

# A novel Implementation Technique for Genetic Algorithm based Auto-Tuning PID Controller

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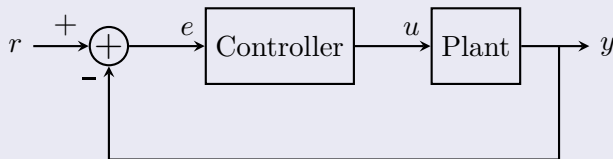


# Contents

- 1 PID Controller
- 2 Genetic Algorithm
- 3 Simulation results
- 4 Experimental results
- 5 Conclusions

# Closed loop system

## Closed loop system with negative feedback



# PID Controller model

Theoretical model:

$$u(t) = K_P e(t) + K_I \int e(t) dt + K_D \frac{d}{dt}(e(t)) \quad (1)$$

$$\frac{u(s)}{e(s)} = K_P + K_I \left( \frac{1}{s} \right) + K_D s \quad (2)$$

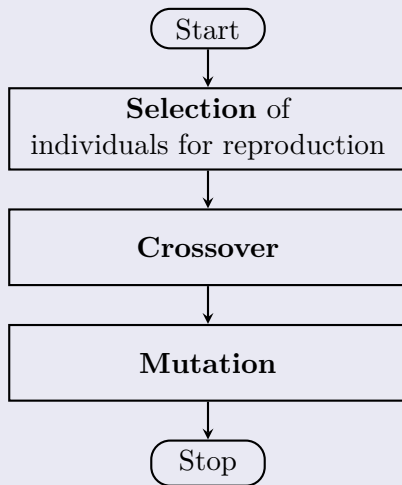
Implementation model:

$$\frac{u(s)}{e(s)} = K_P + K_I \left( \frac{1}{s} \right) + K_D \left( \frac{Ns}{s + N} \right) \quad (3)$$

# Tunable Parameters

$K_P$ ,  $K_I$ ,  $K_D$ , and  $N$

## Steps for New Generation



**Result:** Obtain the optimum (fittest) individual for the environment

- 1 Start;
- 2 Generate initial population;
- 3 Identify the fittest individual;
- 4 **while** *Need improvement* **do**
  - 5 |   Make a new generation;
  - 6 |   Identify the fittest individual;
- 7 **end**
- 8 Stop;

# Genetic algorithm

## GA applied to PID Tuning

**Result:** Obtain the optimum individual (a set of values for  $K_P$ ,  $K_I$ ,  $K_D$ , and  $N$ ) to suit the system

- 1 Start;
- 2 Generate initial population (a set of individuals);
- 3 Identify the fittest set of values;
- 4 **while** *Error of the fittest individual is high* **do**
- 5     Under go the evolution to make a new generation ;
- 6     Identify the fittest individual;
- 7 **end**
- 8 Stop;

Identification: This process involves testing each individual one after another and measuring error during its application period. The fittest is the one with minimum error.



# Genetic algorithm

## Problems with the present technique

The following problems may arise in the traditional GA based PID tuning.

- ① Estimation errors caused due to other individuals,
- ② Instability due to incompatible individuals, and
- ③ A set of tuned parameters may cause inferior performance to an inconsistent operating point.

# Improved genetic algorithm

## Proposed algorithm for finding the fittest individual

**Data:** Population  $C \in \mathbb{R}^{n \times 4}$ ; Previous generation's fittest individual  $C_F^-$

**Result:** Find the fittest individual  $C_F$

```
1 for  $C_i \in C$  do
2   | Apply  $C_F^-$  for a certain time period;
3   | Apply  $C_i$  for a certain time period and calculate the root
   | mean square error (RMSE),  $E_i$ , during this period;
4   | if RMSE is in limit then
5   |   | Wait till time finish;
6   | else
7   |   | Break;
8   | end
9 end
10 Individual with minimum RMSE is selected as  $C_F$ ;
```

# The proposed novel GA to tune PID parameters

**Result:** Obtain the optimum individual (a set of values for  $K_P$ ,  $K_I$ ,  $K_D$ , and  $N$ ) to suit the system

```
1 Start;
2 Generate initial population (a set of individuals);
3 Identify the fittest individual using the proposed algorithm;
4 while Error of the fittest individual is high do
5   | Under go the evolution to make a new generation ;
6   | Identify the fittest individual using the proposed algorithm;
7 end
8 Measure moving root mean square error,  $M_E$ ;
9 if  $M_E$  out of limits then
10  | Goto 4;
11 else
12  | Goto 8;
13 end
```

