Association Between Arterial Oxygen Saturation and Lung Ultrasound B-Lines After Competitive Deep Breath-Hold Diving

Alexander Patrician, Frank Pernett, [...], and Erika Schagatay

Abstract

Breath-hold diving (freediving) is an underwater sport that is associated with elevated hydrostatic pressure, which has a compressive effect on the lungs that can lead to the development of pulmonary edema. Pulmonary edema reduces oxygen uptake and thereby the recovery from the hypoxia developed during freediving, and increases the risk of hypoxic syncope. We aimed to examine the efficacy of SpO₂, via pulse-oximetry, as a tool to detect pulmonary edema by comparing it to lung ultrasound B-line measurements after deep diving. SpO₂ and B-lines were collected in 40 freedivers participating in an international deep freediving competition. SpO2 was measured within 17 ± 6 min and lung B-lines using ultrasound within 44 ± 15 min after surfacing. A specific symptoms questionnaire was used during SpO₂ measurements. We found a negative correlation between B-line score and minimum SpO₂ ($r_s = -0.491$; p = 0.002) and mean SpO₂ ($r_s = -0.335$; p = 0.046). B-line scores were positively correlated with depth ($r_s = 0.408$; p = 0.013), confirming that extra-vascular lung water is increased with deeper dives. Compared to dives that were asymptomatic, symptomatic dives had a 27% greater B-line score, and both a lower mean and minimum SpO₂ (all p < 0.05). Indeed, a minimum SpO₂ $\leq 95\%$ after a deep dive has a positive predictive value of 29% and a negative predictive value of 100% regarding symptoms. We concluded that elevated B-line scores are associated with reduced SpO2 after dives, suggesting that SpO2 via pulse oximetry could be a useful screening tool to detect increased extra-vascular lung water. The practical application is not to diagnose pulmonary edema based on SpO₂ - as pulse oximetry is inexact – rather, to utilize it as a tool to determine which divers require further evaluation before returning to deep freediving.

Keywords: hypoxia, apnea, hypoxic syncope, blackout, pulmonary edema, barotrauma, injury, extreme environment

Introduction

Breath-hold diving, also known as freediving, ranges from recreational and professional activities to a competitive sport with several disciplines for the maximal duration, distance, or depth performed on a single breath (Fitz-Clarke, 2018). Within each discipline, a specific combination of physiological factors determine performance (Schagatay, 2009, 2010, 2011; Bain et al., 2018).

Breath-hold diving is associated with an increased hydrostatic pressure that has a compressive effect on the body's air-filled cavities. As such, the rise in ambient pressure compresses the lungs in accordance with Boyle's law, thus reducing lung volume as the diver descends. The lungs are indeed compliant, but being delicate, exceeding the compliant threshold of the lung may lead to pulmonary edema (Lindholm et al., 2008), commonly referred to by divers as "lung squeeze." Pulmonary edema is associated with the accumulation of fluid in the alveoli, thus preventing oxygen uptake and causing hypoxemia (Lindholm and Lundgren, 2009). When affected, divers present with symptoms of productive cough, dyspnea, chest tightness (Cialoni et al., 2012), hemoptysis, and exhibit desaturation with a reduction in pulmonary function tests (Linér and Andersson, 2008). However, it would be just before, or upon surfacing where divers are most vulnerable because of the risk of hypoxic syncope, or "blackout" (Lindholm, 2007). The presence of fluid in the lungs would reduce the diffusion of oxygen from the alveolar space into the pulmonary circulation, thereby restraining the recovery from a low arterial oxygen saturation upon surfacing. Deep freediving additionally requires strenuous effort, and exercise stresses the pulmonary capillaries (West, 2004) increasing the risk of fluid leakage and increasing the diffusion barrier. One lethal case is known from a breath-hold diving competition in 2013, where a diver surfaced after a deep dive but experienced a "blackout" and was unable to recover due to severe lung squeeze (Vestin, 2015).

Ultrasound B-lines, also referred to as ultrasound lung comets, has been found to provide an accurate estimation of

extravascular lung water (Jambrik et al., 2004; Agricola et al., 2005) and are considered to be a reliable tool to evaluate pulmonary edema (Lichtenstein, 2014; Chiumello et al., 2016; Wang et al., 2018; Soldati et al., 2019). B-lines have previously been measured in breath-hold divers, and depth does indeed appear to be a potent contributor to increase the B-line score (Frassi et al., 2008; Boussuges et al., 2011; Lambrechts et al., 2011) and pulmonary gas exchange impairment (Patrician et al., 2021). However, ultrasound imaging is not readily available outside of the research and clinical community. Therefore, it would be ideal to determine the efficacy of an easier and simpler tool for post-dive evaluation in freedivers, which provides insight into the potential of extravascular lung water. Preliminary data collected from 100 competitive dives have suggested that monitoring peripheral oxygen saturation (SpO₂) in the 20 min following the dive could be a tool to detect pulmonary edema/lung squeeze, as it was associated with reported and observed symptoms (Schagatay et al., 2015).

Our study sought to test the primary hypothesis that the impairment of SpO₂ recovery following deep breath-hold diving would be associated with elevated B-line score, an accepted and informative index of extravascular lung water. To examine this hypothesis, we studied breath-hold divers during a depth world championship.

Materials and Methods

Participants

A total of 40 freedivers, 28 males and 12 females, with a mean \pm SD age of 35 \pm 7 years; 177 \pm 7 cm height and 70 \pm 8 kg weight and body mass index 22 ± 2 kg/m² volunteered to be in the study. All participants provided verbal informed consent, following written and oral information on the study and protocol. The study was reviewed by the local research ethics board and conformed to the Declaration of Helsinki. The study was also approved by and conducted in conjunction with the competition organizing committee.

While the measurements during this study likely capture maximal levels of physiological strain and provide valuable insight into the limits of the human body during freediving, the nature of a professional competition unavoidably led to variability in the timing of assessments. The competition dives were performed in three different dive disciplines: constant weight with fins (CWT), constant weight without fins (CNF), and free immersion (FIM) over 6 days of competition. A review of these disciplines has been covered elsewhere (Schagatay, 2011).

