

## HIGHLIGHTED TOPIC | *The Physiology and Pathophysiology of the Hyperbaric and Diving Environments*

### The physiology and pathophysiology of human breath-hold diving

Peter Lindholm<sup>1</sup> and Claes EG Lundgren<sup>2</sup>

<sup>1</sup>Department of Physiology and Pharmacology, Section for Anesthesiology and Intensive Care Medicine, Karolinska Institutet, and Department of Radiology, Karolinska University Hospital, Stockholm, Sweden; and <sup>2</sup>Center for Research and Education in Special Environments, and Department of Physiology and Biophysics, School of Medicine and Biomedical Sciences, University at Buffalo, Buffalo, New York

Submitted 31 July 2008; accepted in final form 24 October 2008

**Lindholm P, Lundgren CE.** The physiology and pathophysiology of human breath-hold diving. *J Appl Physiol* 106: 284–292, 2009. First published October 30, 2008; doi:10.1152/jappphysiol.90991.2008.—This is a brief overview of physiological reactions, limitations, and pathophysiological mechanisms associated with human breath-hold diving. Breath-hold duration and ability to withstand compression at depth are the two main challenges that have been overcome to an amazing degree as evidenced by the current world records in breath-hold duration at 10:12 min and depth of 214 m. The quest for even further performance enhancements continues among competitive breath-hold divers, even if absolute physiological limits are being approached as indicated by findings of pulmonary edema and alveolar hemorrhage postdive. However, a remarkable, and so far poorly understood, variation in individual disposition for such problems exists. Mortality connected with breath-hold diving is primarily concentrated to less well-trained recreational divers and competitive spearfishermen who fall victim to hypoxia. Particularly vulnerable are probably also individuals with preexisting cardiac problems and possibly, essentially healthy divers who may have suffered severe alternobaric vertigo as a complication to inadequate pressure equilibration of the middle ears. The specific topics discussed include the diving response and its expression by the cardiovascular system, which exhibits hypertension, bradycardia, oxygen conservation, arrhythmias, and contraction of the spleen. The respiratory system is challenged by compression of the lungs with barotrauma of descent, intrapulmonary hemorrhage, edema, and the effects of glossopharyngeal insufflation and exsufflation. Various mechanisms associated with hypoxia and loss of consciousness are discussed, including hyperventilation, ascent blackout, fasting, and excessive postexercise O<sub>2</sub> consumption. The potential for high nitrogen pressure in the lungs to cause decompression sickness and N<sub>2</sub> narcosis is also illuminated.

BREATH-HOLD DIVING, PRIMARILY for food gathering, is still practiced in Japan and Korea much the same way as documented in up to 2,000-yr-old Japanese art and literature (65).

While the performance of the aforementioned diving women and men (aka Amas) is impressive in terms of number of dives per workday, the maximal depth (~20 m) and duration (~1 min) are less so, compared with the records set by male and female competitive divers of recent days. The current maximal breath-hold duration of a person resting face down in a swimming pool (“static apnea”) is 10:12 min ([www.aida-international.org](http://www.aida-international.org)), and the depth record of a person being pulled down to depth by a weight and returned to surface by an inflatable “lift bag” (“no

limits”) in the course of 4:24 min is 214 m ([www.aida-international.org](http://www.aida-international.org)).

How is it that, without any obvious specific evolutionary pressure, physiological coping mechanisms have developed that allow these diver-athletes to endure extreme hypoxia and an up to 22-fold compression of the lung gas volume? These mechanisms and what limits them are the topics of this brief review. For earlier full-length reviews see References 29, 30, 42, and 63.

The two main challenges in breath-hold diving are duration and its connection with hypoxia and depth causing mechanical strain on air-containing body cavities by compression. A third challenge is the exposure to high gas pressures with potential pharmacological/toxic effects.

#### THE DIVING RESPONSE

The diving response, exhibited by all air-breathing vertebrates, is elicited by apnea and consists of peripheral vasocon-

Address for reprint requests and other correspondence: P. Lindholm, Berzelius väg 13, Karolinska Institutet, 17177, Stockholm, Sweden (e-mail: [peter.lindholm@ki.se](mailto:peter.lindholm@ki.se)).

striction due to sympathetic activity, connected with initial hypertension, and a vagally induced bradycardia with reduction of the cardiac output (cf. Fig. 1). These circulatory changes are further strengthened by cooling of the facial area and/or hypoxia. In particularly responsive subjects, apnea has been noted to elevate peripheral circulatory resistance up four to five times concomitant with correspondingly intense bradycardia and reduced cardiac output (28, 47, 53). The bradycardia may be part of a reflex response to apnea, but there are observations that the blood pressure increase precedes the slowing of the heart frequency (cf. Fig. 1), suggesting that baroreflex activation plays a role in the development of the bradycardia as may chemoreceptor stimulation from hypoxia during the later part of the breath hold (44, 45). It is generally held that the diving response causes blood and lung oxygen stores to preferentially be distributed to the heart and the brain. Another sympathetic reflex that is part of the multifaceted diving response (cf. Fig. 2) has attracted attention in recent years, namely the effect of breath-hold dives to enhance the hemoglobin concentration of circulating blood by splenic contraction (7, 26, 34, 77), which occurs early during the diving-response cascade, actually preceding the bradycardia (7). Healthy, splenectomized persons do not exhibit the hemoglobin increase when breath holding (77). Higher hemoglobin levels in breath-hold divers than in nondivers were found in one study (21), which suggested long-term enhancement of hemoglobin levels in breath-hold divers may be a consequence of an observed 24% increase in erythropoietin levels (22). These findings contrast with another study in which elite breath-hold divers had normal hemoglobin concentrations and total hemoglobin mass (73). To the extent that breath-hold divers do have increased hemoglobin levels, it may be of the same nature as in persons suffering from obstructive sleep apnea in whom the blood changes reportedly correlate with the severity of hypoxia during sleep (12), although, between the

two groups, there are obvious quantitative differences in the exposure to hypoxia.

Cooling of the face, in particular the forehead and the eye region, is particularly effective in eliciting bradycardia (6, 80). However, due to a marked increase in metabolism, breath-holding time during whole body immersion in cold water (20°C) was 55% shorter than in the thermoneutral nonimmersed condition even if the heart rate was reduced by 26% in the water (82).

The diving response is highly variable among humans during both rest (6, 76) and exercise (47, 53). Systematic differences also exist depending on age and presence or lack of diving experience. The diving bradycardia is quite pronounced in children 4–12 mo of age (33) and may have survival value during hypoxic episodes proximal to birth. The diving response weakens with advancing age and is more marked among habitual breath-hold divers than nondivers (76). The diving response is relatively more pronounced during exercise than during rest (11, 84). The oxygen-sparing effect appears to be proportional to the degree of the bradycardia as exemplified in Fig. 3 and statistically confirmed in several studies during exercise (3, 4, 47, 51, 55, 90).

