

# CS180 HW6

Siddharth Joshi

TOTAL POINTS

**100 / 100**

QUESTION 1

**1 Problem 1 25 / 25**

✓ - 0 pts Correct

QUESTION 2

**Problem 2 25 pts**

**2.1 2.a 5 / 5**

✓ - 0 pts Correct

**2.2 2.b 10 / 10**

✓ - 0 pts Correct

**2.3 2.c 10 / 10**

✓ - 0 pts Correct

QUESTION 3

**3 Problem 3 25 / 25**

✓ - 0 pts Correct

QUESTION 4

**4 Problem 4 25 / 25**

✓ - 0 pts Correct

## ## HW6 ##

1. Divide and Conquer approach:

- Determine max and 2nd max of 1<sup>st</sup> half
- "
- Determine max and 2nd max of entire array from this

maxima (Array A size int size):

if size == 2:

    return if (A[0] > A[1]):

        return [A[0], A[1]]

    else

        return [A[1], A[0]]

$A_1$  = list of first  $\frac{n}{2}$  elements of A

$A_2$  = list of remaining elements of A

max<sub>1</sub> = maxima ( $A_1$ ,  $n/2$ )

max<sub>2</sub> = maxima ( $A_2$ ,  $n - n/2$ )

if (max<sub>1</sub>[0] ≤ max<sub>2</sub>[1])

    return [max<sub>2</sub>[0], max<sub>2</sub>[1]] max<sub>2</sub>

else if (max<sub>2</sub>[0] ≤ max<sub>1</sub>[1])

    return max<sub>1</sub>

else if (max<sub>2</sub>[0] ≥ max<sub>1</sub>[0])

    return [max<sub>2</sub>[0], max<sub>1</sub>[0]]

else

    return [max<sub>1</sub>[0], max<sub>2</sub>[0]]

Time complexity: # of comparisons =  ~~$n^2 + 3n$~~   $\Theta(n \lg n)$   
 $= n \lg n$

as base case is where comparisons happen directly between elements and that is just 1 comparison  $\Rightarrow n$  overall

recursively and each of the  $\lg n$  subproblems needs 3 comparisons hence  $n \notin O(\lg n)$

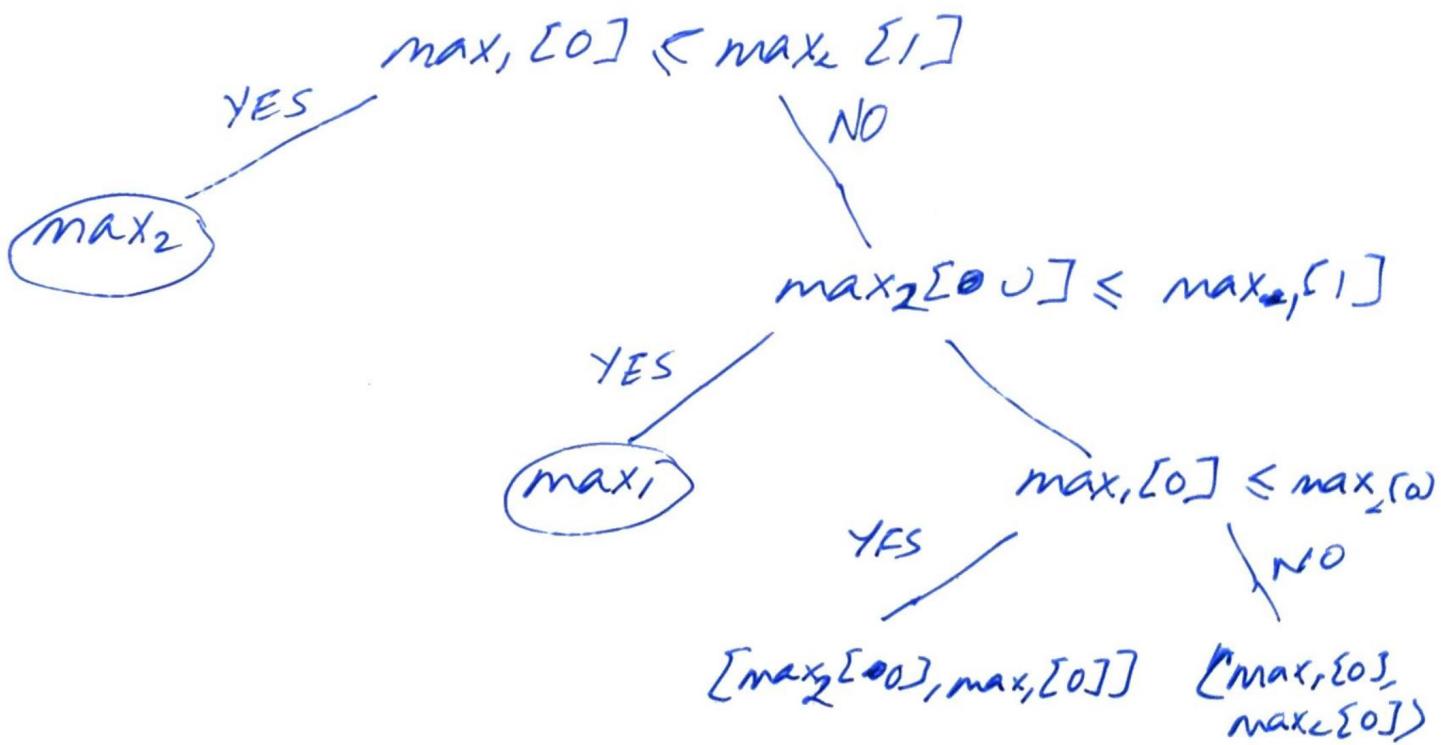
Correctness: For a 2 element array, the base case is trivially correct i.e. the smaller element is 2<sup>nd</sup> max and bigger is 1<sup>st</sup> max.

