

# Coupled Asteroid Trajectory Design: From Orbit to Landing

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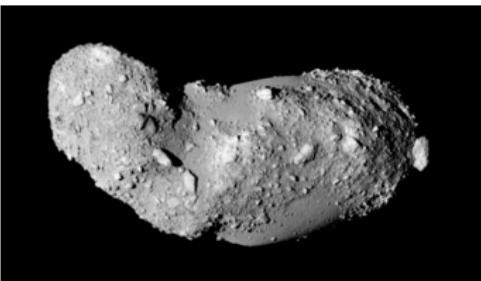
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WASHINGTON, DC

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# Asteroid Missions

- Science - insight into the early formation of the solar system
- Mining - vast quantities of useful materials
- Impact - high risk from hazardous near-Earth asteroids



# Asteroid Mining

- Useful materials can be extracted from asteroids to support:
  - Propulsion, construction, life support, agriculture, and precious/strategic metals
- Commercialization of near-Earth asteroids is feasible

Element	Price (\$/kg)	Sales (\$M/yr)
Phosphorous (P)	0.08	2167
Gallium (Ga)	300.00	1544
Germanium (Ge)	745.00	6145
Platinum (Pt)	12 394.00	1705
Gold (Au)	12 346.00	49
Osmium (Os)	12 860.00	307

# Low-thrust vehicles

- Low-thrust orbital transfers increase mission opportunities
  - Electric propulsion is increasing in capability
  - Offers much higher specific impulse than chemical engines
  - Requires much longer operating periods for maneuvers
  - Enables long duration missions with frequent thrusting



► Ideal Rockets

# Spacecraft Autonomy

- Autonomous control of space vehicles is critical
  - Avoid extensive planning and interaction by operators
  - Ability to operate safely with system uncertainty
  - Independently navigate hazards and handle possible failures



# Why send spacecraft to asteroids?

- Some properties only available at the asteroid:
  - High fidelity gravitational model
  - Surface samples or return missions
- Gain experience for future missions
  - Weak gravitational field allows for less costly maneuvers
  - Asteroid tours for future deep-space human missions
- Avoiding future impacts
  - Local spacecraft can aid in ground based tracking
  - Mitigation: Gravity tractors, kinetic impactors, solar sails

# Proposed research

## Thesis Statement

The application of **geometric mechanics** enables the innovative design of complex trajectories around asteroids, including orbital transfers, hovering, and landing.

- **Geometric mechanics** enables novel capabilities
  - Poincaré sections allow for insight into dynamics
  - **Variational integrators** for accurate propagation
  - **Global representation** which avoids singularities

# Asteroid mission design

- Reachability set on Poincaré section allows for systematic transfer design
  - Transfer design on lower dimensional subspace
  - Simple method to incorporate effects of low-thrust
  - Avoids the issue of determining initial conditions
- Coupled dynamics play a critical role when near the surface
  - Irregular asteroid shape causes complex gravity field
  - Requires a global representation of kinematics
  - Autonomous obstacle avoidance for robust landing scenarios

# Challenges for Optimal Transfer Design

- Optimization in astrodynamics
  - Orbital dynamics are nonlinear and chaotic
  - Very sensitive to initial conditions
  - Intuition required by designer to enable convergence
- Transfers using low-thrust propulsion
  - Requires long periods of thrusting/coasting
  - Small perturbations require accurate numerical integration
  - Difficult to capture the long-term effects accurately
- Direct Optimal Control
  - Reformulate problem as parameter optimization
  - Allows for use of nonlinear programming methods
  - High dimensional problem and computationally intensive
  - Results in suboptimal solutions due to discretization

# Dynamic System Modelling

- Astrodynamics - motion of objects in space [Intro to Astro](#)
- Attitude coupling is dependent on ratio  $\epsilon = \frac{l}{R}$ 
  - Typically ignored for Earth based missions
  - Force depends on attitude and moment depends on position
  - Vastly different time scales

$$m\dot{v} = mv \times \Omega + \sum F(b, R)$$

$$J\dot{\Omega} = J\Omega \times \Omega + \sum M(b, R)$$



# Planetary Landing



- Extensive history of manned/unmanned planetary landings
- Previous approaches highly resource dependent
  - Typically rely on offline optimization
  - Extensive human planning and analysis

## Drawback of Open Loop Control

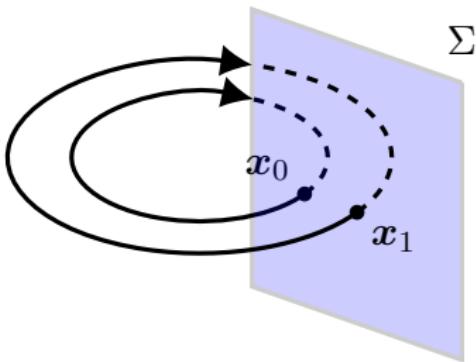
- Not robust to errors in dynamic model
- Unable to handle failures
- Unable to react to varying obstacle or constraints

# Orbital Transfers

- **Reachability set** allows for systematic transfer design
  - Transfer design on lower dimensional Poincaré surface
  - Simple method to incorporate effects of low-thrust
  - Avoids the issue of determining initial conditions
- Alleviates many issues with previous approaches
  - Initial states chosen from the reachable set
  - Indirect optimal control vs. direct optimal control
  - Reachability set gives bounds on motion

