

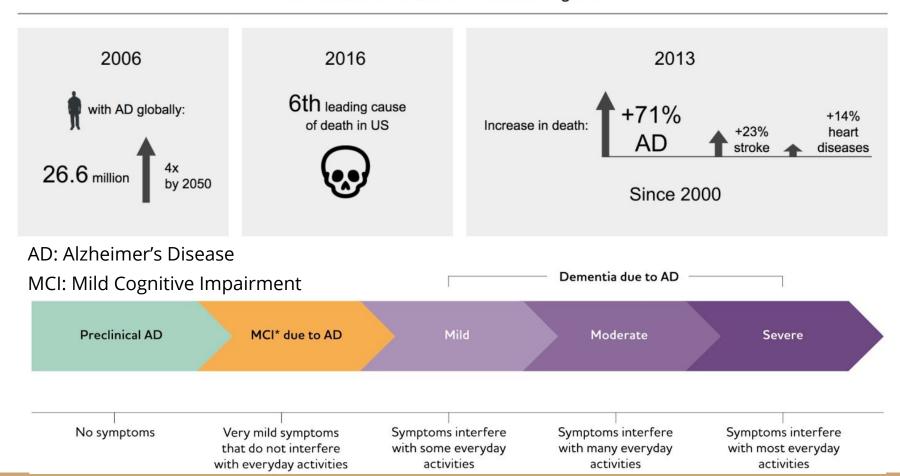
## Alzheimer's Disease Progression Prediction via ML Models

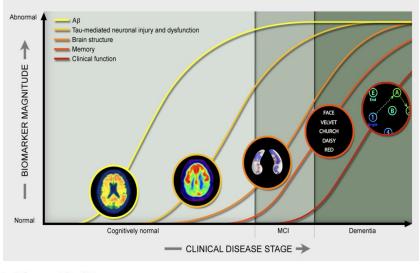
Ziyi Chen Jenny Ding Yanyi Qian Zhaoyu Qiao Jinchen Xie

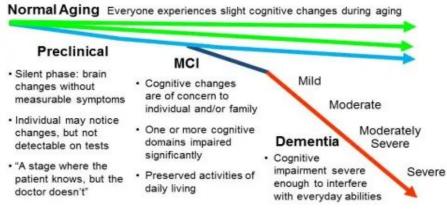
#### Agenda

- Background & Goals
- Data description
- Data cleaning
- EDA & Label formation
- Data transformation
- Model & Feature Importance
- R Shiny!

#### Alzheimer's Disease Facts and Figures



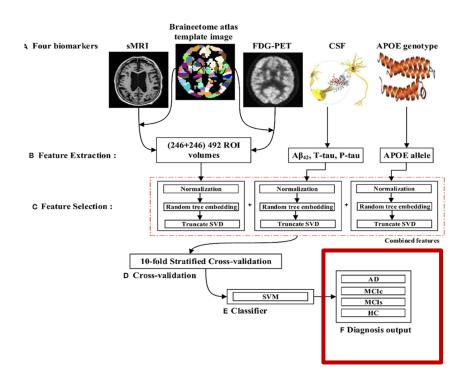




## From Full Cognition to Memory Loss

#### Gaps in Existing Research

- Predicts AD stage rather than transition (model on the right)
  - Prediction and Classification of Alzheimer's Disease
    Based on Combined Features From Apolipoprotein-E
    Genotype, Cerebrospinal Fluid, MR, and FDG-PET
    Imaging Biomarkers
- Predicts at a single time point rather than over a period
  - Predicting Alzheimer's disease progression using multi-modal deep learning approach
  - Inexpensive, non-invasive biomarkers predict
    Alzheimer transition using machine learning
    analysis of the Alzheimer's Disease Neuroimaging
    (ADNI) database
- Lack of a physician friendly UI



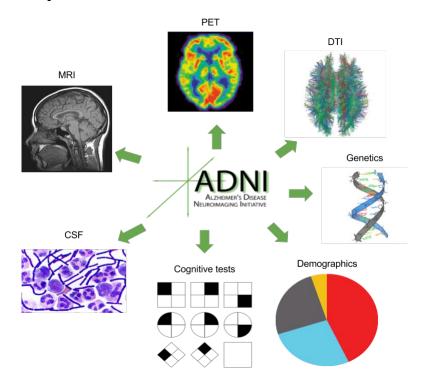
# Our goal is to help physicians predict MCI patients' risks of transitioning to AD within 6, 12 and 24 months after the initial visit.

