

# Race conditions

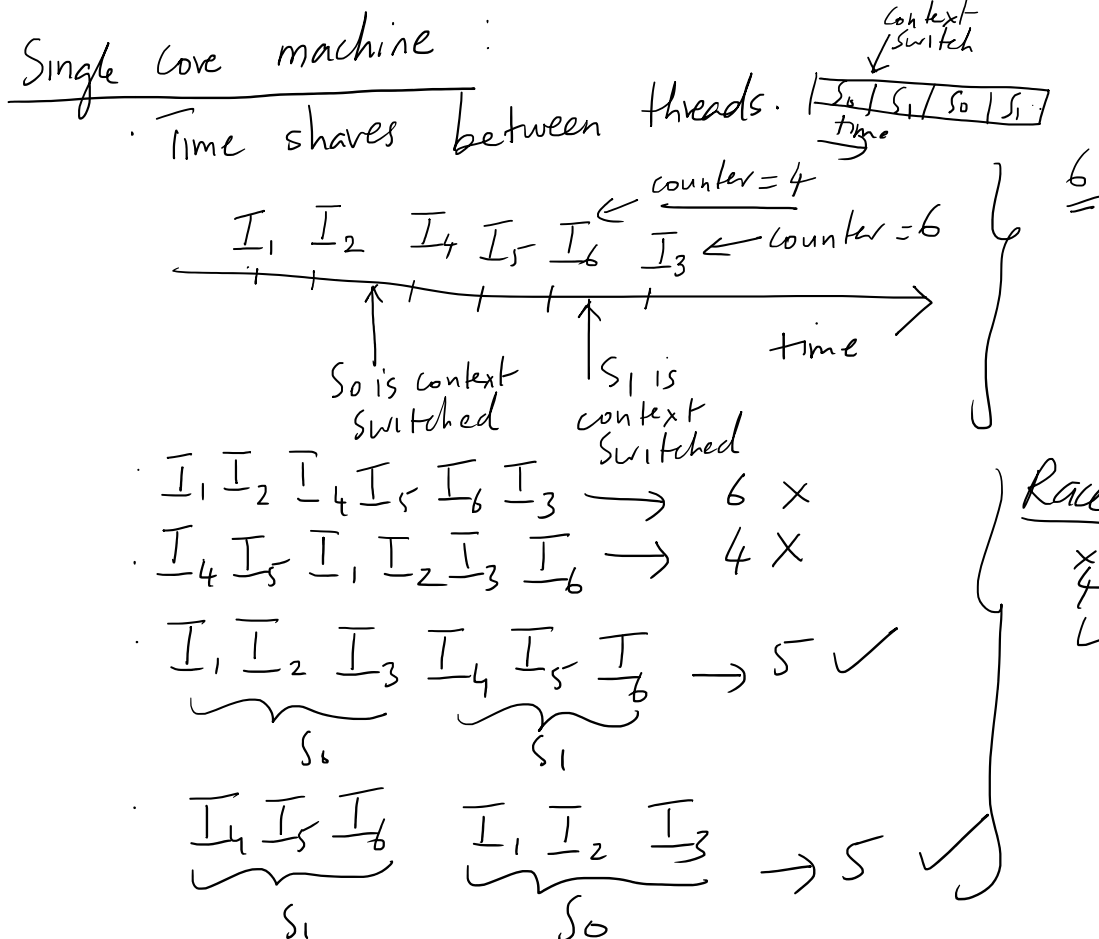
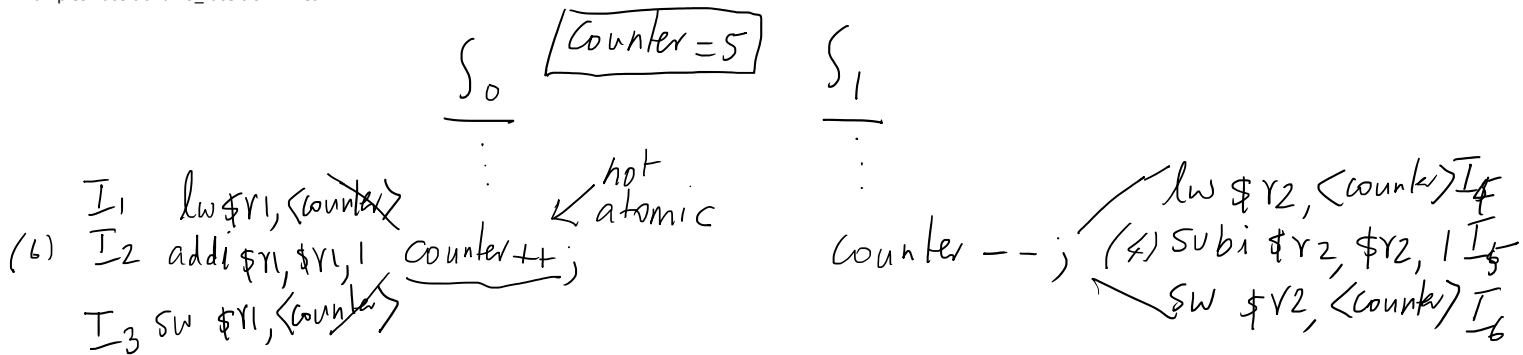
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When several threads access and manipulate the same data concurrently, the outcome of the execution depends on the particular order in which the access takes place.

