{2\omega_k}} \sum_{i=1}^3 \left( \epsilon_{\mu}^{(i)}(\vec{k}) a_{\vec{k}}^{(i)} e^{-i(\omega_k x^0 - \vec{k} \cdot \vec{x})} + \epsilon_{\mu}^{(i)*}(\vec{k}) a_{\vec{k}}^{(i)\dagger} e^{i(\omega_k x^0 - \vec{k} \cdot \vec{x})} \right), \tag{12.1.2}$$

其中  $k^{\mu}\epsilon_{\mu}^{(i)}(\vec{k}) = 0$ , 并满足归一化条件,

$$\begin{cases} \epsilon_{\mu}^{(i)*}(\vec{k})\epsilon^{(j)\mu}(\vec{k}) = -\delta_{ij} \\ \sum_{i=1}^{3} \epsilon_{\mu}^{(i)*}(\vec{k})\epsilon_{\nu}^{(i)}(\vec{k}) = -\eta_{\mu\nu} + \frac{k_{\mu}k_{\nu}}{\mu^{2}} \end{cases}$$
(12.1.3)

- 对于  $k^{\mu}=(\omega_k,0,0,k)^T,\,\epsilon_{\mu}^{(i)}(\vec{k})$  的具体形式为

$$\epsilon_{\mu}^{(1)}(\vec{k}) = (0, 1, 0, 0), \quad \epsilon_{\mu}^{(2)}(\vec{k}) = (0, 0, 1, 0), \quad \epsilon_{\mu}^{(3)}(\vec{k}) = (-\frac{k}{\mu}, 0, 0, \frac{\omega_k}{\mu}). \tag{12.1.4}$$

- 产生湮灭算符满足

$$[a_{\vec{k}_1}^{(i)}, a_{\vec{k}_2}^{(j)\dagger}] = \delta_{ij}\delta^{(3)}(\vec{k}_1 - \vec{k}_2). \tag{12.1.5}$$

正则对易关系为

$$\begin{cases}
[\vec{\pi}(t,\vec{x}), A_0(t,\vec{y})] = 0 \\
[\pi_i(t,\vec{x}), A_j(t,\vec{y})] = i \int \frac{d^3k}{(2\pi)^3} \left( -\eta_{ij} + \frac{k_i k_j}{\mu^2} \right) e^{i\vec{k}(\vec{x} - \vec{y})} = i \left( \delta_{ij} - \frac{\partial_i \partial_j}{\mu^2} \right) \delta^{(3)}(\vec{x} - \vec{y}) \end{cases}$$
(12.1.6)

#### calculation:

对易关系 (下式中所有动量都 on shell)

$$\begin{aligned} &[\pi^{i}(t,\vec{x}),A_{\mu}(t,\vec{y})] \\ &= i \int \frac{d^{3}k_{1}}{(2\pi)^{3}2\omega_{k_{1}}} \omega_{k_{1}} \left(\eta^{ij} + \frac{k_{1}^{i}k_{1}^{j}}{\omega_{k_{1}}^{2}}\right) \sum_{k=1}^{3} \left(\epsilon_{j}^{(k)}(\vec{k}_{1})\epsilon_{\mu}^{(k)*}(\vec{k}_{1})e^{-ik_{1}\cdot(x-y)} + \epsilon_{j}^{(k)*}(\vec{k}_{1})\epsilon_{\mu}^{(k)}(\vec{k}_{1})e^{ik_{1}\cdot(x-y)}\right) \\ &= i \int \frac{d^{3}k_{1}}{(2\pi)^{3}2\omega_{k_{1}}} \omega_{k_{1}} \left(-\delta_{\mu}^{i} + \frac{k_{1}^{i}k_{1\mu}}{\mu^{2}}\right) \left(e^{-ik_{1}\cdot(x-y)} + e^{ik_{1}\cdot(x-y)}\right), \end{aligned}$$
(12.1.7)

如果指标  $\mu = 0$ ,被积函数是奇函数,结果为零,所以...

• 传播子为

$$iD_{\mu\nu}(x-y) \equiv \langle 0|T(A_{\mu}(x)A_{\nu}(y))|0\rangle = \int \frac{d^4k}{(2\pi)^4} \frac{i}{k^2 - \mu^2 + i\epsilon} \left(-\eta_{\mu\nu} + \frac{k_{\mu}k_{\nu}}{\mu^2}\right) e^{-ik\cdot(x-y)}.$$
 (12.1.8)

#### calculation:

考虑

$$\langle 0|A_{\mu}(x)A_{\nu}(y)|0\rangle = \int \frac{d^{3}k_{1}}{(2\pi)^{3}2\omega_{k_{1}}} \sum_{i=1}^{3} \epsilon_{\mu}^{(i)}(\vec{k}_{1})\epsilon_{\nu}^{(i)*}(\vec{k}_{1})e^{-ik_{1}\cdot(x-y)}$$

$$= \int \frac{d^{3}k}{(2\pi)^{3}2\omega_{k}} \left(-\eta_{\mu\nu} + \frac{k_{\mu}k_{\nu}}{\mu^{2}}\right)e^{-ik\cdot(x-y)}, \qquad (12.1.9)$$

因此,

$$iD_{\mu\nu}(x) = \int \frac{d^3k}{(2\pi)^3 2\omega_k} \left( -\eta_{\mu\nu} + \frac{k_{\mu}k_{\nu}}{\mu^2} \right) \left( \theta(t)e^{-i(\omega_k t - \vec{k}\cdot\vec{x})} + \theta(-t)e^{i(\omega_k t - \vec{k}\cdot\vec{x})} \right), \tag{12.1.10}$$

对于第二项.

$$I_{\mu\nu}(x) = \int \frac{d^{3}k}{(2\pi)^{3}2\omega_{k}} \left(-\eta_{\mu\nu} + \frac{k_{\mu}k_{\nu}}{\mu^{2}}\right) \theta(-t)e^{i(\omega_{k}t - \vec{k} \cdot \vec{x})}$$

$$\Longrightarrow \begin{cases} I_{00}(x) = \int \frac{d^{3}k}{(2\pi)^{3}2\omega_{k}} \left(-\eta_{00} + \frac{\omega_{k}\omega_{k}}{\mu^{2}}\right) \theta(-t)e^{i(\omega_{k}t + \vec{k} \cdot \vec{x})} \\ I_{0i}(x) = \int \frac{d^{3}k}{(2\pi)^{3}2\omega_{k}} \left(-\eta_{0i} + \frac{\omega_{k}(-k_{i})}{\mu^{2}}\right) \theta(-t)e^{i(\omega_{k}t + \vec{k} \cdot \vec{x})} \\ I_{ij}(x) = \int \frac{d^{3}k}{(2\pi)^{3}2\omega_{k}} \left(-\eta_{ij} + \frac{(-k_{i})(-k_{j})}{\mu^{2}}\right) \theta(-t)e^{i(\omega_{k}t + \vec{k} \cdot \vec{x})} \end{cases}$$

$$\Longrightarrow I_{\mu\nu}(x) = \int \frac{d^{3}k}{(2\pi)^{3}2\omega_{k}} \left(-\eta_{\mu\nu} + \frac{k_{\mu}k_{\nu}}{\mu^{2}}\right) \theta(-t)e^{-ik\cdot x} \quad \text{with} \quad k_{0} = -\omega_{k}, \tag{12.1.11}$$

因此...

#### 12.2 massless

- 本节分别在 Lorentz gauge 和 Coulomb gauge 下对电磁场量子化
- 电磁场的广义动量为

$$\pi^{\mu,\nu} = \frac{\delta \mathcal{L}}{\delta \partial_{\mu} A_{\nu}} = -\partial^{\mu} A^{\nu} + \partial^{\nu} A^{\mu} = F^{\nu\mu}, \tag{12.2.1}$$

简写  $\pi^{\mu} \equiv \pi^{0\mu}$ , 有

$$\pi^0 = 0, \quad \vec{\pi} = \vec{E}. \tag{12.2.2}$$

•  $\vec{E}$  是可观测量, 因此其形式不受 gauges 影响,

$$\vec{\pi} = i \int \frac{d^3k}{(2\pi)^3 2|\vec{k}|} |\vec{k}| \left( \vec{\tilde{\mathcal{A}}}_{\perp}(k) e^{-i(|\vec{k}|x^0 - \vec{k} \cdot \vec{x})} - \vec{\tilde{\mathcal{A}}}_{\perp}^*(k) e^{i(|\vec{k}|x^0 - \vec{k} \cdot \vec{x})} \right). \tag{12.2.3}$$

#### 12.2.1 in Coulomb gauge

- Coulomb gauge 似乎更常见.
- 场算符是

$$\vec{A}(x) = \int \frac{d^3k}{(2\pi)^{3/2} \sqrt{2|\vec{k}|}} \sum_{i=1}^{2} \left( \vec{\epsilon}^{(i)}(\vec{k}) a_{\vec{k}}^{(i)} e^{-i(\omega_k x^0 - \vec{k} \cdot \vec{x})} + \vec{\epsilon}^{(i)*}(\vec{k}) a_{\vec{k}}^{(i)\dagger} e^{i(\omega_k x^0 - \vec{k} \cdot \vec{x})} \right), \tag{12.2.4}$$

