

# Learning Action Models from State Observations

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## Abstract

This paper presents a classical planning compilation for learning STRIPS action models from state observations. The compilation approach does not require observing the precise actions that produced the observations because such actions are determined by a planner. Furthermore, the presented compilation is extensible to assess how well a STRIPS action model matches a given set of observations, which enables *model recognition*. Last but not least, the paper evaluates the performance of the proposed approach by learning action models for a wide range of classical planning domains from the International Planning Competition and assessing the learned models with respect to (1) the corresponding reference models and (2), given observations test sets.

## 1 Introduction

Besides *plan synthesis* [Ghallab *et al.*, 2004], planning action models are also useful for *plan/goal recognition* [Ramírez, 2012]. At these planning tasks, automated planners are required to reason about an action model that correctly and completely captures the possible world transitions [Geffner and Bonet, 2013]. Unfortunately, building planning action models is complex, even for planning experts, and this knowledge acquisition task is a bottleneck that limits the potential of *AI planning* [Kambhampati, 2007].

The Machine Learning of planning action models is a promising alternative to hand-coding them and nowadays, there exist sophisticated algorithms like AMAN [Zhuo and Kambhampati, 2013], ARMS [Yang *et al.*, 2007], LOCM [Cresswell *et al.*, 2013] or SLAF [Amir and Chang, 2008]. Motivated by recent advances on the synthesis of different kinds of generative models with classical planning [Bonet *et al.*, 2009; Segovia-Aguas *et al.*, 2016; 2017], this paper presents a novel approach for learning STRIPS action models that introduces the following contributions:

1. *Learning action models as planning*. The practicality of the compilation approach allow us to report results over a wide range of planning domains. Furthermore, opens up a way towards the *bootstrapping* of planning action

models (a planner exploring its state space and using the obtained data to learn/update its action model).

2. *Learning from state observations*. Our approach does not require observing the precise actions that produced the observations because such actions are determined by a classical planner.
3. *Model evaluation*. The compilation is extensible to assess how well a STRIPS action model matches a given set of observations, which enables *model recognition*.

## 2 Background

Our approach for learning STRIPS action models is compiling the learning task into a classical planning task with conditional effects.

### Classical planning with conditional effects

We use  $F$  to denote the set of *fluents* (propositional variables) describing a state. A *literal*  $l$  is a valuation of a fluent  $f \in F$ , i.e. either  $l = f$  or  $l = \neg f$ . A set of literals  $L$  represents a partial assignment of values to fluents (WLOG we assume that  $L$  does not assign conflicting values to any fluent). We use  $\mathcal{L}(F)$  to denote the set of all literal sets on  $F$ , i.e. all partial assignments of values to fluents.

A *state*  $s$  is a full assignment of values to fluents, i.e.  $|s| = |F|$ , so the size of the state space is  $2^{|F|}$ . Explicitly including negative literals  $\neg f$  in states simplifies subsequent definitions but often, we will abuse notation by defining a state  $s$  only in terms of the fluents that are true in  $s$ , as is common in STRIPS planning.

A *classical planning frame* is a tuple  $\Phi = \langle F, A \rangle$ , where  $F$  is a set of fluents and  $A$  is a set of actions. Each action  $a \in A$  comprises three sets of literals:

- $\text{pre}(a) \subseteq \mathcal{L}(F)$ , called *preconditions*, the literals that must hold for the action  $a \in A$  to be applicable.
- $\text{eff}^+(a) \subseteq \mathcal{L}(F)$ , called *positive effects*, that defines the fluents set to true by the application of the action  $a \in A$ .
- $\text{eff}^-(a) \subseteq \mathcal{L}(F)$ , called *negative effects*, that defines the fluents set to false by the action application.

We say that an action  $a \in A$  is *applicable* in a state  $s$  iff  $\text{pre}(a) \subseteq s$ . The result of applying  $a$  in  $s$  is the *successor state* denoted by  $\theta(s, a) = \{s \setminus \text{eff}^-(a)\} \cup \text{eff}^+(a)$ .

A *classical planning problem* is a tuple  $P = \langle F, A, I, G \rangle$ , where  $I$  is an initial state and  $G \subseteq \mathcal{L}(F)$  is a goal condition. A *plan* for  $P$  is an action sequence  $\pi = \langle a_1, \dots, a_n \rangle$  that induces the *state trajectory*  $\langle s_0, s_1, \dots, s_n \rangle$  such that  $s_0 = I$  and, for each  $1 \leq i \leq n$ ,  $a_i$  is applicable in  $s_{i-1}$  and generates the successor state  $s_i = \theta(s_{i-1}, a_i)$ . The *plan length* is denoted with  $|\pi| = n$ . A plan  $\pi$  *solves*  $P$  iff  $G \subseteq s_n$ , i.e. if the goal condition is satisfied at the last state reached after following the application of the plan  $\pi$  in the initial state  $I$ .