Motivation: "It is essential to improve identification of signs of AD onset because as drugs are developed, early intervention will be an important treatment goal."

- Sally Temple, Ph.D, co-founder of the Regenerative Research Foundation

#### ADNI Database and Our Sample

- Alzheimer's Disease Neuroimaging Initiative
- Designed to develop and validate clinical, imaging, genetic and biochemical biomarkers for the early detection and tracking of AD
- Gathered longitudinally from carefully phenotyped subjects by multiple study centers



#### Data Description

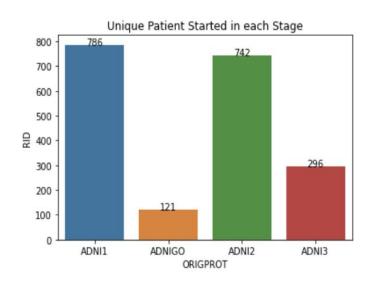
#### Attributes after Data Cleaning

- Biolomarker (3)
  - PTAU, ABETA, etc.
- Demographic (6)
  - Race, marriage, etc.
- Genotype (1)
  - APOF4 allele
- Cognitive test (14)
  - Rey Auditory Verbal Learning Test (RAVLT),
     Modified Preclinical Alzheimer Cognitive Composite (mPACC), etc.
- Imaging (8)
  - MRI Volumetric Data, PET Imaging

- Duration: 2004-2016

- Size: 1159 patients

- Study stages: 4



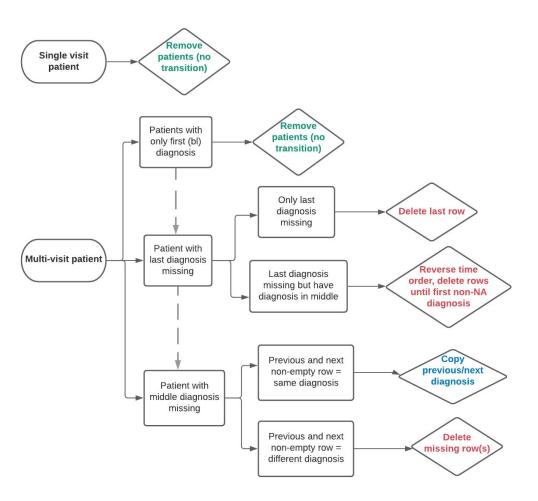
#### Data Cleaning

Feature Removal (>40% missing, meaningless columns)

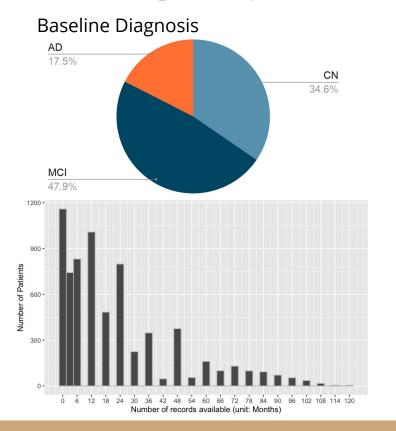
Label Imputation (schema on the right)

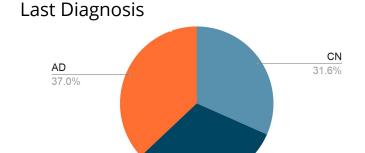
KNN Feature Imputation

One Hot Encoding (label, demographic, APOE4)



### Selecting Early and Late Prediction Time Point



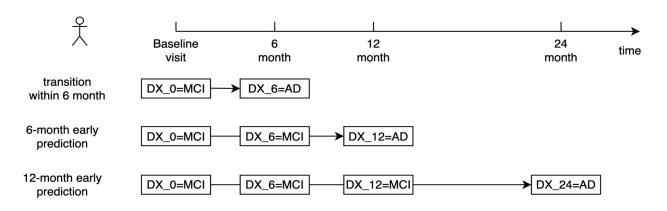


Months Combination	Non-missing	Started with MCI
3, 6, 12	635	370
6, 12, 24	1166	651
12, 24, 36	730	532