其中  $\vec{k} \cdot \vec{\epsilon}^{(i)}(\vec{k}) = 0$ , 并满足归一化条件

$$\begin{cases} \vec{\epsilon}^{(i)*}(\vec{k}) \cdot \vec{\epsilon}^{(j)}(\vec{k}) = \delta_{ij} \\ \sum_{i=1}^{2} \vec{\epsilon}^{(i)*}(\vec{k}) \vec{\epsilon}^{(i)}(\vec{k}) = 1 - \frac{\vec{k}\vec{k}}{|\vec{k}|^{2}} \end{cases}$$
(12.2.5)

- 对于  $\vec{k} = (0,0,k)^T$ ,  $\vec{\epsilon}^{(i)}(\vec{k})$  的具体形式为 (分别对应线偏振和圆偏振)

$$\begin{cases} \vec{\epsilon}^{(1)}(\vec{k}) = (1,0,0)^T \\ \vec{\epsilon}^{(2)}(\vec{k}) = (0,1,0)^T \end{cases} \quad \text{or} \quad \begin{cases} \vec{\epsilon}^{(1)}(\vec{k}) = \frac{1}{\sqrt{2}}(1,-i,0)^T \\ \vec{\epsilon}^{(2)}(\vec{k}) = \frac{1}{\sqrt{2}}(1,+i,0)^T \end{cases} . \tag{12.2.6}$$

- 产生湮灭算符满足  $[a_{\vec{k}_1}^{(i)},a_{\vec{k}_2}^{(j)\dagger}]=\delta_{ij}\delta^{(3)}(\vec{k}_1-\vec{k}_2)$ . 正则对易关系为 (差一个负号  $\red{(?)}$  → 来自度规)

$$[\pi_i(t,\vec{x}), A_j(t,\vec{y})] = i\left(\delta_{ij} - \frac{\partial_i \partial_j}{\nabla^2}\right) \delta^{(3)}(\vec{x} - \vec{y}). \tag{12.2.7}$$

#### calculation:

$$\begin{aligned} & [\pi_{i}(t,\vec{x}),A_{j}(t,\vec{y})] \\ = & i \int \frac{d^{3}k_{1}}{(2\pi)^{3}2} \sum_{k=1}^{2} \left( \epsilon_{i}^{(k)}(\vec{k}_{1})\epsilon_{j}^{(k)*}(\vec{k}_{1})e^{-ik_{1}\cdot(x-y)} + \epsilon_{i}^{(k)*}(\vec{k}_{1})\epsilon_{j}^{(k)}(\vec{k}_{1})e^{ik_{1}\cdot(x-y)} \right) \\ = & i \int \frac{d^{3}k}{(2\pi)^{3}} \left( \delta_{ij} - \frac{k_{i}k_{j}}{|\vec{k}|^{2}} \right) e^{i\vec{k}\cdot(\vec{x}-\vec{y})}. \end{aligned}$$
(12.2.8)

• 传播子为

$$iD_{ij}(x-y) = \int \frac{d^4k}{(2\pi)^4} \frac{i}{k^2 + i\epsilon} \left(\delta_{ij} - \frac{k_i k_j}{|\vec{k}|^2}\right) e^{-ik\cdot(x-y)}.$$
 (12.2.9)

#### calculation:

考虑

$$\langle 0|A_{i}(x)A_{j}(y)|0\rangle = \int \frac{d^{3}k}{(2\pi)^{3}2|\vec{k}|} \sum_{k=1}^{2} \epsilon_{i}^{(k)}(\vec{k})\epsilon_{j}^{(k)*}(\vec{k})e^{-ik\cdot(x-y)}$$

$$= \int \frac{d^{3}k}{(2\pi)^{3}2|\vec{k}|} \left(\delta_{ij} - \frac{k_{i}k_{j}}{|\vec{k}|^{2}}\right)e^{-ik\cdot(x-y)}, \qquad (12.2.10)$$

因此

$$iD_{ij}(x-y) = \int \frac{d^4k}{(2\pi)^4} \frac{i}{k^2 + i\epsilon} \left(\delta_{ij} - \frac{k_i k_j}{|\vec{k}|^2}\right) e^{-ik\cdot(x-y)}.$$
 (12.2.11)

#### 12.2.2 in Lorentz gauge

- 完全没懂。
- 在 Lorentz gauge 下, 算符  $\partial_{\mu}A^{\mu} \neq 0$ , 并修改 Lagrangian 为

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{1}{2\alpha}(\partial_{\mu}A^{\mu})^{2}, \qquad (12.2.12)$$

那么

$$\begin{cases} (1 - \frac{1}{\alpha})\partial_{\mu}\partial_{\nu}A^{\nu} - \partial_{\nu}\partial^{\nu}A_{\mu} = 0\\ \pi^{\mu,\nu} = \frac{\delta\mathcal{L}}{\delta\partial_{\nu}A_{\nu}} = F^{\nu\mu} - \frac{1}{\alpha}(\partial_{\rho}A^{\rho})\eta^{\mu\nu} \end{cases}$$
(12.2.13)

因此  $\pi^0 = -\frac{1}{\alpha}\partial_\mu A^\mu, \vec{\pi} = \vec{E}$ 

-  $\alpha = 1$  称作 Feynman gauge,  $\alpha = 0$  称作 Landau gauge,  $\alpha \to \infty$  称作 unitary gauge.

• 场方程 (12.2.13) 的 Green's function 为

$$\tilde{G}^{(\pm)\mu\nu}(k) = -\frac{1}{k^2 + i\epsilon} \left( \eta^{\mu\nu} - (1 - \alpha) \frac{k^{\mu} k^{\nu}}{k^2} \right). \tag{12.2.14}$$

• 取  $\alpha = 1$ , 那么场算符为

$$A_{\mu}(x) = \int \frac{d^3k}{(2\pi)^{3/2} \sqrt{2|\vec{k}|}} \sum_{\nu=0}^{3} \left( \epsilon_{\mu}^{(\nu)}(\vec{k}) a_{\vec{k}}^{(\nu)} e^{-ik \cdot x} + \epsilon_{\mu}^{(\nu)*}(\vec{k}) a_{\vec{k}}^{(\nu)\dagger} e^{ik \cdot x} \right), \tag{12.2.15}$$

其中  $\epsilon_{\mu}^{(\nu)}(\vec{k})$  满足

$$\begin{cases} \epsilon^{(\mu)}(\vec{k}) \cdot \epsilon^{(\nu)}(\vec{k}) = \eta^{\mu\nu} \\ \sum_{\rho,\sigma=0}^{3} \epsilon_{\mu}^{(\rho)}(\vec{k}) \epsilon_{\nu}^{(\sigma)}(\vec{k}) \eta_{\rho\sigma} = \eta_{\mu\nu} \\ k \cdot \epsilon^{(\mu=1,2)}(\vec{k}) = 0, \quad k \cdot \epsilon^{(\mu=0,3)}(\vec{k}) = |\vec{k}| \end{cases}$$
(12.2.16)

- 对于  $k^{\mu} = (k, 0, 0, k)^{T}$ ,  $\epsilon_{\mu}^{(\nu)}(\vec{k})$  的具体形式为

$$\epsilon_{\mu}^{(0)}(\vec{k}) = \begin{pmatrix} 1\\0\\0\\0 \end{pmatrix}, \quad \epsilon_{\mu}^{(1)}(\vec{k}) = \begin{pmatrix} 0\\1\\0\\0 \end{pmatrix}, \quad \epsilon_{\mu}^{(2)}(\vec{k}) = \begin{pmatrix} 0\\0\\1\\0 \end{pmatrix}, \quad \epsilon_{\mu}^{(3)}(\vec{k}) = \begin{pmatrix} 0\\0\\0\\1 \end{pmatrix}. \quad (12.2.17)$$

- 正则对易关系为

$$[\pi_{\mu}(t,\vec{x}), A_{\nu}(t,\vec{y})] =$$
 (12.2.18)

#### calculation:

首先计算  $\pi^{\mu}(x)$ ,

$$\pi^{0}(x) = -\partial_{\mu}A^{\mu} = i \int \frac{d^{3}k}{(2\pi)^{3/2}\sqrt{2|\vec{k}|}} \sum_{\mu=0,3} \left(k \cdot \epsilon^{(\mu)}(\vec{k})a_{\vec{k}}^{(\mu)}e^{-ik\cdot x} - k \cdot \epsilon^{(\mu)*}(\vec{k})a_{\vec{k}}^{(\mu)\dagger}e^{ik\cdot x}\right)$$

$$= i \int \frac{d^{3}k}{(2\pi)^{3/2}\sqrt{2|\vec{k}|}} |\vec{k}| \sum_{\mu=0,3} \left(a_{\vec{k}}^{(\mu)}e^{-ik\cdot x} - a_{\vec{k}}^{(\mu)\dagger}e^{ik\cdot x}\right)$$
(12.2.19)

注意到  $\sum_{\mu=0}^{3} \epsilon^{(\mu)0}(\vec{k}) a_{\vec{k}}^{(\mu)} = \sum_{\mu=0,3} a_{\vec{k}}^{(\mu)}$  (?). David Tong 的 (6.40) 式不满足  $\pi^0 = -\partial_\mu A^\mu$  (?).

