

# E10 Decision Tree

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# 1 Datasets

The UCI dataset (<http://archive.ics.uci.edu/ml/index.php>) is the most widely used dataset for machine learning. If you are interested in other datasets in other areas, you can refer to <https://www.zhihu.com/question/63383992/answer/222718972>.

Today's experiment is conducted with the **Adult Data Set** which can be found in <http://archive.ics.uci.edu/ml/datasets/Adult>.

Data Set Characteristics:	Multivariate	Number of Instances:	48842	Area:	Social
Attribute Characteristics:	Categorical, Integer	Number of Attributes:	14	Date Donated	1996-05-01
Associated Tasks:	Classification	Missing Values?	Yes	Number of Web Hits:	1305515

You can also find 3 related files in the current folder, `adult.name` is the description of **Adult Data Set**, `adult.data` is the training set, and `adult.test` is the testing set. There are 14 attributes in this dataset:

```
1 >50K, <=50K.
2
3 1. age: continuous.
4 2. workclass: Private, Self-emp-not-inc, Self-emp-inc, Federal-gov,
   Local-gov,
5 State-gov, Without-pay, Never-worked.
6 3. fnlwgt: continuous.
7 4. education: Bachelors, Some-college, 11th, HS-grad, Prof-school,
   Assoc-acdm,
8 Assoc-voc, 9th, 7th-8th, 12th, Masters, 5. 1st-4th, 10th,
   Doctorate, 5th-6th,
9 Preschool.
10 5. education-num: continuous.
11 6. marital-status: Married-civ-spouse, Divorced, Never-married,
   Separated,
12 Widowed, Married-spouse-absent, Married-AF-spouse.
13 7. occupation: Tech-support, Craft-repair, Other-service, Sales,
14 Exec-managerial, Prof-specialty, Handlers-cleaners, Machine-op-
   inspet,
```

15	Adm-clerical , Farming-fishing , Transport-moving , Priv-house-serv , Protective-serv ,
16	Armed-Forces .
17	8. relationship: Wife , Own-child , Husband , Not-in-family , Other- relative , Unmarried .
18	9. race: White , Asian-Pac-Islander , Amer-Indian-Eskimo , Other , Black .
19	10. sex: Female , Male .
20	11. capital-gain: continuous .
21	12. capital-loss: continuous .
22	13. hours-per-week: continuous .
23	14. native-country: United-States , Cambodia , England , Puerto-Rico , Canada , Germany ,
24	Outlying-US(Guam-USVI-etc) , India , Japan , Greece , South , China , Cuba , Iran , Honduras ,
25	Philippines , Italy , Poland , Jamaica , Vietnam , Mexico , Portugal , Ireland , France ,
26	Dominican-Republic , Laos , Ecuador , Taiwan , Haiti , Columbia , Hungary , Guatemala ,
27	Nicaragua , Scotland , Thailand , Yugoslavia , El-Salvador , Trinidad& Tobago , Peru , Hong ,
28	Holand-Netherlands .

**Prediction task is to determine whether a person makes over 50K a year.**

## 2 Decision Tree

### 2.1 ID3

ID3 (Iterative Dichotomiser 3) was developed in 1986 by Ross Quinlan. The algorithm creates a multiway tree, finding for each node (i.e. in a greedy manner) the categorical feature that will yield the largest information gain for categorical targets. Trees are grown to their maximum size and then a pruning step is usually applied to improve the ability of the tree to generalise to unseen data.

**ID3 Algorithm:**

1. Begins with the original set  $S$  as the root node.
2. Calculate the entropy of every attribute  $a$  of the data set  $S$ .
3. Partition the set  $S$  into subsets using the attribute for which the resulting entropy after splitting is minimized; or, equivalently, information gain is maximum.
4. Make a decision tree node containing that attribute.
5. Recur on subsets using remaining attributes.

**Recursion on a subset may stop in one of these cases:**

- every element in the subset belongs to the same class; in which case the node is turned into a leaf node and labelled with the class of the examples.
- there are no more attributes to be selected, but the examples still do not belong to the same class. In this case, the node is made a leaf node and labelled with the most common class of the examples in the subset.
- there are no examples in the subset, which happens when no example in the parent set was found to match a specific value of the selected attribute.