This is called a **race condition**.

Bugs in multi-threaded programs caused by race conditions are hard to debug and are called "Heisenbugs."

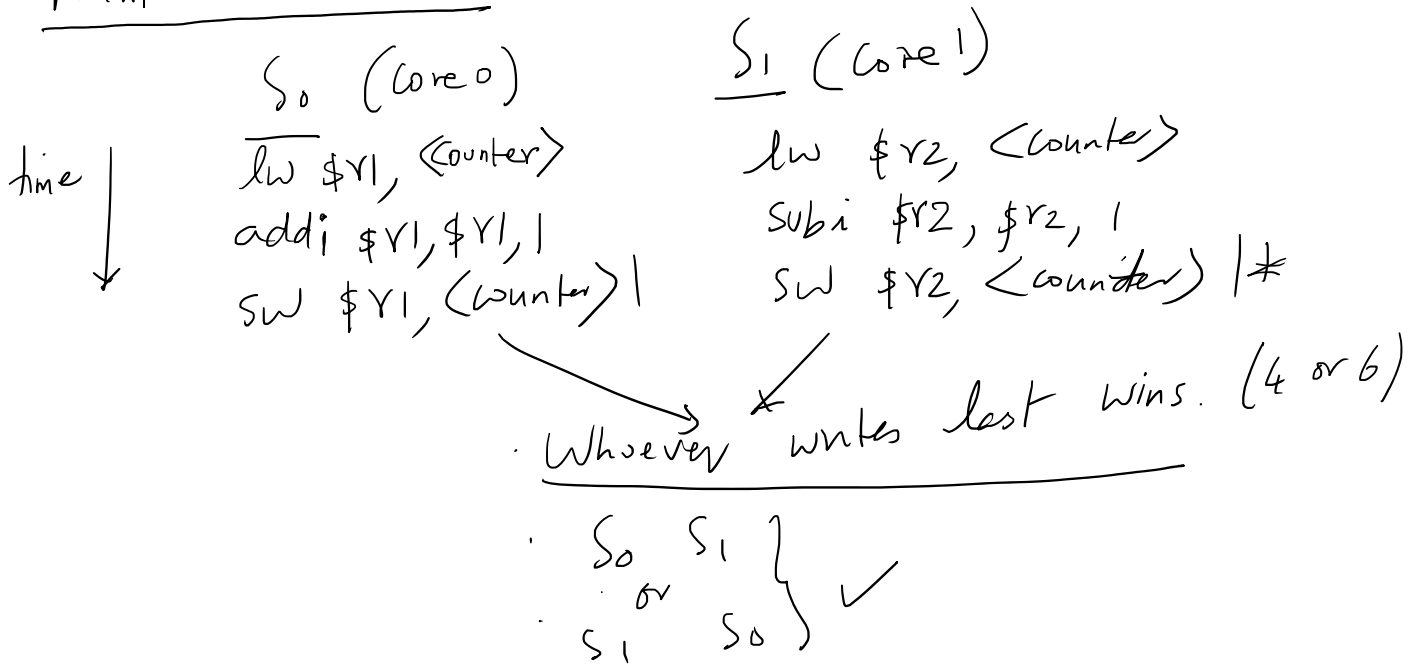
Examples: race.c and no\_race.c on BBLearn



Race condition:

$\times 4, 5, \times 6$

# Multi-core machine



## Conclusion

→ Atomicity

↓  
Indivisible

Counter ++ (or) Counter --

operations must be atomic

Most machine instructions  
are not atomic

# The critical section problem

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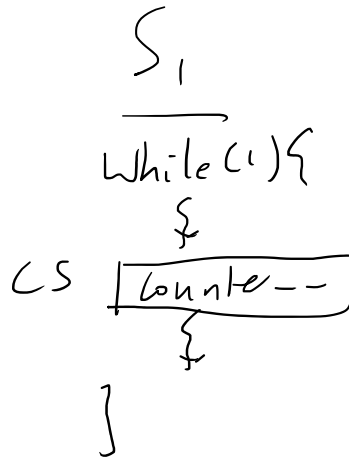
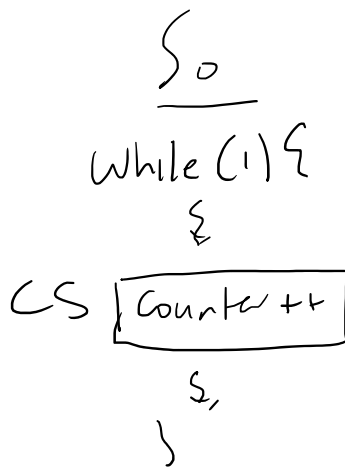
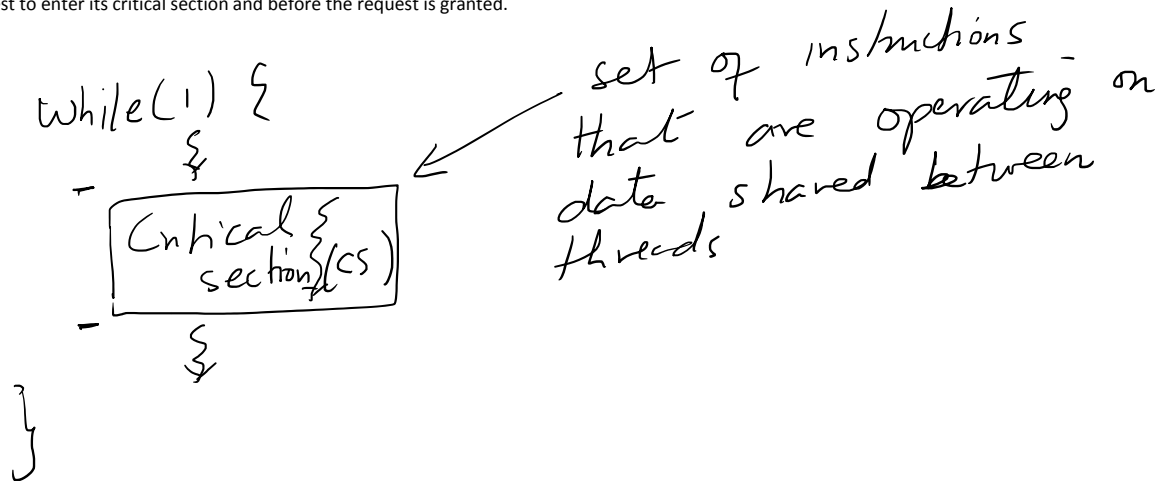
**Critical section:** segment of code in which threads may be modifying shared variables

Any solution to the critical section problem must satisfy the following three conditions:

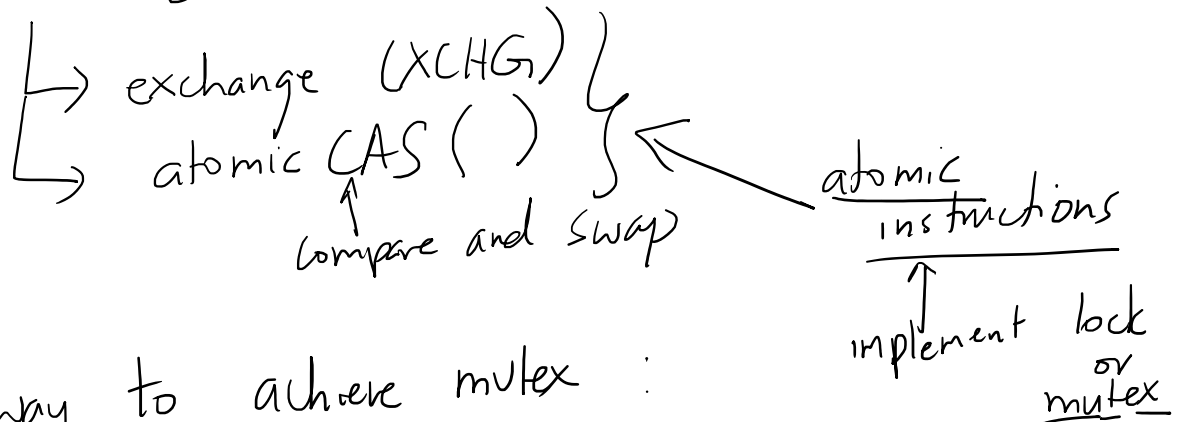
**Mutual exclusion:** if thread  $T_i$  is executing in its critical section, then no other threads can be executing in that critical section.

**Progress:** all threads must be making progress towards their overall objective. This means that the system is free of **deadlocks**.

