

# Attention based models in End-to-End ASR

Exploration of Attention in ESPNET toolkit

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Shreekantha Nadig

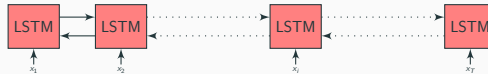
November 21, 2018

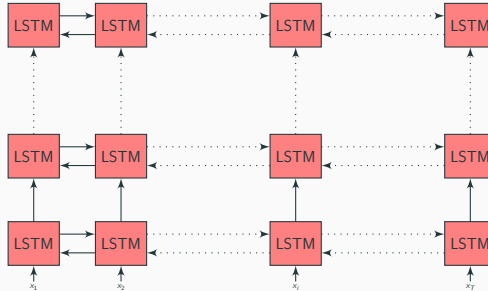
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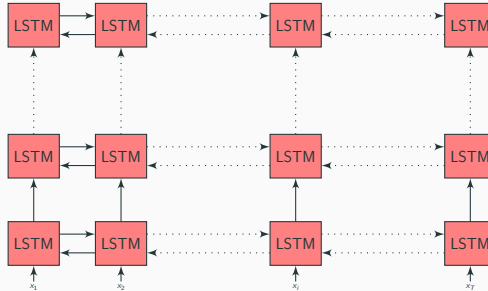
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7. Location Aware Recurrent Attention
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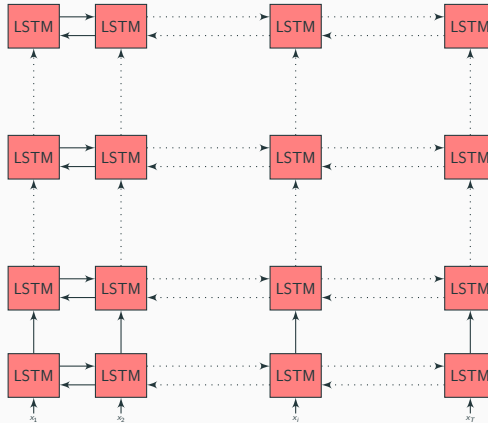
# Introduction

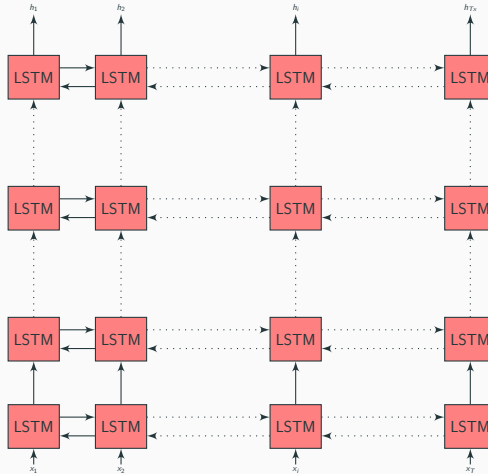
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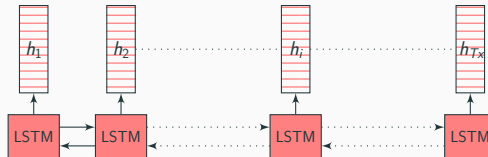