**ID3 shortcomings:**

- ID3 does not guarantee an optimal solution.
- ID3 can overfit the training data.
- ID3 is harder to use on continuous data.

**Entropy:**

Entropy  $H(S)$  is a measure of the amount of uncertainty in the set  $S$ .

$$H(S) = \sum_{x \in X} -p(x) \log_2 p(x)$$

where

- $S$  is the current dataset for which entropy is being calculated
- $X$  is the set of classes in  $S$
- $p(x)$  is the proportion of the number of elements in class  $x$  to the number of elements in set  $S$ .

### Information gain:

Information gain  $IG(A)$  is the measure of the difference in entropy from before to after the set  $S$  is split on an attribute  $A$ . In other words, how much uncertainty in  $S$  was reduced after splitting set  $S$  on attribute  $A$ .

$$IG(S, A) = H(S) - \sum_{t \in T} p(t)H(t) = H(S) - H(S | A)$$

where

- $H(S)$  is the entropy of set  $S$
- $T$  is the subsets created from splitting set  $S$  by attribute  $A$  such that  $S = \cup_{t \in T} t$
- $p(t)$  is the proportion of the number of elements in  $t$  to the number of elements in set  $S$
- $H(t)$  is the entropy of subset  $t$ .

## 2.2 C4.5 and CART

C4.5 is the successor to ID3 and removed the restriction that features must be categorical by dynamically defining a discrete attribute (based on numerical variables) that partitions the continuous attribute value into a discrete set of intervals. C4.5 converts the trained trees (i.e. the output of the ID3 algorithm) into sets of if-then rules. The accuracy of each rule is then evaluated to determine the order in which they should be applied. Pruning is done by removing a rule's precondition if the accuracy of the rule improves without it.

C5.0 is Quinlan's latest version release under a proprietary license. It uses less memory and builds smaller rulesets than C4.5 while being more accurate.

CART (Classification and Regression Trees) is very similar to C4.5, but it differs in that it supports numerical target variables (regression) and does not compute rule sets. CART constructs binary trees using the feature and threshold that yield the largest information gain at each node.

## 3 Tasks

- Given the training dataset `adult.data` and the testing dataset `adult.test`, please accomplish the prediction task to determine whether a person makes over 50K a year in `adult.test` by using ID3 (or C4.5, CART) algorithm (C++ or Python), and compute the accuracy.
  1. You can process the continuous data with **bi-partition** method.

2. You can use prepruning or postpruning to avoid the overfitting problem.
  3. You can assign probability weights to solve the missing attributes (data) problem.
- Please finish the experimental report named E10\_YourNumber.pdf, and send it to ai\_2020@foxmail.com

## 4 Codes and Results

### Code

```

30 """
31 E10 decisionTree.py姓名: 孙新梦学号:
32
33 18340149
34 TASK使用: 算法实现决策树, 判断一个人是否每年能拿到超过万ID35输入: 两个文件:
35
36 adult.: 训练集data
37 adult.: 测试集test输出: 个测试样例的精确度, 最后的平均精确度
38 10运行:
39
40 python decisionTree.py 普通版 #
41 python decisionTree.py --post-pruning 1 后剪枝#
42 python decisionTree.py --print-tree 1 打印决策树到文件中#
43 python decisionTree.py --ignore 1 忽略掉第,, 特征#71213
44 """
45 import numpy as np
46 import random
47 import copy
48 import operator
49 import argparse
50
51
52 class Node(object):
53     def __init__(self, data_index, classify=None, is_leaf=False):
54         self.data_index = data_index # 记录到达该节点的数据的序号

