
YOLO-SG: An Efficient Framework for Scene Graph Generation

Shui Jie

School of Computer Science
Peking University
SCHOOL ID HERE <!!!>

Aleksei Lobanov

School of Computer Science
Peking University

Lawrence Leroy Chieng Tze Yao

School of Computer Science
Peking University

Charles Young

School of Computer Science
Peking University

Abstract

Scene graph generation (SGG) is the task of detecting object pairs and their relations in a visual medium, widely used for captioning, generation, and visual question answering. 2D scene graph generation is a subtask that focuses on the generation of a 2D graph given an image. While development on models capable of performing 2D SGG have improved in both accuracy and speed, the computational complexity of the problem and the inherently long-tailed distribution of large, available datasets has led to generation speed and accuracy less than ideal for real-time use. Mainstream approaches focus on two-stage generation, where object detection is performed first, followed by a series of comparisons for relation inference. However, these have an inherent drawback where the computational complexity of detecting n objects and their relationships is n^2 . More recent models have utilized encoder-decoder structures to reduce generation into a 1-stage problem. Unfortunately, the speed of generation for this models is still insufficient for real-time generation. Additionally, the long-tailed distribution of the training data leads to heavy bias in both approaches. We propose YOLO-SG, a novel SGG framework capable of operating in real-time by decoupling object detection and relation detection and by performing relationship inference with multiple detection models in parallel. In doing so, we are able to both alleviate the effects long-tailed distribution problem by dividing the data into balanced subsets and perform high speed inference. Preliminary experiments on the Visual Genome 1.2 dataset demonstrate that YOLO-SG is able to achieve competitive performance with state-of-the-art models while maintaining high inference speed.

1 Introduction

In recent decades, object detection problems have become an increasingly popular subject in research literature[21] as the rise of deep learning[11] has led to many breakthroughs in the field. increase in research popularity has led to rapid advancements in downstream tasks such as scene graph generation (SGG)[8]. SGG encompasses the set of tasks that focus on detecting object pairs and their relationships in visual media, creating scene graphs which can then be used for applications such as image captioning[6, 5], image generation[18], and visual question answering[20]. Two significant barriers stand in the way of higher performances for SGG tasks: The long tailed distribution problem [13, 2] and the quadratic increase in candidate triplets [13, 2, 19]. When collecting data regarding a subject in an image and its relation to other objects, a small number of predicate terms often appear significantly more than all other terms. For example, 'on' can be used to describe the relation

between almost any subject-object pair where the subject is 'above' the object, and hence will appear significantly more than predicates such as 'mounted' or 'reaching'. Despite many attempts to alleviate the effect this uneven distribution has on the final results[12, 4, 16, 7], this still remains an important problem to be solved. The second barrier is the quadratic increase in computation required proportional to the number of detected objects. Mainstream approaches first obtain a list of objects in an image, and then infer the predicate (if one exists) for every possible subject-object pair [19, 8]. Unfortunately, the number of parameters required in the second stage scales quadratically with the number of objects found, as n objects would have n^2 potential predicates. Recent works have proposed a one-stage approach which leverages transformers to generate a set of triplets using object information[2, 14]. However, the large number of parameters required in a transformer architecture also slow down the model inference times, preventing the use of these approaches in real-time applications. This paper seeks to address the aforementioned long-tailed data distribution by leveraging the recent advancements in object detection accuracy. To do this, we first reformulate the SGG task into an object detection and classification problem, where each relationship triplet is represented as three objects: the subject, the object, and the relationship predicate. By formulating the problem in this way, we are able to divide the training data into balanced subsets, where every subset consists of predicate classes with a similar number of instances. This allows us to train multiple detection models, each specialized in detecting a subset of predicates. More importantly, we propose this approach as a solution to the long-tailed distribution problem, as these models will have significantly less bias than a single model trained on all predicate instances. Specifically, we propose a new framework, YOLO-SG, that leverages the YOLOv11 object detection model[17] to detect objects and predicates in parallel. YOLO-SG consists of four main components: object detection, predicate detection, object clustering, and predicate inference. The object and predicate detection module is composed of two sets of YOLOv11 models[17]. The first set is trained to detect and label objects, while the second set is trained to detect and label predicates. Additionally, we divide the training data such that every subset consists of 4 predicate classes, where the number of instances of each class in the subset is balanced. By doing so, we obtain multiple unbiased models that detect and label their own set of predicate classes instead of a single heavily biased model that predicts all predicate classes at once. The predicate inference module takes the output of the first stage and obtains a list of objects for each predicate using a simple clustering algorithm. It then passes every possible pair of objects in this list through a lightweight multilayer perceptron, which returns confidence scores for a subset of predicates. We then take the highest scored predicate and the object/subject used as input and return this. We then propose a lightweight inference module that takes the output of the object detection models and infers the relationship between every possible pair of objects. By doing so, we are able to reduce the computational complexity of the problem from n^2 to n , where n is the number of detected objects. We evaluate our model on the Visual Genome 1.2 dataset and demonstrate that our model is able to achieve competitive performance with state-of-the-art models while maintaining high inference speed.

