

Practical CCA2-Secure and Masked Ring-LWE Implementation

<u>Tobias Oder</u>¹, Tobias Schneider², Thomas Pöppelmann³, Tim Güneysu^{1,4}

¹Ruhr-University Bochum, ²Université Catholique de Louvain, ³Infineon Technologies AG, ⁴DFKI

hg Horst Görtz İnstitut für (T-Sicherheit

CHES 2018 10.09.2018



Motiviation

Ring-LWE



- NIST post-quantum standardization project
- Various NIST submissions are based on Ring-LWE including
 - NewHope
 - LIMA
 - (Kyber)
 - **—** ...

Ring-LWE



- NIST post-quantum standardization project
- Various NIST submissions are based on Ring-LWE including
 - NewHope
 - LIMA
 - (Kyber)
 - **—** ...

Previous work

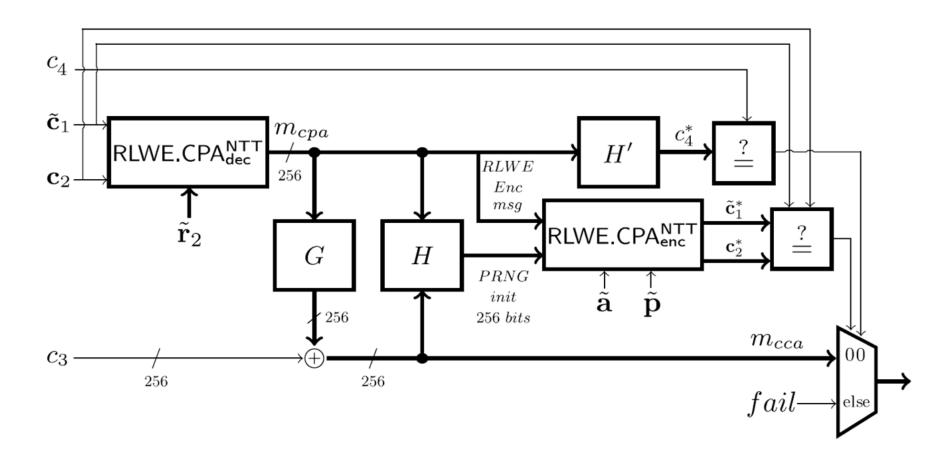
- A masked ring-LWE implementation. O. Reparaz, S. Sinha Roy,
 F. Vercauteren, I. Verbauwhede. CHES 2015
- Additively homomorphic ring-LWE masking. O. Reparaz, S. Sinha Roy, R. de Clercq, F. Vercauteren, I. Verbauwhede.
 PQCrypto 2016



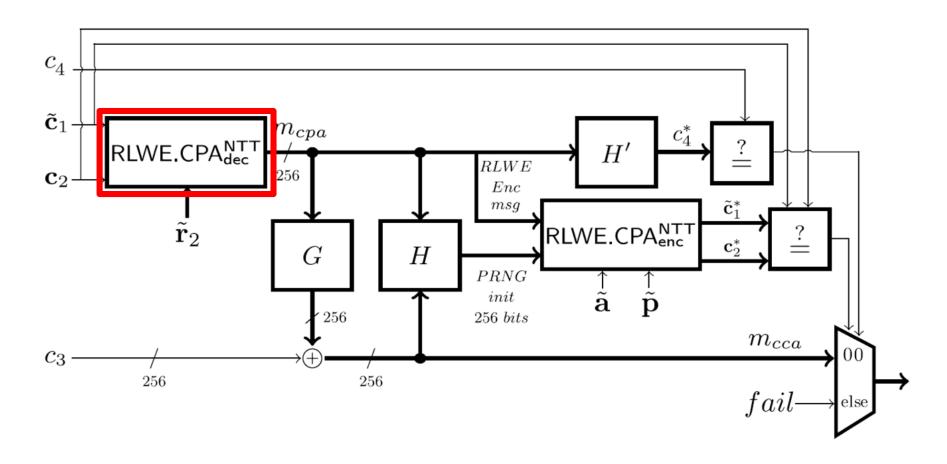
- Plain Ring-LWE encryption is only secure against chosenplaintext attackers (CPA)
- Many use cases require security against chosen-ciphertext attackers (CCA)
- Generic Fujisaki-Okamoto transform
 - Assumes negligible decryption error
 - Tweak by Targhi and Unruh for post-quantum security [TU16]
 - Expensive re-encryption in decryption

