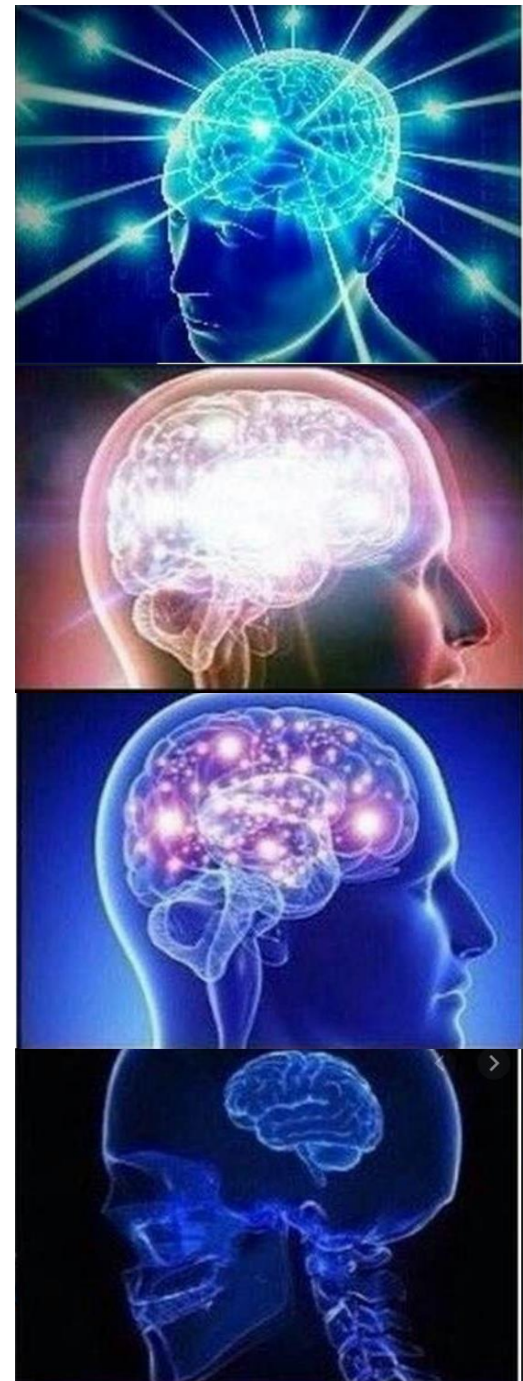


# Oracle Machines

Beyond C.E. sets



Co-hosted by Paul

# From before

- C.e. sets are those a **computer** can list
- Computable: a computer can list them, and can also list their complements
- The set  $K = \{x: \varphi_x(x) \downarrow\}$  is c.e. but not computable
- $\bar{K}$  (the complement of  $K$ ) is not c.e.

# About c.e. sets

- We first defined a set to be c.e. if (means iff) it is empty or the range of a computable function
- We showed that a set is c.e. iff it is the range of a partial computable function
- We also showed that a set is c.e. iff it is the **domain** of a partial computable function

Proof:

Let  $A$  be a c.e. set

If  $A$  is empty, then  $A$  is the domain of the empty function given by the program which doesn't halt on any input

If  $A$  is not empty, then it is the range of a computable function, say  $A = \{f(0), f(1), f(2), \dots\}$ .

Let  $\varphi(x) = \mu y[f(y) = x]$ . Then  $\text{dom}(\varphi) = A$

# Let's analyze the last definition of C.E.

- $A$  is c.e. iff it is the domain of a p.c. function  $f$ .
- Given any  $x$ , if  $x$  is in  $A$ , then the  $f(x) \downarrow$ , and if  $x$  is not in  $A$ , then  $f(x) \uparrow$
- So basically, we have a program that will confirm that YES if  $x$  is in  $A$ , and otherwise the program tells us nothing

# Notation

- The domain of  $\varphi_e$  is denoted by  $W_e$
- $W_e$  is the  $e$ -th c.e. set

# C.E. and $\exists$

- There is a strong relationship between c.e. and the existential quantifier

- If  $A$  is c.e., then for some  $e$ ,  $x$  is in  $A$  iff  $\exists s \varphi_{e,s}(x) \downarrow$

Where, roughly,  $\varphi_{e,s}(x) \downarrow$  means that the computation halts within  $s$  steps (or stages).