# Appendices

# Appendix A

# Dirac delta function & Fourier transformation

#### A.1 Delta function

• 可以认为以下是定义式,

$$\delta(x) = \int \frac{dk}{2\pi} e^{ikx} \iff \tilde{\delta}(k) = 1 = \int dx \, \delta(x) e^{-ikx}. \tag{A.1.1}$$

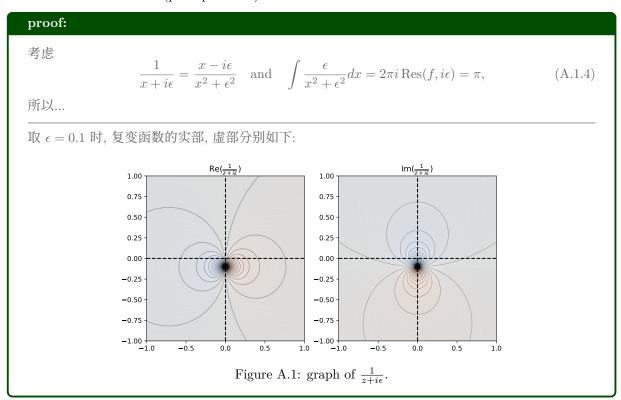
• 第一个常用的公式,

$$\int_{-\infty}^{+\infty} \delta(f(x))g(x)dx = \sum_{\{i, f(x_i) = 0\}} \frac{g(x_i)}{|f'(x_i)|}.$$
 (A.1.2)

• 第二个常用的公式 (Sokhotski-Plemelj theorem),

$$\lim_{\epsilon \to 0^+} \frac{1}{x + i\epsilon} = \mathcal{P}\frac{1}{x} - i\pi\delta(x),\tag{A.1.3}$$

其中  $\mathcal{P}$  表示复函数的主值 (principal value).



• 另外,  $\delta(x-a)\delta(x-b) = \delta(b-a)\delta(x-a)$ .

#### A.2 Fourier transformation

• d-dim. Fourier transformation 如下,

$$\begin{cases} \phi(x) = \int \frac{d^d k}{(2\pi)^d} e^{ik \cdot x} \tilde{\phi}(k) \\ \tilde{\phi}(k) = \int d^d x \, e^{-ik \cdot x} \phi(x) \end{cases}, \tag{A.2.1}$$

• 因此

$$\partial_{\mu}\phi(x) \mapsto ik_{\mu}\tilde{\phi}(k).$$
 (A.2.2)

• 对于**实函数**, Fourier transformation 是正交变换, 其 Jacobi determinant 为

$$\left| \frac{\partial \phi(x) \cdots}{\partial \operatorname{Re}\tilde{\phi}(k) \cdots \partial \operatorname{Im}\tilde{\phi}(k) \cdots} \right| = \left( \frac{2}{V} \right)^{(2N+1)^d} \det A = \left( \frac{2(2N)^d}{V^2} \right)^{\frac{(2N+1)^d}{2}}. \tag{A.2.3}$$

#### proof:

position space 和 momentum space 的格点分别为

$$\begin{cases} x_i^{\mu} = i^{\mu} \epsilon \in \{0, \pm \epsilon, \cdots, \frac{L}{2}\} \\ k_n^{\mu} = n^{\mu} \frac{2\pi}{L} \in \{0, \pm \frac{2\pi}{L}, \cdots, \frac{\pi}{\epsilon}\} \end{cases} \iff i^{\mu}, n^{\mu} \in \{0, \pm 1, \cdots, \pm N\}, \tag{A.2.4}$$

 $x^\mu,k^\mu$  分别有 2N+1 个取值, 其中  $N\epsilon=\frac{L}{2},$  时空总体积为  $V=L^d,$  momentum space 的总体积为  $\tilde{V}=\frac{(4\pi N)^d}{V}.$ 

将 (A.2.1) 写成格点求和的形式,

$$\begin{cases}
\phi(x_i) = \frac{1}{(2\pi)^d} \left(\frac{2\pi}{L}\right)^d \sum_n e^{ik_n \cdot x_i} \tilde{\phi}(k_n) \\
= \frac{2}{V} \sum_{n^0 > 0} \left(\cos(k_n \cdot x_i) \operatorname{Re} \tilde{\phi}(k_n) - \sin(k_n \cdot x_i) \operatorname{Im} \tilde{\phi}(k_n)\right) \\
\tilde{\phi}(k_n) = \epsilon^d \sum_i e^{-ik_n \cdot x_i} \phi(x_i) \\
= \frac{V}{(2N)^d} \sum_i \left(\cos(k_n \cdot x_i) - i\sin(k_n \cdot x_i)\right) \phi(x_i)
\end{cases}$$
(A.2.5)

proof

 $\phi(x_i)$  的变换需要做一些说明. 注意到  $\tilde{\phi}$  的分量的数量是  $\phi$  的两倍 (考虑到实部与虚部), 但在  $\phi \in \mathbb{R}^{(2N+1)^d}$  时.

$$\tilde{\phi}^*(k) = \tilde{\phi}(-k), \tag{A.2.6}$$

可见  $\tilde{\phi}$  的分量并不独立, 取  $k^0 > 0$  的部分为独立分量, 那么...

将 (A.2.5) 写成矩阵的形式,

$$\begin{cases}
\begin{pmatrix}
\phi(x_0) \\
\vdots \\
\phi(x_{\text{max}})
\end{pmatrix} = \frac{2}{V} \begin{pmatrix}
\cos k_0 \cdot x_0 & \cdots & \cos k_{\text{max}} \cdot x_0 & -\sin k_0 \cdot x_0 & \cdots \\
\vdots & & \ddots & & \end{pmatrix} \begin{pmatrix}
\operatorname{Re}\tilde{\phi}(k_0) \\
\vdots \\
\operatorname{Im}\tilde{\phi}(k_0) \\
\vdots \\
-\sin k_0 \cdot x_0 & \cdots & -\sin k_0 \cdot x_{\text{max}} \\
\vdots & & \ddots \\
-\sin k_0 \cdot x_0 & \cdots & -\sin k_0 \cdot x_{\text{max}}
\end{pmatrix} \begin{pmatrix}
\phi(x_0) \\
\vdots \\
\phi(x_{\text{max}})
\end{pmatrix}$$
, (A.2.7)

观察可见  $\tilde{\phi}$  的变换中的矩阵是  $A^T$ , 所以

$$\frac{2}{V}\frac{V}{(2N)^d}AA^T = I \Longrightarrow \det A = \left(\frac{(2N)^d}{2}\right)^{\frac{(2N+1)^d}{2}},\tag{A.2.8}$$

因此...

- 顺便,

$$\int d^dx \, f(x)g(x) = \int \frac{d^dk}{(2\pi)^d} \tilde{f}(-k)\tilde{g}(k). \tag{A.2.9}$$

#### A.2.1 an important example

• 考虑如下 PDE,

$$(\nabla^2 - \mu^2)\phi(\vec{x}) = f(\vec{x}),\tag{A.2.10}$$

其 Green's function 为

$$G(\vec{x}) = -\frac{1}{4\pi} \frac{e^{-\mu r}}{r},\tag{A.2.11}$$

其中  $r = |\vec{x}|$ .

#### calculation:

Green's function 满足

$$(\nabla^2 - \mu^2)G(\vec{x}) = \delta^{(3)}(\vec{x}) \Longrightarrow \tilde{G}(\vec{k}) = -\frac{1}{|\vec{k}|^2 + \mu^2},$$
 (A.2.12)

因此

$$G(\vec{x}) = -\int \frac{d^3k}{(2\pi)^3} \frac{e^{i\vec{k}\cdot\vec{x}}}{|\vec{k}|^2 + \mu^2}$$

$$= -\int \frac{k^2 \sin\theta d\theta d\phi dk}{(2\pi)^3} \frac{e^{i\cos\theta kr}}{k^2 + \mu^2} = -\frac{1}{(2\pi)^3} \int_0^{\pi} \sin\theta d\theta \int_0^{2\pi} d\phi \int_0^{\infty} dk \, \frac{k^2 e^{i\cos\theta kr}}{k^2 + \mu^2}$$

$$= -\frac{1}{(2\pi)^2} \int_0^{\infty} \frac{k^2}{k^2 + \mu^2} \frac{2\sin kr}{kr} dk,$$
(A.2.13)

注意到 (在复平面上考虑以下积分并使用 residue theorem)

$$\int_{0}^{\infty} \frac{k \sin kr}{k^{2} + \mu^{2}} dk = \frac{1}{2} \int_{-\infty}^{+\infty} \frac{k \sin kr}{k^{2} + \mu^{2}} dk = \frac{1}{2i} \int_{-\infty}^{+\infty} \frac{k e^{ikr}}{k^{2} + \mu^{2}} dk$$

$$= \frac{1}{2i} \int_{-\infty}^{+\infty} \frac{k e^{ikr}}{(k + i\mu)(k - i\mu)} dk = \frac{1}{2i} 2\pi i \operatorname{Res}(f, k = i\mu) = \frac{\pi}{2} e^{-\mu r}. \tag{A.2.14}$$

### Appendix B

# Gaussian integrals and Gaussian-Berezin integrals

• 最基本的几个 Gaussian integral 如下,

$$\int dx \, e^{-\frac{1}{2}ax^2} = \sqrt{\frac{2\pi}{a}} \tag{B.0.1}$$

$$\langle x^{2n} \rangle = \frac{\int dx \, e^{-\frac{1}{2}ax^2} x^{2n}}{\int dx \, e^{-\frac{1}{2}ax^2}} = \frac{1}{a^n} (2n-1)!!,$$
 (B.0.2)

其中  $(2n-1)!! = 1 \cdot 3 \cdots (2n-3)(2n-1)$ .