[TU16] E. E. Targhi and D. Unruh. *Post-quantum security of the Fujisaki-Okamoto and OAEP transforms*. TCC 2016

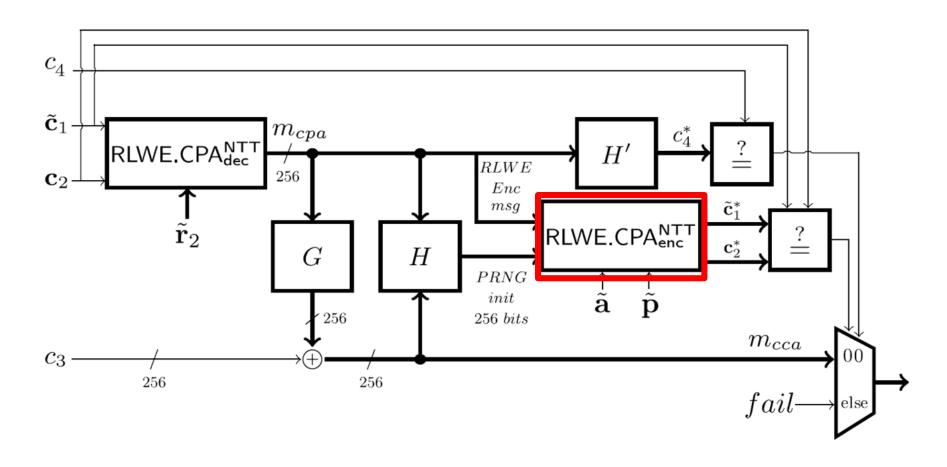




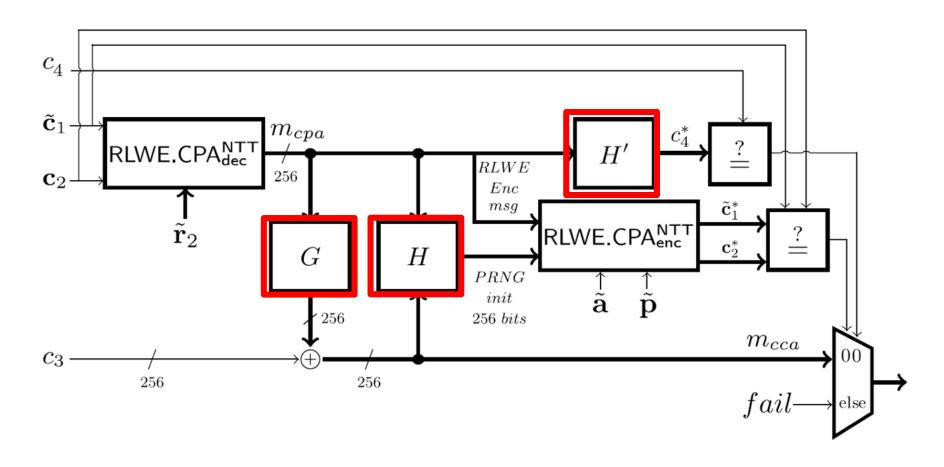














Contribution

Embedded Implementation



Our contribution:

CCA2-secure first-order masked Ring-LWE implementation

Embedded Implementation



Our contribution:

CCA2-secure first-order masked Ring-LWE implementation

- Target platform ARM Cortex-M4
 - Constrained computing capabilities/memory

Embedded Implementation



Our contribution:

CCA2-secure first-order masked Ring-LWE implementation

- Target platform ARM Cortex-M4
 - Constrained computing capabilities/memory
- Secret-independent execution time as countermeasure against timing attacks
- Masking as countermeasure against Differential Power Analysis
 - Boolean vs. arithmetic

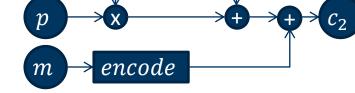
Masking Ring-LWE



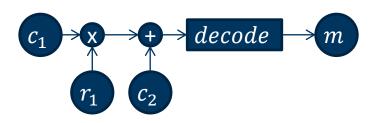
Components to be masked in CCA2-secure Ring-LWE

