

# One-shot learning: From domain knowledge to action models

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## Abstract

Most approaches to learning action planning models heavily rely on a significantly large volume of training samples or plan observations. In this paper, we adopt a different approach based on deductive learning from domain-specific knowledge, specifically from logic formulae that specify constraints about the possible states of a given domain. The minimal input observability required by our approach is a single example composed of a full initial state and a partial goal state. We will show that exploiting specific domain knowledge enable to constrain the space of possible action models as well as to complete partial observations, both of which turn out helpful to learn good-quality action models.

## 1 Introduction

The learning of action models in planning has been typically addressed with inductive learning data-intensive approaches. From the pioneer learning system ARMS [Yang *et al.*, 2007] to other more recent ones [Mourão *et al.*, 2012; Zhuo and Kambhampati, 2013; Kucera and Barták, 2018], all of them require of the order of thousands of actions (input plan observations) to obtain and validate an action model. Generally speaking, the rationale behind these approaches is to obtain the statistically significant model  $M$  that best explains the plan observations by minimizing some error and redundancy metrics. Specifically, given a plan observation  $o = \langle s_0, a_1, s_1, \dots, s_{n-1}, a_n, s_n \rangle$ , being  $s_i, i > 0$ , a possibly partially observable state; if a solution plan  $\pi$  to the planning task  $\langle s_0, s_n \rangle$  can be produced with  $M$  such that  $\pi$  contains the observed actions  $\langle a_1, \dots, a_n \rangle$  of  $o$ , and the trace resulting from the execution of  $\pi$  comprises the (partially) observed states  $\langle s_1, \dots, s_{n-1} \rangle$  of  $o$ , then  $M$  explains  $o$ . Validating the explainability of a model as an optimization task over a test set of observations neither guarantees completeness (the model may not explain all the observations) nor correctness (a state that results from the execution of  $\pi$  may contain contradictory information even though the state comprises the observed state).

Differently, other approaches rely on a symbolic-via learning. The Simultaneous Learning and Filtering (SLAF) ap-

proach [Amir and Chang, 2008] exploits logical inference and builds a complete explanation of observations through a CNF formula that represents the initial belief state, and an observation  $o$  that contains partially observable states. The formula is updated with every action and state of  $o$ , thus representing all possible transition relations consistent with  $o$ . SLAF extracts all satisfying models of the learned formula with a SAT solver although the algorithm cannot effectively learn the preconditions of actions. A more recent approach addresses the learning of action models from  $o$  as a planning task that searches the space of the all possible action models [Aineto *et al.*, 2018]. A plan here is conceived as a series of steps that determine the preconditions and effects of the model actions plus other steps that validate the formed actions in  $o$ . The advantage of this approach is that only requires about a total of 50 actions in the set  $o$ .

After this brief analysis, we raise the following question: can an action model be learnt from *no observations* but using domain-specific knowledge?. The question is motivated by (a) the assumption that obtaining enough training observations is often difficult and costly, if not impossible in some domains [Zhuo, 2015]; (b) we may not know the physics of the real-world domain being modeled but we may know certain pieces of knowledge about the domain; and (c) obtaining correct action models that are usable beyond their applicability to a set of testing observations. To this end, we opted for checking our hypothesis in the framework proposed in [Aineto *et al.*, 2018] since this planning-based satisfiability approach allows us to configure additional constraints in the compilation scheme, it is able to work under a minimal set of observations and uses an off-the-shelf planner<sup>1</sup>. Ultimately, we aim to compare the informational power of domain observations against the representational power of domain-specific knowledge.

The paper is organized as follows ...

## 2 Background

This section formalizes the *planning model* we follow in this work and introduces the classical planning compilation for the learning of STRIPS action models [Aineto *et al.*, 2018]. Finally, the section formalizes *state-invariants*.

<sup>1</sup>We thank authors for providing us with the source files of their learning system.

## 2.1 Classical planning with conditional effects

Let  $F$  be the set of propositional state variables (*fluents*) describing a state. A *literal*  $l$  is a valuation of a fluent  $f \in F$ ; i.e. either  $l = f$  or  $l = \neg f$ . A set of literals  $L$  represents a partial assignment of values to fluents (without loss of generality, we will assume that  $L$  does not contain conflicting values). Given  $L$ , let  $\neg L = \{\neg l : l \in L\}$  be its complement. We use  $\mathcal{L}(F)$  to denote the set of all literal sets on  $F$ ; i.e. all partial assignments of values to fluents. A *state*  $s$  is a full assignment of values to fluents;  $|s| = |F|$ .