• 一个重要的变体如下,

$$\int dx \, e^{-\frac{a}{2}x^2 + Jx} = \sqrt{\frac{2\pi}{a}} e^{\frac{J^2}{2a}},\tag{B.0.3}$$

另外, 将 a, J 分别替换为 -ia, iJ 也是重要的变体.

#### B.1 generalize to N-dim.

• 考虑如下积分,

$$Z(A,J) = \int dx_1 \cdots dx_N \, e^{-\frac{1}{2}x^T \cdot A \cdot x + J^T \cdot x} = \sqrt{\frac{(2\pi)^N}{\det A}} e^{\frac{1}{2}J^T \cdot A^{-1} \cdot J},\tag{B.1.1}$$

其中 x, J 是 N-dim. 列向量, A 是  $N \times N$  实对称矩阵

#### calculation:

根据 spectral theorem for normal matrices (对称矩阵是厄密矩阵在实数域上的对应), 可知存在 orthogonal transformation 使得

$$A = O^{-1} \cdot D \cdot O, \tag{B.1.2}$$

其中 D 是一个 diagonal matrix. 令  $y = O \cdot x$ , 那么

$$Z(A,J) = \int dy_1 \cdots dy_N e^{-\frac{1}{2}y^T \cdot D \cdot y + (OJ)^T \cdot y}$$

$$= \prod_{i=1}^N \sqrt{\frac{2\pi}{D_{ii}}} e^{\frac{1}{2D_{ii}}(OJ)_i^2} = \sqrt{\frac{(2\pi)^N}{\det A}} e^{\frac{1}{2}J^T \cdot A^{-1} \cdot J},$$
(B.1.3)

其中, 注意到了  $\frac{1}{D_{ii}} = (O \cdot A^{-1} \cdot O^{-1})_{ii}$  以及  $\operatorname{tr} D = \det A$ .

- 一个重要的变体是  $A \mapsto -iA, J \mapsto iJ$ .
- 考虑 (B.0.2) 的变体, (注意 A 是对称的),

$$\langle x_i x_j \rangle = \frac{1}{Z(A,0)} \frac{\partial}{\partial J_i} \frac{\partial}{\partial J_j} Z(A,J) \Big|_{J=0} = A_{ij}^{-1},$$
 (B.1.4)

$$\langle x_i x_j \cdots x_k x_l \rangle = \sum_{\text{Wick}} A_{i'j'}^{-1} \cdots A_{k'l'}^{-1}, \tag{B.1.5}$$

其中 (B.1.5) 中有偶数个 x, 否则等于零.

#### calculation:

$$\langle x_i x_j \cdots x_k x_l \rangle = \frac{1}{Z(A,0)} \frac{\partial}{\partial J_i} \frac{\partial}{\partial J_j} \cdots \frac{\partial}{\partial J_k} \frac{\partial}{\partial J_l} Z(A,J) \Big|_{J=0} = \cdots$$
 (B.1.6)

例如,

$$\langle x_i x_j x_k x_l \rangle = A_{ij}^{-1} A_{kl}^{-1} + A_{ik}^{-1} A_{il}^{-1} + A_{il}^{-1} A_{jk}^{-1},$$
(B.1.7)

其中,可以用 Wick contraction 计算上式,如下,

$$\langle \overrightarrow{x_i x_j x_k x_l} \rangle = A_{ik}^{-1} A_{jl}^{-1}. \tag{B.1.8}$$

#### B.2 Grassmann number and Grassmann integrals

• 对于 Grassmann number  $\theta_1, \theta_2$ , 有反对易关系,

$$\theta_1 \theta_2 = -\theta_2 \theta_1, \tag{B.2.1}$$

因此  $\theta^2 = 0$ , 且关于 Grassmann number 最一般的函数为

$$f(\theta) = a\theta + b, (B.2.2)$$

其中  $a,b \in \mathbb{C}$ .

• 注意到  $(\theta_1\theta_2)\theta_3 = \theta_3(\theta_1\theta_2)$ , (但是  $(\theta_1\theta_2)^2 = 0$ , 所以  $\theta_1\theta_2 \notin \mathbb{C}$ ), 且有

$$(\theta_1 \theta_2)(\theta_3 \theta_4) = \theta_3(\theta_1 \theta_2)\theta_4 = (\theta_3 \theta_4)(\theta_1 \theta_2). \tag{B.2.3}$$

• 定义 Grassmann integral (也称作 Berezin integral),

$$\int d\theta \,\theta = 1, \quad \int d\theta = 0, \tag{B.2.4}$$

并且具有 linearity.

#### comment:

我们希望积分在 integration variable been shifted 之后  $(\theta \mapsto \theta + \eta)$  保持不变,

$$\int d\theta (a\theta + b) = \int d\theta (a\theta + a\eta + b), \tag{B.2.5}$$

因此, 积分结果应该与常数无关, 只与斜率有关, 所以直接定义

$$\int d\theta \, (a\theta + b) = a. \tag{B.2.6}$$

- 另外, 对于  $f(\theta) = \eta\theta + b$ , 有

$$\int d\theta \left(\eta \theta + b\right) = \int d\theta \left(-\theta \eta + b\right) = -\eta. \tag{B.2.7}$$

#### **B.2.1** Gaussian-Berezin integrals

• 回顾 section 1.4 和 (8.4.2), 我们希望 Gauss 积分中出现正号而不是符号, 即

$$\int dx \, e^{-\frac{1}{2}ax^2} = \sqrt{2\pi}e^{-\frac{1}{2}\ln a} \mapsto \propto e^{+\frac{1}{2}\ln a}.$$
 (B.2.8)

• 对于两个独立的 Grassmann number  $\theta, \bar{\theta}$ , 有 Gauss 积分,

$$\int d\theta \int d\bar{\theta} \, e^{\bar{\theta}a\theta} = \int d\theta \int d\bar{\theta} \, (1 + \bar{\theta}a\theta) = a = e^{+\ln a}. \tag{B.2.9}$$

• 推广以上积分, 对于  $\theta = (\theta_1, \dots, \theta_N) \in V, \bar{\theta} = (\bar{\theta}_1, \dots, \bar{\theta}_N) \in V^*,$  有

$$\int d\theta \int d\bar{\theta} \, e^{\bar{\theta}A\theta} = \det A,\tag{B.2.10}$$

其中 A 是  $N \times N$  normal matrix.

#### calculation:

对向量做幺正变换,  $\eta = U\theta$ ,  $\bar{\eta} = \bar{\theta}U^{\dagger}$ , 使得 A 对角化  $D = UAU^{\dagger}$ , (注意对**积分顺序**的定义),

$$I = \int d\eta \int d\bar{\eta} \, e^{\bar{\eta}D\eta} = \sum_{n=0}^{\infty} \int d\eta_N \cdots d\eta_1 \int d\bar{\eta}_1 \cdots d\bar{\eta}_N \, \frac{\left(\sum_{i=1}^N \bar{\eta}_i D_i \eta_i\right)^n}{n!}, \tag{B.2.11}$$

其中, 唯一不为零的项是  $\propto \prod_{i=1}^{N} (\bar{\eta}_i D_i \eta_i)$ , 并且注意到  $(\bar{\eta}_i D_i \eta_i)$  互相对易, 所以

$$I = \int d\eta_N \cdots d\eta_1 \int d\bar{\eta}_1 \cdots d\bar{\eta}_N \frac{n! \prod_{i=1}^N (\bar{\eta}_i D_i \eta_i)}{n!}$$

$$= \int d\eta_N \cdots d\eta_1 \int d\bar{\eta}_1 \cdots d\bar{\eta}_N (\bar{\eta}_N D_N \eta_N) \cdots (\bar{\eta}_1 D_1 \eta_1)$$

$$= \int d\eta_N \cdots d\eta_1 \int d\bar{\eta}_1 \cdots d\bar{\eta}_{N-1} \underbrace{(\bar{\eta}_{N-1} D_{N-1} \eta_{N-1}) \cdots (\bar{\eta}_1 D_1 \eta_1)}_{\text{commutes with } \eta_N} D_N \eta_N$$

$$= \cdots = \int d\eta_N \cdots d\eta_1 D_1 \eta_1 \cdots D_N \eta_N = \prod_{i=1}^N D_i = \det A, \tag{B.2.12}$$

注意到, 由于  $(\bar{\eta}_i D_i \eta_i)$  互相对易, 所以  $\eta, \bar{\eta}$  的积分顺序并不重要, 唯一的要求是  $\eta$  和  $\bar{\eta}$  的积分顺序互相对应 (顺序正好**相反**), 即  $d\eta_j d\eta_i \leftrightarrow d\bar{\eta}_j$ , (Coleman 对积分顺序的定义是  $d\eta d\bar{\eta}$  =  $d\eta_1 d\bar{\eta}_1 \cdots d\eta_N d\bar{\eta}_N$ , 这与我们的定义是等效的).