2 Background and Related Work

Scene Graph Generation

Scene graph generation was first proposed in 2015 as an improvement to semantic image retrieval, where a generated scene graph is used as comparison instead of image object features[8]. This was improved upon with the development of the two-stage scene graph generation approach composed of an object detection stage and a contextual reasoning stage [9]. This two-stage approach is the foundation for most modern SGG methods[19, 3, 15]. The first stage is often completed using pre-trained detection models[1], while contextual reasoning is done using a variety of methods, from convolutional neural networks[19] to transformers[2]. In order to address the exponential complexity problem of two-stage models, recent works have proposed several one-stage approaches to the problem[2, 14].

You Only Look Once (YOLO)

The Long Tailed Distribution Problem

3 Method

Our proposed framework, YOLO-SG, decouples the process of object and predicate detection by framing both subproblems as standard object detection tasks. Rather than inferring predicates between every pair of detected objects in a computationally expensive second stage, we directly detect "predicate entities" in parallel alongside object detection. By modeling predicates as distinct objects, we leverage <YOLO VERSION> for both object and predicate recognition. This approach reduces the complexity of pairwise comparisons from n^2 operations to a more manageable parallel detection task.

3.1 Dataset

We use Visual Genome 1.2 [10] as our dataset and split it into training, validation, and test sets. To treat predicates as objects, we assign each annotated predicate to a bounding box that encapsulates both the subject and object bounding boxes. For example, given a pair of objects $A, B \in \mathbb{R}^2$ with predicate r in Visual Genome 1.2, we create a new bounding box R that minimally encloses both A and B . such that

$$R = (\min(A[1], B[1]), \max(A[2], B[2]))$$

This ensures that each predicate is represented as a single entity in the image. We use the original scene graph annotations to produce a secondary dataset of predicate bounding boxes, each labeled with its corresponding predicate. We now have two datasets which are composed of the same images but different bounding boxes and labels: one has the bounds and labels for objects, while the other has the bounds and labels of predicates.

Cleaning Older versions of Visual Genome contain a multitude of object and predicate labels which are equivalent to one another (for example, 'under' and 'below'). We use the latest version of Visual Genome at time of writing, which provides us with a set of synonyms that group similar objects and predicates into the same class, with one term assigned by group. After converting all synonymous terms to their respective group representative term, we remove objects which rarely appear along with any associated triplets.

3.2 Model training

Object detection models Here we arrive at the long-tailed distribution problem. For objects, we train a YOLO model on objects Object YOLO: Trained on the object dataset, this model detects all objects in a given image. predicate YOLO: Trained on the predicate dataset, this model detects all predicate entities R in the same image. Each model is trained using conventional object detection procedures and loss functions, taking advantage of YOLO's efficient architecture. By isolating objects and predicates into their own detection tasks, we allow each model to specialize and minimize biases that often arise when both tasks are intertwined.

3.3 Inference

We start with weights pro the YOLO v1.1 model on the COCO dataset and evaluate it on the CoCo Dataset on 5 NVIDIA 4090Ti graphics cards. Training took 25 hours, with a maximum epoch of 300 and a batch size of 8. We evaluate our model using mean Recall@50.

Parallel detection For a given test image, we pass it through both the Object YOLO and the predicate YOLO models independently and in parallel. The Object YOLO output gives us a set of detected objects with corresponding bounding boxes and class labels. The predicate YOLO output provides a set of detected "predicate boxes," each with a predicted predicate label.

Clustering and association we need to determine which objects are involved in each detected predicate. We apply a clustering algorithm to group each predicate bounding box R with candidate

object bounding boxes that it encloses or overlaps. This gives us one or more candidate subject-object pairs for each predicate detection.

Triplet selection using MLP scoring After obtaining two sets of detections—one for objects and one for predicates—we need to determine the subject and object associated with each triplet. For every predicate bounding box and label, we collect a list of detected objects that have a large overlap within the predicate box. To select the most likely subject-object pair associated with the label, we use a lightweight MLP classifier trained to score the plausibility of a triplet (subject, predicate, object). For each predicate detection, we run the MLP once with every potential object pair that can be formed by objects in the list, selecting the triplet which returns the highest confidence score.

4 Evaluation

4.1 Evaluation Metrics

4.2 dataset

4.3 setting

4.4 implementation

4.5 results

5 Conclusion

6 Submission of papers to NeurIPS 2024

Please read the instructions below carefully and follow them faithfully.

6.1 Style

Papers to be submitted to NeurIPS 2024 must be prepared according to the instructions presented here. Papers may only be up to **nine** pages long, including figures. Additional pages *containing only acknowledgments and references* are allowed. Papers that exceed the page limit will not be reviewed, or in any other way considered for presentation at the conference.

The margins in 2024 are the same as those in previous years.

Authors are required to use the NeurIPS L^AT_EX style files obtainable at the NeurIPS website as indicated below. Please make sure you use the current files and not previous versions. Tweaking the style files may be grounds for rejection.

