# **Learning Strips Action Models from State Observations**

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### **Abstract**

This paper presents a classical planning compilation for learning STRIPS action models from state observations. The compilation approach does not require observing the precise actions that produced the state observations. These actions are determined by an off-the-shelf classical planner given the state observations (and state invariants if available). The paper introduces also a supervised evaluation to assess the quality of the learned STRIPS models with respect to a reference model.

### 1 Introduction

Besides *plan synthesis* [Ghallab *et al.*, 2004], planning action models are also useful for *plan/goal recognition* [Ramírez, 2012]. At these planning tasks, automated planners are required to reason about an action model that correctly and completely captures the possible world transitions [Geffner and Bonet, 2013]. Unfortunately, building planning action models is complex, even for planning experts, and this knowledge acquisition task is a bottleneck that limits the potential of *AI planning* [Kambhampati, 2007].

The Machine Learning of planning action models is a promising alternative to hand-coding them and nowadays, there exist sophisticated algorithms like AMAN [Zhuo and Kambhampati, 2013], ARMS [Yang et al., 2007], LOCM [Cresswell et al., 2013] or SLAF [Amir and Chang, 2008]. Motivated by recent advances on the synthesis of different kinds of generative models with classical planning [Bonet et al., 2009; Segovia-Aguas et al., 2016; 2017], this paper presents a novel approach for learning STRIPS action models that introduces the following contributions:

- 1. Is defined as a planning compilation, which opens the door to the *bootstrapping* of planning action models.
- Does not require observing the particular executed actions. An off-the-shelf classical planner determines these actions given the state observations (and state invariants if available).
- 3. Assess the learned STRIPS models with respect to a *reference model*, even when learning is so low constrained that the learned actions are *reformulated* and still compliant with the learning inputs.

# 2 Background

This section defines the planning models used in this work as well as the input (states and state invariants) and the output (an STRIPS action model) of the addressed learning task.

# 2.1 Classical planning

We use F to denote the set of *fluents* (propositional variables) describing a state. A *literal* l is a valuation of a fluent  $f \in F$ , i.e. either l = f or  $l = \neg f$ . A set of literals L represents a partial assignment of values to fluents (WLOG we assume that L does not assign conflicting values to any fluent). We use  $\mathcal{L}(F)$  to denote the set of all literal sets on F, i.e. all partial assignments of values to fluents.

A state s is a full assignment of values to fluents, i.e. |s| = |F|, so the size of the state space is  $2^{|F|}$ . Explicitly including negative literals  $\neg f$  in states simplifies subsequent definitions but often, we will abuse notation by defining a state s only in terms of the fluents that are true in s, as is common in STRIPS planning.

A classical planning frame is a tuple  $\Phi = \langle F, A \rangle$ , where F is a set of fluents and A is a set of actions. Each action  $a \in A$  comprises three sets of literals:

- $pre(a) \subseteq \mathcal{L}(F)$ , called *preconditions*, the literals that must hold for the action  $a \in A$  to be applicable.
- eff<sup>+</sup> $(a) \subseteq \mathcal{L}(F)$ , called *positive effects*, that defines the fluents set to true by the application of the action  $a \in A$ .
- eff<sup>-</sup>(a)  $\subseteq \mathcal{L}(F)$ , called *negative effects*, that defines the fluents set to false by the action application.

We say that an action  $a \in A$  is *applicable* in a state s iff  $pre(a) \subseteq s$ . The result of applying a in s is the *successor state* denoted by  $\theta(s, a) = \{s \setminus eff^-(a)\} \cup eff^+(a)\}$ .

A classical planning problem is a tuple  $P=\langle F,A,I,G\rangle$ , where I is an initial state and  $G\subseteq \mathcal{L}(F)$  is a goal condition. A plan for P is an action sequence  $\pi=\langle a_1,\ldots,a_n\rangle$  that induces the state trajectory  $\langle s_0,s_1,\ldots,s_n\rangle$  such that  $s_0=I$  and, for each  $1\leq i\leq n,$   $a_i$  is applicable in  $s_{i-1}$  and generates the successor state  $s_i=\theta(s_{i-1},a_i)$ . The plan length is denoted with  $|\pi|=n$ . A plan  $\pi$  solves P iff  $G\subseteq s_n$ , i.e. if the goal condition is satisfied at the last state reached after following the application of the plan  $\pi$  in the initial state I.

