

TRANSACTION SLIP (Please fill in BLOCK Letters)

| ARN & Name of Distributor | Branch Code | Sub-Broker ARN Code | Sub-Broker Code | EUN* (Employee Unique Identification Number) | Reference No. |
|---------------------------|-------------|---------------------|-----------------|-------------------------------------------------|---------------|
| | | | | | |

INVESTOR DETAILS (MANDATORY)

| | |
|---------------------|--|
| EXISTING FOLIO NO. | |
| Name (Mr/Ms/M/s) | |
| Email ID | |
| Mobile No. | |
| Telephone No. | |

PAN DETAILS

| | | |
|--------------------------|------------------|-----------------|
| First Applicant/Guardian | Second Applicant | Third Applicant |
| | | |

PURCHASE REQUEST

| | | | |
|------------------------------------|---------------------------------------|-------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|
| Scheme Name | | | |
| Option (Please ✓) | <input type="checkbox"/> Growth | <input type="checkbox"/> Dividend | In case of Dividend Transfer facility, please mention target scheme along with plan/option Scheme/Plan/Option – _____ |
| Dividend Facility (Please ✓) | <input type="checkbox"/> Reinvestment | <input type="checkbox"/> Payout <input type="checkbox"/> Transfer | |
| Account No. | Investment Account No. | | |
| Investment Amount (Rs. in Figures) | Investment Amount (Rs. in Words) | | |

REDEMPTION REQUEST

| | | | |
|-----------------|----------------------------------|---------------------------------|-------------------------------------------------------------------|
| Scheme | | | |
| Plan (Please ✓) | <input type="checkbox"/> Regular | <input type="checkbox"/> Direct | Option (✓) |
| | | | <input type="checkbox"/> Growth <input type="checkbox"/> Dividend |

SWITCH REQUEST

| Amount | | OR Number of Units | | OR <input type="checkbox"/> All units (Please ✓) | | | | | | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|--------------------|----------------------------------|--------------------------------------------------|---------------------------------|-----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------|---------------------------------|-----------------------------------------------------------------------|-----------------------------------|-----------------------------------|---------------------------------------------------------------------------------------------------------------------------------|--|
| From scheme | | To scheme | | | | | | | | | | | | | |
| <table border="1"> <tr> <th>Plan (✓)</th> <th>Option (✓)</th> </tr> <tr> <td><input type="checkbox"/> Regular</td> <td><input type="checkbox"/> Growth</td> </tr> <tr> <td><input type="checkbox"/> Direct</td> <td><input type="checkbox"/> Dividend</td> </tr> </table> | Plan (✓) | Option (✓) | <input type="checkbox"/> Regular | <input type="checkbox"/> Growth | <input type="checkbox"/> Direct | <input type="checkbox"/> Dividend | <table border="1"> <tr> <th>Option (✓)</th> <th>Dividend Facility(✓)</th> </tr> <tr> <td><input type="checkbox"/> Growth</td> <td><input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout</td> </tr> <tr> <td><input type="checkbox"/> Dividend</td> <td><input type="checkbox"/> Transfer</td> </tr> </table> | Option (✓) | Dividend Facility(✓) | <input type="checkbox"/> Growth | <input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout | <input type="checkbox"/> Dividend | <input type="checkbox"/> Transfer | In case of Dividend Transfer facility, please mention target scheme along with plan/option Scheme/Plan/Option – _____ | |
| Plan (✓) | Option (✓) | | | | | | | | | | | | | | |
| <input type="checkbox"/> Regular | <input type="checkbox"/> Growth | | | | | | | | | | | | | | |
| <input type="checkbox"/> Direct | <input type="checkbox"/> Dividend | | | | | | | | | | | | | | |
| Option (✓) | Dividend Facility(✓) | | | | | | | | | | | | | | |
| <input type="checkbox"/> Growth | <input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout | | | | | | | | | | | | | | |
| <input type="checkbox"/> Dividend | <input type="checkbox"/> Transfer | | | | | | | | | | | | | | |

SYSTEMATIC INVESTMENT PLAN (SIP) REQUEST

| | | | | | | | | | | | | | | | | | | |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. Payment Mechanism (Please ✓ any one only) | SIP Date (Please ✓) | No of SIP Installments | | | | | | | | | | | | | | | | |
| 2. Frequency (Please ✓ any one only) | <input type="checkbox"/> Monthly SIP (Default) | <input type="checkbox"/> Quarterly SIP | | | | | | | | | | | | | | | | |
| 3. SIP Period | From <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> To <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> Or <input type="checkbox"/> 3 years <input type="checkbox"/> 5 years <input type="checkbox"/> 10 years <input type="checkbox"/> 15 years <input type="checkbox"/> Perpetual (Select any one) | | D | D | M | M | Y | Y | Y | Y | D | D | M | M | Y | Y | Y | Y |
| D | D | M | M | Y | Y | Y | Y | | | | | | | | | | | |
| D | D | M | M | Y | Y | Y | Y | | | | | | | | | | | |