- PRNG/Hash
- NTT
 - Polynomial multiplication
- Binomial sampler (BS)
- Encoding/Decoding

Ring-LWE CPA Encryption BS BS BS



Ring-LWE CPA Decryption

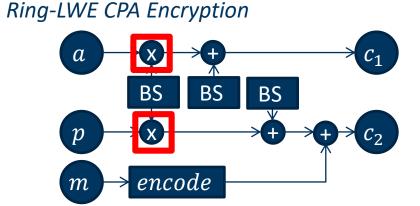


Masking Ring-LWE

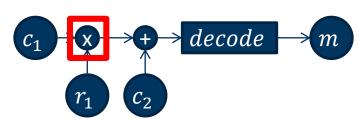


Components to be masked in CCA2-secure Ring-LWE

- PRNG/Hash → [BDPVA10]
- NTT → straight-forward
 - Polynomial multiplication
- Binomial sampler (BS)
- Encoding/Decoding



Ring-LWE CPA Decryption



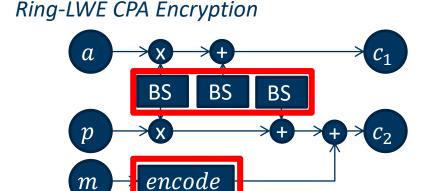
[BDPVA10] Guido Bertoni, Joan Daemen, Michaël Peeters, and Gilles Van Assche. *Building power analysis resistant implementations of Keccak*. Second SHA-3 candidate conference, 2010

Masking Ring-LWE

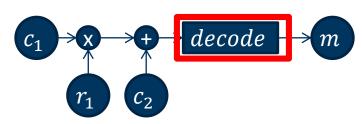


Components to be masked in CCA2-secure Ring-LWE

- PRNG/Hash → [BDPVA10]
- NTT → straight-forward
 - Polynomial multiplication
- Binomial Sampler (BS)
- Encoding/Decoding



Ring-LWE CPA Decryption



[BDPVA10] Guido Bertoni, Joan Daemen, Michaël Peeters, and Gilles Van Assche. *Building power analysis resistant implementations of Keccak*. Second SHA-3 candidate conference, 2010



Encoding



- Encoding transforms a bit string into a polynomial
 - Without masking:

$$coeff = bit \cdot \lfloor \frac{q}{2} \rfloor$$



- Encoding transforms a bit string into a polynomial
 - Without masking:

$$coeff = bit \cdot \lfloor \frac{q}{2} \rfloor$$

- With $bit' \oplus bit'' = bit$:

$$coeff' = bit' \cdot \lfloor \frac{q}{2} \rfloor$$
$$coeff'' = bit'' \cdot \lfloor \frac{q}{2} \rfloor$$



- Encoding transforms a bit string into a polynomial
 - Without masking:

$$coeff = bit \cdot \lfloor \frac{q}{2} \rfloor$$

- With $bit' \oplus bit'' = bit$:

$$coeff' = bit' \cdot \lfloor \frac{q}{2} \rfloor$$
$$coeff'' = bit'' \cdot \lfloor \frac{q}{2} \rfloor$$

• $q \text{ is a odd} \rightarrow \left[\frac{q}{2}\right] + \left[\frac{q}{2}\right] \neq q$

Problem: Result is off by one if bit' = 1 and bit'' = 1



Solution: Add $bit' \cdot bit''$ to the result

• Compute $bit' \cdot bit''$ by splitting into subshares

$$(bit'^{(1)} + bit'^{(2)}) \cdot (bit''^{(1)} + bit''^{(2)})$$

$$= bit'^{(1)} \cdot bit''^{(1)} + bit'^{(1)} \cdot bit''^{(2)} + bit'^{(2)} \cdot bit''^{(1)} + bit'^{(2)} \cdot bit''^{(2)}$$