• 进一步推广.

$$Z(A, \eta, \bar{\eta}) = \int d\theta \int d\bar{\theta} \, e^{\bar{\theta}A\theta + \bar{\eta}\theta + \bar{\theta}\eta} = \det A \, e^{-\bar{\eta}A^{-1}\eta}, \tag{B.2.13}$$

只需要注意到  $(\bar{\theta} + \bar{\eta}A^{-1})A(\theta + A^{-1}\eta) = \bar{\theta}A\theta + \bar{\eta}\theta + \bar{\theta}\eta + \bar{\eta}A^{-1}\eta$ , 其中  $\eta \in V, \bar{\eta} \in V^*$  都是 Grassmann number 组成的向量.

• 最后, 考虑 (B.1.5) 的变体,

$$\langle \theta_i \rangle = \langle \bar{\theta}_i \rangle = 0,$$
 (B.2.14)

$$\langle \theta_i \rangle = \langle \theta_j \rangle = 0, \tag{B.2.14}$$

$$\langle \cdots \theta_i \theta_j \bar{\theta}_k \bar{\theta}_l \cdots \rangle = \underbrace{\langle \cdots \theta_i \theta_j \bar{\theta}_k \bar{\theta}_l \cdots \rangle}_{= \cdots (-A_{jk}^{-1})(-A_{il}^{-1}) \cdots} + \underbrace{\langle \cdots \theta_i \theta_j \bar{\theta}_k \bar{\theta}_l \cdots \rangle}_{= -\cdots (-A_{jk}^{-1})(-A_{jl}^{-1}) \cdots} + \cdots, \tag{B.2.15}$$

技巧在于先把  $\dots \theta_i \theta_i \bar{\theta}_k \bar{\theta}_l \dots$  的顺序调整到  $\theta_i \bar{\theta}_l$  互相对应 (像 (B.2.15) 等号右边第一项), 然后再做 contraction.

#### calculation:

考虑

$$\langle \theta_{i}\theta_{j}\bar{\theta}_{k}\bar{\theta}_{l}\rangle = \frac{\partial}{\partial\bar{\eta}_{i}}\frac{\partial}{\partial\bar{\eta}_{j}}\left(-\frac{\partial}{\partial\eta_{k}}\right)\left(-\frac{\partial}{\partial\eta_{l}}\right)e^{-\bar{\eta}A^{-1}\eta} = \frac{\partial}{\partial\bar{\eta}_{i}}\frac{\partial}{\partial\bar{\eta}_{j}}\left(-\eta_{j'}A_{j'k}^{-1}\right)\left(-\eta_{i'}A_{i'l}^{-1}\right)$$

$$= \underbrace{\langle \theta_{i}\theta_{j}\bar{\theta}_{k}\bar{\theta}_{l}\rangle}_{=(-A_{jk}^{-1})(-A_{il}^{-1})} + \underbrace{\langle \theta_{i}\theta_{j}\bar{\theta}_{k}\bar{\theta}_{l}\rangle}_{=-(-A_{jk}^{-1})(-A_{jl}^{-1})}.$$
(B.2.16)

# Appendix C

# perturbation theory in QM

- this chapter is based on MIT OpenCourseWare Quantum Physics III Chapter 1: Perturbation Theory.
- 研究的 Hamiltonian 与 well studied Hamiltonian 有微小差异时, 使用 perturbation theory,

$$H(\lambda) = H^{(0)} + \lambda \delta H, \tag{C.0.1}$$

其中  $\lambda \in [0,1]$ .

• 考虑 H<sup>(0)</sup> 的本征态为

$$H^{(0)}|k^{(0)}\rangle = E_k^{(0)}|k^{(0)}\rangle \quad \text{and} \quad \begin{cases} \langle k^{(0)}|l^{(0)}\rangle = \delta_{kl} \\ E_0^{(0)} \le E_1^{(0)} \le E_2^{(0)} \le \cdots \end{cases}$$
 (C.0.2)

#### C.1 non-degenerate perturbation theory

• 考虑 non-degenerate 能级 k, 有  $\cdots \le E_{k-1}^{(0)} < E_k^{(0)} < E_{k+1}^{(0)} \le \cdots$ ,在 perturbation theory 适用的情况下,

$$\begin{cases} |k\rangle_{\lambda} = |k^{(0)}\rangle + \lambda |k^{(1)}\rangle + \lambda^{2} |k^{(2)}\rangle + \cdots \\ E_{k}(\lambda) = E_{k}^{(0)} + \lambda E_{k}^{(1)} + \lambda^{2} E_{k}^{(2)} + \cdots \end{cases}$$
(C.1.1)

- 注意, 我们可以选取修正项满足

$$\langle k^{(0)}|k^{(n)}\rangle = 0, n = 1, 2, \cdots$$
 (C.1.2)

#### proof:

假设我们求解得到的修正项不满足  $\langle k^{(0)}|k^{(n)}\rangle = 0, n = 1, 2, \dots,$  考虑

$$|k^{(n)}\rangle' = |k^{(n)}\rangle + a_n |k^{(0)}\rangle \quad \text{with} \quad \langle k^{(0)}|k^{(n)}\rangle' = 0,$$
 (C.1.3)

那么 (注意到态矢量可以乘一个常数,  $\frac{1}{1-a_1\lambda-a_2\lambda^2-\dots}=1+a_1\lambda+(a_1^2+a_2)\lambda^2+\dots$ )

$$|k\rangle_{\lambda} = (1 - a_{1}\lambda - a_{2}\lambda^{2} - \cdots) |k^{(0)}\rangle + \lambda |k^{(1)}\rangle' + \lambda^{2} |k^{(2)}\rangle' + \cdots$$

$$|k\rangle'_{\lambda} = |k^{(0)}\rangle + \frac{1}{1 - a_{1}\lambda - a_{2}\lambda^{2} - \cdots} (\lambda |k^{(1)}\rangle' + \lambda^{2} |k^{(2)}\rangle' + \cdots)$$

$$= |k^{(0)}\rangle + \lambda |k^{(1)}\rangle' + \lambda^{2} (a_{1} |k^{(1)}\rangle' + |k^{(2)}\rangle') + \cdots, \qquad (C.1.4)$$

可见修正项都与  $|k^{(0)}\rangle$  正交.

- 注意, 不能要求  $_{\lambda}\langle k|k\rangle_{\lambda}=1$ , 否则  $|k^{(n)}\rangle$  将与  $\lambda$  相关 (包括  $|k^{(0)}\rangle$ ),

$$\begin{split} {}_{\lambda}\langle k|k\rangle_{\lambda} &= \langle k^{(0)}|k^{(0)}\rangle \\ &+ \lambda(\langle k^{(1)}|k^{(0)}\rangle + \langle k^{(0)}|k^{(1)}\rangle) \\ &+ \lambda^2(\langle k^{(2)}|k^{(0)}\rangle + \langle k^{(1)}|k^{(1)}\rangle + \langle k^{(0)}|k^{(2)}\rangle) \end{split}$$

$$\vdots + \lambda^{n} (\langle k^{(n)} | k^{(0)} \rangle + \langle k^{(n-1)} | k^{(1)} \rangle + \dots + \langle k^{(0)} | k^{(n)} \rangle).$$
 (C.1.5)

• 将 (C.1.1) 代入 Schrödinger's eq., 得到:

$$\lambda^{0} \qquad (H^{(0)} - E_{k}^{(0)}) |k^{(0)}\rangle = 0$$

$$\lambda^{1} \qquad (H^{(0)} - E_{k}^{(0)}) |k^{(1)}\rangle = (E_{k}^{(1)} - \delta H) |k^{(0)}\rangle$$

$$\lambda^{2} \qquad (H^{(0)} - E_{k}^{(0)}) |k^{(2)}\rangle = (E_{k}^{(1)} - \delta H) |k^{(1)}\rangle + E_{k}^{(2)} |k^{(0)}\rangle$$

$$\vdots \qquad \qquad \vdots$$

$$\lambda^{n} \qquad (H^{(0)} - E_{k}^{(0)}) |k^{(n)}\rangle = (E_{k}^{(1)} - \delta H) |k^{(n-1)}\rangle + E_{k}^{(2)} |k^{(n-2)}\rangle + \dots + E_{k}^{(n)} |k^{(0)}\rangle$$

#### calculation:

Schrödinger's eq. 为

$$(H^{(0)} + \lambda \delta H - E_k(\lambda)) |k\rangle_{\lambda} = 0, \tag{C.1.6}$$

展开为

$$\left( (H^{(0)} - E_k^{(0)}) + \lambda (\delta H - E_k^{(1)}) - \lambda^2 E_k^{(2)} - \cdots \right) (|k^{(0)}\rangle + \lambda |k^{(1)}\rangle + \lambda^2 |k^{(2)}\rangle + \cdots) = 0.$$
(C.1.7)

• 现在来计算  $\langle l^{(0)}|k^{(n)}\rangle$ , 有

$$\begin{cases}
(E_{l}^{(0)} - E_{k}^{(0)}) \langle l^{(0)} | k^{(1)} \rangle = E_{k}^{(1)} \delta_{lk} - \delta H_{lk} \\
(E_{l}^{(0)} - E_{k}^{(0)}) \langle l^{(0)} | k^{(2)} \rangle = E_{k}^{(1)} \langle l^{(0)} | k^{(1)} \rangle - \langle l^{(0)} | \delta H | k^{(1)} \rangle + E_{k}^{(2)} \delta_{lk} \\
\vdots & \vdots & , \\
(E_{l}^{(0)} - E_{k}^{(0)}) \langle l^{(0)} | k^{(n)} \rangle = E_{k}^{(1)} \langle l^{(0)} | k^{(n-1)} \rangle - \langle l^{(0)} | \delta H | k^{(n-1)} \rangle \\
+ E_{k}^{(2)} \langle l^{(0)} | k^{(n-2)} \rangle + \dots + E_{k}^{(n)} \delta_{lk}
\end{cases} (C.1.8)$$

