## Quantum Field Theory

a study note based on A. Zee's textbook

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## convention, notation, and units

- 笔记中的**度规号差**约定为 (-,+,+,+).
- 使用 Planck units, 此时  $G, \hbar, c, k_B = 1$ , 因此,

name/dimension	expression/value
Planck length $(L)$	$l_P = \sqrt{\frac{\hbar G}{c^3}} = 1.616 \times 10^{-35} \mathrm{m}$ $t_P = \frac{l_P}{c} = 5.391 \times 10^{-44} \mathrm{s}$
Planck time $(T)$	$t_P = \frac{V_P}{c} = 5.391 \times 10^{-44} \mathrm{s}$
Planck mass $(M)$	$m_P = \sqrt{\frac{\hbar c}{G}} = 2.176 \times 10^{-8} \mathrm{kg} \simeq 10^{19} \mathrm{GeV}$
Planck temperature $(\Theta)$	$T_P = \sqrt{\frac{\hbar c^5}{Gk_B^2}} = 1.417 \times 10^{32} \mathrm{K}$

• 时空维度用 d = D + 1 表示.

## Part I motivation and foundation

## free field theory

#### 1.1 partition function

• 考虑如下标量场,

$$\mathcal{L} = -\frac{1}{2}(\partial\phi)^2 - V(\phi) \tag{1.1.1}$$

A. Zee 说: 在作用量里, 时间的导数项必须是正的, 包括标量场的  $(\partial_0 \phi)^2$  和电磁场的  $(\partial_0 A_i)^2$ .

• 含有 source function 的路径积分为,

$$Z(J) = \int D\phi \, e^{i \int d^d x \, (-\frac{1}{2} (\partial \phi)^2 - V(\phi) + J(x)\phi(x))}$$
(1.1.2)

- 当  $V(\phi) = \frac{1}{2}m^2\phi^2$  时, 称作 free or Gaussian theory.
- 计算 free theory 的 partition function, 得到,

$$Z(J) = \mathcal{C}e^{-\frac{i}{2}\int d^dx d^dy J(x)D(x-y)J(y)}$$

$$\tag{1.1.3}$$

另外, 用 W(J) 表示指数上的部分 (去除掉虚数 i).

#### proof:

注意  $\partial^{\mu}\phi\partial_{\mu}\phi = \partial^{\mu}(\phi\partial_{\mu}\phi) - \phi\partial^{2}\phi$ , 忽略全微分项, 那么,

$$Z(J) = \int D\phi \, e^{i \int d^d x \, (\frac{1}{2}\phi(\partial^2 - m^2)\phi + J(x)\phi(x))}$$
(1.1.4)

代入 (B11) 可知

$$Z(J) = Ce^{-\frac{i}{2} \int d^d x d^d y \, J(x) D(x-y) J(y)}$$
(1.1.5)

其中 D(x-y) 满足

$$\begin{cases} (\partial^2 - m^2)D(x - y) = \delta^{(d)}(x - y) \\ (-p^2 - m^2)\tilde{D}(p, q) = (2\pi)^d \delta^{(d)}(p - q) \end{cases} \Longrightarrow D(x - y) = \int \frac{d^d k}{(2\pi)^d} \frac{e^{ik \cdot (x - y)}}{-k^2 - m^2}$$
(1.1.6)

#### 1.2 free propagator

- 为了使 (1.1.4) 中的积分在  $\phi$  较大时收敛, 作替换  $m^2\mapsto m^2-i\epsilon$ , 这样被积函数中会出现一项  $e^{-\epsilon\int d^dx\phi^2}$ .
- 注意 (1.1.6) 中的积分会遇到奇点,必须加入正无穷小量  $\epsilon$  避免发散,

$$D(x) = \int \frac{d^d k}{(2\pi)^d} \frac{e^{ik \cdot x}}{-k^2 - m^2 + i\epsilon} = -i \int \frac{d^D k}{(2\pi)^D 2\omega_k} \left( \theta(t) e^{i(-\omega_k t + \vec{k} \cdot \vec{x})} + \theta(-t) e^{i(\omega_k t + \vec{k} \cdot \vec{x})} \right)$$
(1.2.1)

#### calculation:

对  $k^0$  积分, 注意有两个奇点  $k^0=\pm(\omega_k-i\epsilon)$ , 当 t>0 时, contour 处于下半平面, ... (另外注意到我们可以任意改变  $\vec{k}$  的符号).

- D(x) 的取值与 x 的类时, 类空性质关系密切.
  - 类时区域,

$$D(t,0) = -i \int \frac{d^D k}{(2\pi)^D 2\omega_k} \left(\theta(t)e^{-i\omega_k t} + \theta(-t)e^{i\omega_k t}\right)$$
(1.2.2)

- 类空区域.

$$D(0, \vec{x}) = -i \int \frac{d^D k}{(2\pi)^D 2\omega_k} e^{i\vec{k}\cdot\vec{x}} \sim e^{-m|\vec{x}|}$$
 (1.2.3)

#### 1.3 from field to particle to force

#### 1.3.1 from field to particle

• 考虑 (1.1.3) 中的 W(J),

$$W(J) = -\frac{1}{2} \int d^d x d^d y J(y) D(x - y) J(y)$$
(1.3.1)

$$= -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \tilde{J}(-k) \frac{1}{-k^2 - m^2 + i\epsilon} \tilde{J}(k)$$
 (1.3.2)

其中, 如果 J(x) 是实函数, 那么  $\tilde{J}(-k) = \tilde{J}^*(k)$ .

• 考虑  $J(x) = J_1(x) + J_2(x)$ , 那么 W(J) 共有 4 项, 其中一个交叉项如下,

$$W_{12}(J) = -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \tilde{J}_1(-k) \frac{1}{-k^2 - m^2 + i\epsilon} \tilde{J}_2(k)$$
 (1.3.3)

可见 W(J) 取值较大的条件是:

- 1.  $\tilde{J}_1(k)$ ,  $\tilde{J}_2(k)$  有较大重叠,
- 2. 重叠位置的 k 是 on shell (即  $k^2 = -m^2$ ).
- 可以看出来, 这里有一个粒子从 1 传递到 2 (?).

#### 1.3.2 from particle to force

• 考虑  $J(x) = \delta^{(D)}(\vec{x} - \vec{x}_1) + \delta^{(D)}(\vec{x} - \vec{x}_1) \Longrightarrow \tilde{J}_a(k) = 2\pi e^{-i\vec{k}\cdot\vec{x}_a}\delta(k^0)$ , 那么,

$$W_{12}(J) + W_{21}(J) = \delta(0) \int \frac{d^D k}{(2\pi)^{D-1}} \frac{1}{|\vec{k}|^2 + m^2 - i\epsilon} \cos(\vec{k} \cdot (\vec{x}_1 - \vec{x}_2))$$

$$\stackrel{D=3}{=} 2\pi \delta(0) \frac{1}{4\pi r} e^{-mr}$$
(1.3.4)

 $(-i\epsilon$  显然可以舍去), 注意到  $\langle 0|e^{-iHT}|0\rangle=e^{-iET}$ , 而时间间隔  $T=\int dx^0=2\pi\delta(0)$ , 所以,

$$E = -\frac{W(J)}{T} \stackrel{D=3}{=} -\frac{1}{4\pi r} e^{-mr}$$
 (1.3.5)

#### calculation:

计算 (1.3.4) 中的积分, 令  $\vec{x}_1 - \vec{x}_2 = \vec{r}$ ,

$$I_D = \int \frac{d^D k}{(2\pi)^D} \frac{1}{|\vec{k}|^2 + m^2} \underbrace{\cos(\vec{k} \cdot \vec{r})}_{\mapsto e^{i\vec{k} \cdot \vec{r}}}$$

$$= \frac{1}{(2\pi)^D} \int (k\sin\theta_1)^{D-2} d\Omega_{D-2} \int kd\theta_1 dk \, \frac{1}{k^2 + m^2} e^{ikr\cos\theta_1}$$

$$= \frac{S_{D-2}}{(2\pi)^D} \int k^{D-1} \sin^{D-2}\theta_1 d\theta_1 dk \, \frac{1}{k^2 + m^2} e^{ikr\cos\theta_1}$$
(1.3.6)

取 D=3, 那么,

$$I_{D=3} = \frac{1}{(2\pi)^2} \int k^2 \sin \theta_1 d\theta_1 dk \frac{1}{k^2 + m^2} e^{ik \cos \theta_1}$$

$$= \frac{1}{2\pi^2 r} \int_0^\infty \sin(kr) \frac{kdk}{k^2 + m^2} = \frac{-i}{4\pi^2 r} \int_{-\infty}^\infty e^{ikr} \frac{kdk}{k^2 + m^2}$$

$$= \frac{-i}{4\pi^2 r} 2\pi i \underbrace{\text{Res}(f, im)}_{=\frac{1}{2}e^{-mr}} = \frac{1}{4\pi r} e^{-mr}$$
(1.3.7)

#### 1.4 vacuum energy

注意到,

$$Z(J=0) = \langle 0|e^{-iHT}|0\rangle \tag{1.4.1}$$

所以,

$$E_0 = \langle 0|H|0\rangle = \int \frac{d^D k}{(2\pi)^D} \frac{1}{2} \omega_k + \text{irrelevant terms}$$
 (1.4.2)

#### calculation:

代入 (B.1.1) (其中 N 是时空格点总数),

$$Z(J=0) = (2\pi)^{\frac{N}{2}} (\det A)^{-\frac{1}{2}}$$
(1.4.3)

其中  $A = -i(\partial^2 - m^2 + i\epsilon)$ .

- 注意到  $\det e^A = e^{\operatorname{tr} A} \Longrightarrow \det A = e^{\operatorname{tr} \ln A}$ , 代入, 并有,

$$(\ln A)\phi(x) = \int \frac{d^d k}{(2\pi)^d} e^{ik \cdot x} \ln(-i(-k^2 - m^2 + i\epsilon))\tilde{\phi}(k)$$
 (1.4.4)

对于  $A: v \mapsto u$  以及变换  $P: v \mapsto \tilde{v}$ , 有  $PAP^{-1}: \tilde{v} \mapsto \tilde{u}$ , 且  $\operatorname{tr} A = \operatorname{tr} PAP^{-1}$ , 所以,

$$-\frac{1}{2}\operatorname{tr} \ln A = -\frac{1}{2}\operatorname{tr} \ln(-i(-k^2 - m^2 + i\epsilon))$$

$$= -\frac{1}{2}\sum_{k}\ln(-i(-k^2 - m^2 + i\epsilon))$$

$$= -\frac{1}{2}\frac{VT}{(2\pi)^d}\int d^dk \ln(-i(-k^2 - m^2 + i\epsilon))$$
(1.4.5)

其中, 参考 (A.2.5), 有  $\sum_k = \frac{VT}{(2\pi)^d} \int d^d k$ .

代入 (1.4.1),

$$E_{0} = \frac{i}{T} \left( \frac{N}{2} \ln(2\pi) - \frac{1}{2} \frac{VT}{(2\pi)^{d}} \int d^{d}k \ln(-i(-k^{2} - m^{2} + i\epsilon)) \right)$$

$$= \frac{iN}{2T} \ln(2\pi) - \frac{i}{2}V \int \frac{d^{d}k}{(2\pi)^{d}} \left( \ln(\underbrace{-k^{2} - m^{2} + i\epsilon}) - \frac{\pi}{2}i \right)$$

$$= \frac{iN}{2T} \ln(2\pi) - \frac{i}{2}V \int \frac{d^{d}k}{(2\pi)^{d}} \left( \ln(\underbrace{-k^{2} - m^{2} + i\epsilon}) - \frac{\pi}{2}i \right)$$
(1.4.6)

略去与 m 无关的常数项,

$$\frac{\Delta E_0}{V} = -\frac{i}{2} \int \frac{d^D k}{(2\pi)^D} \int \frac{dk^0}{2\pi} \ln((k^0)^2 - \omega_k^2 + i\epsilon)$$
 (1.4.7)

做分部积分,

$$\ln((k^0)^2 - \omega_k^2 + i\epsilon) = \frac{d}{dk^0} (k^0 \ln((k^0)^2 - \omega_k^2 + i\epsilon)) - k^0 \frac{2k^0}{(k^0)^2 - \omega_k^2 + i\epsilon}$$
(1.4.8)

代入,

$$\frac{E_0}{V} = \frac{i}{2} \int \frac{d^D k}{(2\pi)^D} \int \frac{dk^0}{2\pi} \frac{2(k^0)^2}{(k^0)^2 - \omega_k^2 + i\epsilon} 
= \frac{i}{2} \int \frac{d^D k}{(2\pi)^D} \left(\frac{1}{2\pi} 2\pi i \frac{2(-\omega_k)^2}{-2\omega_k}\right) = \int \frac{d^D k}{(2\pi)^D} \frac{1}{2} \omega_k$$
(1.4.9)

另外,  $\ln(z^2 - 1 + i\epsilon)$  的图像如下,

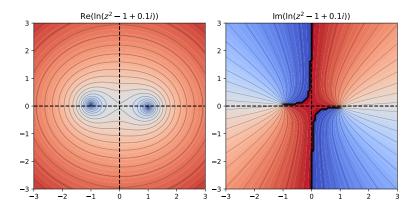


Figure 1.1: graph of  $\ln(z^2 - 1 + i\epsilon)$ 

## Coulomb and Newton: repulsive and attraction

#### 2.1 massive spin-1 particle & QED

• 构造有质量的光子的 Lagrangian density,

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{1}{2}m^2A_{\mu}A^{\mu}$$
 (2.1.1)

其中  $F_{\mu\nu} = 2\partial_{[\mu}A_{\nu]}$ .

• 做路径积分,

$$Z(J) = \int DA e^{i \int d^d x (\mathcal{L} + J_{\mu} A^{\mu})} = Ce^{-\frac{i}{2} \int d^d x d^d y J_{\mu} D^{\mu\nu} (x - y) J_{\nu}(y)}$$
(2.1.2)

#### calculation:

massive photon 的作用量为,

$$S(A) = \int d^{d}x \frac{1}{2} \left( - (\partial_{\mu}A_{\nu})(\partial^{\mu}A^{\nu}) + (\partial_{\mu}A_{\nu})(\partial^{\nu}A^{\mu}) - m^{2}A_{\mu}A^{\mu} \right)$$

$$= \int d^{d}x \frac{1}{2} \left( A_{\nu}\partial^{2}A^{\nu} - A_{\nu}\partial^{\nu}\partial_{\mu}A^{\mu} - m^{2}A_{\mu}A^{\mu} \right) + \text{total differential}$$

$$= \int d^{d}x \frac{1}{2} A_{\mu} \left( -\partial^{\mu}\partial^{\nu} + \eta^{\mu\nu}(\partial^{2} - m^{2}) \right) A_{\nu} + \text{total differential}$$

$$= \int \frac{d^{d}k}{(2\pi)^{d}} \tilde{A}_{\mu}(-k) \left( k^{\mu}k^{\nu} + \eta^{\mu\nu}(-k^{2} - m^{2}) \right) \tilde{A}_{\nu}(k) + \text{boundary term}$$
(2.1.3)

那么,需要有,

$$(-\partial^{\mu}\partial^{\rho} + \eta^{\mu\rho}(\partial^{2} - m^{2}))D_{\rho\nu}(x - y) = \delta^{\mu}_{\nu}\delta^{(d)}(x - y)$$

$$\Longrightarrow \tilde{D}_{\mu\nu}(k) = \frac{k_{\mu}k_{\nu}/m^{2} + \eta_{\mu\nu}}{-k^{2} - m^{2}}$$
(2.1.4)

考虑到积分需要收敛, 作替换  $m^2\mapsto m^2-i\epsilon$ , (为什么  $A_\mu$  类空, 只知道  $\tilde{A}_\mu$  类空, 见 subsection 2.1.2, 但路径积分中的 A 显然不满足 field equation  $\Longrightarrow$  路径积分中起主要作用的  $\tilde{A}$  类空, 因此  $-\epsilon|\tilde{A}|^2<0$ ).

因此,

$$W(J) = -\frac{1}{2} \int d^d x d^d y J_{\mu}(x) D^{\mu\nu}(x - y) J_{\nu}(y)$$
 (2.1.5)

$$= -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \tilde{J}_{\mu}(-k) \frac{k^{\mu} k^{\nu}/m^2 + \eta^{\mu\nu}}{-k^2 - m^2 + i\epsilon} \tilde{J}_{\nu}(k)$$
 (2.1.6)

注意到 current conservation, 有  $\partial_{\mu}J^{\mu}=0 \iff k^{\mu}\tilde{J}_{\mu}(k)=0$ , 所以,

$$W(J) = -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \tilde{J}^{\mu}(-k) \frac{1}{-k^2 - m^2 + i\epsilon} \tilde{J}_{\mu}(k)$$
 (2.1.7)

观察电荷分量,可见同性相斥,异性相吸.

#### 2.1.1 spin & polarization vector

• spin-1 particle 可以有 3 个极化方向, 即空间的 x,y,z 方向, 在粒子静止系下, 极化矢量  $(\epsilon^i)_{\mu} = \delta^i_{\mu}, i = 1,2,3$ , 而  $k_{\mu} = (-m,0,0,0)$ , 所以,

$$k^{\mu}(\epsilon^i)_{\mu} = 0 \tag{2.1.8}$$

- 注意,一个粒子的极化方向用  $e^i$  (这不是矢量) 表示,极化矢量为  $\sum_{i=1}^3 e^i (\epsilon^i)_\mu$ .
- 在粒子静止系下, 考虑,

$$\sum_{i=1}^{3} (\epsilon^{i})_{\mu} (\epsilon^{i})_{\nu} = \begin{pmatrix} 0 & 0 \\ 0 & \delta_{ij} \end{pmatrix} = \frac{k_{\mu}k_{\nu}}{m^{2}} + \eta_{\mu\nu} := -G_{\mu\nu}$$
 (2.1.9)

可见,

$$\tilde{D}_{\mu\nu}(k) = \frac{\sum_{i=1}^{3} (\epsilon^{i})_{\mu} (\epsilon^{i})_{\nu}}{-k^{2} - m^{2} + i\epsilon}$$
(2.1.10)

#### 2.1.2 Maxwell Lagrangian

• 根据 (2.1.1) 中的 Lagrangian density, 得到 field equation 如下,

$$\left(-\partial^{\mu}\partial^{\nu} + \eta^{\mu\nu}(\partial^2 - m^2)\right)A_{\nu} \tag{2.1.11}$$

— spin-1 particle 有 3 个自旋自由度, 而  $A_{\mu}$  有 4 个分量, 所以需要一个约束方程,

$$\partial^{\mu}A_{\mu} = 0 \iff k^{\mu}\tilde{A}_{\mu}(k) = 0 \tag{2.1.12}$$

实际上在 (2.1.11) 左右两边作用一个  $\partial_{\mu}$  即可得到这个约束方程.

#### 2.2 massive spin-2 particle & gravity

- Lagrangian for spin-2 particle = linearized Einstein Lagrangian.
- 受 subsection 2.1.1 启发, 对于 spin-2 particle, 其极化矢量有 5 个方向, 满足,

$$\begin{cases} k^{\mu}(\epsilon^{a})_{(\mu\nu)} = 0\\ \eta^{\mu\nu}(\epsilon^{a})_{(\mu\nu)} = 0 \end{cases}$$
 (2.2.1)

其中下指标  $\mu, \nu$  对称,  $a = 1, \dots, 5$ , (可以验证  $(\epsilon^a)_{\mu\nu}$  确实有 5 个独立分量).

- 对  $(\epsilon^a)_{\mu\nu}$  的归一化条件可以定义为  $\sum_{a=1}^{5} (\epsilon^a)_{12} (\epsilon^a)_{12} = 1$ .
- 与 subsection 2.1.1 中提示一样, 粒子的极化方向用  $e^a$  表示.
- 那么,

$$\sum_{a=1}^{5} (\epsilon^{a})_{\mu\nu} (\epsilon^{a})_{\rho\sigma} = (G_{\mu\rho}G_{\nu\sigma} + G_{\mu\sigma}G_{\nu\rho}) - \frac{2}{3}G_{\mu\nu}G_{\rho\sigma}$$
 (2.2.2)

#### calculation:

首先用  $k_\mu$  和  $\eta_{\mu\nu}$  构造最一般的关于  $\mu\leftrightarrow\nu,\rho\leftrightarrow\sigma,\mu\nu\leftrightarrow\rho\sigma$  对称的 4 阶张量, (下式中把  $\frac{k_\mu}{m}$  略写作  $k_\mu$ ),

$$Ak_{\mu}k_{\nu}k_{\rho}k_{\sigma} + B(k_{\mu}k_{\nu}\eta_{\rho\sigma} + k_{\rho}k_{\sigma}\eta_{\mu\nu}) + C(k_{\mu}k_{\rho}\eta_{\nu\sigma} + k_{\mu}k_{\sigma}\eta_{\nu\rho} + k_{\nu}k_{\rho}\eta_{\mu\sigma} + k_{\nu}k_{\sigma}\eta_{\mu\rho})$$

$$+ D\eta_{\mu\nu}\eta_{\rho\sigma} + E(\eta_{\mu\rho}\eta_{\nu\sigma} + \eta_{\mu\sigma}\eta_{\nu\rho})$$

$$(2.2.3)$$

代入 (2.2.1) 得,

$$\begin{cases} 0 = -A + B + 2C = -B + D = -C + E \\ 0 = -A + 4B + 4C = -B + 4D + 2E \end{cases} \Longrightarrow \frac{B = D, C = E}{A} = -\frac{1}{2}, \frac{3}{4}$$
 (2.2.4)

因此,这个4阶张量最终确定为,

$$\frac{3}{4}A\Big((G_{\mu\rho}G_{\nu\sigma} + G_{\mu\sigma}G_{\nu\rho}) - \frac{2}{3}G_{\mu\nu}G_{\rho\sigma}\Big)$$
(2.2.5)

• 所以,

$$\tilde{D}_{\mu\nu\rho\sigma}(k) = \frac{(G_{\mu\rho}G_{\nu\sigma} + G_{\mu\sigma}G_{\nu\rho}) - \frac{2}{3}G_{\mu\nu}G_{\rho\sigma}}{-k^2 - m^2 + i\epsilon}$$
(2.2.6)

• 计算路径积分中的 W(T),

$$W(T) = -\frac{1}{2} \int \frac{d^4k}{(2\pi)^4} \tilde{T}_{\mu\nu}(-k) \frac{(G^{\mu\rho}G^{\nu\sigma} + G^{\mu\sigma}G^{\nu\rho}) - \frac{2}{3}G^{\mu\nu}G^{\rho\sigma}}{-k^2 - m^2 + i\epsilon} \tilde{T}_{\rho\sigma}(k)$$
(2.2.7)

注意到  $\partial_{\mu}T^{\mu\nu}(x)=0\iff k_{\mu}\tilde{T}^{\mu\nu}(k)=0,$  并考虑到 T 是对称张量, 所以,

$$W(T) = -\frac{1}{2} \int \frac{d^4k}{(2\pi)^4} \tilde{T}_{\mu\nu}(-k) \frac{2\eta^{\mu\rho}\eta^{\nu\sigma} - \frac{2}{3}\eta^{\mu\nu}\eta^{\rho\sigma}}{-k^2 - m^2 + i\epsilon} \tilde{T}_{\rho\sigma}(k)$$
 (2.2.8)

考虑能量项,可见质量互相吸引.

#### 2.3 remarks

- 由于 seesaw mechanism (见 subsection C.1.1), 引入扰动一般会降低基态能量, 因此大多数相互作用表现为吸引, 而 spin-1 表现为同性相斥是因为  $\eta^{00}=-1$ .
- 本 chapter 中的计算都是  $m \neq 0$  的粒子, 与真实世界有差异.

## Feynman diagrams

#### 3.1 a baby problem

• 考虑如下积分,

$$Z(J) = \int_{-\infty}^{+\infty} dq \, e^{-\frac{1}{2}m^2q^2 - \frac{\lambda}{4!}q^4 + Jq}$$
(3.1.1)

• Schwinger's way: 把 integrand 对  $\lambda$  展开, 并将 q 用  $\frac{\partial}{\partial J}$  替代, 得到,

$$Z(J) = e^{-\frac{\lambda}{4!} \left(\frac{\partial}{\partial J}\right)^4} \int_{-\infty}^{+\infty} dq \, e^{-\frac{1}{2}m^2 q^2 + Jq}$$

$$= \sqrt{\frac{2\pi}{m^2}} e^{-\frac{\lambda}{4!} \left(\frac{\partial}{\partial J}\right)^4} e^{\frac{J^2}{2m^2}}$$
(3.1.2)

后面的计算中忽略  $Z(J=0, \lambda=0)$ .

• 每个 vertex 带有  $-\lambda$ , 每个 line 带有  $\frac{1}{m^2}$ , 剩下的系数通过展开项算, 如下 (numerical factors 最好通过 Wick's way 算, 不过 baby problem 里 q 无法区分, 所以不方便算, 先略了),

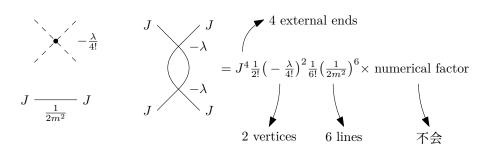


Figure 3.1: baby problem - Feynman diagram

## 

#### 3.1.1 Wick contraction and Green's functions

• 把积分 (3.1.1) 对 J 展开,

$$Z(J) = \sum_{n=0}^{\infty} \frac{1}{n!} J^n \underbrace{\int_{-\infty}^{+\infty} dq \, e^{-\frac{1}{2}m^2 q^2 - \frac{\lambda}{4!} q^4} q^n}_{=Z(0,0)G^{(n)}}$$
(3.1.4)

其中 Green's function  $G^{(n)}$  对  $\lambda$  展开后, 可以用 Wick contraction 计算 (见 (B.1.5)), 这就是 Wick's way.