```

```

55         self.classfy = classfy # 如果是叶节点，记录类别（代表0<50k，代
           表1>=50K）；否则为None
56         self.is_leaf = is_leaf # 判断是否为叶节点
57         if is_leaf:
58             self.son = None
59         else:
60             self.son = [] # 记录子节点
61             self.divide_feature_index = None # 该节点用于划分的特征序号
62             self.divide_value = None # 该节点用于划分的特征的值，若是连续变
           量，则表示小于该值的数据进入该节点
63             self.father = None # 记录节点的父节点
64             self.brother_index = None # 记录节点在兄弟结点中的第几个
65             self.miss_index = [] # 记录到达该节点的，在当前划分的特征序号上
           有缺失值的数据的序号
66             self.probability = 1 # 记录该节点的权重（权重不继承）
67
68     def setDivideFeatureAndValue(self, divide_feature_index,
           divide_value):
69         self.divide_feature_index = divide_feature_index
70         self.divide_value = divide_value
71
72     def setBrotherIndex(self, index):
73         self.brother_index = index
74
75     def setMissIndex(self, miss_index, probability):
76         self.miss_index = miss_index
77         self.probability = probability
78
79     # 得到一个结点的深拷贝
80     def copy(self):
81         new_node = Node(self.data_index, self.classfy, self.
           is_leaf)
82         new_node.data_index = copy.deepcopy(self.data_index)
83         new_node.setDivideFeatureAndValue(self.

```

```

        divide_feature_index, self.divide_value)
84     new_node.setBrotherIndex(self.brother_index)
85     new_node.son = []
86     if not new_node.is_leaf:
87         for i in range(len(self.son)):
88             son_copy = self.son[i].copy()
89             son_copy.father = new_node
90             new_node.son.append(son_copy)
91     return new_node
92
93     # 判断两个结点是否相同（用到达该节点的数据的序号来判断）
94     def equal(self, next_node):
95         return operator.eq(self.data_index, next_node.data_index)
96
97     # 判断两个结点是否完全相同
98     def allEqual(self, next_node):
99         if self.is_leaf and next_node.is_leaf:
100             return self.equal(next_node)
101         t = (self.is_leaf == next_node.is_leaf)
102         t = t and self.equal(next_node)
103         for i in range(len(self.son)):
104             t = t and self.son[i].allEqual(next_node.son[i])
105         return t
106
107     # 得到以该节点为根的子树中的所有叶子节点
108     def getLeaf(self, leaf_list):
109         if self.is_leaf:
110             leaf_list.append(self)
111             return
112         for x in self.son:
113             x.getLeaf(leaf_list)
114
115     # 将该节点转化为字典

```



```

116     def getNodeDict(self, label_index, feature_name):
117         if self.is_leaf:
118             return label_index[self.classfy]
119         res = {}
120         for x in self.son:
121             now_feature_name = feature_name[x.divide_feature_index
122                                     ]
123             if isinstance(x.divide_value, str):
124                 res[now_feature_name + ": " + x.divide_value] = x.
125                     getNodeDict(label_index, feature_name)
126             else:
127                 res[now_feature_name + ": <" + str(x.divide_value)
128                     ] = x.getNodeDict(label_index, feature_name)
129         return res
130
131 class Tree(object):
132     def __init__(self, features, labels, max_continuous_son,
133                 max_leaf_length):
134         self.features = features # 训练feature
135         self.labels = labels # 训练labels
136         self.feature_length = len(self.features[0]) # 特征维度
137         self.max_continuous_son = max_continuous_son # 连续变量划分次数
138         self.max_leaf_length = max_leaf_length # 叶子节点的最大数据量
139         self.root = Node(list(range(0, len(features)))) # 树的根节点
140         self.leaf_nodes = [] # 记录所有叶子节点
141         self.ignore_list = [] # 记录忽略的特征序号
142
143     def setContinuousIndex(self, index_list):
144         self.continuous_list = index_list

```

```

143     def setIgnoreIndex(self, ignore_list):
144         self.ignore_list = ignore_list
145
146     def isContinuous(self, index):
147         return index in self.continuous_list
148
149     # 树的深拷贝
150     def treeCopy(self):
151         new_tree = Tree(self.features, self.labels, self.
152                         max_continuous_son, self.max_leaf_length)
153         new_tree.setContinuousIndex(self.continuous_list)
154         new_tree.setIgnoreIndex(self.ignore_list)
155         new_tree.root = self.root.copy()
156         new_tree.leaf_nodes = []
157         new_tree.root.getLeaf(new_tree.leaf_nodes)
158         return new_tree
159
160     def setMaxSon(self, max_continuous_son):
161         self.max_continuous_son = max_continuous_son
162
163     def setMaxLeafLength(self, max_leaf_length):
164         self.max_leaf_length = max_leaf_length
165
166     # 清空root
167     def deleteRoot(self):
168         self.root = Node(list(range(0, len(self.features))))
169
170     # 对数据根据其中一个特征进行划分
171     def getSubIndex(self, data_index, feature_index):
172
173         miss_data_indexes = []
174         if self.isContinuous(feature_index):
175             now_data = [self.features[x][feature_index] for x in