Procedures

Following each dive, SpO₂ and heart rate were recorded in 15-s intervals for 2 min via pulse oximetry (Nonin Onyx Vantage 9590) within 20 ± 11 min (range: 10-45 min) of surfacing. Pulse oximetry data was collected in a finger, in the upright position, and only recorded when the oximetry signal was strong, as indicated by a bright green light signal. If the signal strength was sub-optimal (e.g., due to cold fingers), divers were given time to warm up before re-assessing. Ultrasound Blines were collected in the supine position [within 45 ± 18 min (range: 18-85 min) of surfacing] and performed using 2dimensional ultrasonic imaging, with a convex transducer 2-5 MHz (M-Turbo ultrasound system, FUJIFILM SonoSite Inc., Bothell, WA, United States). Bilateral imaging of the hemithorax (parasternal, mid-clavicular, anterior axillary line, and midaxillary) from the second to fourth (fifth on the right side) intercostal spaces were performed, culminating in 28 zones. A Bline was defined as an echogenic, coherent, and wedge-shaped signal with a narrow origin in the near field of the image, spreading from the pleural line to the further border of the screen, which moves in concert with lung sliding (Lichtenstein, 2014). The same investigator (AL-S) performed all B-line measurements. The total number of B-lines in each zone was counted and summed to provide a total B-line score. For situations when the entire zone was full of B-lines (i.e., white-out) a maximum of 20 was assigned. B-lines are an index of extravascular lung fluid, which has been performed previously with high sensitivity and intra-patient reliability to fluid visible using radiographic imaging (Jambrik et al., 2004; Picano et al., 2006; Boussuges et al., 2011; Lambrechts et al., 2011). As part of the evaluation, the participant was also asked for specific symptoms. Symptoms considered relevant were cough, mild-severe chest discomfort, tightness and/or irritation along the respiratory tract (i.e., throat to lungs) and hemoptysis. The participant was considered symptomatic if one or more of these symptoms was present. Post-dive evaluation and measurements were performed on the support boat for the competition.

In a subgroup of divers (n = 6), baseline B-line measurements were conducted before the competition, and with at least 12 h without diving activity.

Given the unavoidable range in measurement times (i.e., time from surfacing to measurement); a time-specific analysis was conducted – using pulse oximetry within 30 min as a cut-off. A total of 50 dives: 10 CNF, 21 CWT, and 19 FIM at a mean depth of 76 ± 21 m (range: 40-122 m) with pulse oximetry within 18 ± 6 min (range: 6-30 min) and B-lines within 41 ± 15 min (range: 17-82 min) were included. For correlational analysis (see section "Statistical analysis"), only the first dive for each diver were included (i.e., 36 dives), to ensure the independence of cases since each diver competed over several days.

As part of the competition, supplemental O_2 was available for divers upon surfacing, it was used in 36 dives (72%) and SpO_2 was measured 26 ± 11 min (range: 10-50 min) after exposure.

Statistical Analysis

Data was assessed for normality using Kolmogorov-Smirnov tests (IBM SPSS, Statistics, United States). Differences from baseline B-line score, the right vs. left lung and symptomatic vs. asymptomatic dives were evaluated using the Mann-Whitney test. Effect size (R) was calculated using the Mann-Whitney Z score, divided by the square root of the sample size (n). Correlations were evaluated using Spearman's (r_s) correlational analysis. All data is presented as mean \pm SD and significance was assumed at p < 0.05.

Results

B-Line Score and SpO₂

B-line score was negatively correlated with mean SpO₂ ($r_s = -0.335$, p = 0.046; Figure 1A) and minimum SpO₂ ($r_s = -0.491$, p = 0.002; Figure 1B). Across all zones, there were on average 35 ± 49 B-lines, with a mean and minimum SpO₂ of 96.4 ± 2.8 and $93.7 \pm 4.3\%$, respectively.

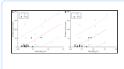


FIGURE 1

(A) Correlation plot of B-lines and mean SpO₂ following deep breath-hold diving ($r_s = -0.335$, p = 0.046) for n = 36. Use of supplemental oxygen at the end of the dive is indicated by used (O₂; open circles) and not used (No O₂, closed circles). ...

Post-dive B-line score was positively correlated with depth ($r_s = 0.408$, p = 0.013; Figure 2). When intercostal regions II-IV were evaluated between sides (i.e., given intercostal region V is confounded by the heart on the left side), the right lung appeared to have a higher B-line score (19 ± 26 B-lines) following the dive compared to the left lung (9 ± 18 B-lines; U = 784.5, p = 0.001, R = 0.322).

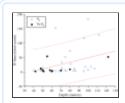


FIGURE 2

Correlation plot of B-lines and diving depth ($r_s = 0.408$, p = 0.013) for n = 36. Use of supplemental oxygen at the end of the dive is indicated by used (O₂; open circles) and not used (No O₂, closed circles). Linear regression (solid line) with 90% confidence ...

Symptoms

When B-line and SpO₂ were grouped based on symptoms, symptomatic dives showed significantly lower SpO₂, higher B-line score and greater depth (Figure 3 and Table 1). Mean SpO₂ also correlated positively with symptoms ($r_s = -0.421$, p = 0.010), and with depth ($r_s = -0.381$, p = 0.022). Minimum SpO₂ was negatively correlated with both symptoms ($r_s = -0.468$, p = 0.004) and depth ($r_s = -0.347$, p = 0.038).



FIGURE 3

(A) Correlation plot of respiratory symptoms and the relationship between B-lines and mean SpO₂. Symptomatic (open circles) and asymptomatic (closed circles). Linear regression (solid line) with 90% confidence intervals (dotted line). (B) Dot plot of ...

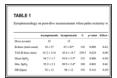


TABLE 1

Symptomatology on post-dive measurements when pulse oximetry was collected within 30 min.

