# **Learning Strips Action Models from State-Constraints**

# Diego Aineto and Sergio Jiménez and Eva Onaindia

Departamento de Sistemas Informáticos y Computación Universitat Politècnica de València.

Camino de Vera s/n. 46022 Valencia, Spain {dieaigar,serjice,onaindia}@dsic.upv.es

#### **Abstract**

This paper presents a classical planning compilation for learning STRIPS action models from observations of plan executions. Remarkably the compilation is fed with state-constraints, that bound the space of possible action models, and does not require the precise actions in the plan executions. A plan that solves the classical planning task resulting from this compilation induces a STRIPS action model that is compliant with the given stateconstraints. When the amount of input knowledge is too scarce, e.g. the state-constraints are too loose, evaluating learned STRIPS models with respect to reference action model becomes hard. The paper introduces a novel evaluation methodology that allows to asses the quality of learned STRIPS models even if actions are reformulated. Last but not least, the paper shows the flexibility of our compilation to include further information about the observed plan executions and increase the accuracy of the learned models.

# 1 Introduction

Besides *plan synthesis* [Ghallab *et al.*, 2004], planning action models are also useful for *plan/goal recognition* [Ramírez, 2012]. At both planning tasks, an automated planner is required to reason about action models that correctly and completely capture the possible world transitions [Geffner and Bonet, 2013]. Unfortunately, building planning action models is complex, even for planning experts, and this knowledge acquisition task is a bottleneck that limits the potential of AI planning [Kambhampati, 2007].

Learning action models from observations of plan executions is a promising alternative to the hand-coding of planning action models. This is a well-studied problem with sophisticated algorithms, like ARMS [Yang et al., 2007], SLAF [Amir and Chang, 2008] or LOCM [Cresswell et al., 2013]. Motivated by recent advances on the synthesis of different kinds of generative models with classical planning [Bonet et al., 2009; Segovia-Aguas et al., 2016; 2017], this paper introduces an innovative approach for learning STRIPS action models from observations of plan executions that:

- Can be defined as a classical planning compilation which opens the door to the bootstrapping of planning action models.
- Does not require the precise actions applied in the observed plan executions but is extensible to further information about the observed plan executions to improve the learned models.
- Assesses the quality of learned STRIPS models with a reference model even when the learning hypothesis space is so low constrained that the learned actions models can be reformulated and still be compliant with the inputs.

# 2 Background

This section defines the planning models used on this work and the output of the learning tasks addressed in the paper.

## 2.1 Classical planning

We use F to denote the set of *fluents* (propositional variables) describing a state. A *literal* l is a valuation of a fluent  $f \in F$ , i.e. either l = f or  $l = \neg f$ . A set of literals L represents a partial assignment of values to fluents (WLOG we assume that L does not assign conflicting values to any fluent). We use  $\mathcal{L}(F)$  to denote the set of all literal sets on F, i.e. all partial assignments of values to fluents.

A state s is a full assignment of values to fluents, i.e. |s| = |F|, so the size of the state space is  $2^{|F|}$ . Explicitly including negative literals  $\neg f$  in states simplifies subsequent definitions but often, we will abuse notation by defining a state s only in terms of the fluents that are true in s, as is common in STRIPS planning.

A classical planning frame is a tuple  $\Phi = \langle F, A \rangle$ , where F is a set of fluents and A is a set of actions. Each action  $a \in A$  comprises three sets of literals:

- $pre(a) \subseteq \mathcal{L}(F)$ , called *preconditions*, the literals that must hold for the action  $a \in A$  to be applicable.
- eff<sup>+</sup> $(a) \subseteq \mathcal{L}(F)$ , called *positive effects*, that defines the fluents set to true by the application of the action  $a \in A$ .
- eff<sup>-</sup>(a)  $\subseteq \mathcal{L}(F)$ , called *negative effects*, that defines the fluents set to false by the action application.

Figure 1: STRIPS operator schema coding, in PDDL, the *stack* action from the *blocksworld*.

We say that an action  $a \in A$  is *applicable* in a state s iff  $pre(a) \subseteq s$ . The result of applying a in s is the *successor state*  $\theta(s, a) = \{s \setminus eff^{-}(a)\} \cup eff^{+}(a)\}$ .