During rest, the potential to conserve oxygen is less pronounced, but a reduction in pulmonary O<sub>2</sub> uptake has been shown in breath-holding resting humans and more so in experienced divers than in nondiving controls (2, 27), which might help to explain the earlier mentioned extremely long-lasting breath holds during “static apnea” competitions.

**Arrhythmia.** A remarkable feature of the human diving response is the combination of bradycardia with cardiac arrhythmias, due to vagal inhibition of atrioventricular conduction combined with sympathetically induced enhancement of automaticity in other latent pacemakers being conducive to ectopic beats. Other arrhythmogenic factors likely to operate during breath-hold diving are face immersion in cold water, distension of the heart due to large intra-thoracic blood volume, and a large afterload. Given that the diving response is thought to favor myocardial (and brain) perfusion (10), it is noteworthy that one study has found ECG changes indicative of subendocardial ischemia immediately postdive (68). These changes, which were absent in nonsubmersed breath holds, included (but were not limited to) ST depression, heightened T wave, and slowed repolarization with addition of a positive U wave to the QRS complex. Cardiac rhythm disturbances were first recorded in pearl divers by Scholander et al. in 1962 (79). In a later study, submersed wet dives to 55 m in a pressure chamber were performed by three experienced breath-hold divers (all from the same family); after an initial tachycardia, their heart rates fell to 20–30 beats/min near the “bottom.” The longest R-R intervals corresponded to instantaneous heart rates of 8, 13, and 24 beats/min. Furthermore, a very high frequency of premature and inhibitory arrhythmias were recorded during their dives in cool (25°C) water, whereas such disturbances were much less prevalent in dives in thermoneutral (35°C) water (28). Marked but asymptomatic bradycardia (temporarily 5.6 beats/min) induced by apnea with cold-water face immersion has also been reported (6) [for a review of these influences see Ferrigno and Lundgren (29) p. 161–165].

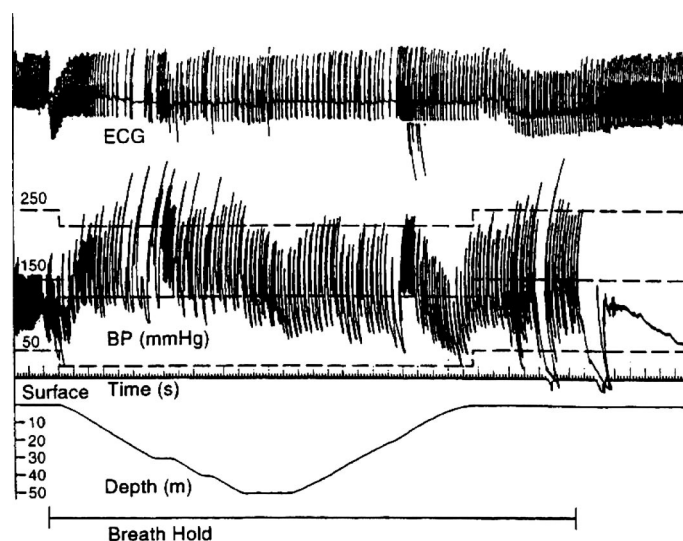


Fig. 1. Electrocardiogram (ECG), blood pressure (BP, mmHg) by radial catheter, and depth (meters) recorded vs. time in 2 expert divers performing breath-hold dives in water at a cool 25°C in a hyperbaric chamber. The relatively high heart rates and BP were most likely due anticipatory stress. Note that the marked increase in BP after start of the dive preceded the bradycardia. [Reproduced by permission from Ferrigno et al. (28).]



**Hyperventilation.** Hyperventilation [a respiratory exchange ratio higher than the respiratory quotient (RQ)] before the dive reduces CO<sub>2</sub> stores in blood and tissues so that the breath-hold dive begins in a state of relative hypocapnia while the oxygen stores, mostly in the lungs, may have increased by a modest 250–300 ml, i.e., enough for an additional 10–60 s of breath holding, depending on physical activity. Thus the CO<sub>2</sub> drive to return to the surface to breathe is delayed, and loss of consciousness ensues without forewarning because the weak respiratory stimulus from hypoxia is easily voluntarily overridden (17, 18). To exemplify: a study of diving fatalities in South Africa reported a fatality rate in 24 scuba accidents at 29%, whereas out of 14 breath-hold diving accidents one-half were fatal, causing the author to call the latter type of diving “the most dangerous diving activity” (39). Nonetheless, athletes competing for duration in immersed breath holding (static apnea) typically hyperventilate extensively before performances, yet only about 10% surface with symptoms of severe hypoxia such as loss of motor control or, rarely, loss of consciousness (46). They apparently determine the duration of their breath hold by means other than the hypercapnic ventilatory drive. Some may react to hypoxia via the albeit weak urge to breathe, while others use faltering vision (“gray-out”) as a clue and even read the wrist watch to break the breath-hold

*Ascent blackout (hypoxia of ascent).* Hypoxia of ascent is caused by the reduction of the water pressure acting on the chest and hence the lung gas pressure. To appreciate the quantitative aspects of this mechanism, it is useful to recall the relationship between gas pressure and volume described by Boyle's law. At the surface the pressure is 1.0 atmospheres absolute (ATA), while each 10 m of depth adds another 1.0 ATA to the pressure on the chest and therefore to the gas pressure in the lungs. Thus, at 40 m, the alveolar gas pressure is 5 ATA and, disregarding minor volume changes due to O<sub>2</sub> and CO<sub>2</sub> exchange, the lung gas volume is one-fifth of the initial volume at the surface as long as the diver remains at that depth. Accepting, for the sake of illustration, an alveolar P<sub>O<sub>2</sub></sub> of 8 kPa (60 Torr) as adequate for reasonable mental function this P<sub>O<sub>2</sub></sub> will be reached when the O<sub>2</sub> fraction in the alveolar air has