Baseline and Post-Dive B-Lines

There was an increase in mean B-line scores from baseline at 5 ± 2 (range: 2–6; n = 6) to post-dive at 33 ± 46 (range: 1–183; n = 50; U = 65.6, p = 0.012, R = 0.308; Figure 4). Males (35 dives) demonstrated higher B-line scores (41 ± 50 B-lines) compared to females (15 dives; 9 ± 9 B-lines; U = 340.5, p = 0.01, R = 0.362). However, males had deeper dives (81 ± 20 m) than females (60 ± 13 m; U = 131.5, p = 0.001, R = 0.460).

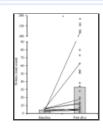


FIGURE 4

Individual (circles) and mean (bar) B-line scores at baseline and following the competitive dives. Solid lines connect baseline with post-dive values in participants with a baseline score (n = 6). *p < 0.05.

Discussion

Our main finding is that SpO₂ measured within 30 min of surfacing correlates inversely to the prevalence of B-lines. This shows that impairments in the recovery of SpO₂ during this period could reflect an excess of extra-pulmonary lung water. This relationship becomes further apparent as lower SpO₂ was associated with respiratory symptoms. Aligning with our hypothesis, the measurement of pulse oximetry shortly after a dive is a simple and valuable tool for breath-hold divers for identifying possible lung harm. Indeed, many athletes, coaches, and organizers have begun incorporating pulse oximetry monitoring; however, this is the first study to establish its correlation with extravascular lung water during an actual competition, along with a specific time for measurement.

The positive correlation between depth and B-lines score aligns with earlier studies (Frassi et al., 2008; Lambrechts et al., 2011), and those demonstrating an increase in the incidence of pulmonary edema, via reduced spirometric performance (Linér and Andersson, 2008). Depth is also associated with an increase in pulmonary edema-related symptoms (Lindholm et al., 2008; Cialoni et al., 2012). However, as also shown in our data, some susceptible individuals may present symptoms after relatively shallow dives (Cialoni et al., 2012).

Aligning with Linér and colleagues, our data shows a negative correlation between depth and SpO₂ (Linér and Andersson, 2008). While persistent post-dive hypoxemia may have multiple causes: (1) ventilation/perfusion mismatch due to atelectasis (Fahlman et al., 2009; Schipke et al., 2019). (2) increased right-to-left shunt; via increased strain on the right ventricle (Scherhag et al., 2005) and/or chronic pulmonary hypertension (Vestin, 2015), so if right atrial pressure becomes higher than left atrial pressure right-to-left shunting can occur (Layoun et al., 2017). A third cause could be diffusion limitation due to increased extravascular lung water (Picano et al., 2006; Boussuges et al., 2011; Picano and Pellikka, 2016). Finally, additional causes could be hypoventilation due to reduction in vital capacity, related to alveolar hemorrhage (Kiyan et al., 2001; Gouzi et

al., 2007) or pulmonary barotrauma (Kiyan et al., 2001; Chung et al., 2010; Banham and Lippmann, 2019). Accordingly, persistent hypoxemia after diving, in a freediver, warrants a more in-depth analysis.

In addition, we found a clear difference in minimum SpO_2 between divers with or without pulmonary symptoms. Indeed, all symptomatic dives had SpO_2 below 95%, which further supports our main hypothesis that SpO_2 could be useful to detect cases. B-line prevalence has a high range of reporting, varying from 14 to 31% (Frassi et al., 2008; Linér and Andersson, 2008; Cialoni et al., 2012) that can be explained by different approach (prospective or retrospective) and in our group, it was 15%. If we use the value of minimum $SpO_2 \le 95\%$ after a deep dive, the positive predictive value is 29% and the negative predictive value is 100%. In terms of safety, it is better to have false positives that can be discarded with further investigation, than to let divers with pulmonary edema resume diving too early.

The unique finding that the right lung demonstrated an increased B-line score compared to the left lung (when matched for zones), is difficult to discern. However, there are some reports of unilateral swimming-induced pulmonary edema (Mahon et al., 2002; Lund et al., 2003), which could be related to increased perfusion on dependent zones of the lung. In terms of the biomechanics of propulsion, important differences exist between each discipline (Schagatay, 2011), but we did not find differences among disciplines. When freedivers reach the bottom plate, they have to make a change of direction to start swimming upward, this turn requires some thoracic torsion. This movement produces a change in thoracic compliance which can lead to a heterogeneous distribution of transpulmonary pressure (Cortes-Puentes et al., 2015). While we did not record which arm the divers turned with, if our cohort was primarily right-handed, it is intriguing to postulate that this might account for the uneven distribution of B-lines between right and left lung.

Our study thus confirms previous studies documenting an increase in B-lines after breath-hold diving (Frassi et al., 2008; Lambrechts et al., 2011). A relevant discussion revolves around how many B-lines are meaningful in diagnosing pulmonary edema in freedivers. Usually, they are not pronounced in the normal lung (Dietrich et al., 2016) and can be seen in other conditions, such as atelectasis (Dietrich et al., 2016), pneumonitis, and fibrosis that are unrelated to pulmonary edema (Volpicelli et al., 2012; Soldati et al., 2016). However, an increase in B-lines does not always coincide with pulmonary edema-related symptoms (Linér and Andersson, 2008). But, the notion that B-lines increase after diving and usually disappear in 24 h (Frassi et al., 2008) reinforces that it is an indirect measure of extra-vascular lung water which has been confirmed on other causes of pulmonary edema (Dietrich et al., 2016; Picano and Pellikka, 2016; Assaad et al., 2018).

With males diving deeper than females in the current study, it was not possible to evaluate whether there is a difference between sexes in B-line prevalence. However, with sex-related structural and functional differences of the lungs (Dominelli et al., 2019), different stroke volume during apnea (Magnani et al., 2018), and the unique hormonal role of estrogen on the pulmonary vasculature (Lahm et al., 2008), this is a valuable question and warrants further investigation.