A classical planning problem is a tuple  $P = \langle F, A, I, G \rangle$ , where I is an initial state and  $G \subseteq \mathcal{L}(F)$  is a goal condition. A plan for P is an action sequence  $\pi = \langle a_1, \ldots, a_n \rangle$  that induces a state sequence  $\langle s_0, s_1, \ldots, s_n \rangle$  such that  $s_0 = I$  and, for each  $1 \le i \le n$ ,  $a_i$  is applicable in  $s_{i-1}$  and generates the successor state  $s_i = \theta(s_{i-1}, a_i)$ . We denote with  $|\pi|$  the plan length. A plan  $\pi$  solves P iff  $G \subseteq s_n$ , i.e. if the goal condition is satisfied at the last state reached after following the application of  $\pi$  in I.

# 2.2 Classical planning with conditional effects

Our approach for learning STRIPS action models is compiling this leaning task into a classical planning task with conditional effects. Conditional effects allow us to compactly define actions whose effects depend on the current state. Supporting conditional effects is now a requirement of the IPC [Vallati *et al.*, 2015] and many classical planners cope with conditional effects without compiling them away.

An action  $a \in A$  has now a set of *preconditions*  $\operatorname{pre}(a) \in \mathcal{L}(F)$  and a set of *conditional effects*  $\operatorname{cond}(a)$ . Each conditional effect  $C \rhd E \in \operatorname{cond}(a)$  is composed of two sets of literals  $C \in \mathcal{L}(F)$ , the *condition*, and  $E \in \mathcal{L}(F)$ , the *effect*.

An action  $a \in A$  is *applicable* in a state s if and only if  $pre(a) \subseteq s$ , and the resulting set of *triggered effects* are the effects whose conditions hold in s:

$$triggered(s,a) = \bigcup_{C \rhd E \in \mathsf{cond}(a), C \subseteq s} E,$$

The result of applying an action a in a state s is the successor state  $\theta(s,a) = \{s \setminus \mathsf{eff}_c^-(s,a)) \cup \mathsf{eff}_c^+(s,a)\}$  where  $\mathsf{eff}_c^-(s,a) \subseteq triggered(s,a)$  and  $\mathsf{eff}_c^+(s,a) \subseteq triggered(s,a)$  are the triggered negative and positive effects, respectively.

# 2.3 STRIPS action schemes and *variable name* objects

This work addresses the learning of PDDL action schemes that follow the STRIPS requirement [McDermott *et al.*, 1998; Fox and Long, 2003]. Figure 1 shows the schema, coded in PDDL, for the *stack* action from a four-operator *blocksworld* [Slaney and Thiébaux, 2001].

To formalize the output of the learning task, we assume that fluents F are instantiated from a set of *predicates*  $\Psi$ , as in PDDL. Each predicate  $p \in \Psi$  has an argument list of arity ar(p). Given a set of *objects*  $\Omega$ , the set of fluents F is induced

by assigning objects in  $\Omega$  to the arguments of predicates in  $\Psi$ , i.e.  $F=\{p(\omega): p\in \Psi, \omega\in \Omega^{ar(p)}\}$  s.t.  $\Omega^k$  is the k-th Cartesian power of  $\Omega$ .

Let  $\Omega_v = \{v_i\}_{i=1}^{\max_{a \in A} ar(a)}$  be a new set of objects  $\Omega \cap \Omega_v = \emptyset$ , denoted as *variable names*, and that is bound by the maximum arity of an action in a given planning frame. For instance, in a three-block blocksworld  $\Omega = \{block_1, block_2, block_3\}$  while  $\Omega_v = \{v_1, v_2\}$  because the operators with the maximum arity, stack and unstack, have two parameters each.

Let us also define  $F_v$ , a new set of fluents  $F \cap F_v = \emptyset$ , that results from instantiating  $\Psi$  using only the objects in  $\Omega_v$  and that defines the elements that can appear in an action schema. For instance, in the blocksworld,  $F_v = \{\text{handempty}, \text{holding}(v_1), \text{holding}(v_2), \text{clear}(v_1), \text{clear}(v_2), \text{ontable}(v_1), \text{ontable}(v_2), \text{on}(v_1, v_1), \text{on}(v_1, v_2), \text{on}(v_2, v_1), \text{on}(v_2, v_2)\}.$ 

Finally, we assume that actions  $a \in A$  are instantiated from STRIPS operator schemes  $\xi = \langle head(\xi), pre(\xi), add(\xi), del(\xi) \rangle$  where:

- $head(\xi) = \langle name(\xi), pars(\xi) \rangle$ , is the operator header defined by its name and corresponding variable names,  $pars(\xi) = \{v_i\}_{i=1}^{ar(\xi)}$ . For instance, the headers for a four-operator blocksworld are:  $pickup(v_1)$ ,  $putdown(v_1)$ ,  $stack(v_1, v_2)$  and  $unstack(v_1, v_2)$ .
- The preconditions  $pre(\xi) \subseteq F_v$ , the negative effects  $del(\xi) \subseteq F_v$ , and the positive effects  $add(\xi) \subseteq F_v$  such that,  $del(\xi) \subseteq pre(\xi)$ ,  $del(\xi) \cap add(\xi) = \emptyset$  and  $pre(\xi) \cap add(\xi) = \emptyset$ .

### 2.4 State-constraints

A *state invariant* is a formula  $\phi$  that holds in the initial state of a given classical planning problem,  $I \models \phi$ , and at any state reachable from that state, i.e. for all states s reachable from I it holds that  $s \models \phi$ . State invariants are traditionally useful for making satisfiability planning and backward search more efficient [Rintanen, 2014; Alcázar and Torralba, 2015].

A *mutex* (meaning mutually exclusive) is a particular case of state invariant that takes the form of a binary clause and that represents a pair of different properties that cannot be simultaneously true [Kautz and Selman, 1999]. For instance in a three-blocks blocksworld, on(block1, block2) and on(block1, block3) are mutex because block1 can only be on top of a single block.

A *lifted invariant* (also called schematic invariants in the literature) is a state invariant defined using a first order formula over the predicates of a given planning problem [Rintanen and others, 2017]. A *domain invariant* is a state invariant that holds for any possible initial state, they are instance-independent and often, they are lifted invariants [Fox and Long, 1998]. For instance in the blocksworld,  $\forall x, y, z: (on(x,y) \land on(x,z)) \implies y=z$ , is a domain and lifted invariant because a block can only be on top of a single block no matter the initial state of the classical planning problem and no matter the particular identity of the blocks.

# 3 Learning STRIPS action models

Learning STRIPS action models from fully available input knowledge, i.e. from plans where every action in the plan is available as well as its corresponding *pre-* and *post-states*, is straightforward. In this case, STRIPS operator schemes are derived lifting the literals that change between the pre and post-state of the corresponding action executions. Preconditions are derived lifting the minimal set of literals that appears in all the pre-states of the corresponding actions.

We formalize a more challenging learning task, where less input knowledge is available. This learning task, denoted by  $\Lambda = \langle \Psi, \Sigma, \Phi \rangle$ , corresponds to observing an agent acting in the world but watching only the results of its plan executions:

- Ψ is the set of predicates that define the abstract state space of a given planning domain.
- $\Sigma = \{\sigma_1, \dots, \sigma_\tau\}$  is a set of (initial, final) state pairs, that we call labels. Each label  $\sigma_t = (s_0^t, s_n^t), 1 \le t \le \tau$ , comprises the final state  $s_n^t$  resulting from executing an unknown plan  $\pi_t$  starting from the initial state  $s_0^t$ .
- $\Phi$  is a set of *lifted domain mutex*.

A solution to  $\Lambda$  is a set of operator schema  $\Xi$  that is compliant with the predicates in  $\Psi$ , the given states sequences in  $\Sigma$  and the set of state constraints  $\Phi$ . In this learning scenario, a solution must not only determine a possible STRIPS action model but also the content of the *unobserved* plans  $\pi_t$ ,  $1 \le t \le \tau$  that explain  $\Sigma$  and  $\Phi$  with the learned STRIPS model  $\Xi$ .

# 3.1 Compiling the learning of STRIPS action models into classical planning

Our approach for addressing the learning task  $\Lambda$ , is compiling it into a classical planning task with conditional effects. The intuition behind the compilation is that a solution to the resulting classical planning task is a sequence of actions that:

- 1. Programs the STRIPS action model  $\Xi$ . A solution plan has a *prefix* that, for each  $\xi \in \Xi$ , determines the fluents from  $F_v$  that belong to its  $pre(\xi)$ ,  $del(\xi)$  and  $add(\xi)$  sets.
- 2. Validates the programmed STRIPS action model  $\Xi$  in the given input knowledge (states  $\Sigma$  and formulae  $\Phi$ ). For every  $\sigma_t \in \Sigma$ , a solution plan has a postfix that produces a final state  $s_n^t$  starting from the corresponding initial state  $s_0^t$  using the programmed action model  $\Xi$  and satisfying every  $\phi \in \Phi$  at every reached state. We call this process the validation of the programmed STRIPS action model  $\Xi$ , at the learning example  $1 \le t \le \tau$ .