6.2 Retrieval of style files

The style files for NeurIPS and other conference information are available on the website at

<http://www.neurips.cc/>

The file `neurips_2024.pdf` contains these instructions and illustrates the various formatting requirements your NeurIPS paper must satisfy.

The only supported style file for NeurIPS 2024 is `neurips_2024.sty`, rewritten for L^AT_EX 2_ε. **Previous style files for L^AT_EX 2.09, Microsoft Word, and RTF are no longer supported!**

The L^AT_EX style file contains three optional arguments: `final`, which creates a camera-ready copy, `preprint`, which creates a preprint for submission to, e.g., arXiv, and `nonatbib`, which will not load the `natbib` package for you in case of package clash.

Preprint option If you wish to post a preprint of your work online, e.g., on arXiv, using the NeurIPS style, please use the `preprint` option. This will create a nonanonymized version of your work with the text “Preprint. Work in progress.” in the footer. This version may be distributed as you

see fit, as long as you do not say which conference it was submitted to. Please **do not** use the `final` option, which should **only** be used for papers accepted to NeurIPS.

At submission time, please omit the `final` and `preprint` options. This will anonymize your submission and add line numbers to aid review. Please do *not* refer to these line numbers in your paper as they will be removed during generation of camera-ready copies.

The file `neurips_2024.tex` may be used as a “shell” for writing your paper. All you have to do is replace the author, title, abstract, and text of the paper with your own.

The formatting instructions contained in these style files are summarized in Sections 7, 8, and 9 below.

7 General formatting instructions

The text must be confined within a rectangle 5.5 inches (33 picas) wide and 9 inches (54 picas) long. The left margin is 1.5 inch (9 picas). Use 10 point type with a vertical spacing (leading) of 11 points. Times New Roman is the preferred typeface throughout, and will be selected for you by default. Paragraphs are separated by $\frac{1}{2}$ line space (5.5 points), with no indentation.

The paper title should be 17 point, initial caps/lower case, bold, centered between two horizontal rules. The top rule should be 4 points thick and the bottom rule should be 1 point thick. Allow $\frac{1}{4}$ inch space above and below the title to rules. All pages should start at 1 inch (6 picas) from the top of the page.

For the final version, authors’ names are set in boldface, and each name is centered above the corresponding address. The lead author’s name is to be listed first (left-most), and the co-authors’ names (if different address) are set to follow. If there is only one co-author, list both author and co-author side by side.

Please pay special attention to the instructions in Section 9 regarding figures, tables, acknowledgments, and references.

8 Headings: first level

All headings should be lower case (except for first word and proper nouns), flush left, and bold.

First-level headings should be in 12-point type.

8.1 Headings: second level

Second-level headings should be in 10-point type.

8.1.1 Headings: third level

Third-level headings should be in 10-point type.

Paragraphs There is also a `\paragraph` command available, which sets the heading in bold, flush left, and inline with the text, with the heading followed by 1 em of space.

9 Citations, figures, tables, references

These instructions apply to everyone.

9.1 Citations within the text

The `natbib` package will be loaded for you by default. Citations may be author/year or numeric, as long as you maintain internal consistency. As to the format of the references themselves, any style is acceptable as long as it is used consistently.

The documentation for `natbib` may be found at

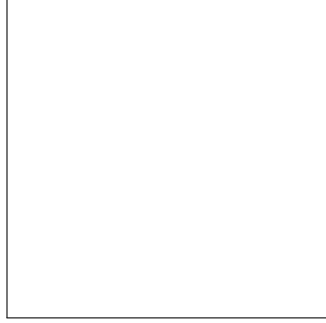


Figure 1: Sample figure caption.

<http://mirrors.ctan.org/macros/latex/contrib/natbib/natnotes.pdf>

Of note is the command `\citet`, which produces citations appropriate for use in inline text. For example,

```
\citet{hasselmo} investigated\dots
```

produces

Hasselmo, et al. (1995) investigated...

If you wish to load the `natbib` package with options, you may add the following before loading the `neurips_2024` package:

```
\PassOptionsToPackage{options}{natbib}
```

If `natbib` clashes with another package you load, you can add the optional argument `nonatbib` when loading the style file:

```
\usepackage[nonatbib]{neurips_2024}
```

As submission is double blind, refer to your own published work in the third person. That is, use “In the previous work of Jones et al. [4],” not “In our previous work [4].” If you cite your other papers that are not widely available (e.g., a journal paper under review), use anonymous author names in the citation, e.g., an author of the form “A. Anonymous” and include a copy of the anonymized paper in the supplementary material.

9.2 Footnotes

Footnotes should be used sparingly. If you do require a footnote, indicate footnotes with a number¹ in the text. Place the footnotes at the bottom of the page on which they appear. Precede the footnote with a horizontal rule of 2 inches (12 picas).

Note that footnotes are properly typeset *after* punctuation marks.²

9.3 Figures

All artwork must be neat, clean, and legible. Lines should be dark enough for purposes of reproduction. The figure number and caption always appear after the figure. Place one line space before the figure caption and one line space after the figure. The figure caption should be lower case (except for first word and proper nouns); figures are numbered consecutively.