# 2.2 Classical planning with conditional effects

Our approach for learning STRIPS action models is compiling the leaning task into a classical planning task with conditional effects. An action  $a \in A$  is now defined as a set of *preconditions*  $\operatorname{pre}(a) \in \mathcal{L}(F)$  and a set of *conditional effects*  $\operatorname{cond}(a)$ . Each conditional effect  $C \rhd E \in \operatorname{cond}(a)$  is composed of two sets of literals  $C \in \mathcal{L}(F)$ , the *condition*, and  $E \in \mathcal{L}(F)$ , the *effect*. An action  $a \in A$  is *applicable* in a state s if and only if  $\operatorname{pre}(a) \subseteq s$ , and the *triggered effects* resulting from the action application are the effects whose conditions hold in s:

$$triggered(s,a) = \bigcup_{C\rhd E\in \mathsf{cond}(a), C\subseteq s} E$$

The result of applying action a in state s is the *successor* state  $\theta(s,a) = \{s \setminus \text{eff}_c^-(s,a)\} \cup \text{eff}_c^+(s,a)\}$  where  $\text{eff}_c^-(s,a) \subseteq triggered(s,a)$  and  $\text{eff}_c^+(s,a) \subseteq triggered(s,a)$  are, respectively, the triggered *negative* and *positive* effects.

### 2.3 State invariants

State invariants are a kind of state-constraints useful in planning for computing more compact state representations [Helmert, 2009] and making satisfiability planning or backward search more efficient [Rintanen, 2014; Alcázar and Torralba, 2015].

Given a classical planning problem  $P = \langle F, A, I, G \rangle$ , a state invariant is a formula  $\phi$  that holds at the initial state of a given classical planning problem,  $I \models \phi$ , and at every state s reachable from I. The strongest invariant, that we denote with  $\phi_{I,A}^*$ , exactly characterizes the set of all states reachable from I with the actions in A. A mutex (mutually exclusive) is a particular state invariant that takes the form of a binary clause and indicates a pair of different properties that cannot be simultaneously true [Kautz and Selman, 1999]. For instance in a three-blocks blocksworld,  $\phi_1 = \neg on(block_1, block_2) \lor \neg on(block_1, block_3)$  is a mutex because  $block_1$  can only be on top of a single block.

A domain invariant is an instance-independent invariant, i.e. holds for any possible initial state. Domain invariants are often compactly defined as *lifted invariants*, also called schematic invariants [Rintanen and others, 2017]. For instance,  $\phi_2 = \forall x : (\neg handempty \lor \neg holding(x))$ , is a domain mutex for the blocksworld because the robot hand is never empty and holding a block at the same time.

# 2.4 STRIPS action schemes

This work addresses the learning of PDDL action schemes that follow the STRIPS requirement [McDermott *et al.*, 1998; Fox and Long, 2003]. Figure 1 shows the *stack* action schema, coded in PDDL, from a four-operator *blocksworld* [Slaney and Thiébaux, 2001].

To formalize the output of the learning task, we assume that fluents F are instantiated from a set of  $predicates\ \Psi$ , as in PDDL. Each predicate  $p\in\Psi$  has an argument list of arity ar(p). Given a set of  $objects\ \Omega$ , the set of fluents F is induced by assigning objects in  $\Omega$  to the arguments of predicates in  $\Psi$ , i.e.  $F=\{p(\omega):p\in\Psi,\omega\in\Omega^{ar(p)}\}$  s.t.  $\Omega^k$  is the k-th Cartesian power of  $\Omega$ .

Figure 1: STRIPS operator schema coding, in PDDL, the *stack* action from the *blocksworld*.

Let  $\Omega_v = \{v_i\}_{i=1}^{\max_{a \in A} ar(a)}$  be a new set of objects  $(\Omega \cap \Omega_v = \emptyset)$ , denoted as *variable names*, and that is bound by the maximum arity of an action in a given planning frame. For instance, in a three-block blocksworld  $\Omega = \{block_1, block_2, block_3\}$  while  $\Omega_v = \{v_1, v_2\}$  because the operators with the maximum arity, stack and unstack, have arity two.