# Simulation setup

A simulation is designed to change the plant from

$$\frac{y(s)}{u(s)} = \frac{s + 3}{8s^2 + 5s + 2}$$

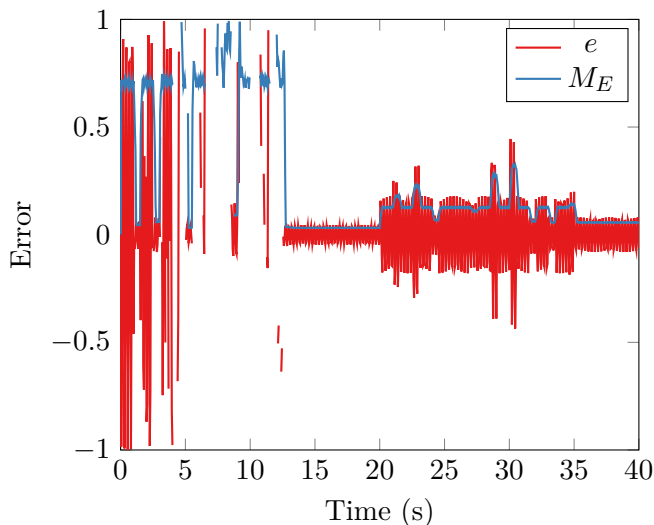
to

$$\frac{y(s)}{u(s)} = \frac{s + 1}{2s^2 + s + 3}$$

at time  $t = 20$  s.

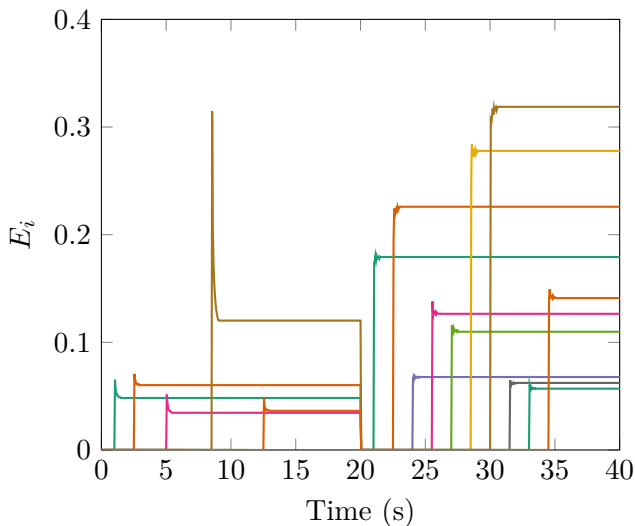
# Simulation results

Simulation results showing error and moving RMS error for closed loop system whose plant is modified at  $t = 20$  s.



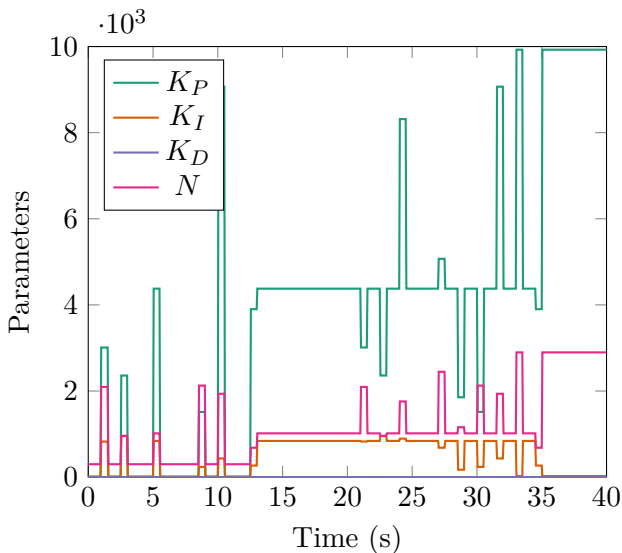
# Simulation results

Simulation results showing the values for the objective function,  $E_i$ ,  
 $i \in \{1, 2, 3, \dots, 10\}$