To formalize our compilation we first define  $1 \leq t \leq \tau$  classical planning instances  $P_t = \langle F, \emptyset, I_t, G_t \rangle$  that belong to the same planning frame (i.e. same fluents and actions but differ in the initial state and goals). Fluents F are built instantiating the predicates in  $\Psi$  with the objects appearing in the input labels  $\Sigma$ . Formally  $\Omega = \{o|o \in \bigcup_{1 \leq t \leq \tau} obj(s_0^t)\}$ , where obj is a function that returns the set of objects that appear in a fully specified state. The set of actions,  $A = \emptyset$ , is empty because the action model is initially unknown. Finally,

```
;;; Predicates in \Psi
(handempty) (holding ?o - object)
(clear ?o - object) (ontable ?o - object)
(on ?o1 - object ?o2 - object)
;;; Label \sigma_1 = (s_0^1, s_n^1)
             D
     Α
    В
             C
    C
             В
    D
;;; Lifted domain mutexes in \Phi
(:derived (invariant-1-1)
  (forall (?o1 - object)
      (not (and (handempty) (holding ?o1)))))
(:derived (invariant-1-2)
  (forall (?o1 - object)
      (not (and (holding ?o1) (clear ?o1)))))
(:derived (invariant-1-3)
  (forall (?o1 - object)
      (not (and (holding ?o1) (ontable ?o1)))))
(:derived (invariant-1-4)
  (forall (?o1 - object)
      (not (and (on ?o1 ?o1)))))
(:derived (invariant-2-1)
  (forall (?o1 ?o2 - object)
      (not (and (on ?o1 ?o2) (holding ?o1)))))
(:derived (invariant-2-2)
  (forall (?o1 ?o2 - object)
      (not (and (on ?o1 ?o2) (holding ?o2)))))
(:derived (invariant-2-3)
  (forall (?o1 ?o2 - object)
      (not (and (on ?o1 ?o2) (clear ?o2)))))
(:derived (invariant-2-4)
  (forall (?o1 ?o2 - object)
      (not (and (on ?o1 ?o2) (ontable ?o1)))))
(:derived (invariant-2-5)
  (forall (?o1 ?o2 - object)
```

Figure 2: Example of a task for learning a STRIPS action model in the blocksworld from a single labeled plan.

(not (and (on ?o1 ?o2) (on ?o2 ?o1)))))

the initial state  $I_t$  is given by the state  $s_0^t \in \sigma_t$  while goals  $G_t$ , are defined by the state  $s_n^t \in \sigma_t$ .

Now we are ready to formalize the compilation. Given a learning task  $\Lambda = \langle \Psi, \Sigma, \Phi \rangle$  the compilation outputs a classical planning task  $P_{\Lambda} = \langle F_{\Lambda}, A_{\Lambda}, I_{\Lambda}, G_{\Lambda} \rangle$ :

- $F_{\Lambda}$  extends F with:
  - Fluents representing the programmed action model  $pre_f(\xi)$ ,  $del_f(\xi)$  and  $add_f(\xi)$ , for every  $f \in F_v$  and  $\xi \in \Xi$ . If a fluent  $pre_f(\xi)/del_f(\xi)/add_f(\xi)$  holds, it means that f is a precondition/negative effect/positive effect in the STRIPS operator schema  $\xi \in \Xi$ . For instance, the preconditions of the stack schema (Figure 1) are represented by fluents stack schema (Figure 1) and stack and stack schema (Figure 1) are represented by fluents stack and stack schema (Figure 1) are represented by fluents stack and stack schema (Figure 1) are represented by fluents stack schema (Figure 1) are represented by fluented by f
  - A fluent  $mode_{prog}$  indicating whether the operator schemes are being programmed or validated (already programmed) and fluents  $\{test_t\}_{1 \leq t \leq \tau}$ , indicating the example where the action model is being validated.
- $I_{\Lambda}$  contains the fluents from F that encode  $s_0^1$  (the initial state of the first label) and every  $pre_f(\xi) \in F_{\Lambda}$  and  $mode_{prog}$  set to true. Our compilation assumes that initially any operator schema is programmed with every possible precondition, no negative effect and no positive effect
- $G_{\Lambda} = \bigcup_{1 \leq t \leq \tau} \{test_t\}$ , indicates that the programmed action model is validated in all the learning examples.
- $A_{\Lambda}$  contains actions of three kinds:
  - 1. Actions for *programming* an operator schema  $\xi \in \Xi$ :
    - Actions for **removing** a precondition  $f \in F_v$  from the action schema  $\xi \in \Xi$ .