**Bounded waiting:** there exists a bound or limit on the number of times other threads are allowed to enter their critical sections after a thread has made a request to enter its critical section and before the request is granted.



• Instruction ~~Set~~ architecture (ISA)



Simplest way to achieve mutex :

→ Microcontrollers (MSP432 TI)

↳ single core → time sharing

↳ CPU is time shared between threads

while(1){  
/\* Disable interrupts \*/

keep short

↳ Critical section {  
/\* Enable interrupts \*/

time quanta

↓ timer

interrupt service routine

↓ interrupt  
ISR()

Will not work for multi-cores.

↳ Each core has its own interrupt set up.

# atomic CAS

## Behavior of atomic CAS:

shared memory

mutex

```

int atomicCAS (int *mutex, int compareVal,
               int newVal)
{
    int oldVal = *mutex;
    if (*mutex == compareVal)
        *mutex = newVal;
    return oldVal;
}
    
```

atomic

## Lock implementation:

S<sub>0</sub>

```

while(1) {
    while (atomicCAS(&mutex, 0, 1) != 0);
    // short
    Critical section {
        mutex = 0;
    }
}
        
```

mutex

Shared variables

S<sub>1</sub>

```

int mutex = 0;
while(1) {
    while (atomicCAS(&mutex, 0, 1) != 0);
    // spin lock
    Critical section {
        mutex = 0;
    }
}
        
```

Exchange:

```

atomic {
    void exchange (int *mutex, int registerVal)
    {
        int temp;
        temp = *mutex;
        *mutex = registerVal;
        registerVal = temp;
    }
}

```

---

$S_0$       mutex = 0

```

int key = 1;
while (key != 0)
    exchange(&mutex, key);

```

{ critical section }

exchange(&mutex, key);

$S_1$

```

int key = 1;
while (key != 0)
    exchange(&mutex, key);

```

spin lock

critical section }

exchange(&mutex, key);

Recap:

- Atomicity → operations in CS should be indivisible
- Isolation → when multiple threads operate concurrently on a data structure, the final state should be the same

as though the operations were performed sequentially.

# The pthread mutex

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• `pthread_mutex_t mutex;`

↑  
pthread mutex type

• Initialize mutex:

• `pthread_mutex_init(&mutex, NULL);`

• Destroy mutex:

• `pthread_mutex_destroy(&mutex);`

• Lock mutex:

• `pthread_mutex_lock(&mutex);`

• Unlock mutex:

• `pthread_mutex_unlock(&mutex);`



# Semaphores

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- Signalling semaphores
- mutex

Semaphore: Integer

Counting Semaphore  
can take on  
any integer value  
(0, 1, ..., r-1)

Binary Semaphore  
⇓  
mutex

## Basic operations

- Probe (P)
  - Signal (V)
- atomic

S: semaphore

Probe:

P() or sem\_wait():

If (S == 0)  
put ourselves in a wait queue  
for S;

→ S--;

Signal or sem-post():

prev\_val = S;

S++;

if ((prev\_val == 0) && (threads are blocked on S))  
Wake up one thread that is  
blocked on S;

# Binary Semaphore

Values : 0, 1

$S = 1$

mutex

$S_0$   
 $\{$   
 $\text{sem\_wait}(S);$   
 $\rightarrow P(S);$   
 $\{$   
 $CS$   
 $\{$   
 $\text{sem\_post}(S);$   
 $V(S);$   
 $\}$   
 $\}$

$S_1$   
 $\{$   
 $P(S);$   
 $\text{sem\_wait}(S)$   
 $\{$   
 $CS$   
 $\{$   
 $V(S);$   
 $\text{sem\_post}(S)$   
 $\}$   
 $\}$

## Example of signalling:

$I_2$   $I_1$  ...

$S = 0$

$S_0$   
 $P(S);$   
 $I_1 : =$

$S_1$   
 $\vdots$   
 $I_2 : =$   
 $V(S);$

$I_2$  is executed first  
 $I_1$  is executed next

# Recap

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Race conditions due to multiple threads modifying shared variables in unprotected fashion