What remains is to prove that given the solution for 2 halves, they can be combined correctly in  $O(1)$  comparisons.

assume  $\max_1$  is the array containing 1<sup>st</sup> max of 1<sup>st</sup> half, 2<sup>nd</sup> max similarly  $\max_2$  — " — for 2<sup>nd</sup> half of 1<sup>st</sup> half  
then  $\max = \max_1, \max_2$ , or  $\max_1$

or  $[\max_1, \{0\} \max_2 \{0\}]$  or  $[\max_2, \{0\}, \max_1 \{0\}]$

This can be determined using the following decision tree, where max depth shows = max compares = 3



1 Problem 1 25 / 25

✓ - 0 pts Correct

2a) Counter example:

assume

$$A_3 = \{1, 100, 150\}$$

$$C = \cancel{700} 500$$

$$OPT = \{3 \times 150, 2 \times 100, 2 \times 1\} \cdot 4 \text{ coins}$$

$$\text{sol given by algorth} = \{3 \times 150, 50 \times 1\} = 53 \text{ coins}$$

since sol takes more coins than OPT, the naive greedy algorithm doesn't take get the min # of coins needed

b) Assume that the greedy solution takes ~~the~~  $i$  coins instances of a certain largest coin in the its final solution set and the optimal solution takes  $j$  of them. Let the value of this coin be  $V$ .

The greedy approach takes as many as it can i.e.

$$0 \leq C - iV < V$$

similarly in specific  $i \lfloor \frac{C}{V} \rfloor$  and the subproblem left after choosing this is the problem of same problem with  $C = C - iV$

- For the optimal solution to be 'better' it ~~uses~~ chooses  $j$  s.t.  $j + \text{min coins} \leq \text{value } C = C - iV$  is less than  $i + \text{min coins} \leq \text{value } C = C - iV$
- either ①  $i > j$  ~~as~~  $\text{min coins}(C - iV) \geq \text{min coins}(C - jV)$

or ②  $i = j$  and  $\text{min coins}(C - iV) > \text{min coins}(C - jV)$   
(Note  $i < j$  is impossible as greedy takes as many coins of value  $V$  as possible)

For ① ~~it is sufficient~~ and ② it is sufficient to see that  $\text{min coins}(C - iV) \leq \text{min coins}(C - jV)$  as ~~as~~ the next to the optimal solution chooses at most  $X-1$  instances of next largest coin  $X$  but since as  $X^2 - a = C$  and ~~not~~ taking more