You may use color figures. However, it is best for the figure captions and the paper body to be legible if the paper is printed in either black/white or in color.

¹Sample of the first footnote.

²As in this example.

Table 1: Sample table title

Part		
Name	Description	Size (μm)
Dendrite	Input terminal	~ 100
Axon	Output terminal	~ 10
Soma	Cell body	up to 10^6

9.4 Tables

All tables must be centered, neat, clean and legible. The table number and title always appear before the table. See Table 1.

Place one line space before the table title, one line space after the table title, and one line space after the table. The table title must be lower case (except for first word and proper nouns); tables are numbered consecutively.

Note that publication-quality tables *do not contain vertical rules*. We strongly suggest the use of the `booktabs` package, which allows for typesetting high-quality, professional tables:

<https://www.ctan.org/pkg/booktabs>

This package was used to typeset Table 1.

9.5 Math

Note that display math in bare TeX commands will not create correct line numbers for submission. Please use LaTeX (or AMSTeX) commands for unnumbered display math. (You really shouldn't be using $\$$ anyway; see <https://tex.stackexchange.com/questions/503/why-is-preferable-to> and <https://tex.stackexchange.com/questions/40492/what-are-the-differences-between-align-equation-and-displaymath> for more information.)

9.6 Final instructions

Do not change any aspects of the formatting parameters in the style files. In particular, do not modify the width or length of the rectangle the text should fit into, and do not change font sizes (except perhaps in the **References** section; see below). Please note that pages should be numbered.

10 Preparing PDF files

Please prepare submission files with paper size "US Letter," and not, for example, "A4."

Fonts were the main cause of problems in the past years. Your PDF file must only contain Type 1 or Embedded TrueType fonts. Here are a few instructions to achieve this.

- You should directly generate PDF files using `pdflatex`.
- You can check which fonts a PDF file uses. In Acrobat Reader, select the menu `Files > Document Properties > Fonts` and select `Show All Fonts`. You can also use the program `pdf fonts` which comes with `xpdf` and is available out-of-the-box on most Linux machines.
- `xfig` "patterned" shapes are implemented with bitmap fonts. Use "solid" shapes instead.
- The `\bbold` package almost always uses bitmap fonts. You should use the equivalent AMS Fonts:

```
\usepackage{amsfonts}
```

followed by, e.g., `\mathbb{R}`, `\mathbb{N}`, or `\mathbb{C}` for \mathbb{R} , \mathbb{N} or \mathbb{C} . You can also use the following workaround for reals, natural and complex:

```

\newcommand{\RR}{\mathbb{R}} %real numbers
\newcommand{\Nat}{\mathbb{N}} %natural numbers
\newcommand{\CC}{\mathbb{C}} %complex numbers

```

Note that `amsfonts` is automatically loaded by the `amssymb` package.

If your file contains type 3 fonts or non embedded TrueType fonts, we will ask you to fix it.

10.1 Margins in L^AT_EX

Most of the margin problems come from figures positioned by hand using `\special` or other commands. We suggest using the command `\includegraphics` from the `graphicx` package. Always specify the figure width as a multiple of the line width as in the example below:

```

\usepackage[pdftex]{graphicx} ...
\includegraphics[width=0.8\linewidth]{myfile.pdf}

```

See Section 4.4 in the graphics bundle documentation (<http://mirrors.ctan.org/macros/latex/required/graphics/grfguide.pdf>)

A number of width problems arise when L^AT_EX cannot properly hyphenate a line. Please give LaTeX hyphenation hints using the `\-` command when necessary.

Acknowledgments and Disclosure of Funding

Use unnumbered first level headings for the acknowledgments. All acknowledgments go at the end of the paper before the list of references. Moreover, you are required to declare funding (financial activities supporting the submitted work) and competing interests (related financial activities outside the submitted work). More information about this disclosure can be found at: <https://neurips.cc/Conferences/2024/PaperInformation/FundingDisclosure>.

Do **not** include this section in the anonymized submission, only in the final paper. You can use the `ack` environment provided in the style file to automatically hide this section in the anonymized submission.

References

References follow the acknowledgments in the camera-ready paper. Use unnumbered first-level heading for the references. Any choice of citation style is acceptable as long as you are consistent. It is permissible to reduce the font size to `small` (9 point) when listing the references. Note that the Reference section does not count towards the page limit.

References

- [1] CARION, N., MASSA, F., SYNNAEVE, G., USUNIER, N., KIRILLOV, A., AND ZAGORUYKO, S. End-to-end object detection with transformers. In *European conference on computer vision* (2020), Springer, pp. 213–229.
- [2] CONG, Y., YANG, M. Y., AND ROSENHAHN, B. Reltr: Relation transformer for scene graph generation. *IEEE Transactions on Pattern Analysis and Machine Intelligence* 45, 9 (2023), 11169–11183.
- [3] DESAI, A., WU, T.-Y., TRIPATHI, S., AND VASCONCELOS, N. Learning of visual relations: The devil is in the tails. In *Proceedings of the IEEE/CVF International Conference on Computer Vision* (2021), pp. 15404–15413.
- [4] DORNADULA, A., NARCOMY, A., KRISHNA, R., BERNSTEIN, M., AND LI, F.-F. Visual relationships as functions: Enabling few-shot scene graph prediction. In *Proceedings of the IEEE/CVF International Conference on Computer Vision Workshops* (2019), pp. 0–0.