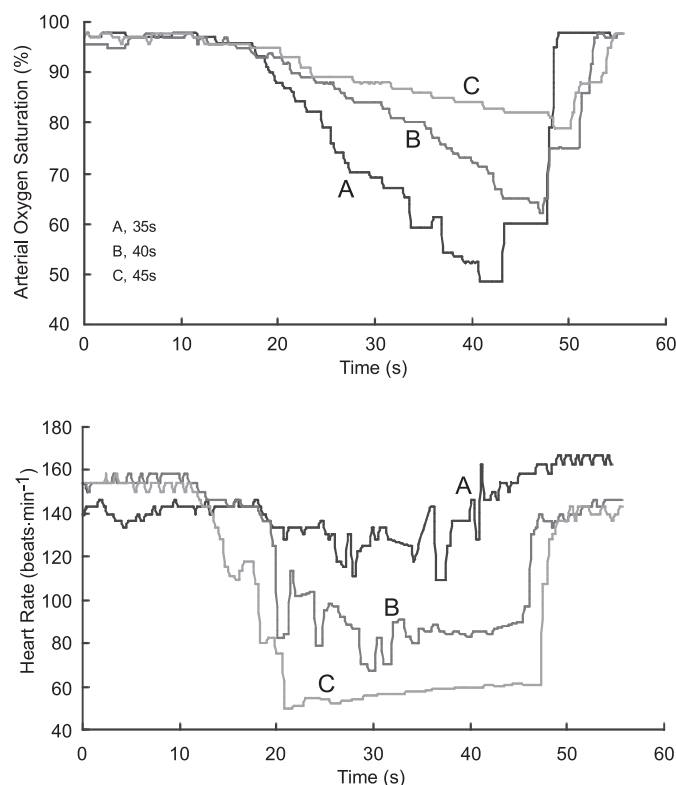


Fig. 3. *Top*: arterial O<sub>2</sub> saturation as a function of time during combined apnea and leg exercise (120 W). Three subjects (A, B, and C) who have different rates of arterial desaturation are shown. Apnea starts at *time zero*, and durations are shown to the left of the diagram. *Bottom*: beat-by-beat heart rate in the same subjects as in the *top* panel. The reciprocal relationship between the degree of bradycardia and the rate of desaturation is clearly visible. (Modified from Refs. 47, 51, 55).

been reduced by metabolism to 1.6% (41, 57). Assuming that an alveolar/arterial Po<sub>2</sub> of 2.7–3.3 kPa (20–25 Torr) (52, 69) will cause loss of consciousness (LOC), this situation will occur when the diver, during ascent, reaches between 7 and 11 m of depth. This is arrived at by the following simple calculation, in which water vapor pressure in the alveoli is 47 mmHg: [(alveolar gas pressure at LOC) × 760 – 47] × 0.016 = 20 or 25. This yields an alveolar (i.e., total pressure) on the chest of 1.7 or 2.1 atm corresponding to 7 and 11 m of depth, respectively. In reality, the LOC is likely to happen at somewhat lesser depth because of the circulation time between the lungs and the brain. Nonetheless, it is not surprising that drowning incidents with apparent connection to hypoxia of ascent are a relatively common among competitive spearfishermen, who are known to operate at relatively deep depths and practice extended breath holds in pursuit of their game (38) [Landsberg PG, *Fin Diver*, 33: 20, 1974; quoted by Landsberg (39)].

**Carbohydrate depletion.** Prolonged periods of physical work deplete the carbohydrate stores (glycogen) in the body, which forces the body to compensate by increasing the rate of lipid (fat) metabolism. When the human body burns fat to produce energy, it uses 8% more oxygen than when metabolizing carbohydrates. Also, 30% less CO<sub>2</sub> is produced by fat metabolism. Thus a breath-hold diver who has depleted the glycogen stores will become hypoxic faster, and, making the situation worse, the CO<sub>2</sub> driven stimulus to breathe will be

delayed. A dive that could safely be performed in a rested and well-fed state may be dangerous after a long day of exertion from diving or land-based activities (50). Carbohydrate intake has been shown to reduce breath-hold durations, due to more rapid CO<sub>2</sub> generation (because of a higher RQ) in subjects who had fasted for 18 h, suggesting that the risk could be reduced by proper carbohydrate intake and that breath-hold diving on an empty stomach may be dangerous (48).

#### BAROTRAUMA OF DESCENT (LUNG SQUEEZE)

If a diver inhales to total lung capacity that, for a realistic exemplification, is 10 liters and descends to 200 m (21 ATA of pressure), that gas volume is, according to Boyle's law, compressed to 0.48 liter; for an easily envisioned analogy this compression ratio of 21:1 corresponds to a large beach ball (diameter 39 cm) being reduced to the size of a grapefruit (14 cm), and that does not even account for the unknown amount of gas being dissolved in the blood. Clearly, there must be physical limits to the deformation that the chest can endure and the expansion of blood-containing structures within the chest can withstand. A schematic of the dimensional events at play is shown in Fig. 4.

There are three possible outcomes of an excessive ambient pressure on the chest-lung complex during apnea: collapse of parts of the lung with atelectasis formation, fluid filtration into the airways and alveolar space, and alveolocapillary membrane rupture with bleeding into the void spaces. Indeed, among competitive breath-hold divers, there are reports of symptoms suggestive of pulmonary edema after deep dives (13, 31, 56), with some cases needing in-hospital oxygen treatment (personal observations by author P. Lindholm). There are also reports of haemoptysis after breath-hold diving (9, 36) and in one study, pulmonary edema was confirmed with chest X-ray after dives to 30 m in the sea (72). Pulmonary edema has also been reported in connection with both surface swimming (1) and SCUBA diving (37). Simple head-out immersion has been found to induce a 0.7-liter redistribution of blood from the periphery into the chest (5). It is highly likely that the potentially much greater pressure differences between the thoracic space and the rest of the body during breath-hold diving cause larger blood redistribution into the chest, thus increasing the capillary engorgement. Extravasation of fluid has been confirmed in conjunction with surface swimming and scuba diving, which, in all likelihood, impart lesser transvascular stresses. A sufficient pressure difference between the blood pressure in the pulmonary capillaries and the intra-alveolar gas pressure may cause stress failure (91) with leakage of fluid and blood into the lungs, similar to hydrostatic or cardiogenic pulmonary edema (89). A transpulmonary capillary pressure as low as 24 mmHg may cause capillary leakage, and is likely to be marked at pressures of 40 mmHg (91). Simple head-out immersion in subjects sitting upright has been shown to cause a redistribution of blood from the periphery into the chest of ~0.7 liter and a more than fourfold increase in mean pulmonary arterial pressure (from 5 to 22 mmHg, *n* = 3). Thus it seems reasonable to assume a considerable transfer of blood (75) and/or increase in pulmonary vascular pressure due to the extraordinary hydrostatic pressure differences across the chest, which are predictable in deep breath-hold diving. On the assumption of the blood volume in the pulmonary vessels increasing by 1.0