Interestingly, not all deep dives lead to a substantial increase in B-lines and there is considerable variation in B-line score between divers. For example, some dives over 100 m had B-line scores ≤20, which is comparable to most of the 60-m dives. Many inter-individual differences could influence the incidence of B-lines. On one hand, there could be possible modifiable factors as a pre-dive warm-up, discipline, ascent/descent speed, use of glossopharyngeal insufflation (Chung et al., 2010), depth training (Cialoni et al., 2012), involuntary breathing movements at depth (Kiyan et al., 2001; Koehle et al., 2005; Lambrechts et al., 2011) and rib cage compliance. On the other hand, unmodifiable factors could be psychological stress, cold water (Koehle et al., 2005), lung volumes (Lindholm et al., 2008), residual volume to total lung capacity ratio (Ferretti et al., 2012), previous acute lung injuries (Carter et al., 2014), comorbidities and medications (Koehle et al., 2005), and genetic predisposition (Cialoni et al., 2015).

Safety is crucial in freediving, and there are no guidelines for safe return-to-dive practices regarding pulmonary edema. The overarching goal of the current study was to evaluate if pulse oximetry can act as a reliable marker of potential damage, to provide divers with information to enable them to evaluate their symptoms, and competition safety team to detect possibly affected divers. A pulse oximeter is an easy to use low-cost option for divers to be able to self-evaluate their condition post-dive. Efficient recovery of SpO₂ is reliant upon efficient pulmonary gas exchange, which when impaired by fluid, prevents

normal oxygenation, which is particularly dangerous for divers as they are severely hypoxic upon surfacing. Blood gas measurements of S_aO_2 and P_aO_2 in elite trained divers have been reported to drop well below 60% and 30 mmHg, respectively, during static apnea (Willie et al., 2015; Bain et al., 2017). Recently, the use of underwater near-infrared spectroscopy (NIRS) and pulse-oximetry reveals that even lower values develop after deep freediving (McKnight et al., 2021; Mulder and Schagatay, 2021). At such low levels of circulation oxygen, syncope can occur and the hypoxic blackout is not an uncommon occurrence (Pearn et al., 2015). Given the competitive progression of the sport of breath-hold diving, tools and guidelines aiming to improve diver safety are essential and important in keeping pace with the sport.

It is important to remark that there lacks a standardized definition of this type of pulmonary edema, induced by deep breathhold diving. In the literature, there are a variety of terms in use, including squeeze (Lindholm and Lundgren, 2009; Schipke et al., 2019), pulmonary barotrauma, and immersion pulmonary edema (Moon et al., 2016; Kumar and Thompson, 2019). It has been suggested that a pathophysiological link exists between the pulmonary edema occurring during immersion and at high altitude. For example, high-resolution computed tomography imaging, albeit in a small cohort of patients (n = 4), suggests the heterogenetic blood flow response to hypoxia that occurs in high altitude pulmonary edema, may also be shared by those susceptible to immersion pulmonary edema (Lindholm et al., 2018). Furthermore, differences in lung structure and pulmonary lymphatics may also support a shared mechanism, especially in those susceptible to both high altitude pulmonary edema and immersion pulmonary edema (Carter et al., 2014). However, deep breath-hold diving imparts unique stress upon the pulmonary vasculature, since it is characterized by an increasing hydrostatic pressure that compresses both lung and thoracic cage (Fitz-Clarke, 2007). Due to differences in geometry and strain response (Plataki and Hubmayr, 2010; Andrikakou et al., 2016), the reduction in lung volume occurs at a quicker rate than the thoracic cage, and this interaction reduces intra-pleural pressure (Lai-Fook and Rodarte, 1991); thereby exaggerating the negative pleural pressure and increasing the hydrostatic pressure gradient. As the hydrostatic pressure gradient increases, any increase in the pulmonary artery pressure, due to the blood shift and hypoxic pulmonary vasoconstriction (Sylvester et al., 2012), could elevate pulmonary capillary pressure to the point of capillary stress failure (West et al., 1991). Together, this could lend support to distinct terminology of pulmonary edema because of breath-hold diving as a "depth-induced pulmonary edema."

Limitations

While our study is the first to correlate SpO₂ with the number of lung ultrasound B-lines, it is important to note some limitations.

The small cohort of baseline lung b-line scores in the majority of participants does not allow us to conclude with certainty that the elevation in the B-line score correlates directly with the dive. However, our data aligns with baseline B-line scores in other breath-hold diving cohorts (Frassi et al., 2008; Boussuges et al., 2011; Lambrechts et al., 2011; Patrician et al., 2021).

Additionally, given the study was non-interventional and all measures were collected alongside the competition, variability in timing was unavoidable. However, competition diving provides valuable insight into the current limits of physiology, since divers are highly motivated and performing single dives of maximal (or even supra-maximal) effort. By excluding values too far from the intended timing, we believe the measurements were within acceptable time limits for these circumstances.

Furthermore, the nature of lung B-lines (extravascular lung water), and how they affect SpO₂, would not disappear in one hour. Previous studies had shown that lung B-lines take around 24 h to disappear (Frassi et al., 2008; Cortellaro et al., 2017) and its reduction takes a few hours to occur (Picano et al., 2006). In that regard we will not expect substantial changes after 41 ± 15 min of surfacing, especially without any specific treatment (Dietrich et al., 2016), even oxygen inhalation does not seem to affect extra-vascular lung water (Pratali et al., 2012). Due to the lack of standardization of the dives (regarding warm-up, depth, and discipline), all correlations have to be seen with caution and our findings require confirmation in a more controlled setting.

Regarding SpO₂, it is known that readings can be inaccurate when compared with SaO₂ from blood gases (Wilson et al., 2010; Nitzan et al., 2014). For example, the used device has reported inaccuracy of 3.29% (95% CI 2.39–4.20%) during moderate hypoxic conditions (Ross et al., 2013). Additionally, vasoconstriction can also affect the readings (Wilson et al., 2010), but its

effects last only 60 s on simulated dives (Heistad et al., 1968); on the other hand, sympathetic nerve activity returns to normal values within seconds after resuming breathing and arterial blood pressure returns rapidly to normal values once respiration is resumed (Ferrigno et al., 1997). As we measured SpO_2 within 18 ± 6 min after surfacing and avoided recording from cold fingers/hands, we are confident in mediating the confounding influence of peripheral vasoconstriction. Therefore, we believe that persistent desaturation within 30 min will show real cases instead of false positives. However, as SpO_2 is a surrogate for SaO_2 and can be limited immediately post-dive, confirmation of these findings with arterial blood gas sampling is an important next step.