# Poincaré map

- Intersection of a periodic orbit with a lower dimensional subspace
  - Poincaré section - discrete map between intersections
- Useful for investigating the stability and structure
- Define a Poincaré section  $\Sigma$ 
  - Used for initial and target periodic orbits
  - Subspace for the reachability set



# Reachability Set

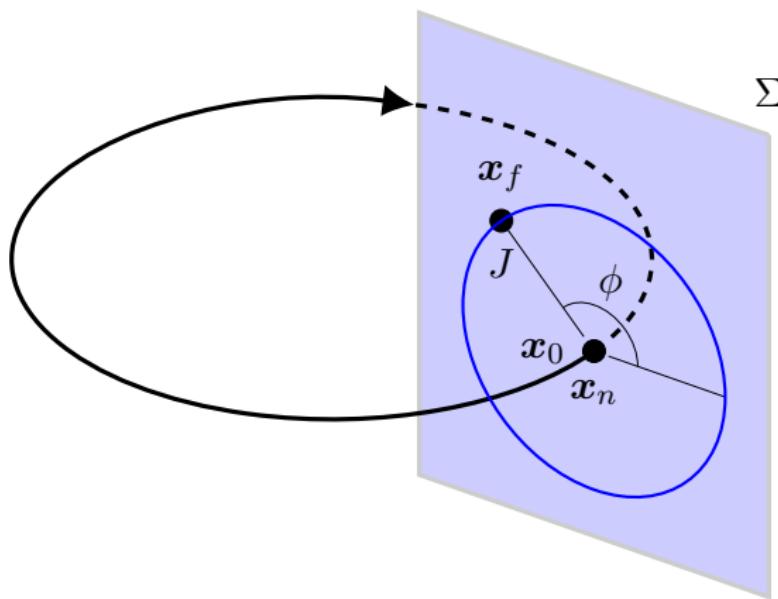
- Set of states achievable from a given initial condition over fixed  $t_f$  s.t. maximum control constraint

$$R(\mathbf{x}_0, \mathcal{U}, t_f) = \{\mathbf{x}_f \subseteq \mathcal{X} | \exists \mathbf{u} \in \mathcal{U}, \mathbf{x}(t_f) = \mathbf{x}_f\}$$

- Directly derivable from optimal control
- Frequently used for safety planning, e.g. air traffic avoidance
- Extend to the design of orbital transfers

# Reachability Set on Poincaré section

- Generate the reachability set on a Poincaré section,  $\Sigma$
- Control input is chosen to enlarge the reachable set



# Optimal Control Problem

- Reachability defined as distance between controlled and uncontrolled states

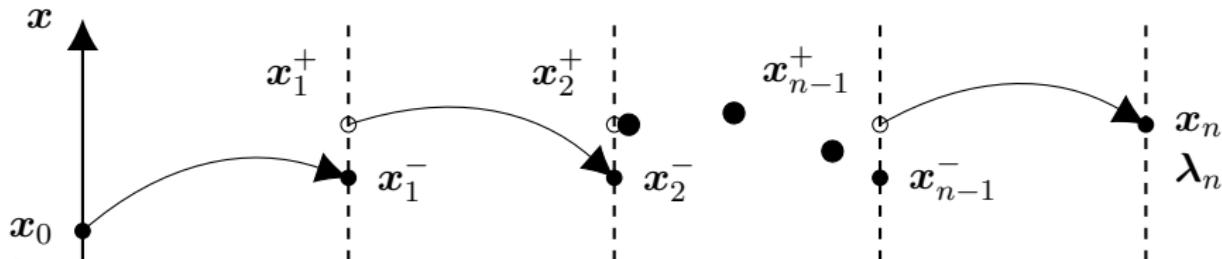
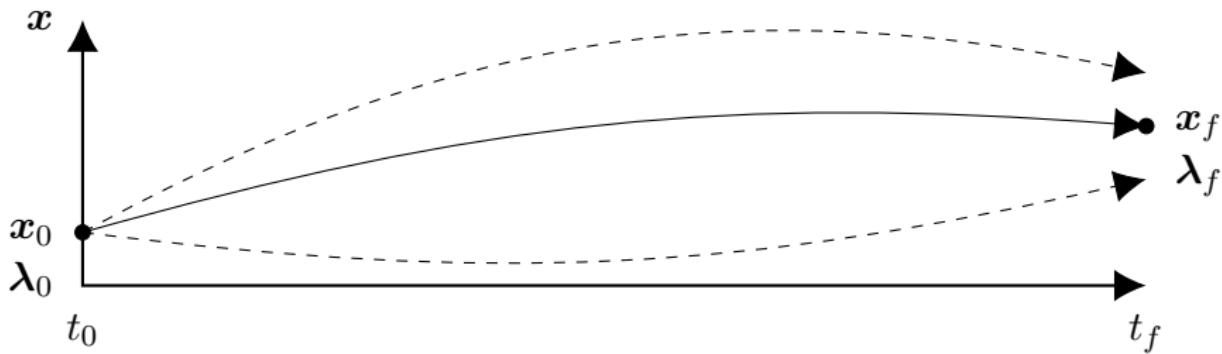
$$J = -\frac{1}{2} (\mathbf{x}(t_f) - \mathbf{x}_n(t_f))^T Q (\mathbf{x}(t_f) - \mathbf{x}_n(t_f))$$