An action  $a \in A$  with conditional effects is defined as a set of *preconditions*  $\text{pre}(a) \in \mathcal{L}(F)$  and a set of *conditional effects*  $\text{cond}(a)$ . Each conditional effect  $C \triangleright E \in \text{cond}(a)$  is composed of two sets of literals  $C \in \mathcal{L}(F)$ , the *condition*, and  $E \in \mathcal{L}(F)$ , the *effect*. An action  $a \in A$  is *applicable* in a state  $s$  if and only if  $\text{pre}(a) \subseteq s$ , and the *triggered effects* resulting from the action application are the effects whose conditions hold in  $s$ :

$$\text{triggered}(s, a) = \bigcup_{C \triangleright E \in \text{cond}(a), C \subseteq s} E,$$

The result of applying action  $a$  in state  $s$  is the *successor* state  $\theta(s, a) = \{s \setminus \text{eff}_c^-(s, a) \cup \text{eff}_c^+(s, a)\}$  where  $\text{eff}_c^-(s, a) \subseteq \text{triggered}(s, a)$  and  $\text{eff}_c^+(s, a) \subseteq \text{triggered}(s, a)$  are, respectively, the triggered *negative* and *positive* effects.

## 2.1 STRIPS action schemes

This work addresses the learning of PDDL action schemes that follow the STRIPS requirement [McDermott *et al.*, 1998; Fox and Long, 2003]. Figure 1 shows the *stack* action schema, coded in PDDL, from a four-operator *blocksworld* [Slaney and Thiébaux, 2001].

To formalize the output of the learning task, we assume that fluents  $F$  are instantiated from a set of *predicates*  $\Psi$ , as in PDDL. Each predicate  $p \in \Psi$  has an argument list of arity  $\text{ar}(p)$ . Given a set of *objects*  $\Omega$ , the set of fluents  $F$  is induced by assigning objects in  $\Omega$  to the arguments of predicates in  $\Psi$ , i.e.  $F = \{p(\omega) : p \in \Psi, \omega \in \Omega^{\text{ar}(p)}\}$  s.t.  $\Omega^k$  is the  $k$ -th Cartesian power of  $\Omega$ .

Let  $\Omega_v = \{v_i\}_{i=1}^{\max_{a \in A} \text{ar}(a)}$  be a new set of objects ( $\Omega \cap \Omega_v = \emptyset$ ), denoted as *variable names*, and that is bound by the maximum arity of an action in a given planning frame. For instance, in a three-block *blocksworld*  $\Omega = \{\text{block}_1, \text{block}_2, \text{block}_3\}$  while  $\Omega_v = \{v_1, v_2\}$  because the operators with the maximum arity, *stack* and *unstack*, have arity two.

Let us also define  $F_v$ , a new set of fluents  $F \cap F_v = \emptyset$ , that results from instantiating  $\Psi$  using only the objects in  $\Omega_v$  and that defines the elements that can appear in an action schema. For the *blocksworld*,  $F_v = \{\text{handempty}, \text{holding}(v_1), \text{holding}(v_2), \text{clear}(v_1), \text{clear}(v_2), \text{ontable}(v_1), \text{ontable}(v_2), \text{on}(v_1, v_1), \text{on}(v_1, v_2), \text{on}(v_2, v_1), \text{on}(v_2, v_2)\}$ .

We assume also that actions  $a \in A$  are instantiated from STRIPS operator schemes  $\xi = \langle \text{head}(\xi), \text{pre}(\xi), \text{add}(\xi), \text{del}(\xi) \rangle$  where:

- $\text{head}(\xi) = \langle \text{name}(\xi), \text{pars}(\xi) \rangle$ , is the operator *header* defined by its name and the corresponding

```
(:action stack
:parameters (?v1 ?v2 - object)
:precondition (and (holding ?v1) (clear ?v2))
:effect (and (not (holding ?v1))
(not (clear ?v2))
(handempty) (clear ?v1)
(on ?v1 ?v2)))
```

Figure 1: STRIPS operator schema coding, in PDDL, the *stack* action from the *blocksworld*.

*variable names*,  $\text{pars}(\xi) = \{v_i\}_{i=1}^{\text{ar}(\xi)}$ . The headers of a four-operator *blocksworld* are *pickup*( $v_1$ ), *putdown*( $v_1$ ), *stack*( $v_1, v_2$ ) and *unstack*( $v_1, v_2$ ).

- The preconditions  $\text{pre}(\xi) \subseteq F_v$ , the negative effects  $\text{del}(\xi) \subseteq F_v$ , and the positive effects  $\text{add}(\xi) \subseteq F_v$  such that,  $\text{del}(\xi) \subseteq \text{pre}(\xi)$ ,  $\text{del}(\xi) \cap \text{add}(\xi) = \emptyset$  and  $\text{pre}(\xi) \cap \text{add}(\xi) = \emptyset$ .