Let us also define  $F_v$ , a new set of fluents  $F \cap F_v = \emptyset$ , that results from instantiating  $\Psi$  using only the objects in  $\Omega_v$  and that defines the elements that can appear in an action schema. For the blocksworld,  $F_v = \{\text{handempty}, \text{holding}(v_1), \text{holding}(v_2), \text{clear}(v_1), \text{clear}(v_2), \text{ontable}(v_1), \text{ontable}(v_2), \text{on}(v_1, v_1), \text{on}(v_1, v_2), \text{on}(v_2, v_1), \text{on}(v_2, v_2)\}.$ 

We assume also that actions  $a \in A$  are instantiated from STRIPS operator schemes  $\xi = \langle head(\xi), pre(\xi), add(\xi), del(\xi) \rangle$  where:

- $head(\xi) = \langle name(\xi), pars(\xi) \rangle$ , is the operator header defined by its name and the corresponding  $variable\ names,\ pars(\xi) = \{v_i\}_{i=1}^{ar(\xi)}$ . The headers of a four-operator blocksworld are  $pickup(v_1)$ ,  $putdown(v_1)$ ,  $stack(v_1, v_2)$  and  $unstack(v_1, v_2)$ .
- The preconditions  $pre(\xi) \subseteq F_v$ , the negative effects  $del(\xi) \subseteq F_v$ , and the positive effects  $add(\xi) \subseteq F_v$  such that,  $del(\xi) \subseteq pre(\xi)$ ,  $del(\xi) \cap add(\xi) = \emptyset$  and  $pre(\xi) \cap add(\xi) = \emptyset$ .

# 3 Learning STRIPS action models

Learning STRIPS action models from fully available input knowledge, i.e. from plans where every action in the plan is available as well as its corresponding *pre-* and *post-states*, is straightforward. We formalize a more challenging learning task, where less input knowledge is available. This learning task corresponds to observing an agent acting in the world but watching only the results of its plan executions, the actual executed actions are unobserved.

The addressed learning task is defined as  $\Lambda = \langle \Psi, \Sigma, \Phi \rangle$ :

- ullet  $\Psi$  is the set of predicates that define the abstract state space of a given classical planning frame.
- $\Sigma = \{\sigma_1, \dots, \sigma_\tau\}$  is a set of (initial, final) state pairs, that we call labels. Each label  $\sigma_t = (s_0^t, s_n^t), 1 \le t \le \tau$ , comprises the final state  $s_n^t$  resulting from executing an unobserved plan  $\pi_t$  starting from the initial state  $s_0^t$ .
- Φ is a set of domain invariants, that do not necessary represents the strongest invariant.

A solution to  $\Lambda$  is a set of operator schema  $\Xi$  compliant with the predicates in  $\Psi$ , the labels  $\Sigma$ , and the state-constraints  $\Phi$ . A planning compilation is a suitable approach

# ;;; Predicates in $\Psi$ (handempty) (holding ?o - object) (clear ?o - object) (ontable ?o - object) (on ?o1 - object ?o2 - object) ;;; Label $\sigma_1 = (s_0^1, s_n^1)$ A D B C C B D A

```
;;; Domain invariants in \Phi
 (forall (?o1 - object)
   (not (and (on ?o1 ?o1))))
 (forall (?o1 - object)
  (not (and (handempty) (holding ?o1)))))
 (forall (?o1 - object)
   (not (and (holding ?o1) (clear ?o1)))))
 (forall (?o1 - object)
   (not (and (holding ?o1) (ontable ?o1))))
 (forall (?o1 ?o2 - object)
   (not (and (on ?o1 ?o2) (holding ?o1))))
 (forall (?o1 ?o2 - object)
   (not (and (on ?o1 ?o2) (holding ?o2))))
 (forall (?o1 ?o2 - object)
    (not (and (on ?o1 ?o2) (clear ?o2))))
 (forall (?o1 ?o2 - object)
    (not (and (on ?o1 ?o2) (ontable ?o1))))
 (forall (?o1 ?o2 - object)
    (not (and (on ?o1 ?o2) (on ?o2 ?o1)))))
```

Figure 2: Example of a task for learning a STRIPS action model in the blocksworld from a single label and nine invariants.

for addressing a  $\Lambda$  learning task because a solution must not only determine the STRIPS action model  $\Xi$  but also, the *un-observed* plans  $\pi_t = \langle a_1^t, \dots, a_n^t \rangle$ ,  $1 \leq t \leq \tau$  that can explain  $\Sigma$  and  $\Phi$ . Figure 2 shows an example of a  $\Lambda$  task for learning a STRIPS action model in the blocksworld from a single label  $\sigma_1$  and a set of nine invariants.

# 3.1 Learning with classical planning

Our approach for addressing the learning task, is compiling it into a classical planning task with conditional effects. The intuition behind the compilation is that a solution to the resulting classical planning task is a sequence of actions that:

- 1. Programs the STRIPS action model  $\Xi$ . A solution plan starts with a *prefix* that, for each  $\xi \in \Xi$ , determines which fluents  $f \in F_v$  belong to its  $pre(\xi)$ ,  $del(\xi)$  and  $add(\xi)$  sets.
- 2. Validates the STRIPS action model  $\Xi$  in  $\Sigma$  and  $\Phi$ . For for every  $\sigma_t \in \Sigma$ , the solution plan continues with a postfix that produces a final state  $s_n^t$  starting from  $s_0^t$ , using the programmed action model  $\Xi$  and satisfying the constraints  $\phi \in \Phi$  at every reached state. We call this

process the validation of the programmed STRIPS action model  $\Xi$ , at the  $t^{th}$  learning example,  $1 \le t \le \tau$ .

