# DELF: A Dual-Embedding based Deep Latent Factor Model for Recommendation

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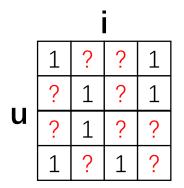
### Outline

- Background & Motivation
- Proposed Method
- Experimental Results
- Conclusion

# Background

- Collaborative filtering (CF) exploits past user-item interactions for recommendation.
- Among various CF methods, Latent factor models are widely used and considered to be the state-of-the-art solutions to recommendation.
- Recommendation based on implicit feedback has drawn more attention recently, which is easier to collect than explicit ratings.

### Motivation



- One of the most important problem in recommendation with implicit datasets: lack of negative feedback (also known as the one-class problem).
- Previous solutions:
  - Treating all unobserved interactions as negative feedback.
  - Using non-uniform weighting schemes to filter negative feedback from unobserved interactions.
- However, since not all the unobserved entries are true negative instances, previous solutions relying on noisy negative feedback may still hinder useful information from the limited observed interactions.

### Motivation

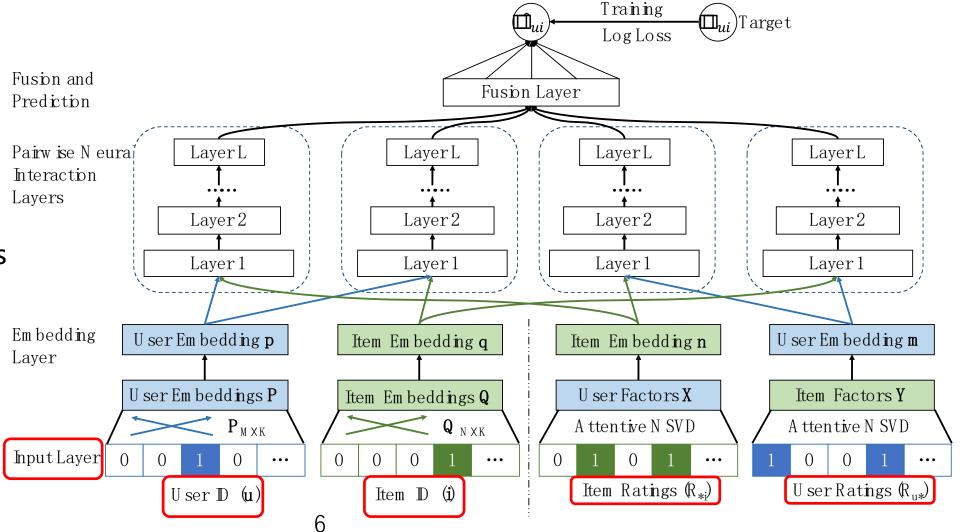
- We notice that NSVD was proposed to directly parameterize users according to the items that they have rated.
- In NSVD, a user embedding is determined by the items that have interacted with the user, which is not easily affected by negative feedback and robust to the number of user interactions.
- In addition to learning a primitive embedding for a user (resp. item), we represent each user (resp. item) with an additional embedding from the perspective of the interacted items (resp. users) for recommendation with implicit feedback.

#### **Input Layer**

User/Item ID: One-hot encoded vectors

User/Item Ratings:

Multi-hot binary vectors



#### **Embedding Layer**

#### Primitive embeddings:

$$\begin{aligned} \boldsymbol{p}_u &= \boldsymbol{P}^T \boldsymbol{u} \\ \boldsymbol{q}_i &= \boldsymbol{Q}^T \boldsymbol{i} \end{aligned}$$

