

# 1 Transformations

## 1.1 Modeling transformation

Transforms from object to world coordinates.

- Where: Vertex shader.
- Output: World coordinates.
- Output space handedness: right
- Transformation matrix:  $\mathbf{M}_{\text{object} \rightarrow \text{world}}$

$$\mathbf{M}_{\text{object} \rightarrow \text{world}} = \mathbf{A}\mathbf{t} = \begin{bmatrix} a_{1,1} & a_{1,2} & a_{1,3} & t_1 \\ a_{2,1} & a_{2,2} & a_{2,3} & t_2 \\ a_{3,1} & a_{3,2} & a_{3,3} & t_3 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

with  $\mathbf{A}$  a matrix representing a linear transformation,

$$\begin{bmatrix} a_{1,1} & a_{1,2} & a_{1,3} \\ a_{2,1} & a_{2,2} & a_{2,3} \\ a_{3,1} & a_{3,2} & a_{3,3} \end{bmatrix}$$

, and  $\mathbf{t}$  a translation vector,

$$\begin{bmatrix} t_1 \\ t_2 \\ t_3 \end{bmatrix}$$

If  $P$  is a 3-dimensional point, we can represent it in 4-dimensional space setting the fourth coordinate to 1:

$$\begin{bmatrix} p_1 \\ p_2 \\ p_3 \\ 1 \end{bmatrix}$$

Then applying the affine transformation  $\mathbf{M}$  to  $P$  yields

$$\mathbf{M}\mathbf{p} = \begin{bmatrix} a_{1,1} & a_{1,2} & a_{1,3} & t_1 \\ a_{2,1} & a_{2,2} & a_{2,3} & t_2 \\ a_{3,1} & a_{3,2} & a_{3,3} & t_3 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_1 \\ p_2 \\ p_3 \\ 1 \end{bmatrix} = \begin{bmatrix} a_{1,1}p_1 & a_{1,2}p_2 & a_{1,3}p_3 & t_1 \\ a_{2,1}p_1 & a_{2,2}p_2 & a_{2,3}p_3 & t_2 \\ a_{3,1}p_1 & a_{3,2}p_2 & a_{3,3}p_3 & t_3 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

which in 3d is the same as

$$\mathbf{A} \begin{bmatrix} p_1 \\ p_2 \\ p_3 \end{bmatrix} + \begin{bmatrix} t_1 \\ t_2 \\ t_3 \end{bmatrix}$$

Figure 1: view coordinates

## 1.2 Viewing transformation

Transforms from world space to view/eye space.

- Where: Vertex shader.
- Output: View/eye coordinates.
- Output space handedness: right
- Transformation matrix:  $\mathbf{M}_{\text{world} \rightarrow \text{view}}$

The camera is placed at the origin of the coordinate system, points to the *negative z-axis*, and sits on the *x-z plane*, with the up-direction given by the *positive y-axis*.

$$\mathbf{M}_{\text{world} \rightarrow \text{view}} = \begin{bmatrix} \mathbf{R}^T & -\mathbf{R}^T \mathbf{t} \\ \mathbf{0}^T & 1 \end{bmatrix}$$

where  $\mathbf{R}$  is the matrix giving the x, y, and z directions of the camera system in world coordinates

$$\mathbf{R} = \begin{bmatrix} x_1 & y_1 & z_1 \\ x_2 & y_2 & z_2 \\ x_3 & y_3 & z_3 \end{bmatrix}$$

and  $\mathbf{t}$  indicates the position of the camera (again in world coordinates).

Matrices for modeling transformation and view transformation are often combined into one *ModelViewMatrix*.

## 1.3 Projection transformation

Transforms from camera space to clip space.

- Where: Vertex shader (e.g., outputted in `gl_position`)
- Output: Clip coordinates.
- Output space handedness: left
- Types: orthographic and perspective.

Perspective projection: Characterized by

- an angle  $\theta_{fovy}$  between x-z-plane and the y-axis
- the distances  $n$  to the near clipping plane and  $f$  to the far clipping plane

- the aspect ratio  $a$  of the width to the height of a centered rectangle on the near clipping plane.

The view point, the clipping planes, and the centered rectangle together define the view frustum, i.e. the region of 3D space that is visible for a specific projection transformation.

$$\mathbf{M}_{projection} = \begin{bmatrix} \frac{d}{a} & 0 & 0 & 0 \\ 0 & a & 0 & 0 \\ 0 & 0 & \frac{n+f}{n-f} & \frac{2nf}{n-f} \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

with

$$d = \frac{1}{\tan(\theta_{fovy}/2)}$$

Here the  $-1$  flips the z-axis, thus turning the coordinate system into a left-handed one.  
Orthographic projection:

$$\mathbf{M}_{projection} = \begin{bmatrix} \frac{2n}{r-l} & 0 & 0 & -\frac{r+l}{r-l} \\ 0 & \frac{2}{t-b} & 0 & -\frac{t+b}{t-b} \\ 0 & 0 & \frac{-2}{f-n} & -\frac{f+n}{f-n} \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

with

- $n, f$  the distances to the clipping planes
- $r, l, t, b$  the left, right, top, and bottom coordinates of the near clipping plane (at  $-n$ )

Here the  $\frac{-2}{f-n}$  flips the z-axis, thus turning the coordinate system into a left-handed one.

## 1.4 Perspective division

Transforms from clip space to normalized device space (through division by the fourth coordinate, e.g., `gl_Position.w`). This translates the 4d positions of object vertices to 3d. This step is done *automatically* by OpenGL, since not just `gl_Position`, but all interpolated varyings have to be transformed as well.

- Where: Between vertex shader and fragment shader (done automatically)
- Output: Normalized device coordinates (between -1 and 1)
- Output space handedness: left

## 1.5 Viewport transformation

Transforms from clip space to screen space. Also done automatically by OpenGL.

- Where: fixed-function stage
- Output: screen coordinates
- Output space handedness: left

$$\mathbf{M}_{viewport} = \begin{bmatrix} \frac{w_s}{2} & 0 & 0 & s_x + \frac{w_s}{2} \\ 0 & \frac{h_s}{2} & 0 & s_y + \frac{h_s}{2} \\ 0 & 0 & \frac{f_s - n_s}{2} & \frac{f_s + n_s}{2} \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

with

- $s_x$  and  $s_y$  designating the lower, left corner of the viewport,
- $w_s$  and  $h_s$  the width and height of the screen, and
- $n_s$  and  $f_s$  the depths of the near and far clipping planes.