MCI

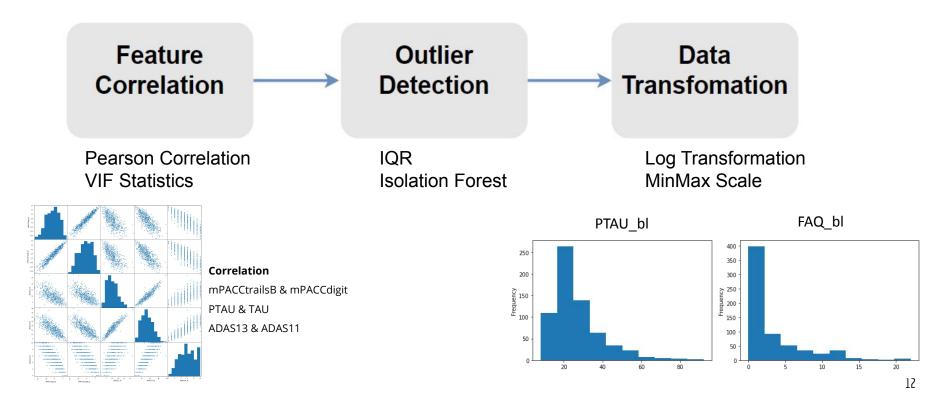
31.4%

#### Label Formation



Label	Transition within 6 month (n=651)	6 month early prediction (n=617)	12 month early prediction (n=566)
True	34 (5.2%)	51 (8.3%)	95 (16.8%)
False	617 (94.8%)	566 (91.7%)	471 (83.2%)

#### Data Processing



Mean of bl Attributes for Transition & Non-Transition Groups (After 24M)

27/41 Attributes w/p-value < 0.05

attribute	p_value	no_transition_mean	transition_mean	category
Modified Preclinical Alzheimer Cognitive Composite with Trails test	5.48E-44	0.612	0.376	cognitive
Modified Preclinical Alzheimer Cognitive Composite with Digit test	2.54E-38	0.625	0.402	cognitive
Alzheimer's Disease Assessment Scale -13 Items	1.06E-32	0.384	0.592	cognitive
Alzheimer's Disease Assessment Scale -11 Items	9.60E-29	0.358	0.544	cognitive
Functional Assessment Questionaire	2.54E-28	0.092	0.267	cognitive
Task 4 of the Cognitive Subscale - Constructive Praxis	1.81E-27	0.482	0.719	cognitive
Rey Auditory Verbal Learning Test -Immediate Recall	2.14E-26	0.460	0.289	cognitive
Clinical Dementia Rating - Sum of Boxes	3.07E-22	0.156	0.302	cognitive
Rey Auditory Verbal Learning Test -Precent Forgetting	2.45E-20	0.611	0.829	cognitive
Trail Making Test - Time to Complete	7.79E-19	0.245	0.425	cognitive
MRI Volumetric Data of Hippocampus	8.19E-17	0.537	0.400	imaging
Mini-Mental State Exam	9.94E-17	0.720	0.535	cognitive
Rey Auditory Verbal Learning Test - Rate of Learning	3.35E-14	0.470	0.345	cognitive
Beta-amyloid plaques	6.51E-14	0.532	0.349	biomarker
MRI Volumetric Data of Entorhinal	4.58E-13	0.491	0.379	imaging
MRI Volumetric Data of Mid-Temporal Lobe	6.00E-13	0.487	0.384	imaging
MRI Volumetric Data of Fusiform Gyrus	1.69E-10	0.481	0.385	imaging
Number of APOE4 alleles	1.87E-10	0.261	0.455	biomarker
Hyperphosphorylation of tau	1.01E-07	0.204	0.279	biomarker
Neurofibrillary tau tangles	3.83E-07	0.220	0.290	biomarker
MRI Volumetric Data of Whole Brain	3.22E-06	0.418	0.351	imaging
MRI Volumetric Data of CSF Ventricles	4.43E-05	0.227	0.284	imaging
Fluorodeoxyglucose (FDG)-positron emission tomography (PET)	4.52E-05	0.504	0.442	imaging
Rey Auditory Verbal Learning Test - Delayed Recall Score - Immediate Recall Score	0.0038	0.434	0.475	cognitive
Divorced	0.0289	0.104	0.048	demographic
Age	0.0367	0.476	0.514	demographic
Married	0.0461	0.764	0.838	demographic