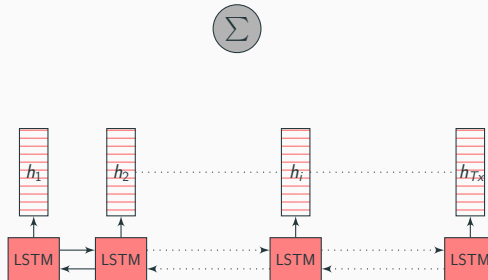


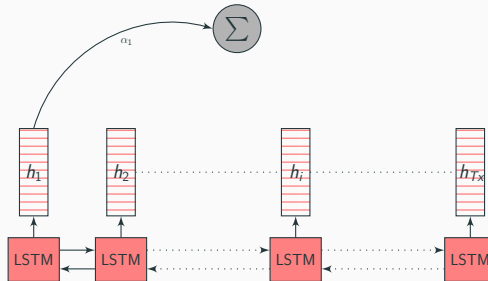




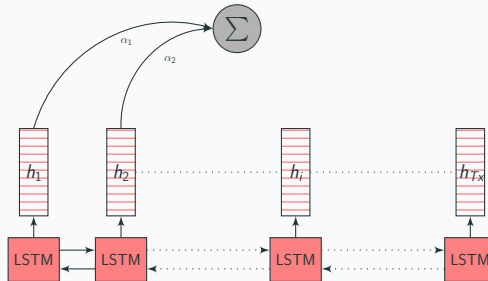




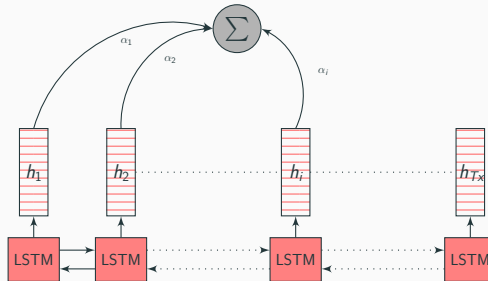


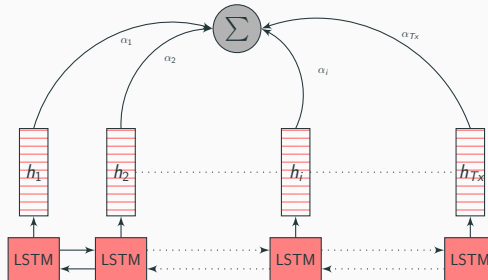


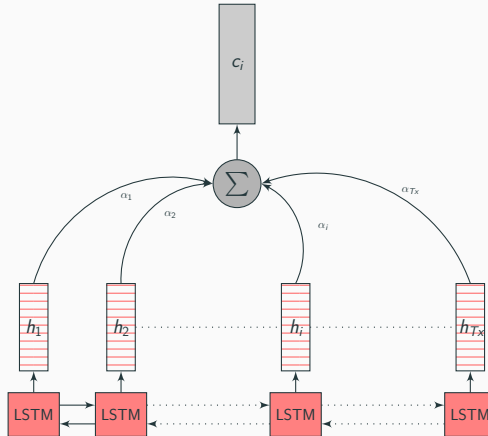
# Introduction to Attention



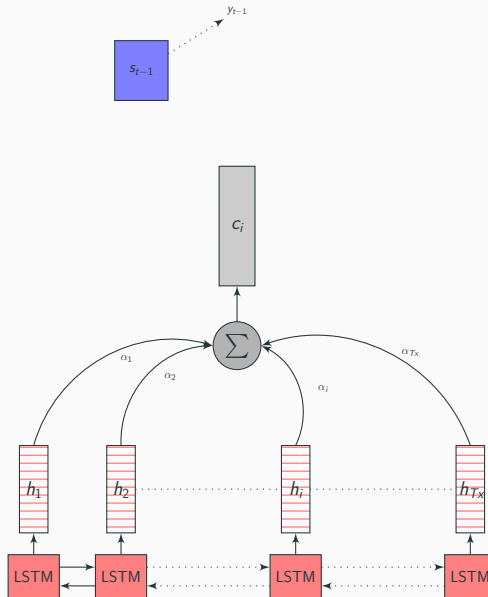
# Introduction to Attention





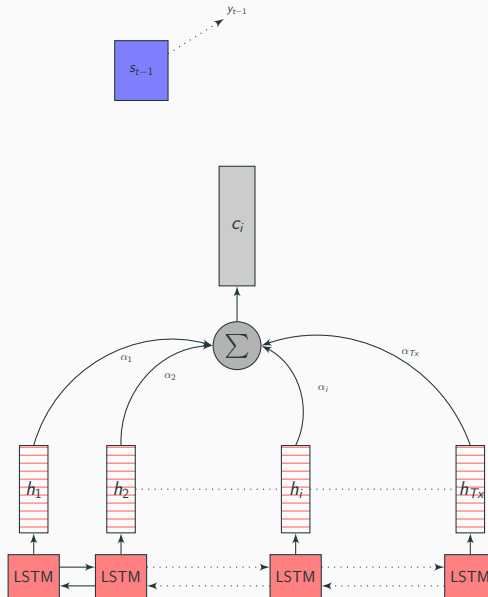


# Introduction to Attention

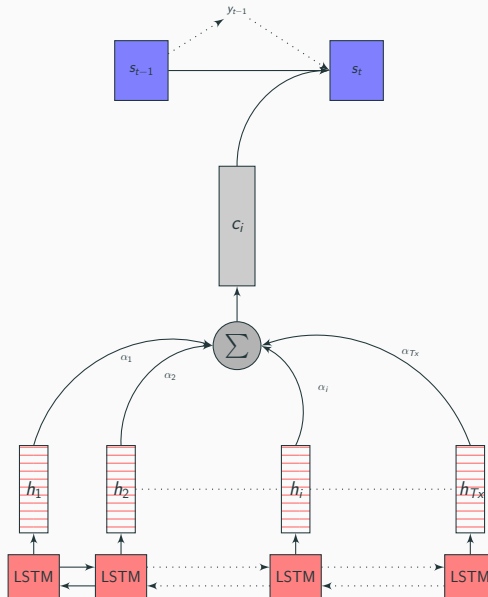


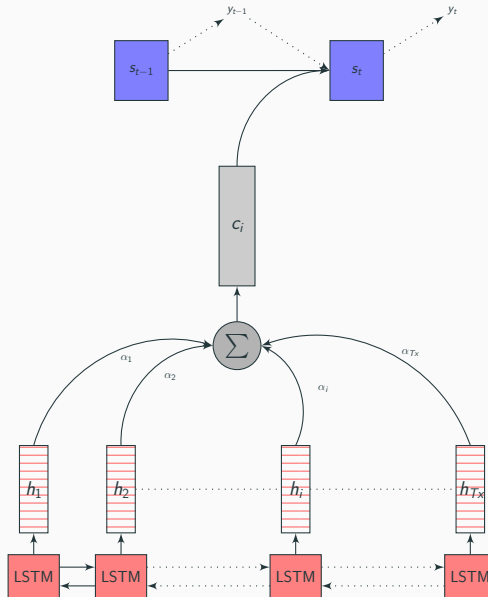


# Introduction to Attention



# Introduction to Attention

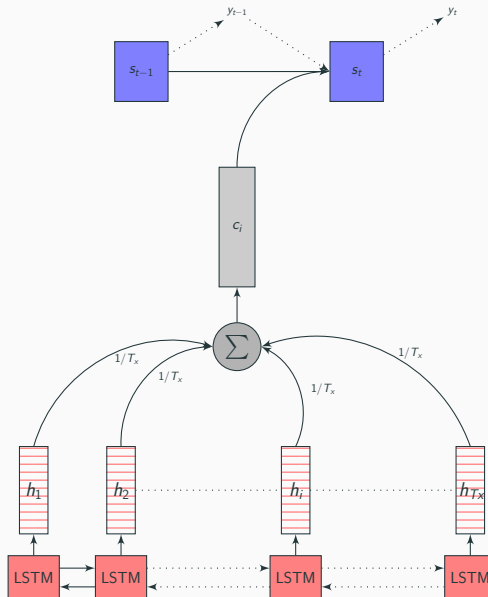


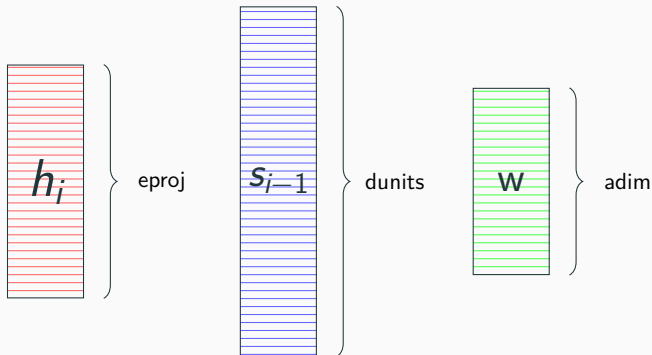


**No Attention [Equal Attention?]**

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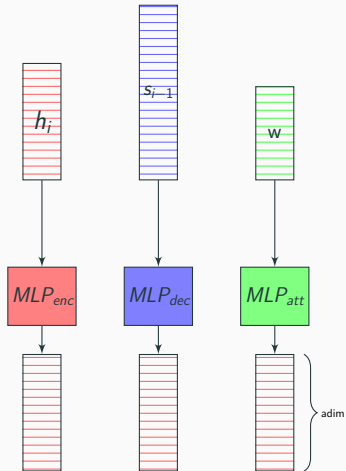
# No Attention [Equal Attention?]





Mostly  $eproj \neq dunits \neq adim$

# Matching the dimensions of representations



# Dot product Attention

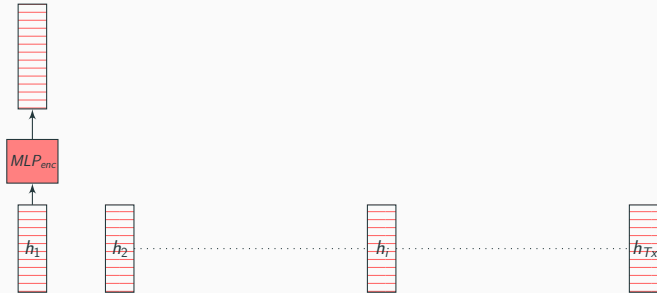
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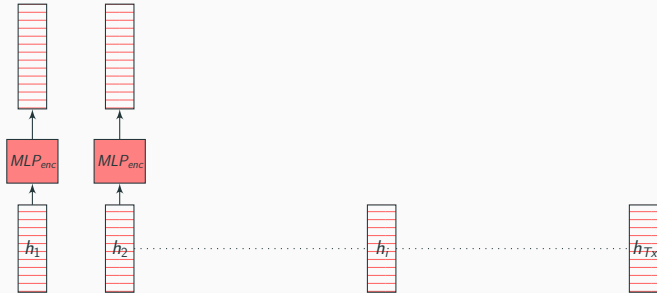
# Dot product Attention