- [5] GAO, L., WANG, B., AND WANG, W. Image captioning with scene-graph based semantic concepts. In *Proceedings of the 2018 10th international conference on machine learning and computing* (2018), pp. 225–229.
- [6] GU, J., JOTY, S., CAI, J., ZHAO, H., YANG, X., AND WANG, G. Unpaired image captioning via scene graph alignments. In *Proceedings of the IEEE/CVF International Conference on Computer Vision* (2019), pp. 10323–10332.
- [7] GU, J., ZHAO, H., LIN, Z., LI, S., CAI, J., AND LING, M. Scene graph generation with external knowledge and image reconstruction. In *Proceedings of the IEEE/CVF conference on computer vision and pattern recognition* (2019), pp. 1969–1978.
- [8] JOHNSON, J., KRISHNA, R., STARK, M., LI, L.-J., SHAMMA, D., BERNSTEIN, M., AND FEI-FEI, L. Image retrieval using scene graphs. In *Proceedings of the IEEE conference on computer vision and pattern recognition* (2015), pp. 3668–3678.
- [9] JUNG, D., KIM, S., KIM, W. H., AND CHO, M. Devil’s on the edges: Selective quad attention for scene graph generation. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition* (2023), pp. 18664–18674.
- [10] KRISHNA, R., ZHU, Y., GROTH, O., JOHNSON, J., HATA, K., KRAVITZ, J., CHEN, S., KALANTIDIS, Y., LI, L.-J., SHAMMA, D. A., ET AL. Visual genome: Connecting language and vision using crowdsourced dense image annotations. *International journal of computer vision* 123 (2017), 32–73.
- [11] LECUN, Y., BENGIO, Y., AND HINTON, G. Deep learning. *nature* 521, 7553 (2015), 436–444.
- [12] LEE, C.-W., FANG, W., YEH, C.-K., AND WANG, Y.-C. F. Multi-label zero-shot learning with structured knowledge graphs. In *Proceedings of the IEEE conference on computer vision and pattern recognition* (2018), pp. 1576–1585.
- [13] LI, H., ZHU, G., ZHANG, L., JIANG, Y., DANG, Y., HOU, H., SHEN, P., ZHAO, X., SHAH, S. A. A., AND BENNAMOUN, M. Scene graph generation: A comprehensive survey. *Neurocomputing* 566 (2024), 127052.
- [14] LI, R., ZHANG, S., AND HE, X. Sgr: End-to-end scene graph generation with transformer. In *proceedings of the IEEE/CVF conference on computer vision and pattern recognition* (2022), pp. 19486–19496.
- [15] LI, R., ZHANG, S., WAN, B., AND HE, X. Bipartite graph network with adaptive message passing for unbiased scene graph generation. In *Proceedings of the IEEE/CVF conference on computer vision and pattern recognition* (2021), pp. 11109–11119.
- [16] LIANG, X., LEE, L., AND XING, E. P. Deep variation-structured reinforcement learning for visual relationship and attribute detection. In *Proceedings of the IEEE conference on computer vision and pattern recognition* (2017), pp. 848–857.
- [17] REDMON, J. You only look once: Unified, real-time object detection. In *Proceedings of the IEEE conference on computer vision and pattern recognition* (2016).
- [18] TRIPATHI, S., BHIWANDIWALLA, A., BASTIDAS, A., AND TANG, H. Using scene graph context to improve image generation. *arXiv preprint arXiv:1901.03762* (2019).
- [19] YANG, J., LU, J., LEE, S., BATRA, D., AND PARIKH, D. Graph r-cnn for scene graph generation. In *Proceedings of the European conference on computer vision (ECCV)* (2018), pp. 670–685.
- [20] ZHANG, C., CHAO, W.-L., AND XUAN, D. An empirical study on leveraging scene graphs for visual question answering. *arXiv preprint arXiv:1907.12133* (2019).
- [21] ZOU, Z., CHEN, K., SHI, Z., GUO, Y., AND YE, J. Object detection in 20 years: A survey. *Proceedings of the IEEE* 111, 3 (2023), 257–276.

A Appendix / supplemental material

Optionally include supplemental material (complete proofs, additional experiments and plots) in appendix. All such materials **SHOULD be included in the main submission.**

NeurIPS Paper Checklist

The checklist is designed to encourage best practices for responsible machine learning research, addressing issues of reproducibility, transparency, research ethics, and societal impact. Do not remove the checklist: **The papers not including the checklist will be desk rejected.** The checklist should follow the references and follow the (optional) supplemental material. The checklist does NOT count towards the page limit.