To formalize our compilation we first define  $1 \leq t \leq \tau$  classical planning instances  $P_t = \langle F, \emptyset, I_t, G_t \rangle$  that belong to the same planning frame (same fluents and actions but different initial state and/or goals). Fluents F are built instantiating the predicates in  $\Psi$  with the objects appearing in the input labels  $\Sigma$ . Formally  $\Omega = \{o|o \in \bigcup_{1 \leq t \leq \tau} obj(s_0^t)\}$ , where obj is a function that returns the set of objects that appear in a fully specified state. The set of actions,  $A = \emptyset$ , is empty because the action model is initially unknown. Finally, the initial state  $I_t$  is given by the state  $s_0^t \in \sigma_t$  while goals  $G_t$ , are given by  $s_n^t \in \sigma_t$ .

Now we are ready to formalize the compilation. Given a learning task  $\Lambda = \langle \Psi, \Sigma, \Phi \rangle$  the compilation outputs a classical planning task  $P_{\Lambda} = \langle F_{\Lambda}, A_{\Lambda}, I_{\Lambda}, G_{\Lambda} \rangle$ :

- $F_{\Lambda}$  extends F with:
  - Fluents representing the programmed action model  $pre_f(\xi)$ ,  $del_f(\xi)$  and  $add_f(\xi)$ , for every  $f \in F_v$  and  $\xi \in \Xi$ . If a fluent  $pre_f(\xi)/del_f(\xi)/add_f(\xi)$  holds, it means that f is a precondition/negative effect/positive effect in the STRIPS operator schema  $\xi \in \Xi$ . For instance, the preconditions of the stack schema (Figure 1) are represented by fluents  $pre_holding_stack_v_1$  and  $pre_clear_stack_v_2$  set to True.
  - Fluent  $mode_{prog}$  indicating whether the operator schemes are programmed or validated (already programmed) and fluents  $\{test_t\}_{1 \leq t \leq \tau}$ , indicating the example where the action model is validated.
- $I_{\Lambda}$  contains the fluents from F that encode  $s_0^1$  (the initial state of the first label) and every  $pre_f(\xi) \in F_{\Lambda}$  and  $mode_{prog}$  set to true. Our compilation assumes that initially operator schemes are programmed with every possible precondition, no negative effect and no positive effect
- $G_{\Lambda} = \bigcup_{1 \leq t \leq \tau} \{test_t\}$ , indicates that the programmed action model is validated in all the learning examples.
- $A_{\Lambda}$  comprises three kinds of actions:
  - 1. Actions for *programming* operator schema  $\xi \in \Xi$ :
    - Actions for **removing** a precondition  $f \in F_v$  from the action schema  $\xi \in \Xi$ .

$$\begin{split} \operatorname{pre}(\operatorname{programPre}_{\mathbf{f},\xi}) = & \{ \neg del_f(\xi), \neg add_f(\xi), \\ & mode_{prog}, pre_f(\xi) \}, \\ \operatorname{cond}(\operatorname{programPre}_{\mathbf{f},\xi}) = & \{ \emptyset \} \rhd \{ \neg pre_f(\xi) \}. \end{split}$$

- Actions for **adding** a *negative* or *positive* effect  $f \in F_v$  to the action schema  $\xi \in \Xi$ .

```
\begin{split} \mathsf{pre}(\mathsf{programEff}_{\mathsf{f},\xi}) = & \{ \neg del_f(\xi), \neg add_f(\xi), \\ & mode_{prog} \}, \\ \mathsf{cond}(\mathsf{programEff}_{\mathsf{f},\xi}) = & \{ pre_f(\xi) \} \rhd \{ del_f(\xi) \}, \\ & \{ \neg pre_f(\xi) \} \rhd \{ add_f(\xi) \}. \end{split}
```