A *classical planning action*  $a \in A$  has: a precondition  $\text{pre}(a) \in \mathcal{L}(F)$ , a set of effects  $\text{eff}(a) \in \mathcal{L}(F)$ , and a positive action cost  $\text{cost}(a)$ . The semantics of actions  $a \in A$  is specified with two functions:  $\rho(s, a)$  denotes whether action  $a$  is *applicable* in a state  $s$  and  $\theta(s, a)$  denotes the *successor state* that results of applying action  $a$  in a state  $s$ . Then,  $\rho(s, a)$  holds iff  $\text{pre}(a) \subseteq s$ , i.e. if its precondition holds in  $s$ . The result of executing an applicable action  $a \in A$  in a state  $s$  is a new state  $\theta(s, a) = (s \setminus \neg \text{eff}(a)) \cup \text{eff}(a)$ . Subtracting the complement of  $\text{eff}(a)$  from  $s$  ensures that  $\theta(s, a)$  remains a well-defined state. The subset of action effects that assign a positive value to a state fluent is called *positive effects* and denoted by  $\text{eff}^+(a) \in \text{eff}(a)$  while  $\text{eff}^-(a) \in \text{eff}(a)$  denotes the *negative effects* of an action  $a \in A$ .

A *classical planning problem* is a tuple  $P = \langle F, A, I, G \rangle$ , where  $I$  is the initial state and  $G \in \mathcal{L}(F)$  is the set of goal conditions over the state variables. A *plan*  $\pi$  is an action sequence  $\pi = \langle a_1, \dots, a_n \rangle$ , with  $|\pi| = n$  denoting its *plan length* and  $\text{cost}(\pi) = \sum_{a \in \pi} \text{cost}(a)$  its *plan cost*. The execution of  $\pi$  on the initial state of  $P$  induces a *trajectory*  $\tau(\pi, P) = \langle s_0, a_1, s_1, \dots, a_n, s_n \rangle$  such that  $s_0 = I$  and, for each  $1 \leq i \leq n$ , it holds  $\rho(s_{i-1}, a_i)$  and  $s_i = \theta(s_{i-1}, a_i)$ . A plan  $\pi$  solves  $P$  iff the induced *trajectory*  $\tau(\pi, P)$  reaches a final state  $G \subseteq s_n$ , where all goal conditions are met. A solution plan is *optimal* iff its cost is minimal.

We also define *actions with conditional effects* because they are useful to compactly formulate our approach for *goal recognition with unknown domain models*. An action  $a_c \in A$  with conditional effects is a set of preconditions  $\text{pre}(a_c) \in \mathcal{L}(F)$  and a set of *conditional effects*  $\text{cond}(a_c)$ . Each conditional effect  $C \triangleright E \in \text{cond}(a_c)$  is composed of two sets of literals:  $C \in \mathcal{L}(F)$ , the *condition*, and  $E \in \mathcal{L}(F)$ , the *effect*. An action  $a_c$  is applicable in a state  $s$  if  $\rho(s, a_c)$  is true, and the result of applying action  $a_c$  in state  $s$  is  $\theta(s, a_c) = \{s \setminus \neg \text{eff}_c(s, a) \cup \text{eff}_c(s, a)\}$  where  $\text{eff}_c(s, a)$  are the *triggered effects* resulting from the action application (conditional effects whose conditions hold in  $s$ ):

$$\text{eff}_c(s, a) = \bigcup_{C \triangleright E \in \text{cond}(a_c), C \subseteq s} E,$$

## 2.2 Learning action models with classical planning

The *classical planning compilation* for the learning of STRIPS action models [Aineto et al., 2018] receives as input an *empty model* (which contains just the *name* and *parameters* of each action schema), and a set of observations of plan executions. The compilation completes the *empty model* specifying the preconditions and effects of each action schema such that the validation of the completed model

over the input observations is successful; i.e., there exists a plan computable with the completed model s.t.  $\rho(s_{i-1}^o, a_i)$  and  $s_i^o = \theta(s_{i-1}^o, a_i)$  holds for every observed state.

A solution plan to the classical planning problem that results from the compilation is then a sequence of:

- *Insert actions*, that insert preconditions and effects on an action schema.
- *Apply actions* that validate the application of the completed model in the input observations.