Finally we define  $F_v(\xi) \subseteq F_v$  as the subset of elements that can appear in a given action schema  $\xi$ . For instance, for the *stack* action schema  $F_v(\text{stack}) = F_v$  while  $F_v(\text{pickup}) = \{\text{handempty}, \text{holding}(v_1), \text{clear}(v_1), \text{ontable}(v_1), \text{on}(v_1, v_1)\}$  only contains the fluents from  $F_v$  that do not involve  $v_2$  because the action header contains the single parameter  $v_1$ .

## 3 Learning STRIPS action models

Learning STRIPS action models from fully available input knowledge, i.e. from plans where every action in the plan is available as well as its corresponding *pre*- and *post*-states, is straightforward. In this case, STRIPS operator schemes are derived lifting the literals that change between the pre and post-state of the corresponding action executions. Preconditions are derived lifting the minimal set of literals that appears in all the pre-states of the corresponding actions.

In this paper we address a more challenging learning task, where less input knowledge is available. The addressed learning task corresponds to observing an agent acting in the world but watching only the results of its plan executions, the actual executed actions are unobserved. This learning task is defined as  $\Lambda = \langle \Psi, \Xi, \mathcal{O} \rangle$ , where:

- $\Psi$  is the set of predicates that define the abstract state space of a given classical planning frame.
- $\Xi$  is the set of empty operator schemes, wherein each  $\xi \in \Xi$  is only composed of  $\text{head}(\xi)$ .
- $\mathcal{O} = \langle s_0, s_1, \dots, s_n \rangle$  is a sequence of *state observations* obtained observing the execution of an *unobserved* plan  $\pi = \langle a_1, \dots, a_n \rangle$ .

A solution to  $\Lambda$  is a set of operator schema  $\Xi'$  compliant with the predicates in  $\Psi$ , the headers in  $\Xi$  and the given sequence of state observations  $\mathcal{O}$ . A planning compilation is a suitable approach for addressing a  $\Lambda$  learning task because a solution must not only determine the STRIPS action model  $\Xi'$  but also, the *unobserved* plan  $\pi = \langle a_1, \dots, a_n \rangle$ , that explains  $\mathcal{O}$ . Figure 2 shows an example of a  $\Lambda$  task for learning a STRIPS action model in the *blocksworld* from the sequence

```

;;; Predicates in  $\Psi$ 
(handempty) (holding ?o - object)
(clear ?o - object) (ontable ?o - object)
(on ?o1 - object ?o2 - object)

;;; Headers in  $\Xi$ 
(pickup v1) (putdown v1)
(stack v1 v2) (unstack v1 v2)

;;; Observations in  $\mathcal{O}$ 
;;; observation #0
(clear block2) (on block2 block1)
(ontable block1) (handempty)

;;; observation #1
(holding block2) (clear block1) (ontable block1)

;;; observation #2
(clear block1) (ontable block1)
(clear block2) (ontable block2) (handempty)

;;; observation #3
(holding block1) (clear block2) (ontable block2)

;;; observation #4
(clear block1) (on block1 block2)
(ontable block2) (handempty)

```

Figure 2: Example of a  $\Lambda$  task for learning a STRIPS action model in the *blocksworld* from a sequence of five state observations.

of five state observations that corresponds to inverting a 2-blocks tower.

### 3.1 Learning with classical planning

Our approach for addressing the learning task is compiling  $\Lambda$  into a classical planning task with conditional effects. The intuition behind the compilation is that a solution to the resulting classical planning task is a sequence of actions that:

1. Programs the STRIPS action model  $\Xi'$ . A solution plan starts with a *prefix* that, for each  $\xi \in \Xi$ , determines which fluents  $f \in F_v(\xi)$  belong to its  $pre(\xi)$ ,  $del(\xi)$  and  $add(\xi)$  sets.
2. Validates the STRIPS action model  $\Xi'$  in  $\mathcal{O}$ . The solution plan continues with a *postfix* that produces the given sequence of states  $\langle s_0, s_1, \dots, s_n \rangle$  using the programmed action model  $\Xi'$ .

Given a learning task  $\Lambda = \langle \Psi, \Xi, \mathcal{O} \rangle$  the compilation outputs a classical planning task  $P_\Lambda = \langle F_\Lambda, A_\Lambda, I_\Lambda, G_\Lambda \rangle$ :

- $F_\Lambda$  contains:
  - The set of fluents  $F$  that is built instantiating the predicates  $\Psi$  with the objects appearing in the input observations  $\mathcal{O}$ .
  - Fluents representing the programmed action model  $pre_f(\xi)$ ,  $del_f(\xi)$  and  $add_f(\xi)$ , for every  $f \in F_v(\xi)$ . If a fluent  $pre_f(\xi)/del_f(\xi)/add_f(\xi)$  holds, it means that  $f$  is a precondition/negative effect/positive effect in the STRIPS operator

schema  $\xi \in \Xi$ . For instance, the preconditions of the *stack* schema (Figure 1) are represented by the fluents  $pre\_holding\_stack.v_1$  and  $pre\_clear\_stack.v_2$  set to *True*.