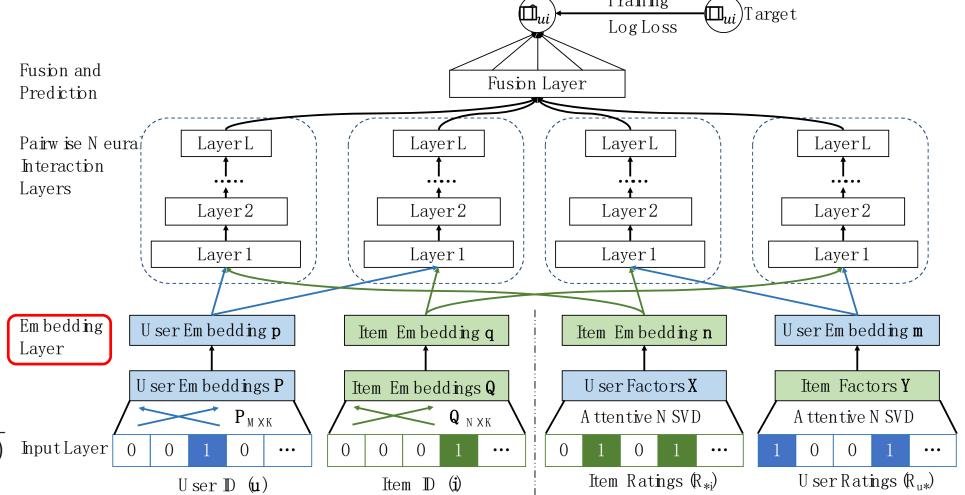
#### Additional embeddings:

(**n**<sub>i</sub> is omitted here)

$$\mathbf{m}_u = \sum_{i \in R(u)} \alpha_i \mathbf{y}_i$$

$$\mathbf{h}_i = \tanh(\mathbf{W}_a \mathbf{y}_i + \mathbf{b}_a)$$

$$\alpha_i = \frac{\exp(\mathbf{h}_i^T \mathbf{h}_a)}{\sum_{i \in R(u)} \exp(\mathbf{h}_i^T \mathbf{h}_a)} \int_{\text{hput Layer } 0}^{\infty}$$



Training

# Pairwise Neural Interaction Layers

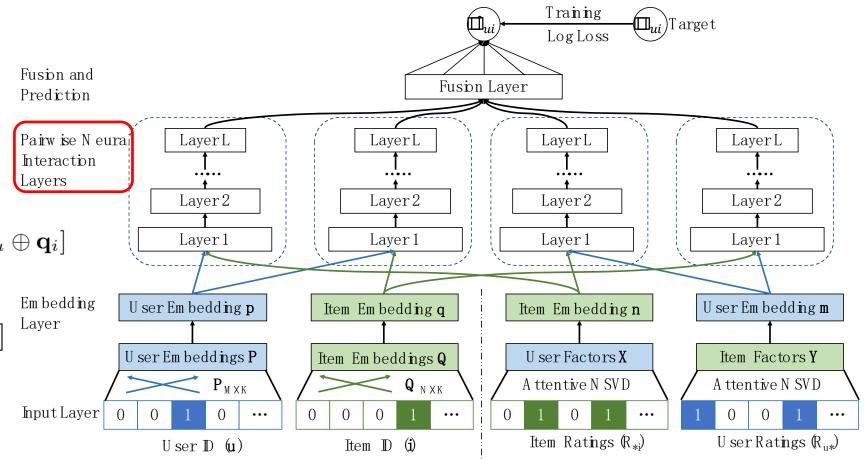
Four groups of concatenated embedding pairs:

$$\mathbf{z}_0 = [\mathbf{p}_u \oplus \mathbf{n}_i, \mathbf{p}_u \oplus \mathbf{q}_i, \mathbf{m}_u \oplus \mathbf{n}_i, \mathbf{m}_u \oplus \mathbf{q}_i]$$

#### Neural interaction layers:

$$\phi_l^j = \delta_l^j (\mathbf{W}_l^j \mathbf{z}_{l-1}^j + \mathbf{b}_l^j), \ l \in [1, L]$$

$$\mathbf{h}^{j} = \phi_{L}^{j}(...\phi_{2}^{j}(\phi_{1}^{j}(\mathbf{z}_{0}[j]))...)$$