Figure 1 shows a solution to a classical planning problem resulting from the Aineto et al. 2018 compilation corresponding to the *blocksworld* [Slaney and Thiébaux, 2001]. In the initial state of that problem the robot hand is empty and three blocks (namely `blockA`, `blockB` and `blockC`) are on top of the table and clear. The problem goal is having the three-block tower `blockA` on top of `blockB` and `blockB` on top of `blockC`. The plan shows the *insert* actions for the stack scheme (steps 00 – 01 insert the preconditions, steps 05 – 10 insert the effects), the plan steps 02–04 that insert the preconditions of the `pickup` scheme and steps 10–13 that insert the effects of this scheme. Finally, steps 14 – 17 is a plan postfix with actions that apply the programmed model to achieve the goals starting from the given initial state.

```
00: (insert_pre_stack_holding.v1)    10: (insert_eff_pickup_clear.v1)
01: (insert_pre_stack_clear.v2)      11: (insert_eff_pickup_ontable.v1)
02: (insert_pre_pickup_handempty)    12: (insert_eff_pickup_handempty)
03: (insert_pre_pickup_clear.v1)     13: (insert_eff_pickup_holding.v1)
04: (insert_pre_pickup_ontable.v1)   14: (apply_pickup blockB)
05: (insert_eff_stack_clear.v1)      15: (apply_stack blockB blockC)
06: (insert_eff_stack_clear.v2)      16: (apply_pickup blockA)
07: (insert_eff_stack_handempty)     17: (apply_stack blockA blockB)
08: (insert_eff_stack_holding.v1)    18: (validate.1)
09: (insert_eff_stack_on.v1.v2)
```

Figure 1: Example of a solution to a problem output by the classical planning compilation for the learning STRIPS action models.

## 3 One-shot learning of planning action models from domain-specific knowledge

We define the *one-shot learning* of planning action models from *domain-specific knowledge* as a tuple  $\Lambda = \langle \mathcal{M}, \mathcal{O}, \Phi \rangle$ , where:

- $\mathcal{M}$  is the *initial empty model* that contains only the name and parameters of each planning action to be learned.
- $\mathcal{O}$  is a single learning example that represents the observation of a sequence of states generated with the aimed planning action model.
- $\Phi$  is a set of logic formulae defining *domain-specific knowledge* that constraint the set of possible states.

A *solution* to a learning task  $\Lambda = \langle \mathcal{M}, \mathcal{O}, \Phi \rangle$  is a model  $\mathcal{M}'$  s.t. there exists a plan computable with  $\mathcal{M}'$  that is consistent with the the *initial empty model*  $\mathcal{M}$ , the single learning example  $\mathcal{O}$  and the given *domain-specific knowledge* in  $\Phi$ .

### 3.1 The space of STRIPS action models

A STRIPS *action schema*  $\xi$  is defined by: A list of *parameters*  $\text{pars}(\xi)$ , and three sets of predicates (namely  $\text{pre}(\xi)$ ,  $\text{del}(\xi)$

```

(:action stack
 :parameters (?v1 ?v2)
 :precondition (and (holding ?v1) (clear ?v2))
 :effect (and (not (holding ?v1)) (not (clear ?v2))
              (clear ?v1) (handempty) (on ?v1 ?v2)))

(pre_holding_v1_stack) (pre_clear_v2_stack)
(eff_holding_v1_stack) (eff_clear_v2_stack)
(eff_clear_v1_stack) (eff_handempty_stack) (eff_on_v1_v2_stack)

```

Figure 2: PDDL encoding of the `stack(?v1, ?v2)` schema and our propositional representation for this same schema.

and  $add(\xi)$  that shape the kind of fluents that can appear in the *preconditions*, *negative effects* and *positive effects* of the actions induced from that schema. Let be  $\Psi$  the set of *predicates* that shape the propositional state variables  $F$ , and a list of *parameters*,  $pars(\xi)$ . The set of elements that can appear in  $pre(\xi)$ ,  $del(\xi)$  and  $add(\xi)$  of the STRIPS action schema  $\xi$  is the set of FOL interpretations of  $\Psi$  over the parameters  $pars(\xi)$  and is denoted as  $\mathcal{I}_{\Psi, \xi}$ .