Please read the checklist guidelines carefully for information on how to answer these questions. For each question in the checklist:

- You should answer [Yes], [No], or [NA].
- [NA] means either that the question is Not Applicable for that particular paper or the relevant information is Not Available.
- Please provide a short (1–2 sentence) justification right after your answer (even for NA).

The checklist answers are an integral part of your paper submission. They are visible to the reviewers, area chairs, senior area chairs, and ethics reviewers. You will be asked to also include it (after eventual revisions) with the final version of your paper, and its final version will be published with the paper.

The reviewers of your paper will be asked to use the checklist as one of the factors in their evaluation. While "[Yes]" is generally preferable to "[No]", it is perfectly acceptable to answer "[No]" provided a proper justification is given (e.g., "error bars are not reported because it would be too computationally expensive" or "we were unable to find the license for the dataset we used"). In general, answering "[No]" or "[NA]" is not grounds for rejection. While the questions are phrased in a binary way, we acknowledge that the true answer is often more nuanced, so please just use your best judgment and write a justification to elaborate. All supporting evidence can appear either in the main paper or the supplemental material, provided in appendix. If you answer [Yes] to a question, in the justification please point to the section(s) where related material for the question can be found.

IMPORTANT, please:

- **Delete this instruction block, but keep the section heading “NeurIPS paper checklist”,**
- **Keep the checklist subsection headings, questions/answers and guidelines below.**
- **Do not modify the questions and only use the provided macros for your answers.**

1. Claims

Question: Do the main claims made in the abstract and introduction accurately reflect the paper’s contributions and scope?

Answer: [TODO]

Justification: [TODO]

Guidelines:

- The answer NA means that the abstract and introduction do not include the claims made in the paper.
- The abstract and/or introduction should clearly state the claims made, including the contributions made in the paper and important assumptions and limitations. A No or NA answer to this question will not be perceived well by the reviewers.
- The claims made should match theoretical and experimental results, and reflect how much the results can be expected to generalize to other settings.
- It is fine to include aspirational goals as motivation as long as it is clear that these goals are not attained by the paper.

2. Limitations

Question: Does the paper discuss the limitations of the work performed by the authors?

Answer: [TODO]

Justification: [TODO]

Guidelines:

- The answer NA means that the paper has no limitation while the answer No means that the paper has limitations, but those are not discussed in the paper.
- The authors are encouraged to create a separate "Limitations" section in their paper.
- The paper should point out any strong assumptions and how robust the results are to violations of these assumptions (e.g., independence assumptions, noiseless settings, model well-specification, asymptotic approximations only holding locally). The authors should reflect on how these assumptions might be violated in practice and what the implications would be.
- The authors should reflect on the scope of the claims made, e.g., if the approach was only tested on a few datasets or with a few runs. In general, empirical results often depend on implicit assumptions, which should be articulated.
- The authors should reflect on the factors that influence the performance of the approach. For example, a facial recognition algorithm may perform poorly when image resolution is low or images are taken in low lighting. Or a speech-to-text system might not be used reliably to provide closed captions for online lectures because it fails to handle technical jargon.
- The authors should discuss the computational efficiency of the proposed algorithms and how they scale with dataset size.
- If applicable, the authors should discuss possible limitations of their approach to address problems of privacy and fairness.
- While the authors might fear that complete honesty about limitations might be used by reviewers as grounds for rejection, a worse outcome might be that reviewers discover limitations that aren't acknowledged in the paper. The authors should use their best judgment and recognize that individual actions in favor of transparency play an important role in developing norms that preserve the integrity of the community. Reviewers will be specifically instructed to not penalize honesty concerning limitations.

3. Theory Assumptions and Proofs

Question: For each theoretical result, does the paper provide the full set of assumptions and a complete (and correct) proof?

Answer: **[TODO]**

Justification: **[TODO]**

Guidelines:

- The answer NA means that the paper does not include theoretical results.
- All the theorems, formulas, and proofs in the paper should be numbered and cross-referenced.
- All assumptions should be clearly stated or referenced in the statement of any theorems.
- The proofs can either appear in the main paper or the supplemental material, but if they appear in the supplemental material, the authors are encouraged to provide a short proof sketch to provide intuition.
- Inversely, any informal proof provided in the core of the paper should be complemented by formal proofs provided in appendix or supplemental material.
- Theorems and Lemmas that the proof relies upon should be properly referenced.

4. Experimental Result Reproducibility

Question: Does the paper fully disclose all the information needed to reproduce the main experimental results of the paper to the extent that it affects the main claims and/or conclusions of the paper (regardless of whether the code and data are provided or not)?

Answer: **[TODO]**

Justification: **[TODO]**

Guidelines:

- The answer NA means that the paper does not include experiments.