2. Actions for *applying* an already programmed operator schema  $\xi \in \Xi$  bound with the objects  $\omega \subseteq \Omega^{ar(\xi)}$ . We assume operators headers are known so the binding of the operator schema is done implicitly by order of appearance of the action parameters, i.e. variables  $pars(\xi)$  are bound to the objects in  $\omega$  appearing at the same position. Figure 3 shows the PDDL encoding of the action for applying a programmed operator stack.

```
\begin{split} \operatorname{pre}(\mathsf{apply}_{\xi,\omega}) = & \{pre_f(\xi) \implies p(\omega)\}_{\forall p \in \Psi, f = p(pars(\xi))}, \\ \operatorname{cond}(\mathsf{apply}_{\xi,\omega}) = & \{del_f(\xi)\} \rhd \{\neg p(\omega)\}_{\forall p \in \Psi, f = p(pars(\xi))}, \\ & \{add_f(\xi)\} \rhd \{p(\omega)\}_{\forall p \in \Psi, f = p(pars(\xi))}, \\ & \{mode_{prog}\} \rhd \{\neg mode_{prog}\}. \end{split}
```

3. Actions for *validating* learning example  $1 \le t \le \tau$ .

```
\begin{split} \operatorname{pre}(\operatorname{validate_t}) = & G_t \cup \{test_j\}_{j \in 1 \leq j < t} \\ & \cup \{\neg test_j\}_{j \in t \leq j \leq \tau} \cup \{\neg mode_{prog}\}, \\ \operatorname{cond}(\operatorname{validate_t}) = & \{\emptyset\} \rhd \{test_t\}. \end{split}
```

# 3.2 Constraining the learning hypothesis space

The compilation allows to reduce the space of the possible STRIPS action models and make learning more practicable using *state constraints*. The idea is that input constraints are introduced as new preconditions/goals of the classical planning task that results from the compilation.

With regard to the *state invariants* in  $\Phi$ :

- Every φ ∈ Φ is added as an extra precondition of the apply<sub>ξ,ω</sub> actions for applying an already programmed operator schema ξ ∈ Ξ.
- Every φ ∈ Φ is added as an extra goal to the G<sub>t</sub>, 1 ≤ t ≤ τ, goal sets because φ must hold at every reached state, including the last state.

If sequences of state observations  $\mathcal{O}_{\pi}=(s_0,s_1,\ldots,s_n)$  obtained observing the execution of an unobserved plan  $\pi$  are available, they can also be included in the compilation to constrain further the learning hypothesis space. In this case  $\Sigma=\{\sigma_1,\ldots,\sigma_{\tau}\}$  is no longer a set of (initial,final) state pairs but a set of state pairs  $\sigma_t=(s_i,s_{i+1}), 0\leq i< n$  s.t. only one apply $\xi_{,\omega}$  action can be executed to produce the state  $s_{i+1}$  from state  $s_i$ . Introducing state observations as additional constraints to the compilation is done straightforward by:

- Extending  $F_{\Lambda}$  with a new fluent applied.
- For every apply<sub>ξ,ω</sub> action, extending its precondition set with ¬applied while its set of positive effects is extended with the applied fluent.
- At the initial state  $I_{\Lambda}$ , the applied fluent is set to false. Likewise validate<sub>t</sub> actions delete the applied fluent while this fluent is added as an extra goal to the  $G_t$ ,  $1 \le t \le \tau$ , goal sets.

# 3.3 Compilation properties

**Lemma 1.** Soundness. Any classical plan  $\pi$  that solves  $P_{\Lambda}$  induces an action model  $\Xi$  that solves the learning task  $\Lambda$ .

```
(:action apply_stack
 :parameters (?o1 - object ?o2 - object)
  :precondition
   (and (or (not (pre_on_stack_v1_v1)) (on ?o1 ?o1))
        (or (not (pre_on_stack_v1_v2)) (on ?o1 ?o2))
        (or (not (pre_on_stack_v2_v1)) (on ?o2 ?o1))
        (or
            (not
                 (pre_on_stack_v2_v2)) (on ?o2 ?o2))
        (or (not
                 (pre_ontable_stack_v1)) (ontable ?o1))
        (or
            (not
                 (pre_ontable_stack_v2)) (ontable ?o2))
                 (pre_clear_stack_v1)) (clear ?o1))
        (or
           (not
                 (pre_clear_stack_v2)) (clear ?o2))
        (or
            (not
        (or
            (not
                 (pre_holding_stack_v1)) (holding ?o1))
        (or
            (not
                 (pre_holding_stack_v2)) (holding ?o2))
           (not (pre_handempty_stack)) (handempty)))
  :effect
  (and (when (del_on_stack_v1_v1) (not (on ?o1 ?o1)))
        (when (del_on_stack_v1_v2) (not (on ?o1 ?o2)))
        (when (del_on_stack_v2_v1) (not (on ?o2 ?o1)))
              (del_on_stack_v2_v2)
        (when
                                   (not (on ?o2 ?o2)))
        (when (del_ontable_stack_v1) (not (ontable ?o1)))
        (when
              (del_ontable_stack_v2) (not (ontable ?o2)))
        (when
              (del_clear_stack_v1) (not (clear ?o1)))
              (del_clear_stack_v2)
                                   (not (clear ?o2)))
        (when
              (del_holding_stack_v1) (not (holding ?o1)))
              (del_holding_stack_v2) (not (holding ?o2)))
        (when
              (del_handempty_stack) (not (handempty)))
        (when
        (when
              (add_on_stack_v1_v1) (on ?o1 ?o1))
              (add_on_stack_v1_v2) (on ?o1 ?o2))
        (when
        (when
              (add_on_stack_v2_v1) (on ?o2 ?o1))
        (when
              (add_on_stack_v2_v2) (on ?o2 ?o2))
        (when
              (add_ontable_stack_v1) (ontable ?o1))
        (when
              (add_ontable_stack_v2) (ontable ?o2))
              (add_clear_stack_v1) (clear ?o1))
        (when (add_clear_stack_v2) (clear ?o2))
        (when
              (add_holding_stack_v1) (holding ?o1))
        (when (add_holding_stack_v2) (holding ?o2))
        (when
              (add_handempty_stack) (handempty))
        (when (modeProg) (not (modeProg)))))
```