#### calculation:

计算  $\lambda J^4$  项, 它来自  $G^{(4)}$  对  $\lambda$  展开的一阶项,

$$-\frac{\lambda}{4!} \int dq \, e^{-\frac{1}{2}m^2 q^2} q^8 = -\frac{\lambda}{4!} \langle q^8 \rangle$$

$$= -\frac{\lambda}{4!} \sum_{\text{Wick}} \left(\frac{1}{m^2}\right)^4$$

$$= -\frac{\lambda}{4!} \frac{7 \times 5 \times 3 \times 1}{m^8}$$
(3.1.5)

所以  $\lambda J^4$  项等于  $\frac{105}{(4!)^2} \frac{-\lambda J^4}{m^8}$ .

#### 3.1.2 connected vs. disconnected

考虑,

$$Z(J,\lambda) = Z(J=0,\lambda)e^{W(J,\lambda)}$$
(3.1.6)

其中  $Z(J=0,\lambda)$  由 diagrams with no external source J 组成, 而  $W(J,\lambda)$  则由 connected diagrams 组成 (?).

• 我们希望计算的是 W, 而不是 Z (?).

#### 3.2 a child problem

• 考虑如下积分,

$$Z(J) = \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q - \frac{\lambda}{4!}q^4 + J^T \cdot q}$$
(3.2.1)

其中  $q^4 = \sum_i q_i^4$ .

• Schwinger's way: 对  $\lambda$  展开并把 q 替换为  $\frac{\partial}{\partial J}$ , 得到,

$$Z(J) = \sqrt{\frac{(2\pi)^N}{\det A}} e^{-\frac{\lambda}{4!} (\frac{\partial}{\partial J})^4} e^{\frac{1}{2}J^T \cdot A^{-1} \cdot J}$$
 (3.2.2)

其中  $\left(\frac{\partial}{\partial J}\right)^4 = \sum_i \left(\frac{\partial}{\partial J_i}\right)^4$ .

#### 3.2.1 *n*-point Green's function

• Wick's way: 对 J 展开获得带 Green's function 的表达式,

$$Z(J) = \sum_{n=0}^{\infty} \frac{1}{n!} \sum_{i_1=1}^{N} \cdots \sum_{i_n=1}^{N} J_{i_1} \cdots J_{i_n} \underbrace{\int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q - \frac{\lambda}{4!} q^4} q_{i_1} \cdots q_{i_n}}_{=Z(0,0)G_{i_1 \cdots i_n}^{(n)}}$$
(3.2.3)

其中  $G_{i_1\cdots i_n}^{(n)}$  称为 n-point Green's function.

#### Taylor expansion:

多元函数的 Taylor 展开如下,

$$f(x_1, \dots, x_N) = \sum_{n_1=0}^{\infty} \dots \sum_{n_N=0}^{\infty} \frac{x_1^{n_1}}{n_1!} \dots \frac{x_N^{n_N}}{n_N!} \frac{\partial^{n_1}}{\partial x_1^{n_1}} \dots \frac{\partial^{n_N}}{\partial x_N^{n_N}} f(x=0)$$

$$= \sum_{n=0}^{\infty} \frac{1}{n!} \sum_{i_1=1}^{N} \dots \sum_{i_n=1}^{N} x_{i_1} \dots x_{i_n} \frac{\partial}{\partial x_{i_1}} \dots \frac{\partial}{\partial x_{i_N}} f(x=0)$$
(3.2.4)

这两种求和方法,  $x_1^{n_1} \cdots x_N^{n_N}$  项的 numerical factor 都等于,

$$\frac{1}{n!} \times \frac{n!}{n_1! \cdots n_N!} = \frac{1}{n_1! \cdots n_N!}$$
 (3.2.5)

其中  $n = n_1 + \cdots + n_N$ .

• 在  $\lambda = 0$  时, 2-point Green's function 就是 propagator

$$G_{ij}^{(2)}(\lambda = 0) = \frac{1}{Z(0,0)} \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q} q_i q_j$$

$$= \frac{\partial}{\partial J_i} \frac{\partial}{\partial J_j} e^{\frac{1}{2}J^T \cdot A^{-1} \cdot J} \Big|_{J=0} = A_{ij}^{-1}$$
(3.2.6)

• 来计算 2, 3, 4-point Green's functions,

$$\begin{cases} G_{ij}^{(2)} = A_{ij}^{-1} - \frac{\lambda}{4!} \sum_{m} (3A_{mm}^{-1} A_{mm}^{-1} A_{ij}^{-1} + 12A_{mm}^{-1} A_{mi}^{-1} A_{mj}^{-1}) + O(\lambda^{2}) \\ G_{ijk}^{(3)} = 0 \\ G_{ijkl}^{(4)} = A_{ij}^{-1} A_{kl}^{-1} + A_{ik}^{-1} A_{jl}^{-1} + A_{il}^{-1} A_{jk}^{-1} \\ - \frac{\lambda}{4!} \sum_{m} (A_{mm}^{-1} A_{mm}^{-1} A_{ij}^{-1} A_{kl}^{-1} + \dots + 4! A_{im}^{-1} A_{jm}^{-1} A_{km}^{-1} A_{lm}^{-1}) + O(\lambda^{2}) \end{cases}$$

$$(3.2.7)$$

#### calculation:

2-point Green's function 计算如下,

$$G_{ij}^{(2)} = \frac{1}{Z(0,0)} \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q} \left( 1 - \frac{\lambda}{4!} q^4 + O(\lambda^2) \right) q_i q_j$$

$$= A_{ij}^{-1} - \frac{\lambda}{4!} \left\langle q^4 q_i q_j \right\rangle + O(\lambda^2)$$

$$= A_{ij}^{-1} - \frac{\lambda}{4!} \sum_m (3A_{mm}^{-1} A_{mm}^{-1} A_{ij}^{-1} + 12A_{mm}^{-1} A_{mi}^{-1} A_{mj}^{-1}) + O(\lambda^2)$$
(3.2.8)

3-point Green's function 计算如下,

$$G_{ijk}^{(32)} = \frac{1}{Z(0,0)} \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q} \left(1 - \frac{\lambda}{4!} q^4 + O(\lambda^2)\right) q_i q_j q_k = 0 \tag{3.2.9}$$

4-point Green's function 计算如下,

$$G_{ijkl}^{(4)} = \frac{1}{Z(0,0)} \int dq_1 \cdots dq_N \, e^{-\frac{1}{2}q^T \cdot A \cdot q} \left( 1 - \frac{\lambda}{4!} q^4 + O(\lambda^2) \right) q_i q_j q_k q_l$$

$$= A_{ij}^{-1} A_{kl}^{-1} + A_{ik}^{-1} A_{jl}^{-1} + A_{il}^{-1} A_{jk}^{-1} - \frac{\lambda}{4!} \left\langle q^4 q_i q_j q_k q_l \right\rangle + O(\lambda^2)$$
(3.2.10)

#### 3.3 perturbative field theory

• 做如下替换即可,

$$\begin{cases} A \mapsto -i(\partial^2 - m^2) \\ J \mapsto iJ \end{cases} \tag{3.3.1}$$

• Schwinger's way:  $\phi^4$  theory 的路径积分,

$$Z(J) = \int D\phi \, e^{i \int d^d x \, (\frac{1}{2}\phi(\partial^2 - m^2)\phi - \frac{\lambda}{4!}\phi^4 + J(x)\phi(x))}$$
(3.3.2)

$$= Z(0,0)e^{-i\frac{\lambda}{4!}\int d^dz \left(\frac{\delta}{i\delta J(z)}\right)^4} e^{-\frac{i}{2}\int d^dx d^dy J(x)D(x-y)J(y)}$$
(3.3.3)

其中 D(x-y) 是自由场的 propagator, 见 (1.2.1).

• Wick's way: 同样, 对 J 展开得到含 Green's functions 的表达式,

$$\frac{Z(J)}{Z(0,0)} = \sum_{n=0}^{\infty} \frac{i^n}{n!} \int d^d x_1 \cdots d^d x_n J(x_1) \cdots J(x_n) G^{(n)}(x_1, \cdots, x_n)$$
(3.3.4)

其中,

$$G^{(n)}(x_1, \dots, x_n) = \frac{1}{Z(0, 0)} \int D\phi \, e^{i \int d^d x \, (\frac{1}{2}\phi(\partial^2 - m^2)\phi - \frac{\lambda}{4!}\phi^4)} \phi(x_1) \dots \phi(x_n)$$
(3.3.5)

有时 Z(J) 被称为 generating functional, 因为它能生成 Green's functions.

#### 3.3.1 collision between particles

• 通过 Wick's way, 考虑  $J(x_1)J(x_2)J(x_3)J(x_4)$  项, 实际上就是要计算  $G^{(4)}(x_1,x_2,x_3,x_4)$ , 它的 0 阶项为,

$$G^{(4)}(x_1, x_2, x_3, x_4, \lambda = 0) = \frac{\delta}{i\delta J(x_1)} \frac{\delta}{i\delta J(x_2)} \frac{\delta}{i\delta J(x_3)} \frac{\delta}{i\delta J(x_4)} e^{-\frac{i}{2} \int d^d x d^d y J(x) D(x-y) J(y)}$$

$$= -(D_{12}D_{34} + D_{13}D_{24} + D_{14}D_{23})$$
(3.3.6)

其中  $D_{ij}$  是  $D(x_i - x_j)$  的简写, 可见, 传播子实际上是  $(-i)^3 D = iD$ .

•  $G_{1234}^{(4)}$  的 1 阶项为,

1st order term = 
$$-\frac{i\lambda}{4!} \int d^d z \, \langle \phi_1 \cdots \phi_4 \phi^4(z) \rangle$$
  
=  $-\frac{i\lambda}{4!} \int d^d z \, \frac{\delta}{i\delta J_1} \cdots \frac{\delta}{i\delta J_4} \left( \frac{\delta}{i\delta J(z)} \right)^4 e^{-\frac{i}{2} \int d^d x d^d y \, J(x) D(x-y) J(y)}$   
=  $-\frac{i\lambda}{4!} \int d^d z \, \left( 4! D_{1z} D_{2z} D_{3z} D_{4z} + 4 \times 3 D_{12} D_{3z} D_{4z} + \cdots + 3 D_{12} D_{34} D_{zz} D_{zz} + \cdots \right)$  (3.3.7)

其中各项分别对应如下 Feynman diagrams,

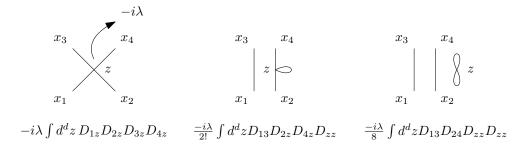


Figure 3.2: position space - Feynman diagrams

其中 numerical factor 可以从 vertex 的四个 external end 的对称性得出.

• 再举一个例子,

$$\begin{array}{c}
x_3 & x_4 \\
\hline
z_2 & \\
z_1 & \\
x_2 & \\
\end{array} = (4 \times 3)^2 \times 2 \times \left(\frac{-i\lambda}{4!}\right)^2 \int d^d z_1 d^d z_2 D_{1z_1} D_{2z_1} D_{3z_2} D_{4z_2} D_{z_1 z_2} D_{z_1 z_2} \\
\end{array} (3.3.8)$$

#### 3.3.2 in momentum space

• 本 subsection 将 (3.3.5) 转换到 momentum space, 注意到  $\tilde{J}(k)$  和  $\tilde{J}(-k)$  并不独立, 所以  $\frac{\partial}{\partial i \tilde{J}}$  不适用. 最 方便的办法是直接对 position space 下的结果做 Fourier transformation,

$$\tilde{G}^{(n)}(k_1, \dots, k_n) = \int d^d x_1 \dots d^d x_n \, e^{-i(k_1 \cdot x_1 + \dots)} G^{(n)}(x_1, \dots, x_n)$$

$$= \sum_{n=0}^{\infty} \frac{1}{n!} \int d^d x_1 \cdots d^d x_n \, e^{-i(k_1 \cdot x_1 + \cdots)} \left\langle \left( -\frac{i\lambda}{4!} \int d^d z \, \phi_z^4 \right)^n \phi_1 \cdots \phi_n \right\rangle \tag{3.3.9}$$

- propagator 的 Fourier transformation 是,

$$\tilde{D}_{pq} = \int d^d x d^d y \, e^{-i(p \cdot x + q \cdot y)} D(x - y) = \frac{(2\pi)^d \delta^{(d)}(p + q)}{-p^2 - m^2 + i\epsilon}$$
(3.3.10)

但似乎没有用.

•  $\tilde{G}^{(4)}(k_1, k_2, k_3, k_4)$  的 1 阶项为,

1st order term = 
$$-\frac{i\lambda}{4!} \int d^d x_1 \cdots d^d x_4 e^{-i(k_1 \cdot x_1 + \cdots)} \int d^d z \langle \phi_z^4 \phi_1 \cdots \phi_4 \rangle$$
 (3.3.11)

考虑第1项,

$$-\frac{i\lambda}{4!} \int d^{d}x_{1} \cdots d^{d}x_{4} e^{-i(k_{1} \cdot x_{1} + \cdots)} \int d^{d}z \, 4! D_{1z} \cdots D_{4z}$$

$$= -i\lambda \int d^{d}x_{1} \cdots d^{d}x_{4} d^{d}z \, e^{-i(k_{1} \cdot x_{1} + \cdots)} e^{i(p_{1} \cdot (x_{1} - z) + \cdots)} \prod_{i=1}^{4} \int \frac{d^{d}p_{i}}{(2\pi)^{d}} \, \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$= -i\lambda \underbrace{\int d^{d}z \, e^{-iz \cdot (k_{1} + \cdots + k_{4})}}_{=(2\pi)^{d} \delta^{(d)}(k_{1} + \cdots + k_{4})} \prod_{i=1}^{4} \frac{1}{-k_{i}^{2} - m^{2} + i\epsilon}$$
(3.3.12)

- 出射粒子不一定 on-shell (?).
- 得到这些 Feynman diagrams,

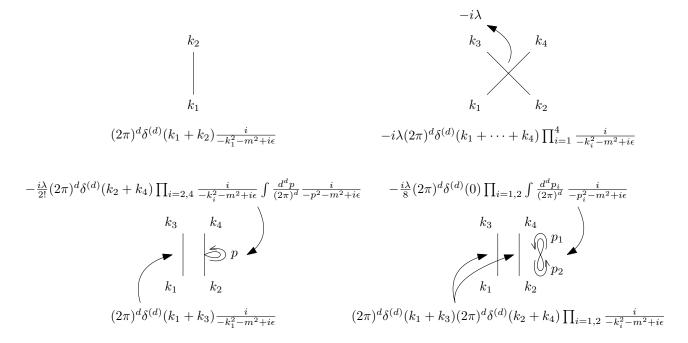


Figure 3.3: momentum space - Feynman diagrams

#### calculation:

第3幅图的计算如下,

$$-\frac{i\lambda}{2!} \int d^d x_1 \cdots d^d x_4 e^{-i(k_1 \cdot x_1 + \cdots)} \int d^d z \, D_{13} D_{2z} D_{4z} D_{zz}$$

$$= -\frac{i\lambda}{2!} \int d^{d}x_{1} \cdots d^{d}x_{4} d^{d}z \, e^{-i(k_{1} \cdot x_{1} + \cdots)} e^{i(p_{1} \cdot (x_{1} - x_{3}) + p_{2} \cdot (x_{2} - z) + p_{4} \cdot (x_{4} - z) + p_{4} \cdot 0)}$$

$$\prod_{i=1}^{4} \int \frac{d^{d}p_{i}}{(2\pi)^{d}} \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$= -\frac{i\lambda}{2!} \int d^{d}z \, e^{-iz \cdot (p_{2} + p_{4})} \delta^{(d)}(p_{1} - k_{1}) \delta^{(d)}(p_{2} - k_{2}) \delta^{(d)}(p_{1} + k_{3}) \delta^{(d)}(p_{4} - k_{4})$$

$$\prod_{i=1}^{4} \int d^{d}p_{i} \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$= -\frac{i\lambda}{2!} (2\pi)^{d} \delta^{(d)}(k_{1} + k_{3}) \delta^{(d)}(k_{2} + k_{4}) \prod_{i=1,2,4} \frac{1}{-k_{i}^{2} - m^{2} + i\epsilon} \int \frac{d^{d}p}{-p^{2} - m^{2} + i\epsilon}$$

$$(3.3.13)$$

第4幅图的计算如下,

$$-\frac{i\lambda}{8} \int d^{d}x_{1} \cdots d^{d}x_{4} e^{-i(k_{1} \cdot x_{1} + \cdots)} \int d^{d}z \, D_{13} D_{24} D_{zz} D_{zz}$$

$$= -\frac{i\lambda}{8} \int d^{d}x_{1} \cdots d^{d}x_{4} d^{d}z \, e^{-i(k_{1} \cdot x_{1} + \cdots)} e^{i(p_{1} \cdot (x_{1} - x_{3}) + p_{2} \cdot (x_{2} - x_{4}) + p_{3} \cdot 0 + p_{4} \cdot 0)}$$

$$\prod_{i=1}^{4} \int \frac{d^{d}p_{i}}{(2\pi)^{d}} \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$= -\frac{i\lambda}{8} \int d^{d}z \, \delta^{(d)}(p_{1} - k_{1}) \delta^{(d)}(p_{2} - k_{2}) \delta^{(d)}(p_{1} + k_{3}) \delta^{(d)}(p_{2} + k_{4})$$

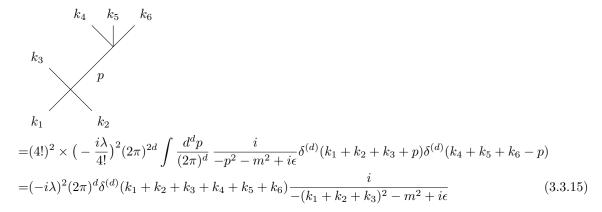
$$\prod_{i=1}^{4} \int d^{d}p_{i} \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$= -\frac{i\lambda}{8} (2\pi)^{d} \delta^{(d)}(0) \delta^{(d)}(k_{1} + k_{3}) \delta^{(d)}(k_{2} + k_{4}) \prod_{i=1,2} \frac{1}{-k_{i}^{2} - m^{2} + i\epsilon}$$

$$\prod_{i=1,2} \int d^{d}p_{i} \frac{1}{-p_{i}^{2} - m^{2} + i\epsilon}$$

$$(3.3.14)$$

• 再举一个例子 (略去了  $\prod_{i=1}^6 rac{i}{-k_i^2 - m^2 + i\epsilon}$ ),



#### 3.3.3 loops and a first look at divergence

• subsection 3.3.2 里的 loop diagrams 出现了如下积分,

$$\int \frac{d^d p}{(2\pi)^d} \frac{i}{-p^2 - m^2 + i\epsilon} = \int \frac{d^D p}{(2\pi)^D 2\omega_p} \sim \int \frac{d^D p}{|p|}$$
(3.3.16)

积分发散.

• 再举一个例子 (略去了  $\prod_{i=1}^4 \frac{i}{-k_i^2 - m^2 + i\epsilon}$ ),

$$k_{3} \qquad k_{4}$$

$$p \qquad k_{1} \qquad k_{2}$$

$$= (4 \times 3)^{2} \times 2 \times \left(\frac{-i\lambda}{4!}\right)^{2} \int \frac{d^{d}p}{(2\pi)^{d}} \frac{i}{-p^{2} - m^{2} + i\epsilon} \int \frac{d^{d}q}{(2\pi)^{d}} \frac{i}{-q^{2} - m^{2} + i\epsilon}$$

$$(2\pi)^{d}\delta^{(d)}(k_{1} + k_{2} + p - q)(2\pi)^{d}\delta^{(d)}(k_{3} + k_{4} - p + q) \qquad (3.3.17)$$

$$= \frac{(-i\lambda)^{2}}{2}(2\pi)^{d}\delta^{(d)}(k_{1} + k_{2} + k_{3} + k_{4}) \int \frac{d^{d}p}{(2\pi)^{d}} \frac{i}{-p^{2} - m^{2} + i\epsilon} \frac{i}{-(k_{1} + k_{2} + p)^{2} - m^{2} + i\epsilon}$$

$$= \frac{(-i\lambda)^{2}}{2}(2\pi)^{d}\delta^{(d)}(k_{1} + k_{2} + k_{3} + k_{4}) \int \frac{d^{D}p}{(2\pi)^{D}} \left(\frac{1}{2\omega_{p}} \frac{i}{(k_{1}^{0} + k_{2}^{0} - \omega_{p})^{2} - \omega_{k_{1} + k_{2} + p}^{2}} + \frac{i}{(\omega_{k_{1} + k_{2} + p} - k_{1}^{0} - k_{2}^{0})^{2} - \omega_{p}^{2}} \frac{1}{2\omega_{k_{1} + k_{2} + p}}\right) \qquad (3.3.18)$$

$$\sim \int \frac{d^{D}p}{p^{3}} \qquad (3.3.19)$$

同样, 积分发散.

## canonical quantization

- A. Zee: the canonical and the path integral formalisms often appear complementary, in the sense that results difficult to see in one are clear in the other.
- nobody is perfect:
  - canonical quantization: 如何定义场算符乘积的顺序.
  - path integral: integration measure.

#### 4.1 Heisenberg and Dirac

#### 4.1.1 quantum mechanics

• 单粒子的 classical Lagrangian 为,

$$L = \frac{1}{2}\dot{q}^2 - V(q) \Longrightarrow \begin{cases} p = \dot{q} \\ H = p\dot{q} - L = \frac{1}{2}p^2 + V(q) \end{cases}$$

$$\tag{4.1.1}$$

• canonical commutation relation 如下,

$$[p,q] = -i \tag{4.1.2}$$

因此, 算符的演化方程为,

$$\begin{cases} \frac{dp}{dt} = i[H, p] = -V'(q) \\ \frac{dq}{dt} = i[H, q] = p \end{cases}$$
(4.1.3)

#### calculation:

$$\begin{cases}
[p,q] = -i \\
[p,q^2] = -2iq \\
\vdots \\
[p,q^n] = -iq^{n-1} + q[p,q^{n-1}]
\end{cases} \Longrightarrow [p,q^n] = -inq^{n-1} \Longrightarrow [p,V(q)] = -iV'(q) \tag{4.1.4}$$

• follow Dirac's approach,

$$a = \frac{1}{\sqrt{2\omega}}(\omega q + ip) \iff \begin{cases} q = \frac{1}{\sqrt{2\omega}}(a + a^{\dagger}) \\ p = -i\sqrt{\frac{\omega}{2}}(a - a^{\dagger}) \end{cases} \Longrightarrow [a, a^{\dagger}] = 1$$
 (4.1.5)

算符 a 的演化方程为,

$$\frac{da}{dt} = -i\sqrt{\frac{\omega}{2}} \left(\frac{1}{\omega} V'(q) + ip\right) \tag{4.1.6}$$

#### 4.1.2 scalar field

• 标量场的 Lagrangian 为,

$$L = \int d^{D}x \left( -\frac{1}{2} ((\partial \phi)^{2} + m^{2} \phi^{2}) - u(\phi) \right)$$
 (4.1.7)

canonical commutation relation 为

$$\pi(\vec{x},t) = \frac{\delta L(t)}{\delta \partial_0 \phi(\vec{x},t)} = \partial_0 \phi(\vec{x},t) \quad \text{and} \quad [\pi(\vec{x},t),\phi(\vec{y},t)] = -i\delta^{(D)}(\vec{x}-\vec{y}) \tag{4.1.8}$$

标量场的 Hamiltonian 为.

$$H = \int d^{D}x (\pi \phi - \mathcal{L}) = \int d^{D}x \left( \frac{1}{2} (\pi^{2} + |\vec{\nabla}\phi|^{2} + m^{2}\phi^{2}) + u(\phi) \right)$$
(4.1.9)

\_\_\_\_\_

• 算符的演化方程为,

$$\begin{cases} \partial_0 \phi = i[H, \phi] = \pi \\ \partial_0 \pi = i[H, \pi] = (-\vec{\nabla}^2 + m^2)\phi + \frac{du}{d\phi} \Longrightarrow (\partial^2 - m^2)\phi - \frac{du}{d\phi} = 0 \end{cases}$$
(4.1.10)

• 当  $u(\phi) = 0$  时, 求解场方程 (4.1.10) 和 canonical commutation relation (4.1.8) 得到,

$$\phi(\vec{x},t) = \int \frac{d^D k}{(2\pi)^D 2\omega_k} (\alpha_k(t)e^{i\vec{k}\cdot\vec{x}} + \alpha_k^{\dagger}(t)e^{-i\vec{k}\cdot\vec{x}})$$

$$(4.1.11)$$

其中,

$$\alpha_k(t) = \sqrt{(2\pi)^D 2\omega_k} \, a_{\vec{k}} e^{-i\omega_k t} \quad \text{and} \quad [a_{\vec{p}}, a_{\vec{q}}^{\dagger}] = \delta^{(D)}(\vec{p} - \vec{q}) \tag{4.1.12}$$

另外, 在后面的笔记中使用简记  $\sqrt{(2\pi)^D 2\omega_k} = \rho(k)$ .