- If the paper includes experiments, a No answer to this question will not be perceived well by the reviewers: Making the paper reproducible is important, regardless of whether the code and data are provided or not.
- If the contribution is a dataset and/or model, the authors should describe the steps taken to make their results reproducible or verifiable.
- Depending on the contribution, reproducibility can be accomplished in various ways. For example, if the contribution is a novel architecture, describing the architecture fully might suffice, or if the contribution is a specific model and empirical evaluation, it may be necessary to either make it possible for others to replicate the model with the same dataset, or provide access to the model. In general, releasing code and data is often one good way to accomplish this, but reproducibility can also be provided via detailed instructions for how to replicate the results, access to a hosted model (e.g., in the case of a large language model), releasing of a model checkpoint, or other means that are appropriate to the research performed.
- While NeurIPS does not require releasing code, the conference does require all submissions to provide some reasonable avenue for reproducibility, which may depend on the nature of the contribution. For example
 - (a) If the contribution is primarily a new algorithm, the paper should make it clear how to reproduce that algorithm.
 - (b) If the contribution is primarily a new model architecture, the paper should describe the architecture clearly and fully.
 - (c) If the contribution is a new model (e.g., a large language model), then there should either be a way to access this model for reproducing the results or a way to reproduce the model (e.g., with an open-source dataset or instructions for how to construct the dataset).
 - (d) We recognize that reproducibility may be tricky in some cases, in which case authors are welcome to describe the particular way they provide for reproducibility. In the case of closed-source models, it may be that access to the model is limited in some way (e.g., to registered users), but it should be possible for other researchers to have some path to reproducing or verifying the results.

5. Open access to data and code

Question: Does the paper provide open access to the data and code, with sufficient instructions to faithfully reproduce the main experimental results, as described in supplemental material?

Answer: **[TODO]**

Justification: **[TODO]**

Guidelines:

- The answer NA means that paper does not include experiments requiring code.
- Please see the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- While we encourage the release of code and data, we understand that this might not be possible, so “No” is an acceptable answer. Papers cannot be rejected simply for not including code, unless this is central to the contribution (e.g., for a new open-source benchmark).
- The instructions should contain the exact command and environment needed to run to reproduce the results. See the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- The authors should provide instructions on data access and preparation, including how to access the raw data, preprocessed data, intermediate data, and generated data, etc.
- The authors should provide scripts to reproduce all experimental results for the new proposed method and baselines. If only a subset of experiments are reproducible, they should state which ones are omitted from the script and why.
- At submission time, to preserve anonymity, the authors should release anonymized versions (if applicable).

- Providing as much information as possible in supplemental material (appended to the paper) is recommended, but including URLs to data and code is permitted.

6. Experimental Setting/Details

Question: Does the paper specify all the training and test details (e.g., data splits, hyper-parameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

Answer: **[TODO]**

Justification: **[TODO]**

Guidelines:

- The answer NA means that the paper does not include experiments.
- The experimental setting should be presented in the core of the paper to a level of detail that is necessary to appreciate the results and make sense of them.
- The full details can be provided either with the code, in appendix, or as supplemental material.

7. Experiment Statistical Significance

Question: Does the paper report error bars suitably and correctly defined or other appropriate information about the statistical significance of the experiments?

Answer: **[TODO]**

Justification: **[TODO]**

Guidelines:

- The answer NA means that the paper does not include experiments.
- The authors should answer "Yes" if the results are accompanied by error bars, confidence intervals, or statistical significance tests, at least for the experiments that support the main claims of the paper.
- The factors of variability that the error bars are capturing should be clearly stated (for example, train/test split, initialization, random drawing of some parameter, or overall run with given experimental conditions).
- The method for calculating the error bars should be explained (closed form formula, call to a library function, bootstrap, etc.)
- The assumptions made should be given (e.g., Normally distributed errors).
- It should be clear whether the error bar is the standard deviation or the standard error of the mean.
- It is OK to report 1-sigma error bars, but one should state it. The authors should preferably report a 2-sigma error bar than state that they have a 96% CI, if the hypothesis of Normality of errors is not verified.
- For asymmetric distributions, the authors should be careful not to show in tables or figures symmetric error bars that would yield results that are out of range (e.g. negative error rates).
- If error bars are reported in tables or plots, The authors should explain in the text how they were calculated and reference the corresponding figures or tables in the text.

8. Experiments Compute Resources

Question: For each experiment, does the paper provide sufficient information on the computer resources (type of compute workers, memory, time of execution) needed to reproduce the experiments?

Answer: **[TODO]**

Justification: **[TODO]**

Guidelines:

- The answer NA means that the paper does not include experiments.
- The paper should indicate the type of compute workers CPU or GPU, internal cluster, or cloud provider, including relevant memory and storage.

- The paper should provide the amount of compute required for each of the individual experimental runs as well as estimate the total compute.
- The paper should disclose whether the full research project required more compute than the experiments reported in the paper (e.g., preliminary or failed experiments that didn't make it into the paper).

9. Code Of Ethics

Question: Does the research conducted in the paper conform, in every respect, with the NeurIPS Code of Ethics <https://neurips.cc/public/EthicsGuidelines?>

Answer: **[TODO]**

Justification: **[TODO]**

Guidelines:

- The answer NA means that the authors have not reviewed the NeurIPS Code of Ethics.
- If the authors answer No, they should explain the special circumstances that require a deviation from the Code of Ethics.
- The authors should make sure to preserve anonymity (e.g., if there is a special consideration due to laws or regulations in their jurisdiction).