#### **Fusion and Prediction**

#### Fusion with MLP:

$$\mathbf{h}_f = \delta_f(\mathbf{W}_f \mathbf{z}_f + \mathbf{b}_f)$$

$$\mathbf{z}_f = \mathbf{h}^1 \oplus \mathbf{h}^2 \oplus \mathbf{h}^3 \oplus \mathbf{h}^4$$

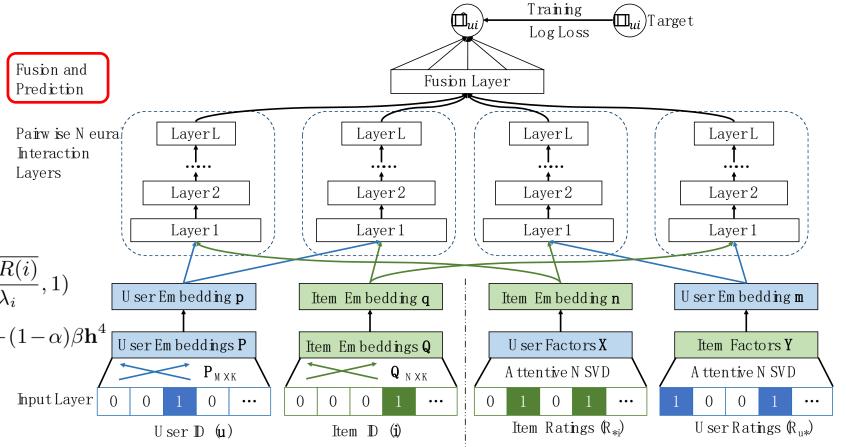
Fusion with empirical formula:

$$\alpha = \min(\frac{\sqrt{R(u)}}{\lambda_u}, 1), \quad \beta = \min(\frac{\sqrt{R(i)}}{\lambda_i}, 1)$$

$$\mathbf{h}_f = \alpha(1-\beta)\mathbf{h}^1 + \alpha\beta\mathbf{h}^2 + (1-\alpha)(1-\beta)\mathbf{h}^3 + (1-\alpha)\beta\mathbf{h}^4$$

#### Prediction:

$$\hat{R}_{ui} = \delta_p(\mathbf{W}_p \mathbf{h}_f + b_f)$$



- Datasets: Movielens 1M & Amazon Music (transferred to implicit)
- Evaluation Protocol: leave-one-out
- Metrics: Hit Ratio (HR) and Normalized Discounted Cumulative Gain (NDCG)
- Compared Methods:
  - ItemPop
  - eALS [He et al., 2016]
  - BPR [Rendle *et al.*, 2009]
  - MLP [He *et al.*, 2017]
  - NeuMF [He et al., 2017]
  - DMF [Xue et al., 2017]

#### DELF methods achieve the best overall performance on both datasets.

(Similar performance to NeuMF in Movielens, and >10% improvements to all baselines in Amazon.)

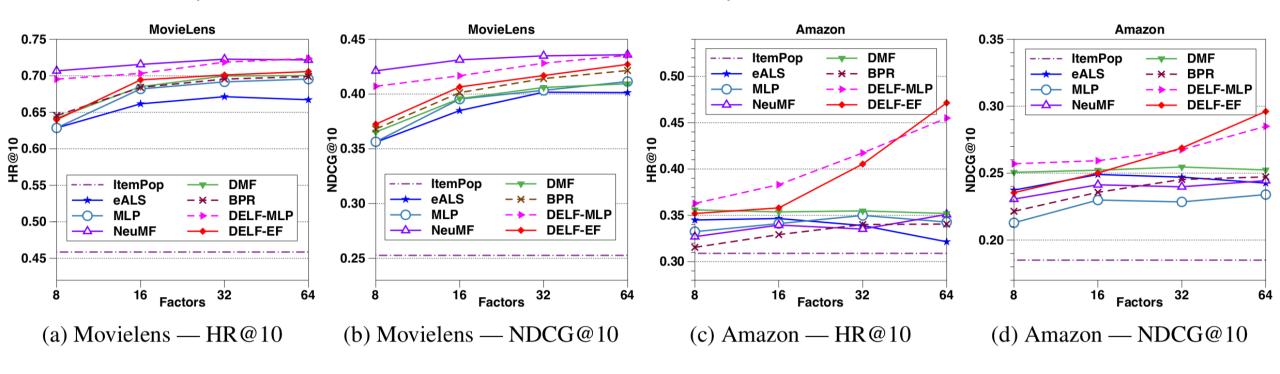


Fig2: Performance of HR@10 and NDCG@10 w.r.t. the number of predictive factors on the two datasets