#### calculation:

求解场方程 (4.1.10), 得到,

$$\phi(\vec{x},t) = \int \frac{d^D k}{(2\pi)^D} (\alpha_{\vec{k}} e^{i(-\omega_k t + \vec{k} \cdot \vec{x})} + \alpha_{\vec{k}}^{\dagger} e^{-i(-\omega_k t + \vec{k} \cdot \vec{x})})$$
(4.1.13)

代入 canonical commutation relation (4.1.8), 有 (其中  $x^0=y^0=t, k^0=\omega_k$ ),

$$\int \frac{d^{D}k_{2}}{(2\pi)^{D}} \left( -i\omega_{k_{1}} [\alpha_{\vec{k}_{1}}, \alpha_{\vec{k}_{2}}] e^{i(k_{1} \cdot x + k_{2} \cdot y)} + i\omega_{k_{1}} [\alpha_{\vec{k}_{1}}^{\dagger}, \alpha_{\vec{k}_{2}}^{\dagger}] e^{-i(k_{1} \cdot x + k_{2} \cdot y)} \right) 
- i\omega_{k_{1}} [\alpha_{\vec{k}_{1}}, \alpha_{\vec{k}_{2}}^{\dagger}] e^{i(k_{1} \cdot x - k_{2} \cdot y)} + i\omega_{k_{1}} [\alpha_{\vec{k}_{1}}^{\dagger}, \alpha_{\vec{k}_{2}}] e^{-i(k_{1} \cdot x - k_{2} \cdot y)} \right) = -ie^{i\vec{k}_{1} \cdot (\vec{x} - \vec{y})} 
\Rightarrow \begin{cases} [\alpha_{\vec{k}_{1}}, \alpha_{\vec{k}_{2}}^{\dagger}] = \frac{1}{2\omega_{k_{1}}} \delta^{(D)} (\vec{k}_{1} + \vec{k}_{2}) \Longrightarrow [\alpha_{\vec{k}}, \alpha_{\vec{k}}] \neq 0 \quad \text{wrong} 
[\alpha_{\vec{k}_{1}}, \alpha_{\vec{k}_{2}}^{\dagger}] = \frac{1}{2\omega_{\vec{k}_{1}}} \delta^{(D)} (\vec{k}_{1} - \vec{k}_{2}) \quad \text{right} 
\end{cases}$$

$$(4.1.14)$$

• 代入 (4.1.9) 可得 (依然是  $u(\phi) = 0$  的情况下),

$$H = \int d^D k \,\omega_k \frac{a_{\vec{k}}^{\dagger} a_{\vec{k}} + a_{\vec{k}} a_{\vec{k}}^{\dagger}}{2} = \int d^D k \,\omega_k \left( a_{\vec{k}}^{\dagger} a_{\vec{k}} + \frac{1}{2} \delta^{(D)}(0) \right) \Longrightarrow \langle 0 | H | 0 \rangle = V \int \frac{d^D k}{(2\pi)^D} \frac{1}{2} \omega_k \tag{4.1.15}$$
其中,  $V = \int d^D x = (2\pi)^D \delta^{(D)}(0)$ .

• vacuum state 定义为  $a_{\vec{k}}|0\rangle = 0$ , 有,

$$\langle 0|\phi(x)\phi(y)|0\rangle = \int \frac{d^D k}{(2\pi)^D 2\omega_L} e^{ik\cdot(x-y)}$$
(4.1.16)

其中  $k^0 = \omega_k$ . 因此, 对比 (1.2.1), 有,

$$\langle 0|T(\phi(x)\phi(y))|0\rangle = iD(x-y) \tag{4.1.17}$$

#### energy-momentum tensor

• scalar field 的动量算符为,

$$P^{\mu} = \int d^{D}x T^{0\mu} = \int d^{D}k \, k^{\mu} a_{\vec{k}}^{\dagger} a_{\vec{k}}$$
 (4.1.18)

其中, energy-momentum tensor 见 subsection D.2.3, 另外  $P^0 = H$  还有一个 vacuum energy.

#### 4.2 interaction picture

- 注意, 在  $u(\phi) \neq 0$  的情况下, (即便在 Schrödinger's picture 里, t = 0 时) (4.1.11) 不再成立, 因此无法通过 Schrödinger's picture or Heisenberg's picture 求解存在相互作用的场论.
- 将 Hamiltonian 分成两个部分,

$$H = H_0 + H' (4.2.1)$$

• operators 以自由场的 Hamiltonian 演化,

$$O_I(t) = U_0^{\dagger}(t,0)O(0)U_0(t,0) \quad \text{where} \quad U_0(t_2,t_1) = \text{Texp}\left(-i\int_{t_1}^{t_2} dt \, H_0\right)$$
 (4.2.2)

states 以如下方式演化,

$$|\psi(t)\rangle_I = U_0^{\dagger}(t,0)U(t,0)|\psi(0)\rangle \quad \text{where} \quad U(t_2,t_1) = \text{Texp}\Big(-i\int_{t_1}^{t_2} dt \, H\Big)$$
 (4.2.3)

因此,

$$|\psi(t_2)\rangle_I = U_I(t_2, t_1) |\psi(t_1)\rangle_I \quad \text{where} \quad U_I(t_2, t_1) = \text{Texp}\Big(-i\int_{t_1}^{t_2} dt \, H_I(t)\Big)$$
 (4.2.4)

注意, (4.2.2) 和 (4.2.3) 中, Texp 里的  $H, H_0$  都是 Schrödinger's picture 里的算符.

#### calculation:

首先有,

$$U_I(t_2, t_1) = U_0^{\dagger}(t_2, 0)U(t_2, t_1)U_0(t_1, 0)$$
(4.2.5)

因此,

$$\frac{d}{dt}U_{I}(t,t_{0}) = iH_{0}U_{I}(t,t_{0}) - iU_{0}^{\dagger}(t,0)HU(t,t_{0})U_{0}(t_{0},0)$$

$$= -i\underbrace{U_{0}^{\dagger}(t,0)H'U_{0}(t,0)}_{=H_{I}(t)}U_{I}(t,t_{0})$$
(4.2.6)

#### 4.3 scattering amplitude

• 最一般的过程是  $p_1, \dots, p_m \to q_1, \dots, q_n$ , 其 scattering amplitude 为,

$$\langle q_1, \cdots, q_n | U_0^{\dagger}(-\infty, 0) U_I(+\infty, -\infty) U_0(-\infty, 0) | p_1, \cdots, p_m \rangle$$
 (4.3.1)

一般会忽略掉  $U_0$  产生的相位.

• 考虑  $\phi^4$  理论中的  $k_1, k_2 \to k_3, k_4$  过程,

$$\langle k_3, k_4 | e^{-i \int d^d x \frac{\lambda}{4!} \phi^4} | k_1, k_2 \rangle$$
 (4.3.2)

对  $\lambda$  展开, 0 阶项为,

0th order term = 
$$\langle k_3, k_4 | k_1, k_2 \rangle$$
  
=  $\rho(k_1) \rho(k_2) \rho(k_3) \rho(k_4) \langle 0 | a_{\vec{k}_3} a_{\vec{k}_4} a_{\vec{k}_1}^{\dagger} a_{\vec{k}_2}^{\dagger} | 0 \rangle$ 

$$= \rho(k_{1})\rho(k_{2})\rho(k_{3})\rho(k_{4}) \left( \underbrace{\langle 0|\vec{a}_{\vec{k}_{3}}\vec{a}_{\vec{k}_{4}}\vec{a}_{\vec{k}_{1}}^{\dagger}\vec{a}_{\vec{k}_{2}}^{\dagger}|0\rangle}_{=\delta_{31}^{(D)}\delta_{42}^{(D)}} + \underbrace{\langle 0|\vec{a}_{\vec{k}_{3}}\vec{a}_{\vec{k}_{4}}\vec{a}_{\vec{k}_{1}}^{\dagger}\vec{a}_{\vec{k}_{2}}^{\dagger}|0\rangle}_{=\delta_{32}^{(D)}\delta_{41}^{(D)}} \right)$$

$$= (2\pi)^{2D}4\omega_{k_{1}}\omega_{k_{2}}(\delta^{(D)}(\vec{k}_{1} - \vec{k}_{3})\delta^{(D)}(\vec{k}_{2} - \vec{k}_{4}) + \delta^{(D)}(\vec{k}_{1} - \vec{k}_{4})\delta^{(D)}(\vec{k}_{2} - \vec{k}_{3}))$$

$$(4.3.3)$$

1 阶项为 (其中  $k^0 = \omega_k$ ),

1st order term = 
$$\frac{-i\lambda}{4!} \int d^{d}x \ \langle k_{3}, k_{4} | \phi^{4}(x) | k_{1}, k_{2} \rangle$$

$$= \underbrace{4! \times \frac{-i\lambda}{4!} \int d^{d}x \, e^{i(k_{1} + k_{2} - k_{3} - k_{4}) \cdot x}}_{= -i\lambda(2\pi)^{d} \delta^{(d)}(k_{1} + k_{2} - k_{3} - k_{4}) \cdot x} + \rho(k_{1})\rho(k_{4})\delta^{(D)}_{14} \times 12 \times \frac{-i\lambda}{4!} (2\pi)^{d} \delta^{(d)}_{23} \int \frac{d^{D}p}{\rho^{2}(p)}$$

$$+ \dots + \rho(k_{1})\rho(k_{2})\rho(k_{3})\rho(k_{4})\delta^{(D)}_{13}\delta^{(D)}_{24} \times 3 \times \frac{-i\lambda}{4!} \int d^{d}x \int \frac{d^{D}p_{1}}{\rho^{2}(p_{1})} \frac{d^{D}p_{2}}{\rho^{2}(p_{2})} + \dots$$
(4.3.4)

分别对应如下 Feynman diagrams,

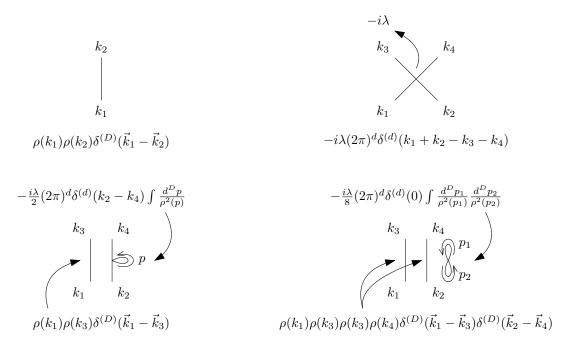


Figure 4.1: canonical quantization - Feynman diagrams

观察可见, 上图和 figure 3.3 有对应关系.

#### • 再举一个例子,

$$k_{3} \qquad k_{4}$$

$$p \qquad k_{1} \qquad k_{2}$$

$$= (4 \times 3)^{2} \times 2 \times \left(\frac{-i\lambda}{4!}\right)^{2} \rho(k_{1}) \cdots \int d^{d}x_{1} d^{d}x_{2} \int \frac{d^{D}p_{1} \cdots}{\rho(p_{1}) \cdots \rho(q_{1}) \cdots} e^{i(p_{1} + p_{2} - p_{3} - p_{4}) \cdot x_{1}} e^{i(q_{1} + q_{2} - q_{3} - q_{4}) \cdot x_{2}}$$

$$\left(\theta(t_{2} - t_{1}) \langle 0 | a_{\vec{k}_{3}} a_{\vec{k}_{4}} a_{\vec{q}_{1}} a_{\vec{q}_{2}} a_{\vec{q}_{3}}^{\dagger} a_{\vec{q}_{4}}^{\dagger} a_{\vec{p}_{1}} a_{\vec{p}_{2}}^{\dagger} a_{\vec{p}_{3}}^{\dagger} a_{\vec{p}_{4}}^{\dagger} a_{\vec{k}_{1}}^{\dagger} a_{\vec{k}_{2}}^{\dagger} |0\rangle + \cdots \right)$$

$$= \frac{(-i\lambda)^{2}}{2} \int d^{d}x_{1} d^{d}x_{2} \int \frac{d^{D}p_{3}}{\rho^{2}(p_{3})} \frac{d^{D}p_{4}}{\rho^{2}(p_{4})} \left(\theta(t_{2} - t_{1})e^{i(k_{1} + k_{2} - p_{3} - p_{4}) \cdot x_{1}} e^{i(p_{3} + p_{4} - k_{3} - k_{4}) \cdot x_{2}} + \theta(t_{1} - t_{2})e^{i(k_{1} + k_{2} + p_{3} + p_{4}) \cdot x_{1}} e^{i(-p_{3} - p_{4} - k_{3} - k_{4}) \cdot x_{2}} \right)$$

$$= \frac{(-i\lambda)^2}{2} \int d^d x_1 d^d x_2 e^{i((k_1+k_2)\cdot x_1 - (k_3+k_4)\cdot x_2)} \int \frac{d^D p_3}{\rho^2(p_3)} \frac{d^D p_4}{\rho^2(p_4)} \Big(\theta(t_2 - t_1)e^{i(p_3+p_4)\cdot (x_2 - x_1)} + \theta(t_1 - t_2)e^{i(p_3+p_4)\cdot (x_1 - x_2)}\Big)$$

$$(4.3.5)$$

同样,与 (3.3.18) 有对应关系,(注意按时间排序  $\langle k_3k_4|T(\phi^4(x_1)\phi^4(x_2))|k_1k_2\rangle$ ).

#### calculation:

从 (3.3.17) 开始 (5(1.2.1)) 类似,  $\vec{p}$ ,  $\vec{q}$  的符号可以任意改变),

$$\int d^{d}x_{1}d^{d}x_{2} e^{i(k_{1}+k_{2}+p-q)\cdot x_{1}} e^{i(k_{3}+k_{4}-p+q)\cdot x_{2}} \int \frac{d^{d}p}{(2\pi)^{d}} \frac{d^{d}q}{(2\pi)^{d}} \frac{i}{-p^{2}-m^{2}+i\epsilon} \frac{i}{-q^{2}-m^{2}+i\epsilon}$$

$$= \int d^{d}x_{1}d^{d}x_{2} e^{i((k_{1}+k_{2})\cdot x_{1}+(k_{3}+k_{4})\cdot x_{2})} \int \frac{d^{d}p}{(2\pi)^{d}} \frac{d^{d}q}{(2\pi)^{d}} \frac{ie^{ip\cdot(x_{1}-x_{2})}}{-p^{2}-m^{2}+i\epsilon} \frac{ie^{ie^{iq\cdot(x_{2}-x_{1})}}}{-q^{2}-m^{2}+i\epsilon}$$

$$= \int d^{d}x_{1}d^{d}x_{2} e^{i((k_{1}+k_{2})\cdot x_{1}+(k_{3}+k_{4})\cdot x_{2})} \int \frac{d^{D}p}{(2\pi)^{d}} \frac{d^{D}q}{(2\pi)^{d}} \left(\theta(t_{2}-t_{1})\frac{2\pi i^{2}e^{-ip\cdot(x_{1}-x_{2})}}{-2\omega_{p}}\right)$$

$$= \int d^{d}x_{1}d^{d}x_{2} e^{i((k_{1}+k_{2})\cdot x_{1}+(k_{3}+k_{4})\cdot x_{2})} \int \frac{d^{D}p}{\rho^{2}(p)} \frac{d^{D}q}{\rho^{2}(q)} \left(\theta(t_{2}-t_{1})e^{i(p+q)\cdot(x_{2}-x_{1})}\right)$$

$$+ \theta(t_{1}-t_{2})e^{i(p+q)\cdot(x_{1}-x_{2})}\right) \tag{4.3.6}$$

结果与 (4.3.5) 对应.

#### complex scalar field 4.4

• complex scalar field 的 Lagrangian 为,

$$\mathcal{L} = -(\partial \psi^{\dagger})(\partial \psi) - m^2 \psi^{\dagger} \psi \tag{4.4.1}$$

实际上, complex scalar field 可以视为 2 个 real scalar fields 的和.

$$\psi = \frac{1}{\sqrt{2}}(\phi_1 + i\phi_2) \Longrightarrow \left| \frac{\partial \phi_1, \phi_2}{\partial \psi, \psi^{\dagger}} \right| = i \tag{4.4.2}$$

因此, 也可以把  $\psi$ ,  $\psi^{\dagger}$  视为两个独立的场.

• 其 canonical momentum 为,

$$\pi(x) = \frac{\delta \mathcal{L}}{\delta \partial_0 \psi} = \partial_0 \psi^{\dagger} \quad \pi^{\dagger} = \partial_0 \psi \tag{4.4.3}$$

其 Hamiltonian 为,

$$\mathcal{H} = \pi^{\dagger} \pi + (\vec{\nabla} \psi^{\dagger}) \cdot (\vec{\nabla} \psi) + m^2 \psi^{\dagger} \psi \tag{4.4.4}$$

$$\mathcal{H} = \pi^{\dagger} \pi + (\vec{\nabla} \psi^{\dagger}) \cdot (\vec{\nabla} \psi) + m^{2} \psi^{\dagger} \psi$$

$$\Longrightarrow \begin{cases} \partial_{0} \pi = i[H, \pi] = \vec{\nabla}^{2} \psi^{\dagger} - m^{2} \psi^{\dagger} \\ \partial_{0} \psi = i[H, \psi] = \pi^{\dagger} \end{cases} \Longrightarrow (-\partial^{2} - m^{2}) \psi = 0$$

$$(4.4.4)$$

• 求解得到 (其中  $k^0 = \omega_k$ ),

$$\psi(x) = \int \frac{d^D k}{\rho(k)} \left( a_{\vec{k}} e^{ik \cdot x} + b_{\vec{k}}^{\dagger} e^{-ik \cdot x} \right) \tag{4.4.6}$$

• 从 path integral 的角度,

$$Z(J,J^{\dagger}) = \int D\psi D\psi^{\dagger} e^{i \int d^{d}x \, (\psi^{\dagger}(\partial^{2} - m^{2})\psi + J^{\dagger}\psi + \psi^{\dagger}J)}$$

$$(4.4.7)$$

$$= Ce^{-\frac{i}{2} \int d^d x d^d y \, 2J^{\dagger}(x) D(x-y) J(y)} \tag{4.4.8}$$

#### calculation:

转换为  $\phi_1, \phi_2$  后计算路径积分,

$$Z(J, J^{\dagger}) = Ce^{-\frac{i}{2} \int d^{d}x d^{d}y \, (J_{1}(x)D(x-y)J_{1}(y) + J_{2}(x)D(x-y)J_{2}(y))}$$

$$= Ce^{-\frac{i}{2} \int d^{d}x d^{d}y \, 2J^{\dagger}(x)D(x-y)J(y)}$$
(4.4.9)

#### 4.4.1 charge

• 对场算符做如下变换,

$$\psi(x,\lambda) = e^{i\lambda}\psi(x) \Longrightarrow D_{\lambda}\mathcal{L} = 0 \tag{4.4.10}$$

• 因此, 得到 conserved current,

$$J^{\mu} = \pi^{\mu} D_{\lambda} \psi + \pi^{\dagger \mu} D_{\lambda} \psi^{\dagger} = i(\psi \partial^{\mu} \psi^{\dagger} - \psi^{\dagger} \partial^{\mu} \psi) \tag{4.4.11}$$

其 0 分量对空间积分就是 charge,

$$Q = \int d^D x J^0 = \int d^D x i (\psi^{\dagger} \partial_0 \psi - \psi \partial_0 \psi^{\dagger})$$
$$= \int d^D k \left( a_{\vec{k}}^{\dagger} a_{\vec{k}} - b_{\vec{k}}^{\dagger} b_{\vec{k}} \right)$$
(4.4.12)

#### calculation:

$$\begin{split} Q &= \int d^D x \int \frac{d^D p}{\rho(p)} \frac{d^D q}{\rho(q)} i \Big( (a_{\vec{p}}^{\dagger} e^{-ip \cdot x} + b_{\vec{p}} e^{ip \cdot x}) (-i\omega_q) (a_{\vec{q}} e^{iq \cdot x} - b_{\vec{q}}^{\dagger} e^{-iq \cdot x}) \\ &- (a_{\vec{q}} e^{iq \cdot x} + b_{\vec{q}}^{\dagger} e^{-iq \cdot x}) (i\omega_p) (a_{\vec{p}}^{\dagger} e^{-ip \cdot x} - b_{\vec{p}} e^{ip \cdot x}) \Big) \\ &= \int d^D x \int \frac{d^D p}{\rho(p)} \frac{d^D q}{\rho(q)} \Big( (\omega_p a_{\vec{q}} a_{\vec{p}}^{\dagger} + \omega_q a_{\vec{p}}^{\dagger} a_{\vec{q}}) e^{-i(p-q) \cdot x} - (\omega_p b_{\vec{q}}^{\dagger} b_{\vec{p}} + \omega_q b_{\vec{p}} b_{\vec{q}}^{\dagger}) e^{i(p-q) \cdot x} \\ &+ a_{\vec{p}}^{\dagger} b_{\vec{q}}^{\dagger} (\omega_p - \omega_q) e^{-i(p+q) \cdot x} - a_{\vec{q}} b_{\vec{p}} (\omega_p - \omega_q) e^{i(p+q) \cdot x} \Big) \\ &= \int \frac{d^D p}{\rho(p)} \frac{d^D q}{\rho(q)} \\ &\Big( \Big( (\omega_p a_{\vec{q}} a_{\vec{p}}^{\dagger} + \omega_q a_{\vec{p}}^{\dagger} a_{\vec{q}}) e^{i(\omega_p - \omega_q) \cdot t} - (\omega_p b_{\vec{q}}^{\dagger} b_{\vec{p}} + \omega_q b_{\vec{p}} b_{\vec{q}}^{\dagger}) e^{-i(\omega_p - \omega_q) \cdot t} \Big) (2\pi)^D \delta^{(D)} (\vec{p} - \vec{q}) \\ &+ \Big( a_{\vec{p}}^{\dagger} b_{\vec{q}}^{\dagger} (\omega_p - \omega_q) e^{i(\omega_p + \omega_q) \cdot x} - a_{\vec{q}} b_{\vec{p}} (\omega_p - \omega_q) e^{-i(\omega_p + \omega_q) \cdot x} \Big) (2\pi)^D \delta^{(D)} (\vec{p} + \vec{q}) \Big) \\ &= \int \frac{d^D k}{2} \left( a_{\vec{k}} a_{\vec{k}}^{\dagger} + a_{\vec{k}}^{\dagger} a_{\vec{k}} - b_{\vec{k}}^{\dagger} b_{\vec{k}} \right) = \int d^D k \left( a_{\vec{k}}^{\dagger} a_{\vec{k}} - b_{\vec{k}}^{\dagger} b_{\vec{k}} \right) \end{aligned} \tag{4.4.13}$$

• 代入 (D.3.2), 有 
$$i[Q,\psi] = -i\psi$$
, 所以, 
$$e^{-i\lambda Q}\psi e^{i\lambda Q} = e^{i\lambda}\psi \tag{4.4.14}$$

## disturbing the vacuum: Casimir effect

• 考虑一个沿  $x^1$  方向满足 periodic b.c. 的空间, 在垂直于  $x^1$  方向有两个 plates, s.t. 在 plates 上  $\phi(x)=0$ , 如下图.

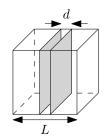


Figure 5.1: Casimir effect

• 平板内外, 标量场的波矢的取值为,

$$\begin{cases} (n\frac{\pi}{d}, k_2, k_3) & \text{平板内} \\ (n\frac{\pi}{L-d}, k_2, k_3) & \text{平板外} \end{cases}$$
 (5.0.1)

其中  $n \in \mathbb{Z}^+$ .

• 因此, 代入真空能公式 (4.1.15), 平板内的能量为,

$$\frac{E(d)}{A} = \sum_{n=1}^{\infty} \int \frac{dk_2 dk_3}{(2\pi)^2} \frac{1}{2} \sqrt{\left(n\frac{\pi}{d}\right)^2 + k_2^2 + k_3^2}$$
 (5.0.2)

而总能量为 E = E(d) + E(L - d).

• 为解决能量发散的问题, 引入 ultra-violet (UV) cut-off,

$$\frac{E(d)}{A} = \sum_{n=1}^{\infty} \int \frac{dk_2 dk_3}{(2\pi)^2} \frac{1}{2} \sqrt{\left(n\frac{\pi}{d}\right)^2 + k_2^2 + k_3^2} e^{-a\sqrt{(n\frac{\pi}{d})^2 + k_2^2 + k_3^2}}$$
(5.0.3)

for some  $a \ll d$ .

• 为了简化问题, 考虑 d = 1 + 1 的情况,

$$E_{1+1}(d) = \frac{\pi}{2d} \sum_{n=1}^{\infty} n e^{-\frac{a\pi}{d}n} = \frac{\pi}{2d} \frac{e^{\frac{a\pi}{d}}}{(e^{\frac{a\pi}{d}} - 1)^2} = \frac{d}{2\pi a^2} - \frac{\pi}{24d} + O(a^2)$$
 (5.0.4)

因此,

$$E_{1+1} = E_{1+1}(d) + E_{1+1}(L-d) = \frac{L}{2\pi a^2} - \frac{\pi}{24} \left(\frac{1}{d} + \frac{1}{L-d}\right) + O(a^2)$$
 (5.0.5)

得到 Casimir force.

$$F_{1+1} = -\frac{\partial E_{1+1}}{\partial d} = -\frac{\pi}{24} \left( \frac{1}{d^2} - \frac{1}{(L-d)^2} \right) + O(a^2) \stackrel{L \to \infty, a \to 0}{=} -\frac{\pi}{24d^2}$$
 (5.0.6)

• 问题中, a 引入了 UV cut-off, L 引入了 infrared cut-off.