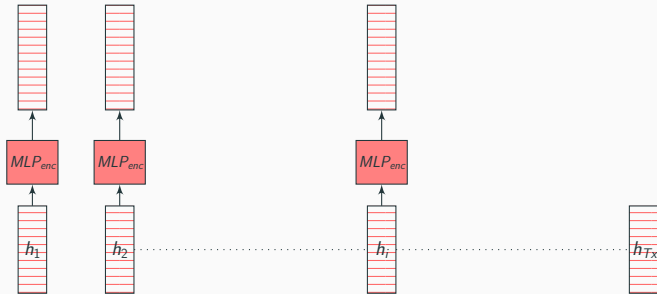
# Dot product Attention



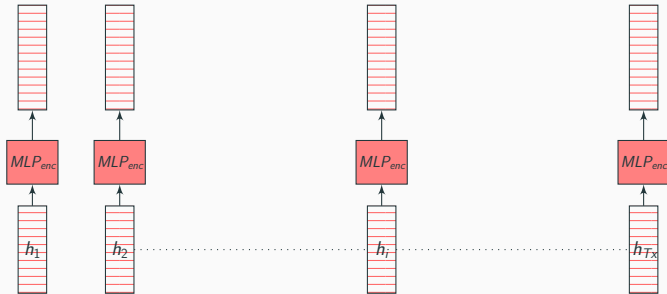
# Dot product Attention



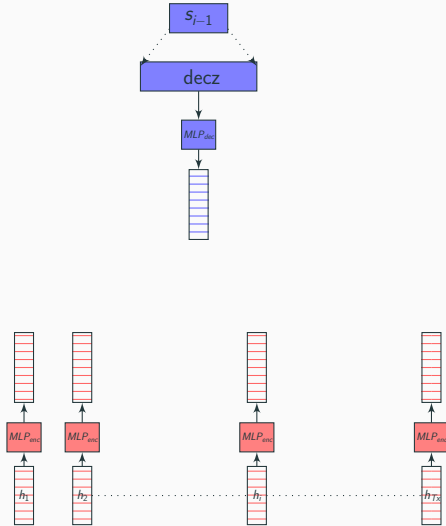
# Dot product Attention



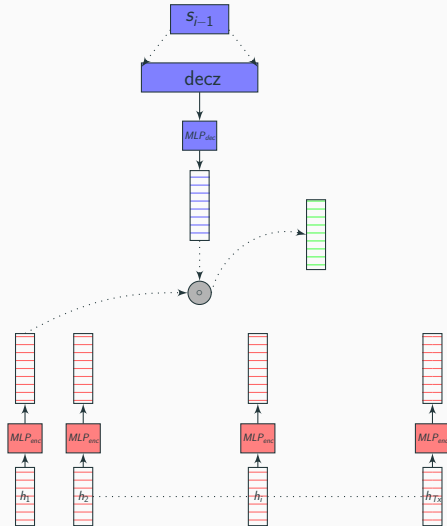
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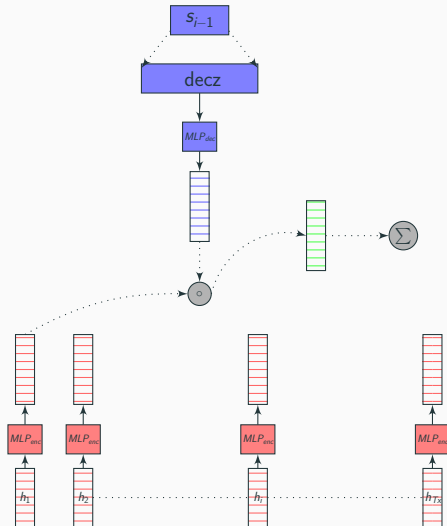


# Dot product Attention



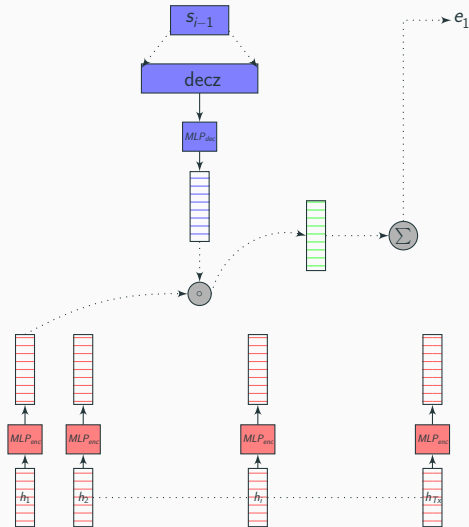
# Dot product Attention





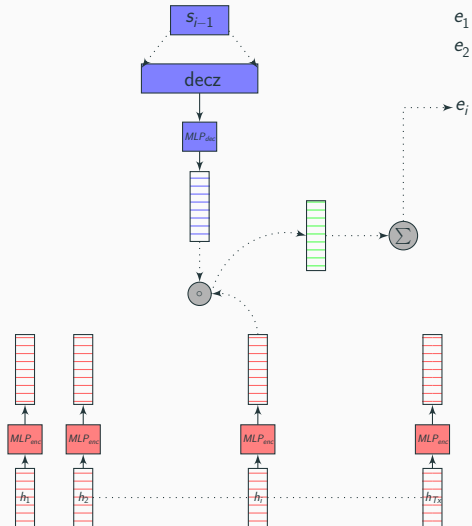


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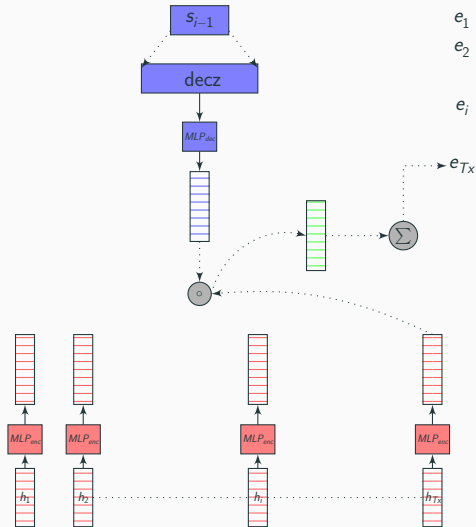




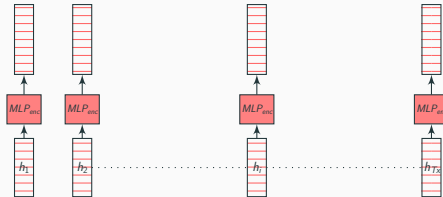
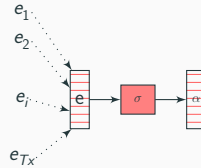
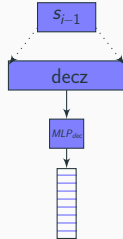
# Dot product Attention