其中  $\delta H_{lk} = \langle l^{(0)} | \delta H | k^{(0)} \rangle$ , 对于满足 (C.1.2) 的解, 有

$$E_k^{(n)} = \langle k^{(0)} | \delta H | k^{(n-1)} \rangle, n = 1, 2, \cdots,$$
 (C.1.9)

并且

$$|k^{(1)}\rangle = -\sum_{l \neq k} \frac{\delta H_{lk}}{E_l^{(0)} - E_k^{(0)}} |l^{(0)}\rangle \Longrightarrow E_k^{(2)} = -\sum_{l \neq k} \frac{|\delta H_{lk}|^2}{E_l^{(0)} - E_k^{(0)}}.$$
 (C.1.10)

#### calculation:

将 (C.1.10) 代入 (C.1.8), 得到  $(l \neq k)$ 

$$(E_l^{(0)} - E_k^{(0)}) \langle l^{(0)} | k^{(2)} \rangle = -E_k^{(1)} \frac{\delta H_{lk}}{E_l^{(0)} - E_k^{(0)}} + \sum_{m \neq k} \frac{\delta H_{lm} \delta H_{mk}}{E_m^{(0)} - E_k^{(0)}}, \tag{C.1.11}$$

所以

$$\begin{cases} |k^{(2)}\rangle = \sum_{l \neq k} \left( -\frac{\delta H_{00}\delta H_{lk}}{(E_l^{(0)} - E_k^{(0)})^2} + \sum_{m \neq k} \frac{\delta H_{lm}\delta H_{mk}}{E_m^{(0)} - E_k^{(0)}} \right) |l^{(0)}\rangle \\ E_k^{(3)} = \sum_{l \neq k} \left( -\frac{\delta H_{00}|\delta H_{lk}|^2}{(E_l^{(0)} - E_k^{(0)})^2} + \sum_{m \neq k} \frac{\delta H_{kl}\delta H_{lm}\delta H_{mk}}{E_m^{(0)} - E_k^{(0)}} \right) \end{cases}$$
(C.1.12)

计算归一化系数

$$_{\lambda}\langle k|k\rangle_{\lambda} = 1 + \lambda^2 \sum_{l \neq k} \frac{|\delta H_{lk}|^2}{(E_l^{(0)} - E_k^{(0)})^2} + O(\lambda^3).$$
 (C.1.13)

#### C.1.1 level repulsion or the seesaw mechanism

• 能量的展开式为

$$E_k(\lambda) = E_k^{(0)} + \lambda \delta H_{kk} - \lambda^2 \sum_{l \neq k} \frac{|\delta H_{lk}|^2}{E_l^{(0)} - E_k^{(0)}} + O(\lambda^3), \tag{C.1.14}$$

二阶项的效果是使能级间距增大,对于基态能级,二阶项使其能量减小.

#### C.1.2 validity of the perturbation expansion

• 考虑两能级系统, 可以得出微扰展开收敛的条件, 即

$$|\lambda V| < \frac{1}{2}\Delta E^{(0)},$$
 (C.1.15)

因此, 对于能级简并的情况,  $\Delta E^{(0)} = 0$ , 情况会更复杂.

#### calculation:

对于两能级系统

$$H(\lambda) = H^{(0)} + \lambda \hat{V} = \begin{pmatrix} E_1^{(0)} & \lambda V \\ \lambda V^* & E_2^{(0)} \end{pmatrix},$$
 (C.1.16)

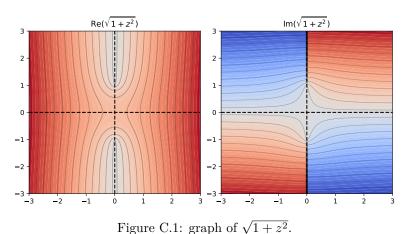
 $H(\lambda)$  的本征值可以直接计算。

$$E_{\pm}(\lambda) = \frac{1}{2} (E_1^{(0)} + E_2^{(0)}) \pm \frac{1}{2} (E_1^{(0)} - E_2^{(0)}) \sqrt{1 + \left(\frac{\lambda |V|}{\frac{1}{2} (E_1^{(0)} - E_2^{(0)})}\right)^2},$$
 (C.1.17)

考虑  $\sqrt{1+z^2}$  的 Taylor 展开,

$$\sqrt{1+z^2} = 1 + \frac{z^2}{2} - \frac{z^4}{8} + \dots + (-1)^{n+1} \frac{(2n-3)!!}{2^n n!} z^{2n} + \dots,$$
 (C.1.18)

注意到  $\sqrt{1+z^2}$  在  $z=\pm i$  有 branch cut, 因此 z=0 附近的 Taylor expansion 只有在 |z|<1 内才收敛.



#### C.2 degenerate perturbation theory

• 暂时先跳过.

# Appendix D

# classical field theory and Noether's theorem

#### D.1 classical field theory

#### D.1.1 Lagrangian density and the action

- Lagrangian density,  $\mathcal{L}$ ,  $\not\equiv \phi^a(x), \partial_\mu \phi^a(x), t$  的函数.
- 对作用量变分得到 Euler-Lagrangian equation of motion,

$$\frac{\delta \mathcal{L}}{\delta \phi^a} - \partial_\mu \left( \frac{\delta \mathcal{L}}{\delta(\partial_\mu \phi^a)} \right) = 0. \tag{D.1.1}$$

#### calculation:

对作用量进行变分,

$$\delta S = \int d^4 x \left( \frac{\delta \mathcal{L}}{\delta \phi^a} \delta \phi^a + \frac{\delta \mathcal{L}}{\delta (\partial_\mu \phi^a)} \delta \partial_\mu \phi^a \right)$$

$$= \int d^4 x \left( \left( \frac{\delta \mathcal{L}}{\delta \phi^a} - \partial_\mu \left( \frac{\delta \mathcal{L}}{\delta (\partial_\mu \phi^a)} \right) \right) \delta \phi^a + \partial_\mu \left( \frac{\delta \mathcal{L}}{\delta (\partial_\mu \phi^a)} \delta \phi^a \right) \right), \tag{D.1.2}$$

由于边界变分为零...

#### D.1.2 canonical momentum and the Hamiltonian

• def.:  $\mathbb{Z}$   $\mathbb{Z}$   $\mathbb{Z}$   $\mathbb{Z}$   $\mathbb{Z}$   $\pi_a^{\mu}$  的量,

$$\pi_a^{\mu} = \frac{\delta \mathcal{L}}{\delta(\partial_{\mu} \phi^a)},\tag{D.1.3}$$

其中  $\pi_a \equiv \pi_a^0$  称作 canonical momentum of the field.

-  $\mathbf{def.}$ : the Hamiltonian density is

$$\mathcal{H} = \pi_a \partial_0 \phi^a - \mathcal{L}. \tag{D.1.4}$$

• the Hamilton's equations are

$$\begin{cases}
\partial_0 \phi^a = \frac{\delta \mathcal{H}}{\delta \pi_a} \\
-\partial_0 \pi^a = \frac{\delta \mathcal{H}}{\delta \phi^a} - \partial_i \left( \frac{\delta \mathcal{H}}{\delta (\partial_i \phi^a)} \right)
\end{cases}$$
(D.1.5)

- 第二个方程可以写成更紧凑的形式

$$\partial_{\mu}\pi_{a}^{\mu} = \frac{\delta \mathcal{H}}{\delta \phi^{a}}.\tag{D.1.6}$$

#### D.2 Noether's theorem

- Noether 定理中的对称性是 global symmetry, 不是 gauge symmetry.
- strictly speaking, gauge symmetry is not a symmetry but a redundancy.

#### D.2.1 in classical particle mechanics

- 系统的 Lagrangian 为  $L(q^a, \dot{q}^a, t)$ .
- 系统通过以下形式变换,

$$q^a(t) \mapsto q^a(\lambda, t)$$
 and  $q^a(t, 0) = q^a(t)$ , (D.2.1)

并定义

$$D_{\lambda}q^{a} = \frac{\partial q^{a}}{\partial \lambda}\Big|_{\lambda=0}.$$
 (D.2.2)

• Noether's theorem: the continuous transform  $\lambda$  is a continuous symmetry iff.

$$D_{\lambda}L = \frac{dF(q^a, \dot{q}^a, t)}{dt},\tag{D.2.3}$$

for some  $F(q^a, \dot{q}^a, t)$ , and the corresponding **conserved quantity** is

$$Q = p_a D_\lambda q^a - F(q^a, \dot{q}^a, t). \tag{D.2.4}$$

proof:

$$D_{\lambda}L = \frac{\partial L}{\partial q^a} D_{\lambda} q^a + \frac{\partial L}{\partial \dot{q}^a} \frac{dD_{\lambda} q^a}{dt} = \frac{d}{dt} (p_a D_{\lambda} q^a). \tag{D.2.5}$$

- 几个例子如下,
  - **空间平移**,  $\vec{x}(t) \mapsto \vec{x}(t) + \hat{e}_i \lambda$ , 相应地,  $D_{\lambda} \vec{x} = \hat{e}_i$ , 且

$$D_{\lambda}L = \frac{\partial L}{\partial x^{i}},\tag{D.2.6}$$

如果  $\frac{\partial L}{\partial x^i} = 0$ , 那么, 有守恒量  $p_i$ .