The key components in DELF are useful for improving recommendation results (i.e., attentive module, pairwise neural interaction layers)

Factors	DELF-MLP	DELF-EF	DELF-ni	DELF-na	Factors	DELF-MLP	DELF-EF	DELF-ni	DELF-na
Movielens					Movielens				
8	0.695	0.640	0.672	0.680	8	0.407	0.373	0.389	0.398
16	0.703	0.694	0.693	0.688	16	0.417	0.407	0.409	0.407
32	0.719	0.701	0.704	0.705	32	0.428	0.417	0.416	0.419
64	0.724	0.706	0.713	0.709	64	0.435	0.424	0.426	0.426
Amazon					Amazon				
8	0.363	0.352	0.356	0.355	8	0.257	0.235	0.252	0.250
16	0.383	0.358	0.362	0.374	16	0.259	0.250	0.247	0.249
32	0.417	0.405	0.390	0.409	32	0.268	0.269	0.259	0.258
64	0.455	0.471	0.423	0.442	64	0.285	0.296	0.264	0.277
16 32	0.383 0.417	0.352 0.358 0.405	0.362 0.390	0.374 0.409	16 32	<b>0.259</b> 0.268	0.235 0.250 <b>0.269</b>	0.247 0.259	0.249 0.258

Table 2: HR@10 of different variants of DELF

Table 3: NDCG@ 10 of different variants of DELF

DELF keeps consistent improvements over other methods with all the values of k (1~10) for Top-k recommendation.

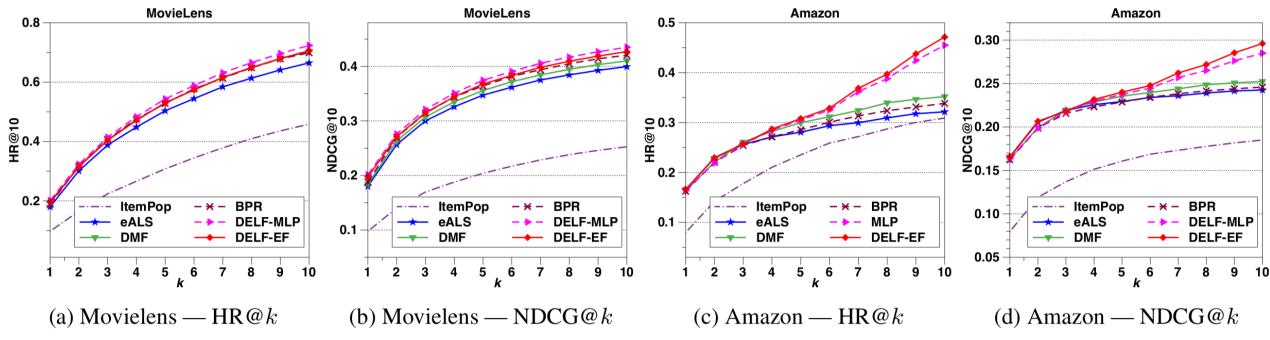


Figure 3: Evaluation of Top-k item recommendation where k ranges from 1 to 10 on the two datasets

### Conclusion

 In addition to the primary embeddings, we propose to obtain additional embeddings for users and items based on their interaction vectors with an attentive neural method.

- We introduce a neural network architecture to learn deep representations for pairwise interactions among dual user/item embeddings.
- Extensive experiments on two real-world datasets demonstrate the superior performance of our proposed method.

### Thanks for your attention!

Q&A

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