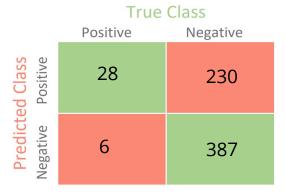
#### Modal comparison (DAC (V - 5) Logistic Regression Random Forest 0.8 0.8 Sensitivity 0.4 0.6 9.0 Sensitivity 0.4 AUC: 0.846 AUC: 0.846 0.2 0.2 AUC: 0.724 AUC: 0.811 - LR1 m6 - RF1 m6 .... LR2 m6to12 ···· RF2 m6to12 AUC: 0.805 AUC: 0.826 - LR3 m12to24 - RF3 m12to24 0.0 0.0 1.0 0.8 0.6 0.4 0.2 0.0 1.0 0.8 0.6 0.4 0.2 0.0 Specificity Specificity 1.0 **Decision Tree Gradient Boosting** 0.8 0.8 Sensitivity 0.4 0.6 9.0 Sensitivity 0.4 AUC: 0.703 AUC: 0.834 0.2 0.2 AUC: 0.724 DT1 m6 AUC: 0.795 — GB1\_m6 .... DT2\_m6to12 AUC: 0.502 ···· GB2\_m6to12 AUC: 0.765 0.0 — DT3 m12to24 - GB3 m12to24 0.0 1.0 0.8 0.6 0.2 0.0 0.4 1.0 0.8 0.6 0.4 0.2 0.0

Specificity

Specificity

#### Best Model: Random Forest Confusion Matrix

6M

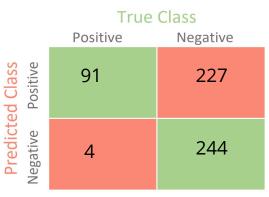


**Sensitivity = TP / (TP + FN) = 0.824** Specificity = TN / (FP + TN) = 0.627

False Negative Rate = FN / (FN + TP) = 0.177 False Positive Rate = FP / (FP + TN) = 0.3728 12M

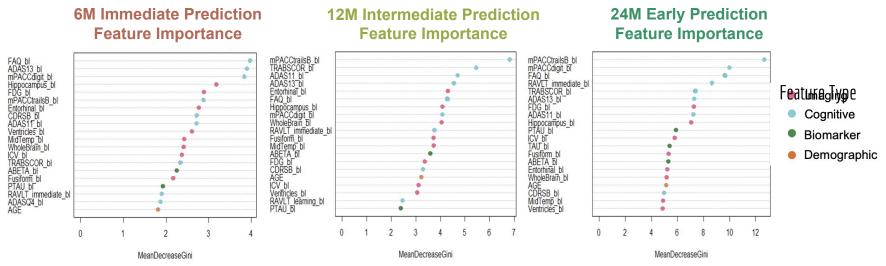
- We tuned the model to be **HIGHLY SENSITIVE**.
- False negatives are tuned to be MINIMAL.
- Not predicting a patient might transition is more costly than predicting a patient to transition when he/she doesn't.

24M



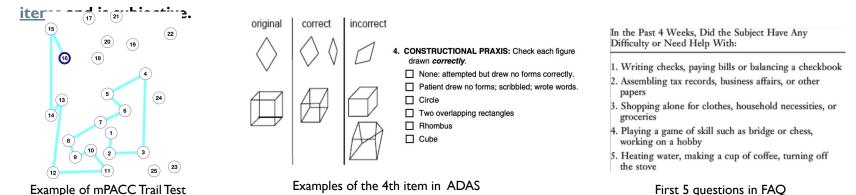
**Sensitivity = TP / (TP + FN) = 0.958** Specificity = TN / (FP + TN) = 0.518

False Negative Rate = FN / (FN + TP) = 0.0421 False Positive Rate = FP / (FP + TN) = 0.482



- Cognitive test is the most effective predictor
  - The modified Preclinical Alzheimer Cognitive Composite (mPACC) digits and trails tests are most effective for early prediction.
  - The Alzheimer's Disease Assessment Scale (ADAS) and Functional Activities Questionnaire Index (FAQ) are most effective for immediate prediction.
- Imaging data's effectiveness drops as prediction time lengthens
  - MRI volumetric data of hippocampus and entorhinal and PET imaging with F-flurodeoxyglucose (FDG) are helpful in immediate prediction.
- Biomarkers such as **phosphorylated tau**'s importance rise in predictions for **early prediction**.
- Age is the only demographic factor that reached the top 20 important features list.