```

```

        data_index]
175 # 连续变量直接根据最大值和最小值分成个区间max_continuous_son
176 max_value = max(now_data) + 1
177 min_value = min(now_data)
178 step = (max_value - min_value) / self.
        max_continuous_son
179 sub_data_indexes = [[] for x in range(self.
        max_continuous_son)] # 记录划分后的数
        据
180 for i in data_index:
181     for j in range(0, self.max_continuous_son):
182         if self.features[i][feature_index] < min_value
            + (j + 1) * step:
183             sub_data_indexes[j].append(i)
184             break
185 # 去除不必要的划分
186 for i in range(self.max_continuous_son - 1, 0, -1):
187     if len(sub_data_indexes[i]) == 0:
188         sub_data_indexes.pop(i)
189
190 return (min_value, step), sub_data_indexes,
        miss_data_indexes # 返回（最小值，步长），划分后的数据，有
        缺失值的数据

191
192 sub_data_indexes = {}
193 for i in data_index:
194     if self.features[i][feature_index] == '?:':
195         miss_data_indexes.append(i)
196         continue
197     if self.features[i][feature_index] not in
        sub_data_indexes:
198         sub_data_indexes[self.features[i][feature_index]] =
            [i]
199 else:

```

```

200         sub_data_indexes[self.features[i][feature_index]].
           append(i)
201     return None, sub_data_indexes, miss_data_indexes # 返回, 划
           分后的数据, 有缺失值的数据None
202
203 # 将得到的数据根据计算概率label
204 def getCount(self, data_index):
205     count = {}
206     data_len = len(data_index)
207     if data_len > 0:
208         add_count = 1 / data_len
209         for i in data_index:
210             if self.labels[i] not in count:
211                 count[self.labels[i]] = add_count
212             else:
213                 count[self.labels[i]] += add_count
214         return count
215
216 # 计算信息熵
217 def getEntropy(self, count):
218     try:
219         if len(count.keys()) == 0:
220             return 0
221         res = 0
222         for x in count:
223             res += count[x] * np.log2(count[x])
224         return -res
225     except Exception as e:
226         print(e)
227
228 # 计算信息增益
229 def getGain(self, now_root, sub_data_indexes, feature_index,
           now_entropy):

```

```

230         if self.isContinuous(feature_index):
231             now_data_len = len(now_root.data_index)
232         else:
233             now_data_len = 0
234             for _, y in sub_data_indexs.items():
235                 now_data_len += len(y)
236         sub_entropy_sum = 0
237         x = None
238         try:
239             if self.isContinuous(feature_index):
240                 for x in sub_data_indexs:
241                     now_count = self.getCount(x)
242                     sub_entropy_sum += len(x) / now_data_len *
243                                     self.getEntropy(now_count)
244             else:
245                 for _, y in sub_data_indexs.items():
246                     sub_entropy_sum += len(y) / now_data_len *
247                                     self.getEntropy(self.getCount(y))
248             return now_entropy - sub_entropy_sum
249         except Exception as e:
250             print("Error")
251             print(e)
252             input()
253
254 # 计算属性的固有值
255 def getIV(self, now_root, sub_data_indexs, feature_index):
256     if self.isContinuous(feature_index):
257         now_data_len = len(now_root.data_index)
258     else:
259         now_data_len = 0
260         for _, y in sub_data_indexs.items():
261             now_data_len += len(y)
262     res = 0