$$\begin{split} \operatorname{pre}(\operatorname{programPre}_{\mathbf{f},\xi}) = & \{ \neg del_f(\xi), \neg add_f(\xi), \\ & mode_{prog}, pre_f(\xi) \}, \\ \operatorname{cond}(\operatorname{programPre}_{\mathbf{f},\xi}) = & \{ \emptyset \} \rhd \{ \neg pre_f(\xi) \}. \end{split}$$

- Actions for **adding** a negative or positive effect  $f \in F_v$  to the action schema  $\xi \in \Xi$ .

$$\begin{split} \operatorname{pre}(\operatorname{programEff_{f,\xi}}) = & \{ \neg del_f(\xi), \neg add_f(\xi), \\ mode_{prog} \}, \\ \operatorname{cond}(\operatorname{programEff_{f,\xi}}) = & \{ pre_f(\xi) \} \rhd \{ del_f(\xi) \}, \\ & \{ \neg pre_f(\xi) \} \rhd \{ add_f(\xi) \}. \end{split}$$

2. Actions for *applying* an already programmed operator schema  $\xi \in \Xi$  bound with the objects  $\omega \subseteq \Omega^{ar(\xi)}$ . We assume operators headers are known so the binding of the operator schema is done implicitly by order of appearance of the action parameters, i.e. variables  $pars(\xi)$  are bound to the objects in  $\omega$  appearing at the same position. Figure 3

shows the PDDL encoding of the action for applying a programmed operator stack.

```
\begin{split} \operatorname{pre}(\mathsf{apply}_{\xi,\omega}) = & \{pre_f(\xi) \implies p(\omega)\}_{\forall p \in \Psi, f = p(pars(\xi))}, \\ \operatorname{cond}(\mathsf{apply}_{\xi,\omega}) = & \{del_f(\xi)\} \rhd \{\neg p(\omega)\}_{\forall p \in \Psi, f = p(pars(\xi))}, \\ & \{add_f(\xi)\} \rhd \{p(\omega)\}_{\forall p \in \Psi, f = p(pars(\xi))}, \\ & \{mode_{prog}\} \rhd \{\neg mode_{prog}\}. \end{split}
```

3. Actions for *validating* learning example  $1 \le t \le \tau$ .

```
\begin{split} \operatorname{pre}(\operatorname{validate_t}) = & G_t \cup \{test_j\}_{j \in 1 \leq j < t} \\ & \cup \{\neg test_j\}_{j \in t \leq j \leq \tau} \cup \{\neg mode_{prog}\}, \\ \operatorname{cond}(\operatorname{validate_t}) = & \{\emptyset\} \rhd \{test_t\}. \end{split}
```

**Lemma 1.** Any classical plan  $\pi$  that solves  $P_{\Lambda}$  induces an action model  $\Xi$  that solves the learning task  $\Lambda$ .

Proof sketch. The compilation forces that once the preconditions of an operator schema  $\xi \in \Xi$  are programmed, they cannot be altered. The same happens with the positive and negative effects that define an operator schema  $\xi \in \Xi$  (besides they can only be programmed after preconditions are programmed). Once operator schemes are programmed they can only be applied because of the  $mode_{prog}$  fluent. To solve  $P_{\Lambda}$ , goals  $\{test_t\}$ ,  $1 \le t \le \tau$  can only be achieved: executing an applicable sequence of programmed operator schemes that reaches the final state  $s_n^t$ , defined in  $\sigma_t$ , starting from  $s_0^t$ . If this is achieved for all the input examples  $1 \le t \le \tau$ , it means that the programmed action model  $\Xi$  is compliant with the provided input knowledge and hence, it is a solution to  $\Lambda$ .

The compilation is *complete* in the sense that it does not discard any possible STRIPS action model.

# 4 Constraining the learning hypothesis space with additional input knowledge

Here we show that further input knowledge can be used to constrain the space of possible action models and make the learning of STRIPS action models more practicable.