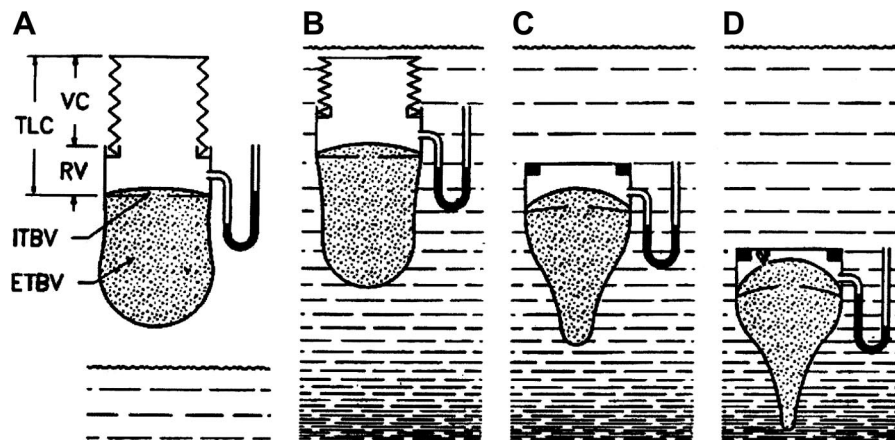


Fig. 4. Schematic of how pressure equilibrium between the chest cavity/lung air and water surrounding the body is achieved (A–C) and lost (D). A: the dive starts by inhalation to total lung capacity (TLC) before submersion; vital capacity (VC) represents the portion of TLC that can be used for pressure equilibration. The manometer indicates overpressure in lung air relative to the ambient atmosphere due to inward recoil of chest and lungs; the residual volume (RV) represents the “noncollapsible” fraction of TLC; the normal intrathoracic blood volume (ITBV) (in the vascular bed and heart) is modest in size; the extrathoracic blood volume is labeled (ETBV). B: beginning of descent with pressure equilibrium relative to ambient water maintained, as indicated by manometer, by compression of chest and some translocation of blood from ETBV to ITBV. C: at greater depth the limits of mechanical compression of chest wall and stretching of diaphragm have been reached, but further compression of lung air and maintenance of pressure equilibrium is achieved by redistribution of large volume of blood from ETBV to ITBV. D: with further descent, the distensibility limit of the blood-containing structures in the chest may be reached, an underpressure develops in the lung relative to the ambient water and therefore to the ITBV with possible extravasation of fluid (pulmonary edema; not shown) and bleeding due to capillary rupture. [Reproduced with permission from Ferrigno and Lundgren(30)].

liter in a deep breath-hold dive and applying published estimates of pulmonary vascular compliance which range from 0.42 ml (60) to  $1.2 \text{ ml} \cdot \text{mmHg}^{-1} \cdot \text{kg body weight}^{-1}$  ( $3.15$  to  $9.02 \text{ ml} \cdot \text{kPa}^{-1} \cdot \text{kg}^{-1}$ ) (24) it has been calculated that, in a 75-kg person, this would yield increases in pressure in the pulmonary vascular bed in the range of 11–32 mmHg (1.47–4.27 kPa) (29). If there is a corresponding capillary pressure increase, it might well be conducive to pulmonary edema. It is important in this context that even the shallow immersion of the chest during swimming at the surface, given enough time, has the potential of causing severe pulmonary edema (1, 37). That symptom-producing pulmonary edema is not frequently observed in breath-hold diving is probably due to the short duration of abnormal blood distribution compared with surface swimming and scuba diving.

To simulate the thorax/lung-squeeze effects of deep diving in the ocean, competitive breath-hold divers have invented a more convenient training method: they dive in shallow water, often in a swimming pool after complete exhalation to residual volume (49). In an experimental study on this diving method, it was shown that dynamic spirometry readings were reduced postdiving and slight hemoptysis was noted in two subjects (49). In that study, dives to 5-m depth after exhalation to residual volume was calculated to simulate a compression of the chest equivalent to a depth of 91 m.

In a recent field study at a breath-hold diving competition in cold water, it was reported that some breath-hold divers had reduced forced expiratory volumes and reduced arterial oxygen saturation after deep diving (56). These measurements should however, be interpreted with some caution because finger-probe pulse oximetry is sensitive to cold, with reduced saturation due to reduced blood flow so that it is not representative of central blood  $\text{O}_2$  saturation (14, 15). Furthermore, cold stimulation has been shown to induce bronchoconstriction (62).

It is still unclear whether the blood streaks and minor bleedings that many divers report, are alveolar in origin or

from other areas of the respiratory system. The laryngoscopic study by Lindholm et al. (49) showed that the blood originated below the vocal cords. Mechanical shear caused by voluntary diaphragmatic contractions to counteract urge to breathe has also been suggested as a cause of hemoptysis by Kiyan et al. (36), which may be explained by the decrease in intrathoracic pressure that accompanies a contraction (43), causing further strain on the alveolocapillary barrier. The long-term complications or adaptations to repeated bleeding and pulmonary edema are not known.

It should also be noted that the blood pressure rises during breath holding, and this occurs more so in some subjects, when the heart rate and cardiac output are not reduced in proportion to the increase in peripheral resistance (28, 53, 85). The hypertension could possibly contribute to edema.

#### GLOSSOPHARYNGEAL INSUFFLATION AND EXSUFFLATION

During the last couple of years, breath-hold diving records have been set using a special respiratory maneuver, called glossopharyngeal insufflation (GI), to add air to the lungs on top of a full inspiration. Popularly, divers often refer to this technique as “lung packing.” The purpose of this maneuver is to start the dive with a very large air volume in the lungs so as to reach the deepest possible depth before the chest and lungs are compressed to the limit of what is subjectively tolerable or mechanically safe. In addition, a larger volume of gas in the lungs adds to the main  $\text{O}_2$  store of the body and adds space for  $\text{CO}_2$  storage. The technique consists of using the glossopharyngeal muscles to “pump” air into the lungs. It is akin to glossopharyngeal breathing first described by Dail et al. (16, 19) in the 1950s in patients with poliomyelitic paralysis. The reversed method, i.e., drawing air out of the lungs, starting at residual volume, is called glossopharyngeal exsufflation (GE) (54, 58). The latter method is employed by the diver whose lungs by compression are at such a small volume that the

expiratory muscles are unable to generate sufficient air pressure in the lungs and upper air ways by the Valsalva maneuver commonly employed for pressure equilibration of the middle ears and sinuses. In that situation, GE allows the diver to draw the small amount of air from the lungs into the mouth and nasopharynx, which is needed for pressure equilibration by the Frenzel maneuver. As an alternative method, some divers equalize the middle ear and sinus pressures with air but by allowing seawater to flow into these spaces via the nose. In one subject, able to instill water through a nostril (before diving) magnetic resonance tomography showed water in the middle ear and sinuses (32).