```

```

261         if self.isContinuous(feature_index):
262             for x in sub_data_indexes:
263                 res += len(x) / now_data_len * np.log2(len(x) /
264                     now_data_len)
265             else:
266                 for _, y in sub_data_indexes.items():
267                     res += len(y) / now_data_len * np.log2(len(y) /
268                         now_data_len)
269             return -res
270
271 # 计算属性的信息增益率
272 def getGainRadio(self, now_root, sub_data_indexes,
273     feature_index, now_entropy):
274     iv = self.getIV(now_root, sub_data_indexes, feature_index)
275     if iv == 0:
276         return -1
277     return self.getGain(now_root, sub_data_indexes,
278         feature_index, now_entropy) / iv
279
280 # 设定结点类别
281 def setClassfy(self, now_root, now_counts):
282     num = -1
283     classfy = -1
284     for x in now_counts:
285         if num < now_counts[x]:
286             classfy = x
287             num = now_counts[x]
288     now_root.classfy = classfy
289
290 # 建立新节点
291 def buildNode(self, now_root):
292     now_counts = self.getCount(now_root.data_index + now_root.

```

```

        miss_index)
290     if now_counts == None or len(now_counts) == 0:
291         return
292     # 当到达一个结点的数据小于等于，该节点不再划分，变为叶节
        点max_leaf.length
293     # 或当到达该节点的数据全都是同一种类别时，该节点也不再划分
294     if len(now_root.data_index + now_root.miss_index) <= self.
        max_leaf.length or len(now_counts) == 1:
295         now_root.is_leaf = True
296         self.setClassfy(now_root, now_counts)
297         self.leaf_nodes.append(now_root)
298         return
299
300     # 记录最优划分
301     best_sub_data_indexs = None
302     best_gain = float('-inf')
303     best_divide_feature_index = -1
304     best_value = None
305     best_miss_index = []
306     len_data = len(now_root.data_index + now_root.miss_index)
307     now_entropy = self.getEntropy(now_counts)
308
309     for i in range(self.feature_length):
310         if i == now_root.divide_feature_index:
311             if not self.isContinuous(now_root.
                divide_feature_index):
312                 continue
313
314         if i in self.ignore_list:
315             continue
316
317         now_value, now_sub_data_indexs, miss_index = self.
            getSubIndex(now_root.data_index + now_root.

```

```

miss_index , i)
318
319 now_gain = (1 - (len(miss_index) / len_data)) * self.
    getGainRadio(now_root , now_sub_data_indexs , i ,
320
321
322 if best_gain < now_gain:
323     best_divide_feature_index = i
324     best_gain = now_gain
325     best_sub_data_indexs = now_sub_data_indexs
326     best_value = now_value
327     best_miss_index = miss_index
328
329 for i , key in enumerate(best_sub_data_indexs):
330     if self.isContinuous(best_divide_feature_index):
331         new_son = Node(key)
332         new_son.setDivideFeatureAndValue(
            best_divide_feature_index , best_value[0] +
            best_value[1] * (i + 1))
333     else:
334         new_son = Node(best_sub_data_indexs[key])
335         new_son.setDivideFeatureAndValue(
            best_divide_feature_index , key)
336     new_son.setMissIndex(best_miss_index , len(new_son.
        data_index) / len_data)
337
338     new_son.father = now_root
339     new_son.setBrotherIndex(i)
340     self.buildNode(new_son)  # 递归生成树
341     now_root.son.append(new_son)
342

```



```

343 # 训练
344 def train(self):
345     self.buildNode(self.root)
346
347 # 预测的子函数
348 def predictNode(self, now_node, test_feature):
349     if now_node.is_leaf:
350         return now_node.classfy
351
352     now_divide_feature_index = now_node.son[0].
353         divide_feature_index
354
355     miss = []
356
357     for i in range(len(now_node.son)):
358         if self.isContinuous(now_divide_feature_index):
359             if test_feature[now_divide_feature_index] <
360                 now_node.son[i].divide_value:
361                 return self.predictNode(now_node.son[i],
362                     test_feature)
363             elif i == len(now_node.son) - 1 and test_feature[
364                 now_divide_feature_index] >= now_node.son[
365                     i].divide_value:
366                 return self.predictNode(now_node.son[i],
367                     test_feature)
368         else:
369             miss = miss + [i for _ in now_node.son[i].
370                 data_index + now_node.son[i].miss_index]
371             if test_feature[now_divide_feature_index] ==
372                 now_node.son[i].divide_value:
373                 return self.predictNode(now_node.son[i],
374                     test_feature)
375
376     if not self.isContinuous(now_divide_feature_index):