- Terminal constraints -  $\mathbf{m}_i(\mathbf{x}_f) = 0$  ensures  $\Sigma$  intersection
- Control constraint used to emulate realistic system

$$c(\mathbf{u}) = \mathbf{u}^T \mathbf{u} - u_m^2 \leq 0$$

# Shooting Method

- Shooting method used to solve boundary value problem
- Convergence is difficult with single shooting
- Multiple shooting sub-divides the total interval



# Solving the Optimal Control Problem

- Shooting method to solve the necessary conditions
- Approximate the reachable set via  $\phi_i$ 
  - Parameterize a direction on  $\Sigma$
- From the reachable set we chose the state which minimizes  $d$
- Compute another reachable set if target is not feasible

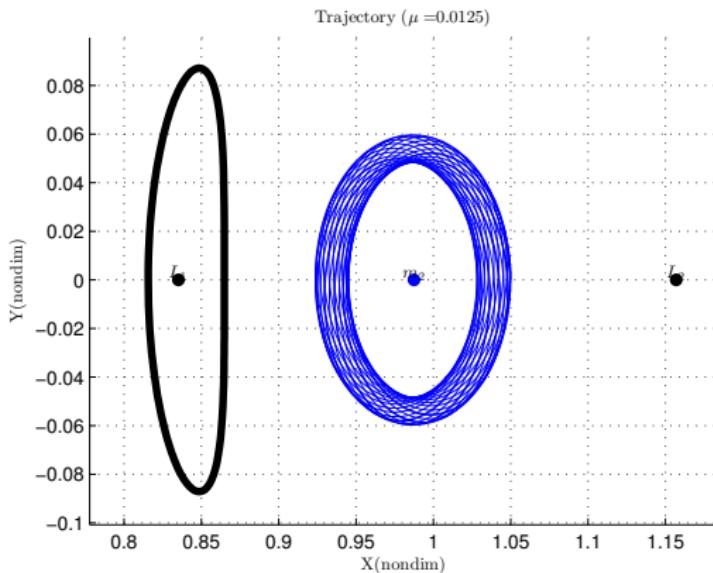
$$d = \|\mathbf{x}_f - \mathbf{x}_t\|$$

# Orbital Transfers via Reachability Sets

- Numerical simulations in two different environments
  - Planar Circular Restricted Three Body Problem
  - Restricted Two Body Problem
- Dynamics are related but vary in complexity
  - Planar vs. Three Dimensional
  - Gravitational Potential
  - Both defined in a rotating reference frame

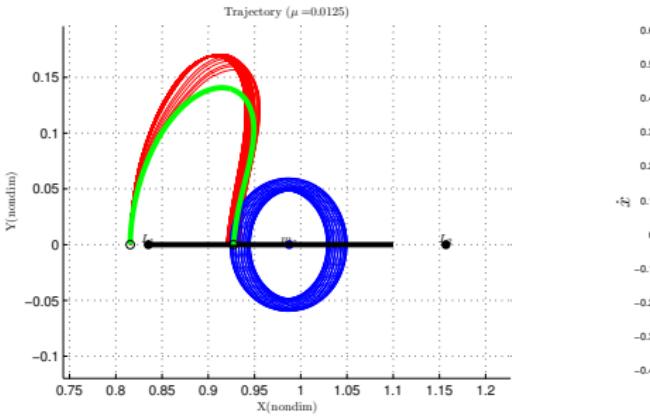
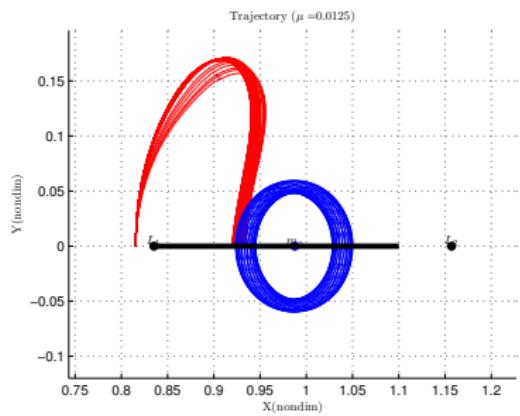
# Three Body Problem

- Transfer from  $L_1$  orbit to periodic orbit near the Moon
- Bounded control input and fixed time horizon