10. Broader Impacts

Question: Does the paper discuss both potential positive societal impacts and negative societal impacts of the work performed?

Answer: **[TODO]**

Justification: **[TODO]**

Guidelines:

- The answer NA means that there is no societal impact of the work performed.
- If the authors answer NA or No, they should explain why their work has no societal impact or why the paper does not address societal impact.
- Examples of negative societal impacts include potential malicious or unintended uses (e.g., disinformation, generating fake profiles, surveillance), fairness considerations (e.g., deployment of technologies that could make decisions that unfairly impact specific groups), privacy considerations, and security considerations.
- The conference expects that many papers will be foundational research and not tied to particular applications, let alone deployments. However, if there is a direct path to any negative applications, the authors should point it out. For example, it is legitimate to point out that an improvement in the quality of generative models could be used to generate deepfakes for disinformation. On the other hand, it is not needed to point out that a generic algorithm for optimizing neural networks could enable people to train models that generate Deepfakes faster.
- The authors should consider possible harms that could arise when the technology is being used as intended and functioning correctly, harms that could arise when the technology is being used as intended but gives incorrect results, and harms following from (intentional or unintentional) misuse of the technology.
- If there are negative societal impacts, the authors could also discuss possible mitigation strategies (e.g., gated release of models, providing defenses in addition to attacks, mechanisms for monitoring misuse, mechanisms to monitor how a system learns from feedback over time, improving the efficiency and accessibility of ML).

11. Safeguards

Question: Does the paper describe safeguards that have been put in place for responsible release of data or models that have a high risk for misuse (e.g., pretrained language models, image generators, or scraped datasets)?

Answer: **[TODO]**

Justification: **[TODO]**

Guidelines:

- The answer NA means that the paper poses no such risks.

- Released models that have a high risk for misuse or dual-use should be released with necessary safeguards to allow for controlled use of the model, for example by requiring that users adhere to usage guidelines or restrictions to access the model or implementing safety filters.
- Datasets that have been scraped from the Internet could pose safety risks. The authors should describe how they avoided releasing unsafe images.
- We recognize that providing effective safeguards is challenging, and many papers do not require this, but we encourage authors to take this into account and make a best faith effort.

12. Licenses for existing assets

Question: Are the creators or original owners of assets (e.g., code, data, models), used in the paper, properly credited and are the license and terms of use explicitly mentioned and properly respected?

Answer: **[TODO]**

Justification: **[TODO]**

Guidelines:

- The answer NA means that the paper does not use existing assets.
- The authors should cite the original paper that produced the code package or dataset.
- The authors should state which version of the asset is used and, if possible, include a URL.
- The name of the license (e.g., CC-BY 4.0) should be included for each asset.
- For scraped data from a particular source (e.g., website), the copyright and terms of service of that source should be provided.
- If assets are released, the license, copyright information, and terms of use in the package should be provided. For popular datasets, paperswithcode.com/datasets has curated licenses for some datasets. Their licensing guide can help determine the license of a dataset.
- For existing datasets that are re-packaged, both the original license and the license of the derived asset (if it has changed) should be provided.
- If this information is not available online, the authors are encouraged to reach out to the asset's creators.

13. New Assets

Question: Are new assets introduced in the paper well documented and is the documentation provided alongside the assets?

Answer: **[TODO]**

Justification: **[TODO]**

Guidelines:

- The answer NA means that the paper does not release new assets.
- Researchers should communicate the details of the dataset/code/model as part of their submissions via structured templates. This includes details about training, license, limitations, etc.
- The paper should discuss whether and how consent was obtained from people whose asset is used.
- At submission time, remember to anonymize your assets (if applicable). You can either create an anonymized URL or include an anonymized zip file.

14. Crowdsourcing and Research with Human Subjects

Question: For crowdsourcing experiments and research with human subjects, does the paper include the full text of instructions given to participants and screenshots, if applicable, as well as details about compensation (if any)?

Answer: **[TODO]**

Justification: **[TODO]**

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Including this information in the supplemental material is fine, but if the main contribution of the paper involves human subjects, then as much detail as possible should be included in the main paper.
- According to the NeurIPS Code of Ethics, workers involved in data collection, curation, or other labor should be paid at least the minimum wage in the country of the data collector.

15. Institutional Review Board (IRB) Approvals or Equivalent for Research with Human Subjects

Question: Does the paper describe potential risks incurred by study participants, whether such risks were disclosed to the subjects, and whether Institutional Review Board (IRB) approvals (or an equivalent approval/review based on the requirements of your country or institution) were obtained?

Answer: **[TODO]**

Justification: **[TODO]**

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Depending on the country in which research is conducted, IRB approval (or equivalent) may be required for any human subjects research. If you obtained IRB approval, you should clearly state this in the paper.
- We recognize that the procedures for this may vary significantly between institutions and locations, and we expect authors to adhere to the NeurIPS Code of Ethics and the guidelines for their institution.
- For initial submissions, do not include any information that would break anonymity (if applicable), such as the institution conducting the review.