# Part II Dirac and spinor

## the Dirac spinor

- 整个 Part II 中, 我们使用 (+, -, -, -) 号差, 因为 Cl<sub>1,3</sub>(ℝ)∠Cl<sub>3,1</sub>(ℝ).
- 本笔记中的算符的定义与 A. Zee 的定义不同, 存在如下对应关系,

A. Zee's def.	my def.
$egin{array}{l} \omega_{\mu u} \ -iJ^{\mu u} \ -i\sigma^{\mu u} \end{array}$	$\omega_{\mu u} \ J^{\mu u} \ \sigma^{\mu u}$

•  $\Pi(\Lambda)$  的写法可能不准确, (要考虑 universal cover,  $\mathrm{Spin}(1,3) \simeq \mathrm{Spin}(3,1)$ ), 因为 Lorentz transform 对 spinor 的操作是"path dependent", 因此本 chapter 中的  $\Lambda$  都默认沿着以下的 path 做变换,

$$\Lambda(\lambda) = e^{\frac{\lambda}{2}\omega_{\mu\nu}J^{\mu\nu}}, \lambda \in [0, 1]$$
(6.0.1)

#### 6.1 gamma matrices

• Pauli 矩阵如下,

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \tag{6.1.1}$$

• gamma 矩阵 (also called Dirac matrices) 如下 (其中 i = 1, 2, 3),

$$\gamma^{0} = \begin{pmatrix} I \\ I \end{pmatrix} = I \otimes \tau_{1} \quad \gamma^{i} = \begin{pmatrix} \sigma_{i} \\ -\sigma_{i} \end{pmatrix} = i\sigma_{i} \otimes \tau_{2} \quad \gamma^{5} = i\Omega = \begin{pmatrix} -I \\ I \end{pmatrix} = -I \otimes \tau_{3}$$
 (6.1.2)

其中  $\tau_{2,3}$  也是 Pauli 矩阵,  $\Omega = \gamma^0 \gamma^1 \gamma^2 \gamma^3$ , 有时候使用符号  $\sigma^\mu = (I, \vec{\sigma}), \bar{\sigma}^\mu = (I, -\vec{\sigma}).$ 

- 另外,

$$\begin{cases} \gamma^0 \gamma^i = -\sigma_i \otimes \tau_3 \\ \gamma^i \gamma^j = -(\sigma_i \sigma_j) \otimes I = -i\epsilon_{ijk} \sigma_k \otimes I \end{cases} \begin{cases} \Omega \gamma^0 = -I \otimes \tau_2 \\ \Omega \gamma^i = -\sigma_i \otimes \tau_2 \end{cases}$$
(6.1.3)

其中, 用到了  $\sigma_i \sigma_j = i \epsilon_{ijk} \sigma_k$ .

• gamma 矩阵满足,

$$\begin{cases} (\gamma^{\mu})^2 = \eta^{\mu\mu} \\ \gamma^{\mu}\gamma^{\nu} = -\gamma^{\nu}\gamma^{\mu} & \mu \neq \nu \end{cases} \Longrightarrow \{\gamma^{\mu}, \gamma^{\nu}\} = 2\eta^{\mu\nu}$$
 (6.1.4)

• 且存在如下关系,

$$\Omega \gamma^{0} = -\gamma^{1} \gamma^{2} \gamma^{3} \quad \Omega \gamma^{1} = -\gamma^{0} \gamma^{2} \gamma^{3} \quad \Omega \gamma^{2} = \gamma^{0} \gamma^{1} \gamma^{3} \quad \Omega \gamma^{3} = -\gamma^{0} \gamma^{1} \gamma^{2}$$

$$\iff -\epsilon^{\mu\nu\rho}{}_{\sigma} \Omega \gamma^{\sigma} = \gamma^{\mu} \gamma^{\nu} \gamma^{\rho} \quad \text{when} \quad \mu \neq \nu \neq \rho$$
(6.1.5)

并且有 (注意到  $\Omega^2 = -1$ ),

$$\{\Omega, \gamma^{\mu}\} = 0 \quad \{\Omega, \Omega \gamma^{\mu}\} = 0 \quad [\Omega, \gamma^{\mu} \gamma^{\nu}] = 0 \tag{6.1.6}$$

-----

• 定义  $\sigma^{\mu\nu} = \frac{1}{2} [\gamma^{\mu}, \gamma^{\nu}]$  (注意, 我们的定义中没有虚数 i, 与 A. Zee 的定义不同),

$$\gamma^{\mu}\gamma^{\nu} = \frac{1}{2} \{\gamma^{\mu}, \gamma^{\nu}\} + \frac{1}{2} [\gamma^{\mu}, \gamma^{\nu}] = \eta^{\mu\nu} + \sigma^{\mu\nu} \Longrightarrow \begin{cases} \sigma^{0i} = \begin{pmatrix} -\sigma_i \\ \sigma_i \end{pmatrix} = -\sigma_i \otimes \tau_3 \\ \sigma^{ij} = -i\epsilon^{ijk} \begin{pmatrix} \sigma_k \\ \sigma_k \end{pmatrix} = -i\epsilon^{ijk} \sigma_k \otimes I \end{cases}$$
(6.1.7)

与笔记 Lie Groups and Lie Algebras 中  $(\frac{1}{2},0) \oplus (0,\frac{1}{2})$  表示对比, 可见  $\pi_{(\frac{1}{2},0)\oplus(0,\frac{1}{2})}(J^{\mu\nu}) = \frac{1}{2}\sigma^{\mu\nu}$ .

#### 6.1.1 gamma matrices under Dirac basis

• 做如下相似变换  $(B = S^{-1}AS)$ ,

$$S = \frac{\sqrt{2}}{2} \begin{pmatrix} I & -I \\ I & I \end{pmatrix} \iff S^{-1} = \frac{\sqrt{2}}{2} \begin{pmatrix} I & I \\ -I & I \end{pmatrix} \tag{6.1.8}$$

得到,

$$\gamma^0 = \begin{pmatrix} I & \\ & -I \end{pmatrix} = I \otimes \tau_3 \quad \gamma^i = \begin{pmatrix} & \sigma_i \\ -\sigma_i & \end{pmatrix} = i\sigma_i \otimes \tau_2 \quad \gamma^5 = \begin{pmatrix} & I \\ I & \end{pmatrix} = I \otimes \tau_1 \tag{6.1.9}$$

另外,

$$\begin{cases} \gamma^0 \gamma^i = \sigma_i \otimes \tau_1 \\ \gamma^i \gamma^j = -i\epsilon_{ijk} \sigma_k \otimes I \end{cases} \begin{cases} \Omega \gamma^0 = -I \otimes \tau_2 \\ \Omega \gamma^i = i\sigma_i \otimes \tau_3 \end{cases}$$
(6.1.10)

以及,

$$\sigma^{0i} = \begin{pmatrix} \sigma_i \\ \sigma_i \end{pmatrix} = \sigma_i \otimes \tau_1 \quad \sigma^{ij} = -i\epsilon^{ijk} \begin{pmatrix} \sigma_k \\ \sigma_k \end{pmatrix} = -i\epsilon^{ijk} \sigma_k \otimes I$$
 (6.1.11)

### **6.2** Lorentz transformation and the $(\frac{1}{2},0) \oplus (0,\frac{1}{2})$ representation

• Lorentz 变换可以写成如下形式,

$$\Lambda = e^{\frac{1}{2}\omega_{\mu\nu}J^{\mu\nu}} \tag{6.2.1}$$

其中  $\omega_{\mu\nu}$  反对称,  $J^{0i}$  generate boosts and  $J^{ij}$  generate rotations, (详见笔记 Lie Groups and Lie Algebras).

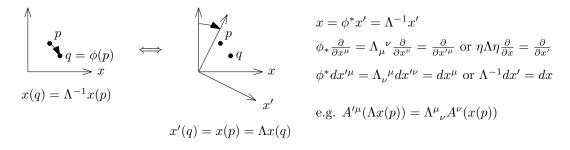


Figure 6.1: Lorentz transformation

• Weyl spinor  $\mathcal{L}(\frac{1}{2},0) \oplus (0,\frac{1}{2})$  rep. in vector space  $\mathbb{L}(0,0)$ 

$$\Psi = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} \quad \text{with} \quad \Psi_{\text{Dirac}} = S^{-1}\Psi = \frac{\sqrt{2}}{2} \begin{pmatrix} \psi_L + \psi_R \\ -\psi_L + \psi_R \end{pmatrix}$$
 (6.2.2)

在 Weyl basis 下很容易看出,

$$\Psi_L = \frac{1}{2}(1 - \gamma^5)\Psi \quad \Psi_R = \frac{1}{2}(1 + \gamma^5)\Psi \tag{6.2.3}$$

• 对于 gamma 矩阵, 有,

$$\Pi(\Lambda)\gamma^{\rho}\Pi^{-1}(\Lambda) = e^{\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}}\gamma^{\rho}e^{-\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}} = (\Lambda^{-1})^{\rho}{}_{\sigma}\gamma^{\sigma}$$

$$(6.2.4)$$

#### calculation:

利用 Campbell's identity,

$$e^{\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}}\gamma^{\rho}e^{-\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}} = e^{\frac{1}{4}\omega_{\mu\nu}\operatorname{ad}_{\sigma^{\mu\nu}}}\gamma^{\rho} \tag{6.2.5}$$

其中 (注意  $(J^{\mu\nu})^{\rho}{}_{\sigma}=2\eta^{[\mu|\rho}\delta^{[\nu]}{}_{\sigma}$ , 其中度规号差与笔记 Lie Groups and Lie Algebras 中的不同),

代入,得到,

$$e^{\frac{1}{4}\omega_{\mu\nu}\operatorname{ad}_{\sigma^{\mu\nu}}}\gamma^{\rho} = \sum_{n=0}^{\infty} \frac{1}{n!} \left( \left( -\frac{1}{2}\omega_{\mu\nu}J^{\mu\nu} \right)^{n} \right)^{\rho}{}_{\sigma}\gamma^{\sigma} = (\Lambda^{-1})^{\rho}{}_{\sigma}\gamma^{\sigma}$$

$$(6.2.7)$$

可以用"无穷小"Lorentz 变换验证以上计算,

$$\Pi(1 + \delta\omega^{\mu}_{\nu})\gamma^{\rho}\Pi^{-1}(1 + \delta\omega^{\mu}_{\nu}) = \gamma^{\rho} + \frac{1}{4}\delta\omega_{\mu\nu}[\sigma^{\mu\nu}, \gamma^{\rho}]$$
$$= (1 - \delta\omega^{\rho}_{\sigma})\gamma^{\sigma}$$
(6.2.8)

#### 6.2.1 Dirac spinor

• 对于 Dirac spinor,

$$\Pi(\Lambda)\Psi(x) = \Psi'(\Lambda x) \tag{6.2.9}$$

注意  $\partial'_{\mu} = \Lambda_{\mu}{}^{\nu} \partial_{\nu}$ , 所以,

$$(i\gamma^{\mu}\partial_{\mu} - m)\Psi(x) = 0 \iff (i\gamma^{\mu}\partial'_{\mu} - m)\Psi'(\Lambda x) = 0$$
(6.2.10)

- 关键部分在于,

$$\gamma^{\mu}\Psi'(\Lambda x) = \gamma^{\mu}\Pi(\Lambda)\Psi(x) = \Pi(\Lambda)\Lambda^{\mu}_{\ \nu}\gamma^{\nu}\Psi(x) \tag{6.2.11}$$

#### calculation:

首先,

$$\Lambda^T \eta \Lambda = \eta \Longrightarrow (\Lambda^{-1})^{\mu}_{\ \nu} = (\eta \Lambda^T \eta)^{\mu}_{\ \nu} = \Lambda_{\nu}^{\ \mu} \tag{6.2.12}$$

考虑,

$$\Pi^{-1}(\Lambda)\gamma^{\mu}\Pi(\Lambda) = \Lambda^{\mu}_{\ \nu}\gamma^{\nu} \Longrightarrow \gamma^{\mu}\Pi(\Lambda) = \Lambda^{\mu}_{\ \nu}\Pi(\Lambda)\gamma^{\nu} \tag{6.2.13}$$

代入,

$$\begin{split} (i\gamma^{\mu}\partial'_{\mu}-m)\Psi'(\Lambda x) &= (i\gamma^{\mu}\Lambda_{\mu}{}^{\nu}\partial_{\nu}-m)\Pi(\Lambda)\Psi(x) \\ &= \Pi(\Lambda)(i\gamma^{\rho}\underbrace{\Lambda^{\mu}{}_{\rho}\Lambda_{\mu}{}^{\nu}}_{=\delta^{\nu}_{\rho}}\partial_{\nu}-m)\Psi(x) \\ &= \Pi(\Lambda)(i\gamma^{\mu}\partial_{\mu}-m)\Psi(x) = 0 \end{split} \tag{6.2.14}$$

#### 6.2.2 Dirac bilinears

•  $\gamma^0$  是 Hermitian 矩阵, 而  $\gamma^i$  不是, 有,

$$\gamma^{i\dagger} = -\gamma^i = \gamma^0 \gamma^i \gamma^0 \tag{6.2.15}$$

可以统一写作  $\gamma^{\mu\dagger} = \gamma^0 \gamma^\mu \gamma^0$ , 并且有,

$$\sigma^{\mu\nu\dagger} = -\gamma^0 \sigma^{\mu\nu} \gamma^0 \quad \Pi^{\dagger}(\Lambda) = \gamma^0 \Pi(\Lambda^{-1}) \gamma^0 \tag{6.2.16}$$

#### calculation:

对于  $\sigma^{\mu\nu}$ ,

$$\sigma^{\mu\nu\dagger} = \frac{1}{2} (\gamma^{\nu\dagger}\gamma^{\mu\dagger} - \gamma^{\mu\dagger}\gamma^{\nu\dagger}) = \gamma^0 \sigma^{\nu\mu} \gamma^0 = -\gamma^0 \sigma^{\mu\nu} \gamma^0$$
 (6.2.17)

所以,

$$((\omega_{\mu\nu}\sigma^{\mu\nu})^{\dagger})^n = \gamma^0(-\omega_{\mu\nu}\sigma^{\mu\nu})^n\gamma^0 \Longrightarrow \Pi^{\dagger}(\Lambda) = \gamma^0\Pi(\Lambda^{-1})\gamma^0$$
(6.2.18)

• 所以,

$$\begin{cases} \bar{\Psi}'(\Lambda x)\Psi'(\Lambda x) = \bar{\Psi}\Psi & \text{scalar field} \\ \bar{\Psi}'\gamma^{\mu}\Psi' = \Lambda^{\mu}_{\ \nu}\bar{\Psi}\gamma^{\nu}\Psi & \text{vector field} \end{cases}$$
(6.2.19)

其中  $\bar{\Psi} = \Psi^{\dagger} \gamma^0$ .

#### calculation:

$$\begin{cases} \Psi'^{\dagger}(\Lambda x)\gamma^{0}\Psi'(\Lambda x) = \Psi^{\dagger}(x)\gamma^{0}\Pi(\Lambda^{-1})(\gamma^{0})^{2}\Pi(\Lambda)\Psi(x) = \Psi^{\dagger}\gamma^{0}\Psi \\ \Psi'^{\dagger}\gamma^{0}\gamma^{\mu}\Psi' = \Psi^{\dagger}(x)\gamma^{0}\Pi(\Lambda^{-1})(\gamma^{0})^{2}\gamma^{\mu}\Pi(\Lambda)\Psi(x) = \Lambda^{\mu}_{\ \nu}\Psi^{\dagger}\gamma^{0}\gamma^{\nu}\Psi \end{cases}$$
(6.2.20)

此外,

$$\begin{cases} \bar{\Psi}' \sigma^{\mu\nu} \Psi' = \Psi^{\dagger} \gamma^0 \Pi(\Lambda^{-1}) (\gamma^0)^2 \sigma^{\mu\nu} \Pi(\Lambda) \Psi = \Lambda^{\mu}_{\ \rho} \Lambda^{\nu}_{\ \sigma} \bar{\Psi} \sigma^{\rho\sigma} \Psi & \text{order 2 tensor} \\ \bar{\Psi}' \Omega \gamma^{\mu} \Psi' = \bar{\Psi} \Pi(\Lambda^{-1}) \Omega \gamma^{\mu} \Pi(\Lambda) \Psi = \det(\Lambda) \Lambda^{\mu}_{\ \nu} \bar{\Psi} \Omega \gamma^{\nu} \Psi & \text{pseudovector} \\ \bar{\Psi}' \Omega \Psi' = \bar{\Psi} \Pi(\Lambda^{-1}) \Omega \Pi(\Lambda) \Psi = \det(\Lambda) \bar{\Psi} \Omega \Psi & \text{4-form (pseudoscalar)} \end{cases}$$
(6.2.21)

其中 (注意到下面的计算中, 第二个等号后, 含  $\eta$  的项都等于零; 由此可以看出, 对  $\mu_i$  求和的过程中, 任何两个  $\mu_i, \mu_i$  相等的项求和之后都等于零),

$$\Pi(\Lambda^{-1})\Omega\Pi(\Lambda) = \prod_{i=0}^{3} \Lambda^{i}_{\mu_{i}} \gamma^{\mu_{0}} \gamma^{\mu_{1}} \gamma^{\mu_{2}} \gamma^{\mu_{3}}$$

$$= \prod_{i=0}^{3} \Lambda^{i}_{\mu_{i}} (\eta^{\mu_{0}\mu_{1}} + \sigma^{\mu_{0}\mu_{1}}) (\eta^{\mu_{2}\mu_{3}} + \sigma^{\mu_{2}\mu_{3}})$$

$$= \prod_{i=0}^{3} \Lambda^{i}_{\mu_{i}} \gamma^{\mu_{0}} \gamma^{\mu_{1}} \gamma^{\mu_{2}} \gamma^{\mu_{3}} \quad \text{with} \quad \mu_{0} \neq \mu_{1} \neq \mu_{2} \neq \mu_{3}$$

$$= \det(\Lambda)\Omega \tag{6.2.22}$$

#### 6.2.3 parity and time reversal

- 这里沿用笔记 Lie Groups and Lie Algebras 中的记号, 选择 O(3,1) 而非 O(1,3), 因为他们没有区别.
- O(3,1) 有 4 个联通分支,

$$I \in SO_{+}(3,1) \quad PT \in SO_{-}(3,1) \quad P \in O'_{+}(3,1) \quad T \in O'_{-}(3,1)$$
 (6.2.23)

其中,

$$P = \operatorname{diag}(+1, -1, -1, -1) \quad T = \operatorname{diag}(-1, +1, +1, +1) \tag{6.2.24}$$

另外,  $\eta P \eta = P, \eta T \eta = T$ .

- 另外, Lorentz algebra 的 representation 不能自然的生成对 P,T 的表示, 因为本质上它只能生成 spin group 的表示, 是  $SO_+(3,1)$  的 universal cover, 与 Lorentz group 的其它三个连通分支没有直接联系.
- 因此, 对 P,T 的表示要从物理的角度定义, (可能) 无法单纯靠数学的方法给出, 所以这部分放在下一章.

## the Dirac equation

#### 7.1 Dirac equation

- A. Zee: our discussion provides a unified view of the equations of motion in relativistic physics: they just project out the unphysical components.
- the Dirac equation is,

$$(i\gamma^{\mu}\partial_{\mu} - m)\Psi = 0 \iff (\gamma^{\mu}p_{\mu} - m)\tilde{\Psi} = 0 \Longrightarrow \begin{cases} i\sigma^{\mu}\partial_{\mu}\psi_{R} - m\psi_{L} = 0\\ i\bar{\sigma}^{\mu}\partial_{\mu}\psi_{L} - m\psi_{R} = 0 \end{cases}$$
(7.1.1)

首先可以看出 Ψ 满足 Klein-Gordan equation,

$$(i\gamma^{\mu}\partial_{\mu} - m)(i\gamma^{\nu}\partial_{\nu} - m)\Psi = \left(-\frac{1}{2}\{\gamma^{\mu}, \gamma^{\nu}\}\partial_{\mu}\partial_{\nu} - 2im\gamma^{\mu}\partial_{\mu} + m^{2}\right)\Psi = 0$$

$$\Longrightarrow (-\partial^{2} - m^{2})\Psi = 0$$
(7.1.2)

— 在粒子静止系下  $p_{\mu} = (m, 0, 0, 0)$ , Dirac 方程给出 (这里采用 Dirac basis),

$$(\gamma^0 - 1)\tilde{\Psi}_{\text{Dirac}} = 0 \Longrightarrow \begin{pmatrix} 0 \\ I \end{pmatrix} \tilde{\Psi}_{\text{Dirac}} = 0$$
 (7.1.3)

因此,  $\tilde{\Psi}$  的后两个分量为零  $\Longrightarrow \Psi$  只有两个自由度.

• Dirac 方程的 Lorentz covariance 见 (6.2.10).

#### 7.2 Dirac Lagrangian

根据 (6.2.19) 以及之前标量场的计算经验, 可知,

$$\mathcal{L} = \bar{\Psi}(i\gamma^{\mu}\partial_{\mu} - m)\Psi = (-i\partial_{\mu}\bar{\Psi}\gamma^{\mu} - m\bar{\Psi})\Psi + \text{total diff.}$$
 (7.2.1)

其中, 与复标量场论中类似, 可以把  $\Psi$ ,  $\Psi^{\dagger}$  或  $\Psi$ ,  $\bar{\Psi}$  视为独立变量.

#### 7.3 chirality or handedness

• parity transformation 会把 left spinor 变成 right spinor and vice versa,

$$\gamma^0 \Psi_L = \begin{pmatrix} 0 \\ \psi_L \end{pmatrix} \quad \gamma^0 \Psi_R = \begin{pmatrix} \psi_R \\ 0 \end{pmatrix} \tag{7.3.1}$$

• 把 Lagrangian 中的 Ψ 拆开,

$$\mathcal{L} = \bar{\Psi}_L(i\partial)\Psi_L + \bar{\Psi}_R(i\partial)\Psi_R - m(\bar{\Psi}_L\Psi_R + \bar{\Psi}_R\Psi_L)$$

$$= \psi_L^{\dagger} i \bar{\sigma}^{\mu} \partial_{\mu} \psi_L + \psi_R^{\dagger} i \sigma^{\mu} \partial_{\mu} \psi_R - m(\psi_L^{\dagger} \psi_R + \psi_R^{\dagger} \psi_L)$$
(7.3.2)

其中注意到了  $\gamma^0\gamma^\mu$  的非对角分块为零.

#### 7.3.1 internal vector symmetry

• 做变换  $\Psi \mapsto e^{i\theta}\Psi$ , Lagrangian 保持不变, 利用 Noether's theorem 得到守恒流 (见 section D.2),

$$J_V^{\mu} = \bar{\Psi}\gamma^{\mu}\Psi \tag{7.3.3}$$

其中, 按照惯例省略了虚数 i.

#### calculation:

计算广义动量,

$$\begin{cases}
\pi_{\Psi}^{\mu} = \frac{\delta \mathcal{L}}{\delta \partial_{\mu} \Psi} = \bar{\Psi} i \gamma^{\mu} \\
\pi_{\bar{\Psi}}^{\mu} = 0
\end{cases} \quad \text{or} \quad
\begin{cases}
\pi_{\Psi}^{\mu} = 0 \\
\pi_{\bar{\Psi}}^{\mu} = \frac{\delta \mathcal{L}}{\delta \partial_{\mu} \bar{\Psi}} = -i \gamma^{\mu} \Psi
\end{cases} (7.3.4)$$

这里看起来有点奇怪, 需要再说明一下. 对于 (7.2.1) 第一个等号后边,

$$\begin{cases}
\pi_{\Psi}^{\mu} = \frac{\delta \mathcal{L}}{\delta \partial_{\mu} \Psi} = \bar{\Psi} i \gamma^{\mu} & \frac{\delta \mathcal{L}}{\delta \Psi} = -m \bar{\Psi} \\
\pi_{\bar{\Psi}}^{\mu} = 0 & \frac{\delta \mathcal{L}}{\delta \bar{\Psi}} = (i \gamma^{\mu} \partial_{\mu} - m) \Psi
\end{cases} \Longrightarrow \begin{cases}
-(\partial_{\mu} \bar{\Psi}) i \gamma^{\mu} - m \bar{\Psi} = 0 \\
(i \gamma^{\mu} \partial_{\mu} - m) \Psi = 0
\end{cases} (7.3.5)$$

对于 (7.2.1) 第二个等号后边, 忽略掉全微分项,

$$\begin{cases}
\pi_{\bar{\Psi}}^{\mu} = 0 & \frac{\delta \mathcal{L}}{\delta \bar{\Psi}} = -i\partial_{\mu}\bar{\Psi}\gamma^{\mu} - m\bar{\Psi} \\
\pi_{\bar{\Psi}}^{\mu} = \frac{\delta \mathcal{L}}{\delta \partial_{\mu}\bar{\Psi}} = -i\gamma^{\mu}\Psi & \frac{\delta \mathcal{L}}{\delta\bar{\Psi}} = -m\Psi
\end{cases} \Longrightarrow \begin{cases}
-i\partial_{\mu}\bar{\Psi}\gamma^{\mu} - m\bar{\Psi} = 0 \\
(i\gamma^{\mu}\partial_{\mu} - m)\Psi = 0
\end{cases} (7.3.6)$$

#### 7.3.2 axial symmetry

做变换,

$$\Psi \mapsto e^{i\theta\gamma^5} \Psi = \begin{pmatrix} e^{-i\theta} \Psi_L \\ e^{i\theta} \Psi_R \end{pmatrix}$$
 (7.3.7)

在 m=0 时 Lagrangian 保持不变, 对应的守恒流为,

$$J^{\mu}_{\Lambda} = \bar{\Psi}\gamma^{\mu}\gamma^{5}\Psi \tag{7.3.8}$$

根据 (6.2.21), 是一个 pseudovector.