Figure 3: Action for applying an already programmed schema *stack* as encoded in PDDL (implications coded as disjunctions).

Proof sketch. The compilation forces that once the preconditions of an operator schema  $\xi \in \Xi$  are programmed, they cannot be altered. The same happens with the positive and negative effects (furthermore, effects can only be programmed after preconditions are programmed). Once operator schemes are programmed they can only be applied because of the  $mode_{prog}$  fluent. To solve  $P_{\Lambda}$ , goals  $\{test_t\}$ ,  $1 \leq t \leq \tau$  can only be achieved: executing an applicable sequence of programmed operator schemes that reaches the final state  $s_n^t$ , defined in  $\sigma_t$ , starting from  $s_0^t$ . If this is achieved for every  $1 \leq t \leq \tau$ , it means that the programmed action model  $\Xi$  is compliant with the provided input knowledge and hence, solves  $\Lambda$ .

**Lemma 2.** Completeness. Any STRIPS action model  $\Xi$  computable from  $\Lambda = \langle \Psi, \Sigma, \Phi \rangle$  can be obtained by solving the corresponding classical planning task  $P_{\Lambda}$ .

*Proof sketch.* By definition, given the set of predicates Ψ, then  $F_v \subseteq F_\Lambda$  fully captures the set of elements that can appear in a STRIPS action schema  $\xi \in \Xi$ . If  $\Sigma = \emptyset$  and  $\Phi = \emptyset$  are the empty set, any possible STRIPS action schema with the fluents in  $F_v$  can be computed because the compilation only constrains the application of apply $_{\xi,\omega}$  actions iff they are not compliant with the  $\Sigma$  and  $\Phi$  sets. If  $\Sigma \neq \emptyset$  or  $\Phi \neq \emptyset$  then a classical plan  $\pi$  that solves  $P_\Lambda$  cannot discard a possible STRIPS action schema  $\xi \in \Xi$  that is compliant with  $\Sigma$  and  $\Phi$ .

# 4 Evaluation

This section evaluates our approach for learning STRIPS models starting from different amounts of input knowledge.

# Reproducibility

For the evaluation we used IPC domains that satisfy the STRIPS requirement [Fox and Long, 2003], taken from the PLANNING.DOMAINS repository [Muise, 2016]. We only use 5 labels for each domain (25 observed states per domain when using state observations, 5 intermediate states per label) and they are fixed for all the experiments so we can evaluate the impact of the available input knowledge in the quality of the learned models. All experiments are run on an Intel Core i5 3.10 GHz x 4 with 4 GB of RAM.

MADAGASCAR is the classical planner we use to solve the instances that result from our compilations because its ability to deal with dead-ends [Rintanen, 2014]. In addition, MADAGASCAR can apply the actions for programming preconditions in a single planning step (in parallel) because these actions do not interact. Actions for programming action effects can also be applied in a single planning step reducing significantly the planning horizon.

The compilation source code, the evaluation scripts and the benchmarks are fully available at this anonymous repository *https://github.com/anonsub/strips-learning* so any experimental data reported in the paper can be reproduced.