2.1 2.a 5 / 5

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than  $X$  of coin  $X$  is always suboptimal as you can choose a single coin of value  $V$  instead of  $X$  coins of  $X$  and since the greedy solution can also pick anywhere from 0 instances of coin  $X \Rightarrow$  it is easy to see that it performs at least as well as the optimal at every stage  $\Rightarrow$  it is optimal

c) Subproblems: remaining  $C$

$DP[C], DP[C-1], DP[0]$

- ② guess how many of each coin to take (take all possible)
- ③  $DP[C] = \min_{\forall a_i \leq C} (1 + DP[C - a_i])$  } this recurrence is correct as it tries all options and this recurrence proves the correctness
- ④ Topological sort:



∴ ② ③ of the algorithm

- ⑤ Final answer is  $DP[C]$

`minCoins(Array A, value int C):`

For  $i$  in range ( $0, n$ ):

Let DP be an array of size  $C+1$  and set  $DP[0] = 0$

→ For  $j$  in range ( $1, C$ ):

•  $\min = +\infty$

• For  $i$  in range ( $1, n$ ):

\* if ( $a_i \leq j$ )

→ if ( $DP[j - a_i] + 1 < \min$ )

• ~~DP~~  $\min = DP[j - a_i] + 1$

•  $DP[k] = \min$

→ return  $DP[C]$

Time Complexity: inner for loop =  $O(n)$  outer for loop =  $O(C)$

∴ Total time complexity =  $O(nC)$

2.2 2.b 10 / 10

✓ - 0 pts Correct

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Time Complexity: inner for loop =  $O(n)$  outer for loop =  $O(C)$   
∴ Total time complexity =  $O(nC)$

## Correctness in more detail:

The dp solution relies entirely on the idea that the optimal solution for value  $C$  can be reduced to subproblems in this way:

$$DP[C] = \min_{\forall a_i \leq C} (1 + DP[C - a_i])$$

This is true as the solution contains of some coin  $\$$  (some  $a_i$ ) and - i.e. at least 1 instance of some coin (except for  $DP[0]$  base case = 0) and therefore the optimal answer for  $C$  can be constructed by adding 1 to the optimal answer for  $DP[C - a_i] + C - a_i$  for some optimal  $a_i$  - which can be determined by trying all. Moreover since the DP relies on answers lower than it and since the table DP is constructed from  $0 \rightarrow C$ , these lower values always exist in the table.

2.3 2.C 10 / 10

✓ - 0 pts Correct

3.

R

Assume the input is an array of  $N$  ratios s.t.

$r_i = \frac{v_i}{w_i}$  (if it isn't → can be made in  $O(n)$ )  
trivially

fractional knapsack (Array R, int W, int N, Array Weights):

defined above | weight of knapsack | num of items  
index | N

- pick a number as pivot randomly from 1..N
- let  $X$  be  $= R[\text{pivot}]$
- Let smaller and larger be 2 empty sets/list
- int lSize = 0
- For i in {1..N}:
  - if ( $R[i] < R[\text{pivot}]$ )
    - insert i in smaller
    - $lSize + W[i]$
  - else
    - insert i in larger
    - $lSize + W[i]$
- If (~~lSize~~  $= W$ )
  - return larger as items used, all used fully i.e.  $\forall i = 1 \dots n$   $x_i = 1$  if  $i \in \text{larger}$  and value achieved =
- else if ( $lSize > W$ )
  - return fractional knapsack (larger, ~~lSize~~  $= W$ , no. of elements in larger, weight of elements in larger)
- else
  - because w items = smaller, knapsack weight =  $W - lSize$   
 $\uparrow$  included =
  - add the item not included yet w largest ratio in some fraction that fits into  $W$
  - return included + the last item added (for all items in included quantity + and for last item = fraction that fit)

$\rightarrow$  return  $k$  since all items fit fully  $\therefore x_i = 1 \forall i \in K$

Time Complexity: In the average case, the set larger

$\sim N/2$  items  $\therefore$  subproblems solved are of  $1/2$  size

$$\therefore T(n) = T(n/2) + O(n)$$

$\cdots$  solving the recurrence

$$O(2^n) + O(n)$$

Avg case  
↓

$$T(n) = O(n) + \frac{n}{2} + \frac{n}{4} + \dots + 1 = O(2n) = O(n)$$

However, in the worst

case the pivot is chosen 'badly' and the subproblems are  
of ~~size~~ size  $\sim N \therefore$  time complexity  $= O(n^2)$