#### 4.1 State constraints

Every state invariant  $\phi \in \Phi$  is added as an extra precondition of actions  $\operatorname{apply}_{\xi,\omega}$  for  $\operatorname{applying}$  an already programmed operator schema. Likewise every state invariant  $\phi \in \Phi$  must hold at the last reached state so they are included ass extra goals in  $G_{\Lambda}$ .

With regard to state trajectories. Now  $\Sigma = \{\sigma_1, \ldots, \sigma_\tau\}$  is not a set of (initial, final) state pairs but a set of state trajectories  $\sigma_t = (s_0^t, s_1^t, \ldots, s_n^t), 1 \le t \le \tau$ , that comprises the sequence of states resulting from executing the unobserved plan  $\pi_t = \langle a_1^t, \ldots, a_n^t \rangle$  starting from the initial state  $s_0^t$ .

- Fluents,  $at_j$  and  $next_{j,j_2}$ ,  $1 \le j < j 2 \le n$ , are added to  $F_{\Lambda}$  with the aim of iterating through state trajectories. Likewise  $I_{\Lambda}$  includes also now the fluents  $at_1$  and  $\{next_{j,j_2}\}$ ,  $1 \le j < j 2 \le n$
- Actions apply $_{\xi,\omega}$  for *applying* an already programmed operator schema have an extra conditional effect  $\{at_j\} \rhd \{\neg at_j, at_{j+1}\}_{\forall j \in [1,n]}$ . Likewise actions validate for *validating* a learning example  $1 \leq t \leq \tau$  add  $at_1$  and delete  $at_{|\pi_t|}$ .

```
(:action apply_stack
 :parameters (?o1 - object ?o2 - object)
 :precondition
   (and (or (not (pre_on_stack_v1_v1)) (on ?o1 ?o1))
        (or (not (pre_on_stack_v1_v2)) (on ?o1 ?o2))
        (or (not (pre_on_stack_v2_v1)) (on ?o2 ?o1))
        (or (not (pre_on_stack_v2_v2)) (on ?o2 ?o2))
        (or
           (not
                 (pre_ontable_stack_v1)) (ontable ?o1))
        (or (not (pre_ontable_stack_v2)) (ontable ?o2))
                 (pre_clear_stack_v1)) (clear ?o1))
        (or
           (not
        (or (not (pre_clear_stack_v2)) (clear ?o2))
        (or
           (not
                 (pre_holding_stack_v1)) (holding ?o1))
        (or (not (pre_holding_stack_v2)) (holding ?o2))
           (not (pre_handempty_stack)) (handempty)))
 :effect
   (and (when (del_on_stack_v1_v1) (not (on ?o1 ?o1)))
        (when (del_on_stack_v1_v2) (not (on ?o1 ?o2)))
        (when (del_on_stack_v2_v1) (not (on ?o2 ?o1)))
        (when (del_on_stack_v2_v2) (not (on ?o2 ?o2)))
        (when (del_ontable_stack_v1) (not (ontable ?o1)))
        (when (del_ontable_stack_v2) (not (ontable ?o2)))
        (when (del_clear_stack_v1) (not (clear ?o1)))
        (when (del_clear_stack_v2) (not (clear ?o2)))
        (when (del_holding_stack_v1) (not (holding ?o1)))
        (when (del_holding_stack_v2) (not (holding ?o2)))
        (when (del_handempty_stack) (not (handempty)))
        (when (add_on_stack_v1_v1) (on ?o1 ?o1))
        (when (add_on_stack_v1_v2) (on ?o1 ?o2))
        (when (add_on_stack_v2_v1) (on ?o2 ?o1))
        (when (add_on_stack_v2_v2) (on ?o2 ?o2))
        (when (add_ontable_stack_v1) (ontable ?o1))
        (when (add_ontable_stack_v2) (ontable ?o2))
        (when (add_clear_stack_v1) (clear ?o1))
        (when (add_clear_stack_v2) (clear ?o2))
        (when (add_holding_stack_v1) (holding ?o1))
        (when (add holding stack v2) (holding ?o2))
        (when (add_handempty_stack) (handempty))
        (when (modeProg) (not (modeProg)))))
```

Figure 3: Action for applying an already programmed schema *stack* as encoded in PDDL (implications coded as disjunctions).

