

# Computing the least commitment action model from state observations

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## Abstract

## 1 Introduction

Given a sequence of partially observed states, this paper formalizes the task of computing the exact set of action models that are *conformant* with the given observation. This task is of interest because it allows to, incrementally and scalably, learn action models from arbitrary large sets of state observations.

In addition, the paper presets a new method to compute the *least commitment* action model from state observations that compiles this task into *conformant planning*.

## 2 Background

This section formalizes the *classical* and *conformant* planning models as well as the kind of input observations for the computation of the *least commitment* action model.

### 2.1 Classical planning with conditional effects

$F$  is the set of *fluents* or *state variables* (propositional variables). A *literal*  $l$  is a valuation of a fluent  $f \in F$ , i.e. either  $l = f$  or  $l = \neg f$ .  $L$  is a set of literals that represents a partial assignment of values to fluents, and  $\mathcal{L}(F)$  is the set of all literals sets on  $F$ , i.e. all partial assignments of values to fluents. A *state*  $s$  is a full assignment of values to fluents. We explicitly include negative literals  $\neg f$  in states s.t.  $|s| = |F|$  and the size of the state space is  $2^{|F|}$ .

A *planning frame* is a tuple  $\Phi = \langle F, A \rangle$ , where  $F$  is a set of fluents and  $A$  is a set of *actions*. An action  $a \in A$  is defined with *preconditions*,  $\text{pre}(a) \in \mathcal{L}(F)$ , *positive effects*,  $\text{eff}^+(a) \in \mathcal{L}(F)$ , and *negative effects*  $\text{eff}^-(a) \in \mathcal{L}(F)$ . The semantics of actions  $a \in A$  is specified with two functions:  $\rho(s, a)$  denotes whether action  $a$  is *applicable* in a state  $s$  and  $\theta(s, a)$  denotes the *successor state* that results of applying action  $a$  in a state  $s$ . Then,  $\rho(s, a)$  holds iff  $\text{pre}(a) \subseteq s$ . And the result of applying  $a$  in  $s$  is  $\theta(s, a) = \{s \setminus \text{eff}^-(a)\} \cup \text{eff}^+(a)$ .

A *classical planning problem* is a tuple  $P = \langle F, A, I, G \rangle$ , where  $I$  is the initial state in which all the fluents of  $F$  are assigned a *true/false* value and  $G \in \mathcal{L}(F)$  is the set of goal conditions over the state variables. A *plan*  $\pi$  for  $P$  is an action sequence  $\pi = \langle a_1, \dots, a_n \rangle$ , and  $|\pi| = n$  denotes its

*plan length*. The execution of  $\pi$  in the initial state  $I$  of  $P$  induces a *trajectory*  $\tau(\pi, s_0) = \langle s_0, a_1, s_1, \dots, a_n, s_n \rangle$  such that  $s_0 = I$  and, for each  $1 \leq i \leq n$ , it holds  $\rho(s_{i-1}, a_i)$  and  $s_i = \theta(s_{i-1}, a_i)$ . A plan  $\pi$  solves  $P$  iff the induced *trajectory*  $\tau(\pi, s_0)$  reaches a final state  $G \subseteq s_n$ .

An action  $a_c \in A$  with conditional effects is defined as a set of preconditions  $\text{pre}(a_c) \in \mathcal{L}(F)$  and a set of *conditional effects*  $\text{cond}(a_c)$ . Each conditional effect  $C \triangleright E \in \text{cond}(a_c)$  is composed of two sets of literals:  $C \in \mathcal{L}(F)$ , the *condition*, and  $E \in \mathcal{L}(F)$ , the *effect*. An action  $a_c$  is applicable in a state  $s$  if  $\rho(s, a_c)$  is true, and the *triggered effects* resulting from the action application are the effects whose conditions hold in  $s$ :

$$\text{triggered}(s, a_c) = \bigcup_{C \triangleright E \in \text{cond}(a_c), C \subseteq s} E,$$

The result of applying action  $a_c$  in state  $s$  is  $\theta(s, a_c) = \{s \setminus \text{eff}_c^-(s, a)\} \cup \text{eff}_c^+(s, a)$ , where  $\text{eff}_c^-(s, a) \subseteq \text{triggered}(s, a)$  and  $\text{eff}_c^+(s, a) \subseteq \text{triggered}(s, a)$  are, respectively, the triggered *negative* and *positive* effects.