Use fresh randomness to securely sum the cross-products



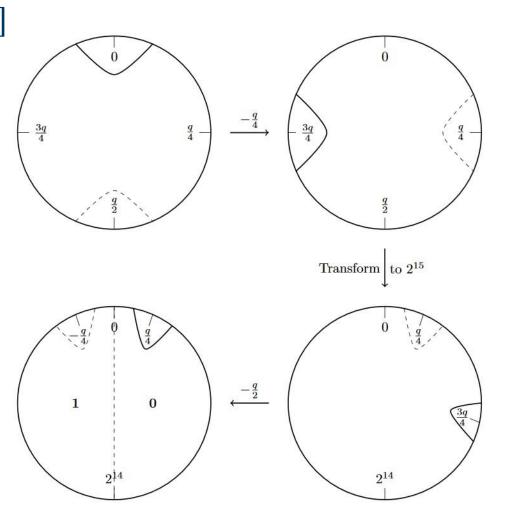
Decoding



Input: Coefficient $\in [0, q-1]$

Output: Decoded bit

- Shift distribution of coefficients
- Apply arithmetic-to-Boolean conversion
- Extract sign bit

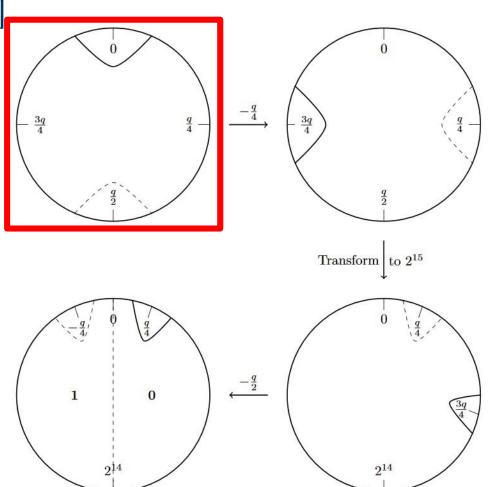




Input: Coefficient $\in [0, q-1]$

Output: Decoded bit

- Shift distribution of coefficients
- Apply arithmetic-to-Boolean conversion
- Extract sign bit

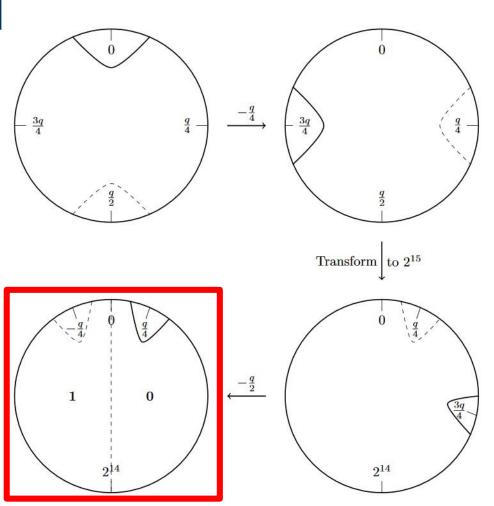




Input: Coefficient $\in [0, q-1]$

Output: Decoded bit

- Shift distribution of coefficients
- Apply arithmetic-to-Boolean conversion
- Extract sign bit

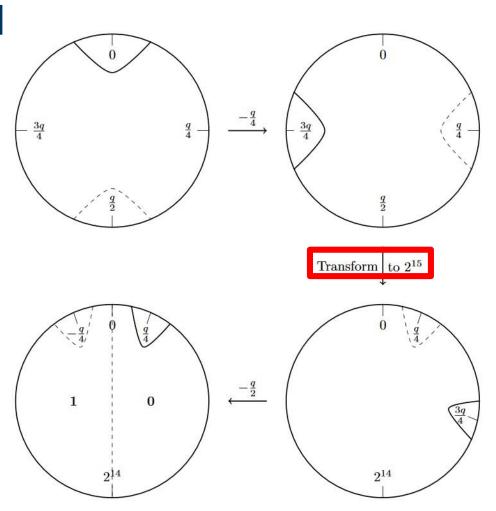




Input: Coefficient $\in [0, q-1]$

Output: Decoded bit

- Shift distribution of coefficients
- Apply arithmetic-to-Boolean conversion
- Extract sign bit