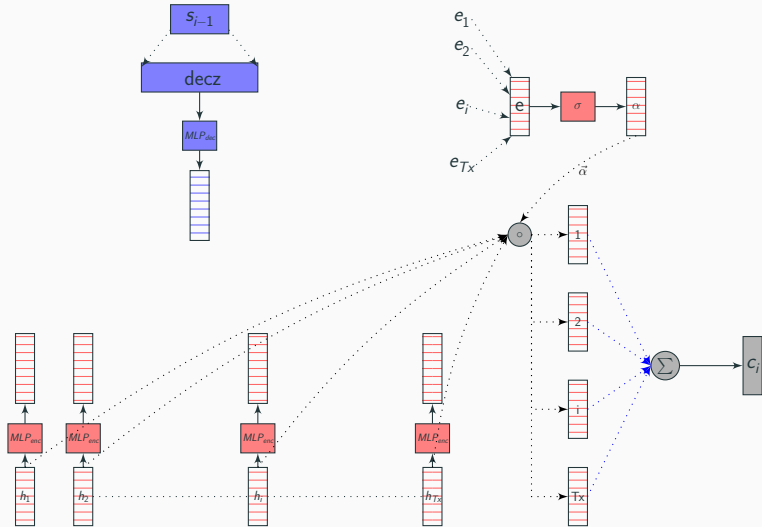
# Dot product Attention



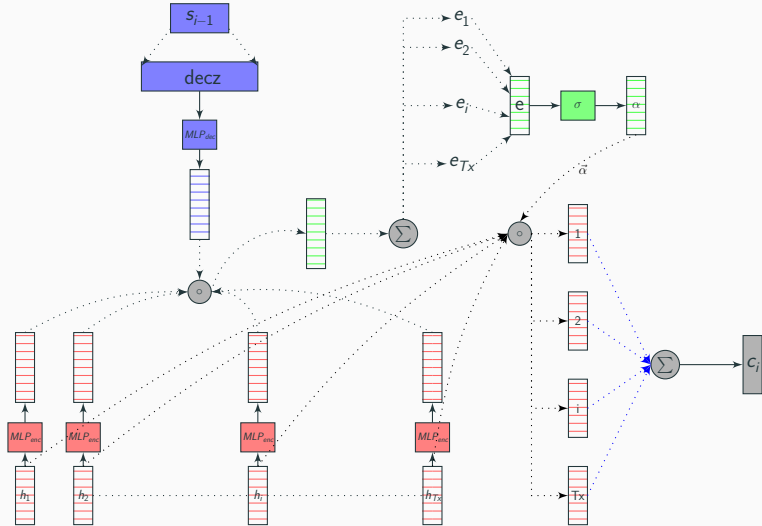
# Dot product Attention



# Dot product Attention



# Dot product Attention - Full picture

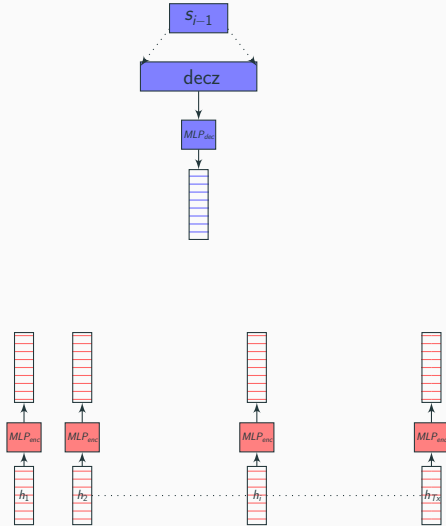


# Additive Attention

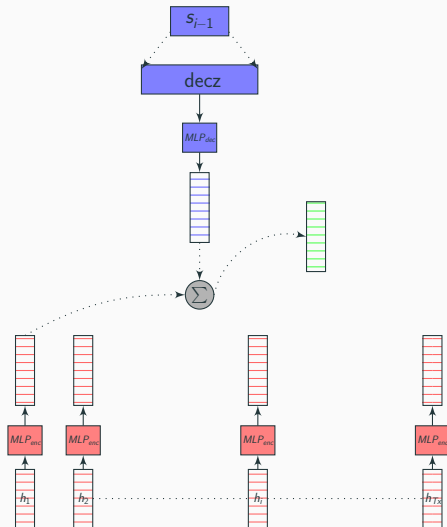
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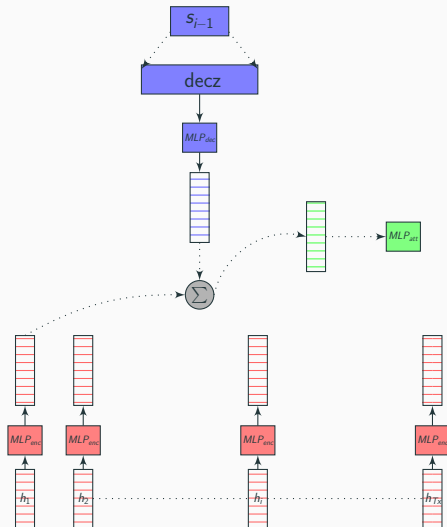


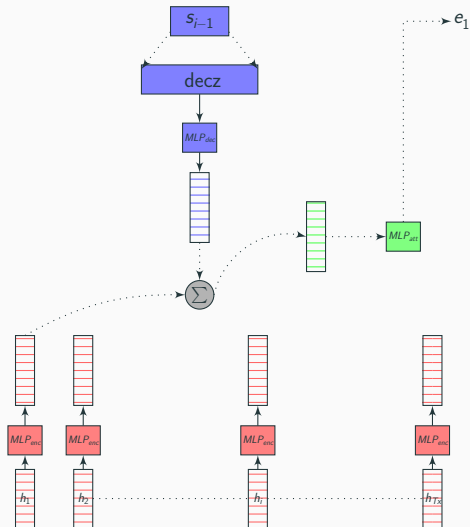
# Additive Attention



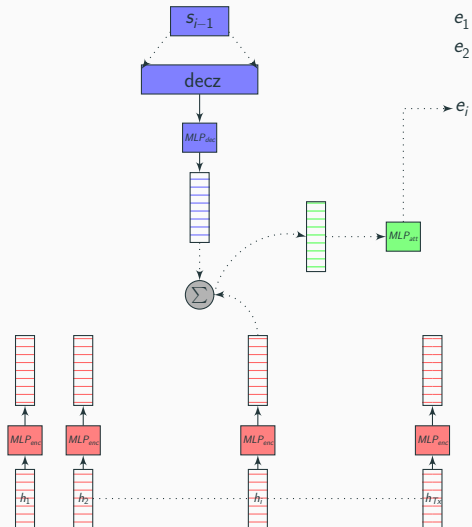
# Additive Attention

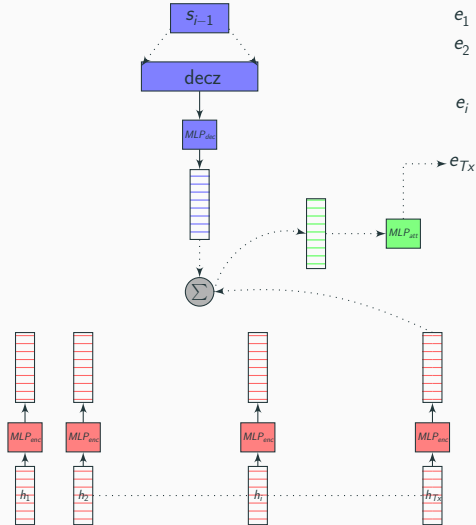


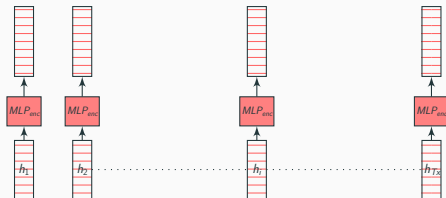
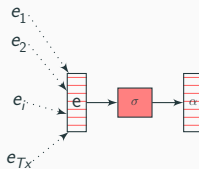
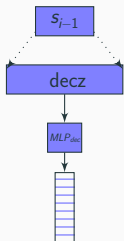