### 2.2 The observation model

Given a classical planning problem  $P = \langle F, A, I, G \rangle$ , a plan  $\pi$  and a trajectory  $\tau(\pi, s_0)$ , we define the *observation of the trajectory* as sequence of partial states that represents the observation from the execution of  $\pi$  in  $P$ . Formally,  $\mathcal{O}(\tau) = \langle s_0^o, s_1^o, \dots, s_m^o \rangle$  where  $s_0^o = I$ .

A partially observable state  $s_i^o$  is one in which  $|s_i^o| < |F|$ ; i.e., a state in which at least a fluent of  $F$  is not observable. Note that this definition also comprises the case  $|s_i^o| = 0$ , when the state is fully unobservable. Whatever the sequence of observed states of  $\mathcal{O}(\tau)$  is, it must be consistent with the sequence of states of  $\tau(\pi, s_0)$ , meaning that  $\forall i, s_i^o \subseteq s_i$ . In practice, the number of observed states,  $m$ , range from 1 (the initial state, at least), to  $|\pi| + 1$ , and the observed intermediate states will comprise a number of fluents between  $[1, |F|]$ .

We assume a bijective monotone mapping between actions/states of trajectories and observations [Ramírez and Geffner, 2009], thus also granting the inverse consistency relationship (the trajectory is a superset of the observation). Therefore, transiting between two consecutive observed states in  $\mathcal{O}(\tau)$  may require the execution of more than a single action ( $\theta(s_i^o, \langle a_1, \dots, a_k \rangle) = s_{i+1}^o$ , where  $k \geq 1$  is

unknown but finite. In other words, having  $\mathcal{O}(\tau)$  does not imply knowing the actual length of  $\pi$ .

### 2.3 Conformant planning

*Conformant planning* refers to planning with incomplete information about the initial state, no sensing, and where goals have to be achieved with certainty (despite the uncertainty of the initial state) [Smith and Weld, 1998; Goldman and Boddy, 1996].

Syntactically, conformant planning problems are expressed in compact form through a set of state variables. A *conformant planning problem* is then defined as a tuple  $P_c = \langle F, A, \Upsilon, G \rangle$  where  $F$ ,  $A$  and  $G$  are the set of fluents, actions and goals (as previously defined for the classical planning model). Now  $\Upsilon$  is a set of clauses over literals  $l = f$  or  $l = \neg f$  (for  $f \in F$ ) that define the set of possible initial states.

A solution to a conformant planning problem is an action sequence that maps each possible initial state into a goal state. More precisely, an action sequence  $\pi = \langle a_1, \dots, a_n \rangle$  is a *conformant plan* for  $P_c$  iff for each possible trajectory  $\tau(\pi, s_0) = \langle s_0, a_1, s_1, \dots, a_n, s_n \rangle$ , such that  $s_0$  is a valuation of the fluents in  $F$  that satisfies  $\Upsilon$ , then the  $\tau(\pi, s_0)$  trajectory reaches a final state  $G \subseteq s_n$ .

## 3 Learning the least commitment action model from observations

### 3.1 The least commitment action model

Now we are ready to formalize the learning task that we are addressing in this paper. The task of computing the *least commitment* action model is defined as a tuple  $\langle P, M, \mathcal{O} \rangle$  where:

- $P = \langle F, A[\cdot], I, G \rangle$  is a planning problem where  $A[\cdot]$  is a set of actions. For each  $a \in A[\cdot]$ , the semantics of  $a$  is unknown; i.e. the functions  $\rho$  and/or  $\theta$  of  $a$  are undefined.
- $\mathcal{O}(\tau)$  is an observation of a trajectory  $\tau(\pi, P)$  produced by the execution of an unknown plan  $\pi$  that solves the planning problem  $P$ .
- $M$  is the set of different planning models for the actions in  $A[\cdot]$ . A model  $\mathcal{M} \in M$  defines the semantics of every action in  $A[\cdot]$ . Planning models differ in the  $\langle \rho, \theta \rangle$  functions of the actions but they all use the same set of state variables  $F$ .

The *solution* to this task is the *least commitment* action model which is defined as the minimum subset of models  $M^* \in M$  that is compliant with the input observation  $\mathcal{O}(\tau)$ .

### 3.2 The hypothesis space

STRIPS action schemata provide a compact representation specifying action models. A STRIPS *action schema*  $\xi$  is defined by four lists: A list of *parameters*  $\text{pars}(\xi)$ , and three list of predicates (namely  $\text{pre}(\xi)$ ,  $\text{del}(\xi)$  and  $\text{add}(\xi)$ ) that shape the kind of fluents that can appear in the *preconditions*, *negative effects* and *positive effects* of the actions induced from that schema.