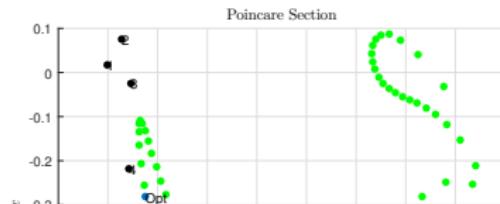
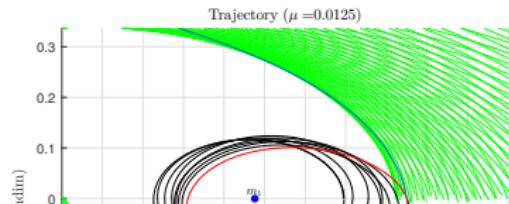
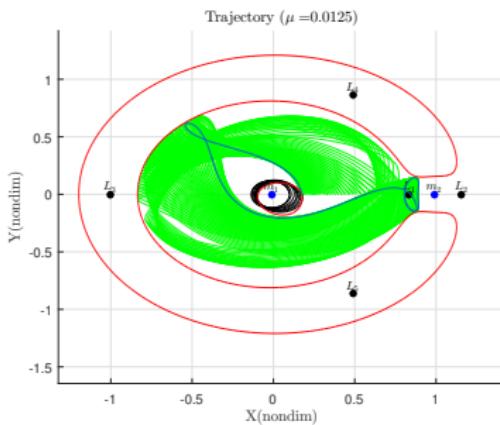
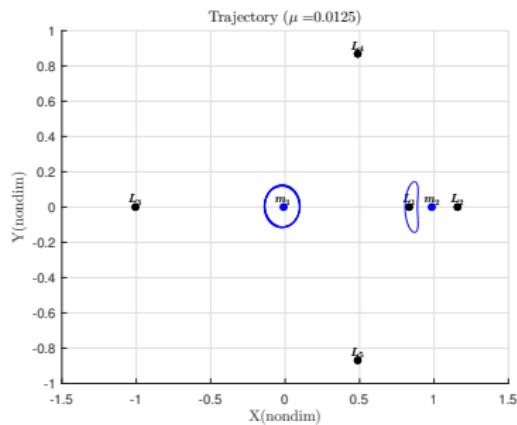
# Reachable Set Transfer

- Approximate the reachable set on the Poincaré section
  - Generate many optimal solutions
- Intersection point used to generate a transfer
  - Shorter time of flight than uncontrolled dynamics



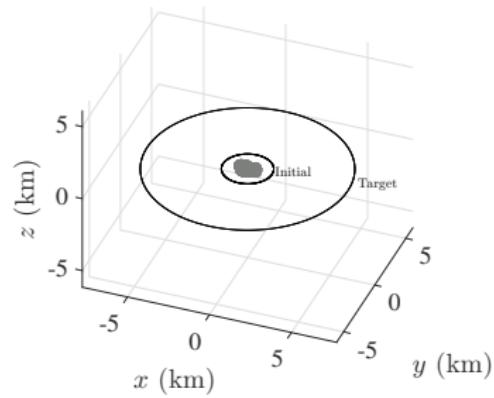
# Geostationary transfer

- Transfer from geostationary orbit to a  $L_1$  periodic orbit
- Multiple iterations of reachable set required for transfer



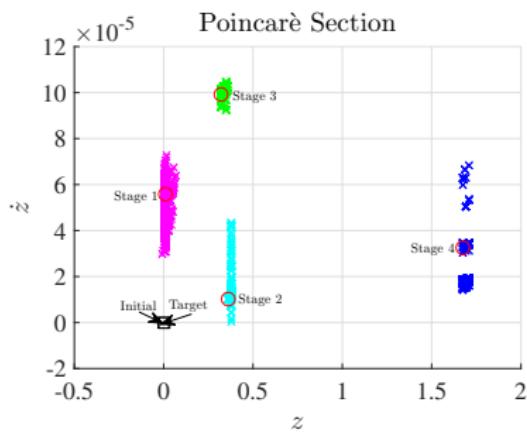
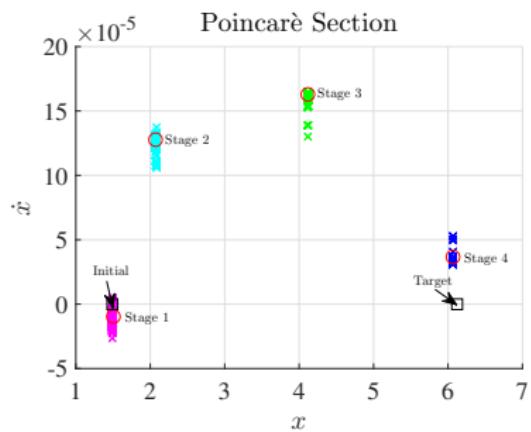
# Transfer Objective

- Goal is to transfer between two equatorial periodic orbits
- Typical scenario during study of an asteroid  Polyhedron



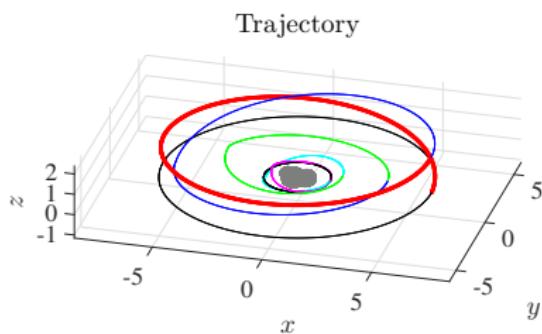
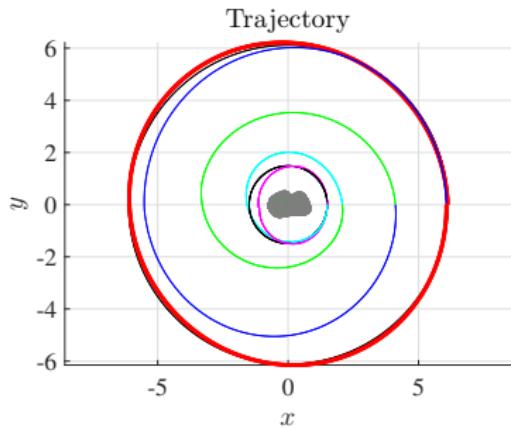
# Simulation