Conclusion

 SpO_2 after dives was associated with ultrasound lung B-lines score, suggesting that SpO_2 via pulse oximetry could be a useful tool, when measured within 30 min after surfacing, taking into account the intrinsic inaccuracy of the device. Ultrasound lung B-lines correlates with diving depth, confirming that extra-vascular lung water increases with deeper dives. Our findings can be important to increase freediving safety, by identifying injured divers to prevent them from continuing without proper recovery. Our data support the use of a $\mathrm{SpO}_2 < 95\%$ to identify possibly injured divers for further medical evaluation. Failure to identify these divers increases the risk of hypoxic syncope. This proof of concept study requires further research to evaluate its practical application.

Data Availability Statement

The raw data supporting the conclusions of this article will be made available by the authors upon reasonable request.

Ethics Statement

The study was reviewed by the local research ethics board and conformed to the Declaration of Helsinki. The study was also approved by and conducted in conjunction with the competition organizing committee.

Author Contributions

AL-S and ES contributed to the conception of the study. AP, AL-S and ES contributed to the data acquisition. AP, FP and ES contributed to data analysis and manuscript writing and review. All authors approved the submitted version.

Conflict of Interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Publisher's Note

All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

Acknowledgments

We are grateful to all the divers for their participation and to competition organizers for allowing us to conduct the study. We would also like to thank Dr. Lorenza Pratali and Dr. Dragana Ivkovic for their valuable expertise and help during the collection of data.

Footnotes

Funding. Funding was obtained through a donation from the Francis family in memory of their son/brother, who drowned from hypoxic blackout while

snorkeling and holding his breath to dive underwater, from AIDA-international, and the Swedish National Centre for Research in Sports (CIF).

Article information

Front Physiol. 2021; 12: 711798.

Published online 2021 Aug 4. doi: 10.3389/fphys.2021.711798

PMCID: PMC8371971 PMID: 34421654

Alexander Patrician, 1,*,† Frank Pernett, 2,*,† Angelica Lodin-Sundström, 3 and Erika Schagatay 2,4

¹Centre for Heart, Lung & Vascular Health, University of British Columbia, Okanagan, BC, Canada

²Environmental Physiology Group, Department of Health Sciences, Mid Sweden University, Östersund, Sweden

³Department of Nursing Science, Mid Sweden University, Sundsvall, Sweden

⁴Swedish Winter Sports Research Centre, Department of Health Sciences, Mid Sweden University, Östersund, Sweden

Edited by: François Billaut, Laval University, Canada

Reviewed by: Danilo Cialoni, Dan Europe Foundation, Italy; Lars Eichhorn, University Hospital Bonn, Germany

*Correspondence: Alexander Patrician, amdpatrician@gmail.com

Frank Pernett, frank.pernett@miun.se

[†]These authors share first authorship

This article was submitted to Environmental, Aviation and Space Physiology, a section of the journal Frontiers in Physiology

Received 2021 May 19; Accepted 2021 Jul 12.

Copyright © 2021 Patrician, Pernett, Lodin-Sundström and Schagatay.

This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.

Articles from Frontiers in Physiology are provided here courtesy of Frontiers Media SA

References

- Agricola E., Bove T., Oppizzi M., Marino G., Zangrillo A., Margonato A., et al. (2005). "Ultrasound comet-tail images": a
 marker of pulmonary edema a comparative study with wedge pressure and extravascular lung water. *Chest* 127 1690–1695.
 10.1378/chest.127.5.1690 [PubMed] [CrossRef] [Google Scholar]
- 2. Andrikakou P., Vickraman K., Arora H. (2016). On the behaviour of lung tissue under tension and compression. *Sci. Rep.* 6 1– 10. 10.1038/srep36642 [PMC free article] [PubMed] [CrossRef] [Google Scholar]
- 3. Assaad S., Kratzert W. B., Shelley B., Friedman M. B., Perrino A. (2018). Assessment of Pulmonary Edema: principles and Practice. *J. Cardiothorac. Vasc. Anesth.* 32 901–914. 10.1053/j.jvca.2017.08.028 [PubMed] [CrossRef] [Google Scholar]
- 4. Bain A. R., Ainslie P. N., Barak O. F., Hoiland R. L., Drvis I., Mijacika T., et al. (2017). Hypercapnia is essential to reduce the cerebral oxidative metabolism during extreme apnea in humans. *J. Cereb. Blood Flow Metab.* 37 3231–3242. 10.1177/0271678X16686093 [PMC free article] [PubMed] [CrossRef] [Google Scholar]
- 5. Bain A. R., Drvis I., Dujic Z., MacLeod D. B., Ainslie P. N. (2018). Physiology of static breath holding in elite apneists. *Exp. Physiol.* 103 635–651. 10.1113/EP086269 [PubMed] [CrossRef] [Google Scholar]
- 6. Banham N. D., Lippmann J. (2019). Fatal air embolism in a breath-hold diver. *Diving Hyperb. Med.* 49 304–305. 10.28920/dhm49.4.304-305 [PMC free article] [PubMed] [CrossRef] [Google Scholar]
- Boussuges A., Coulange M., Bessereau J., Gargne O., Ayme K., Gavarry O., et al. (2011). Ultrasound lung comets induced by repeated breath-hold diving, a study in underwater fishermen. *Scand. J. Med. Sci. Sport.* 21 e384–92. 10.1111/j.1600-0838.2011.01319.x [PubMed] [CrossRef] [Google Scholar]
- 8. Carter E. A., Mayo J. R., MacInnis M. J., McKenzie D. C., Koehle M. S. (2014). Individual susceptibility to high altitude and immersion pulmonary edema and pulmonary lymphatics. *Aviat. Sp. Environ. Med.* 85 9–14. 10.3357/ASEM.3736.2014

[PubMed] [CrossRef] [Google Scholar]