```

```

368         # 根据【到达子节点的数据的长度】决定进入哪一个子节点
369         # 例如，父节点总共有条数据，第一个子节点里有条数据，第二个子节点
          有条数据，第三个子节点有两条数据1035
370         # 那么的值为miss[0, 0, 0, 1, 1, 1, 1, 1, 2, 2]
371         # 将打乱后，再随机取其中一个元素，这样就可以实现以一定概率进入子
          节点miss
372         random.shuffle(miss)
373         son_index = miss[random.randint(0, len(miss) - 1)]
374         return self.predictNode(now_node.son[son_index],
          test_feature)
375
376         # 否则随机返回一个类别
377         return self.labels[random.randint(0, len(self.labels) - 1)
          ]
378
379     # 总的预测函数
380     def predictAll(self, test_features, test_labels):
381         res = 0
382         for i, test_feature in enumerate(test_features):
383             pred = self.predictNode(self.root, test_feature)
384             if pred == test_labels[i]:
385                 res += 1
386         return res / len(test_labels)
387
388     # 预测函数（带细节）
389     def predictAllDetail(self, test_features, test_labels,
          label_index):
390         res = 0
391         for i, test_feature in enumerate(test_features):
392             pred = self.predictNode(self.root, test_feature)
393             print("Pred: %s, Label: %s" % (label_index[pred],
          label_index[test_labels[i]]), end=" ")
394             if pred == test_labels[i]:
395                 print(" True")

```

```

396         res += 1
397     else:
398         print(" False")
399     print(" Accuracy: %.4f" % (res / len(test_labels)))
400
401     # 将树根转化为字典
402     def getTreeDict(self, label_index, feature_name):
403         return self.root.getNodeDict(label_index, feature_name)
404
405
406 # read data
407 def readData(train_data, train_label, test_data, test_label,
408             label_dict):
409     def isContinuous(i):
410         return i in [0, 2, 4, 10, 11, 12]
411
412     # read training data
413     with open("adult.data", "r", encoding='utf8') as f:
414         j = 0
415         for line in f.readlines():
416             try:
417                 nowData = str(line)[: -1].replace(' ', '').split
418                     (',')
419                 if nowData[-1] == '':
420                     continue
421                 train_label[j] = label_dict[nowData[-1]]
422                 for i, data in enumerate(nowData[: -1]):
423                     if isContinuous(i):
424                         train_data[j].append(int(data))
425                     else:
426                         train_data[j].append(data)
427                 j += 1
428             except Exception as e:

```

```

427         print("Error occured in line %d" % j)
428     print(e)
429
430     # read testing data
431     with open("adult.test", "r", encoding='utf8') as f:
432         j = 0
433         flag = 0
434         for line in f.readlines():
435             try:
436                 if flag == 0:
437                     flag = 1
438                     continue
439                 nowData = str(line)[: -2].replace(' ', '').split
440                     (' ', ')
441                 if nowData[-1] == '':
442                     continue
443                 test_label[j] = label_dict[nowData[-1]]
444                 for i, data in enumerate(nowData[: -1]):
445                     if isContinuous(i):
446                         test_data[j].append(int(data))
447                     else:
448                         test_data[j].append(data)
449                 j += 1
450             except Exception as e:
451                 print("Error occured in line %d" % j)
452                 print(e)
453
454 # 调整超参数以达到最优正确率（耗时很长）
455 def getBestParameter(train_data, train_label, test_data,
456                     test_label, continous_list):
457     best_max_continuous_son = 10
458     best_max_leaf_length = 8

```

```

458     best_rate = 0
459
460     tree = Tree(train_data , train_label , 2, 2)
461     tree.setContinuousIndex(continous_list)
462     son_begin = 2
463     son_end = 6
464     length_begin = 30
465     length_end = 51
466     for max_continous_son in range(son_begin , son_end):
467         for max_leaf_length in range(length_begin , length_end):
468             tree.setMaxSon(max_continous_son)
469             tree.setMaxLeafLength(max_leaf_length)
470             print("Max Continous Son: %d, Max Leaf Length: %d" % (
471                 max_continous_son , max_leaf_length))
472             print(" Train ...")
473             tree.train()
474             print(" Done ...")
475
476             print(" Predict ...")
477             rate = tree.predictAll(test_data , test_label)
478             print(" Accuracy: %.4f" % (rate))
479             print()
480             if best_rate < rate:
481                 best_rate = rate
482                 best_max_continous_son = max_continous_son
483                 best_max_leaf_length = max_leaf_length
484
485             tree.deleteRoot()
486
487     return best_max_continous_son , best_max_leaf_length
488
489 # 后剪枝（耗时很长）
490 # 一个贪心的剪枝，如果某个剪枝能得到好的效果，那么会基于新生成的树接着剪枝