- Generate the reachability set through discretization of  $\phi$
- Visualize  $\Sigma \in \mathbb{R}^4$  through the use of two 2-D sections
- Control input allows for departure from natural dynamics



# Transfer Simulation

- Four iterations of the reachable state to meet the target set
- Final transfer is computed with a fixed terminal state



# Complete transfer

- We visualize the trajectory in body and inertial frames

# Spacecraft Orientation

- **Attitude Representation:** rotation matrix from body to inertial frame ▶ Attitude Kinematics

$$\text{SO}(3) = \{R \in \mathbb{R}^{3 \times 3} \mid R^T R = I, \det[R] = 1\}.$$

- Rigid body attitude dynamics:

$$J\dot{\Omega} + \Omega \times J\Omega = u + W(R, \Omega)\Delta, \quad \dot{R} = R\hat{\Omega}.$$

- Sensor and obstacles defined by unit vectors in  $\mathbb{R}^3$ 
  - Body fixed sensor:  $r \in \mathbb{S}^2$
  - Inertially fixed hazard:  $v \in \mathbb{S}^2$
- Hard cone constraint:  $r^T R^T v \leq \cos \theta$

# Control Objective

## Nonlinear Control Design

Design control input  $u$  that stabilizes system from initial attitude  $R_0$  to desired attitude  $R_d$  while avoiding obstacles

- Avoid drawbacks of other approaches
  - Geometric control - analysis is conducted directly on  $\text{SO}(3)$
  - Barrier function - allows for arbitrary amount of constraints
  - Efficient - real time feedback control
  - Stability - Lyapunov analysis gives rigorous stability proof
  - Adaptive - handles system uncertainties

# Configuration Error Function

- Error function quantifies “distance” to desired attitude

$$\Psi(R, R_d) = A(R, R_d)B(R).$$

- Combination of attractive and repulsive terms

$$A(R, R_d) = \frac{1}{2} \text{tr} \left[ G \left( I - R_d^T R \right) \right].$$

$$B_i(R) = 1 - \frac{1}{\alpha_i} \ln \left( -\frac{r^T R^T v_i - \cos \theta_i}{1 + \cos \theta_i} \right).$$

- Attractive well at the desired attitude

$$A(R, R_d) = \frac{1}{2} \text{tr} \left[ G \left( I - R_d^T R \right) \right].$$

Attract

# Numerical Simulation

- Simulate a S/C completing a yaw rotation
- Single obstacle in the path of sensor