- **时间平移**,  $q^a(t) \mapsto q^a(t+\lambda)$ , 相应地,  $D_{\lambda}q^a = \dot{q}^a$ , 且

$$D_{\lambda}L = \frac{dL}{dt} - \frac{\partial L}{\partial t},\tag{D.2.7}$$

如果  $\frac{\partial L}{\partial t} = 0$ , 那么, 有守恒量  $H = p_a \dot{q}^a - L$ .

- **转动**,  $\vec{x}(t) \mapsto R(\lambda, \hat{e}) \cdot \vec{x}(t)$ , 相应地,  $D_{\lambda} \vec{x} = \hat{e} \times \vec{x}$ , 且

$$D_{\lambda}L = \vec{x} \cdot \left(\frac{\partial L}{\partial \vec{x}} \times \hat{e}\right) + \hat{e}(\dot{\vec{x}} \times \vec{p}), \tag{D.2.8}$$

如果上式中两个括号内的项都为零, 那么, 有守恒量  $\hat{e} \cdot \vec{J} = \hat{e} \cdot (\vec{x} \times \vec{p})$ .

#### D.2.2 in classical field theory

• 类似地,系统通过以下形式变换,

$$\phi^a(x) \mapsto \phi^a(x, \lambda)$$
 and  $\phi^a(x, 0) = \phi^a(x)$ , (D.2.9)

并定义

$$D_{\lambda}\phi^{a} = \frac{\partial\phi^{a}}{\partial\lambda}\Big|_{\lambda=0}.$$
 (D.2.10)

• Noether's theorem: the continuous transform  $\lambda$  is a continuous symmetry iff.

$$D_{\lambda}\mathcal{L} = \partial_{\mu}F^{\mu}(\phi^{a}, \partial_{\mu}\phi^{a}, t), \tag{D.2.11}$$

for some  $F^{\mu}(\phi^a, \partial_{\mu}\phi^a, t)$ , and the **conserved current** is

$$J^{\mu} = \pi^{\mu}_{a} D_{\lambda} \phi^{a} - F^{\mu}. \tag{D.2.12}$$

proof:

$$D_{\lambda}\mathcal{L} = \frac{\delta\mathcal{L}}{\delta\phi^{a}}D_{\lambda}\phi^{a} + \frac{\delta\mathcal{L}}{\delta(\partial_{\mu}\phi^{a})}\partial_{\mu}D_{\lambda}\phi^{a}$$

$$= \left(\frac{\delta\mathcal{L}}{\delta\phi^{a}} - \partial_{\mu}\left(\frac{\delta\mathcal{L}}{\delta(\partial_{\mu}\phi^{a})}\right)\right)D_{\lambda}\phi^{a} + \partial_{\mu}\left(\underbrace{\frac{\delta\mathcal{L}}{\delta(\partial_{\mu}\phi^{a})}}D_{\lambda}\phi^{a}\right), \tag{D.2.13}$$

代入 (D.1.1), 得...

• 注意, conserved current 并不是唯一确定的, 考虑如下变换,

$$F^{\mu} \mapsto F'^{\mu} = F^{\mu} + \partial_{\nu} A^{\mu\nu} \quad \text{with} \quad A^{\mu\nu} = A^{[\mu\nu]},$$
 (D.2.14)

新  $F'^{\mu}$  依然能满足 (D.2.11).

• 但是, 守恒荷是唯一确定的.

#### proof:

$$Q' = \int d^3x J^0 = \int d^3x (\pi_a D_\lambda \phi^a - F^0) - \int d^3x \, \partial_\mu A^{0\mu}, \tag{D.2.15}$$

考虑到边界值为零, 且  $A^{00}=0$ , 所以 Q'=Q.

#### D.2.3 spacetime translations and the energy-momentum tensor

• 时空平移变换为

$$\phi^a(x) \mapsto \phi^a(x + \lambda e).$$
 (D.2.16)

• 所以

$$D_{\lambda}\phi^{a} = e^{\mu}\partial_{\mu}\phi^{a}$$
 and  $D_{\lambda}\mathcal{L} = e^{\mu}\partial_{\mu}\mathcal{L},$  (D.2.17)

代入 (D.2.12),

$$J^{\mu} = e^{\nu} \underbrace{\left( \underbrace{\pi_a^{\mu} \partial_{\nu} \phi^a - \delta_{\nu}^{\mu} \mathcal{L}}_{\nu} \right)}_{=T^{\mu}_{\nu}}.$$
 (D.2.18)

• 并且有

$$\partial_{\mu}T^{\mu\nu} = 0 \Longrightarrow P^{\mu} = \int d^3x \, T^{0\mu} = \text{Const.},$$
 (D.2.19)

来自守恒流散度为零.

#### D.2.4 Lorentz transformations, angular momentum and something else

• Lorentz transformation 下坐标做变换  $x'^{\mu} = \Lambda^{\mu}_{\ \nu} x^{\nu}$ , 其中  $\Lambda$  满足

$$\eta = \Lambda^T \eta \Lambda. \tag{D.2.20}$$

• infinitesimal Lorentz transformation 是

$$\Lambda = I + \epsilon, \tag{D.2.21}$$

其中  $\{\epsilon^{\mu\nu}\}=\epsilon\eta$  是反对称矩阵.

#### proof:

考虑

$$\eta = (\Lambda \eta)^T \eta(\Lambda \eta) = (\eta + \epsilon \eta)^T \eta(\eta + \epsilon \eta)$$

$$= \eta + \eta \epsilon^T + \epsilon \eta + O(\epsilon^2). \tag{D.2.22}$$

-----

• 标量场在 Lorentz transform 下的变换为

$$\Lambda: \phi^a(x) \mapsto \phi^a(\Lambda^{-1}x'). \tag{D.2.23}$$

有

$$D_{\lambda}\phi^{a} = -\epsilon^{\mu}_{\ \nu}x^{\nu}\partial_{\mu}\phi^{a} \quad \text{and} \quad D_{\lambda}\mathcal{L} = -\epsilon^{\mu}_{\ \nu}x^{\nu}\partial_{\mu}\mathcal{L} = -\epsilon_{\mu\nu}\partial^{\mu}(x^{\nu}\mathcal{L}), \tag{D.2.24}$$

代入 (D.2.12),

$$J^{\mu} = \frac{1}{2} \epsilon_{\nu\rho} M^{\mu\nu\rho} \quad \text{where} \quad M^{\mu\nu\rho} = x^{\nu} T^{\mu\rho} - x^{\rho} T^{\mu\nu},$$
 (D.2.25)

且有

$$\partial_{\mu}M^{\mu\nu\rho} = 0. \tag{D.2.26}$$

\_\_\_\_\_\_

• 对全空间积分,得到6个守恒量,

$$J^{\mu\nu} = \int d^3x \, M^{0\mu\nu} = \text{Const.},$$
 (D.2.27)

不难发现  $J^{ij}$  对应角动量. 现在来讨论  $J^{0i}$  的物理意义,

$$0 = \frac{d}{dt}J^{0i} = \frac{d}{dt}\int d^3x(tT^{0i} - x^iT^{00}) = P^i - \frac{d}{dt}\int d^3x \, x^iT^{00},\tag{D.2.28}$$

其中,用到了  $\frac{dP^{i}}{dt} = 0$  (见 (D.2.19)),可以将上式的第二项理解为质心运动的动量.

#### D.3 charge as generators

• the charge associated with the conserved current is

$$Q = \int d^{D} J^{0} = \int d^{D} x (\pi_{a} D_{\lambda} \phi^{a} - F^{0}),$$
 (D.3.1)

在  $F^{\mu} = 0$  且  $[D_{\lambda}\phi^{a}, \phi^{a}] = 0$  的情况下,

$$i[Q, \phi^a] = D_\lambda \phi^a. \tag{D.3.2}$$

#### D.4 what the graviton listens to: energy-momentum tensor

• the energy-momentum tensor is defined as (其中  $g = |\det\{g_{\mu\nu}\}|$ )

$$T_{\mu\nu} = -\frac{2}{\sqrt{g}} \frac{\delta(\sqrt{g}\mathcal{L}_M)}{\delta g^{\mu\nu}} = -2 \frac{\delta \mathcal{L}_M}{\delta g^{\mu\nu}} + g_{\mu\nu}\mathcal{L}_M. \tag{D.4.1}$$

• 如果将  $\mathcal{L}_M$  对  $g^{\mu\nu}$  做展开  $\mathcal{L}_M = A + g^{\mu\nu}B_{\mu\nu} + g^{\mu\nu}g^{\rho\sigma}C_{\mu\nu\rho\sigma} + \cdots$ ,那么

$$T_{\mu\nu} = -2(B_{\mu\nu} + 2g^{\rho\sigma}C_{\mu\nu\rho\sigma} + 3\cdots) + g_{\mu\nu}\mathcal{L}_M,$$
 (D.4.2)

另外, the trace of the energy-momentum tensor is

$$T = g^{\mu\nu}T_{\mu\nu} = d \times A + (d-2)g^{\mu\nu}B_{\mu\nu} + (d-4)g^{\mu\nu}g^{\rho\sigma}C_{\mu\nu\rho\sigma}, \tag{D.4.3}$$

可见 d=4 时, T 与  $C_{\mu\nu\rho\sigma}$  无关.