```

```

490 def postPruningFast(base_tree, validation_features,
491                     validation_labels, break_points=10000):
492
493     first_rate = base_tree.predictAll(validation_features,
494                                       validation_labels)
495
496
497     is_vis = {}
498     best_rate = first_rate
499     best_tree = base_tree.treeCopy()
500
501     out_index = 0
502     index = 0
503
504     while out_index + index < break_points:
505         now_base = best_tree.treeCopy()
506         is_vis = {}
507
508         len_leaf = len(now_base.leaf_nodes)
509         index = 0
510         flag = 0
511         step = 0
512         while index < len_leaf:
513             print(out_index + index, "Best rate:", best_rate)
514             leaf = now_base.leaf_nodes[index]
515             if leaf.father == None:
516                 index += 1
517                 continue
518             key_tuple = tuple(leaf.father.data_index)
519             if key_tuple in is_vis:
520                 index += 1
521                 continue
522             now_father = leaf.father.father
523             if now_father == None:
524                 index += 1

```

```

521         continue
522
523     temp = now_base.treeCopy()
524     brother_index = leaf.father.brother_index
525     now_count = now_base.getCount(leaf.father.data_index)
526
527     now_father.son[brother_index].is_leaf = True
528     now_base.setClassfy(now_father.son[brother_index],
529                          now_count)
529
530     now_rate = now_base.predictAll(validation_features,
531                                    validation_labels)
532     # 减少预测时的随机操作对正确率的影响
533     # 随机会导致正确率波动，只有当新的正确率比原本的正确率高于一个阈
534     # 值，才能说明剪枝正确
535     if now_rate - best_rate > 0.0007 - 0.00005 * step:
536         flag = 1
537         new_leaf_nodes = now_base.leaf_nodes
538         for son_node in leaf.father.son:
539             new_leaf_nodes = list(filter(lambda x: not x.
540                                           equal(son_node), new_leaf_nodes))
541         new_leaf_nodes.append(now_father.son[brother_index
542                                     ])
543         now_base.leaf_nodes = new_leaf_nodes
544         now_father.son[brother_index].son = []
545         best_rate = now_rate
546         best_tree = now_base.treeCopy()
547         break
548
549     is_vis[key_tuple] = 1
550     now_base = temp
551     # 最多一万次，节省时间
552     if out_index + index > break_points:

```

```

549         return best_tree
550     index += 1
551
552     # 如果对当前的树没有剪枝，那么不再继续
553     if not flag:
554         break
555     out_index += index
556     step += 1
557
558     return best_tree.treeCopy()
559
560
561 # 由于预测有随机的部分，所以需要预测次求平均值10
562 def testTen(base_tree, test_data, test_label):
563     print("Test 10 times...\n")
564     rate_10 = 0
565     rate = 0
566     for i in range(10):
567         print("Predict %d ..." % i)
568         rate = base_tree.predictAll(test_data, test_label)
569         print("Accuracy: %.4f" % rate)
570         rate_10 += rate
571     print()
572
573     rate_10 /= 10
574     print("Total Accuracy:", rate_10)
575
576
577 if __name__ == '__main__':
578     parser = argparse.ArgumentParser()
579     parser.add_argument('--best_parameter', type=int, default=0,
580                        help="若值为1，进行自动调参")
581     parser.add_argument('--post_pruning', type=int, default=0,