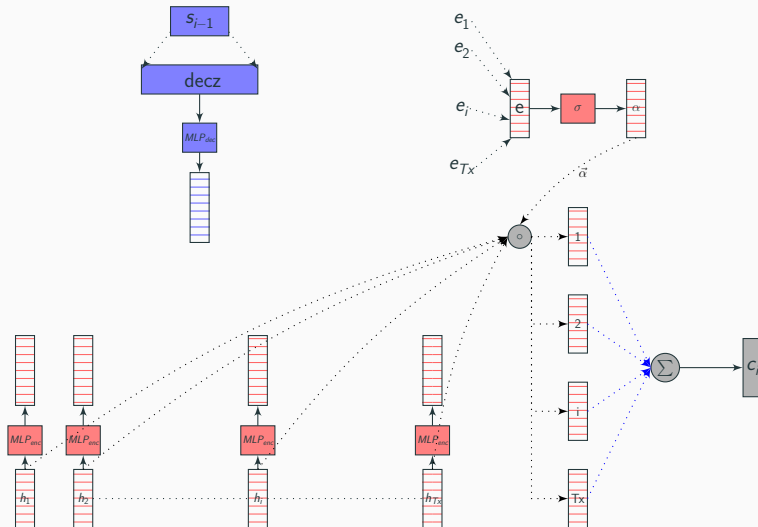




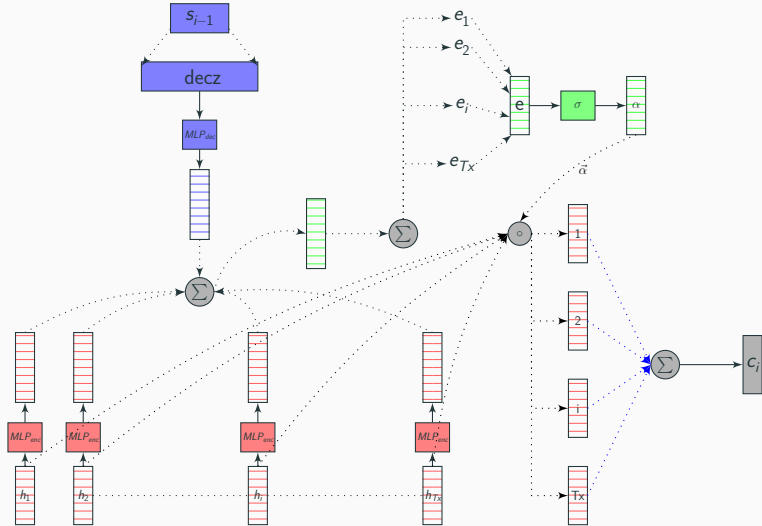




# Additive Attention

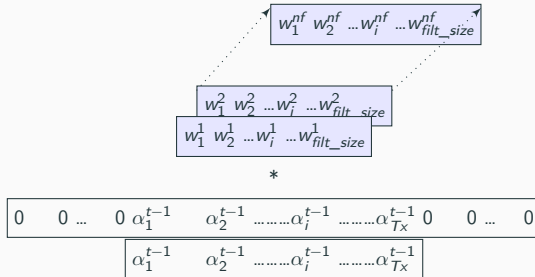


# Additive Attention - Full picture



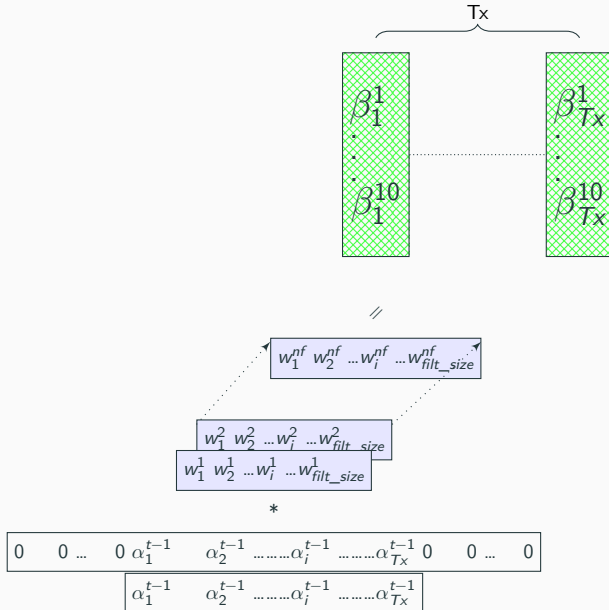
# Location Aware Attention

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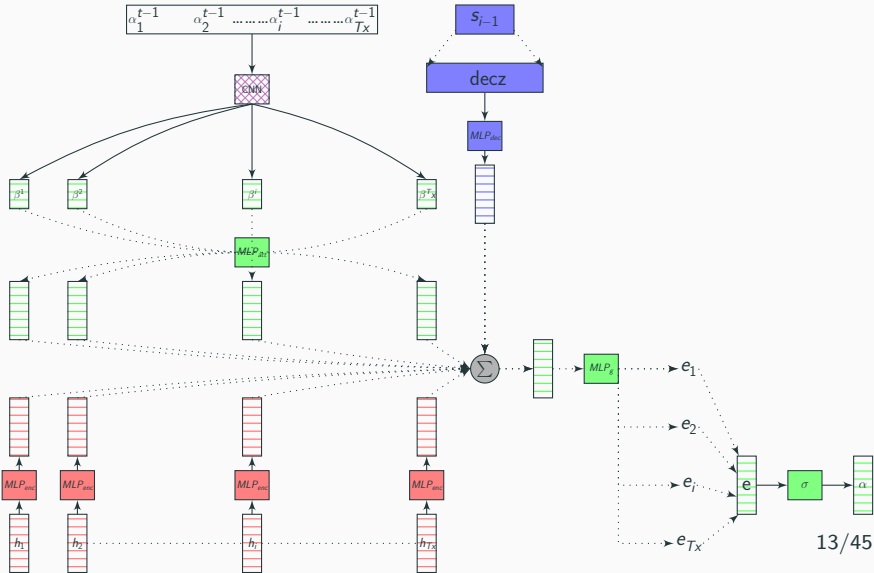


$$\begin{array}{c}
 \boxed{\beta_1^1 \quad \beta_2^1 \quad \dots \quad \beta_i^1 \quad \dots \quad \beta_{T_x}^1} \\
 \parallel \\
 \boxed{w_1^1 \quad w_2^1 \quad \dots \quad w_i^1 \quad \dots \quad w_{filt\_size}^1} \\
 * \\
 \boxed{0 \quad 0 \quad \dots \quad 0 \quad \alpha_1^{t-1} \quad \alpha_2^{t-1} \quad \dots \quad \alpha_i^{t-1} \quad \dots \quad \alpha_{T_x}^{t-1} \quad 0 \quad 0 \quad \dots \quad 0}
 \end{array}$$

$$\begin{array}{c}
 \boxed{\beta_1^2 \quad \beta_2^2 \quad \dots \quad \beta_i^2 \quad \dots \quad \beta_{Tx}^2} \\
 \parallel \\
 \boxed{w_1^2 \quad w_2^2 \quad \dots \quad w_i^2 \quad \dots \quad w_{filt\_size}^2} \\
 * \\
 \boxed{0 \quad 0 \quad \dots \quad 0 \quad \alpha_1^{t-1} \quad \alpha_2^{t-1} \quad \dots \quad \alpha_i^{t-1} \quad \dots \quad \alpha_{Tx}^{t-1} \quad 0 \quad 0 \quad \dots \quad 0}
 \end{array}$$



# Location Aware Attention - Full picture



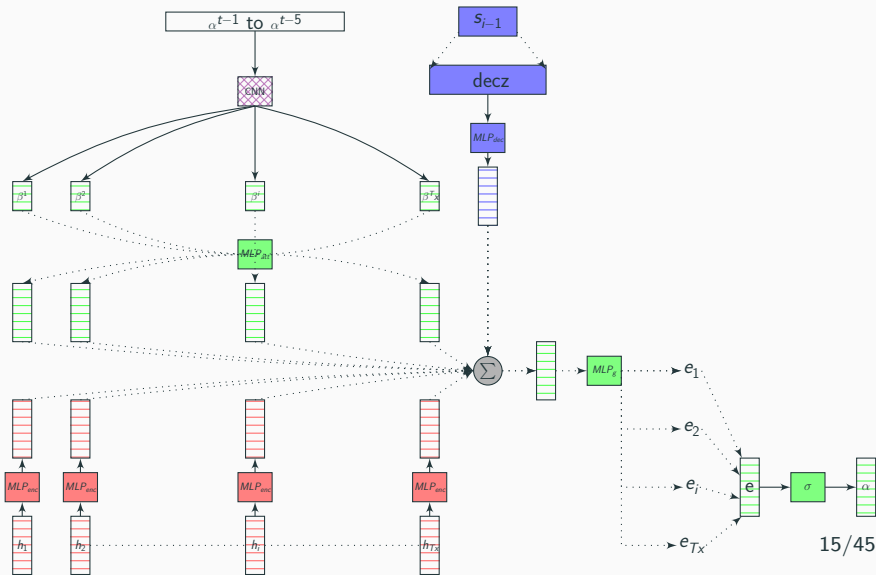


## 2D Location Aware Attention

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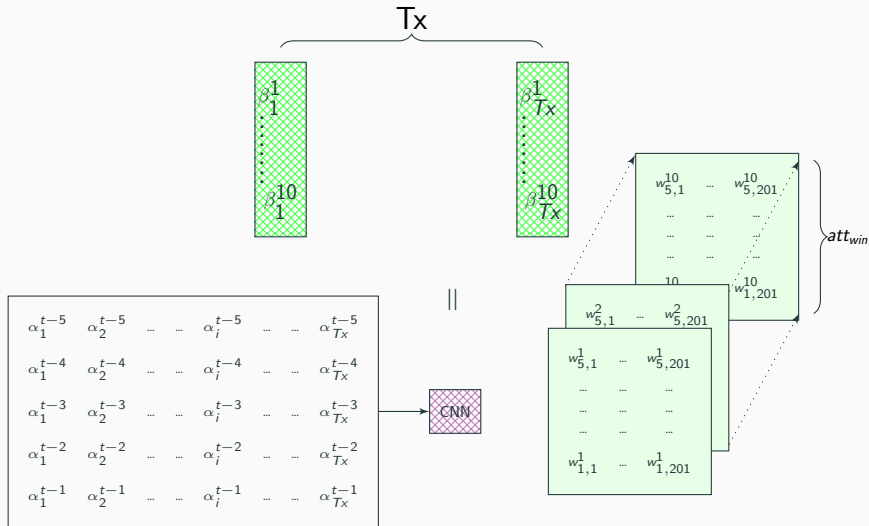