- Fluent  $mode_{prog}$  indicating whether the operator schemes are programmed or validated (already programmed) and fluents  $\{test_i\}_{1 \leq i \leq n}$ , indicating the observation where the action model is validated.
- $I_\Lambda$  contains the fluents from  $F$  that encode  $s_0$  (the first observation) and  $mode_{prog}$  set to true. In addition, our compilation assumes that initially operator schemes are programmed with every possible precondition, no negative effect and no positive effect. With this regard, the fluents  $pre_f(\xi)$  hold at the initial state for every  $f \in F_v(\xi)$ .
- $G_\Lambda = \bigcup_{1 \leq i \leq n} \{test_i\}$ , indicates that the programmed action model is validated in all the input observations.
- $A_\Lambda$  comprises three kinds of actions:

1. Actions for *programming* operator schema  $\xi \in \Xi$ :
  - Actions for **removing** a precondition  $f \in F_v(\xi)$  from the action schema  $\xi \in \Xi$ .

$$\begin{aligned}
pre(\text{programPre}_{f,\xi}) &= \{-del_f(\xi), \neg add_f(\xi), \\
&\quad mode_{prog}, pre_f(\xi)\}, \\
cond(\text{programPre}_{f,\xi}) &= \{\emptyset\} \triangleright \{\neg pre_f(\xi)\}.
\end{aligned}$$

- Actions for **adding** a negative or positive effect  $f \in F_v(\xi)$  to the action schema  $\xi \in \Xi$ .

$$\begin{aligned}
pre(\text{programEff}_{f,\xi}) &= \{-del_f(\xi), \neg add_f(\xi), \\
&\quad mode_{prog}\}, \\
cond(\text{programEff}_{f,\xi}) &= \{pre_f(\xi)\} \triangleright \{del_f(\xi)\}, \\
&\quad \{\neg pre_f(\xi)\} \triangleright \{add_f(\xi)\}.
\end{aligned}$$

2. Actions for *applying* an already programmed operator schema  $\xi \in \Xi$  bound with the objects  $\omega \subseteq \Omega^{ar(\xi)}$ . We assume that the operators headers are known so the binding of the operator schema is done implicitly by order of appearance of the action parameters, i.e. variables  $pars(\xi)$  are bound to the objects in  $\omega$  appearing at the same position. Figure 3 shows the PDDL encoding of the action for applying a programmed operator *stack*.

$$\begin{aligned}
pre(\text{apply}_{\xi,\omega}) &= \{pre_f(\xi) \implies p(\omega)\}_{\forall p \in \Psi, f=p(pars(\xi))}, \\
cond(\text{apply}_{\xi,\omega}) &= \{del_f(\xi)\} \triangleright \{\neg p(\omega)\}_{\forall p \in \Psi, f=p(pars(\xi))}, \\
&\quad \{add_f(\xi)\} \triangleright \{p(\omega)\}_{\forall p \in \Psi, f=p(pars(\xi))}, \\
&\quad \{mode_{prog}\} \triangleright \{\neg mode_{prog}\}.
\end{aligned}$$

3. Actions for *validating* an observation  $1 \leq i \leq n$ .

$$\begin{aligned}
pre(\text{validate}_i) &= s_i \cup \{test_j\}_{j \in 1 \leq j < i} \\
&\quad \cup \{\neg test_j\}_{j \in i \leq j \leq n} \cup \{\neg mode_{prog}\}, \\
cond(\text{validate}_i) &= \{\emptyset\} \triangleright \{test_i\}.
\end{aligned}$$

```

(:action apply_stack
:parameters (?o1 - object ?o2 - object)
:precondition
  (and (or (not (pre_on_stack_v1_v1)) (on ?o1 ?o1))
        (or (not (pre_on_stack_v1_v2)) (on ?o1 ?o2))
        (or (not (pre_on_stack_v2_v1)) (on ?o2 ?o1))
        (or (not (pre_on_stack_v2_v2)) (on ?o2 ?o2))
        (or (not (pre_ontable_stack_v1)) (ontable ?o1))
        (or (not (pre_ontable_stack_v2)) (ontable ?o2))
        (or (not (pre_clear_stack_v1)) (clear ?o1))
        (or (not (pre_clear_stack_v2)) (clear ?o2))
        (or (not (pre_holding_stack_v1)) (holding ?o1))
        (or (not (pre_holding_stack_v2)) (holding ?o2))
        (or (not (pre_hanempty_stack)) (hanempty)))
:effect
  (and (when (del_on_stack_v1_v1) (not (on ?o1 ?o1)))
        (when (del_on_stack_v1_v2) (not (on ?o1 ?o2)))
        (when (del_on_stack_v2_v1) (not (on ?o2 ?o1)))
        (when (del_on_stack_v2_v2) (not (on ?o2 ?o2)))
        (when (del_ontable_stack_v1) (not (ontable ?o1)))
        (when (del_ontable_stack_v2) (not (ontable ?o2)))
        (when (del_clear_stack_v1) (not (clear ?o1)))
        (when (del_clear_stack_v2) (not (clear ?o2)))
        (when (del_holding_stack_v1) (not (holding ?o1)))
        (when (del_holding_stack_v2) (not (holding ?o2)))
        (when (del_hanempty_stack) (not (hanempty)))
        (when (add_on_stack_v1_v1) (on ?o1 ?o1))
        (when (add_on_stack_v1_v2) (on ?o1 ?o2))
        (when (add_on_stack_v2_v1) (on ?o2 ?o1))
        (when (add_on_stack_v2_v2) (on ?o2 ?o2))
        (when (add_ontable_stack_v1) (ontable ?o1))
        (when (add_ontable_stack_v2) (ontable ?o2))
        (when (add_clear_stack_v1) (clear ?o1))
        (when (add_clear_stack_v2) (clear ?o2))
        (when (add_holding_stack_v1) (holding ?o1))
        (when (add_holding_stack_v2) (holding ?o2))
        (when (add_hanempty_stack) (hanempty))
        (when (modeProg) (not (modeProg))))