#### 7.4 energy-momentum tensor and angular momentum

• Dirac 场的 energy-momentum tensor 为,

$$T_{\mu\nu} = i\bar{\Psi}\gamma_{\mu}\partial_{\nu}\Psi - \eta_{\mu\nu}\mathcal{L} \tag{7.4.1}$$

其中, 对于满足运动方程的 Dirac 场,  $\mathcal{L}=0$ .

• Dirac 场的 angular momentum 为,

$$M^{\mu\nu\rho} = \frac{i}{2}\bar{\Psi}\gamma^{\mu}\sigma^{\nu\rho}\Psi(x) + (x^{\nu}T^{\mu\rho} - x^{\rho}T^{\mu\nu})$$
 (7.4.2)

#### calculation:

做变换  $x \mapsto e^{\frac{1}{2}\lambda\omega_{\mu\nu}J^{\mu\nu}}x$ , 那么,

$$\Psi(x) \mapsto \Psi'(x') = e^{\frac{1}{4}\lambda\omega_{\mu\nu}\sigma^{\mu\nu}}\Psi(x)$$

$$\Longrightarrow D_{\lambda}\Psi'(\mathbf{x}) = \frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}\Psi(x) - \frac{1}{2}\omega_{\mu\nu}(J^{\mu\nu})^{\rho}{}_{\sigma}x^{\sigma}\partial_{\rho}\Psi(x)$$
(7.4.3)

所以,

$$J^{\mu} = \frac{i}{4}\omega_{\nu\rho}\bar{\Psi}\gamma^{\mu}\sigma^{\nu\rho}\Psi(x) + \dots \Longrightarrow M^{\mu\nu\rho} = \frac{i}{2}\bar{\Psi}\gamma^{\mu}\sigma^{\nu\rho}\Psi(x) + (x^{\nu}T^{\mu\rho} - x^{\rho}T^{\mu\nu})$$
 (7.4.4)

#### 7.5 charge conjugation, parity and time reversal

• 沿用 A. Zee 的 notation, 变换映射分别用  $C, \mathcal{P}, \mathcal{T}$  表示, 相应的矩阵用 C, P, T 表示.

#### 7.5.1 charge conjugation and antimatter

• 定义矩阵 C,

$$C = -\gamma^0 \gamma^2 \Longrightarrow C\gamma^0 = -i \begin{pmatrix} & & 1 \\ & -1 \\ 1 & & \end{pmatrix} = \gamma^2 \Longrightarrow \begin{cases} (\gamma^2)^{-1} \gamma^\mu \gamma^2 = -\gamma^{\mu*} \\ C^{-1} \gamma^\mu C = -(\gamma^\mu)^T \end{cases}$$
(7.5.1)

因此  $-\gamma^{\mu*}$  同样满足 Clifford algebra.

- 另外, 有 
$$(\gamma^2)^{-1} = \gamma^{2*} = -\gamma^2$$
 和  $C^{-1} = C$ .

#### calculation:

$$\gamma^{0}C^{-1}\gamma^{0}C\gamma^{0} = -\gamma^{\mu*} \Longrightarrow C^{-1}\gamma^{0}C = -\gamma^{0}\gamma^{\mu*}\gamma^{0} = -(\underbrace{\gamma^{0}\gamma^{\mu}\gamma^{0}}_{=\gamma^{\mu\dagger}})^{*}$$
 (7.5.2)

其中用到了  $\gamma^0 \gamma^\mu \gamma^0 = \gamma^{\mu \dagger}$ , 见 (6.2.15).

•  $\Psi_c = \gamma^2 \Psi^*$  满足如下方程.

$$(-i\gamma^{\mu*}(\partial_{\mu} - ieA_{\mu}) - m)\Psi^* = 0 \Longrightarrow (\gamma^2)^{-1}(i\gamma^{\mu}(\partial_{\mu} - ieA_{\mu}) - m)\Psi_c = 0$$

$$(7.5.3)$$

可见  $\Psi_c$  满足  $-e \mapsto +e$  后的 Dirac 方程,  $\Psi_c$  is the field of positron.

• 对于 Lorentz 变换,  $e^{\frac{1}{2}\lambda\omega_{\mu\nu}J^{\mu\nu}}$ ,  $\lambda \in [0,1]$ , 有,

$$\begin{cases}
\Psi \mapsto \Psi'(x') = e^{\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}}\Psi \\
\Psi_c \mapsto \gamma^2 \underbrace{(\gamma^2)^{-1} e^{\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}} \gamma^2 \Psi^*}_{=(\Psi'(x'))^*} = e^{\frac{1}{4}\omega_{\mu\nu}\sigma^{\mu\nu}}\Psi_c
\end{cases}$$
(7.5.4)

可见  $\Psi_c$  与  $\Psi$  的变换形式相同.

#### **7.5.2** parity

• 对于 parity, 有  $x \to x' = (x^0, -\vec{x})$ , 在 Dirac eq. 中,

$$\gamma^0 \gamma^\mu = P^\mu_{\ \nu} \gamma^\nu \gamma^0 \Longrightarrow (i\gamma^\mu \partial'_\mu - m)\gamma^0 \Psi(x) = 0 \tag{7.5.5}$$

因此,

$$\mathcal{P}: \Psi(x) \mapsto \Psi'(x') = \gamma^0 \Psi(x) \tag{7.5.6}$$

#### 7.5.3 time reversal

• 时间反演算符为,

$$T = (i\sigma_2 \otimes I)K = \gamma^1 \gamma^3 K \tag{7.5.7}$$

其中 K 是 complex conjugation operator (见 appendix E). 另外, 有  $T^2=-1$ , 符合预期.

#### proof:

时间反演之后,  $\Psi'(t') = T\Psi(t)$  满足如下方程,

$$i\frac{\partial}{\partial t'}\Psi'(t') = H\Psi'(x')$$
 (7.5.8)

其中,

$$H = -i\gamma^0 \gamma^i \frac{\partial}{\partial x^i} + \gamma^0 m \tag{7.5.9}$$

且 Hamiltonian 满足时间反演不变,  $H'(t') \equiv TH(t)T^{\dagger} = H(t)$ , 即 (其中 T = UK),

$$\begin{cases} T(i\gamma^{0}\gamma^{i})T^{\dagger} = i\gamma^{0}\gamma^{i} \\ T\gamma^{0}T^{\dagger} = \gamma^{0} \end{cases} \Longrightarrow \begin{cases} U(-i\gamma^{0}\gamma^{i*})U^{\dagger} = U(-i\gamma^{0}\gamma^{2}\gamma^{i}\gamma^{2})U^{\dagger} = i\gamma^{0}\gamma^{i} \\ [U,\gamma^{0}] = 0 \end{cases}$$
(7.5.10)

满足以上要求的 U 具有一下形式,

$$U = \begin{pmatrix} a\sigma_2 & b\sigma_2 \\ b\sigma_2 & a\sigma_2 \end{pmatrix} \quad \text{with} \quad \begin{cases} |a|^2 + |b|^2 = 1 \\ a^*b + b^*a = 0 \end{cases}$$
 (7.5.11)

不妨令 a = i, b = 0.

#### 7.5.4 CPT theorem

• 在 CPT 变换下,

$$\mathcal{CPT}: \Psi(x) \mapsto \gamma^1 \gamma^3 K(\gamma^0 \gamma^2 \Psi^*) = \Omega \Psi = -i \gamma^5 \Psi \tag{7.5.12}$$

• 任何 Lorentz covariant theory 都满足 CPT 不变性.

#### 7.6 interaction in QED

- 注意, 我们采用通常的符号 e > 0, 与 A. Zee 的符号 e = -|e| 不同.
- QED 的 Lagrangian 为,

$$\mathcal{L}_{\text{QED}} = \bar{\Psi}(i\gamma^{\mu}D_{\mu} - m)\Psi - \frac{1}{4}F^{\mu\nu}F_{\mu\nu} - \frac{1}{2}\mu^{2}A^{\mu}A_{\mu}$$
 (7.6.1)

其中,

$$D_{\mu} = \partial_{\mu} + ieA_{\mu} \tag{7.6.2}$$

可见电子和电磁场耦合项为  $-eA_{\mu}J_{V}^{\mu}$ , 其中  $J_{V}^{\mu}$  是 internal vector symmetry 的守恒流, 见 (7.3.3).

• QED 里的 Dirac 方程为,

$$(i\gamma^{\mu}(\partial_{\mu} + ieA_{\mu}) - m)\Psi = 0 \quad \text{and} \quad -i(\partial_{\mu} - ieA_{\mu})\bar{\Psi}\gamma^{\mu} - m\bar{\Psi} = 0$$
 (7.6.3)

#### 7.7 Majorana neutrino

• 因为在 Lorentz 变换下,  $\Psi$ ,  $\Psi$ <sub>c</sub> 行为相同, 因此 Majorana 方程同样满足 Lorentz covariance,

$$i\partial \Psi - m\Psi_c = 0 \quad \text{and} \quad i\partial \Psi_c - m\Psi = 0$$
 (7.7.1)

因此,

$$(-\partial^2 - m^2)\Psi = 0 \tag{7.7.2}$$

满足 Klein-Gordon 方程.

$$-\gamma^{\mu}\gamma^{\nu}\partial_{\mu}\partial_{\nu}\Psi = m(i\partial)\Psi_{c} = m^{2}\Psi \tag{7.7.3}$$

• Majorana 方程对应的 Lagrangian 为,

$$\mathcal{L} = \bar{\Psi}i\partial \!\!\!/ \Psi - \frac{1}{2}m(\Psi^T C \Psi + \bar{\Psi} C \bar{\Psi}^T)$$
 (7.7.4)

相应的广义动量为,

$$\begin{cases}
\pi_{\Psi}^{\mu} = \bar{\Psi}i\gamma^{\mu} & \frac{\delta\mathcal{L}}{\delta\Psi} = -m\Psi^{T}C \\
\pi_{\bar{\Psi}}^{\mu} = 0 & \frac{\delta\mathcal{L}}{\delta\bar{\Psi}} = i\partial\!\!/\Psi - mC\bar{\Psi}^{T} = i\partial\!\!/\Psi - m\Psi_{c}
\end{cases}$$
(7.7.5)

— 注意,  $\Psi$  应该被当作 Grassmann numbers, 因此, 对于反对称矩阵 C, 有  $\Psi^T C \Psi, \bar{\Psi} C \bar{\Psi}^T \neq 0$ .

## calculation:

对 业 变分得到,

$$0 = \frac{\delta \mathcal{L}}{\delta \Psi} - \partial_{\mu} \pi_{\Psi}^{\mu}$$

$$= -m \Psi^{T} C - i \partial_{\mu} \bar{\Psi} \gamma^{\mu}$$

$$= (-m \Psi^{T} - i \partial_{\mu} \bar{\Psi} \gamma^{\mu} C) C$$

$$= (-m \Psi + i C (\gamma^{\mu})^{T} \gamma^{0} \partial_{\mu} \Psi^{*})^{T} C$$

$$(7.7.6)$$

其中,

$$C(\gamma^{\mu})^{T} \gamma^{0} = C(-C^{-1} \gamma^{\mu} C) \gamma^{0} = -\gamma^{\mu} C \gamma^{0} = -\gamma^{\mu} \gamma^{2}$$
(7.7.7)

代入, 得到 (?).

$$-i\partial \Psi_c - m\Psi = 0 \tag{7.7.8}$$

- Majorana eq. v.s. Dirac eq.:
  - Majorana eq. 只适用于 electrically neutral fields (?).
  - Majorana eq. preserves handedness (?).

# Chapter 8

# quantizing the Dirac field

# 8.1 anticommutation

•  $\Pi \alpha, \beta$  表示电子的量子态 (包括动量和自旋), 那么,

$$\{b_{\alpha}, b_{\beta}\} = 0 \quad \{b_{\alpha}, b_{\beta}^{\dagger}\} = \delta_{\alpha\beta} \tag{8.1.1}$$

#### comment:

反对称关系  $\{b_{\alpha}, b_{\beta}\} = 0$  由实验发现, 我们希望电子有 number operator,

$$N = \sum_{\alpha} b_{\alpha}^{\dagger} b_{\alpha} \quad \text{with} \quad \begin{cases} [N, b_{\alpha}] = -b_{\alpha} \\ [N, b_{\alpha}^{\dagger}] = b_{\alpha}^{\dagger} \end{cases}$$
 (8.1.2)

考虑到  $[AB, C] = ABC - CAB = A\{B, C\} - \{A, C\}B$ , 所以,

$$\begin{cases}
[N, b_{\alpha}] = \sum_{\beta} (b_{\beta}^{\dagger} \{b_{\beta}, b_{\alpha}\} - \{b_{\beta}^{\dagger}, b_{\alpha}\} b_{\beta}) = -\sum_{\beta} \{b_{\beta}^{\dagger}, b_{\alpha}\} b_{\beta} \\
[N, b_{\alpha}^{\dagger}] = \sum_{\beta} (b_{\beta}^{\dagger} \{b_{\beta}, b_{\alpha}^{\dagger}\} - \{b_{\beta}^{\dagger}, b_{\alpha}^{\dagger}\} b_{\beta}) = \sum_{\beta} b_{\beta}^{\dagger} \{b_{\beta}, b_{\alpha}^{\dagger}\}
\end{cases}$$
(8.1.3)

可见  $\{b_{\alpha}, b_{\beta}^{\dagger}\} = \delta_{\alpha\beta}$ .

# 8.2 plane wave solutions

• Dirac 方程的平面波解具有如下形式 (其中  $p^0 = \omega_p$ ),

$$\Psi = u(\vec{p})e^{-ip\cdot x}$$
 and  $\Psi = v(\vec{p})e^{ip\cdot x}$  (8.2.1)

代入 Dirac 方程, 得到,

$$(\not p - m)u(\vec{p}) = 0$$
 and  $(-\not p - m)v(\vec{p}) = 0$  (8.2.2)

解为,

$$u(\vec{p}) = \begin{pmatrix} \sqrt{p \cdot \sigma} \xi \\ \sqrt{p \cdot \bar{\sigma}} \xi \end{pmatrix} \quad v = \begin{pmatrix} -\sqrt{p \cdot \sigma} \chi \\ \sqrt{p \cdot \bar{\sigma}} \chi \end{pmatrix}$$
 (8.2.3)

其中  $\xi$ ,  $\chi$  为任意  $2-\dim$  列向量, 因此  $u(\vec{p}),v(\vec{p})$  各有两个独立解, 分别用  $u(\vec{p},s),v(\vec{p},s),s=\pm 1$  表示.

## proof:

令 
$$u^T = (u_1, u_2)$$
 代入,

$$\begin{pmatrix} -m & p \cdot \sigma \\ p \cdot \bar{\sigma} & -m \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = 0 \Longrightarrow \begin{cases} p \cdot \sigma u_2 = mu_1 \\ p \cdot \bar{\sigma} u_1 = mu_2 \end{cases}$$
(8.2.4)

注意到,

$$(p \cdot \sigma)(p \cdot \bar{\sigma}) = \omega_p^2 - p^i p^j \sigma_i \sigma_j = \omega_p^2 - |\vec{p}|^2 = m^2$$
(8.2.5)

所以, 令  $u_2 = m\xi'$ , 那么.

$$u = \begin{pmatrix} p \cdot \sigma \xi' \\ m \xi' \end{pmatrix} \Longrightarrow \xi = \sqrt{p \cdot \sigma} \xi' \Longrightarrow \cdots$$
 (8.2.6)

其中,  $\xi$  可以任意选取, 并且注意到了  $[(p \cdot \sigma), (p \cdot \bar{\sigma})] = 0$ , 因此,

$$\sqrt{p \cdot \sigma} \sqrt{p \cdot \bar{\sigma}} = \sqrt{(p \cdot \sigma)(p \cdot \bar{\sigma})} = m \tag{8.2.7}$$

类似地, 对于  $v^T = (v_1, v_2)$ , 代入,

$$\begin{pmatrix} m & p \cdot \sigma \\ p \cdot \bar{\sigma} & m \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = 0 \Longrightarrow \begin{cases} p \cdot \sigma v_2 = -mv_1 \\ p \cdot \bar{\sigma} v_1 = -mv_2 \end{cases}$$
(8.2.8)

 $v_1 = -\sqrt{p \cdot \sigma} \chi$  那么...

最后,

$$\begin{cases}
\sqrt{p \cdot \sigma} = \sqrt{\frac{m + \omega_p}{2}} I + \frac{1}{\sqrt{2(m + \omega_p)}} \vec{p} \cdot \vec{\sigma} \\
\sqrt{p \cdot \bar{\sigma}} = \sqrt{\frac{m + \omega_p}{2}} I - \frac{1}{\sqrt{2(m + \omega_p)}} \vec{p} \cdot \vec{\sigma}
\end{cases} (8.2.9)$$

以及一些有用的公式,

$$\begin{cases}
\sqrt{p \cdot \sigma} \sigma^{\mu} \sqrt{p \cdot \sigma} = \begin{cases}
\omega_{p} + \vec{p} \cdot \vec{\sigma} & \mu = 0 \\
\omega_{p} \sigma^{i} + p^{i} + \frac{\vec{p} \cdot \vec{\sigma}}{2(m + \omega_{p})} 2i\epsilon_{ijk} p^{j} \sigma^{k} & \mu = i
\end{cases} \\
\sqrt{p \cdot \bar{\sigma}} \sigma^{\mu} \sqrt{p \cdot \bar{\sigma}} = \begin{cases}
\omega_{p} - \vec{p} \cdot \vec{\sigma} & \mu = 0 \\
\omega_{p} \sigma^{i} - p^{i} + \frac{\vec{p} \cdot \vec{\sigma}}{2(m + \omega_{p})} 2i\epsilon_{ijk} p^{j} \sigma^{k} & \mu = i
\end{cases} \\
\sqrt{p \cdot \bar{\sigma}} \sigma^{\mu} \sqrt{p \cdot \bar{\sigma}} = \begin{cases}
m & \mu = 0 \\
m \sigma^{i} - \frac{\sqrt{p \cdot \bar{\sigma}}}{\sqrt{2(m + \omega_{p})}} 2i\epsilon_{ijk} p^{j} \sigma_{k} & \mu = i
\end{cases} \\
\sqrt{p \cdot \bar{\sigma}} \sigma^{\mu} \sqrt{p \cdot \bar{\sigma}} = \begin{cases}
m & \mu = 0 \\
m \sigma^{i} + \frac{\sqrt{p \cdot \bar{\sigma}}}{\sqrt{2(m + \omega_{p})}} 2i\epsilon_{ijk} p^{j} \sigma_{k} & \mu = i
\end{cases}$$

另外  $(-p) \cdot \sigma = p \cdot \bar{\sigma}, (-p) \cdot \bar{\sigma} = p \cdot \sigma,$ 其中  $(-p) = (\omega_p, -\vec{p}).$ 

• 选择归一化条件,

$$\begin{cases} \bar{u}(\vec{p}, s)u(\vec{p}, s') = 2m\delta_{ss'} \\ \bar{v}(\vec{p}, s)v(\vec{p}, s') = -2m\delta_{ss'} \end{cases} \text{ and } \bar{u}(\vec{p}, s)v(\vec{p}, s') = 0$$
 (8.2.11)

其中  $\bar{u} = u^{\dagger} \gamma^{0}, \bar{v} = v^{\dagger} \gamma^{0}, 那么,$ 

$$\begin{cases} \xi^{s\dagger} \xi^{s'} = \delta_{ss'} \\ \chi^{s\dagger} \chi^{s'} = \delta_{ss'} \end{cases} \text{ and } \xi^{s\dagger} \chi^{s'} - \chi^{s\dagger} \xi^{s'} = 0$$
 (8.2.12)

可以选取,

$$\xi^{+1} = \chi^{+1} = (1,0)^T \quad \xi^{-1} = \chi^{-1} = (0,1)^T$$
 (8.2.13)

- 在粒子静止系下,  $p_r = (m, 0, 0, 0)$ ,

$$\frac{u(\vec{p}_r, +1)}{\sqrt{m}} = \begin{pmatrix} 1\\0\\1\\0 \end{pmatrix} \quad \frac{u(\vec{p}_r, -1)}{\sqrt{m}} = \begin{pmatrix} 0\\1\\0\\1 \end{pmatrix} \quad \frac{v(\vec{p}_r, +1)}{\sqrt{m}} = \begin{pmatrix} -1\\0\\1\\0 \end{pmatrix} \quad \frac{v(\vec{p}_r, -1)}{\sqrt{m}} = \begin{pmatrix} 0\\-1\\0\\1 \end{pmatrix} \quad (8.2.14)$$

可见  $s=\pm 1$  分别代表 spin-up 和 spin-down.