#### 4.2 Plan constraints

Here we augment the input knowledge with the actions executed by the observed agent, when available. So the leaerning task is now defined as  $\Lambda = \langle \Psi, \Sigma, \Phi, \Pi \rangle$ 

•  $\Pi = \{\pi_1, \dots, \pi_\tau\}$  is a given set of example plans where each plan  $\pi_t = \langle a_1^t, \dots, a_n^t \rangle$ ,  $1 \le t \le \tau$ , is an action sequence that induces the corresponding state sequence  $\langle s_0^t, s_1^t, \dots, s_n^t \rangle$  such that, for each  $1 \le i \le n$ ,  $a_i^t$  is applicable in  $s_{i-1}^t$  and generates  $s_i^t = \theta(s_{i-1}^t, a_i^t)$ .

We extend the compilation to consider the actions in the executed plans. Given a learning task  $\Lambda' = \langle \Psi, \Sigma, \Phi, \Pi \rangle$ , the compilation outputs a classical planning task  $P_{\Lambda'} = \langle F_{\Lambda'}, A_{\Lambda'}, I_{\Lambda'}, G_{\Lambda'} \rangle$  that extends  $P_{\Lambda}$  as follows:

- $F_{\Lambda'}$  extends  $F_{\Lambda}$  with  $F_{\Pi} = \{plan(name(\xi), \Omega^{ar(\xi)}, j)\}$ , the fluents to code the steps of the plans in  $\Pi$ , where  $F_{\pi_t} \subseteq F_{\Pi}$  encodes  $\pi_t \in \Pi$ .
- $I_{\Lambda'}$  extends  $I_{\Lambda}$  with fluents  $F_{\pi_1}$ . Goals are  $G_{\Lambda'} = G_{\Lambda} = \bigcup_{1 < t < \tau} \{test_t\}$ , as in the original compilation.
- With respect to  $A_{\Lambda'}$ .
  - 1. The actions for *programming* the preconditions/effects of a given operator schema  $\xi \in \Xi$  are the same.
  - 2. The actions for *applying* an already programmed operator have an extra precondition  $f \in F_{\Pi}$ , that encodes the current plan step. This mechanism forces that these actions are only applied as in the example plans.
  - 3. The actions for *validating* the current learning example have an extra conditional effects to unload plan  $\pi_t$  and load the next plan  $\pi_{t+1}$ :

$$\{f\} \rhd \{\neg f\}_{f \in F_{\pi_t}}, \{\emptyset\} \rhd \{f\}_{f \in F_{\pi_t+1}}.$$

## 5 Evaluation

This section evaluates the performance of our approach for learning STRIPS action models starting from different amounts of available input knowledge.

#### Setup.

The domains used in the evaluation are IPC domains that satisfy the STRIPS requirement [Fox and Long, 2003], taken from the PLANNING.DOMAINS repository [Muise, 2016]. We only use 5 learning examples for each domain and they are fixed for all the experiments so we can evaluate the impact of the input knowledge in the quality of the learned models. All experiments are run on an Intel Core i5 3.10 GHz x 4 with 4 GB of RAM.

## Reproducibility.

We make fully available the compilation source code, the evaluation scripts and the used benchmarks at this anonymous repository <a href="https://github.com/anonsub/strips-learning">https://github.com/anonsub/strips-learning</a> so any experimental data reported in the paper is fully reproducible.

#### Planner.

The classical planner we use to solve the instances that result from our compilations is MADAGASCAR [Rintanen, 2014]. We use MADAGASCAR because its ability to deal with planning instances populated with dead-ends. In addition, SAT-based planners can apply the actions for programming preconditions in a single planning step (in parallel) because these actions do not interact. Actions for programming action effects can also be applied in a single planning step reducing significantly the planning horizon.

#### Metrics.

The quality of the learned models is quantified with the *precision* and *recall* metrics. Intuitively, precision gives a notion of *soundness* while recall gives a notion of the *completeness* of the learned models. Formally,  $Precision = \frac{tp}{tp+fp}$ , where tp is the number of true positives (predicates that correctly appear in the action model) and fp is the number of false positives (predicates appear in the learned action model that should not appear). Recall is formally defined as  $Recall = \frac{tp}{tp+fn}$  where fn is the number of false negatives (predicates that should appear in the learned action model but are missing).

# **5.1** Learning with state-constraints

For each domain we provide a set of *lifted domain mutex*, lifted domain invariants that takes the form of a binary clauses. For instance, in the blocksworld  $\forall x, y$  then clear(x) and on(x,y) is a *lifted domain mutex*.