- Note that  $\{(e, s, x) : \varphi_{e,s}(x) \downarrow\}$  can be regarded as a relation  $R(x_1, x_2, x_3)$



# Computable Relations

- Recall, a binary relation over sets  $X, Y$  is a subset of the Cartesian product  
$$X \times Y$$
- More generally, an  $n$ -ary relation over sets  $X_1, \dots, X_n$  is a subset of  
$$X_1 \times \dots \times X_n$$
- An  $n$ -ary relation on  $\mathbb{N}$  is one for which  $X_1 = \dots = X_n = \mathbb{N}$
- A relation on  $\mathbb{N}$  is computable if it is computable as a set
- We say a relation is c.e. if it is c.e. as a set.

# Example

- $R = \{(x, y, z) \in \mathbb{N}^3 : x < y \text{ and } z = 2x\}$

We have  $R(1,2,2), R(0,3,0), R(10,11,20)$

But  $\neg R(0,2,2), \neg R(0,0,0), \neg R(10,11,11)$

Here  $\neg$  means negation

- $R$  is clearly computable. There's a program which when given any tuple  $(a, b, c)$  it can decide if  $R(a, b, c)$  or  $\neg R(a, b, c)$
- Note that we can regard relations as Boolean valued functions

- $R_2 = \{(x, e) \in \mathbb{N}^2: \varphi_e(x) \downarrow\}$

Not computable (why?)

But it is c.e. because, for any given values  $a, b$ , if  $R_2(a, b)$  then we can confirm that computably

# Special Cases

- Note that a function is a binary relation
- A non-empty subset of  $X$  is a unary (1-ary) relation on  $X$ .
- There are 0-ary relations (TRUE and FALSE)
- There is the empty relation  $\emptyset$  which is the same as FALSE (holds for nothing)

## Deeper analysis of $\varphi_e(x) \downarrow$

- We assume  $s > x$  and  $s > e$  when we write  $\varphi_{e,s}(x) \downarrow$
- When we write  $\varphi_{e,s}(x) \downarrow = y$ , we assume that  $s$  is greater than  $x, e, y$
- Recall that the following ternary relation is computable
$$\{(e, s, x) : \varphi_{e,s}(x) \downarrow\}$$

One can prove that:

A relation  $R(x, y)$  is c.e. iff there exists a computable relation  $C(a, x, y)$

such that for all  $x, y$

$$R(x, y) \iff \exists a C(a, x, y)$$



# The Arithmetical Hierarchy

- We use  $\Sigma_1^0$  to denote the class of relations (formulas) obtained as  $\exists \bar{a} C(\bar{a}, \bar{x})$  using some computable relation  $C$
- $\Pi_1^0$  denotes the class of relations (formulas) obtained as  $\forall \bar{a} C(\bar{a}, \bar{x})$  using some computable relation  $C$
- Note that if a set is  $\Sigma_1^0$  then its complement is  $\Pi_1^0$ , and vice versa



# Going higher

- $\Pi_2^0$  denotes the class of relations (formulas) obtained as  $\forall \bar{a} \exists \bar{b} C(\bar{a}, \bar{b}, \bar{x})$  using some computable relation  $C$

Or equivalently  $\forall \bar{a} D(\bar{a}, \bar{x})$  for some  $\Sigma_1^0$  relation  $D$

- $\Sigma_2^0$  denotes the class of relations (formulas) obtained as  $\exists \bar{a} \forall \bar{b} C(\bar{a}, \bar{b}, \bar{x})$  using some computable relation  $C$

# In general

- $\Pi_{n+1}^0$  denotes the class of relations (formulas) obtained as  $\forall \bar{a} D(\bar{a}, \bar{x})$  for some  $\Sigma_n^0$  relation  $D$
- $\Sigma_{n+1}^0$  denotes the class of relations (formulas) obtained as  $\exists \bar{a} D(\bar{a}, \bar{x})$  for some  $\Pi_n^0$  relation  $D$
- Note that, for all  $n$ ,  $\Sigma_n^0 \cup \Pi_n^0 \subsetneq \Sigma_{n+1}^0 \cap \Pi_{n+1}^0$

- Recall we mentioned that

A relation  $R(x, y)$  is c.e. iff there exists a computable relation  $C(a, x, y)$  such that for all  $x, y$

$$R(x, y) \iff \exists a C(a, x, y)$$

- This means that C.E. =  $\Sigma_1^0$
- BTW, Computable =  $\Sigma_0^0 = \Pi_0^0$