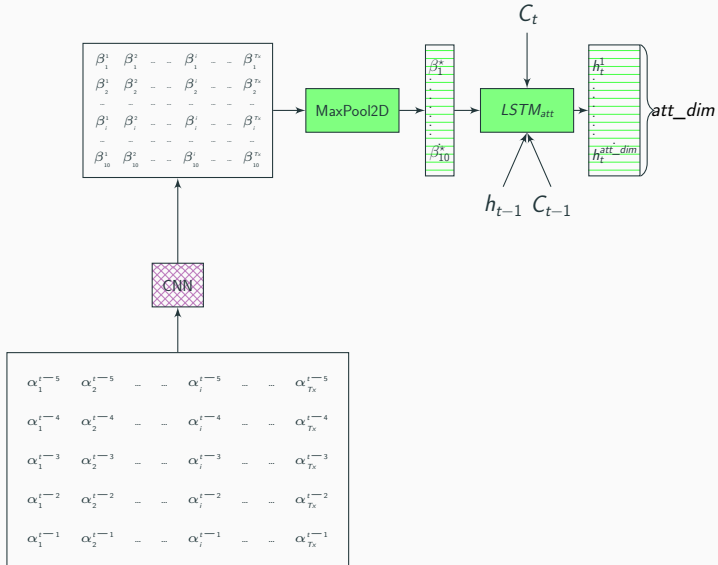
# 2D Location Aware Attention - Full picture



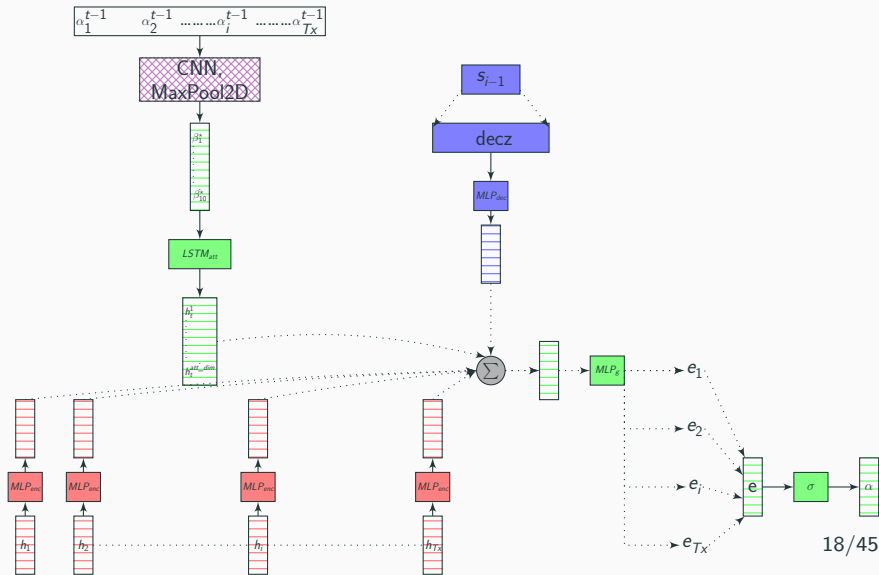
# Location Aware Recurrent Attention

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# Location Aware Recurrent Attention - Full picture

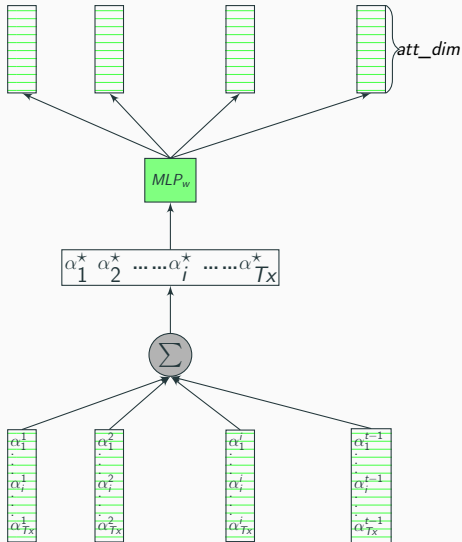


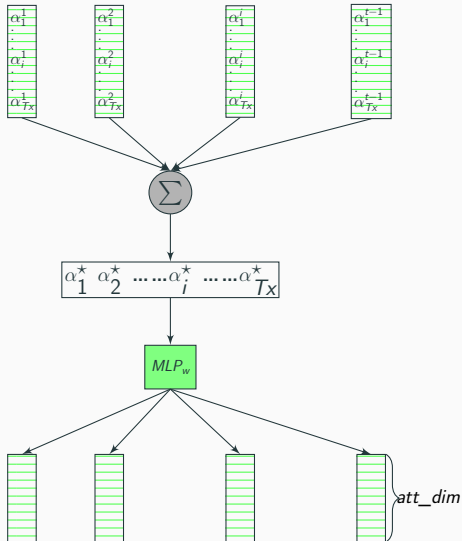
## Coverage mechanism **Attention**

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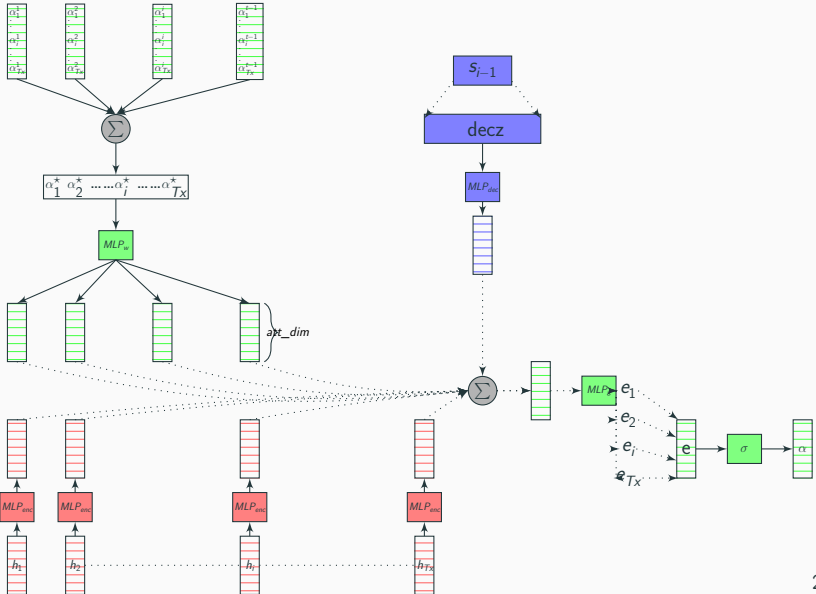