### Supervised evaluation of the learned models

For each domain the learned model is compared with the actual model and its quality is quantified with the *precision* and *recall* metrics. Precision gives a notion of *soundness* while recall gives a notion of the *completeness* of the learned models.  $Precision = \frac{tp}{tp+fp}$ , where tp is the number of true positives (predicates that correctly appear in the action model) and fp is the number of false positives (predicates appear in the learned action model that should not appear).  $Recall = \frac{tp}{tp+fn}$  where fn is the number of false negatives (predicates that should appear in the learned action model but are missing).

When the learning hypothesis space is low constrained, the learned actions can be reformulated and still be compliant with the inputs. For instance in the *blocksworld*, operator stack could be *learned* with the preconditions and effects of the unstack operator (and vice versa). Furthermore, in a given action the role of the parameters that share the same type can be interchanged making non trivial to compute *precision* and *recall* with respect to a reference model.

To address these issues we defined an evaluation method robust to action reformulation. Precision and recall are often combined using the *harmonic mean*. This expression is called the *F-measure* (or the balanced *F-score*) and is formally defined as  $F=2\times\frac{Precision\times Recall}{Precision+Recall}$ . Given a reference STRIPS action model  $\Xi^*$  and the learned STRIPS action model  $\Xi$  we define the bijective function  $f_{P\&R}:\Xi\mapsto\Xi^*$  such that  $f_{P\&R}$  maximizes the accumulated *F-measure*. With this mapping defined we can compute the *precision* and *recall* of a learned STRIPS action  $\xi\in\Xi$  with respect to the action  $f_{P\&R}(\xi)\in\Xi^*$  even if actions are reformulated in the learning process.

	P	re	A	dd	D	el		
	P	R	P	R	P	R	P	R
Blocks	0.86	0.67	0.71	0.56	0.86	0.67	0.81	0.63
Driverlog	0.40	0.14	0.36	0.57	0.50	0.29	0.42	0.33
Ferry	0.50	0.29	0.33	0.50	0.50	0.50	0.44	0.43
Floortile	0.67	0.36	0.67	0.36	1.0	0.36	0.78	0.36
Grid	-	-	-	-	-	-	-	-
Gripper	1.0	0.67	0.75	0.75	0.75	0.75	0.83	0.72
Hanoi	1.0	0.5	1.0	1.0	1.0	1.0	1.0	0.83
Hiking	-	-	-	-	-	-	-	-
Parking	-	-	-	-	-	-	-	-
Satellite	0.40	0.14	0.43	0.60	0.67	0.50	0.50	0.41
Sokoban	-	-	-	-	-	-	-	-
Transport	0.75	0.30	0.67	0.80	1.0	0.60	0.81	0.57
Zenotravel	1.0	0.29	0.50	0.43	1.0	0.43	0.83	0.38
	0.73	0.36	0.60	0.62	0.81	0.57	0.71	0.52

Table 1: Precision and recall values obtained when learning from labels without computing the  $f_{P\&R}$  mapping.

	P	re	A	dd	D	el	1	
	P	R	P	R	P	R	P	R
Blocks	0.86	0.67	0.71	0.56	0.86	0.67	0.81	0.63
Driverlog	0.40	0.14	0.36	0.57	0.50	0.29	0.42	0.33
Ferry	0.50	0.29	0.33	0.50	0.50	0.50	0.44	0.43
Floortile	0.67	0.36	0.67	0.36	1.0	0.36	0.78	0.36
Grid	-	-	-	-	-	-	-	-
Gripper	1.0	0.67	0.75	0.75	0.75	0.75	0.83	0.72
Hanoi	1.0	0.5	1.0	1.0	1.0	1.0	1.0	0.83
Hiking	-	-	-	-	-	-	-	-
Parking	-	-	-	-	-	-	-	-
Satellite	0.40	0.14	0.43	0.60	0.67	0.50	0.50	0.41
Sokoban	-	-	-	-	-	-	-	-
Transport	0.75	0.30	0.67	0.80	1.0	0.60	0.81	0.57
Zenotravel	1.0	0.29	0.50	0.43	1.0	0.43	0.83	0.38
	0.73	0.36	0.60	0.62	0.81	0.57	0.71	0.52

Table 2: Precision and recall values obtained when learning from labels but computing the  $f_{P\&R}$  mapping.

	Total time	Preprocess	Plan length
Blocks	1.40	0.00	70
Driverlog	1.50	0.00	89
Ferry	1.49	0.00	64
Floortile	351.38	0.11	156
Grid	-	-	-
Gripper	0.04	0.00	59
Hanoi	2.33	0.01	49
Hiking	-	-	-
Parking	-	-	-
Satellite	78.36	0.03	98
Sokoban	-	-	-
Transport	285.61	0.10	106
Zenotravel	6.20	0.46	71

Table 3: Planning results obtained when learning from labels.