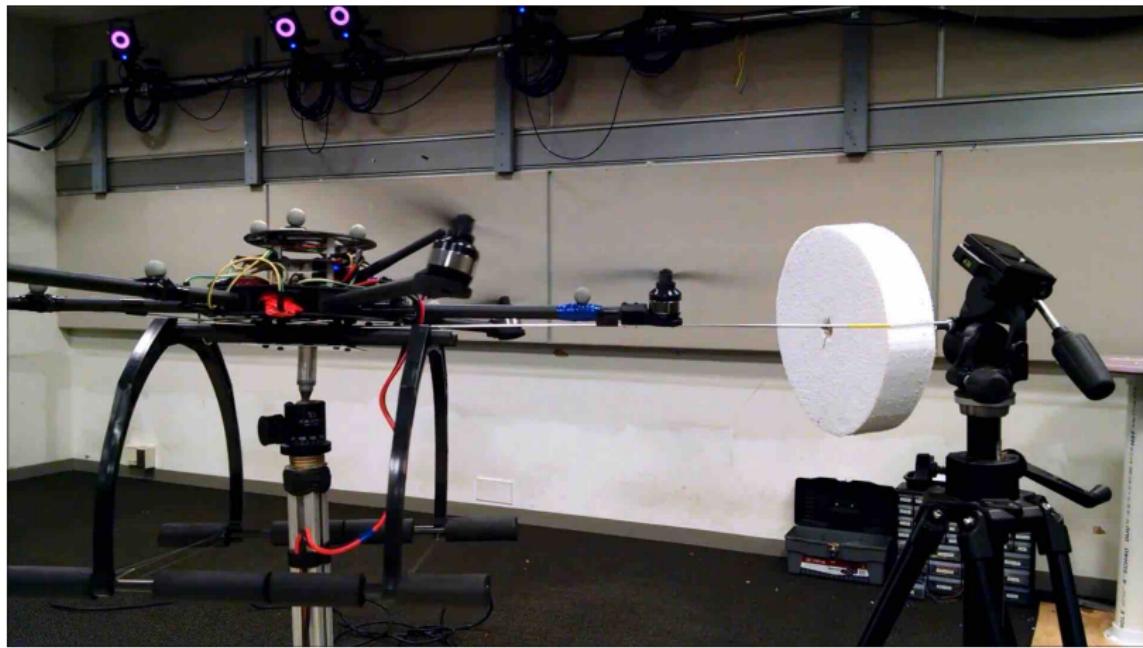
# Multiple obstacles

- Easily handle multiple arbitrary constraints

$$\Psi = A(R) \left[ 1 + \sum_i C_i(R) \right] \quad C_i = B(R) - 1$$

# Hexrotor Experiment

- Attached to spherical joint to allow only attitude dynamics



# Research Objectives

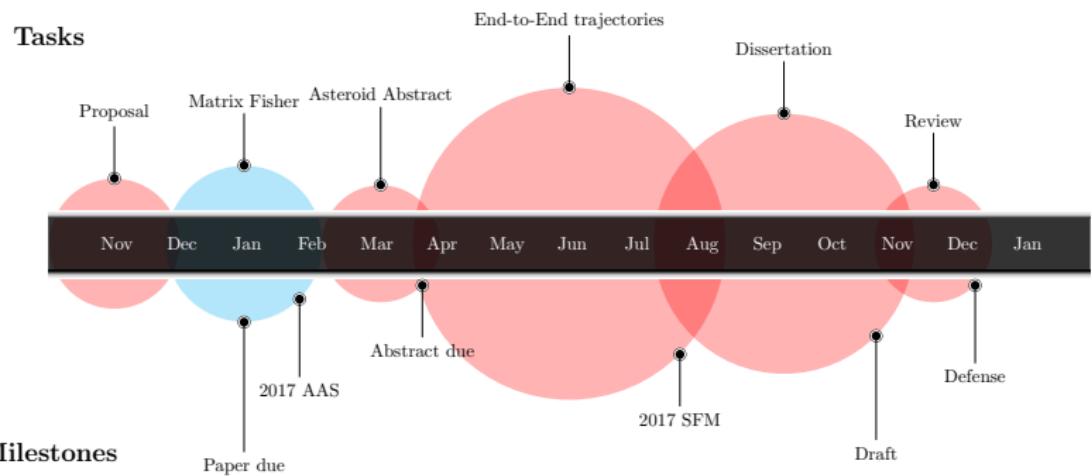
- Coupled motion
  - Equations of motion of a rigid body near an asteroid
- Stability properties of coupled dynamics
  - Existence/Behavior of equilibrium solutions
  - Deviations over extended time spans
  - Differences in reachability set computations
- Landing trajectories
  - Obstacle avoidance when near irregular surface features
  - Translation/Rotational constraints
  - Avoid use of expensive optimization methods

## End-to-End Asteroid Transfers

Complete transfer trajectories from arrival to landing

- Geometrically exact representation
- Obstacle/Constraint avoidance
- Accurate and stable numerical integration

# Research Timeline



# Conclusions

- ① Systematic method of designing low-thrust orbital transfers via **reachability sets**
  - Alleviates the need for selecting accurate initial guesses
  - Gives insight into the possible motion of the spacecraft
- ② **Constrained attitude control** allows for simple method of satisfying attitude constraints
  - Completely avoids singularities and ambiguities
  - Geometrically exact and computationally efficient
- ③ **Asteroid landing** requires the coupled approach
  - Global mission scenarios are possible
  - Dynamics derived in a consistent and geometrically exact framework
  - **Variational Integrators** provide means of accurate long term propagation

# Publications

## Journals

- S. Kulumani and T. Lee. “Systematic Design of Optimal Low-Thrust Transfers for the Three-Body Problem”. In: *Acta Astronautica* (under review)
- S. Kulumani and T. Lee. “Constrained Geometric Attitude Control on  $\text{SO}(3)$ ”. In: *International Journal of Control, Automation, and Systems* (under review)

## Conferences

- S. Kulumani and T. Lee. “Systematic Design of Optimal Low-Thrust Transfers for the Three-Body Problem”. In: *Proceedings of the AAS/AIAA Astrodynamics Specialist Conference, Vail, Colorado.* 757. Aug. 2015. arXiv: 1510.02695 [math.OC]
- S. Kulumani, C. Poole, and T. Lee. “Geometric Adaptive Control of Attitude Dynamics on  $\text{SO}(3)$  with State Inequality Constraints”. In: *2016 American Control Conference (ACC)*. July 2016, pp. 4936–4941. arXiv: 1602.04286 [math.OC]
- S. Kulumani et al. “Estimation of Information-Theoretic Quantities for Particle Clouds”. In: *Proceedings of the AIAA/AAS Astrodynamics Specialists Conference, Long Beach, California.* Sept. 2016
- S. Kulumani and T. Lee. “Low-Thrust Trajectory Design Using Reachability Sets near Asteroid 4769 Castalia”. In: *Proceedings of the AIAA/AAS Astrodynamics Specialists Conference, Long Beach, California.* Sept. 2016.