Correctness: Since fractions from  $0 \dots 1$  are allowed and each item quantity  $\in [0, 1]$ , the greedy solution's idea to maximize per unit value is correct and can be done by picking larger elements of  $w$  larger ratios earlier as is done by this algorithm. However the only choice to recurse makes sense as if items in larger don't all fit in the knapsack, the solution must contain some subset of larger items. Finally the last, it is clear from this logic that at the end some item  $Y$  w largest ratio may not fit entirely but would fit (fractionally) and hence a fraction of this item is added (This is obvious as assume that a previous item  $X$  was added fractionally  $\Rightarrow X$  didn't fit fully but then a larger fraction of  $X$  should be taken to take  $Y$ 's space and yet then  $Y$  is not the last item  $\Rightarrow$  contradiction)

3 Problem 3 25 / 25

✓ - 0 pts Correct

4.

sortAndCount (Array A):

- if  $A.size() = 1$ 
  - return  $(A \text{ and}, 0)$
- $[A_1, \text{inv}_1]$  = sortAndCount (first half of A)
- $[A_2, \text{inv}_2]$  = sortAndCount (remainder of A)
- $[\text{sortedA, inversions}]$  = mergeAndCount ( $A, A_1, A_2$ )
- inversions  $\leftarrow \text{inv}_1 + \text{inv}_2$
- return  $\begin{cases} [A_1, \text{inv}_1] & \text{if } A_1 \neq \emptyset \\ \text{sortedA, inversions} & \text{otherwise} \end{cases}$

mergeAndCount.

- inversions  $\leftarrow 0$ , sortedA = new empty list
- while ( $i < A_1.size()$ )
  - if ( $j = A_2.size()$  or  $A_1[i] > A_2[j]$ )
    - increment inversions  $\leftarrow (A_1.size - i) \times j$
    - increment i
  - else
    - increment j
- while ( $i < A_1.size()$  and  $j < A_2.size()$ )
  - if ( $A_1[i] < A_2[j]$ )
    - append  $A_1[i]$  to sortedA and increment i
  - else
    - append  $A_2[j]$  to sortedA and increment j
- while ( $i < A_1.size()$ )
  - append  $A_1[i]$  to sortedA and increment i
- while ( $j < A_2.size()$ )
  - append  $A_2[j]$  to sortedA and increment j
- return [sortedA, inversions]

wrapper (Array A):      // actual func called, wraps sort  
 $[& \text{temp}, \text{ans}] = \text{sortAndCount}(A)$       sort and count  
see to only return  
# of inversions

return ans

Correctness: The # of inversions (as inversions are defined by the  $\{i < j : A[i] > A[j]\}$  and  $i < j$ ) pairs  $(i, j)$  s.t.

can be thought of as # of inversions in the 1<sup>st</sup> half + # of inversions in the second half + # of inversions in the array formed by concatenating a sorted version of the 1<sup>st</sup> half to a sorted version of the 2<sup>nd</sup> half

as for every pair  $(i, j)$  it is that  $i & j$  are either both in half 1 or both in half 2 or  $i$  is in half 1 and  $j$  is in half 2 as  $i < j$ .

But the # of inversions in the merge can be found trivially by trying to 'merge' sorted half 1 & sorted half 2 ~~without element doubled~~ and counting the pairs of elements  $(i, j)$  where  $i \in [1, i]$  is  $(A_1[i], A_2[j])$  s.t.

$A_1[i] > A_2[j]$  done by formula =  $(\text{size}(A_1) - i) \times j$  at each step (i.e. each value of  $i$  and  $j$  where the inequality is true).

Time Complexity: Each call to mergeAndCount =  $O(n)$  as only single while loops exist in it. sortAndCount  $\rightarrow$  breaks A into  $\log n$  subproblems  $\therefore$  total complexity =  $O(n) \times \log n = O(n \log n)$

4 Problem 4 25 / 25

✓ - 0 pts Correct