For instance in a four-operator *blocksworld* [Slaney and Thiébaux, 2001], the  $\mathcal{I}_{\Psi, \xi}$  set contains only five elements for the `pickup( $v_1$ )` schemata,  $\mathcal{I}_{\Psi, \text{pickup}} = \{\text{handempty}, \text{holding}(v_1), \text{clear}(v_1), \text{ontable}(v_1), \text{on}(v_1, v_1)\}$  while it contains eleven elements for the `stack( $v_1, v_2$ )` schemata,  $\mathcal{I}_{\Psi, \text{stack}} = \{\text{handempty}, \text{holding}(v_1), \text{holding}(v_2), \text{clear}(v_1), \text{clear}(v_2), \text{ontable}(v_1), \text{ontable}(v_2), \text{on}(v_1, v_1), \text{on}(v_1, v_2), \text{on}(v_2, v_1), \text{on}(v_2, v_2)\}$ .

Despite any element of  $\mathcal{I}_{\Psi, \xi}$  can *a priori* appear in the  $pre(\xi)$ ,  $del(\xi)$  and  $add(\xi)$  of schema  $\xi$ , in practice the actual space of possible STRIPS schemata is bounded by:

1. **Syntactic constraints.** STRIPS constraints require  $del(\xi) \subseteq pre(\xi)$ ,  $del(\xi) \cap add(\xi) = \emptyset$  and  $pre(\xi) \cap add(\xi) = \emptyset$ . Considering exclusively these syntactic constraints, the size of the space of possible STRIPS schemata is given by  $2^{2 \times |\mathcal{I}_{\Psi, \xi}|}$ . *Typing constraints* are also of this kind [McDermott *et al.*, 1998].
2. **Observation constraints.** The *learning examples*, that in our case is the single observation of a sequence of states, depict *semantic knowledge* that constraints further the space of possible action schemata.

In this work we introduce a novel propositional encoding of the *preconditions*, *negative*, and *positive* effects of a STRIPS action schema  $\xi$  that uses only fluents of two kinds  $pre\_e\_ \xi$  and  $eff\_e\_ \xi$  (where  $e \in \mathcal{I}_{\Psi, \xi}$ ). This encoding exploits the syntactic constraints of STRIPS so it is more compact than the one previously proposed by Aineto *et al.* 2018 for learning STRIPS action models with classical planning. In more detail, if  $pre\_e\_ \xi$  holds it means that  $e \in \mathcal{I}_{\Psi, \xi}$  is a *precondition* in  $\xi$ . If  $pre\_e\_ \xi$  and  $eff\_e\_ \xi$  holds it means that  $e \in \mathcal{I}_{\Psi, \xi}$  is a *negative effect* in  $\xi$  while if  $pre\_e\_ \xi$  does not hold but  $eff\_e\_ \xi$  holds, it means that  $e \in \mathcal{I}_{\Psi, \xi}$  is a *positive effect* in  $\xi$ . Figure 2 shows the PDDL encoding of the `stack(?v1, ?v2)` schema and our propositional representation for this same schema using the `pre_e_stack` and `eff_e_stack` fluents ( $e \in \mathcal{I}_{\Psi, \text{stack}}$ ).

## 3.2 The sampling space

We define a *learning example* as a sequence  $\mathcal{O} = \langle s_0^o, s_1^o, \dots, s_m^o \rangle$  of *partially observed states*, except for the initial state  $s_0^o$  which is a *full state*. The set of predicates  $\Psi$  and the set of objects  $\Omega$  that shape the fluents  $F$  is then deducible from  $\mathcal{O}$ . A partially observed state  $s_i^o$ ,  $1 \leq i \leq m$ , is one in which  $|s_i^o| < |F|$ ; i.e., a state in which at least a fluent of  $F$  was not observed. Intermediate states can be *missing*, meaning that they are *unobserved*, so transiting between two consecutive observed states in  $\mathcal{O}$  may require the execution of more than a single action ( $\theta(s_i^o, \langle a_1, \dots, a_k \rangle) = s_{i+1}^o$  (where  $k \geq 1$  is unknown but finite). The minimal expression of a learning example must comprise at least two state observations, a full initial state  $s_0^o$  and a partially observed state  $s_m^o$  so  $m \geq 1$ .