- 另外, 我们注意到 (对 v 同样适用),

$$\begin{pmatrix} \omega_p \\ \vec{p} \end{pmatrix} = e^{\lambda J^{01}} \begin{pmatrix} m \\ 0 \end{pmatrix} \iff u(\vec{p}, s) = e^{\frac{1}{2}\lambda \sigma^{01}} u(\vec{p}_r, s) \quad \text{with} \quad \frac{p_1}{m} = \sinh \lambda, p_2 = p_3 = 0$$
 (8.2.15)

最后,

$$\begin{cases} \sum_{s=\pm 1} u(\vec{p}, s) \bar{u}(\vec{p}, s) = \not p + m \\ \sum_{s=\pm 1} v(\vec{p}, s) \bar{v}(\vec{p}, s) = \not p - m \end{cases}$$
(8.2.16)

#### calculation:

首先,

$$u(\vec{p}, s)u^{\dagger}(\vec{p}, s) = \begin{pmatrix} \sqrt{p \cdot \sigma} \xi^{s} \\ \sqrt{p \cdot \bar{\sigma}} \xi^{s} \end{pmatrix} \left( \xi^{s\dagger} \sqrt{p \cdot \sigma} \quad \xi^{s\dagger} \sqrt{p \cdot \bar{\sigma}} \right)$$
(8.2.17)

注意到.

$$\sum_{s=\pm 1} \xi^s \xi^{s\dagger} = I_{2 \times 2} \tag{8.2.18}$$

代入,

$$\sum_{s=\pm 1} u(\vec{p}, s) u^{\dagger}(\vec{p}, s) = \begin{pmatrix} p \cdot \sigma & m \\ m & p \cdot \bar{\sigma} \end{pmatrix} = (\not p + m) \gamma^{0}$$
 (8.2.19)

类似地.

$$\sum_{s=\pm 1} v(\vec{p}, s) v^{\dagger}(\vec{p}, s) = \sum_{s=\pm 1} \begin{pmatrix} \sqrt{p \cdot \sigma} \chi^{s} \\ -\sqrt{p \cdot \bar{\sigma}} \chi^{s} \end{pmatrix} \left( \chi^{s\dagger} \sqrt{p \cdot \sigma} - \chi^{s\dagger} \sqrt{p \cdot \bar{\sigma}} \right)$$

$$= \begin{pmatrix} p \cdot \sigma & -m \\ -m & p \cdot \bar{\sigma} \end{pmatrix} = (\not p - m) \gamma^{0}$$
(8.2.20)

# 8.3 the Dirac field

•  $\Psi(x)$ ,  $\bar{\Psi}$  有如下形式,

$$\begin{cases} \Psi(x) = \sum_{s=\pm 1} \int \frac{d^3p}{(2\pi)^{3/2} \sqrt{2\omega_p}} (b_{\vec{p}}^s u(\vec{p}, s) e^{-ip \cdot x} + c_{\vec{p}}^{s\dagger} v(\vec{p}, s) e^{ip \cdot x}) \\ \bar{\Psi}(x) = \sum_{s=\pm 1} \int \frac{d^3p}{(2\pi)^{3/2} \sqrt{2\omega_p}} (b_{\vec{p}}^{s\dagger} \bar{u}(\vec{p}, s) e^{ip \cdot x} + c_{\vec{p}}^s \bar{v}(\vec{p}, s) e^{-ip \cdot x}) \end{cases}$$
(8.3.1)

- 回顾 section 4.4 关于 complex scalar field 的内容, 可知 b<sup>†</sup> 和 c<sup>†</sup> 产生的粒子具有相反的电荷, 不妨令 b<sup>†</sup> 产生 electron (带电荷 −e), c<sup>†</sup> 产生 position (带电荷 e).
- section 8.1 中的讨论说明,

$$\begin{cases}
\{b_{\vec{p}}^{s}, b_{\vec{p}'}^{s'}\} = 0 \\
\{b_{\vec{p}}^{s}, b_{\vec{p}'}^{s'\dagger}\} = \delta^{(3)}(\vec{p} - \vec{p}')\delta_{ss'}
\end{cases}$$
(8.3.2)

•  $\Psi$  的 momentum conjecture 为  $(\pi^{\mu}_{\Psi} \ \mathbb{R} \ (7.3.4)),$ 

$$\pi_{\Psi} = \frac{\delta \mathcal{L}}{\delta \partial_0 \Psi} = \pi_{\Psi}^0 = \bar{\Psi} i \gamma^0 = i \Psi^{\dagger}$$
(8.3.3)

存在如下 anticommutation relation,

$$\{\Psi_{\alpha}(t,\vec{x}), i\Psi_{\beta}^{\dagger}(t,\vec{y})\} = i\delta^{(3)}(\vec{x} - \vec{y})\delta_{\alpha\beta}$$
(8.3.4)

#### calculation:

代入 (8.3.2), (下式中  $x = (t, \vec{x}), y = (t, \vec{y})$ , 另外注意到  $u\bar{u} = uu^{\dagger}\gamma^{0}$ ),

$$\{\Psi_{\alpha}(t,\vec{x}), \Psi_{\beta}^{\dagger}(t,\vec{y})\} = \sum_{s=\pm} \int \frac{d^{3}p_{1}d^{3}p_{2}}{(2\pi)^{3}\sqrt{4\omega_{p_{1}}\omega_{p_{2}}}} \Big( \{b_{\vec{p}_{1}}^{s}, b_{\vec{p}_{2}}^{s\dagger}\} u(\vec{p}_{1}, s)u^{\dagger}(\vec{p}_{2}, s)e^{i(-p_{1}\cdot x + p_{2}\cdot y)} \\
+ \{c_{\vec{p}_{1}}^{s\dagger}, c_{\vec{p}_{2}}^{s}\} v(\vec{p}_{1}, s)v^{\dagger}(\vec{p}_{2}, s)e^{i(p_{1}\cdot x - p_{2}\cdot y)} \Big) \\
= \sum_{s=\pm} \int \frac{d^{3}p}{(2\pi)^{3}2\omega_{p}} \Big( u(\vec{p}, s)u^{\dagger}(\vec{p}, s)e^{ip\cdot(-x+y)} + v(\vec{p}, s)v^{\dagger}(\vec{p}, s)e^{ip\cdot(x-y)} \Big) \\
= \int \frac{d^{3}p}{(2\pi)^{3}2\omega_{p}} \Big( (\not p + m)\gamma^{0}e^{i\vec{p}\cdot(\vec{x}-\vec{y})} + (\not p - m)\gamma^{0}e^{-i\vec{p}\cdot(\vec{x}-\vec{y})} \Big) \\
= \int \frac{d^{3}p}{(2\pi)^{3}2\omega_{p}} \Big( 2\omega_{p}I\cos(\vec{p}\cdot(\vec{x}-\vec{y})) - 2p^{i}\gamma^{i}\gamma^{0}\cos(\vec{p}\cdot(\vec{x}-\vec{y})) \\
+ 2im\gamma^{0}\sin(\vec{p}\cdot(\vec{x}-\vec{y})) \Big) \tag{8.3.5}$$

注意,只有第一项是偶函数,积分后不为零,

$$\{\Psi_{\alpha}(t,\vec{x}), \Psi_{\beta}^{\dagger}(t,\vec{y})\} = \int \frac{d^{3}p}{(2\pi)^{3}} I \cos(\vec{p} \cdot (\vec{x} - \vec{y}))$$

$$= \int \frac{d^{3}p}{(2\pi)^{3}} e^{i\vec{p} \cdot (\vec{x} - \vec{y})} = \delta^{(3)}(\vec{x} - \vec{y})I$$
(8.3.6)

• 另外, 显然有,

$$\{\Psi(x), \Psi(y)\} = \{\Psi^{\dagger}(x), \Psi^{\dagger}(y)\} = 0 \tag{8.3.7}$$

# 8.4 Hamiltonian, energy-momentum tensor and angular momentum

#### 8.4.1 Hamiltonian

• 计算 Hamiltonian,

$$H = \sum_{s=\pm 1} \int d^3 p \,\omega_p(b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s - c_{\vec{p}}^s c_{\vec{p}}^{s\dagger}) = \sum_{s=\pm 1} \int d^3 p \,\omega_p(b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s + c_{\vec{p}}^{s\dagger} c_{\vec{p}}^s) + E_0$$
 (8.4.1)

其中 vacuum energy,

$$E_0 = -2\delta^{(3)}(0) \int d^3 p \,\omega_p \tag{8.4.2}$$

的符号与标量场的正好相反.

#### calculation:

the Hamiltonian density is,

$$\mathcal{H} = i\Psi^{\dagger}\partial_{0}\Psi - \mathcal{L} = -\bar{\Psi}(i\gamma^{i}\partial_{i} - m)\Psi$$

$$= \sum_{s_{1}, s_{2} = \pm 1} \int \frac{d^{3}p_{1}d^{3}p_{2}}{(2\pi)^{3}\sqrt{4\omega_{p_{1}}\omega_{p_{2}}}} (b_{\vec{p}_{1}}^{s_{1}\dagger}\bar{u}(\vec{p}_{1}, s_{1})e^{ip_{1}\cdot x} + c_{\vec{p}_{1}}^{s_{1}}\bar{v}(\vec{p}_{1}, s_{1})e^{-ip_{1}\cdot x})$$

$$(\underbrace{(\gamma^{i}p_{2}^{i} + m)}_{\mapsto \omega_{p_{2}}\gamma^{0}} b_{\vec{p}_{2}}^{s_{2}}u(\vec{p}_{2}, s_{2})e^{-ip_{2}\cdot x} + \underbrace{(-\gamma^{i}p_{2}^{i} + m)}_{\mapsto -\omega_{p_{2}}\gamma^{0}} c_{\vec{p}_{2}}^{s_{2}\dagger}v(\vec{p}_{2}, s_{2})e^{ip_{2}\cdot x})$$

$$(8.4.3)$$

代入,

$$H = \int d^3x \,\mathcal{H} = \sum_{s_1, s_2 = \pm 1} \int \frac{d^3p}{2\omega_p} \Big( b_{\vec{p}}^{s_1\dagger} \bar{u}(\vec{p}, s_1) \omega_p \gamma^0 b_{\vec{p}}^{s_2} u(\vec{p}, s_2)$$

$$- b_{\vec{p}}^{s_1\dagger} \bar{u}(\vec{p}, s_1) \omega_p \gamma^0 c_{-\vec{p}}^{s_2\dagger} v(-\vec{p}, s_2) e^{2i\omega_p t}$$

$$+ c_{\vec{n}}^{s_1} \bar{v}(\vec{p}, s_1) \omega_p \gamma^0 b_{-\vec{n}}^{s_2} u(-\vec{p}, s_2) e^{-2i\omega_p t}$$

$$-c_{\vec{p}}^{s_1} \bar{v}(\vec{p}, s_1) \omega_p \gamma^0 c_{\vec{p}}^{s_2 \dagger} v(\vec{p}, s_2)$$
 (8.4.4)

注意到,

$$\begin{cases} u^{\dagger}(\vec{p}, s_{1})u(\vec{p}, s_{2}) = 2\omega_{p}\delta_{s_{1}s_{2}} \\ u^{\dagger}(\vec{p}, s_{1})v(-\vec{p}, s_{2}) = 0 \\ v^{\dagger}(\vec{p}, s_{1})u(-\vec{p}, s_{2}) = 0 \\ v^{\dagger}(\vec{p}, s_{1})v(\vec{p}, s_{2}) = 2\omega_{p}\delta_{s_{1}s_{2}} \end{cases}$$

$$(8.4.5)$$

代入,

$$H = \sum_{s_1, s_2 = \pm 1} \int \frac{d^3 p}{2\omega_p} \left( b_{\vec{p}}^{s_1 \dagger} b_{\vec{p}}^{s_2} (2\omega_p^2) \delta_{s_1 s_2} + c_{\vec{p}}^{s_1} c_{\vec{p}}^{s_2 \dagger} (-2\omega_p^2) \delta_{s_1 s_2} \right) = \cdots$$
 (8.4.6)

## 8.4.2 energy-momentum tensor

• Dirac field 的动量算符为,

$$P^{\mu} = \int d^3x \, T^{0\mu} = \int d^3p \, p^{\mu} (b^{s\dagger}_{\vec{p}} b^s_{\vec{p}} + c^{s\dagger}_{\vec{p}} c^s_{\vec{p}})$$
 (8.4.7)

另外  $P^0 = H$  还有一个 vacuum energy.

# calculation:

energy-momentum tensor 的  $0, \mu$  分量为 (见 (7.4.1)),

$$T^{0\mu} = i\bar{\Psi}\gamma^{0}\partial^{\mu}\Psi = i\Psi^{\dagger}\partial^{\mu}\Psi$$

$$= \sum_{s_{1},s_{2}=\pm 1} \int \frac{d^{3}p_{1}d^{3}p_{2}}{(2\pi)^{3}\sqrt{4\omega_{p_{1}}\omega_{p_{2}}}} (b_{\vec{p}_{1}}^{s_{1}\dagger}u^{\dagger}(\vec{p}_{1},s_{1})e^{ip_{1}\cdot x} + c_{\vec{p}_{1}}^{s_{1}}v^{\dagger}(\vec{p}_{1},s_{1})e^{-ip_{1}\cdot x})$$

$$p_{2}^{\mu}(b_{\vec{p}_{2}}^{s_{2}}u(\vec{p}_{2},s_{2})e^{-ip_{2}\cdot x} - c_{\vec{p}_{2}}^{s_{2}\dagger}v(\vec{p}_{2},s_{2})e^{ip_{2}\cdot x})$$
(8.4.8)

代入,

$$P^{\mu} = \sum_{s_{1}, s_{2} = \pm 1} \int \frac{d^{3}p}{2\omega_{p}} \left( p^{\mu}b_{\vec{p}}^{s_{1}\dagger}u^{\dagger}(\vec{p}, s_{1})b_{\vec{p}}^{s_{2}}u(\vec{p}, s_{2}) - (-p^{\mu})b_{\vec{p}}^{s_{1}\dagger}u^{\dagger}(\vec{p}, s_{1})c_{-\vec{p}}^{s_{2}\dagger}v(-\vec{p}, s_{2})e^{2i\omega_{p}t} \right.$$

$$\left. + (-p^{\mu})c_{\vec{p}}^{s_{1}}v^{\dagger}(\vec{p}, s_{1})b_{-\vec{p}}^{s_{2}}u(-\vec{p}, s_{2}) - p^{\mu}c_{\vec{p}}^{s_{1}}v^{\dagger}(\vec{p}, s_{1})c_{\vec{p}}^{s_{2}\dagger}v(\vec{p}, s_{2}) \right)$$

$$= \sum_{s_{1}, s_{2} = \pm 1} \int \frac{d^{3}p}{2\omega_{p}} \left( p^{\mu}b_{\vec{p}}^{s_{1}\dagger}b_{\vec{p}}^{s_{2}}(2\omega_{p}\delta_{s_{1}s_{2}}) - p^{\mu}c_{\vec{p}}^{s_{1}}c_{\vec{p}}^{s_{2}\dagger}(2\omega_{p}\delta_{s_{1}s_{2}}) \right)$$

$$= \int d^{3}p \, p^{\mu}(b_{\vec{p}}^{s\dagger}b_{\vec{p}}^{s} - c_{\vec{p}}^{s}c_{\vec{p}}^{s\dagger})$$

$$(8.4.9)$$

## 8.4.3 angular momentum

• Dirac field 的角动量算符为 (这部分在 Peskin 上有),

$$J^{ij} = \int d^3 M^{0ij}$$

$$= \epsilon^{ijk} \sum_{s_1, s_2 = \pm 1} \int d^3 p \, \frac{m}{2\omega_p} (b_{\vec{p}}^{s_1\dagger} b_{\vec{p}}^{s_2} + c_{\vec{p}}^{s_1} c_{\vec{p}}^{s_2\dagger}) \xi^{s_1\dagger} \sigma_k \xi^{s_2} + \int d^3 x \, (x^i T^{0j} - x^j T^{0i})$$
(8.4.10)

其中,  $M^{\mu\nu\rho}$  见 (7.4.2).

- 把角动量算符中 spin 的部分表示为  $S^{ij}$ , 那么,

$$\begin{cases} S^{12}b_{\vec{p}}^{s\dagger}|0\rangle = s\frac{m}{2\omega_p}b_{\vec{p}}^{s\dagger}|0\rangle \\ S^{12}c_{\vec{p}}^{s\dagger}|0\rangle = -s\frac{m}{2\omega_p}c_{\vec{p}}^{s\dagger}|0\rangle \end{cases}$$
(8.4.11)

#### calculation:

角动量张量为,

$$M^{0\mu\nu} = \frac{i}{2} \underbrace{\bar{\Psi}\gamma^{0}}_{\Psi^{\dagger}} \sigma^{\mu\nu} \Psi + (x^{\mu}T^{0\nu} - x^{\nu}T^{0\mu})$$

$$= \frac{i}{2} \sum_{s_{1}, s_{2} = \pm 1} \int \frac{d^{3}p_{1}d^{3}p_{2}}{(2\pi)^{3}\sqrt{4\omega_{p_{1}}\omega_{p_{2}}}} (b^{s_{1}\dagger}_{\vec{p}_{1}}u^{\dagger}(\vec{p}_{1}, s_{1})e^{ip_{1}\cdot x} + c^{s_{1}}_{\vec{p}_{1}}v^{\dagger}(\vec{p}_{1}, s_{1})e^{-ip_{1}\cdot x})$$

$$\sigma^{\mu\nu} (b^{s_{2}}_{\vec{p}_{2}}u(\vec{p}_{2}, s_{2})e^{-ip_{2}\cdot x} + c^{s_{2}\dagger}_{\vec{p}_{2}}v(\vec{p}_{2}, s_{2})e^{ip_{2}\cdot x}) + (x^{\mu}T^{0\nu} - x^{\nu}T^{0\mu})$$
(8.4.12)

代入,

$$J^{\mu\nu} - \int d^3x \left( x^{\mu} T^{0\nu} - x^{\nu} T^{0\mu} \right) = \frac{i}{2} \sum_{s_1, s_2 = \pm 1} \int \frac{d^3p}{2\omega_p} \left( b_{\vec{p}}^{s_1\dagger} u^{\dagger}(\vec{p}, s_1) \sigma^{\mu\nu} b_{\vec{p}}^{s_2} u(\vec{p}, s_2) \right.$$

$$\left. + b_{\vec{p}}^{s_1\dagger} u^{\dagger}(\vec{p}, s_1) \sigma^{\mu\nu} c_{-\vec{p}}^{s_2\dagger} v(-\vec{p}, s_2) e^{2i\omega_p t} \right.$$

$$\left. + c_{\vec{p}}^{s_1} v^{\dagger}(\vec{p}, s_1) \sigma^{\mu\nu} b_{-\vec{p}}^{s_2} u(-\vec{p}, s_2) e^{-2i\omega_p t} \right.$$

$$\left. + c_{\vec{p}}^{s_1} v^{\dagger}(\vec{p}, s_1) \sigma^{\mu\nu} c_{\vec{p}}^{s_2\dagger} v(\vec{p}, s_2) \right)$$

$$(8.4.13)$$

其中.

$$\begin{cases} u^{\dagger}(\vec{p}, s_{1})\sigma^{ij}u(\vec{p}, s_{2}) = -2i\epsilon^{ijk}m\xi^{s_{1}\dagger}\sigma_{k}\xi^{s_{2}} \\ u^{\dagger}(\vec{p}, s_{1})\sigma^{ij}v(-\vec{p}, s_{2}) = 0 \\ v^{\dagger}(\vec{p}, s_{1})\sigma^{ij}u(-\vec{p}, s_{2}) = 0 \\ v^{\dagger}(\vec{p}, s_{1})\sigma^{ij}v(\vec{p}, s_{2}) = -2i\epsilon^{ijk}m\chi^{s_{1}\dagger}\sigma_{k}\chi^{s_{2}} \end{cases}$$
(8.4.14)

代入 (注意到  $\xi^s = \chi^s$ ),

$$J^{ij} - \int d^3x \left( x^i T^{0j} - x^j T^{0i} \right) = \epsilon^{ijk} \sum_{s_1, s_2 = \pm 1} \int \frac{d^3p}{2\omega_p} m(b_{\vec{p}}^{s_1\dagger} b_{\vec{p}}^{s_2} + c_{\vec{p}}^{s_1} c_{\vec{p}}^{s_2\dagger}) \xi^{s_1\dagger} \sigma_k \xi^{s_2}$$
(8.4.15)

## 8.5 electric current

• internal vector symmetry 对应的守恒流就是电流, 见 subsection 7.3.1, 有,

$$Q = \int d^3x \, J_V^0 = \sum_{s=\pm 1} \int d^3p \, (b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s - c_{\vec{p}}^{s\dagger} c_{\vec{p}}^s) - 2\delta^{(3)}(0) \int d^3p$$
 (8.5.1)

# calculation:

首先,

$$\begin{split} \int d^3x \, J_V^\mu &= \int d^3x \, \bar{\Psi} \gamma^\mu \Psi = \sum_{s_1, s_2 = \pm 1} \int \frac{d^3p}{2\omega_p} \Big( b_{\vec{p}}^{s_1\dagger} b_{\vec{p}}^{s_2} \bar{u}(\vec{p}, s_1) \gamma^\mu u(\vec{p}, s_2) \\ &+ b_{\vec{p}}^{s_1\dagger} c_{-\vec{p}}^{s_2\dagger} \bar{u}(\vec{p}, s_1) \gamma^\mu v(-\vec{p}, s_2) e^{2i\omega_p t} \\ &+ c_{\vec{p}}^{s_1} b_{-\vec{p}}^{s_2} \bar{v}(\vec{p}, s_1) \gamma^\mu u(-\vec{p}, s_2) e^{-2i\omega_p t} \\ &+ c_{\vec{p}}^{s_1} c_{\vec{p}}^{s_2\dagger} \bar{v}(\vec{p}, s_1) \gamma^\mu v(\vec{p}, s_2) \Big) \end{split} \tag{8.5.2}$$

其中,

$$\begin{cases}
\bar{u}(\vec{p}, s_1) \gamma^{\mu} u(\vec{p}, s_2) = 2p_{\mu} \delta_{s_1 s_2} & (?) \\
\bar{u}(\vec{p}, s_1) \gamma^0 v(-\vec{p}, s_2) = 0 \\
\bar{u}(\vec{p}, s_1) \gamma^i v(-\vec{p}, s_2) = \xi^{s_1 \dagger} (2m\sigma^i) \xi^{s_2} \\
\bar{v}(\vec{p}, s_1) \gamma^{\mu} u(-\vec{p}, s_2) = \bar{u}(\vec{p}, s_1) \gamma^{\mu} v(-\vec{p}, s_2) \\
\bar{v}(\vec{p}, s_1) \gamma^{\mu} v(\vec{p}, s_2) = \bar{u}(\vec{p}, s_1) \gamma^{\mu} u(\vec{p}, s_2)
\end{cases}$$
(8.5.3)

代入,

$$Q = \sum_{s=\pm 1} \int d^3 p \, (b_{\vec{p}}^{s\dagger} b_{\vec{p}}^s + c_{\vec{p}}^s c_{\vec{p}}^{s\dagger})$$
 (8.5.4)

$$J^{i} = \int d^{3}x J_{V}^{i} \stackrel{\text{(?)}}{=} \int d^{3}p \left( \sum_{s=\pm 1} -\frac{p^{i}}{\omega_{p}} (b_{\vec{p}}^{s\dagger} b_{\vec{p}}^{s} + c_{\vec{p}}^{s} c_{\vec{p}}^{s\dagger}) \right)$$

$$+ \sum_{s_{1}, s_{2}=\pm 1} (b_{\vec{p}}^{s_{1}\dagger} c_{-\vec{p}}^{s_{2}\dagger} e^{2i\omega_{p}t} + c_{\vec{p}}^{s_{1}} b_{-\vec{p}}^{s_{2}} e^{-2i\omega_{p}t}) \xi^{s_{1}\dagger} (2m\sigma^{i}) \xi^{s_{2}}$$

$$(8.5.5)$$

# 8.6 free propagator

• 参考 scalar field 中的 propagator (见 (4.1.17)), the propagator of the Dirac field is,

$$iS(x - y) = \langle 0|T\Psi(x)\bar{\Psi}(y)|0\rangle$$

$$= \int \frac{d^{3}p}{(2\pi)^{3}2\omega_{p}} \Big(\theta(x^{0} - y^{0})(\not p + m)e^{-ip\cdot(x-y)} - \theta(y^{0} - x^{0})(\not p - m)e^{-ip\cdot(y-x)}\Big)$$

$$= \int \frac{d^{4}p}{(2\pi)^{4}} \frac{i}{\not p - m + i\epsilon}$$
(8.6.1)

其中,

$$(T\Psi(x)\bar{\Psi}(y))_{\alpha\beta} = \theta(x^0 - y^0)\Psi_{\alpha}(x)\bar{\Psi}_{\beta}(y) - \theta(y^0 - x^0)\bar{\Psi}_{\beta}(y)\Psi_{\alpha}(x)$$
(8.6.2)

注意到这里交换  $\Psi$ ,  $\bar{\Psi}$  是产生湮灭算符层面上的, 不是 spinor 层面上的.

#### calculation:

分别计算  $\langle 0|\Psi_{\alpha}(x)\bar{\Psi}_{\beta}(y)|0\rangle$  和  $\langle 0|\bar{\Psi}_{\beta}(y)\Psi_{\alpha}(x)|0\rangle$ ,

$$\langle 0|\Psi_{\alpha}(x)\bar{\Psi}_{\beta}(y)|0\rangle = \sum_{s=\pm 1} \int \frac{d^3p}{(2\pi)^3 2\omega_p} u_{\alpha}(\vec{p}, s) \bar{u}_{\beta}(\vec{p}, s) e^{-ip\cdot(x-y)}$$

$$= \int \frac{d^3p}{(2\pi)^3 2\omega_p} (\not p + m)_{\alpha\beta} e^{-ip\cdot(x-y)}$$
(8.6.3)

$$\langle 0|\bar{\Psi}_{\beta}(y)\Psi_{\alpha}(x)|0\rangle = \sum_{s=\pm 1} \int \frac{d^3p}{(2\pi)^3 2\omega_p} v_{\alpha}(\vec{p}, s) \bar{v}_{\beta}(\vec{p}, s) e^{-ip \cdot (y-x)}$$

$$(8.6.4)$$

代入,得到...

把 iS(x) 的第二项中的  $\vec{p}$  变成  $-\vec{p}$ ,

$$iS(x) = \int \frac{d^3p}{(2\pi)^3 2\omega_p} \left( \theta(t)(\omega_p \gamma^0 - p^i \gamma^i + m) e^{-ip \cdot x} - \theta(-t)(\omega_p \gamma^0 + p^i \gamma^i - m) e^{i(\omega_p t + \vec{p} \cdot \vec{x})} \right)$$

$$= \int \frac{d^3p}{(2\pi)^3 2\omega_p} \left( \theta(t)(\omega_p \gamma^0 - p^i \gamma^i + m) e^{-ip \cdot x} + \theta(-t)(\omega_p \mapsto -\omega_p) \right)$$

$$= \int \frac{dp^0}{-2\pi i} \frac{1}{(p^0 - (\omega_p - i\epsilon))(p^0 + (\omega_p - i\epsilon))} \int \frac{d^3p}{(2\pi)^3} (\not p + m) e^{-ip \cdot x} = \cdots$$
(8.6.5)

最后,

$$\frac{\not p+m}{p^2-m^2+i\epsilon}(\not p-m+i\epsilon)=I \tag{8.6.6}$$

# Chapter 9

# spin-statistics connection

- **spin-statistics theorem:** 在 3 维空间中, 具有整数自旋的粒子遵守 Bose-Einstein statistics, 具有半整数自旋的粒子遵守 Fermi-Dirac statistics.
- 本 chapter 不对此做出证明, 只是举例说明不能满足 spin-statistics theorem 会导致什么样的后果.

# 9.1 the price of perversity

## 9.1.1 scalar field

• 如果 scalar field 满足 anticommutation relation, 那么,

$$\{\phi(\vec{x},t),\phi(\vec{y},t)\} = \int \frac{d^D k}{(2\pi)^D \omega_k} \cos(\vec{k} \cdot (\vec{x} - \vec{y})) \neq 0$$
 (9.1.1)

违反狭义相对论.