Divers also use GI and GE on dry land to improve the flexibility of the chest and stretchability of the diaphragm (P, Lindholm, personal communication in 2002 and 2005 with divers in Refs. 52 and 56). Many competitive breath-hold divers have large lung volumes (54, 81, 86), but it is not known whether this is solely a result of the selection of individuals with a genetic advantage or whether training with GI increases the compliance of the ribcage and/or the lungs. Some divers are able to insufflate large volumes and expand the chest significantly, giving them a barrel chest appearance (54). It is possible that they have increased their articular mobility and stretched their respiratory muscles so that the chest volume can increase like what is seen in patients with emphysema. Yet the divers still maintain a normal lung compliance (86). From a study of GI in 16 healthy women (nondivers), it was reported that vital capacity (without GI) had increased by 3% after 6 wk (67). GI was performed cautiously with volumes corresponding to 10–25% of vital capacity. Remarkably, after each session of GI, VC was increased, indicating a “warm-up” effect. This warm-up effect has also been shown by Seccombe et al. (81). It may be ascribed to an increase in static lung compliance (86). Tezclaff et al. (86) found that static lung compliance was normal in a group of competitive breath-hold divers, but after performing GI they exhibited a transient increase in lung compliance that lasted for almost 3 min.

GI has been reported to increase the volume of air that, if not compressed by chest and lung recoil, would correspond to as much as 50% of the vital capacity. To exemplify: if a person with a vital capacity of 8 liters and a residual volume of 2 liters insufflates the lungs with 4 liters of air (measured at 1 ATA) the pressure in the lungs will rise by 10 kPa (75 mmHg), as reported by Loring et al. (58). This causes the total volume of the gas at total lung capacity (10 liters) and the volume (4 liters) drawn in by GI to be compressed to ~12.7 liters. Thus the natural total lung capacity of 10 liters is exceeded by 2.7 liters (27%). This extra volume is accommodated by depression of the diaphragm and an increase of the chest circumference (66, 67) as well as by the compression of the gas just mentioned (58, 81). The pressure will also reduce the amount of blood in the chest, which will free up more space for air (54). The high pressure is, however, not without risk as the pressure will reduce venous return and therefore cardiac preload and consequently diminish cardiac output, potentially resulting in syncope (64, 71).

There are also observations suggesting pulmonary barotrauma induced by GI (35) causing transpulmonary pressures (i.e., pressure across the pulmonary pleura) as high as 8 kPa (60 mmHg) (58). Yet, this maneuver is routinely practiced by

many divers with only few reports of major acute complications.

#### DECOMPRESSION SICKNESS AND NITROGEN NARCOSIS

The effects of high nitrogen pressures in deep breath-hold dives are potentially similar to what scuba divers may experience. Theoretical calculations indicate that repeated deep breath-hold dives, separated by short intervals at the surface, would lead to accumulation of enough N<sub>2</sub> to cause decompression sickness (40, 87). Indeed, the repetitive dive pattern of the Korean Amas has been found to generate hypernitrogenemia. (74). Neurological decompression sickness in breath-hold divers has been reported (78), the diagnosis in some cases being confirmed by successful recompression treatment.

Despite the fact that breath-hold diving recently has reached depths at which an air-breathing scuba diver would be completely incapacitated by nitrogen narcosis, reports of this condition in breath-hold divers are all but absent in the literature. It is possible that episodes of narcosis are forgotten by the divers because it is likely to induce amnesia (8). It is also possible that it does not develop even during deep dives because of the short duration of the exposure as well as diminished gas-exchange area due to lung compression and edema formation, which might slow down the rate of N<sub>2</sub> uptake in the blood. Moreover, a generally “macho” attitude among divers might make some of them reluctant to confessing to have been narcotized. However, there is one self-report by a world-record-holding diver in whom marked narcosis developed during descent to 160 m of depth and was strongest on the first half of the ascent (83). The diagnosis of nitrogen narcosis is in this case supported by video footage showing the diver having difficulties with some simple, well-rehearsed manipulations of the valve used for inflation of the lift-bag to initiate the ascent.

#### FATALITIES

A common problem in explaining fatal accidents during breath-hold diving is that the official cause of death is frequently just listed as “drowning” (70). Sometimes more enlightening information is available from eyewitnesses having observed the victim hyperventilate intensely before the dive or losing consciousness during ascent near the surface after a deep and/or long lasting dive. Drowning due to loss of consciousness secondary to hypoxia after excessive hyperventilation still is relatively common among “amateur” breath-hold divers (17, 18), and fatal hypoxia of ascent appears to be particularly frequently among competitive spearfishermen (38). Although, relative to the recent marked increase in the popularity of competitive breath-hold diving, spearfishing may be less widely practiced, it accounted for over 30% of the fatalities recorded in the 2004 accident registry of “Divers Alert Network” (20). By contrast, the mortality among experienced competitive breath-hold divers who make the deepest dives has so far remained remarkably low judging from how few are reported in the lay press. Both of the two cases widely publicized in the last several years were due to technical mishaps; one was ascribed to entanglement in a line and the other to a malfunctioning lift bag. Still there are unexplained cases particularly among recreational snorkelers/breath-hold divers. Some of these cases are undoubtedly of cardiac origin,



which, when due to arrhythmia, may not be explainable on the basis of autopsy findings and will simply be recorded as “drowning.” A condition also not diagnosable postmortem is alternobaric vertigo (61, 88), which has been well studied in scuba divers but may be particularly dangerous for breath-hold divers. This condition [aka Lundgren’s syndrome (23)] consists of rotational vertigo, primarily during ascent and may, in severe cases, cause disorientation and vomiting. It is elicited by asymmetric pressure equilibration between the middle ears. A predisposing factor can be middle ear barotrauma and asymmetrical swelling of the eustachian tubes during a day of diving. In some instances scuba divers have been able to cope with even a severe case by stopping the ascent until the vertigo has subsided. By contrast, a breath-hold diver may be in a much more dangerous situation if he or she is unable to swim in the right direction due to disorientation and floating passively to the surface is impossible because of reduced buoyancy due to lung compression.