Critical section problem: mutual exclusion and freedom from deadlocks

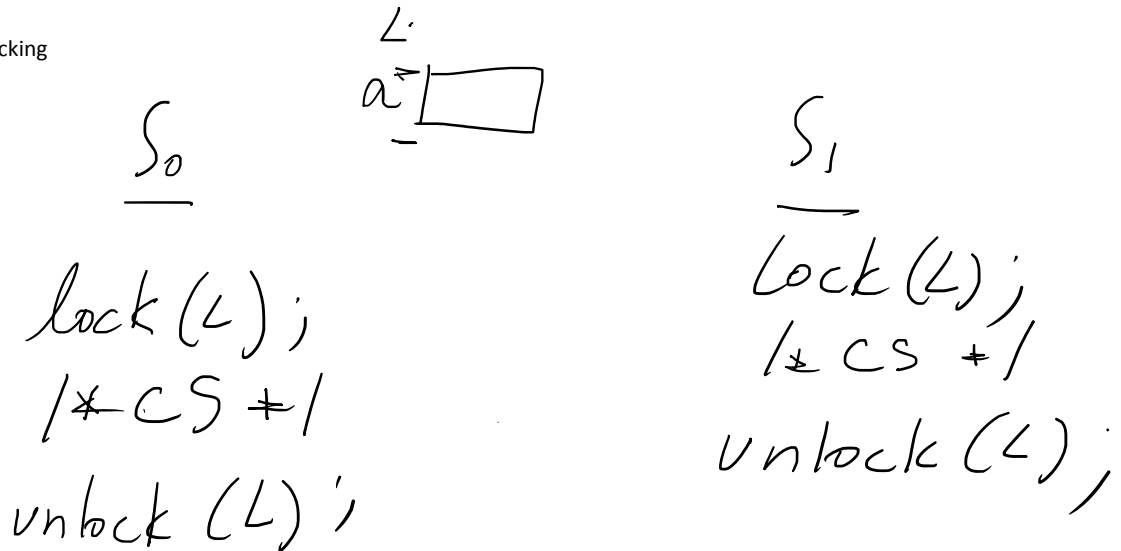
Hardware support for synchronization: atomic (indivisible) low-level instructions supported by the ISA; examples: atomicCAS(), exchange(), testSet()

Semaphores: counting and binary; basic atomic operations: probe (P) and signal (V)

Spinning versus context switching

"Smart" locks

Granularity of locking



Uniprocessor case:

- Block the thread if it cannot acquire lock.

Multiprocessor case:

- Let threads spin on the locks  
- Keep sizes of critical sections small

"Smart" locks:

↳  $S_0$  has lock  
 $S_1$  wants lock

If  $S_0$  is currently executing on some core,  
let  $S_1$  spin

If  $S_0$  is currently blocked, block  $S_1$

# Deadlocks

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The use of locks serializes execution through critical sections -> loss of parallelism.

To reduce the impact on performance, the size of critical sections must be kept small (few hundreds of instructions or fewer) -> granularity of locking should be small.

Examples: non-preemptive kernel versus preemptive kernel.

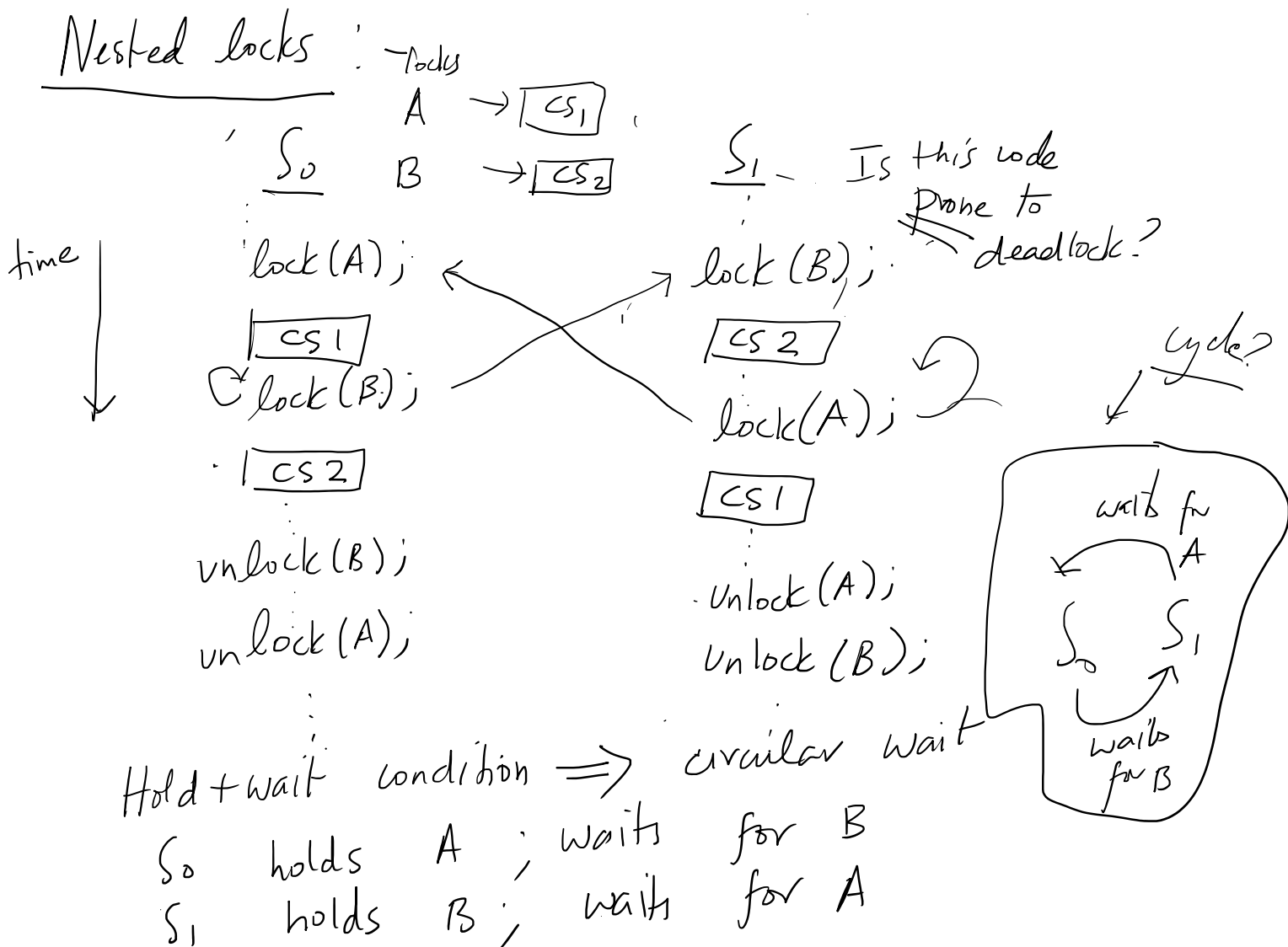
Large applications (such as an OS kernel) may have thousands of locks in them.