- 9. Chiumello D., Froio S., Colombo A., Coppola S. (2016). "Lung Ultrasound in the Critically Ill Patient" in *Topical Issues in Anesthesia and Intensive Care*, ed. Chiumello D. (Cham: Springer International Publishing;), 55–67. 10.1007/978-3-319-31398-6_3 [CrossRef] [Google Scholar]
- Chung S. C. S., Seccombe L. M., Jenkins C. R., Frater C. J., Ridley L. J., Peters M. J. (2010). Glossopharyngeal insufflation causes lung injury in trained breath-hold divers. *Respirology* 15 813–817. 10.1111/j.1440-1843.2010.01791.x [PubMed] [CrossRef] [Google Scholar]
- 11. Cialoni D., Marabotti C., Sponsiello N., Pieri M., Balestra C., Lucchini V., et al. (2015). Genetic predisposition to breath-hold diving-induced hemoptysis: preliminary study. *Undersea Hyperb. Med.* 42 75–83. [PubMed] [Google Scholar]
- 12. Cialoni D., Sponsiello N., Marabotti C., Marroni A., Pieri M., Maggiorelli F., et al. (2012). Prevalence of acute respiratory symptoms in breath-hold divers. *Undersea Hyperb. Med.* 39 837–844. [PubMed] [Google Scholar]
- 13. Cortellaro F., Ceriani E., Spinelli M., Campanella C., Bossi I., Coen D., et al. (2017). Lung ultrasound for monitoring cardiogenic pulmonary edema. *Intern. Emerg. Med.* 12 1011–1017. 10.1007/s11739-016-1510-y [PubMed] [CrossRef] [Google Scholar]
- Cortes-Puentes G. A., Keenan J. C., Adams A. B., Parker E. D., Dries D. J., Marini J. J. (2015). Impact of chest wall
 modifications and lung injury on the correspondence between airway and transpulmonary driving pressures. *Crit. Care Med.* 43
 e287–95. 10.1097/CCM.0000000000001036 [PubMed] [CrossRef] [Google Scholar]
- 15. Dietrich C. F., Mathis G., Blaivas M., Volpicelli G., Seibel A., Wastl D., et al. (2016). Lung B-line artefacts and their use. *J. Thorac. Dis.* 8 1356–1365. 10.21037/jtd.2016.04.55 [PMC free article] [PubMed] [CrossRef] [Google Scholar]
- Dominelli P. B., Molgat-Seon Y., Sheel A. W. (2019). Sex Differences in the Pulmonary System Influence the Integrative Response to Exercise. *Exerc. Sport Sci. Rev.* 47 142–150. 10.1249/JES.0000000000000188 [PubMed] [CrossRef] [Google Scholar]
- 17. Fahlman A., Hooker S. K., Olszowka A., Bostrom B. L., Jones D. R. (2009). Estimating the effect of lung collapse and pulmonary shunt on gas exchange during breath-hold diving: the Scholander and Kooyman legacy. *Respir. Physiol. Neurobiol.* 165 28–39. 10.1016/j.resp.2008.09.013 [PubMed] [CrossRef] [Google Scholar]
- 18. Ferretti G., Costa M., Moroni R., Ranieri P., Butti F., Sponsiello N. (2012). Lung volumes of extreme breath-hold divers. *Sport Sci. Health* 7 55–59. 10.1007/s11332-012-0112-y [CrossRef] [Google Scholar]
- 19. Ferrigno M., Ferretti G., Ellis A., Warkander D., Costa M., Cerretelli P., et al. (1997). Cardiovascular changes during deep breath-hold dives in a pressure chamber. *J. Appl. Physiol.* 83 1282–1290. 10.1152/jappl.1997.83.4.1282 [PubMed] [CrossRef] [Google Scholar]
- 20. Fitz-Clarke J. R. (2007). Mechanics of airway and alveolar collapse in human breath-hold diving. *Respir. Physiol. Neurobiol.* 159 202–210. 10.1016/j.resp.2007.07.006 [PubMed] [CrossRef] [Google Scholar]
- Fitz-Clarke J. R. (2018). Breath-hold diving. Compr. Physiol. 8 585–630. 10.1002/cphy.c160008 [PubMed] [CrossRef]
 [Google Scholar]
- 22. Frassi F., Pingitore A., Cialoni D., Picano E. (2008). Chest Sonography Detects Lung Water Accumulation in Healthy Elite Apnea Divers. *J. Am. Soc. Echocardiogr.* 21 1150–1155. 10.1016/j.echo.2008.08.001 [PubMed] [CrossRef] [Google Scholar]
- 23. Gouzi F., Francois G., Renard C., Jounieaux V. (2007). «Deep-purple»: un cas d'hémoptysie lors d'une plongée en apnée. *Rev. Mal. Respir.* 24 1129–1132. 10.1016/S0761-8425(07)74263-8 [PubMed] [CrossRef] [Google Scholar]
- 24. Heistad D. D., Abbound F. M., Eckstein J. W. (1968). Vasoconstrictor response to simulated diving in man. *J. Appl. Physiol.* 25 542–549. 10.1152/jappl.1968.25.5.542 [PubMed] [CrossRef] [Google Scholar]
- Jambrik Z., Monti S., Coppola V., Agricola E., Mottola G., Miniati M., et al. (2004). Usefulness of ultrasound lung comets as a nonradiologic sign of extravascular lung water. *Am. J. Cardiol.* 93 1265–1270. 10.1016/j.amjcard.2004.02.012 [PubMed] [CrossRef] [Google Scholar]
- 26. Kiyan E., Aktas S., Toklu A. S. (2001). Hemoptysis provoked by voluntary diaphragmatic contractions in breath-hold divers. *Chest* 120 2098–2100. 10.1378/chest.120.6.2098 [PubMed] [CrossRef] [Google Scholar]
- Koehle M. S., Lepawsky M., McKenzie D. C. (2005). Pulmonary oedema of immersion. *Sport. Med.* 35 183–190.
 10.2165/00007256-200535030-00001 [PubMed] [CrossRef] [Google Scholar]
- 28. Kumar M., Thompson P. D. (2019). A literature review of immersion pulmonary edema. *Phys. Sportsmed.* 47 148–151. 10.1080/00913847.2018.1546104 [PubMed] [CrossRef] [Google Scholar]
- 29. Lahm T., Crisostomo P. R., Markel T. A., Wang M., Weil B. R., Novotny N. M., et al. (2008). The effects of estrogen on