To illustrate this Figure 3 shows a learning example that contains an initial state of the blocksworld where the robot hand is empty and three blocks (namely `blockA`, `blockB` and `blockC`) are on top of the table and clear. The second observation is a partially observed state in which `blockA` is on top of `blockB` and `blockB` on top of `blockC`.

```

(:predicates (on ?x ?y) (ontable ?x)
 (clear ?x) (handempty)
 (holding ?x))

(:objects blockA blockB blockC)

(:init (ontable blockA) (clear blockA)
 (ontable blockB) (clear blockB)
 (ontable blockC) (clear blockC)
 (handempty))

(:observations (on blockA blockB) (on blockB blockC))

```

Figure 3: Example of a two-state observationn for the learning STRIPS action models.

## 3.3 Domain-specific knowledge

Our approach is to introduce *domain-specific knowledge* in the form of *state-constraints* to restrict further the space of possible schemata. For instance, in the *blocksworld* one can argue that  $\text{on}(v_1, v_1)$  and  $\text{on}(v_2, v_2)$  will not appear in the  $pre(\xi)$ ,  $del(\xi)$  and  $add(\xi)$  lists of an action schema  $\xi$  because, in this specific domain, a block cannot be on top of itself. The notion of *state-constraint* is very general and has been used in different areas of AI and for different purposes. If we restrict ourselves to planning, *state-constraints* are abstractions for the compact specification of a set of states. For example, *state-constraints* in planning allow to specify the set of states where a given action is applicable, the set of states where a given *axiom* or *derived predicate* holds or the set of states that are considered goal states.

*State-invariants* is a kind of state-constraints useful for computing more compact state representations of a given planning problem [Helmert, 2009] and for making *satisfiability planning* or *backward search* more efficient [Rintanen, 2014; Alcázar and Torralba, 2015]. Given a classical planning problem  $P = \langle F, A, I, G \rangle$ , a *state-invariant* is a formula  $\phi$  that holds at the initial state of a given classical planning problem,  $I \models \phi$ , and at every state  $s$ , built from  $F$ , that is

reachable from  $I$  by applying actions in  $A$ . For instance, Figure 4 shows five clauses that define *state-invariants* for the *blocksworld* planning domain.

$\forall x_1, x_2 \text{ ontable}(x_1) \leftrightarrow \neg \text{on}(x_1, x_2).$   
 $\forall x_1, x_2 \text{ clear}(x_1) \leftrightarrow \neg \text{on}(x_2, x_1).$   
 $\forall x_1, x_2, x_3 \neg \text{on}(x_1, x_2) \vee \neg \text{on}(x_1, x_3) \text{ such that } x_2 \neq x_3.$   
 $\forall x_1, x_2, x_3 \neg \text{on}(x_2, x_1) \vee \neg \text{on}(x_3, x_1) \text{ such that } x_2 \neq x_3.$   
 $\forall x_1, \dots, x_n \neg (\text{on}(x_1, x_2) \wedge \text{on}(x_2, x_3) \wedge \dots \wedge \text{on}(x_{n-1}, x_n) \wedge \text{on}(x_n, x_1)).$

Figure 4: Example of *state-invariants* for the *blocksworld* domain.

A *mutex* (mutually exclusive) is a *state-invariant* that takes the form of a binary clause and indicates a pair of different properties that cannot be simultaneously true [Kautz and Selman, 1999]. For instance in a three-block *blocksworld*,  $\neg \text{on}(\text{block}_A, \text{block}_B) \vee \neg \text{on}(\text{block}_A, \text{block}_C)$  is a *mutex* because *block<sub>A</sub>* can only be on top of a single block.

A *domain invariant* is an instance-independent state-invariant, i.e. holds for any possible initial state and any possible set of objects. Therefore, if a given state  $s$  holds  $s \models \phi$  such that  $\phi$  is a *domain invariant*, it means that  $s$  is not a valid state. Domain invariants are often compactly defined as *lifted invariants* (also called *schematic invariants* [Rintanen, 2017]).

In this work we exploit *domain-specific knowledge* that is given as *schematic mutex*. We pay special attention to *schematic mutex* because they identify the *properties* of a given type of objects [Fox and Long, 1998] and because they enable (1) effectively pruning of inconsistent STRIPS action models and (2) effective completion of partially observed states. We define a *schematic mutex* as a  $\langle p, q \rangle$  pair where both  $p, q \in \mathcal{I}_{\Psi, \xi}$  represent predicates that shape the preconditions or effects of a given action scheme  $\xi$  and such that they satisfy the formulae  $\neg p \vee \neg q$ , assuming that their corresponding variables are *universally quantified*. For instance, *holding*( $v_1$ ) and *clear*( $v_1$ ) from the *blocksworld* are *schematic mutex* while *clear*( $v_1$ ) and *ontable*( $v_1$ ) are not because  $\forall v_1 \neg \text{clear}(v_1) \vee \neg \text{ontable}(v_1)$  does not hold for every possible *blocksworld* state.