Must be careful to avoid deadlocks.

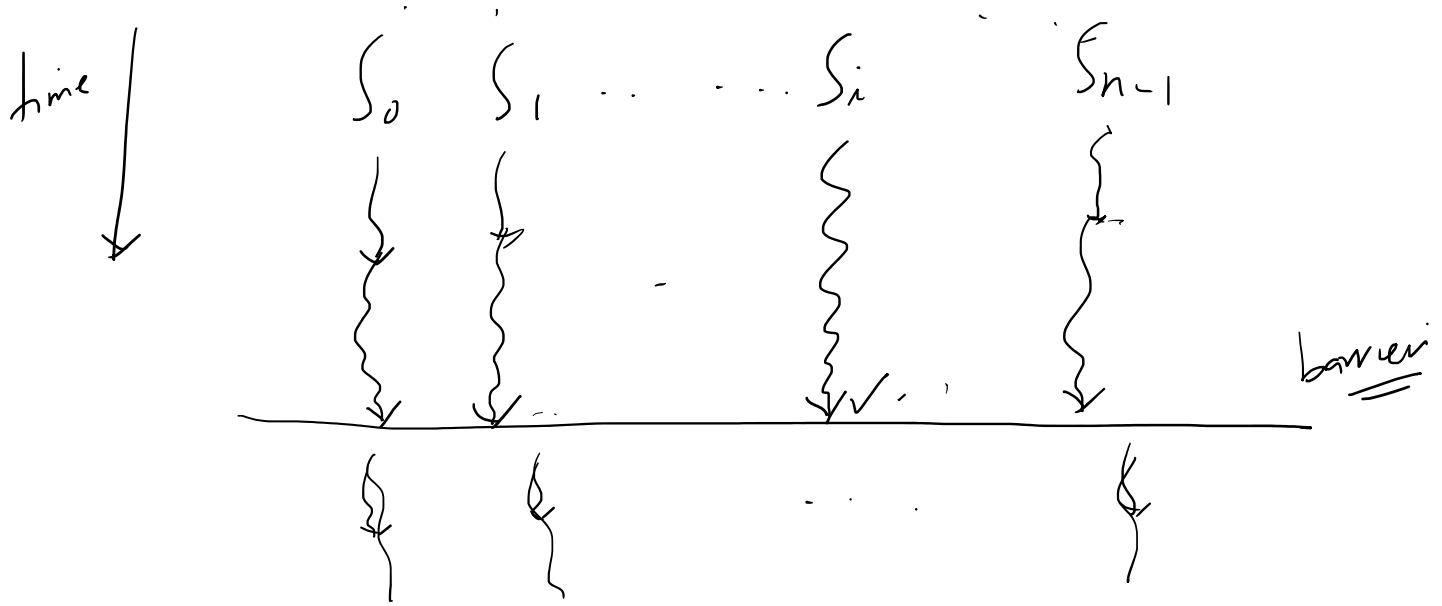
Examples...