Binomial Sampler

Masked sampler

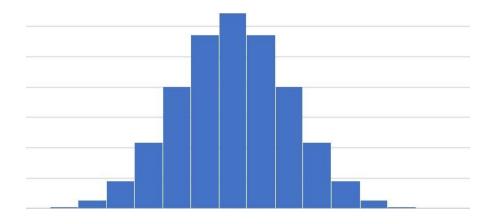


- Input: Boolean shares; Output: Arithmetic shares
- Count Hamming weight as

$$\sum_{i=0}^{7} (bit'(i) \bigoplus bit''(i))$$

$$= \sum_{i=0}^{7} bit'(i) + bit''(i) - 2bit'(i)bit''(i)$$

• Compute $bit'(i) \cdot bit''(i)$ by splitting into subshares





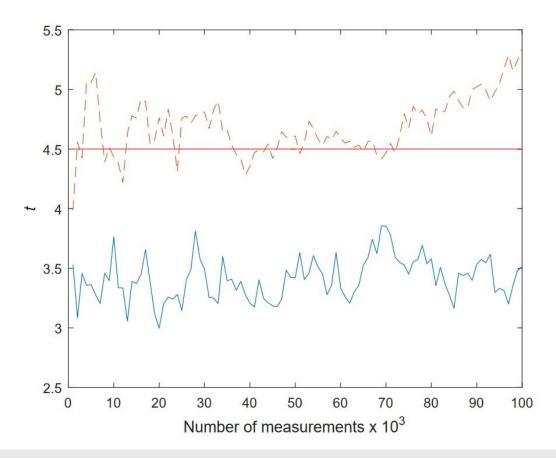
Results

Side-Channel Evaluation



T-test evaluation of the decoding (example)

- Blue: first-order evaluation
- Dashed red: second-order evaluation





- Dimension n = 1024
- Modulus q = 12289
- Standard deviation $\varsigma = 2$

Operation	Cycle Counts	
	Unmasked	Masked
Key Generation	2,669,559	_
CCA2-secured Encryption	4,176,684	-
CCA2-secured Decryption	4,416,918	25,334,493
CPA-RLWE Encryption	3,910,871	19,315,432
CPA-RLWE Decryption	163,887	550,038
Shake-128	87,738	201,997
NTT	83,906	-
INTT	104,010	-
Uniform Sampling (TRNG)	60,014	-
SampleNoisePoly (PRNG)	1,142,448	6,031,463
PRNG (64 bytes)	88,778	202,454



- Dimension n = 1024
- Modulus q = 12289
- Standard deviation $\varsigma = 2$

Operation	Cycle Counts	
	Unmasked	Masked
Key Generation	2,669,559	-
CCA2-secured Encryption	4 176 684	_
CCA2-secured Decryption	4,416,918	25,334,493
CPA-RLWE Encryption	3,910,871	19,315,432
CPA-RLWE Decryption	163,887	550,038
Shake-128	87,738	201,997
NTT	83,906	-
INTT	104,010	-
Uniform Sampling (TRNG)	60,014	-
SampleNoisePoly (PRNG)	1,142,448	6,031,463
PRNG (64 bytes)	88,778	202,454



- Dimension n = 1024
- Modulus q = 12289
- Standard deviation $\varsigma = 2$

Operation	Cyc	Cycle Counts	
	Unmasked	Masked	
Key Generation	2,669,559	_	
CCA2-secured Encryption	4,176,684	_	
CCA2-secured Decryption	4,416,918	25,334,493	
CPA-RLWE Encryption	3,910,871	19,315,432	
CPA-RLWE Decryption	163,887	550,038	
Shake-128	87,738	201,997	
NTT	83,906	-	
INTT	104,010	-	
Uniform Sampling (TRNG)	60,014	-	
SampleNoisePoly (PRNG)	1,142,448	6,031,463	
PRNG (64 bytes)	88,778	202,454	



- Dimension n = 1024
- Modulus q = 12289
- Standard deviation $\varsigma = 2$

Operation	Cycle Coun	
	Unmasked	Masked
Key Generation	2,669,559	_
CCA2-secured Encryption	4,176,684	_
CCA2-secured Decryption	4,416,918	25,334,493
CPA-RLWE Encryption	3,910,871	19,315,432
CPA-RLWE Decryption	163,887	550,038
Shake-128	87,738	201,997
NTT	83,906	-
INTT	104,010	-
Uniform Sampling (TRNG)	60,014	-
SampleNoisePoly (PRNG)	1,142,448	6,031,463
PRNG (64 bytes)	88,778	202,454

Conclusion



 First masking of a Ring-LWE-based scheme that covers CCA2-security with first-order proof

New masked encoder & decoder

New masked sampler

Future work: Higher-order masking



Thank You For Your Attention!