## 4 Learning STRIPS action models from *schematic mutex* with classical planning

This section shows how to solve the  $\Lambda = \langle \mathcal{M}, \mathcal{O}, \Phi \rangle$  learning task with an off-the-shelf classical planner.

### 4.1 Completing partially observed states with *schematic mutex*

Our sampling space follows the *open world* assumption, i.e. what is not observed is considered unknown. Here we describe a pre-processing mechanism to add new knowledge that completes states  $\mathcal{O} = \langle s_0^o, s_1^o, \dots, s_m^o \rangle$  that are partially observed in a  $\Lambda = \langle \mathcal{M}, \mathcal{O}, \Phi \rangle$  learning task using a given set  $\Phi$  of *schematic mutex*.

Given a *schematic mutex*  $\langle p, q \rangle$  and a state observation  $s_j^o \in \mathcal{O}$ , ( $1 \leq j \leq m$ ) then, the state observation  $s_j^o$  can be safely completed adding the new literals  $\neg q(\omega)$  that result

ID	Action	New conditional effect
1.	insertPre <sub>p,ξ</sub>	$\{pre\_q\_ξ\} \triangleright \{mode_{inval}\}$
2.	insertEff <sub>p,ξ</sub>	$\{pre\_q\_ξ, eff\_q\_ξ, pre\_p\_ξ\} \triangleright \{mode_{inval}\}$
3.	insertEff <sub>p,ξ</sub>	$\{\neg pre\_q\_ξ, eff\_q\_ξ, \neg pre\_p\_ξ\} \triangleright \{mode_{inval}\}$
4.	apply <sub>ξ,ω</sub>	$\{\neg pre\_p\_ξ \wedge eff\_p\_ξ \wedge q(\omega) \wedge \neg pre\_q\_ξ\} \triangleright \{mode_{inval}\}$
5.	apply <sub>ξ,ω</sub>	$\{\neg pre\_p\_ξ \wedge eff\_p\_ξ \wedge q(\omega) \wedge pre\_q\_ξ \wedge \neg eff\_q\_ξ\} \triangleright \{mode_{inval}\}$

Figure 5: Summary of the new conditional effects added to the classical planning compilation for the learning of STRIPS action models.

from the unification of  $p \implies \neg q$  with  $s_j^o$  (assuming now that the corresponding variables of  $p$  and  $q$  are *existentially quantified*). For instance, if the literal *holding*(*blockA*) is observed in a particular *blocksworld* state and we know the *schematic mutex*  $\neg \text{holding}(v_1) \vee \neg \text{clear}(v_1)$ , we can safely extend that state observation with literal  $\neg \text{clear}(\text{blockA})$  (despite this particular literal was actually unobserved).

### 4.2 Pruning inconsistent action models with *domain-specific knowledge*

For every *state-constraint*  $\phi \in \Phi$  we could extend  $\text{apply}_{\xi, \omega}$  actions with a conditional effect  $\{\neg \phi\} \triangleright \{mode_{inval}\}$  that checks the consistency of the *state-constraints*  $\phi$  at every state traversed by a solution to the compiled problem. Checking arbitrary  $\phi$  formulae can however be too expensive for current classical planners. Instead, our approach is to check *state-constraints* in the form of *schematic mutex*. To implement this checking we add new conditional effects to *insert* and *apply* actions of the classical planning compilation for the learning of STRIPS action models [Aineto *et al.*, 2018]. These new conditional effects capture when the programmed model is inconsistent with a *schematic mutex* in  $\Phi$ .