# Simulation results

Simulation results showing parameter tuning by Genetic algorithm



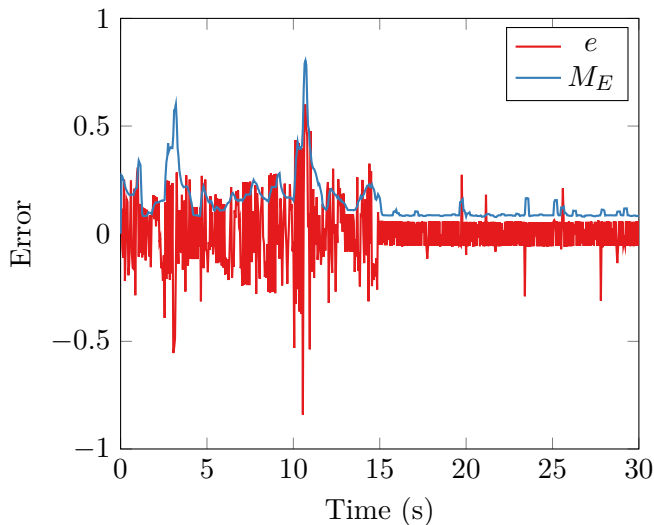
# Experimental setup





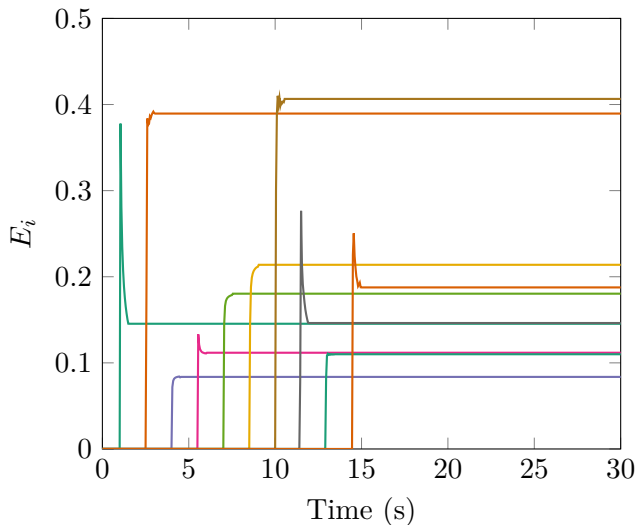
# Experimental results

Experiment results showing error and moving RMS error for a closed loop system controlling a brushless servomotor in torque mode



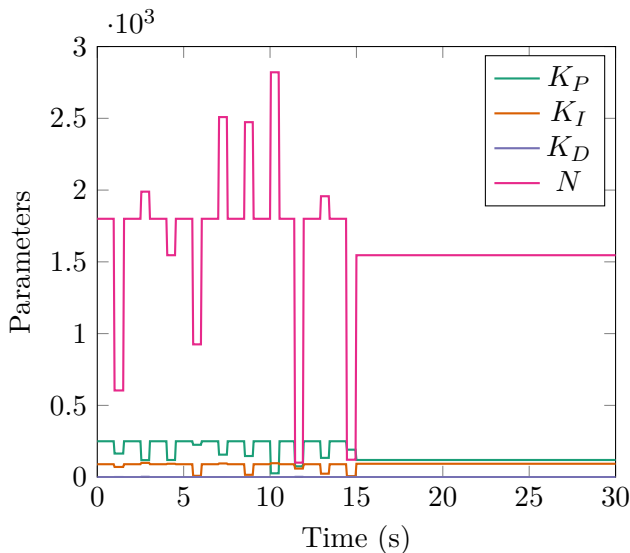
# Experimental results

Experimental results showing the values for the objective function,  $E_i$ ,  
 $i \in \{1, 2, 3, \dots, 10\}$



# Experimental results

Experiment results showing parameter tuning by Genetic algorithm



# Conclusions

The following problems associated with the implementation of the standard genetic algorithm based PID tuning algorithm are identified.

- ① Estimation errors caused due to other chromosomes,
- ② Instability due to incompatible chromosomes, and
- ③ A set of tuned parameters may cause inferior performance to an inconsistent operating point.

These are solved by implementing the following:

- ① Wait for a certain period of time in between the application of two chromosomes.
- ② Put a certain allowed maximum value for the error. If the system reaches beyond this, controller uses previous stable parameters.
- ③ Controller monitors the performance continuously and implements genetic algorithm if required.

Thank you  
for your attention.