### calculation:

代入 (4.1.11),

$$\{\phi(\vec{x},t),\phi(\vec{y},t)\} = \int \frac{d^D k}{(2\pi)^D 2\omega_k} (e^{i\vec{k}\cdot(\vec{x}-\vec{y})} + e^{-i\vec{k}\cdot(\vec{x}-\vec{y})}) = \cdots$$
(9.1.2)

# 9.1.2 Dirac field

• 如果 Dirac field 满足 commutation relation, 那么,

$$[\Psi(\vec{x},t),\Psi^{\dagger}(\vec{y},t)] = \int \frac{d^3p}{(2\pi)^3\omega_p} (i\not p\gamma^0 \sin(\vec{p}\cdot(\vec{x}-\vec{y})) + m\gamma^0 \cos(\vec{p}\cdot(\vec{x}-\vec{y})))$$
(9.1.3)

考虑可观测量  $J_V^0 = \Psi^{\dagger} \Psi$  (其中  $x = (\vec{x}, t), y = (\vec{y}, t)$ ),

$$[J_V^0(x), J_V^0(y)] = \Psi_\alpha^{\dagger}(x)[\Psi_\alpha(x), \Psi_\beta^{\dagger}(y)]\Psi_\beta(y) - \Psi_\beta^{\dagger}(y)[\Psi_\beta(y), \Psi_\alpha^{\dagger}(x)]\Psi_\alpha(x)$$
(9.1.4)

#### calculation:

代入 (8.3.1),

$$\begin{split} [\Psi(\vec{x},t),\Psi^{\dagger}(\vec{y},t)] &= \sum_{s=\pm 1} \int \frac{d^3p}{(2\pi)^3 2\omega_p} (u(\vec{p},s)u^{\dagger}(\vec{p},s)e^{i\vec{p}\cdot(\vec{x}-\vec{y})} - v(\vec{p},s)v^{\dagger}(\vec{p},s)e^{-i\vec{p}\cdot(\vec{x}-\vec{y})}) \\ &= \int \frac{d^3p}{(2\pi)^3 2\omega_p} ((\not p+m)\gamma^0 e^{i\vec{p}\cdot(\vec{x}-\vec{y})} - (\not p-m)\gamma^0 e^{-i\vec{p}\cdot(\vec{x}-\vec{y})}) \\ &= \int \frac{d^3p}{(2\pi)^3 2\omega_p} (2i\not p\gamma^0 \sin(\vec{p}\cdot(\vec{x}-\vec{y})) + 2m\gamma^0 \cos(\vec{p}\cdot(\vec{x}-\vec{y}))) \end{split} \tag{9.1.5}$$

然后,

$$\begin{split} [J_{V}^{0}(x),J_{V}^{0}(y)] = & \Psi_{\alpha}^{\dagger}(x)[\Psi_{\alpha}(x),\Psi_{\beta}^{\dagger}(y)]\Psi_{\beta}(y) - \Psi_{\beta}^{\dagger}(y)[\Psi_{\beta}(y),\Psi_{\alpha}^{\dagger}(x)]\Psi_{\alpha}(x) \\ = & \sum_{s_{1},s_{2}=\pm 1} \int \frac{d^{3}p_{1}d^{3}p_{2}d^{3}q}{(2\pi)^{6}\sqrt{4\omega_{p_{1}}\omega_{p_{2}}}\omega_{q}} (b_{\vec{p}_{1}}^{s_{1}\dagger}u^{\dagger}(\vec{p}_{1},s_{1})e^{ip_{1}\cdot x} + c_{\vec{p}_{1}}^{s_{1}}v^{\dagger}(\vec{p}_{1},s_{1})e^{-ip_{1}\cdot x}) \\ & (i\not{q}\gamma^{0}\sin(\vec{q}\cdot(\vec{x}-\vec{y})) + m\gamma^{0}\cos(\vec{q}\cdot(\vec{x}-\vec{y}))) \\ & (b_{\vec{p}_{2}}^{s_{2}}u(\vec{p}_{2},s_{2})e^{-ip_{2}\cdot y} + c_{\vec{p}_{2}}^{s_{2}}v(\vec{p}_{2},s_{2})e^{ip_{2}\cdot y}) - (x\leftrightarrow y) \end{split} \tag{9.1.6}$$

注意到  $p_1 \neq p_2$ , 这种情况怎么算 (?).

# Chapter 10

# Grassmann integrals and Feynman diagrams for Fermions

# 10.1 Grassmann number and Grassmann integrals

• 对于 Grassmann number  $\theta_1, \theta_2$ , 有反对易关系,

$$\theta_1 \theta_2 = -\theta_2 \theta_1 \tag{10.1.1}$$

因此  $\theta^2 = 0$ , 且关于 Grassmann number 最一般的函数为,

$$f(\theta) = a\theta + b \tag{10.1.2}$$

其中  $a, b \in \mathbb{C}$ .

- 注意到  $(\theta_1\theta_2)\theta_3 = \theta_3(\theta_1\theta_2)$ , 但是  $(\theta_1\theta_2)^2 = 0$ , 所以  $\theta_1\theta_2 \notin \mathbb{C}$ .
- 定义 Grassmann integral (也称作 Berezin integral),

$$\int d\theta \,\theta = 1 \qquad \int d\theta = 0 \tag{10.1.3}$$

并且具有 linearity.

## comment:

我们希望积分在 integration variable been shifted 之后  $(\theta \mapsto \theta + \eta)$  保持不变,

$$\int d\theta (a\theta + b) = \int d\theta (a\theta + a\eta + b)$$
(10.1.4)

因此, 积分结果应该与常数无关, 只与斜率有关, 所以直接定义,

$$\int d\theta \, (a\theta + b) = a \tag{10.1.5}$$

- 另外, 对于  $f(\theta) = \eta\theta + b$ , 有,

$$\int d\theta \left(\eta \theta + b\right) = \int d\theta \left(-\theta \eta + b\right) = -\eta \tag{10.1.6}$$

## 10.1.1 Gaussian-Berezin integrals

• 回顾 section 1.4 和 (8.4.2), 我们希望 Gauss 积分中出现正号而不是符号, 即,

$$\int dx \, e^{-\frac{1}{2}ax^2} = \sqrt{2\pi}e^{-\frac{1}{2}\ln a} \mapsto \propto e^{+\frac{1}{2}\ln a} \tag{10.1.7}$$

• 对于两个独立的 Grassmann number  $\theta, \bar{\theta}$ , 有 Gauss 积分,

$$\int d\theta \int d\bar{\theta} \, e^{\bar{\theta}a\theta} = \int d\theta \int d\bar{\theta} \, (1 + \bar{\theta}a\theta) = a = e^{+\ln a}$$
(10.1.8)

• 推广以上积分, 对于  $\theta = (\theta_1, \dots, \theta_N) \in V, \bar{\theta} = (\bar{\theta}_1, \dots, \bar{\theta}_N) \in V^*, 有,$ 

$$\int d\theta \int d\bar{\theta} \, e^{\bar{\theta}A\theta} = \det A \tag{10.1.9}$$

其中 A 是  $N \times N$  normal matrix.

## calculation:

对向量做幺正变换,  $\eta = U\theta$ ,  $\bar{\eta} = \bar{\theta}U^{\dagger}$ , 使得 A 对角化  $D = UAU^{\dagger}$ ,

$$I = \int d\eta \int d\bar{\eta} \, e^{\bar{\eta}D\eta}$$

$$= \sum_{n=0}^{\infty} \int d\eta_1 \cdots d\eta_N \int d\bar{\eta}_1 \cdots d\bar{\eta}_N \, \frac{\left(\sum_{i=1}^N \bar{\eta}_i D_i \eta_i\right)^n}{n!}$$
(10.1.10)

其中, 唯一不为零的项是  $\propto \prod_{i=1}^{N} (\bar{\eta}_i D_i \eta_i)$ , 并且注意到  $(\bar{\eta}_i D_i \eta_i)$  互相对易, 所以,

$$I = \int d\eta_{1} \cdots d\eta_{N} \int d\bar{\eta}_{1} \cdots d\bar{\eta}_{N} \frac{n! \prod_{i=1}^{N} (\bar{\eta}_{i} D_{i} \eta_{i})}{n!}$$

$$= \int d\eta_{1} \cdots d\eta_{N} \int d\bar{\eta}_{1} \cdots d\bar{\eta}_{N} (\bar{\eta}_{N} D_{N} \eta_{N}) \cdots (\bar{\eta}_{1} D_{1} \eta_{1})$$

$$= \int d\eta_{1} \cdots d\eta_{N} \int d\bar{\eta}_{1} \cdots d\bar{\eta}_{N-1} \underbrace{(\bar{\eta}_{N-1} D_{N-1} \eta_{N-1}) \cdots (\bar{\eta}_{1} D_{1} \eta_{1})}_{\text{commutes with } \eta_{N}} D_{N} \eta_{N}$$

$$= \cdots = \int d\eta_{1} \cdots d\eta_{N} D_{N} \eta_{N} \cdots D_{1} \eta_{1} = \prod_{i=1}^{N} D_{i} = \det A$$

$$(10.1.11)$$

注意到, 由于  $(\bar{\eta}_i D_i \eta_i)$  互相对易, 所以  $\eta, \bar{\eta}$  的积分顺序并不重要, 唯一的要求是  $\eta$  和  $\bar{\eta}$  的积分顺序 互相对应, 即  $d\eta_i d\eta_j \leftrightarrow d\bar{\eta}_i d\bar{\eta}_j$ .

• 进一步推广,

$$\int d\theta \int d\bar{\theta} \, e^{\bar{\theta}A\theta + \bar{J}\theta + \bar{\theta}J} = \det A \, e^{-\bar{J}A^{-1}J} \tag{10.1.12}$$

只需要注意到  $(\bar{\theta}+\bar{J}A^{-1})A(\theta+A^{-1}J)=\bar{\theta}A\theta+\bar{J}\theta+\bar{\theta}J+\bar{J}A^{-1}J,$  其中  $J\in V,\bar{J}\in V^*$  都是 Grassmann number 组成的向量.

# 10.2 Grassmann path integral

•

# Appendices

# Appendix A

# Dirac delta function & Fourier transformation

# A.1 Delta function

• 可以认为以下是定义式,

$$\delta(x) = \int \frac{dk}{2\pi} e^{ikx} \iff \tilde{\delta}(k) = 1 = \int dx \, \delta(x) e^{-ikx} \tag{A.1.1}$$

• 第一个常用的公式,

$$\int_{-\infty}^{+\infty} \delta(f(x))g(x)dx = \sum_{\{i,f(x_i)=0\}} \frac{g(x_i)}{|f'(x_i)|}$$
(A.1.2)

• 第二个常用的公式 (Sokhotski-Plemelj theorem),

$$\lim_{\epsilon \to 0^+} \frac{1}{x + i\epsilon} = \mathcal{P}\frac{1}{x} - i\pi\delta(x)$$
(A.1.3)

其中  $\mathcal{P}$  表示复函数的主值 (principal value).



•  $\mathfrak{R}$ ,  $\delta(x-a)\delta(x-b) = \delta(b-a)\delta(x-a)$ .

## A.2 Fourier transformation

• d-dim. Fourier transformation 如下,

$$\begin{cases} \phi(x) = \int \frac{d^d k}{(2\pi)^d} e^{ik \cdot x} \tilde{\phi}(k) \\ \tilde{\phi}(k) = \int d^d x \, e^{-ik \cdot x} \phi(x) \end{cases}$$
(A.2.1)

• 因此,

$$\partial_{\mu}\phi(x) \mapsto ik_{\mu}\tilde{\phi}(k)$$
 (A.2.2)

• 对于实函数, Fourier transformation 是正交变换, 其 Jacobi determinant 为,

$$\left| \frac{\partial \phi(x) \cdots}{\partial \operatorname{Re}\tilde{\phi}(k) \cdots \partial \operatorname{Im}\tilde{\phi}(k) \cdots} \right| = \left( \frac{2}{V} \right)^{(2N+1)^d} \det A = \left( \frac{2(2N)^d}{V^2} \right)^{\frac{(2N+1)^d}{2}} \tag{A.2.3}$$

#### proof:

position space 和 momentum space 的格点分别为,

$$\begin{cases} x_i^{\mu} = i^{\mu} \epsilon \in \{0, \pm \epsilon, \cdots, \frac{L}{2}\} \\ k_n^{\mu} = n^{\mu} \frac{2\pi}{L} \in \{0, \pm \frac{2\pi}{L}, \cdots, \frac{\pi}{\epsilon}\} \end{cases} \iff i^{\mu}, n^{\mu} \in \{0, \pm 1, \cdots, \pm N\}$$
(A.2.4)

 $x^\mu,k^\mu$  分别有 2N+1 个取值, 其中  $N\epsilon=\frac{L}{2},$  时空总体积为  $V=L^d,$  momentum space 的总体积为  $\tilde{V}=\frac{(4\pi N)^d}{V}.$ 

将 (A.2.1) 写成格点求和的形式,

$$\begin{cases}
\phi(x_i) = \frac{1}{(2\pi)^d} \left(\frac{2\pi}{L}\right)^d \sum_n e^{ik_n \cdot x_i} \tilde{\phi}(k_n) \\
= \frac{2}{V} \sum_{n^0 > 0} \left(\cos(k_n \cdot x_i) \operatorname{Re} \tilde{\phi}(k_n) - \sin(k_n \cdot x_i) \operatorname{Im} \tilde{\phi}(k_n)\right) \\
\tilde{\phi}(k_n) = \epsilon^d \sum_i e^{-ik_n \cdot x_i} \phi(x_i) \\
= \frac{V}{(2N)^d} \sum_i \left(\cos(k_n \cdot x_i) - i\sin(k_n \cdot x_i)\right) \phi(x_i)
\end{cases} (A.2.5)$$

proof

 $\phi(x_i)$  的变换需要做一些说明. 注意到  $\tilde{\phi}$  的分量的数量是  $\phi$  的两倍 (考虑到实部与虚部), 但在  $\phi \in \mathbb{R}^{(2N+1)^d}$  时.

$$\tilde{\phi}^*(k) = \tilde{\phi}(-k) \tag{A.2.6}$$

可见  $\tilde{\phi}$  的分量并不独立, 取  $k^0 > 0$  的部分为独立分量, 那么...

将 (A.2.5) 写成矩阵的形式,

$$\begin{cases}
\begin{pmatrix}
\phi(x_0) \\
\vdots \\
\phi(x_{\text{max}})
\end{pmatrix} = \frac{2}{V} \begin{pmatrix}
\cos k_0 \cdot x_0 & \cdots & \cos k_{\text{max}} \cdot x_0 & -\sin k_0 \cdot x_0 & \cdots \\
\vdots & & \ddots & & \end{pmatrix} \begin{pmatrix}
\operatorname{Re}\tilde{\phi}(k_0) \\
\vdots \\
\operatorname{Im}\tilde{\phi}(k_0) \\
\vdots \\
-\sin k_0 \cdot x_0 & \cdots & -\sin k_0 \cdot x_{\text{max}} \\
\vdots & & \ddots \\
-\sin k_0 \cdot x_0 & \cdots & -\sin k_0 \cdot x_{\text{max}}
\end{pmatrix} \begin{pmatrix}
\phi(x_0) \\
\vdots \\
\phi(x_{\text{max}})
\end{pmatrix}$$
(A.2.7)

观察可见  $\tilde{\phi}$  的变换中的矩阵是  $A^T$ , 所以,

$$\frac{2}{V}\frac{V}{(2N)^d}AA^T = I \Longrightarrow \det A = \left(\frac{(2N)^d}{2}\right)^{\frac{(2N+1)^d}{2}} \tag{A.2.8}$$

因此...

- 顺便,

$$\int d^d x f(x)g(x) = \int \frac{d^d k}{(2\pi)^d} \tilde{f}(-k)\tilde{g}(k)$$
(A.2.9)

# Appendix B

# Gaussian integrals

• 最基本的几个 Gaussian integral 如下,

$$\int dx \, e^{-\frac{1}{2}ax^2} = \sqrt{\frac{2\pi}{a}} \tag{B.0.1}$$

$$\langle x^{2n} \rangle = \frac{\int dx \, e^{-\frac{1}{2}ax^2} x^{2n}}{\int dx \, e^{-\frac{1}{2}ax^2}} = \frac{1}{a^n} (2n-1)!!$$
 (B.0.2)

其中  $(2n-1)!! = 1 \cdot 3 \cdot \cdot \cdot (2n-3)(2n-1)$ .

• 一个重要的变体如下,

$$\int dx \, e^{-\frac{a}{2}x^2 + Jx} = \sqrt{\frac{2\pi}{a}} e^{\frac{J^2}{2a}} \tag{B.0.3}$$

另外, 将 a, J 分别替换为 -ia, iJ 也是重要的变体.

# B.1 N-dim. generalization

• 考虑如下积分,

$$Z(A,J) = \int dx_1 \cdots dx_N \, e^{-\frac{1}{2}x^T \cdot A \cdot x + J^T \cdot x} = \sqrt{\frac{(2\pi)^N}{\det A}} e^{\frac{1}{2}J^T \cdot A^{-1} \cdot J}$$
 (B.1.1)

其中 x, J 是 N-dim. 列向量, A 是  $N \times N$  实对称矩阵.

## calculation:

根据 spectral theorem for normal matrices (对称矩阵是厄密矩阵在实数域上的对应), 可知存在 orthogonal transformation 使得,

$$A = O^{-1} \cdot D \cdot O \tag{B.1.2}$$

其中 D 是一个 diagonal matrix. 令  $y = O \cdot x$ , 那么,

$$Z(A,J) = \int dy_1 \cdots dy_N \, e^{-\frac{1}{2}y^T \cdot D \cdot y + (OJ)^T \cdot y}$$

$$= \prod_{i=1}^N \sqrt{\frac{2\pi}{D_{ii}}} e^{\frac{1}{2D_{ii}}(OJ)_i^2} = \sqrt{\frac{(2\pi)^N}{\det A}} e^{\frac{1}{2}J^T \cdot A^{-1} \cdot J}$$
(B.1.3)

其中, 注意到了  $\frac{1}{D_{ii}} = (O \cdot A^{-1} \cdot O^{-1})_{ii}$  以及  $\operatorname{tr} D = \det A$ .

- 一个重要的变体是  $A \mapsto -iA, J \mapsto iJ$ .
- 考虑 (B.0.2) 的变体, (注意 A 是对称的),

$$\langle x_i x_j \rangle = \frac{1}{Z(A,0)} \frac{\partial}{\partial J_i} \frac{\partial}{\partial J_j} Z(A,J) \Big|_{J=0} = A_{ij}^{-1}$$
 (B.1.4)

$$\langle x_i x_j \cdots x_k x_l \rangle = \sum_{Wick} A_{i'j'}^{-1} \cdots A_{k'l'}^{-1}$$
(B.1.5)

其中 (B.1.5) 中有偶数个 x, 否则等于零.

# calculation:

$$\langle x_i x_j \cdots x_k x_l \rangle = \frac{1}{Z(A,0)} \frac{\partial}{\partial J_i} \frac{\partial}{\partial J_j} \cdots \frac{\partial}{\partial J_k} \frac{\partial}{\partial J_l} Z(A,J) \Big|_{J=0} = \cdots$$
 (B.1.6)

例如,

$$\langle x_i x_j x_k x_l \rangle = A_{ij}^{-1} A_{kl}^{-1} + A_{ik}^{-1} A_{jl}^{-1} + A_{il}^{-1} A_{jk}^{-1}$$
 (B.1.7)

其中, 可以用 Wick contraction 计算上式, 如下,

$$\langle \overrightarrow{x_i x_j x_k x_l} \rangle = A_{ik}^{-1} A_{jl}^{-1}$$
(B.1.8)

# Appendix C

# perturbation theory in QM

- this chapter is based on MIT OpenCourseWare Quantum Physics III Chapter 1: Perturbation Theory.
- 研究的 Hamiltonian 与 well studied Hamiltonian 有微小差异时, 使用 perturbation theory,

$$H(\lambda) = H^{(0)} + \lambda \delta H \tag{C.0.1}$$

其中  $\lambda \in [0,1]$ .

• 考虑 H<sup>(0)</sup> 的本征态为,

$$H^{(0)}|k^{(0)}\rangle = E_k^{(0)}|k^{(0)}\rangle \quad \text{and} \quad \begin{cases} \langle k^{(0)}|l^{(0)}\rangle = \delta_{kl} \\ E_0^{(0)} \le E_1^{(0)} \le E_2^{(0)} \le \cdots \end{cases}$$
 (C.0.2)

# C.1 non-degenerate perturbation theory

• 考虑 non-degenerate 能级 k, 有  $\cdots \le E_{k-1}^{(0)} < E_k^{(0)} < E_{k+1}^{(0)} \le \cdots$ ,在 perturbation theory 适用的情况下,

$$\begin{cases} |k\rangle_{\lambda} = |k^{(0)}\rangle + \lambda |k^{(1)}\rangle + \lambda^{2} |k^{(2)}\rangle + \cdots \\ E_{k}(\lambda) = E_{k}^{(0)} + \lambda E_{k}^{(1)} + \lambda^{2} E_{k}^{(2)} + \cdots \end{cases}$$
(C.1.1)

- 注意, 我们可以选取修正项满足,

$$\langle k^{(0)}|k^{(n)}\rangle = 0, n = 1, 2, \cdots$$
 (C.1.2)

#### proof:

假设我们求解得到的修正项不满足  $\langle k^{(0)}|k^{(n)}\rangle = 0, n = 1, 2, \dots,$  考虑,

$$|k^{(n)}\rangle' = |k^{(n)}\rangle + a_n |k^{(0)}\rangle \quad \text{with} \quad \langle k^{(0)}|k^{(n)}\rangle' = 0$$
 (C.1.3)

那么, (注意到态矢量可以乘一个常数,  $\frac{1}{1-a_1\lambda-a_2\lambda^2-\cdots}=1+a_1\lambda+(a_1^2+a_2)\lambda^2+\cdots$ ),

$$|k\rangle_{\lambda} = (1 - a_{1}\lambda - a_{2}\lambda^{2} - \cdots) |k^{(0)}\rangle + \lambda |k^{(1)}\rangle' + \lambda^{2} |k^{(2)}\rangle' + \cdots$$

$$|k\rangle'_{\lambda} = |k^{(0)}\rangle + \frac{1}{1 - a_{1}\lambda - a_{2}\lambda^{2} - \cdots} (\lambda |k^{(1)}\rangle' + \lambda^{2} |k^{(2)}\rangle' + \cdots)$$

$$= |k^{(0)}\rangle + \lambda |k^{(1)}\rangle' + \lambda^{2} (a_{1} |k^{(1)}\rangle' + |k^{(2)}\rangle') + \cdots$$
(C.1.4)

可见修正项都与  $|k^{(0)}\rangle$  正交.

- 注意, 不能要求  $_{\lambda}\langle k|k\rangle_{\lambda}=1,$  否则  $|k^{(n)}\rangle$  将与  $\lambda$  相关 (包括  $|k^{(0)}\rangle),$ 

$$\begin{split} {}_{\lambda}\langle k|k\rangle_{\lambda} &= \langle k^{(0)}|k^{(0)}\rangle \\ &+ \lambda(\langle k^{(1)}|k^{(0)}\rangle + \langle k^{(0)}|k^{(1)}\rangle) \\ &+ \lambda^2(\langle k^{(2)}|k^{(0)}\rangle + \langle k^{(1)}|k^{(1)}\rangle + \langle k^{(0)}|k^{(2)}\rangle) \end{split}$$

:  
 
$$+ \lambda^{n} (\langle k^{(n)} | k^{(0)} \rangle + \langle k^{(n-1)} | k^{(1)} \rangle + \dots + \langle k^{(0)} | k^{(n)} \rangle)$$
 (C.1.5)

• 将 (C.1.1) 代入 Schrödinger's eq., 得到,

$$\lambda^{0} \qquad (H^{(0)} - E_{k}^{(0)}) | k^{(0)} \rangle = 0$$

$$\lambda^{1} \qquad (H^{(0)} - E_{k}^{(0)}) | k^{(1)} \rangle = (E_{k}^{(1)} - \delta H) | k^{(0)} \rangle$$

$$\lambda^{2} \qquad (H^{(0)} - E_{k}^{(0)}) | k^{(2)} \rangle = (E_{k}^{(1)} - \delta H) | k^{(1)} \rangle + E_{k}^{(2)} | k^{(0)} \rangle$$

$$\vdots \qquad \qquad \vdots$$

$$\lambda^{n} \qquad (H^{(0)} - E_{k}^{(0)}) | k^{(n)} \rangle = (E_{k}^{(1)} - \delta H) | k^{(n-1)} \rangle + E_{k}^{(2)} | k^{(n-2)} \rangle + \dots + E_{k}^{(n)} | k^{(0)} \rangle$$

#### calculation:

Schrödinger's eq. 为,

$$(H^{(0)} + \lambda \delta H - E_k(\lambda)) |k\rangle_{\lambda} = 0 \tag{C.1.6}$$

展开为,

$$\left( (H^{(0)} - E_k^{(0)}) + \lambda (\delta H - E_k^{(1)}) - \lambda^2 E_k^{(2)} - \cdots \right) (|k^{(0)}\rangle + \lambda |k^{(1)}\rangle + \lambda^2 |k^{(2)}\rangle + \cdots) = 0 \quad (C.1.7)$$

• 现在来计算  $\langle l^{(0)}|k^{(n)}\rangle$ , 有,

$$\begin{cases}
(E_{l}^{(0)} - E_{k}^{(0)}) \langle l^{(0)} | k^{(1)} \rangle = E_{k}^{(1)} \delta_{lk} - \delta H_{lk} \\
(E_{l}^{(0)} - E_{k}^{(0)}) \langle l^{(0)} | k^{(2)} \rangle = E_{k}^{(1)} \langle l^{(0)} | k^{(1)} \rangle - \langle l^{(0)} | \delta H | k^{(1)} \rangle + E_{k}^{(2)} \delta_{lk} \\
\vdots & \vdots & \vdots \\
(E_{l}^{(0)} - E_{k}^{(0)}) \langle l^{(0)} | k^{(n)} \rangle = E_{k}^{(1)} \langle l^{(0)} | k^{(n-1)} \rangle - \langle l^{(0)} | \delta H | k^{(n-1)} \rangle \\
+ E_{k}^{(2)} \langle l^{(0)} | k^{(n-2)} \rangle + \dots + E_{k}^{(n)} \delta_{lk}
\end{cases}$$
(C.1.8)

其中  $\delta H_{lk} = \langle l^{(0)} | \delta H | k^{(0)} \rangle$ , 对于满足 (C.1.2) 的解, 有,

$$E_k^{(n)} = \langle k^{(0)} | \delta H | k^{(n-1)} \rangle, n = 1, 2, \cdots$$
 (C.1.9)

并且,

$$|k^{(1)}\rangle = -\sum_{l \neq k} \frac{\delta H_{lk}}{E_l^{(0)} - E_k^{(0)}} |l^{(0)}\rangle \Longrightarrow E_k^{(2)} = -\sum_{l \neq k} \frac{|\delta H_{lk}|^2}{E_l^{(0)} - E_k^{(0)}}$$
(C.1.10)

## calculation:

将 (C.1.10) 代入 (C.1.8), 得到  $(l \neq k)$ ,

$$(E_l^{(0)} - E_k^{(0)}) \langle l^{(0)} | k^{(2)} \rangle = -E_k^{(1)} \frac{\delta H_{lk}}{E_l^{(0)} - E_k^{(0)}} + \sum_{m \neq k} \frac{\delta H_{lm} \delta H_{mk}}{E_m^{(0)} - E_k^{(0)}}$$
(C.1.11)

所以,

$$\begin{cases} |k^{(2)}\rangle = \sum_{l \neq k} \left( -\frac{\delta H_{00}\delta H_{lk}}{(E_l^{(0)} - E_k^{(0)})^2} + \sum_{m \neq k} \frac{\delta H_{lm}\delta H_{mk}}{E_m^{(0)} - E_k^{(0)}} \right) |l^{(0)}\rangle \\ E_k^{(3)} = \sum_{l \neq k} \left( -\frac{\delta H_{00}|\delta H_{lk}|^2}{(E_l^{(0)} - E_k^{(0)})^2} + \sum_{m \neq k} \frac{\delta H_{kl}\delta H_{lm}\delta H_{mk}}{E_m^{(0)} - E_k^{(0)}} \right) \end{cases}$$
(C.1.12)

计算归一化系数,

$$_{\lambda}\langle k|k\rangle_{\lambda} = 1 + \lambda^2 \sum_{l \neq k} \frac{|\delta H_{lk}|^2}{(E_l^{(0)} - E_k^{(0)})^2} + O(\lambda^3)$$
 (C.1.13)

# C.1.1 level repulsion or the seesaw mechanism

• 能量的展开式为,

$$E_k(\lambda) = E_k^{(0)} + \lambda \delta H_{kk} - \lambda^2 \sum_{l \neq k} \frac{|\delta H_{lk}|^2}{E_l^{(0)} - E_k^{(0)}} + O(\lambda^3)$$
 (C.1.14)

二阶项的效果是使能级间距增大,对于基态能级,二阶项使其能量减小.