## 5.2 Learning with plans

## 6 Conclusions

Linear Temporal Logic (LTL) also includes model operator that also allows to represent state constraints [Bauer et al., 2010]. For instance the always operator, denoted by  $\Box$ , defines constraints that, like lifted domain invariants, must be true at any reachable state.

## References

- [Alcázar and Torralba, 2015] Vidal Alcázar and Alvaro Torralba. A reminder about the importance of computing and exploiting invariants in planning. In *ICAPS*, pages 2–6, 2015.
- [Amir and Chang, 2008] Eyal Amir and Allen Chang. Learning partially observable deterministic action models. Journal of Artificial Intelligence Research, 33:349–402, 2008.
- [Bauer *et al.*, 2010] Andreas Bauer, Patrik Haslum, et al. Ltl goal specifications revisited. In *ECAI*, volume 10, pages 881–886, 2010.
- [Bonet *et al.*, 2009] Blai Bonet, Héctor Palacios, and Héctor Geffner. Automatic derivation of memoryless policies and finite-state controllers using classical planners. In *ICAPS*, 2009.
- [Cresswell et al., 2013] Stephen N Cresswell, Thomas L McCluskey, and Margaret M West. Acquiring planning domain models using LOCM. The Knowledge Engineering Review, 28(02):195–213, 2013.
- [Fox and Long, 1998] Maria Fox and Derek Long. The automatic inference of state invariants in TIM. *Journal of Artificial Intelligence Research*, 9:367–421, 1998.
- [Fox and Long, 2003] Maria Fox and Derek Long. PDDL2.1: An extension to PDDL for expressing temporal planning domains. *J. Artif. Intell. Res.(JAIR)*, 20:61–124, 2003.
- [Geffner and Bonet, 2013] Hector Geffner and Blai Bonet. A concise introduction to models and methods for automated planning, 2013.
- [Ghallab *et al.*, 2004] Malik Ghallab, Dana Nau, and Paolo Traverso. *Automated Planning: theory and practice*. Elsevier, 2004.
- [Kambhampati, 2007] Subbarao Kambhampati. Model-lite planning for the web age masses: The challenges of planning with incomplete and evolving domain models. In *Proceedings of the National Conference on Artificial Intelligence*, 2007.
- [Kautz and Selman, 1999] Henry Kautz and Bart Selman. Unifying sat-based and graph-based planning. In *IJCAI*, volume 99, pages 318–325, 1999.
- [McDermott *et al.*, 1998] Drew McDermott, Malik Ghallab, Adele Howe, Craig Knoblock, Ashwin Ram, Manuela Veloso, Daniel Weld, and David Wilkins. PDDL The Planning Domain Definition Language, 1998.
- [Muise, 2016] Christian Muise. Planning. domains. *ICAPS* system demonstration, 2016.
- [Ramírez, 2012] Miquel Ramírez. *Plan recognition as planning*. PhD thesis, Universitat Pompeu Fabra, 2012.
- [Rintanen and others, 2017] Jussi Rintanen et al. Schematic invariants by reduction to ground invariants. In *AAAI*, pages 3644–3650, 2017.

- [Rintanen, 2014] Jussi Rintanen. Madagascar: Scalable planning with sat. *Proceedings of the 8th International Planning Competition (IPC-2014)*, 2014.
- [Segovia-Aguas et al., 2016] Javier Segovia-Aguas, Sergio Jiménez, and Anders Jonsson. Hierarchical finite state controllers for generalized planning. In *Proceedings of the Twenty-Fifth International Joint Conference on Artificial Intelligence*, pages 3235–3241. AAAI Press, 2016.
- [Segovia-Aguas et al., 2017] Javier Segovia-Aguas, Sergio Jiménez, and Anders Jonsson. Generating context-free grammars using classical planning. In *International Joint Conference on Artificial Intelligence*, 2017.
- [Slaney and Thiébaux, 2001] John Slaney and Sylvie Thiébaux. Blocks world revisited. *Artificial Intelligence*, 125(1-2):119–153, 2001.
- [Vallati *et al.*, 2015] Mauro Vallati, Lukáš Chrpa, Marek Grzes, Thomas L McCluskey, Mark Roberts, and Scott Sanner. The 2014 international planning competition: Progress and trends. *AI Magazine*, 36(3):90–98, 2015.
- [Yang et al., 2007] Qiang Yang, Kangheng Wu, and Yunfei Jiang. Learning action models from plan examples using weighted max-sat. *Artificial Intelligence*, 171(2-3):107–143, 2007.