Figure 5 summarizes the new conditional effects added to the classical planning compilation for the learning of STRIPS action models from *schematic mutex*. Next we describe each of them in detail:

- 1-3. For every *schematic mutex*  $\langle p, q \rangle$  s.t. both  $p$  and  $q$  belong to  $\in \mathcal{I}_{\Psi, \xi}$  a conditional effect is added to the insertPre<sub>p,ξ</sub> actions to ban the insertion of two preconditions that are *schematic mutex*. Likewise, two conditional effects are added to the insertEff<sub>p,ξ</sub> actions to ban the insertion of two positive/negative effects that are *schematic mutex*.
- 4-5. For every *schematic mutex*  $\langle p, q \rangle$  s.t. both  $p$  and  $q$  belong to  $\in \mathcal{I}_{\Psi, \xi}$  two conditional effects are added to the apply<sub>ξ,ω</sub> actions to ban positive effects that are inconsistent with an input observation.

The goals of the classical planning problem output by the original compilation are extended with the  $\neg mode_{inval}$  literal to validate that only states *consistent* with the state constraints defined in  $\Phi$  are traversed by the solution plans. This allows us to introduce a more compact definition  $\text{apply}_{\xi, \omega}$  actions than the one previously proposed by Aineto *et al.* 2018 that do not require disjunctions to code the possible preconditions of an action schema.

### 4.3 Compilation properties

**Lemma 1.** *Soundness.* Any classical plan  $\pi_\Lambda$  that solves  $P_\Lambda$  produces a STRIPS model  $\mathcal{M}'$  that solves the  $\Lambda = \langle \mathcal{M}, \mathcal{O}, \Phi \rangle$  learning task.

*Proof.* According to the  $P_\Lambda$  compilation, once a given precondition or effect is inserted into the action model  $\mathcal{M}$  it cannot be removed back. In addition, once the action model  $\mathcal{M}$  is applied it cannot be programmed. In the compiled planning problem  $P_\Lambda$ , only  $\text{apply}_{\xi, \omega}$  actions can update the value of the state fluents  $F$ . This means that a state consistent with an observation  $s_n^o$  can only be achieved executing an applicable sequence of  $\text{apply}_{\xi, \omega}$  actions that, starting in the corresponding initial state  $s_0^o$ , validates that every generated intermediate state  $s_i$ , s.t.  $0 \leq i \leq n$ , is consistent with the input state observations and *state-invariants*. This is exactly the definition of the solution condition for an action model  $\mathcal{M}'$  to solve the  $\Lambda = \langle \mathcal{M}, \mathcal{O}, \Phi \rangle$  learning task.  $\square$

**Lemma 2.** *Completeness.* Any STRIPS model  $\mathcal{M}'$  that solves the  $\Lambda = \langle \mathcal{M}, \mathcal{O}, \Phi \rangle$  learning task can be computed with a classical plan  $\pi_\Lambda$  that solves  $P_\Lambda$ .

*Proof.* By definition  $\mathcal{I}_{\Psi, \xi}$  fully captures the set of elements that can appear in a STRIPS action schema  $\xi$  using predicates  $\Psi$ . In addition the  $P_\Lambda$  compilation does not discard any possible action model  $\mathcal{M}'$  definable within  $\mathcal{I}_{\Psi, \xi}$  that satisfies the domain mutex in  $\Phi$ . This means that, for every STRIPS model  $\mathcal{M}'$  that solves the  $\Lambda = \langle \mathcal{M}, \mathcal{O}, \Phi \rangle$ , we can build a plan  $\pi_\Lambda$  that solves  $P_\Lambda$  by selecting the appropriate  $\text{insertPre}_{p, \xi}$  and  $\text{insertEff}_{p, \xi}$  actions for *programming* the precondition and effects of the corresponding action model  $\mathcal{M}'$  and then, selecting the corresponding  $\text{apply}_{\xi, \omega}$  actions that transform the initial state observation  $s_0^o$  into the final state observation  $s_n^o$ .  $\square$

The size of the classical planning task  $P_\Lambda$  output by our compilation depends on the arity of the given *predicates*  $\Psi$ , that shape the propositional state variables  $F$ , and the number of parameters of the action models,  $|\text{pars}(\xi)|$ . The larger these arities, the larger  $|\mathcal{I}_{\Psi, \xi}|$ . The size of the  $\mathcal{I}_{\Psi, \xi}$  set is the term that dominates the compilation size because it defines the *pre-e- $\xi$ /eff-e- $\xi$*  fluents, the corresponding set of *insert* actions, and the number of conditional effects in the  $\text{apply}_{\xi, \omega}$  actions. Note that *typing* can be used straightforward to constrain the FOL interpretations of  $\Psi$  over the parameters  $\text{pars}(\xi)$  which significantly reduces  $|\mathcal{I}_{\Psi, \xi}|$  and hence, the size of the classical planning task output by the compilation.