How to handle deadlocks? Deadlock avoidance, deadlock prevention, deadlock detection, ... or the Ostrich approach

Lock ordering protocol for deadlock avoidance



- 1) Semaphores
- 2) Barrier synchronization using semaphores



### Iterative methods :

Barrier ensures that values modified by threads during an iteration are stable before starting the next iteration.

```

for (i = 0; i < num-iter; i++) {
    { S0 { S1 ... { Sn-1
    {
    }
    }
    }
    barrier
}
    
```

If values calculated during iteration  $i-1$  must be used during iteration  $i$  (example: Jacobi solver), then barrier is needed to force all threads to finish iteration  $i-1$  before any thread is allowed to start iteration  $i$ .

Pthread functions for barrier synchronization:

`pthread_barrier_init()` ;

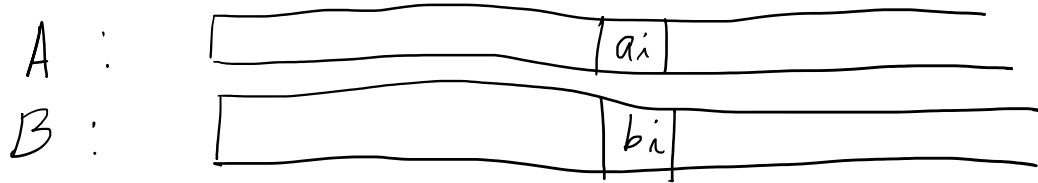
`pthread_barrier_wait()` ;

`pthread_barrier_destroy()` ;

↳ Not all implementations may support.

## Code examples: Vector dot product

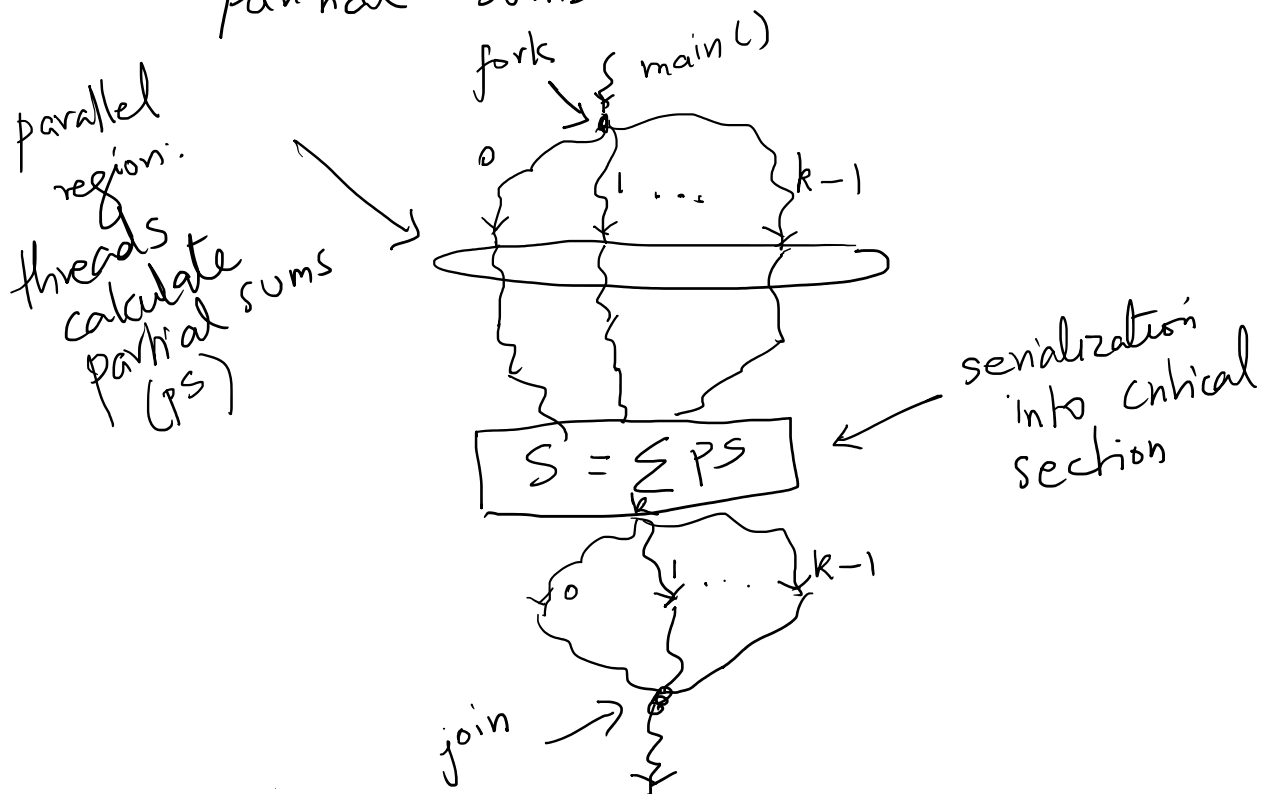
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$$S = \sum_i a_i \cdot b_i$$