```

Figure 3: Action for applying an already programmed schema *stack* as encoded in PDDL (implications coded as disjunctions).

### 3.2 Compilation properties

**Lemma 1. Soundness.** Any classical plan  $\pi$  that solves  $P_\Lambda$  induces an action model  $\Xi'$  that solves the learning task  $\Lambda$ .

*Proof sketch.* The compilation forces that once the preconditions of an operator schema  $\xi \in \Xi'$  are programmed, they cannot be altered. The same happens with the positive and negative effects. Furthermore because of the preconditions of the program  $\text{Pre}_{f,\xi}$  actions, effects are only programmable after preconditions are programmed. Once the operator schemes  $\Xi'$  are programmed, they can only be applied because of the  $\text{mode}_{prog}$  fluent. To solve  $P_\Lambda$ , the goals  $\{test_i\}$ ,  $1 \leq i \leq n$  can only be achieved: executing an applicable sequence of programmed operator schemes that reaches every state  $s_i \in \mathcal{O}$ , starting from  $s_0$  and following the sequence  $1 \leq i \leq n$ . Therefore if  $test_n$  is achieved, it means that the programmed action model  $\Xi'$  is compliant with the provided input knowledge and hence, solves  $\Lambda$ .  $\square$

**Lemma 2. Completeness.** Any STRIPS action model  $\Xi'$  that solves a  $\Lambda = \langle \Psi, \Xi, \mathcal{O} \rangle$  learning task, is computable solving the corresponding classical planning task  $P_\Lambda$ .

*Proof sketch.* By definition,  $F_v(\xi) \subseteq F_\Lambda$  fully captures the set of elements that can appear in a STRIPS action schema  $\xi \in \Xi$ . In addition, any possible STRIPS action schema  $\Xi'$  that can be built with the fluents in  $F_v$  can be computed with the  $P_\Lambda$  compilation. The only STRIPS action models that the compilation cannot compute are

the ones that violate the state constraints defined by the  $\mathcal{O}$  sequence, which constrain as well the solutions to the  $\Lambda$  learning task.  $\square$

## 4 Evaluating STRIPS action models

The compilation can be extended to evaluate how well a STRIPS action model matches a given set of observations. This allows to asses the quality of learned models without a reference model and enables the recognition of STRIPS action models.

The main idea behind the compilation extension is that how well a STRIPS action model  $\Xi$  explains a given sequence of observations  $\mathcal{O}$ , depends on the amount of *edition* that one has to introduce into  $\Xi$  to produce  $\mathcal{O}$  (in the extreme if  $\Xi$  perfectly explains  $\mathcal{O}$ , no *edit* must be done).

### 4.1 The edit distance

Let us define first the *operations* allowed to edit a given STRIPS action model. With the aim of keeping tractable the branching factor of the planning instance that results from our compilation, we only define two *edit operations*:

- *Deletion.* A fluent  $pre_f(\xi)/del_f(\xi)/add_f(\xi)$ , such that  $f \in F_v(\xi)$ , is removed from the operator schema  $\xi \in \Xi$ .
- *Insertion.* A fluent  $pre_f(\xi)/del_f(\xi)/add_f(\xi)$ , such that  $f \in F_v(\xi)$ , is added to the operator schema  $\xi \in \Xi$ .

We can now formalize an edit distance that quantifies how dissimilar two given STRIPS action models are. This distance is symmetric and satisfies the *metric axioms* provided that the two STRIPS *edit operations* have the same positive cost.