# C.1.2 validity of the perturbation expansion

• 考虑两能级系统, 可以得出微扰展开收敛的条件, 即,

$$|\lambda V| < \frac{1}{2} \Delta E^{(0)} \tag{C.1.15}$$

因此, 对于能级简并的情况,  $\Delta E^{(0)} = 0$ , 情况会更复杂.

#### calculation:

对于两能级系统,

$$H(\lambda) = H^{(0)} + \lambda \hat{V} = \begin{pmatrix} E_1^{(0)} & \lambda V \\ \lambda V^* & E_2^{(0)} \end{pmatrix}$$
 (C.1.16)

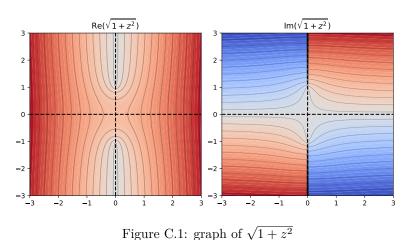
 $H(\lambda)$  的本征值可以直接计算,

$$E_{\pm}(\lambda) = \frac{1}{2} (E_1^{(0)} + E_2^{(0)}) \pm \frac{1}{2} (E_1^{(0)} - E_2^{(0)}) \sqrt{1 + \left(\frac{\lambda |V|}{\frac{1}{2} (E_1^{(0)} - E_2^{(0)})}\right)^2}$$
(C.1.17)

考虑  $\sqrt{1+z^2}$  的 Taylor 展开,

$$\sqrt{1+z^2} = 1 + \frac{z^2}{2} - \frac{z^4}{8} + \dots + (-1)^{n+1} \frac{(2n-3)!!}{2^n n!} z^{2n} + \dots$$
 (C.1.18)

注意到  $\sqrt{1+z^2}$  在  $z=\pm i$  有 branch cut, 因此 z=0 附近的 Taylor expansion 只有在 |z|<1 内才收敛.



# C.2 degenerate perturbation theory

• 暂时先跳过.

# Appendix D

# classical field theory and Noether's theorem

# D.1 classical field theory

# D.1.1 Lagrangian density and the action

- Lagrangian density,  $\mathcal{L}$ ,  $\not\equiv \phi^a(x)$ ,  $\partial_\mu \phi^a(x)$ , t 的函数.
- 对作用量变分得到 Euler-Lagrangian equation of motion,

$$\frac{\delta \mathcal{L}}{\delta \phi^a} - \partial_\mu \left( \frac{\delta \mathcal{L}}{\delta(\partial_\mu \phi^a)} \right) = 0 \tag{D.1.1}$$

#### calculation:

对作用量进行变分.

$$\delta S = \int d^4x \left( \frac{\delta \mathcal{L}}{\delta \phi^a} \delta \phi^a + \frac{\delta \mathcal{L}}{\delta (\partial_\mu \phi^a)} \delta \partial_\mu \phi^a \right)$$

$$= \int d^4x \left( \left( \frac{\delta \mathcal{L}}{\delta \phi^a} - \partial_\mu \left( \frac{\delta \mathcal{L}}{\delta (\partial_\mu \phi^a)} \right) \right) \delta \phi^a + \partial_\mu \left( \frac{\delta \mathcal{L}}{\delta (\partial_\mu \phi^a)} \delta \phi^a \right) \right)$$
(D.1.2)

由于边界变分为零...

## D.1.2 canonical momentum and the Hamiltonian

• def.:  $\mathbb{Z}$   $\mathbb{Z}$   $\mathbb{Z}$   $\mathbb{Z}$   $\mathbb{Z}$   $\pi_a^{\mu}$  的量,

$$\pi_a^{\mu} = \frac{\delta \mathcal{L}}{\delta(\partial_{\mu} \phi^a)} \tag{D.1.3}$$

其中  $\pi_a \equiv \pi_a^0$  称作 canonical momentum of the field.

• def.: the Hamiltonian density is,

$$\mathcal{H} = \pi_a \partial_0 \phi^a - \mathcal{L} \tag{D.1.4}$$

• the Hamilton's equations are,

$$\begin{cases}
\partial_0 \phi^a = \frac{\delta \mathcal{H}}{\delta \pi_a} \\
-\partial_0 \pi^a = \frac{\delta \mathcal{H}}{\delta \phi^a} - \partial_i \left( \frac{\delta \mathcal{H}}{\delta (\partial_i \phi^a)} \right)
\end{cases}$$
(D.1.5)

- 第二个方程可以写成更紧凑的形式,

$$\partial_{\mu}\pi_{a}^{\mu} = \frac{\delta \mathcal{H}}{\delta \phi^{a}} \tag{D.1.6}$$

# D.2 Noether's theorem

### D.2.1 in classical particle mechanics

- 系统的 Lagrangian 为  $L(q^a, \dot{q}^a, t)$ .
- 系统通过以下形式变换,

$$q^a(t) \mapsto q^a(\lambda, t)$$
 and  $q^a(t, 0) = q^a(t)$  (D.2.1)

并定义,

$$D_{\lambda}q^{a} = \frac{\partial q^{a}}{\partial \lambda} \Big|_{\lambda=0} \tag{D.2.2}$$

• Noether's theorem: the continuous transform  $\lambda$  is a continuous symmetry iff.,

$$D_{\lambda}L = \frac{dF(q^a, \dot{q}^a, t)}{dt}$$
 (D.2.3)

for some  $F(q^a, \dot{q}^a, t)$ , and the corresponding **conserved quantity** is,

$$Q = p_a D_\lambda q^a - F(q^a, \dot{q}^a, t) \tag{D.2.4}$$

## proof:

$$D_{\lambda}L = \frac{\partial L}{\partial q^{a}} D_{\lambda} q^{a} + \frac{\partial L}{\partial \dot{q}^{a}} \frac{dD_{\lambda} q^{a}}{dt} = \frac{d}{dt} (p_{a} D_{\lambda} q^{a})$$
 (D.2.5)

- 几个例子如下,
  - **空间平移**,  $\vec{x}(t) \mapsto \vec{x}(t) + \hat{e}_i \lambda$ , 相应地,  $D_{\lambda} \vec{x} = \hat{e}_i$ , 且,

$$D_{\lambda}L = \frac{\partial L}{\partial x^i} \tag{D.2.6}$$

如果  $\frac{\partial L}{\partial x^i} = 0$ , 那么, 有守恒量  $p_i$ .

- **时间平移**,  $q^a(t) \mapsto q^a(t+\lambda)$ , 相应地,  $D_{\lambda}q^a = \dot{q}^a$ , 且,

$$D_{\lambda}L = \frac{dL}{dt} - \frac{\partial L}{\partial t} \tag{D.2.7}$$

如果  $\frac{\partial L}{\partial t} = 0$ , 那么, 有守恒量  $H = p_a \dot{q}^a - L$ .

- **转动**,  $\vec{x}(t) \mapsto R(\lambda, \hat{e}) \cdot \vec{x}(t)$ , 相应地,  $D_{\lambda}\vec{x} = \hat{e} \times \vec{x}$ , 且,

$$D_{\lambda}L = \vec{x} \cdot \left(\frac{\partial L}{\partial \vec{x}} \times \hat{e}\right) + \hat{e}(\dot{\vec{x}} \times \vec{p})$$
 (D.2.8)

如果上式中两个括号内的项都为零, 那么, 有守恒量  $\hat{e} \cdot \vec{J} = \hat{e} \cdot (\vec{x} \times \vec{p})$ .

#### D.2.2 in classical field theory

• 类似地,系统通过以下形式变换,

$$\phi^a(x) \mapsto \phi^a(x, \lambda) \quad \text{and} \quad \phi^a(x, 0) = \phi^a(x)$$
 (D.2.9)

并定义,

$$D_{\lambda}\phi^{a} = \frac{\partial\phi^{a}}{\partial\lambda}\Big|_{\lambda=0} \tag{D.2.10}$$

• Noether's theorem: the continuous transform  $\lambda$  is a continuous symmetry iff.,

$$D_{\lambda}\mathcal{L} = \partial_{\mu}F^{\mu}(\phi^{a}, \partial_{\mu}\phi^{a}, t) \tag{D.2.11}$$

for some  $F^{\mu}(\phi^a, \partial_{\mu}\phi^a, t)$ , and the **conserved current** is,

$$J^{\mu} = \pi^{\mu}_{a} D_{\lambda} \phi^{a} - F^{\mu} \tag{D.2.12}$$

### proof:

$$D_{\lambda}\mathcal{L} = \frac{\delta\mathcal{L}}{\delta\phi^{a}}D_{\lambda}\phi^{a} + \frac{\delta\mathcal{L}}{\delta(\partial_{\mu}\phi^{a})}\partial_{\mu}D_{\lambda}\phi^{a}$$

$$= \left(\frac{\delta\mathcal{L}}{\delta\phi^{a}} - \partial_{\mu}\left(\frac{\delta\mathcal{L}}{\delta(\partial_{\mu}\phi^{a})}\right)\right)D_{\lambda}\phi^{a} + \partial_{\mu}\left(\underbrace{\frac{\delta\mathcal{L}}{\delta(\partial_{\mu}\phi^{a})}}_{=\pi_{\mu}^{a}}D_{\lambda}\phi^{a}\right)$$
(D.2.13)

代入 (D.1.1), 得...

• 注意, conserved current 并不是唯一确定的, 考虑如下变换,

$$F^{\mu} \mapsto F'^{\mu} = F^{\mu} + \partial_{\nu} A^{\mu\nu} \quad \text{with} \quad A^{\mu\nu} = A^{[\mu\nu]}$$
 (D.2.14)

新  $F'^{\mu}$  依然能满足 (D.2.11).

• 但是, 守恒荷是唯一确定的.

#### proof:

$$Q' = \int d^3x J^0 = \int d^3x (\pi_a D_\lambda \phi^a - F^0) - \int d^3x \, \partial_\mu A^{0\mu}$$
 (D.2.15)

考虑到边界值为零, 且  $A^{00} = 0$ , 所以 Q' = Q.

# D.2.3 spacetime translations and the energy-momentum tensor

• 时空平移变换为,

$$\phi^a(x) \mapsto \phi^a(x + \lambda e)$$
 (D.2.16)

• 所以,

$$D_{\lambda}\phi^{a} = e^{\mu}\partial_{\mu}\phi^{a}$$
 and  $D_{\lambda}\mathcal{L} = e^{\mu}\partial_{\mu}\mathcal{L}$  (D.2.17)

代入 (D.2.12),

$$J^{\mu} = e^{\nu} \underbrace{\left( \underbrace{\pi_a^{\mu} \partial_{\nu} \phi^a - \delta_{\nu}^{\mu} \mathcal{L}}_{=T^{\mu}_{\nu}} \right)}_{=T^{\mu}_{\nu}} \tag{D.2.18}$$

并且有,

$$\partial_{\mu}T^{\mu\nu} = 0 \Longrightarrow P^{\mu} = \int d^3x \, T^{0\mu} = \text{Const.}$$
 (D.2.19)

来自守恒流散度为零.

# D.2.4 Lorentz transformations, angular momentum and something else

• Lorentz transformation 下坐标做变换  $x'^{\mu} = \Lambda^{\mu}_{\ \nu} x^{\nu}$ , 其中  $\Lambda$  满足,

$$\eta = \Lambda^T \eta \Lambda \tag{D.2.20}$$

• infinitesimal Lorentz transformation 是,

$$\Lambda = I + \epsilon \tag{D.2.21}$$

其中  $\{\epsilon^{\mu\nu}\}=\epsilon\eta$  是反对称矩阵.

#### proof:

考虑,

$$\boldsymbol{\eta} = (\boldsymbol{\Lambda}\boldsymbol{\eta})^T\boldsymbol{\eta}(\boldsymbol{\Lambda}\boldsymbol{\eta}) = (\boldsymbol{\eta} + \boldsymbol{\epsilon}\boldsymbol{\eta})^T\boldsymbol{\eta}(\boldsymbol{\eta} + \boldsymbol{\epsilon}\boldsymbol{\eta})$$

$$= \eta + \eta \epsilon^T + \epsilon \eta + O(\epsilon^2)$$
 (D.2.22)

-----

• 标量场在 Lorentz transform 下的变换为,

$$\Lambda: \phi^{a}(x) \mapsto \phi^{a}(\Lambda^{-1}x') \tag{D.2.23}$$

有,

$$D_{\lambda}\phi^{a} = -\epsilon^{\mu}_{\ \nu}x^{\nu}\partial_{\mu}\phi^{a}$$
 and  $D_{\lambda}\mathcal{L} = -\epsilon^{\mu}_{\ \nu}x^{\nu}\partial_{\mu}\mathcal{L} = -\epsilon_{\mu\nu}\partial^{\mu}(x^{\nu}\mathcal{L})$  (D.2.24)

代入 (D.2.12),

$$J^{\mu} = \frac{1}{2} \epsilon_{\nu\rho} M^{\mu\nu\rho} \quad \text{where} \quad M^{\mu\nu\rho} = x^{\nu} T^{\mu\rho} - x^{\rho} T^{\mu\nu}$$
 (D.2.25)

且有,

$$\partial_{\mu}M^{\mu\nu\rho} = 0 \tag{D.2.26}$$

\_\_\_\_\_\_

• 对全空间积分,得到6个守恒量,

$$J^{\mu\nu} = \int d^3x \, M^{0\mu\nu} = \text{Const.}$$
 (D.2.27)

不难发现  $J^{ij}$  对应角动量, 现在来讨论  $J^{0i}$  的物理意义,

$$0 = \frac{d}{dt}J^{0i} = \frac{d}{dt}\int d^3x (tT^{0i} - x^iT^{00}) = P^i - \frac{d}{dt}\int d^3x \, x^iT^{00}$$
 (D.2.28)

其中,用到了  $\frac{dP^{i}}{dt} = 0$  (见 (D.2.19)),可以将上式的第二项理解为质心运动的动量.

# D.3 charge as generators

• the charge associated with the conserved current is,

$$Q = \int d^{D} J^{0} = \int d^{D} x \left( \pi_{a} D_{\lambda} \phi^{a} - F^{0} \right)$$
 (D.3.1)

在  $F^{\mu} = 0$  且  $[D_{\lambda}\phi^a, \phi^a] = 0$  的情况下,

$$i[Q,\phi^a] = D_\lambda \phi^a \tag{D.3.2}$$

# D.4 what the graviton listens to: energy-momentum tensor

• the energy-momentum tensor is defined as  $(\sharp P g = |\det\{g_{\mu\nu}\}|),$ 

$$T_{\mu\nu} = -\frac{2}{\sqrt{g}} \frac{\delta(\sqrt{g}\mathcal{L}_M)}{\delta g^{\mu\nu}} = -2\frac{\delta\mathcal{L}_M}{\delta g^{\mu\nu}} + g_{\mu\nu}\mathcal{L}_M$$
 (D.4.1)

• 如果将  $\mathcal{L}_M$  对  $g^{\mu\nu}$  做展开  $\mathcal{L}_M = A + g^{\mu\nu}B_{\mu\nu} + g^{\mu\nu}g^{\rho\sigma}C_{\mu\nu\rho\sigma} + \cdots$ , 那么,

$$T_{\mu\nu} = -2(B_{\mu\nu} + 2g^{\rho\sigma}C_{\mu\nu\rho\sigma} + 3\cdots) + g_{\mu\nu}\mathcal{L}_M$$
 (D.4.2)

另外, the trace of the energy-momentum tensor is,

$$T = g^{\mu\nu}T_{\mu\nu} = d \times A + (d-2)g^{\mu\nu}B_{\mu\nu} + (d-4)g^{\mu\nu}g^{\rho\sigma}C_{\mu\nu\rho\sigma}$$
 (D.4.3)

可见 d = 4 时, T 与  $C_{\mu\nu\rho\sigma}$  无关.

• 以 electromagnetic field 为例, d = 4,

$$\mathcal{L} = -\frac{1}{4}F^{\mu\nu}F_{\mu\nu} - \frac{1}{2}m^2A^{\mu}A_{\mu} \Longrightarrow \begin{cases} T_{\mu\nu} = F_{\mu\rho}F_{\nu}^{\ \rho} + m^2A_{\mu\nu} + g_{\mu\nu}\mathcal{L}_M \\ T = -m^2A^{\mu}A_{\mu} \end{cases}$$
(D.4.4)

可见 the energy-momentum tensor of electromagnetic field (when m=0) is traceless.

•  $\mathcal{L} = -\frac{1}{2}((\partial \phi)^2 - m^2\phi^2)$  和  $\mathcal{L} = \frac{1}{2}\phi(\partial^2 - m^2)\phi$  对应的 energy-momentum tensor 一样吗 (?).

# Appendix E

# antiunitary operator and time reversal

# E.1 complex conjugation operator

 $\bullet$  complex conjugation operator, K, is an antiunitary operator on the complex plane,

$$\begin{cases} Kz = z^* \\ zK^* = z^* \end{cases} \Longrightarrow K^2 = K^{*2} = 1$$
 (E.1.1)

- $K^*I: V^* \to V^*$  是 dual space 上的算符.
- 对于一组 orthonormal basis, 有,

$$\langle i|K^*IK|j\rangle = \delta_{ij}$$
 (E.1.2)

并且可以证明在基矢变换后这个等式依然成立.

## proof:

- 对基矢做 unitary transformation,

$$|i'\rangle = U |i\rangle = \sum_{j} |j\rangle U_{ji}$$
 where  $U_{ji} = \langle j|U|i\rangle$  (E.1.3)

那么,

$$\langle i'|K^*IK|j'\rangle = \sum_{kl} \langle k|U_{ki}^*K^*IKU_{lj}|l\rangle = \sum_{kl} U_{ki}U_{lj}^*\delta_{kl} = \delta_{ij}$$
 (E.1.4)

— 对基矢做 antiunitary transformation, 只需要证明  $|i'\rangle = K|i\rangle$  的情况, 此时,

$$\langle i'|K^*IK|j'\rangle = \langle i|j\rangle = \delta_{ij}$$
 (E.1.5)

# E.2 antiunitary operator

- 对于一个 unitary operator,  $U, \Omega = UK$  是一个 antiunitary operator.
- 定义其 Hermitian conjugate,

$$\Omega^{\dagger} = K^* U^{\dagger} \iff \langle i | \Omega j \rangle = \langle j | \Omega^{\dagger} i \rangle^*$$
 (E.2.1)

那么,

$$\begin{cases} \langle \phi | \Omega \psi \rangle = \langle \psi | \Omega^{\dagger} \phi \rangle^* \\ \langle \Omega \phi | \Omega \psi \rangle = \langle \psi | \phi \rangle \end{cases}$$
 (E.2.2)

# proof:

首先,

$$\langle \phi | \Omega \psi \rangle = \sum_{ij} \langle i | \phi_i^* U K \psi_j | j \rangle$$

$$\begin{split} &= \sum_{ij} \phi_i^* \psi_j^* \left\langle i | UK | j \right\rangle \\ &= \left( \sum_{ij} \left\langle j | K^* U^\dagger | i \right\rangle \phi_i \psi_j \right)^* \\ &= \left( \sum_{ij} \left\langle j | \psi_j^* K^* U^\dagger \phi_i | i \right\rangle \right)^* = \left\langle \psi | K^* U^\dagger | \phi \right\rangle^* \end{split} \tag{E.2.3}$$

其次,

$$\begin{split} \langle \Omega \phi | \Omega \psi \rangle &= \langle \phi | \Omega^{\dagger} \Omega \psi \rangle = \langle \phi | K^* I K | \psi \rangle \\ &= \sum_{ij} \langle i | \phi_i^* K^* I K \psi_j | j \rangle \\ &= \sum_{ij} \phi_i \psi_j^* \langle i | K^* I K | j \rangle = \langle \psi | \phi \rangle \end{split} \tag{E.2.4}$$

# E.3 time reversal in QM

• 在量子力学中,

$$\mathcal{T}: |\psi\rangle \mapsto |\psi'(t')\rangle = \int d^D x |x\rangle K \langle x|\psi(t)\rangle \quad \text{where} \quad t' = -t$$
 (E.3.1)

- 因此,对于动量本征态,

$$T|p\rangle = \int d^D x |x\rangle K e^{i\vec{p}\cdot\vec{x}} = |-p\rangle$$
 (E.3.2)

- 对于动量算符,

$$TPT^{\dagger} = \int d^{D}p |-p\rangle p \langle -p| = -P$$
 (E.3.3)

- 对于角动量算符,

$$TLT^{\dagger} = T(X \times P)T^{-1} = -L \tag{E.3.4}$$

• 对于平面波,

$$\psi(t) = e^{i(\vec{k}\cdot\vec{x}-Et)} \mapsto \psi'(t') = \langle x|K^*IK|\psi(t)\rangle = e^{-i(\vec{k}\cdot\vec{x}-Et)}$$
(E.3.5)

注意到 t' = -t, 代入,

$$\psi'(t) = e^{i(-\vec{k}\cdot\vec{x} - Et)} \tag{E.3.6}$$

# E.3.1 spin- $\frac{1}{2}$ non-relativistic electron

• 时间反演算符作用到 spin-up state 应该得到 spin-down state, 所以,

$$T = \sigma_2 K \tag{E.3.7}$$

- 因此,

$$T^{2} = \sigma_{2}K\sigma_{2}K = \sigma_{2}^{*}\sigma_{2} = -1$$
 (E.3.8)

- 具体地,

$$T\begin{pmatrix} 1\\0 \end{pmatrix} = \begin{pmatrix} 0\\i \end{pmatrix} \quad T\begin{pmatrix} 0\\1 \end{pmatrix} = \begin{pmatrix} -i\\0 \end{pmatrix} \tag{E.3.9}$$

• Kramer's degeneracy: 含有奇数个电子的时间反演不变系统, 其能级是 twofold degenerate.

#### proof:

因为系统时间反演不变, 所以  $\psi$  和  $T\psi$  有相同的能级, 且  $T\psi \neq e^{i\alpha}\psi$ ,  $\forall \alpha$ .

考虑  $T\psi = e^{i\alpha}\psi$ , 那么,

$$T^{2}\psi = Te^{i\alpha}\psi = e^{-i\alpha}e^{i\alpha}\psi = \psi \tag{E.3.10}$$

与  $T^2 = -1$  矛盾.