- pulmonary artery vasoreactivity and hypoxic pulmonary vasoconstriction: potential new clinical implications for an old hormone. *Crit. Care Med.* 36 2174–2183. 10.1097/CCM.0b013e31817d1a92 [PubMed] [CrossRef] [Google Scholar]
- 30. Lai-Fook S. J., Rodarte J. R. (1991). Pleural pressure distribution and its relationship to lung volume and interstitial pressure. *J. Appl. Physiol.* 70 967–978. 10.1152/jappl.1991.70.3.967 [PubMed] [CrossRef] [Google Scholar]
- 31. Lambrechts K., Germonpré P., Charbel B., Cialoni D., Musimu P., Sponsiello N., et al. (2011). Ultrasound lung "comets" increase after breath-hold diving. *Eur. J. Appl. Physiol.* 111 707–713. 10.1007/s00421-010-1697-y [PubMed] [CrossRef] [Google Scholar]
- 32. Layoun M. E., Aboulhosn J. A., Tobis J. M. (2017). Potential role of patent foramen ovale in exacerbating hypoxemia in chronic pulmonary disease. *Texas Hear. Inst. J.* 44 189–197. 10.14503/THIJ-16-6027 [PMC free article] [PubMed] [CrossRef] [Google Scholar]
- 34. Lindholm P. (2007). Loss of motor control and/or loss of consciousness during breath-hold competitions. *Int. J. Sports Med.* 28 295–299. 10.1055/s-2006-924361 [PubMed] [CrossRef] [Google Scholar]
- 35. Lindholm P., Ekborn A., Öberg D., Gennser M. (2008). Pulmonary edema and hemoptysis after breath-hold diving at residual volume. *J. Appl. Physiol.* 104 912–917. 10.1152/japplphysiol.01127.2007 [PubMed] [CrossRef] [Google Scholar]
- 36. Lindholm P., Lundgren C. E. (2009). The physiology and pathophysiology of human breath-hold diving. *J. Appl. Physiol.* 106 284–292. 10.1152/japplphysiol.90991.2008. This [PubMed] [CrossRef] [Google Scholar]
- Lindholm P., Swenson E. R., Martínez-Jiménez S., Guo H. H. (2018). From ocean deep to mountain high: similar computed tomography findings in immersion and high-altitude pulmonary edema. *Am. J. Respir. Crit. Care Med.* 198 1088–1089.
 10.1164/rccm.201803-0581IM [PubMed] [CrossRef] [Google Scholar]
- 38. Linér M. H., Andersson J. P. A. (2008). Pulmonary edema after competitive breath-hold diving. *J. Appl. Physiol.* 104 986–990. 10.1152/japplphysiol.00641.2007 [PubMed] [CrossRef] [Google Scholar]
- 39. Lund K. L., Mahon R. T., Tanen D. A., Bakhda S. (2003). Swimming-induced pulmonary edema. *Ann. Emerg. Med.* 41 251–256. 10.1067/mem.2003.69 [PubMed] [CrossRef] [Google Scholar]
- Magnani S., Mulliri G., Sainas G., Ghiani G., Pinna V., Sanna I., et al. (2018). Occurrence of cardiac output decrease (via stroke volume) is more pronounced in women than in men during prolonged dry static apnea. *J. Appl. Physiol.* 124 349–355.
 10.1152/japplphysiol.00991.2016 [PubMed] [CrossRef] [Google Scholar]
- 41. Mahon R. T., Kerr S., Amundson D., Parrish J. S. (2002). Immersion Pulmonary Edema in Special Forces Combat Swimmers. *Chest* 122 383–384. 10.1378/chest.122.1.383-a [PubMed] [CrossRef] [Google Scholar]
- 42. McKnight J. C., Mulder E., Ruesch A., Kainerstorfer J., Wu J., Hakimi N., et al. (2021). When the human brain goes diving: using NIRS to measure cerebral and systemic cardiovascular responses to deep breath-hold diving in elite freedivers. *Philos. Trans. R. Soc. B Biol. Sci.* 376. "in press." [PMC free article] [PubMed] [Google Scholar]
- Moon R. E., Martina S. D., Peacher D. F., Potter J. F., Wester T. E., Cherry A. D., et al. (2016). Swimming-induced pulmonary edema. *Circulation* 133 988–996. 10.1161/CIRCULATIONAHA.115.019464 [PMC free article] [PubMed] [CrossRef] [Google Scholar]
- 44. Mulder E., Schagatay E. (2021). Using Underwater Pulse Oximetry in Freediving to Extreme Depths to Study Risk of Hypoxic Blackout and Diving Response Phases. *Front. Physiol.* 12:651128. 10.3389/fphys.2021.651128 [PMC free article] [PubMed] [CrossRef] [Google Scholar]
- 45. Nitzan M., Romem A., Koppel R. (2014). Pulse oximetry: fundamentals and technology update. *Med. Devices Evid. Res.* 7 231–239. 10.2147/MDER.S47319 [PMC free article] [PubMed] [CrossRef] [Google Scholar]
- 46. Patrician A., Spajić B., Gasho C., Caldwell H. G., Dawkins T., Stembridge M., et al. (2021). Temporal changes in pulmonary gas exchange efficiency when breath-hold diving below residual volume. *Exp. Physiol.* 106 1120–1133. 10.1113/EP089176 [PubMed] [CrossRef] [Google Scholar]
- 47. Pearn J. H., Franklin R. C., Peden A. E. (2015). Hypoxic Blackout: diagnosis. *Risks, and Prevention. Int. J. Aquat. Res. Educ.* 9 342–347. 10.25035/ijare.09.03.09 [CrossRef] [Google Scholar]
- 48. Picano E., Frassi F., Agricola E., Gligorova S., Gargani L., Mottola G. (2006). Ultrasound lung comets: a clinically useful sign of extravascular lung water. *J. Am. Soc. Echocardiogr.* 19 356–363. 10.1016/j.echo.2005.05.019 [PubMed] [CrossRef] [Google Scholar]
- 49. Picano E., Pellikka P. A. (2016). Ultrasound of extravascular lung water: a new standard for pulmonary congestion. Eur. Heart J.