**Definition 3.** Let  $\Xi$  and  $\Xi'$  be two STRIPS action models, both built from the same set of possible elements  $F_v$ . The **edit distance**, denoted as  $\delta(\Xi, \Xi')$ , is the minimum number of edit operations required to transform  $\Xi$  into  $\Xi'$ .

Since  $F_v$  is a bound set, the maximum number of edits that can be introduced to a given action model defined within  $F_v$  is bound as well. In more detail, for an operator schema  $\xi \in \Xi$  the maximum number of edits that can be introduced to their precondition set is  $|F_v(\xi)|$ . With regard to the effects, the maximum number of edits that can be introduced are two times  $|F_v(\xi)|$ .

**Definition 4.** Let  $\Xi$  be an action model built from the set of possible elements  $F_v$ . The **maximum edit distance**, defined as  $\delta(\Xi, *) = \sum_{\xi \in \Xi} 3|F_v(\xi)|$ , is an upper bound on the distance from  $\Xi$  to any STRIPS action model definable within  $F_v$ .

Finally, we are ready to define the distance of a model  $\Xi$  to an observation sequence  $\mathcal{O}$  on the basis of the *edit distance* between two models.

**Definition 5.** Given an action model  $\Xi$  built from the set of possible elements  $F_v$  and a sequence of observations  $\mathcal{O}$ . The **observation edit distance**, denoted by  $\delta(\Xi, \mathcal{O})$ , is the minimal edit distance to transform  $\Xi$  into a model  $\Xi'$  which: (1) is definable within  $F_v$  and (2), can produce a plan  $\pi = \langle a_1, \dots, a_n \rangle$  such that  $\pi$  induces the observation sequence  $\mathcal{O} = \langle s_0, s_1, \dots, s_n \rangle$ ; i.e.,

$$\delta(\Xi, \mathcal{O}) = \min_{\Xi' \text{ s.t. } \Xi' \rightarrow \mathcal{O}} \delta(\Xi, \Xi')$$

The *observation edit distance* assesses the quality of a learned STRIPS action model with respect to a sequence of observations (that acts as a test set). The lower this distance, the better the learned model. The semantic nature of this evaluation is robust to learning episodes where actions are reformulated and still compliant with the inputs (e.g. the *blocksworld* operator *stack* could be *learned* with the preconditions and effects of the *unstack* operator and vice versa or the roles of actions parameters with the same type could be interchanged).

Given a set of possible STRIPS models and a sequence of state observations, the *recognition of STRIPS models* is the task of computing the model with the highest probability according to the cited observations. The *observations edit distance* is also helpful to compute the probability distribution of the possible STRIPS models given a sequence of state observations. The main idea, taken from *plan recognition as planning* [Ramirez and Geffner, 2009], is to map distances into likelihoods and use the Bayes rule.

According to the Bayes rule, the probability of an hypothesis  $\mathcal{H}$  given the observations  $\mathcal{O}$  can be computed with  $P(\mathcal{H}|\mathcal{O}) = \frac{P(\mathcal{O}|\mathcal{H})P(\mathcal{H})}{P(\mathcal{O})}$ . In our scenario, the hypotheses are about the possible STRIPS action models that can be built within a given set of predicates  $\Psi$  and a given a set of operator headers (in other words, given the  $F_v(\xi)$  sets). Moreover, we assume that  $P(\mathcal{O}|\mathcal{H})$  is given by the *observation edit distance*, mapping distances into probabilities, according to the following expression  $P(\mathcal{O}|\Xi) = 1 - \frac{\delta(\Xi, \mathcal{O})}{\delta(\Xi, *)}$ . The larger the distance the lower the likelihood.

With this regard,  $P(\Xi|\mathcal{O})$ , the probability distribution of the possible STRIPS models (within the  $F_v(\xi)$  sets) given an observation sequence  $\mathcal{O}$  could be computed by:

1. Computing the *observation edit distance*  $\delta(\Xi, \mathcal{O})$  for every possible model  $\Xi$ .
2. Applying the resulting distances to the above  $P(\mathcal{O}|\Xi)$  formula to map these distances into likelihoods
3. Applying the Bayes rule to obtain the normalized posterior probabilities, these probabilities must sum 1.

## 4.2 Evaluating STRIPS models with planning

The previous compilation can be extended to estimate the *observations STRIPS edit distance*. In this case the tuple  $\Lambda = \langle \Psi, \Xi, \mathcal{O} \rangle$  does not represent a learning task but the edition of a STRIPS action model  $\Xi$  (the model to evaluate) to cover the sequence of observations  $\mathcal{O}$ .

The extended compilation outputs a classical planning task  $P'_\Lambda = \langle F_\Lambda, A'_\Lambda, I'_\Lambda, G_\Lambda \rangle$  where:

- $F_\Lambda$  is defined as in the previous compilation.
- $I'_\Lambda$  contains the fluents from  $F$  that encode  $s_0$  (the first observation) and *mode<sub>prog</sub>* set to true. In addition, the given STRIPS action model  $\Xi$  is now encoded in the initial state. This means that fluents *pre<sub>f</sub>*( $\xi$ )/*del<sub>f</sub>*( $\xi$ )/*add<sub>f</sub>*( $\xi$ ) with  $f \in F_v(\xi)$  hold in the initial state if they appear in the given  $\Xi$  model.
- $G_\Lambda$  is defined as in the previous compilation.