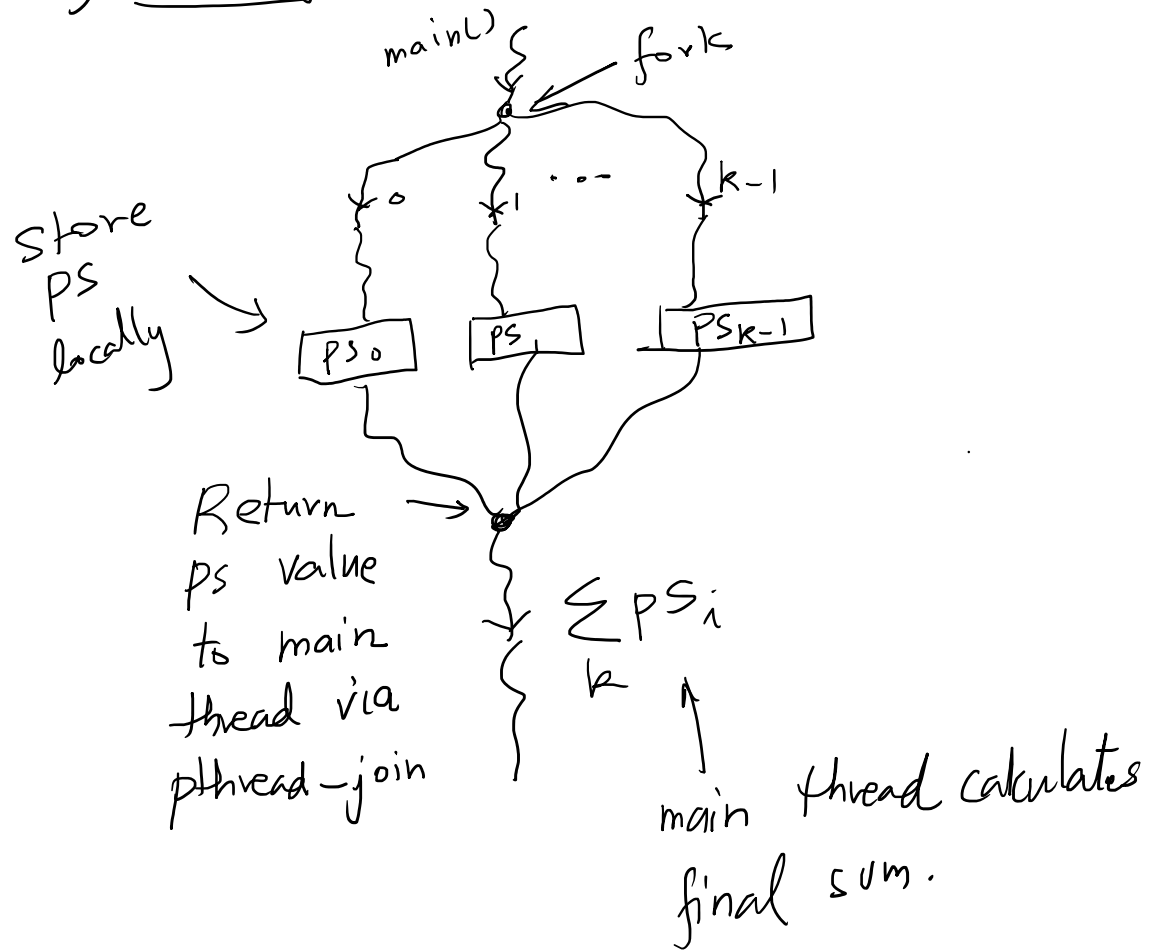
Use : chunking or striding

1) Lock to protect shared variable S  
When threads accumulate their partial sums.






## 2) Lock free method



## Code examples: Histogram generation

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int bins[~~NUM\_BINS~~]; input:  N-1  
element in  $[0, \text{NUM\_BINS}-1]$

Serial code:

```
for(i=0; i<N; i++)  
    bins[input[i]]++;
```

Parallelization:

Bad: have one histogram data structure and let threads constantly write to it.

Good: threads calculate their local histograms independently  
Then, main thread can accumulate the local histograms into the final histogram.