- 37 2097–2104. 10.1093/eurheartj/ehw164 [PMC free article] [PubMed] [CrossRef] [Google Scholar]
- 50. Plataki M., Hubmayr R. D. (2010). The physical basis of ventilator-induced lung injury. *Expert. Rev. Respir. Med.* 4 373–385. 10.1586/ers.10.28 [PMC free article] [PubMed] [CrossRef] [Google Scholar]
- Pratali L., Rimoldi S. F., Rexhaj E., Hutter D., Faita F., Salmoń C. S. (2012). Exercise induces rapid interstitial lung water accumulation in patients with chronic mountain sickness. *Chest* 141 953–958. 10.1378/chest.11-0084 [PubMed] [CrossRef] [Google Scholar]
- Ross E. M., Matteucci M. J., Shepherd M., Barker M., Orr L. (2013). Measuring arterial oxygenation in a high altitude field environment: comparing portable pulse oximetry with blood gas analysis. *Wilderness Environ. Med.* 24 112–117.
 10.1016/j.wem.2012.11.009 [PubMed] [CrossRef] [Google Scholar]
- 53. Schagatay E. (2009). Predicting performance in competitive apnoea diving. Part I: static apnoea. *Diving Hyperb. Med.* 39 88–99. [PubMed] [Google Scholar]
- 54. Schagatay E. (2010). Predicting performance in competitive apnea diving. Part II: dynamic apnea. *Diving Hyperb. Med.* 40 11–22. [PubMed] [Google Scholar]
- 55. Schagatay E. (2011). Predicting performance in competitive apnea diving. Part III: depth. *Diving Hyperb. Med.* 41 216–228. [PubMed] [Google Scholar]
- 56. Schagatay E., Lodin-Sundström A., Schagatay F., Engan H. (2015). "Can SaO2 measurements during recovery be used to detect lung barotrauma in freedivers?" in *41st Congress of the European Underwater & Baromedical Society (EUBS)*, eds Balestra C., van den Brink A., van Hulst R. (Amsterdam: European Underwater and Baromedical Society;). [Google Scholar]
- 57. Scherhag A., Pfleger S., Grosselfinger R., Borggrefe M. (2005). Does competitive apnea diving have a long-term risk?

 Cardiopulmonary findings in breath-hold divers. *Clin. J. Sport Med.* 15 95–97. 10.1097/01.jsm.0000157650.07492.c5 [PubMed]

 [CrossRef] [Google Scholar]
- 58. Schipke J. D., Lemaitre F., Cleveland S., Tetzlaff K. (2019). Effects of Breath-Hold Deep Diving on the Pulmonary System. *Respiration* 97 476–483. 10.1159/000495757 [PubMed] [CrossRef] [Google Scholar]
- 59. Soldati G., Demi M., Demi L. (2019). Ultrasound patterns of pulmonary edema. *J. Threat. Taxa* 7:16. 10.21037/atm.2019.01.49 [PMC free article] [PubMed] [CrossRef] [Google Scholar]
- 60. Soldati G., Demi M., Inchingolo R., Smargiassi A., Demi L. (2016). On the physical basis of pulmonary sonographic interstitial syndrome. *J. Ultrasound Med.* 35 2075–2086. 10.7863/ultra.15.08023 [PubMed] [CrossRef] [Google Scholar]
- 61. Sylvester J. T., Shimoda L. A., Aaronson P. I., Ward J. P. T. (2012). Hypoxic Pulmonary Vasoconstriction. *Physiol. Rev.* 92 367–520. 10.1152/physrev.00041.2010 [PubMed] [CrossRef] [Google Scholar]
- 62. Vestin P. (2015). Official Summary of the Autopsy Reports Following the Death of Nicholas Mevoli. Switzerland: AIDA International. [Google Scholar]
- 63. Volpicelli G., Elbarbary M., Blaivas M., Lichtenstein D. A., Mathis G., Kirkpatrick A. W., et al. (2012). International evidence-based recommendations for point-of-care lung ultrasound. *Intensive Care Med.* 38 577–91. 10.1007/s00134-012-2513-4 [PubMed] [CrossRef] [Google Scholar]
- 64. Wang Y., Shen Z., Lu X., Zhen Y., Li H. (2018). Sensitivity and specificity of ultrasound for the diagnosis of acute pulmonary edema: a systematic review and meta-analysis. *Med. Ultrason.* 20 32–36. 10.11152/mu-1223 [PubMed] [CrossRef] [Google Scholar]
- 65. West J. B. (2004). Vulnerability of Pulmonary Capillaries during Exercise. *Exerc. Sport Sci. Rev.* 32 24–30. 10.1097/00003677-200401000-00006 [PubMed] [CrossRef] [Google Scholar]
- 66. West J. B., Tsukimoto K., Mathieu-Costello O., Prediletto R. (1991). Stress failure in pulmonary capillaries. *J. Appl. Physiol.* 70 1731–1742. 10.1152/jappl.1991.70.4.1731 [PubMed] [CrossRef] [Google Scholar]
- 67. Willie C. K., Ainslie P. N., Drvis I., MacLeod D. B., Bain A. R., Madden D., et al. (2015). Regulation of brain blood flow and oxygen delivery in elite breath-hold divers. *J. Cereb. Blood Flow Metab.* 35 66–73. 10.1038/jcbfm.2014.170 [PMC free article] [PubMed] [CrossRef] [Google Scholar]
- 68. Wilson B. J., Cowan H. J., Lord J. A., Zuege D. J., Zygun D. A. (2010). The accuracy of pulse oximetry in emergency department patients with severe sepsis and septic shock: a retrospective cohort study. *BMC Emerg. Med.* 10:9. 10.1186/1471-227X-10-9 [PMC free article] [PubMed] [CrossRef] [Google Scholar]