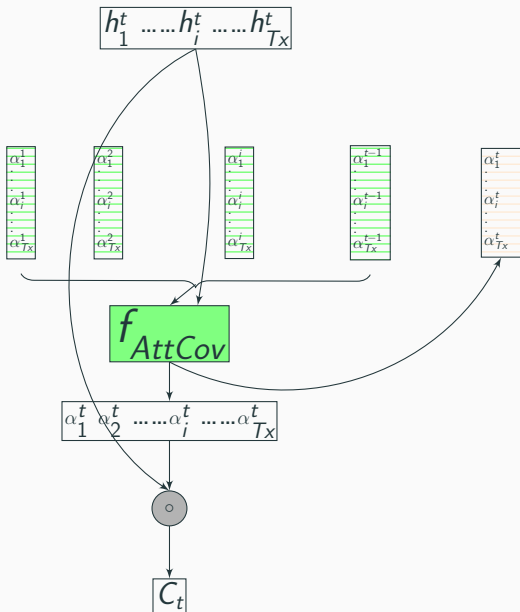
- Text summarization - Seq-to-Seq models
- Not reliable in producing factual details correctly
- Extend the standard seq-to-seq attention models
  - Hybrid pointer-generator network
  - Coverage





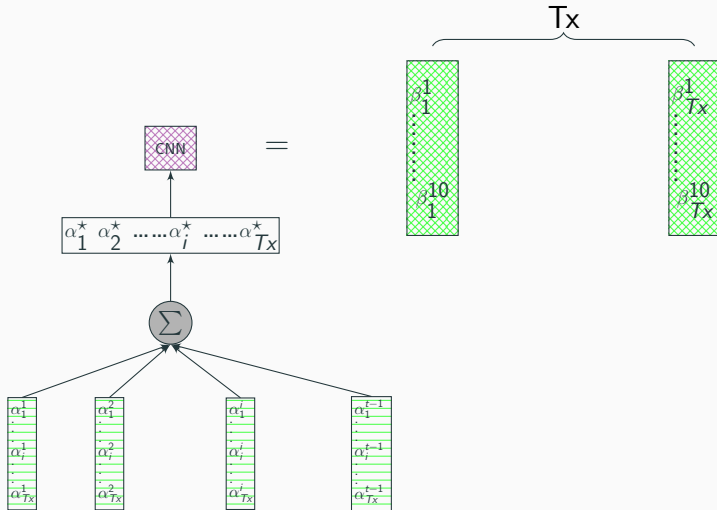
# Coverage mechanism Attention

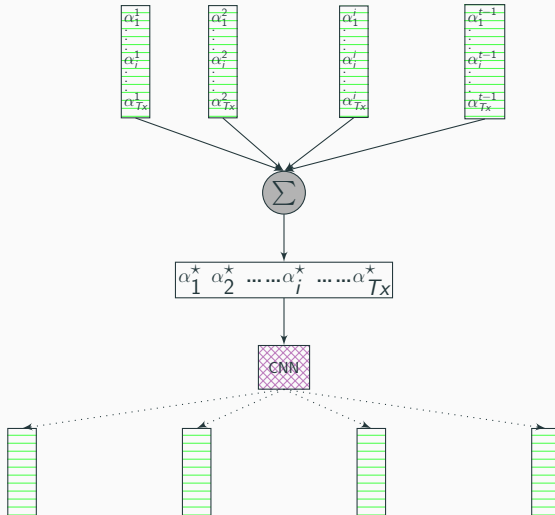




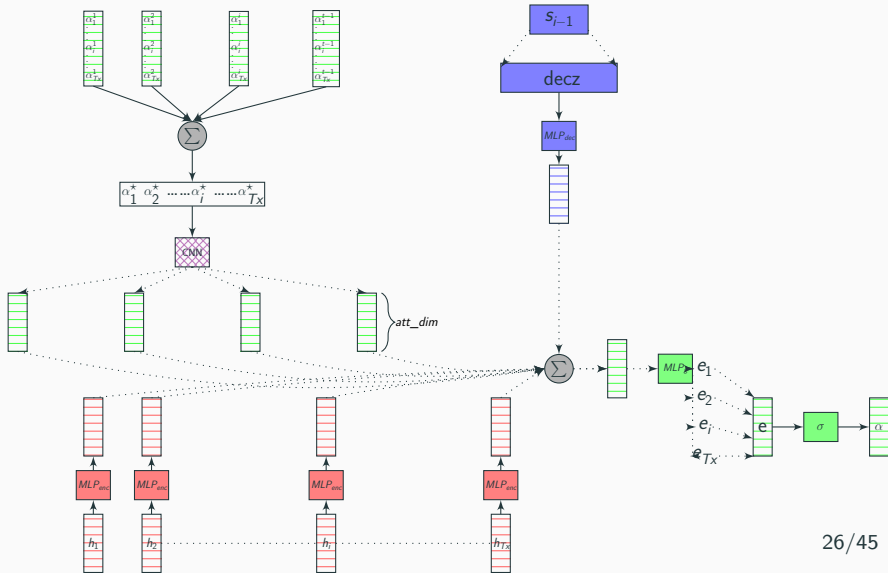
# Coverage mechanism location aware Attention

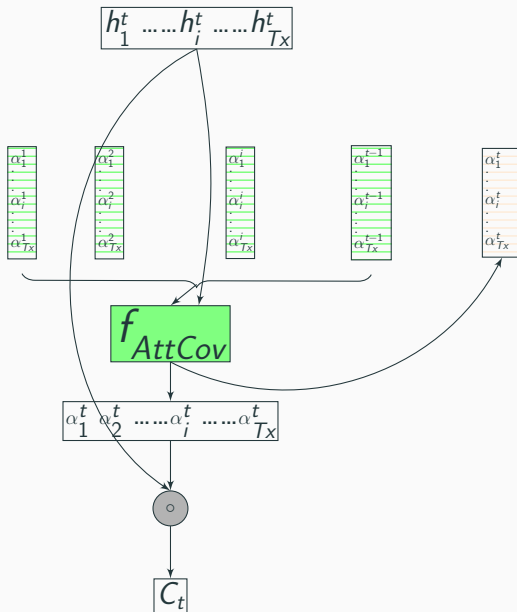
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# MultiHead Attention

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- Most competitive neural sequence transduction models have an encoder-decoder structure [1]
- Transformer : Solely based on Attention (No Recurrent / Convolutional connections)
- Recurrent model : Can't parallelize within an example
- Attention is almost always used with Recurrent Networks (before)
- Transformer : Relying entirely on Attention
- Self Attention / Intra Attention

[1] D. Bahdanau, K. Cho, and Y. Bengio, "Neural Machine Translation by Jointly Learning to Align and Translate," Sep. 2014.

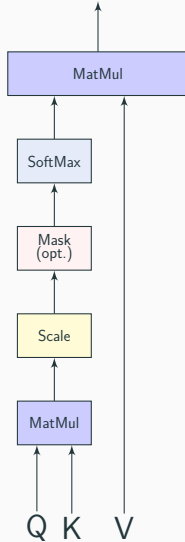
- Self Attention / Intra Attention
- Scaled Dot Product Attention
- Mutli-Head Attention
- Attention -  $K, V$  and  $Q$
- Position wise FeedForward Networks
- Positional Encoding
- Residual Connections
- Learning rate scheduling

## Attention

An attention function can be described as mapping a query and a set of key-value pairs to an output.