#### Definition 1 (Comparable STRIPS action schemata)

Two STRIPS schemata  $\xi$  and  $\xi'$  are **comparable** iff  $\text{pars}(\xi) = \text{pars}(\xi')$ , i.e. both share the same list of parameters.<sup>1</sup>

For instance, the  $\text{stack}(\text{?v1}, \text{?v2})$  and  $\text{unstack}(\text{?v1}, \text{?v2})$  schemata from a four operator *blocksworld* [Slaney and Thiébaux, 2001] are *comparable* while  $\text{stack}(\text{?v1}, \text{?v2})$  and  $\text{pickup}(\text{?v1})$  are not. Last but not least, we say that two STRIPS models  $\mathcal{M}$  and  $\mathcal{M}'$  are *comparable* iff there exists a bijective function that maps every action schema  $\xi \in \mathcal{M}$  to a comparable schemata  $\xi' \in \mathcal{M}'$  and vice versa.

Let be  $\Psi$  the set of *predicates* that shape the propositional state variables  $F$ , and a list of *parameters*  $\text{pars}(\xi)$ . The set of elements that can appear in  $\text{pre}(\xi)$ ,  $\text{del}(\xi)$  and  $\text{add}(\xi)$  of the STRIPS action schema  $\xi$  is given by FOL interpretations of  $\Psi$  over the parameters  $\text{pars}(\xi)$ . We denote this set of FOL interpretations as  $\mathcal{I}_{\Psi, \xi}$ .

Despite any element of  $\mathcal{I}_{\Psi, \xi}$  can *a priori* appear in the  $\text{pre}(\xi)$ ,  $\text{del}(\xi)$  and  $\text{add}(\xi)$  of schema  $\xi$ , the space of possible STRIPS schemata is constrained by a set  $\mathcal{C}$  that includes:

1. *Syntactic constraints.* STRIPS constraints require  $\text{del}(\xi) \subseteq \text{pre}(\xi)$ ,  $\text{del}(\xi) \cap \text{add}(\xi) = \emptyset$  and  $\text{pre}(\xi) \cap \text{add}(\xi) = \emptyset$ . Considering exclusively these syntactic constraints, the size of the space of possible STRIPS schemata is given by  $2^{2 \times |\mathcal{I}_{\Psi, \xi}|}$ .
2. *Domain-specific constraints.* One can introduce domain-specific knowledge to constrain further the space of possible schemata. For instance, in the *blocksworld* one can claim that  $\text{on}(v_1, v_1)$  and  $\text{on}(v_2, v_2)$  will not appear in the  $\text{pre}(\xi)$ ,  $\text{del}(\xi)$  and  $\text{add}(\xi)$  of an action schema  $\xi$  because of the semantic of the  $\text{on}$  predicate (i.e. only one block can be on top of another block).

#### Definition 2 (Well-defined STRIPS action schemata)

Given a set of predicates  $\Psi$ , a list of action parameters  $\text{pars}(\xi)$ , and set of FOL constraints  $\mathcal{C}$ ,  $\xi$  is a **well-defined STRIPS action schema** iff its three lists  $\text{pre}(\xi) \subseteq \mathcal{I}_{\Psi, \xi}$ ,  $\text{del}(\xi) \subseteq \mathcal{I}_{\Psi, \xi}$  and  $\text{add}(\xi) \subseteq \mathcal{I}_{\Psi, \xi}$  only contain elements in  $\mathcal{I}_{\Psi, \xi}$  and they satisfy all the constraints in  $\mathcal{C}$ .

We say a planning model  $\mathcal{M}$  is *well-defined* if all its STRIPS action schemata are *well-defined*.

3. *Observation constraints.* An observation of the execution of a plan further constraints the space of possible action schemata. This *semantic knowledge* included in the observations introduce a third type of constraints and that can also be added to the set  $\mathcal{C}$ .