- $A'_\Lambda$ , comprises the same three kinds of actions of  $A_\Lambda$ . The Actions for *applying* an already programmed operator schema and the actions for *validating* an observation  $1 \leq i \leq n$  are defined exactly as in the previous compilation. The only difference are the actions for *programming* operator schema  $\xi \in \Xi$  that include now actions for adding a precondition and for removing a *negative* or *positive* effect (that is implement the *edit operations*).

A solution to the resulting classical planning task from the extended compilation is a sequence of actions that edits the STRIPS action model  $\Xi$  and validates the edited model on the given observations  $\mathcal{O}$ . In model evaluation we are not interested in the edited model but in the number of *edit operations* needed to produce a model validable in the given observations, i.e. the *observation edit distance*. In more detail, the *observation edit distance* is exact if  $P'_\Lambda$ , the classical planning problem resulting from the extended compilation, is optimally solved (according to the number of edit actions), and is approximate if  $P'_\Lambda$  is solved with a satisfying planner or furthermore, if what is solved is not  $P'_\Lambda$  but its relaxation, e.g. the *delete relaxation* [Bonet and Geffner, 2001].

## 5 Evaluation

This section presents a two-fold evaluation of the learned models: with respect to a reference model and with respect to a given set of observations.

We used 15 IPC domains that satisfy the STRIPS requirement [Fox and Long, 2003], taken from the PLANING.DOMAINS repository [Muisse, 2016]. For the learning of the STRIPS action models we used observations sequences of 25 states per domain. All experiments are run on an Intel Core i5 3.10 GHz x 4 with 4 GB of RAM.

MADAGASCAR is the classical planner we use to solve the instances that result from our compilations because its ability to deal with dead-ends [Rintanen, 2014]. In addition, MADAGASCAR can apply the actions for programming preconditions in a single planning step (in parallel) because these actions do not interact. Actions for programming action effects can also be applied in a single planning step reducing significantly the planning horizon.

The compilation source code, the evaluation scripts and the benchmarks (including learning and testing sets) are fully available at this anonymous repository <https://github.com/anonsub/observations-learning> so any experimental data reported in the paper can be reproduced.

### Reference model

Here we evaluate the learned models with respect to the actual generative model since, opposite to what usually happens in ML, this model is available when learning classical planning models from the IPC. For each domain the learned model is compared with the actual model and its quality is quantified with the *precision* and *recall* metrics. Precision gives a notion of *soundness* while recall gives a notion of the *completeness* of the learned models.  $Precision = \frac{tp}{tp+fp}$ , where *tp* is the number of true positives (predicates that correctly appear in the action model) and *fp* is the number of false positives (predicates appear in the learned action model that should not

appear).  $Recall = \frac{tp}{tp+fn}$  where  $fn$  is the number of false negatives (predicates that should appear in the learned action model but are missing).

Table 1 summarizes the obtained results. Precision (**P**) and recall (**R**) are computed separately for the preconditions (**Pre**), positive effects (**Add**) and negative Effects (**Del**) while the last two columns of each setting (and the last row) report averages values.

When the learning hypothesis space is under constrained, the learned actions can be reformulated and still be compliant with the inputs. Given the syntax-based nature of these metrics, it may happen that these metrics report low scores for learned models that are actually good but correspond to "reformulations" of the actual model; i.e. a learned model semantically equivalent but syntactically different to the reference model. To address these issues we defined an evaluation method robust to action reformulation. Precision and recall are often combined using the *harmonic mean*. This expression is called the *F-measure* (or the balanced *F-score*) and is formally defined as  $F = 2 \times \frac{Precision \times Recall}{Precision + Recall}$ . Given a reference STRIPS action model  $\Xi^*$  and the learned STRIPS action model  $\Xi$  we define the bijective function  $f_{P\&R} : \Xi \mapsto \Xi^*$  such that  $f_{P\&R}$  maximizes the accumulated *F-measure*. With this mapping defined we can compute the *precision* and *recall* of a learned STRIPS action  $\xi \in \Xi$  with respect to the action  $f_{P\&R}(\xi) \in \Xi^*$  even if actions are reformulated in the learning process. Table 2 reports results significantly higher which shows that in many domains the learned actions or their parameters interchanged their roles with respect to the reference model.

### Test set

When learning action models is reasonable to assume that the reference model is not available. In this case we compute the observations distance to asses the quality of the learned models with respect to a set of observations. Table 3 summarizes the obtained results. Results evidence that, like when using the  $f_{P\&R}$  mapping, this evaluation metric is also robust to reformulation in the learned models.