- The **query**, **keys**, **values**, and output are all vectors
- The output is computed as a weighted sum of the values, where the weight assigned to each value is computed by a compatibility function of the query with the corresponding key.
- Query :  $dec_z \in \mathcal{R}^{d_k}$
- Key :  $f(h) \in \mathcal{R}^{d_k}$
- Value :  $g(h) \in \mathcal{R}^{d_v}$
- $Attention(Q, K, V) = Softmax(\frac{QK^T}{\sqrt{d_k}})V$

## Scaled Dot Product Attention



$$Attention(Q, K, V) = Softmax\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

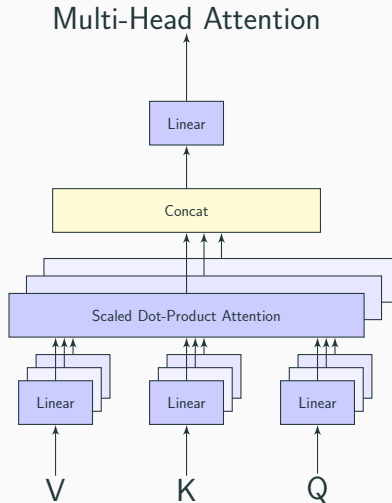
- compute the dot products of the query with all keys
- divide each by  $\sqrt{d_k}$
- apply a softmax function to obtain the weights on the values

## Dot Product Attention

Dot Product Attention is similar to this except for the scaling factor  $1/\sqrt{d_k}$

- For large  $d_k$  AttAdd  $>$  MultiHeadDot [3]
- The dot products grow large in magnitude, pushing the softmax function into regions where it has extremely small gradients  $\rightarrow$  Scale the dot product





Instead of a Single Attention with Q, K, V :

- Linearly Project Q,K,V to  $d_k, d_k, d_v$  dimensions - h times!
- Perform Attention on each of these Q,K,V in parallel to yield  $d_v$  dimensional values
- $d_v^i$  where  $i = 1 \dots h$
- All are concatenated and projected to get the final value

## Advantage over Single Head attention

This allows the model to jointly attend to information from different representation at different positions

## Single Head Attention

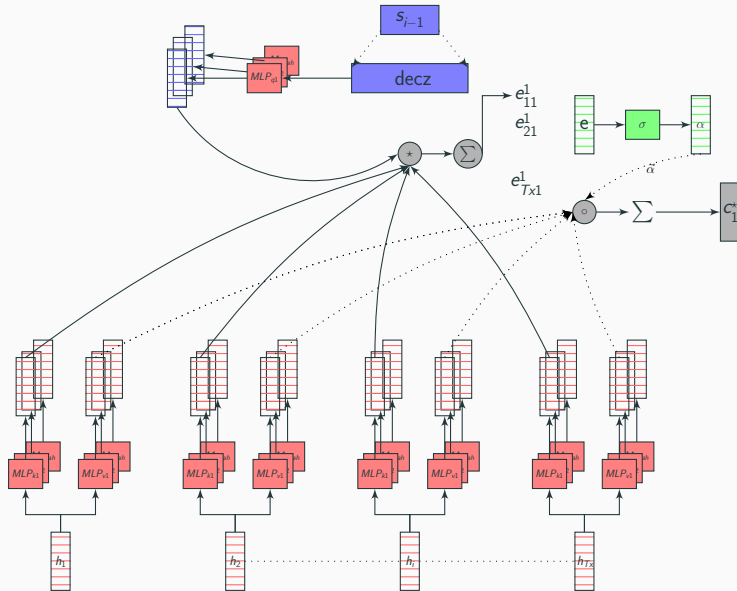
Averaging inhibits this behavior

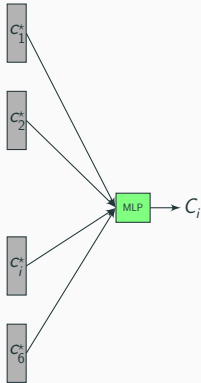
- $W_i^Q \in \mathcal{R}^{d_{model} \times d_k}$
- $W_i^K \in \mathcal{R}^{d_{model} \times d_k}$
- $W_i^V \in \mathcal{R}^{d_{model} \times d_v}$
- $W_i^O \in \mathcal{R}^{hd_v \times d_{model}}$
- Here,  $h=8$  parallel attention layers or heads
- $d_k = d_v = d_{model}/h = 64$

## Computational Cost

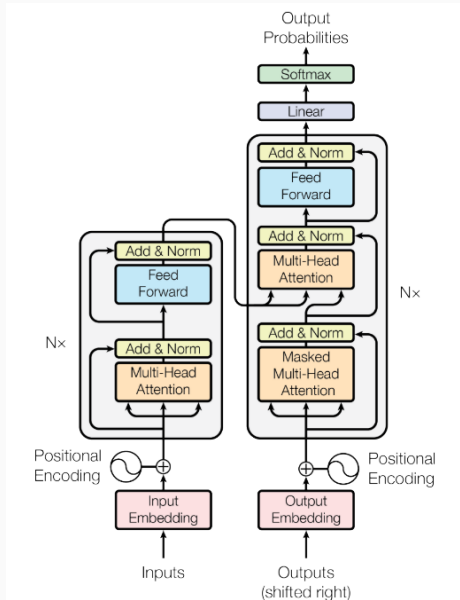
Due to the reduced dimension of each head, the total computational cost is similar to that of single-head attention with full dimensionality.

# MultiHead Dot Product Attention - Full picture





# The Transformer - model architecture



- In “encoder-decoder attention” layers, the queries come from the previous decoder layer, and the memory keys and values come from the output of the encoder.
- Allows every position in the decoder to attend over all positions in the input sequence.
- Encoder has self-attention layers - Q,K,V come from same place. : Output of previous layer in the Encoder
- Decoder also has self-attention layers - allows each position in the decoder to attend to all positions in the decoder up to and including that position.
- Preserver auto regressive [2] property - mask out (by setting to  $-\infty$ ) to all input values of Softmax corresponding to illegal positions.

In addition to attention sub-layers, each of the layers in our encoder and decoder contains a fully connected feed-forward network - applied to each position separately and identically.

$$FFN(x) = \max(0, xW_1 + b_1)W_2 + b_2$$

Weights are shared across positions, not across layers. [4]

[4] O. Press and L. Wolf, "Using the Output Embedding to Improve Language Models," Aug. 2016.



Adam optimizer with  $\beta_1 = 0.9$  and  $\beta_2 = 0.98$  and  $\epsilon = 10^{-9}$

Varied learning rate over training time according to:

$$lrate = d_{model}^{-0.5} \times \min(step\_num^{-0.5}, step\_num \times warmup\_steps^{-1.5})$$

- Transformer contains no Recurrence or Convolution
- We have to inject some information about the relative or absolute position
- Added at the bottom of both encoder and decoder
- same dimension ( $d_{model}$ ) as the embeddings, so they can be summed
- Many choices of positional encoding - learned or fixed (ConvS2S [5])

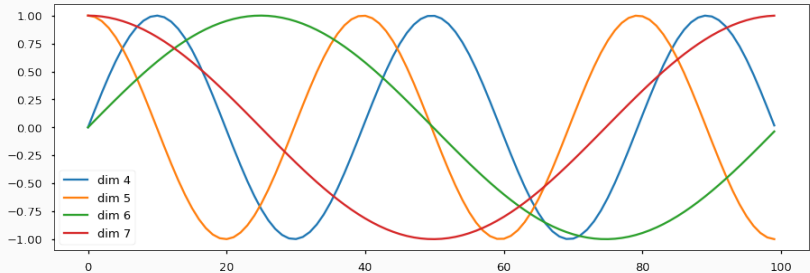
$$PE_{(pos, 2i)} = \sin(pos/1000^{2i/d_{model}})$$

$$PE_{(pos, 2i+1)} = \cos(pos/1000^{2i/d_{model}})$$

[5] J. Gehring, M. Auli, D. Grangier, D. Yarats, and Y. N. Dauphin, "Convolutional Sequence to Sequence Learning," May 2017.

- Each dimension of the positional encoding corresponds to a sinusoid
- The wavelengths form a geometric progression from  $2\pi$  to  $10000.2\pi$
- Hypothesis of the authors : allows the model to easily learn to attend by relative positions
- for any fixed offset  $k$   $PE_{pos+k}$  can be represented as a linear function of  $PE_{pos}$

# Positional Encoding - Example



- MultiHead Additive Attention - Replace dot product by sum and an MLP
- MultiHead location aware attention - Consider attention weights from previous positions and use a CNN (like Location aware Attention we discussed)
- MultiHead Multi Resolution Attention - Use different filter size for each head!

**Thank you for your Attention.  
Questions?**