- MPACC Digit and Trail tests are most effective in early prediction. Both are short tests.
- ADAS and FAQ are most effective for immediate prediction. ADAS is composed of 13 tests. FAQ has 10



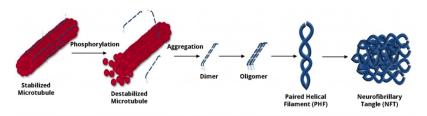
MRI volumetric data of **hippocampus** and **entorhinal** and FDG PET scan are helpful for **immediate prediction**.

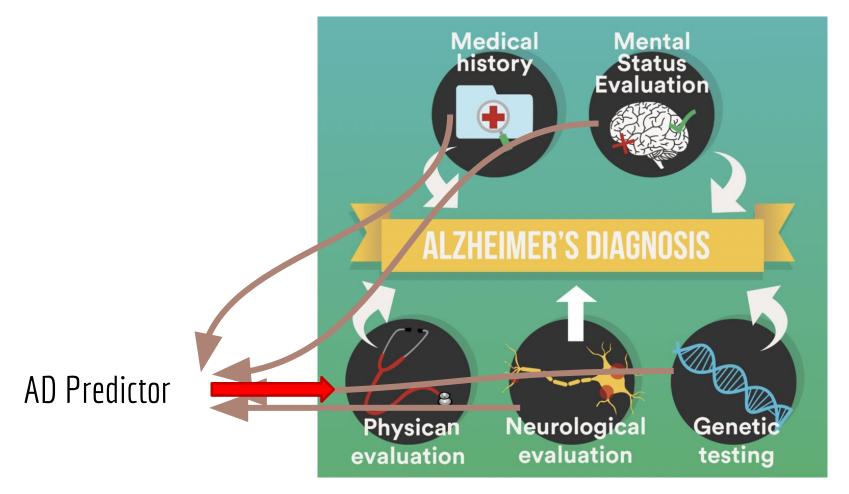


and Parietal Lobes

**Entorhinal Cortex** 

 Phosphorylated tau's level in CSF is important for early prediction.

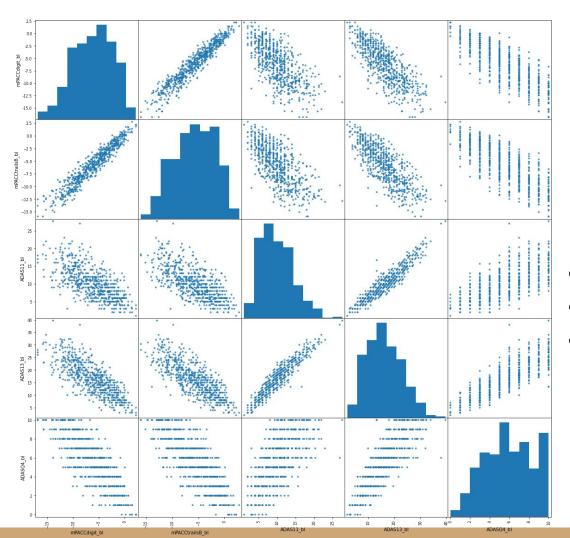




### Appendix

#### Model comparison (Confusion Matrix)

		Trans_M6		Trans_M6-M12		Trans_M12-M24	
		1	0	1	0	1	0
Random Forest (0.04, 0.07, 0.085)	1	28	230	44	196	91	227
	0	6	387	7	370	4	244
Decision Tree (0.03, 0.04, 0.04)	1	25	501	40	308	72	282
	0	9	116	11	258	23	189
Logistic Regression (0.001, 0.01, 0.06)	1	31	336	46	330	84	164
	0	3	281	5	236	11	307
Gradient Boosting (0.02, 0.03, 0.05)	1	30	217	40	201	89	162
	0	4	400	11	365	6	309



## Feature Collinearity is Expected and Present (No Removal)

- mPACCtrailsB\_bl & mPACCdigit\_bl
- PTAU\_bl & TAU\_bl
- ADAS13\_bl & ADAS11\_bl

#### Skewed Data -> Transformation

	Value	Mean
FAQ_bl	11.00	3.105991
CDRSB_bl	4.00	1.491551
ADAS11_bl	27.67	10.049631
ADAS13_bl	39.67	16.215499

	Value	Mean
TAU_bl	816.90	270.861925
PTAU_bl	92.08	26.032504
FAQ_bl	11.00	3.105991
CDRSB_bl	4.00	1.491551