### 3.3 Learning the least commitment model with conformant planning

Given a STRIPS action schema  $\xi$ , a propositional encoding for the *preconditions*, *negative* and *positive* effects of that schema

<sup>1</sup>In STRIPS models,  $\text{pars}(\xi) = \text{pars}(\xi')$  implies the number of parameters must be the same. For other planning models that allow object typing, the equality implies that parameters share the same type

```

(:action editable_inc-x
:parameters (?v1 ?v2)
:precondition
  (and (or (not (pre_xcoord_v1_inc-x)) (xcoord ?v1))
        (or (not (pre_xcoord_v2_inc-x)) (xcoord ?v2))
        (or (not (pre_ycoord_v1_inc-x)) (xcoord ?v1))
        (or (not (pre_ycoord_v2_inc-x)) (xcoord ?v2))
        (or (not (pre_q0_inc-x)) (q0))
        (or (not (pre_q1_inc-x)) (q1))
        (or (not (pre_next_v1_v1_inc-x)) (next ?v1 ?v1))
        (or (not (pre_next_v1_v2_inc-x)) (next ?v1 ?v2))
        (or (not (pre_next_v2_v1_inc-x)) (next ?v2 ?v1))
        (or (not (pre_next_v2_v2_inc-x)) (next ?v2 ?v2))))
:effect (and
  (when (del_xcoord_v1_inc-x) (not (xcoord ?v1)))
  (when (del_xcoord_v2_inc-x) (not (xcoord ?v2)))
  (when (del_ycoord_v1_inc-x) (not (xcoord ?v1)))
  (when (del_ycoord_v2_inc-x) (not (xcoord ?v2)))
  (when (del_q0_inc-x) (not (q0)))
  (when (del_q1_inc-x) (not (q1)))
  (when (del_next_v1_v1_inc-x) (not (next ?v1 ?v1)))
  (when (del_next_v1_v2_inc-x) (not (next ?v1 ?v2)))
  (when (del_next_v2_v1_inc-x) (not (next ?v2 ?v1)))
  (when (del_next_v2_v2_inc-x) (not (next ?v2 ?v2)))

  (when (add_xcoord_v1_inc-x) (xcoord ?v1))
  (when (add_xcoord_v2_inc-x) (xcoord ?v2))
  (when (add_ycoord_v1_inc-x) (xcoord ?v1))
  (when (add_ycoord_v2_inc-x) (xcoord ?v2))
  (when (add_q0_inc-x) (q0))
  (when (add_q1_inc-x) (q1))
  (when (add_next_v1_v1_inc-x) (next ?v1 ?v1))
  (when (add_next_v1_v2_inc-x) (next ?v1 ?v2))
  (when (add_next_v2_v1_inc-x) (next ?v2 ?v1))
  (when (add_next_v2_v2_inc-x) (next ?v2 ?v2)))

```

Figure 1: Editable version of the `inc-x(?v1, ?v2)` schema for robot navigation in a  $n \times n$  grid.

can be represented with fluents of the kind  $[pre|del|add]_e.\xi$  such that  $e \in \mathcal{I}_{\Psi, \xi}$  is a single element from the set of interpretations of predicates  $\Psi$  over the corresponding variable names  $\Omega_\xi$ . Figure ?? shows the propositional encoding for the six action schema defined in Figure ??.

The interest of having a propositional encoding for STRIPS action schema is that, using *conditional effects*, it allows to compactly define *editable actions*. Actions whose semantics is given by the value of the  $[pre|del|add]_e.\xi$  fluents at the current state. Given an operator schema  $\xi \in \mathcal{M}$  its *editable* version is formalized as:

$$\begin{aligned}
\text{pre}(\text{editable}_\xi) &= \{pre\_e.\xi \implies e\}_{e \in \mathcal{I}_{\Psi, \xi}} \\
\text{cond}(\text{editable}_\xi) &= \{del\_e.\xi\} \triangleright \{\neg e\}_{e \in \mathcal{I}_{\Psi, \xi}}, \\
&\quad \{add\_e.\xi\} \triangleright \{e\}_{e \in \mathcal{I}_{\Psi, \xi}}.
\end{aligned}$$

Figure 1 shows the PDDL encoding of the *editable* `inc-x(?v1, ?v2)` schema for robot navigation in a  $n \times n$  grid (Figure ??). Note that this editable schema, when the fluents of Figure ?? hold, behaves exactly as defined in Figure ??.

The fluents  $\{test_j\}_{1 \leq j \leq m}$ , indicating the state observation  $s_j \in \mathcal{O}(\tau)$  where the action model is validated. The new goals  $G' = G \cup \{at_n, test_m\}$  and the new actions for *validating* the edited models at the  $s_j$  observed states,  $0 \leq j < m$ .

$$\begin{aligned}
\text{pre}(\text{validate}_j) &= s_j \cup \{test_{j-1}\}, \\
\text{cond}(\text{validate}_j) &= \{\emptyset\} \triangleright \{\neg test_{j-1}, test_j, \\
&\quad \{mode_{edit}\} \triangleright \{\neg mode_{edit}, mode_{val}\}.
\end{aligned}$$

## 4 Evaluation

## 5 Conclusions

## References

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