TRANSACTION SLIP – ACKNOWLEDGEMENT
To be filled in by the Investor

| | | | | |
|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------|---------------------------|
| EXISTING FOLIO NO. | | | | Stamp Signature & Date |
| (To be filled in by the First applicant/Authorized Signatory): Received from | | | | |
| Nature of Transaction | <input type="checkbox"/> Change of Bank Particulars <input type="checkbox"/> Change of Address <input type="checkbox"/> Nomination | | | |
| For Additional Purchase/Redemption | Scheme Name /Plan/Option/Dividend Facility | Amount | Units | |
| Systematic Investment/ Withdrawal Plan | Scheme Name /Plan/Option/Dividend Facility | Amount | Frequency | SIP Commencement Date |
| Systematic Transfer Plan / Switch Over | Scheme Name /Plan/Option/Dividend Facility | STP Commencement date | Amount | Unit |
| | From | To | | |

| SWP / STP FACILITY REQUEST | | | | | | | | | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-------------------------------------------------------------------|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| Systematic Withdrawal Plan (SWP) (SWP transactions will be processed on first business day of every month) | Scheme / Plan | | SWP installment amount (Rs.) | | Amount (in words) | | Frequency (Please ✓ any one only) | | | |
| | | | | | | | <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly | | | |
| | SWP From <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> | | | | SWP To <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> | | | | | |
| Systematic Transfer Plan (STP) | STP Facility Request | | | | | | | | | |
| | From (Scheme) | | | | To (Scheme) | | | | | |
| | Scheme | | | | | | | | | |
| | Plan (✓) | | <input type="checkbox"/> Regular <input type="checkbox"/> Direct | | | Plan (✓) | | <input type="checkbox"/> Regular | | |
| | Option (✓) | | <input type="checkbox"/> Growth <input type="checkbox"/> Dividend | | | Plan (✓) | | <input type="checkbox"/> Growth <input type="checkbox"/> Dividend | | |
| | | | | | | Dividend Facility(✓) | | <input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Transfer | | |
| | | | | | | In case of Dividend Transfer facility, please mention target scheme along with plan/option Scheme / Plan / Option | | | | |
| STP Frequency & Enrolment Period (Please ✓ any one only) | <input type="checkbox"/> Daily <input type="checkbox"/> Monthly | | STP Installment Amount (Rs.) | | STP From <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> | | | STP To <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> | | |
| | <input type="checkbox"/> Weekly <input type="checkbox"/> Quarterly | | | | | | | | | |
| DECLARATION | | | | | | | | | | |
| <p>I/We hereby authorize the bank to debit my account towards investments as the interval mentioned above.</p> <p>I/We have read and understood the contents of the Scheme Information Document and the details of the scheme and I/We hereby declare that I/We have not received or been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We hereby declare that the amount invested/to be invested by me/us in the scheme(s) is derived through legitimate sources and is not held or designed for the purpose of contravention of any act, rules, regulations or any statute or legislation or any other applicable laws or any notifications, directions issued by any governmental or statutory authority from time to time. I/We certify that the funds invested do not attract the provisions of Foreign Contribution Regulations Act (FCRA). *I am/ We are not US or Canadian Person(s) or resident(s) in or citizen(s) of the United States of America or Canada. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/her for the different competing schemes of various Mutual Funds from amongst which the scheme is being recommended to me/us.</p> <p>*Applicable to NRI/FII/POI.</p> | | | | | | | | | | |
| SIGNATURE(S) Applicants must sign as per mode of holding | | <input checked="" type="checkbox"/> | | <input checked="" type="checkbox"/> | | <input checked="" type="checkbox"/> | | | | |
| | | 1st Applicant/Guardian//Authorised Signatory | | 2nd Applicant/Authorised Signatory | | 3rd Applicant/Authorised Signatory | | | | |
| Date | | | | | | | Place | | | |

| SIP Dates by Fund Houses | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mutual Fund House Birla Sun Life Mutual Fund DSP BlackRock Investment Managers Franklin Templeton Investments HDFC Mutual Fund ICICI Prudential Asset Management Company Reliance Mutual Fund SBI Mutual Fund Sundaram Mutual Fund Tata Mutual Fund UTI Mutual Fund Kotak Mutual Fund IDFC Mutual Fund L&T Investment Management Ltd Religare Asset Management Company Ltd Axis Asset Management Co Ltd IDBI Mutual Fund | Set-up dates for SIP in a particular month 1st, 7th, 10th, 14th, 20th, 21st, 28th of every month 1st, 7th, 14th, 21st, 28th of every month 1st, 7th, 10th, 20th, 25th of every month 1st, 5th, 10th, 15th, 20th, 25th of every month 7th, 10th, 15th, 25th of every month 2nd, 10th, 18th, 28th of every month 1st, 7th, 14th, 21st of every month 1st, 7th, 14th, 20th, 25th of every month 1st, 7th, 10th, 20th of every month 1st, 7th, 15th, 25th of every month 1st, 7th, 14th, 21st of every month 1st, 5th, 10th, 15th, 16th, 25th of every month 5th, 15th, 25th, 30th of every month 3rd, 10th, 15th, 20th, 25th of every month 1st, 10th, 15th, 20th and 25th of every month Any Date |