•  $\mathcal{L} = -\frac{1}{2}((\partial \phi)^2 - m^2\phi^2)$  和  $\mathcal{L} = \frac{1}{2}\phi(\partial^2 - m^2)\phi$  对应的 energy-momentum tensor 一样吗 (?).

#### D.4.1 example: energy-momentum tensor of the electromagnetic field

• 在 (+,-,-,-) 号差下, 定义  $A_{\mu}=(\phi,-\vec{A})$ , 这很容易让人误以为 4-potential 是 vector, 而事实上它是 covector, 按照这种定义

$$\frac{\delta F_{\rho\sigma}}{\delta q^{\mu\nu}} = 0. \tag{D.4.4}$$

- Wikipedia: Maxwell's equations in curved spacetime, Electromagnetic potential.
- 代入电磁场的 Lagrangian, 见 (11.2.1), 所以

$$T_{\mu\nu} = F_{\mu}{}^{\rho} F_{\nu\rho} - \mu^2 A_{\mu} A_{\nu} + g_{\mu\nu} \mathcal{L}$$
 (D.4.5)

- 在 Minkowski 时空中,

$$T_{\mu\nu} = \begin{pmatrix} -\mathcal{E} - \frac{1}{2}\mu^{2}(\phi^{2} + |\vec{A}|^{2}) & (\vec{E} \times \vec{B})_{i} - \mu^{2}\phi A_{i} \\ \vdots & -\delta_{ij}(\mathcal{E} + \frac{1}{2}\mu^{2}(\phi^{2} - |\vec{A}|^{2})) - \mu^{2}A_{i}A_{j} + E_{i}E_{j} + B_{i}B_{j} \end{pmatrix}$$

$$= \begin{pmatrix} -\mathcal{E} & (\vec{E} \times \vec{B})_{i} \\ \vdots & -\delta_{ij}\mathcal{E} + E_{i}E_{j} + B_{i}B_{j} \end{pmatrix} - \mu^{2} \begin{pmatrix} \frac{1}{2}(\phi^{2} + |\vec{A}|^{2}) & \phi A_{i} \\ \vdots & \frac{\delta_{ij}}{2}(\phi^{2} - |\vec{A}|^{2}) + A_{i}A_{j} \end{pmatrix}, \quad (D.4.6)$$

其中  $\mathcal{E} = \frac{1}{2}(|\vec{E}|^2 + |\vec{B}|^2).$ 

#### calculate:

在 Minkowski 时空中

$$\begin{cases}
\mathcal{L} = \frac{1}{2}(|\vec{E}|^2 - |\vec{B}|^2) + \frac{1}{2}\mu^2(\phi^2 - |\vec{A}|^2) \\
F_0^{\ \mu}F_{0\mu} = -|\vec{E}|^2 \\
F_i^{\ \mu}F_{0\mu} = (\vec{E} \times \vec{B})_i \\
F_i^{\ \mu}F_{j\mu} = E_iE_j - \delta_j^i |\vec{B}|^2 + B_iB_j
\end{cases}$$
(D.4.7)

- 另外注意到

$$T = -\mu^2 A^{\mu} A_{\mu},\tag{D.4.8}$$

可见 the energy-momentum tensor of electromagnetic field (when m=0) is traceless.

### Appendix E

# antiunitary operator and time reversal

#### E.1 complex conjugation operator

 $\bullet$  complex conjugation operator, K, is an antiunitary operator on the complex plane,

$$\begin{cases} Kz = z^* \\ zK^* = z^* \end{cases} \Longrightarrow K^2 = K^{*2} = 1.$$
 (E.1.1)

- $K^*I: V^* \to V^*$  是 dual space 上的算符.
- 对于一组 orthonormal basis, 有

$$\langle i|K^*IK|j\rangle = \delta_{ij},$$
 (E.1.2)

并且可以证明在基矢变换后这个等式依然成立.

#### proof:

- 对基矢做 unitary transformation,

$$|i'\rangle = U |i\rangle = \sum_{j} |j\rangle U_{ji}$$
 where  $U_{ji} = \langle j|U|i\rangle$ , (E.1.3)

那么

$$\langle i'|K^*IK|j'\rangle = \sum_{kl} \langle k|U_{ki}^*K^*IKU_{lj}|l\rangle = \sum_{kl} U_{ki}U_{lj}^*\delta_{kl} = \delta_{ij}.$$
 (E.1.4)

— 对基矢做 antiunitary transformation, 只需要证明  $|i'\rangle=K\,|i\rangle$  的情况, 此时

$$\langle i'|K^*IK|j'\rangle = \langle i|j\rangle = \delta_{ij}.$$
 (E.1.5)

#### E.2 antiunitary operator

- 对于一个 unitary operator,  $U, \Omega = UK$  是一个 antiunitary operator.
- 定义其 Hermitian conjugate

$$\Omega^{\dagger} = K^* U^{\dagger} \iff \langle i | \Omega j \rangle = \langle j | \Omega^{\dagger} i \rangle^*, \qquad (E.2.1)$$

那么

$$\begin{cases} \langle \phi | \Omega \psi \rangle = \langle \psi | \Omega^{\dagger} \phi \rangle^* \\ \langle \Omega \phi | \Omega \psi \rangle = \langle \psi | \phi \rangle \end{cases}$$
 (E.2.2)

#### proof:

首先,

$$\langle \phi | \Omega \psi \rangle = \sum_{ij} \langle i | \phi_i^* U K \psi_j | j \rangle$$

$$\begin{split} &= \sum_{ij} \phi_i^* \psi_j^* \left\langle i | UK | j \right\rangle \\ &= \left( \sum_{ij} \left\langle j | K^* U^\dagger | i \right\rangle \phi_i \psi_j \right)^* \\ &= \left( \sum_{ij} \left\langle j | \psi_j^* K^* U^\dagger \phi_i | i \right\rangle \right)^* = \left\langle \psi | K^* U^\dagger | \phi \right\rangle^*, \end{split} \tag{E.2.3}$$

其次,

$$\langle \Omega \phi | \Omega \psi \rangle = \langle \phi | \Omega^{\dagger} \Omega \psi \rangle = \langle \phi | K^* I K | \psi \rangle$$

$$= \sum_{ij} \langle i | \phi_i^* K^* I K \psi_j | j \rangle$$

$$= \sum_{ij} \phi_i \psi_j^* \langle i | K^* I K | j \rangle = \langle \psi | \phi \rangle.$$
(E.2.4)

#### E.3 time reversal in QM

• 在量子力学中,

$$\mathcal{T}: |\psi\rangle \mapsto |\psi'(t')\rangle = \int d^D x |x\rangle K \langle x|\psi(t)\rangle, \quad \text{where} \quad t' = -t.$$
 (E.3.1)

- 因此,对于动量本征态,

$$T|p\rangle = \int d^D x |x\rangle K e^{i\vec{p}\cdot\vec{x}} = |-p\rangle.$$
 (E.3.2)

- 对于动量算符,

$$TPT^{\dagger} = \int d^{D}p |-p\rangle p \langle -p| = -P.$$
 (E.3.3)

- 对于角动量算符,

$$TLT^{\dagger} = T(X \times P)T^{-1} = -L. \tag{E.3.4}$$

• 对于平面波,

$$\psi(t) = e^{i(\vec{k}\cdot\vec{x} - Et)} \mapsto \psi'(t') = \langle x|K^*IK|\psi(t)\rangle = e^{-i(\vec{k}\cdot\vec{x} - Et)},$$
 (E.3.5)

注意到 t' = -t, 代入,

$$\psi'(t) = e^{i(-\vec{k}\cdot\vec{x} - Et)}. ag{E.3.6}$$

### E.3.1 spin- $\frac{1}{2}$ non-relativistic electron

• 时间反演算符作用到 spin-up state 应该得到 spin-down state, 所以

$$T = \sigma_2 K. \tag{E.3.7}$$

- 因此

$$T^{2} = \sigma_{2}K\sigma_{2}K = \sigma_{2}^{*}\sigma_{2} = -1.$$
 (E.3.8)

- 具体地,

$$T\begin{pmatrix} 1\\0 \end{pmatrix} = \begin{pmatrix} 0\\i \end{pmatrix}, \quad T\begin{pmatrix} 0\\1 \end{pmatrix} = \begin{pmatrix} -i\\0 \end{pmatrix}. \tag{E.3.9}$$

• Kramer's degeneracy: 含有奇数个电子的时间反演不变系统, 其能级是 twofold degenerate.

#### proof:

因为系统时间反演不变, 所以  $\psi$  和  $T\psi$  有相同的能级, 且  $T\psi \neq e^{i\alpha}\psi$ ,  $\forall \alpha$ .

考虑  $T\psi = e^{i\alpha}\psi$ , 那么

$$T^{2}\psi = Te^{i\alpha}\psi = e^{-i\alpha}e^{i\alpha}\psi = \psi, \tag{E.3.10}$$

与  $T^2 = -1$  矛盾.