Thank you

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# Astro-dynamics

## Newton's Law of Universal Gravitation

Any two bodies attract one another with a force proportional to the product of their masses and inversely proportional to the square of the distance between them

$$\mathbf{F} = -\frac{Gm_1m_2}{r^2} \frac{\mathbf{r}}{r}$$

## N-Body

Gravitational attraction of  $n$  bodies acting on the particle of interest  $m_i$

$$m_i \ddot{\mathbf{r}}_i = -G \sum_{\substack{j=1 \\ j \neq i}}^n \frac{m_i m_j}{r_{ji}^3} \mathbf{r}_{ji}$$

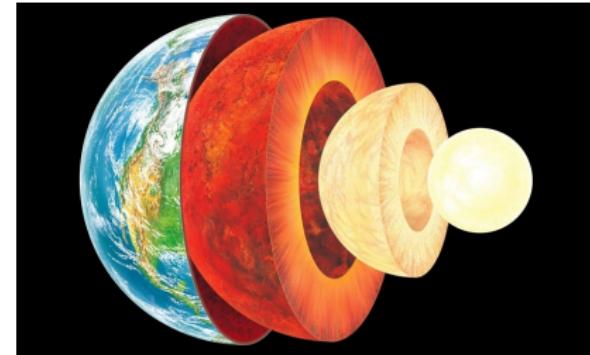
Motion of  $\bar{\mathbf{r}}_j(t)$  is not known - Not solvable in general

# Gravitational Modeling

## Centrobaric Body

$$\mathbf{F} = -\frac{Gm_1m_2}{R^2}\mathbf{a}_1 \text{ for all particles outside of body}$$

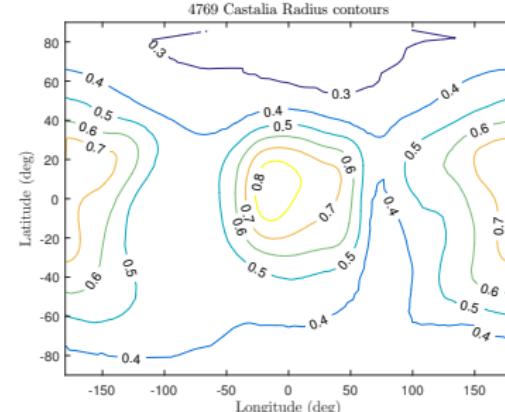
- Only applies to spherically symmetric bodies
- Gravity Model requires accurate tracking of SC
  - Spherical Harmonic
  - Mass concentration
  - Polyhedron Potential



# Polyhedron Gravitation Model

- Potential is a function of only the shape model
- Globally valid, closed-form expression of potential
- Exact potential assumes a constant density
- Accuracy solely dependent on shape model

$$U(\mathbf{r}) = \frac{1}{2}G\sigma \sum_{e \in \text{edges}} \mathbf{r}_e \cdot \mathbf{E}_e \cdot \mathbf{r}_e \cdot L_e - \frac{1}{2}G\sigma \sum_{f \in \text{faces}} \mathbf{r}_f \cdot \mathbf{F}_f \cdot \mathbf{r}_f \cdot \omega_f$$



# Astro-dynamics

## Integrals of Motion

Require  $6n$  integrals of motion but know 10

- Linear Momentum - system CM constant speed - 6 constants
- Angular Momentum - system angular moment - 3 constants
- Total Energy - Conservative system - 1 constant

Even two-body problem is not solvable

## Relative Motion

Really care about relative motion of  $m_i$  wrt  $m_q$

$$\ddot{\mathbf{r}}_{qi} + G \frac{m_i + m_q}{r_{qi}^3} \mathbf{r}_{qi} = G \sum_{\substack{j=1 \\ j \neq i, q}}^n m_j \left( \frac{\mathbf{r}_{ij}}{r_{ij}^3} - \frac{\mathbf{r}_{qj}}{r_{qj}^3} \right) \mathbf{r}_{ji}$$

## Gravity Expansion

Force due to gravity on body  $B$  from particle  $P$

$$\mathbf{F} = -Gm_P \int_{\mathcal{B}} \mathbf{r} \left( r^2 \right)^{-\frac{3}{2}} dm$$

## Binomial Expansion

$$\mathbf{F} = -\frac{Gm_P m_B}{R^2} \left( \mathbf{a}_1 + \sum_{i=2}^{\infty} \mathbf{f}^{(i)} \right)$$

$$\mathbf{f}^{(2)} = \frac{1}{m_B R^2} \left\{ \frac{3}{2} [\text{tr}[J_B] - 5\mathbf{a}_1 \cdot J_B \cdot \mathbf{a}_1] \mathbf{a}_1 + 3J_B \cdot \mathbf{a}_1 \right\}$$

## Gravity Moment

$$\mathbf{M} = \frac{3Gm_B}{R^3} \mathbf{a}_1 \times J \cdot \mathbf{a}_1 + \frac{Gm_B m_P}{R} \sum_{i=3}^{\infty} \mathbf{a}_1 \times \mathbf{f}^{(i)}$$

# Solar Radiation Pressure

## Constant Area Approximation

Momentum transfer from solar photons striking spacecraft

$$\mathbf{a}_{SRP} = -\frac{(1 + \rho) P_0 A_S}{M_S} \frac{\mathbf{d} - \mathbf{r}}{\|\mathbf{d} - \mathbf{r}\|^3}$$

$P_0$  is a solar flux constant  $1 \times 10^8 \text{ kg km}^3 \text{ s}^{-2} \text{ m}^{-2}$