## 6 Conclusions

As far as we know, this is the first work on learning STRIPS action models from state observations, exclusively using classical planning and evaluated over a wide range of different domains. [Asai and Fukunaga, 2017] reported the learning of PDDL action models on a few domains from large images datasets. Recently, Stern and Juba 2017 proposed a classical planning compilation for learning action models but following the *finite domain* representation for the state variables and did not report experimental results since the compilation was not implemented.

The size of the compiled classical planning instances depends on the number of input examples. The larger the number of examples is, the larger the compilation (a larger number of "test" fluents and "validate" actions), and also the more actions the solution plan requires to derive the action model. All this implies larger planning times. On the other hand, the precision and recall values depend on the diversity of the training samples rather than on the size of the input data.

	Pre		Add		Del		P	R
	P	R	P	R	P	R		
blocks	0.44	0.44	0.44	0.44	0.44	0.44	0.44	0.44
driverlog	0.0	0.0	0.25	0.43	0.0	0.0	0.08	0.14
ferry	1.0	0.71	1.0	1.0	1.0	1.0	1.0	0.9
floor-tile	0.38	0.55	0.4	0.18	0.56	0.45	0.44	0.39
grid	0.5	0.47	0.33	0.29	0.25	0.29	0.36	0.35
gripper-strips	0.83	0.83	0.75	0.75	0.75	0.75	0.78	0.78
hanoi	0.5	0.25	0.5	0.5	0.0	0.0	0.33	0.25
hiking	0.43	0.43	0.5	0.35	0.44	0.47	0.46	0.42
miconic	0.6	0.33	0.33	0.25	0.33	0.33	0.42	0.31
n-puzzle	0.33	0.33	0.0	0.0	0.0	0.0	0.11	0.11
parking	0.25	0.21	0.0	0.0	0.0	0.0	0.08	0.07
satellite	0.6	0.21	0.8	0.8	1.0	0.5	0.8	0.5
transport	1.0	0.3	0.8	0.8	1.0	0.6	0.93	0.57
grid-visit-all	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
zeno-travel	0.67	0.29	0.33	0.29	0.33	0.14	0.44	0.24
	-	-	-	-	-	-	-	-

Table 1: Precision and recall values obtained without computing the  $f_{P\&R}$  mapping.

	Pre		Add		Del		P	R
	P	R	P	R	P	R		
blocks	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0
driverlog	0.67	0.14	0.33	0.57	0.67	0.29	0.56	0.33
ferry	1.0	0.71	1.0	1.0	1.0	1.0	1.0	0.9
floor-tile	0.44	0.64	1.0	0.45	0.89	0.73	0.78	0.61
grid	0.63	0.59	0.67	0.57	0.63	0.71	0.64	0.62
gripper-strips	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0
hanoi	1.0	0.5	1.0	1.0	1.0	1.0	1.0	0.83
hiking	0.78	0.6	0.93	0.82	0.88	0.88	0.87	0.77
miconic	0.8	0.44	1.0	0.75	1.0	1.0	0.93	0.73
n-puzzle	0.67	0.67	1.0	1.0	1.0	1.0	0.89	0.89
parking	0.56	0.36	0.5	0.33	0.5	0.33	0.52	0.34
satellite	0.6	0.21	0.8	0.8	1.0	0.5	0.8	0.5
transport	1.0	0.3	1.0	1.0	1.0	0.6	1.0	0.63
grid-visit-all	0.67	1.0	1.0	1.0	1.0	1.0	0.89	1.0
zeno-travel	1.0	0.43	0.67	0.57	1.0	0.43	0.89	0.48
	-	-	-	-	-	-	-	-

Table 2: Precision and recall values obtained when computing the  $f_{P\&R}$  mapping.

	$\delta(\Xi, \mathcal{O})$	$\delta(\Xi, *)$	$P(\mathcal{O} \Xi)$
block	0	90	1.0
driverlog	5	144	0.97
ferry	2	69	0.97
floortile	34	342	0.90
grid	42	153	0.73
gripper	2	30	0.93
hanoi	1	63	0.98
hiking	69	174	0.60
miconic	3	72	0.96
npuzzle	2	24	0.92
parking	5	111	0.95
satellite	24	75	0.68
transport	4	78	0.95
visitall	2	24	0.92
zenotravel	3	63	0.95
	-	-	-

Table 3: Evaluation of the quality of the learned models with respect to an observations test set.

Generating *informative* examples for learning planning action models is an open issue. Planning actions include preconditions that are only satisfied by specific sequences of actions, often, with a low probability of being chosen by chance [Fern *et al.*, 2004]. The success of recent algorithms for exploring planning tasks [Francés *et al.*, 2017] motivates the development of novel techniques able to autonomously collect informative learning examples. The combination of such exploration techniques with our learning approach is an intriguing research direction towards the bootstrapping of planning action models.

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