```



```

582         help若值为, 进行后剪枝='1')
583     parser.add_argument('--print_tree ', type=int, default=0,
584         help若值为, 生成树的='1文件json ')
585     parser.add_argument('--ignore ', type=int, default=0,
586         help若值为, 忽略第\、个特征='171213')
587     args = parser.parse_args()
588
589     train_data = [[] for _ in range(32561)]
590     train_label = [0 for _ in range(32561)]
591     test_data = [[] for _ in range(16281)]
592     test_label = [[] for _ in range(16281)]
593     label_dict = {'<=50K': 0, '>50K': 1}
594     label_index = ['<=50K', '>50K']
595     continous_list = [0, 2, 4, 10, 11, 12]
596     ignore_list = []
597
598     print("Read Data ...")
599     readData(train_data, train_label, test_data, test_label,
600         label_dict)
601     print("Done ...")
602
603     # 是否忽略
604     if args.ignore == 1:
605         ignore_list = [7, 12, 13]
606
607     best_max_continous_son, best_max_leaf_length = 3, 35
608     # 自动调参(耗时小时左右) 1
609     if args.best_parameter == 1:
610         best_max_continous_son, best_max_leaf_length =
            getBestParameter(train_data, train_label, test_data,
                test_label,

```

```

continou
    )

```

```

611         print("Best Max Continous Son:", best_max_continuous_son)
612         print("Best Max Leaf Length:", best_max_leaf_length)
613
614     best_tree = Tree(train_data, train_label,
615                      best_max_continuous_son, best_max_leaf_length)
616     best_tree.setContinuousIndex(continuous_list)
617     best_tree.setIgnoreIndex(ignore_list)
618     print("Train ...")
619     best_tree.train()
620     print("Done ...")
621
622     # 后剪枝（由于每次选取的验证集不同，每次剪枝的效果也会不同）
623     if args.post_pruning == 1:
624         validate_index = list(range(16281))
625         # 随机选择验证集
626         random.shuffle(validate_index)
627         validate_index = validate_index[:3281]
628         validation_features = [test_data[i] for i in
629                                validate_index]
630         validation_labels = [test_label[i] for i in validate_index
631                               ]
632         print("Post pruning ...")
633         # 耗时小时1-2
634         best_tree = postPruningFast(best_tree, validation_features
635                                     , validation_labels)
636         print("Done ...")
637
638     # 打印树
639     if args.print_tree == 1:
640         import json
641
642         feature_name = ['age', 'workclass', 'fnlwgt', 'education',

```

```

        'education-num',
639         'marital-status ', 'occupation ', '
            relationship ', 'race ', 'sex ',
640         'capital-gain ', 'capital-loss ', 'hours-per
            -week ', 'native-country ']
641 print("Print Tree ...")
642 with open("Tree.json", "w") as f:
643     json.dump(best_tree.getTreeDict(label_index ,
            feature_name), f)
644 print("Done ...")
645
646 testTen(best_tree , test_data , test_label)

```

## Result

```
(.venv) D:\学校文件\上课\大三上\人工智能实验\平时实验\E10_20201116_DT\E10_20201116_DT>python 参考2.py --print_tree 1
Read Data ...
Done ...
Train ...
Done ...
Print Tree ...
Done ...
Test 10 times...

Predict 0 ...
Accuracy: 0.8509

Predict 1 ...
Accuracy: 0.8516

Predict 2 ...
Accuracy: 0.8507

Predict 3 ...
Accuracy: 0.8509

Predict 4 ...
Accuracy: 0.8511

Predict 5 ...
Accuracy: 0.8510

Predict 6 ...
Accuracy: 0.8514

Predict 7 ...
Accuracy: 0.8504

Predict 8 ...
Accuracy: 0.8514

Predict 9 ...
Accuracy: 0.8511

Total Accuracy: 0.851034948713224

(.venv) D:\学校文件\上课\大三上\人工智能实验\平时实验\E10_20201116_DT\E10_20201116_DT>
```

## 5 感想体会

本场实验花费了很多时间，感到自己的python编程基础不大牢靠，并且对于很多数据结构的实现都很没有概念。在网上参考较多，希望之后能做的越来越好。决策树算法的思想是这样的，通过每次选取信息增益最大的属性（也就是用这个属性来划分数据集能够让期待的label分的越开越好），来划分数据集，建立决策树，能够输入一个数据的属性向量后通过判断分支来决定label，之后用测试集验证分类的准确度。