$B_S = \frac{M_S}{A_S}$  is a mass to area ratio -  $20 - 40 \text{ kg m}^{-2}$

$\rho$  is total reflectance or albedo of body

$\mathbf{d}, \mathbf{r}$  defined in small body frame to Sun and S/C respectively

- Large bodies, i.e. 433 Eros, SRP may be neglected
- Small bodies,  $< 1 - 5 \text{ km}$ , SRP is crucial

# Lagrange's Equations

## Conservative System

All applied forces  $\mathbf{F}_i$  are derivable from a potential function

$$V(x_1, x_2, \dots, x_{3N}) : \mathbf{F}_i = -\frac{\partial V}{\partial x_i}$$

## Hamilton's Principle

The actual path in configuration space followed by a holonomic system during the fixed interval  $t_0$  to  $t_1$  is such that the action integral:

$$S = \int_{t_0}^{t_1} L(q, \dot{q}) dt$$

is stationary with respect to path variations which vanish at the end-points.

## Variation of action integral

# Jacobi Integral

## Total Mechanical Energy

- ① Generalized force from potential function:  $Q_i = -\frac{\partial V}{\partial q_i}$
- ② Work is path independent:  $W = \sum_{i=1}^n \int_{A_i}^{B_i} Q_i dq_i$

If no other forces do work, then total mechanical energy is conserved:  $E(q, \dot{q}) = T + V$

## More general constant

- ① Standard Form of Lagrange's equation applies
- ② Lagrangian is not explicit fcn of time
- ③ Constraints may be expressed:  $\sum_{i=1}^n a_{ji} dq_i = 0$

$$h = \sum_{i=1}^n \frac{\partial L}{\partial \dot{q}_i} \dot{q}_i - L$$

# Variational Principle

- Variational Integrators

- Structure-preserving integrators for Hamiltonian systems
- Obtained by discretizing variational principle

Continuous Time Configuration Space
$(q, \dot{q}) \in TQ$
Lagrangian
$L(q, \dot{q})$
Action Integral
$S = \int_0^T L(q, \dot{q}) dt$
Stationary Action
$\delta S = 0$
Equation of Motion
$\ddot{q} = f(q, \dot{q})$

Discrete Time Configuration Space
$(q_k, q_{k+1}) \in Q \times Q$
Lagrangian
$L_d(q_k, q_{k+1})$
Action Sum
$S_d = \sum_{k=0}^{N-1} L_d(q_k, q_{k+1})$
Stationary Action
$\delta S_d = 0$
Equation of Motion
$q_{k+2} = f_d(q_k, q_{k+1})$

# Dynamics of Rigid Body

## Newton's Law

$$F_x = m (\dot{v}_x + v_z \omega_y - v_y \omega_z)$$

$$F_y = m (\dot{v}_y + v_x \omega_z - v_z \omega_x)$$

$$F_z = m (\dot{v}_z + v_y \omega_x - v_x \omega_y)$$

## Euler's Law

$$M_x = I_{xx} \dot{\omega}_x + (I_{zz} - I_{yy}) \omega_y \omega_z$$

$$M_y = I_{yy} \dot{\omega}_y + (I_{xx} - I_{zz}) \omega_z \omega_x$$

$$M_z = I_{zz} \dot{\omega}_z + (I_{yy} - I_{xx}) \omega_x \omega_y$$

# Spacecraft Propulsion

## Ideal Rocket Equation

Amount of propellant required for a given velocity change

$$\Delta V = -g_0 I_{sp} \ln \left( \frac{m_f}{m_i} \right)$$

- High exhaust speeds make electric propulsion attractive
- Much higher efficiency than chemical propulsion

► Low-Thrust Vehicles

# Attitude Kinematics

- Many ways to represent the orientation of a rigid body
  - Conceptual simplicity
  - Computational considerations
  - Legacy hardware/code
  - Mathematical simplicity/convience
- Euler axis and angle (4)
- Rotation Matrix (9)
- Euler angles (3)
- Quaternion (4)
- Rodriguez Parameters (4)
- Modified Rodriguez parameters (4)

## Minimal Representations

All minimal attitude representations have kinematic singularities and are not suitable for large rotations.

► Attitude Control

# Attitude Parameterizations

- Euler Angles
  - Minimal representation used for small attitude changes.
  - Singularities exist for large angle slews: requires switching between 24 sequences
  - Complicated trigonometric functions
- Quaternion
  - No singularities
  - Two anti-podal quaternions for the same attitude
  - Unwinding behavior for control systems
- Geometric control
  - Globally and uniquely characterize attitude:  $R \in \text{SO}(3)$
  - Controller is globally valid for large angle maneuvers

# Constrained Attitude Control

- **Constrained attitude control** : reorient vehicle while avoiding pointing at obstacles
  - Exclusion zones for payloads e.g infrared telescope
  - UAVs maneuvering in congested locations
  - Laser/Radio emitters on spacecraft
- Previous approaches have several issues
  - Attitude parameterizations: singularities/ambiguities
  - Ad-hoc path planning: difficult to generalize to arbitrary obstacles
  - Randomized methods: lack of stability guarantees
  - Optimization based: expensive to compute and only provides open-loop control