Classical planners tend to prefer shorter solution plans, so our compilation may introduce a bias to  $\Lambda = \langle \mathcal{M}, \mathcal{O}, \Phi \rangle$  learning tasks preferring solutions that are referred to action models with a shorter number of *preconditions/effects*. In more detail, all  $\{\text{pre-e-}\xi, \text{eff-e-}\xi\}_{\forall \xi \in \mathcal{I}_{\Psi, \xi}}$  fluents are false at the initial state of our  $P_\Lambda$  compilation so classical planners tend to solve  $P_\Lambda$  with plans that require a shorter number of *insert* actions.

This bias could be eliminated defining a cost function for the actions in  $P_\Lambda$  (e.g. *insert* actions have *zero cost* while  $\text{apply}_{\xi, \omega}$  actions have a *positive constant cost*). In practice we use a different approach to disregard the cost of *insert* actions because classical planners are not proficiency optimizing *plan cost* when there are zero-cost actions. Instead, our approach

is to use a SAT-based planner [Rintanen, 2014] that can apply all actions for inserting preconditions in a single planning step (these actions do not interact). Further, the actions for inserting action effects are also applied in another single planning step. The plan horizon for programming any action model is then always bound to 2, which significantly reduces the planning horizon. The SAT-based planning approach is also convenient because its ability to deal with classical planning problems populated with dead-ends and because symmetries in the insertion of preconditions/effects into an action model do not affect to the planning performance.

## 5 Evaluation

This section evaluates the performance of our approach for learning STRIPS action models with different amounts of available input knowledge.

### Reproducibility

The domains used in the evaluation are IPC domains that satisfy the STRIPS requirement [Fox and Long, 2003], taken from the PLANNING.DOMAINS repository [Muise, 2016]. We only used 1 learning examples for each learning task and we fixed the examples for all the experiments so that we can evaluate the impact of the different amount and source of the input knowledge in the quality of the learned models. All experiments are run on an Intel Core i5 3.10 GHz x 4 with 8 GB of RAM.

The classical planner we used to solve the instances that result from our compilations is the SAT-based planner MADAGASCAR [Rintanen, 2014]. We used MADAGASCAR due to its ability to deal with planning instances populated with dead-ends [López *et al.*, 2015].

For the sake of reproducibility, the compilation source code, evaluation scripts, used benchmarks and input *state-invariants* are fully available at the repository <https://github.com/anonsub/>.

## 6 Related work

In *Inductive Logic Programming* it is common to make the hypothesis be consistent with the *background knowledge*, that is some form *deductive knowledge* apart from the examples [Muggleton and De Raedt, 1994].

*State-invariants* have also been previously used to improve the automatic construction of HTN planning model [Lotinac and Jonsson, 2016].

Our learning setting is related to the classical planning formulation where no action model is given [Stern and Juba, 2017]. This planning setting can be seen as an scenario when the action model is *learned* from a single example that contains only two state observations: the initial state and the goals.

## 7 Conclusions

In some contexts it is however reasonable to assume that the action model is not learned from scratch, e.g. because some parts of the action model are known [Zhuo *et al.*, 2013; Sreedharan *et al.*, 2018; Pereira and Meneguzzi,

2018]. Our compilation is also flexible to this particular learning scenario. The known preconditions and effects are encoded setting the corresponding fluents  $\{pre\_e\_x, eff\_e\_x\}_{\forall e \in \mathcal{I}_{\Psi, \xi}}$  to true in the initial state. Further, the corresponding insert actions,  $insertPre_{p, \xi}$  and  $insertEff_{p, \xi}$ , become unnecessary and are removed from  $A_{\Lambda}$ , making the classical planning task  $P_{\Lambda}$  easier to be solved. For example, suppose that the preconditions of the *blocksworld* action schema *stack* are known, then the initial state is extended with literals,  $(pre\_holding\_v1\_stack)$  and  $(pre\_clear\_v2\_stack)$  and the associated actions  $insertPre_{holding\_v1\_stack}$  and  $insertPre_{clear\_v2\_stack}$  can be safely removed from the  $A_{\Lambda}$  action set without altering the *soundness* and *completeness* of the  $P_{\Lambda}$  compilation.

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