	P	re	A	dd	D	el		
	P	R	P	R	P	R	P	R
Blocks	0.75	0.67	0.71	0.56	0.71	0.56	0.73	0.59
Driverlog	0.67	0.29	0.38	0.71	0.60	0.43	0.55	0.48
Ferry	0.75	0.43	0.75	0.75	0.75	0.75	0.75	0.64
Floortile	-	-	-	-	-	-	-	-
Grid	-	-	-	-	-	-	-	-
Gripper	1.0	0.67	1.0	1.0	1.0	1.0	1.0	0.89
Hanoi	0.50	0.25	0.0	0.0	0.50	0.50	0.33	0.25
Hiking	-	-	-	-	-	-	-	-
Parking	-	-	-	-	-	-	-	-
Satellite	0.40	0.14	0.43	0.60	0.67	0.50	0.50	0.41
Sokoban	-	-	-	-	-	-	-	-
Transport	-	-	-	-	-	-	-	-
Zenotravel	1.0	0.29	0.50	0.43	1.0	0.43	0.83	0.38
-	0.72	0.39	0.54	0.58	0.72	0.60	0.67	0.52

Table 4: Precision and recall values obtained when learning from labels + invariants.

# 4.1 Learning from labels

Tables 1 and 2 show the precision and recall values obtained when learning from a set of (initial, final) state pairs. Precision (**P**) and recall (**R**) are computed separately for the preconditions (**Pre**), positive effects (**Add**) and negative Effects (**Del**) while the last two columns of each setting (and the last row) report averages values. The learning examples and learned models are the same for both tables, the only difference is the evaluation procedure for computing the *precision* and *recall* values. Table 1 does not use the  $f_{P\&R}$  mapping which implies assuming that the learned actions are never reformulated. Results show this is a too strong assumption.

The Table 3 reports the total planning time, the preprocessing time (in seconds) invested by MADAGASCAR to solve the classical planning instances that result from our compilation as well as the number of actions in the solutions.

### Learning from labels and state invariants

For each domain we provide also a set of *domain invariants* that are computed using the TIM algorithm [Fox and Long, 1998]. Table 4 shows the precision and recall values obtained when learning from state invariants and Table 5 reports the total planning time, the preprocessing time (in seconds) invested by MADAGASCAR to solve the classical planning instances that result from our compilation as well as the number of actions in the solutions.

### 4.2 Learning from state observations

This is the setting with the maximum amount of available input knowledge. Table 6 shows the precision and recall values obtained when learning from state observations, using the same initial and final states and the same state invariants as in the previous evaluation.

# 5 Conclusions

As far as we know, this is the first work on learning STRIPS action models from state observations, exclusively using classical planning and evaluated over a wide range of different domains.

The empirical results show that since our approach is strongly based on inference can generate non-trivial models

	Total time	Preprocess	Plan length
Blocks	652.70	0.04	76
Driverlog	14.98	0.10	65
Ferry	1.70	0.03	58
Floortile	-	-	-
Grid	-	-	-
Gripper	0.14	0.00	47
Hanoi	55.30	0.14	43
Hiking	-	-	-
Parking	-	-	-
Satellite	84.57	0.22	98
Sokoban	-	-	-
Transport	-	-	-
Zenotravel	-	-	-

Table 5: Planning results obtained when learning from labels + invariants.

	P	re	A	dd	D	el		
	P	R	P	R	P	R	P	R
Blocks	0.71	0.56	0.60	0.67	0.71	0.56	0.68	0.59
Ferry	0.75	0.43	0.50	0.75	0.75	0.75	0.67	0.64
Gripper	1.0	0.67	1.0	1.0	1.0	1.0	1.0	0.89
Hanoi	0.50	0.25	0.0	0.0	0.50	0.50	0.33	0.25
	0.74	0.48	0.53	0.61	0.74	0.70	0.67	0.57

Table 6: Precision and recall values obtained when learning from observations.

	Total time	Preprocess	Plan length
Blocks	13.36	0.00	73
Ferry	89.04	0.03	63
Gripper	0.66	0.00	43
Hanoi	98.66	0.11	45

Table 7: Planning results obtained when learning from observations.

from very small data sets. In addition, the SAT-based planner MADAGASCAR is particularly suitable for the approach because its ability to deal with planning instances populated with dead-ends and because many actions for programming the STRIPS model can be done in parallel since they do not interact reducing significantly the planning horizon.

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