#### PERSPECTIVES AND FUTURE DIRECTIONS

Given the increasing popularity of various forms of breath-hold diving competition, breath-hold divers’ quests for greater depths and longer lasting apneas is, without doubt, bringing the participants ever closer to absolute physiological/anatomic limits, and life-threatening injuries may become more common. It is not known to what extent genetics and/or training allows some individuals to dive to well over 100 m without clinically apparent ill effects, whereas others suffer pulmonary hemorrhage and signs of pulmonary edema at depths as modest as 20–30 m. Some potentially critical factors in the etiology of swimmers’/divers’ pulmonary edema such as cold exposure, physical exertion, and predisposing physiological traits (incipient hypertension and excessive sympathetic activation as parts of the diving response) are open to study. Is intense parasympathetic suppression of heart frequency and rhythmicity conducive to dangerous arrhythmia in susceptible individuals? Is CNS tolerance to hypoxia trainable? Are there long-term unfavorable effects of frequent exposures to extreme hypoxia and micro damage to the circulatory system? Some aspects of the reactions to breath-hold diving may extrapolate to clinical conditions unrelated to diving. Are there parallels between the suppression of respiratory drive in breath-hold divers and sufferers of sleep apnea? Is the mechanism by which an ascending diver reexpands his or her alveoli, which most likely have been atelectatic at depth, applicable to the practice of pulmonary medicine? What is the explanation of the short-term increase in lung compliance after GI. And, again, is the extreme stretching of the chest and lungs deleterious in the longer time perspective?

#### REFERENCES

- Adir Y, Shupak A, Gil A, Peled N, Keynan Y, Domachevsky L, Weiler-Ravell D. Swimming-induced pulmonary edema: clinical presentation and serial lung function. *Chest* 126: 394–399, 2004.
- Andersson JP, Biasoletto-Tjellstrom G, Schagatay EK. Pulmonary gas exchange is reduced by the cardiovascular diving response in resting humans. *Respir Physiol Neurobiol* 160: 320–324, 2008.
- Andersson JP, Evaggelidis L. Arterial oxygen saturation and diving response during dynamic apneas in breath-hold divers. *Scand J Med Sci Sports*: February 21, 2008.
- Andersson JP, Liner MH, Runow E, Schagatay EK. Diving response and arterial oxygen saturation during apnea and exercise in breath-hold divers. *J Appl Physiol* 93: 882–886, 2002.
- Arborelius M Jr, Balldin UI, Lila B, Lundgren CE. Regional lung function in man during immersion with the head above water. *Aerosp Med* 43: 701–707, 1972.
- Arnold RW. Extremes in human breath hold, facial immersion bradycardia. *Undersea Biomed Res* 12: 183–190, 1985.
- Bakovic D, Valic Z, Eterovic D, Vukovic I, Obad A, Marinovic-Terzic I, Djucic Z. Spleen volume and blood flow response to repeated breath-hold apneas. *J Appl Physiol* 95: 1460–1466, 2003.
- Bennett PB. Inert gas narcosis. In: *The Physiology and Medicine of Diving*, edited by Bennett PB and Elliott DH. London: Saunders, 1993, p. 170–193.
- Boussuges A, Pinet C, Thomas P, Bergmann E, Sainty JM, Vervloet D. Haemoptysis after breath-hold diving. *Eur Respir J* 13: 697–699, 1999.
- Butler PJ, Jones DR. Physiology of diving of birds and mammals. *Physiol Rev* 77: 837–899, 1997.
- Butler PJ, Woakes AJ. Heart rate in humans during underwater swimming with and without breath-hold. *Respir Physiol* 69: 387–399, 1987.
- Choi JB, Loredo JS, Norman D, Mills PJ, Ancoli-Israel S, Ziegler MG, Dimsdale JE. Does obstructive sleep apnea increase hematocrit? *Sleep* 10: 155–160, 2006.
- Cialoni D, Maggiorelli F, Sponsiello N, Tonerini M, Frammartino B. Epidemiological investigation on hemoptysis in breath hold divers. In: *Blue 2005 Human Behaviour and Limits in Underwater environment. Special Conference on Breath-Hold Diving*, edited by Bedini R, Belardinelli A, and Reale L. Pisa, Italy: University of Chieti, 2005, p. 103–104.
- Clayton DG, Webb RK, Ralston AC, Duthie D, Runciman WB. A comparison of the performance of 20 pulse oximeters under conditions of poor perfusion. *Anaesthesia* 46: 3–10, 1991.
- Clayton DG, Webb RK, Ralston AC, Duthie D, Runciman WB. Pulse oximeter probes. A comparison between finger, nose, ear and forehead probes under conditions of poor perfusion. *Anaesthesia* 46: 260–265, 1991.
- Collier CR, Dail CW, Affeldt JE. Mechanics of glossopharyngeal breathing. *J Appl Physiol* 8: 580–584, 1956.
- Craig AB Jr. Causes of loss of consciousness during underwater swimming. *J Appl Physiol* 16: 583–586, 1961.
- Craig AB Jr. Summary of 58 cases of loss of consciousness during underwater swimming and diving. *Med Sci Sports* 8: 171–175, 1976.
- Dail CW, Affeldt JE, Collier CR. Clinical aspects of glossopharyngeal breathing: report of use by one hundred postpoliomyelitic patients. *J Am Med Assoc* 158: 445–449, 1955.
- DAN. *Annual Diving Report-2006 Edition*. Durham, NC: Divers Alert Network, 2006.
- de Bruijn R, Richardson M, Haughey H, Holmberg HC, Björklund G, Schagatay E. Hemoglobin levels in elite divers, elite skiers and untrained humans. In: *30th Annual Scientific Meeting of The European Underwater and Baromedical Society on Diving and Hyperbaric Medicine*. Ajaccio, France: National Research Establishment.
- de Bruijn R, Richardson M, Schagatay E. Increased erythropoietin concentration after repeated apneas in humans. *Eur J Appl Physiol* 102: 609–613, 2008.
- Duvallet A, Merville A. Le syndrome de Lundgren, un vertige trop fréquent chez le plongeur amateur. *Med Sub Hyp* 4: 41–50, 1985.
- Echt M, Duweling J, Gauer OH, Lange L. Effective compliance of the total vascular bed and the intrathoracic compartment derived from changes in central venous pressure induced by volume changes in man. *Circ Res* 34: 61–68, 1974.
- Ernsting J, Sharp GR, Harding RM. Hypoxia and hyperventilation. In: *Aviation Medicine*, edited by Ernsting J and King PF. Cambridge: Butterworths, 1988, p. 55.
- Espersen K, Frandsen H, Lorentzen T, Kanstrup IL, Christensen NJ. The human spleen as an erythrocyte reservoir in diving-related interventions. *J Appl Physiol* 92: 2071–2079, 2002.
- Ferretti G, Costa M, Ferrigno M, Grassi B, Marconi C, Lundgren CE, Cerretelli P. Alveolar gas composition and exchange during deep breath-hold diving and dry breath holds in elite divers. *J Appl Physiol* 70: 794–802, 1991.
- Ferrigno M, Ferretti G, Ellis A, Warkander D, Costa M, Cerretelli P, Lundgren CE. Cardiovascular changes during deep breath-hold dives in a pressure chamber. *J Appl Physiol* 83: 1282–1290, 1997.
- Ferrigno M, Lundgren CEG. Breath-hold diving. In: *Bennett and Elliott’s Physiology and Medicine of Diving*, edited by Brubakk AO and Neuman T. New York: Saunders, 2003, p. 153–180.