# The Normal Form Theorem for C.E. Sets

- The following are equivalent:
  - $A$  is c.e.
  - $A$  is  $\Sigma_1^0$
  - $A = W_e$  for some  $e \in \mathbb{N}$

# Relative Computability

- We have just seen that C.E. =  $\Sigma_1^0$
- How about  $\Sigma_2^0$  ? Or more generally,  $\Sigma_{n+1}^0$  ?
- Are they c.e. in some sense w.r.t. some higher level?
- Indeed, it is all about the computable function which enumerates the set

# Oracle Machines and Relative Computability

- Imagine a function which is computable but only after giving it certain knowledge
- Imagine its program which allows using the indicator function of some set  $A$  (not necessarily computable)
- Such a function is said to be (relatively) computable from  $A$



# Turing Reducibility

- A set  $S$  is said to be Turing reducible to a set  $B$  ( $S \leq_T B$ ) if the characteristic function of  $S$  is computable from  $B$ .
- If  $S \leq_T B$  and  $S \geq_T B$ , then we write  $S \equiv_T B$  and say they are Turing equivalent
- $\leq_T$  is a partial order, and  $\equiv_T$  is an equivalence relation



# Turing Degrees

- The equivalence classes corresponding to  $\equiv_T$  are called the Turing degrees (often denoted by bold lowercase **a**, **b**, **c**, ..)
- They are also known as *degrees of unsolvability*
- All computable sets have the same Turing degree (why?)

# Structure of the set of Turing Degrees

- Partially ordered but not linearly ordered (there are incomparable degrees)
- There is a smallest Turing degree which is the Turing degree of the empty set (which is also the Turing degree of any computable set)

# Notation

- $P_e^A, \Phi_e^A, W_e^A$

Program with oracle  $A$ , p.c. function with oracle  $A$ ,  $A$ -c.e. set

# How to get higher degrees? (the Jump operator)

- Given a set  $A$ , consider the halting set with respect to  $A$ :

$$A' = K^A = \{x: \Phi_x^A(x) \downarrow\} = \{x: x \in W_x^A\}$$

- This set is called the *jump of  $A$*  and we have that  $A <_T A'$
- $\emptyset' = K$
- $A \equiv_T B$  implies  $A' \equiv_T B'$
- $A'$  is  $A$ -c.e. but not  $A$ -computable

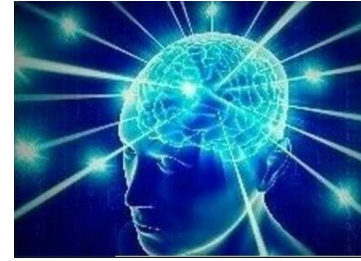
# Iterating the jump

- $\emptyset'', \emptyset''', \dots$

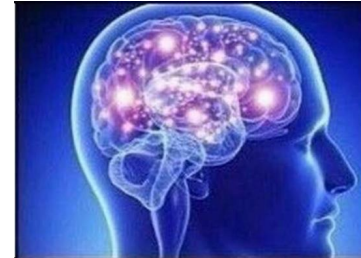
- $\emptyset^{(2)} = \emptyset''$

- $\emptyset^{(n)}$

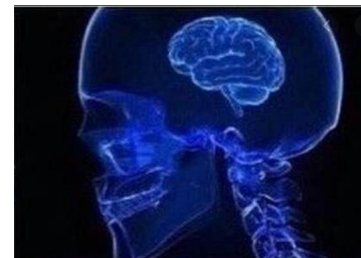
- $\deg(\emptyset) = \mathbf{0}$
- $\deg(A)'$  is defined as  $\deg(A')$
- $\deg(\emptyset^{(n)}) = \mathbf{0}^{(n)}$



C.E./ Co-c.e.



Computable level



# Other Reducibilities

- Note that Turing reducibility does not distinguish a set from its complement (for any set  $A$ ,  $A \equiv_T \bar{A}$ )
- But clearly both sets can be very different in terms of computability properties. Example:  $K$  and  $\bar{K}$
- Similar properties can be maintained by stronger reducibilities



# m-reducibility (many-one reducibility)

- $A \leq_m B$  ( $A$  is m-reducible to  $B$ ) if there exists a computable function  $f$  such that: for every  $x \in \mathbb{N}$ ,

$$x \in A \text{ iff } f(x) \in B$$