30. Ferrigno M, Lundgren CEG. Human breath-hold diving. In: *The Lung at Depth*, edited by Lundgren CEG and Miller JN. New York: Dekker, 1999, p. 529–585.
31. Fitz-Clarke JR. Adverse events in competitive breath-hold diving. *Undersea Hyperb Med* 33: 55–62, 2006.
32. Gernonpre P, Balestra C, Musimu P. Passive flooding of paranasal sinuses and middle ears as a method of equalisation in extreme breath-hold diving. *Br J Sports Med* February 28, 2008.
33. Goksor E, Rosengren L, Wennergren G. Bradycardic response during submersion in infant swimming. *Acta Paediatr* 91: 307–312, 2002.
34. Hurford WE, Hong SK, Park YS, Ahn DW, Shiraki K, Mohri M, Zapol WM. Splenic contraction during breath-hold diving in the Korean ama. *J Appl Physiol* 69: 932–936, 1990.
35. Jacobson FL, Loring SH, Ferrigno M. Pneumomediastinum after lung packing. *Undersea Hyperb Med* 33: 313–316, 2006.
36. Kiyan E, Aktas S, Toklu AS. Hemoptysis provoked by voluntary diaphragmatic contractions in breath-hold divers. *Chest* 120: 2098–2100, 2001.
37. Koehle MS, Lepawsky M, McKenzie DC. Pulmonary oedema of immersion. *Sports Med* 35: 183–190, 2005.
38. Landsberg PG. Hyperventilation: An unpredictable danger to the sports diver. In: *The Physiology of Breath-hold Diving*, edited by Lundgren CEG and Ferrigno M. Bethesda, MD: Undersea and Hyperbaric Medical Society, 1987, p. 256–267.
39. Landsberg PG. South African underwater diving accidents, 1969–1976. *S Afr Med J* 50: 2155–2159, 1976.
40. Lanhphier EH. Application of Decompression Tables to Repeated Breath-Hold Dives. In: *Physiology of Breath-Hold Diving and the Ama of Japan*, edited by Rahn H. Washington, DC: Natl. Acad. Sci-Natl. Res. Council, 1965, p. 227–236.
41. Lanhphier EH, Rahn H. Alveolar gas exchange in breath-hold diving. *J Appl Physiol* 18: 471–477, 1963.
42. Lin YC, Hong SK. Hyperbaria: breath-hold diving. In: *Handbook of Physiology. Environmental Physiology*. Bethesda, MD: Am. Physiol. Soc., 1996, chapt. 42, p. 979–995.
43. Lin YC, Lally DA, Moore TO, Hong SK. Physiological and conventional breath-hold breaking points. *J Appl Physiol* 37: 291–296, 1974.
44. Lin YC, Shida KK, Hong SK. Effects of hypercapnia, hypoxia, and rebreathing on circulatory response to apnea. *J Appl Physiol* 54: 172–177, 1983.
45. Lin YC, Shida KK, Hong SK. Effects of hypercapnia, hypoxia, and rebreathing on heart rate response during apnea. *J Appl Physiol* 54: 166–171, 1983.
46. Lindholm P. Loss of motor control and/or loss of consciousness during breath-hold competitions. *Int J Sports Med* 28: 295–299, 2007.
47. Lindholm P. *Severe Hypoxemia During Apnea in Humans: Influence of Cardiovascular Responses* (Thesis). Stockholm, Sweden: Karolinska Institutet, 2002.
48. Lindholm P, Conniff M, Gennser M, Pendergast D, Lundgren C. Effects of fasting and carbohydrate consumption on voluntary resting apnea duration. *Eur J Appl Physiol* 100: 417–425, 2007.
49. Lindholm P, Ekborn A, Oberg D, Gennser M. Pulmonary edema and hemoptysis after breath-hold diving at residual volume. *J Appl Physiol* 104: 912–917, 2008.
50. Lindholm P, Gennser M. Aggravated hypoxia during breath-holds after prolonged exercise. *Eur J Appl Physiol* 93: 701–707, 2005.
51. Lindholm P, Linnarsson D. Pulmonary gas exchange during apnoea in exercising men. *Eur J Appl Physiol* 86: 487–491, 2002.
52. Lindholm P, Lundgren CE. Alveolar gas composition before and after maximal breath-holds in competitive divers. *Undersea Hyperb Med* 33: 463–467, 2006.
53. Lindholm P, Nordh J, Linnarsson D. Role of hypoxemia for the cardiovascular responses to apnea during exercise. *Am J Physiol Regul Integr Comp Physiol* 283: R1227–R1235, 2002.
54. Lindholm P, Nyren S. Studies on inspiratory and expiratory glossopharyngeal breathing in breath-hold divers employing magnetic resonance imaging and spirometry. *Eur J Appl Physiol* 94: 646–651, 2005.
55. Lindholm P, Sundblad P, Linnarsson D. Oxygen-conserving effects of apnea in exercising men. *J Appl Physiol* 87: 2122–2127, 1999.
56. Liner MH, Andersson JP. Pulmonary edema after competitive breath-hold diving. *J Appl Physiol* 104: 986–990, 2008.
57. Liner MH, Ferrigno M, Lundgren CE. Alveolar gas exchange during simulated breath-hold diving to 20 m. *Undersea Hyperb Med* 20: 27–38, 1993.
58. Loring SH, O'Donnell CR, Butler JP, Lindholm P, Jacobson F, Ferrigno M. Transpulmonary pressures and lung mechanics with glossopharyngeal insufflation and exsufflation beyond normal lung volumes in competitive breath-hold divers. *J Appl Physiol* 102: 841–846, 2007.
59. Luft U. *Altitude Tolerance in German Aviation Medicine, World War II Prepared Under the Auspices of the Surgeon General*. Washington, DC: US Air Force, Department of the Air Force, 1950, chapt. IV-J, p. 304–320.
60. Lundgren C, Farhi L. Pulmonary circulation in diving and hyperbaric environment. In: *Pulmonary Vascular Physiology and Pathophysiology*, edited by Weir E and Reeves J. New York: Dekker, 1989, p. 199–240.
61. Molvaer OI, Albrektzen G. Alternobaric vertigo in professional divers. *Undersea Biomed Res* 15: 271–282, 1988.
62. Mukhtar MR, Patrick JM. Bronchoconstriction: a component of the 'diving response' in man. *Eur J Appl Physiol Occup Physiol* 53: 155–158, 1984.
63. Muth CM, Ehrmann U, Radermacher P. Physiological and clinical aspects of apnea diving. *Clin Chest Med* 26: 381–394, v, 2005.
64. Novalija J, Lindholm P, Loring SH, Diaz E, Fox JA, Ferrigno M. Cardiovascular aspects of glossopharyngeal insufflation and exsufflation. *Undersea Hyperb Med* 34: 415–423, 2007.
65. Nukada M. Historical development of the ama's diving activities. In: *Physiology of Breath-Hold Diving and the Ama of Japan*, edited by Rahn H. Washington, DC: Natl. Acad. Sci-Natl. Res. Council, 1965, p. 25–40.
66. Nygren-Bonnier M, Gullstrand L, Klefbeck B, Lindholm P. Effects of glossopharyngeal piston for lung insufflation in elite swimmers. *Med Sci Sports Exerc* 39: 836–841, 2007.
67. Nygren-Bonnier M, Lindholm P, Markstrom A, Skedinger M, Mattsson E, Klefbeck B. Effects of glossopharyngeal piston for lung insufflation on vital capacity in healthy women. *Am J Phys Med Rehabil* 86: 290–294, 2007.
68. Oliveira E, Gomez Patino N. *Cambios electrocardiograficos inducidos por la immersion*. *Rev Espanola Cardiol* 30: 11–15, 1977.
69. Overgaard K, Friis S, Pedersen RB, Lykkeboe G. Influence of lung volume, glossopharyngeal inhalation and P(ET) O<sub>2</sub> and P(ET) CO<sub>2</sub> on apnea performance in trained breath-hold divers. *Eur J Appl Physiol* 97: 158–164, 2006.
70. Pollock N. Development of the DAN breath-hold incident database. In: *Breath-Hold Diving. Proceedings of the Undersea and Hyperbaric Medical Society/Divers Alert Network Workshop*, edited by Lindholm P, Pollock N, and Lundgren C. Durham, NC: Divers Alert Network, 2006, p. 46–55.
71. Potkin R, Cheng V, Siegel R. Effects of glossopharyngeal insufflation on cardiac function: an echocardiographic study in elite breath-hold divers. *J Appl Physiol* 103: 823–827, 2007.
72. Prediletto R, Catapano G, Fornai E, Carli C, Reale L, Passera M, Cialoni D, Belardinelli A, Bedini R, Poli D, Bettini E. Stress of pulmonary gas exchange in breath hold dives. In: *Blue 2005 Human Behaviour and Limits in Underwater Environment. Special Conference on Breath-Hold Diving*, edited by Bedini R, Belardinelli A, and Reale L. Pisa, Italy: University of Chieti 2005, p. 105–106.
73. Prommer N, Ehrmann U, Schmidt W, Steinacker JM, Radermacher P, Muth CM. Total haemoglobin mass and spleen contraction: a study on competitive apnea divers, non-diving athletes and untrained control subjects. *Eur J Appl Physiol* 101: 753–759, 2007.
74. Radermacher P, Falke KJ, Park YS, Ahn DW, Hong SK, Qvist J, Zapol WM. Nitrogen tensions in brachial vein blood of Korean ama divers. *J Appl Physiol* 73: 2592–2595, 1992.
75. Schaefer KE, Allison RD, Dougherty JH Jr, Carey CR, Walker R, Yost F, Parker D. Pulmonary and circulatory adjustments determining the limits of depths in breathhold diving. *Science* 162: 1020–1023, 1968.
76. Schagatay E, Andersson J. Diving response and apneic time in humans. *Undersea Hyperbaric Med* 25: 13–19, 1998.
77. Schagatay E, Andersson JP, Hallen M, Palsson B. Selected contribution: role of spleen emptying in prolonging apneas in humans. *J Appl Physiol* 90: 1623–1629; discussion 1606., 2001.
78. Schipke JD, Gams E, Kallweit O. Decompression sickness following breath-hold diving. *Res Sports Med* 14: 163–178, 2006.
79. Scholander PF, Hammel HT, LeMessurier H, Hemmingsen E, Garey W. Circulatory adjustments in pearl divers. *J Appl Physiol* 17: 184–190, 1962.
80. Schuitema K, Holm B. The role of different facial areas in eliciting human diving bradycardia. *Acta Physiol Scand* 132: 119–120, 1988.



81. **Seccombe LM, Rogers PG, Mai N, Wong CK, Kritharides L, Jenkins CR.** Features of glossopharyngeal breathing in breath-hold divers. *J Appl Physiol* 101: 799–801, 2006.
82. **Sterba JA, Lundgren CE.** Breath-hold duration in man and the diving response induced by face immersion. *Undersea Biomed Res* 15: 361–375, 1988.
83. **Streeter T.** Nitrogen narcosis during no limits freediving world record to 160 m (525 ft). In: *Breath-Hold Diving. Proceedings of the Undersea and Hyperbaric Medical Society/Divers Alert Network Workshop*, edited by Lindholm P, Pollock N, and Lundgren C. Divers Alert Network, Durham, NC: 2006, p. 17–25.
84. **Stromme SB, Kerem D, Elsner R.** Diving bradycardia during rest and exercise and its relation to physical fitness. *J Appl Physiol* 28: 614–621, 1970.
85. **Sundblad P, Linnarsson D.** Influence of apnea on cardiovascular responses to neck suction during exercise. *Am J Physiol Heart Circ Physiol* 271: H1370–H1374, 1996.
86. **Tetzlaff K, Scholz T, Walterspacher S, Muth CM, Metzger J, Roecker K, Sorichter S.** Characteristics of the respiratory mechanical and muscle function of competitive breath-hold divers. *Eur J Appl Physiol* 103: 469–475, 2008.
87. **Thorsen HC, Zubieta-Calleja G, Paulev PE.** Decompression sickness following seawater hunting using underwater scooters. *Res Sports Med* 15: 225–239, 2007.
88. **Tjernstrom O.** Further studies on alternobaric vertigo. Posture and passive equilibration of middle ear pressure. *Acta Otolaryngol* 78: 221–231, 1974.
89. **Ware LB, Matthay MA.** Clinical practice. Acute pulmonary edema. *N Engl J Med* 353: 2788–2796, 2005.
90. **Wein J, Andersson JP, Erdeus J.** Cardiac and ventilatory responses to apneic exercise. *Eur J Appl Physiol* 100: 637–644, 2007.
91. **West JB.** Invited review: pulmonary capillary stress failure. *J Appl Physiol* 89: 2483–2489, 2000.

