

No coalition is an island: How pre-electoral
coalitions at the national level shape local elections

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Abstract

The research on the influence of national-level politics on local politics has shown that voters punish government parties contesting sub-national elections for their performance. This paper aims to explain the formation of pre-electoral coalitions in the 2022 local election in the Czech Republic and how the formation of pre-electoral coalitions at the national level contributed to the formation of the same pre-electoral coalitions at the local level. Using the probit multilevel model estimated on the dyads from all municipalities that were contested by 2 and more political parties, the results indicate that local pre-electoral coalitions were more likely to form if they were based on two (of three) pre-electoral coalitions that contested the 2021 general election. In particular, pre-electoral coalitions composed of the Together coalition (composed of ODS, KDU-ČSL, and TOP 09) which won the general election. Furthermore, forming PEC in the Senate election held concurrently with the local election is associated with higher probability of forming the same PEC in local election which suggest that parties strategically coordinate their actions across levels. Finally, the PEC formation was affected by the existing local government alternatives. In municipalities where the Communist Party participated in the local government, parties from the Together coalition were more likely to coalesce.

1 Introduction

The research on pre-electoral coalitions centers mostly on explaining the formation of pre-electoral coalitions at the national level before parliamentary elections (Golder 2005; Golder 2006b; Golder 2006a; Ibenskas 2016; Ibenskas 2020; Haugsgjerd Allern and Aylott 2009; Magyar 2022; for research on pre-electoral coalitions at sub-national level see Hendrawan, Berenschot, and Aspinall 2021; Cunha Silva 2022). Besides the institutional rules that affect the pre-electoral coalition formation such as the electoral threshold, the research found that ideological compatibility and party size affect the pre-electoral coalition formation indicating that the parties seek votes and offices (Ibenskas 2016; Golder 2006b; Magyar 2022). In addition, parties are more likely to form pre-electoral coalitions if the party system is fragmented showing that pre-electoral coalitions aim to signal the composition of future government (Golder 2005).

While the existing research explains the formation of pre-electoral coalitions on the national level in a single point of time, this paper focuses on the study of spill-over of pre-electoral coalitions from the national level to the local level between the 2021 Czech general election and the 2022 local elections. For instance, in the local election in Prague, the formation of pre-electoral coalition on the national level contributed to the reshuffling of the coalitions at the local level. Two parties (TOP 09 and KDU-ČSL) from the Together coalition broke off their pre-electoral coalition on the local level that participated in the local government to join a coalition with ODS to form the same coalition as at the national level. Therefore, this paper contributes to the research on multi-level politics which has theorized that levels of politics influence each other by vertical spill-overs where events at one level affect different levels. Vertical spill-over was documented mostly by research on the punishment of national government parties in lower-level elections. This paper broadens the perspective of studies on vertical spill-over to the formation of pre-electoral coalitions when it shows that the formation at the local level is affected by the national pre-electoral coalitions.

In addition, studying the formation of pre-electoral coalitions is advantageous because general elections only provide limited data for studying contextual factors as we observe only one election at a time. In contrast, using data from local elections provides multiple cases within one country at a single point in time which is more applicable for comparative research (Bäck 2008: 77). Therefore, the turn to pre-electoral formation at the local level can provide insight not only into the spill-over from the national level to the local level but also better insight concerning contextual effects such as the structure of political competition on the pre-electoral coalition formation.

The selection of the Czech Republic is advantageous for two reasons. First, in the 2021 general election five opposition parties created two pre-electoral coalitions (Together formed by three center-right parties and the Pirates and Mayors coalition formed by two centrist parties) to improve their chances against their main contender, populist ANO party. The formation of the Together coalition then affected also their strategy in the 2022 Senate and local election. Second, the 2022 local elections were held concurrently with the Senate election, which took part in one-third of the country and provides a natural experiment setting for estimating the effect. Thus, studying this particular election enables to study effect of two national election on the formation of pre-electoral coalitions at the local level.

This paper is structured as follows. In the first section, I review the literature on pre-electoral coalition formation and extend it to the local level. Based on that, I formulate four hypotheses, two related to the vertical spill-over, and two about the local structure of political competition. Second, I present the Czech context. Third, I introduce the data and the regression modelling strategy used to test the hypotheses. Fourth, I present the results of the statistical models.

2 Pre-electoral coalitions

Political parties are assumed to be rational actors seeking policy, seats, and offices (Müller and Strøm 1999), so the formation of pre-electoral coalitions (PEC) should benefit parties in pursuing these goals. To achieve them, pre-electoral coalitions present a coordinated approach of multiple political parties to the election in the form of joint candidates or lists or a commitment to enter the government after the election. Golder (2006b: 12) defines a pre-electoral coalition by two features: a public statement of its creation and that member parties cannot compete in the election as independent entities, instead they coordinate their steps¹.

In terms of seats and offices, the calculus behind political parties' decision takes into account the comparison between the expected gain when running alone and when running as a part of a pre-electoral coalition. For smaller parties, pre-electoral coalitions may ensure their representation as they would not gain any mandate if they ran independently due to failing to cross the electoral threshold. The electoral threshold, however, depends on the electoral system and the disproportionality that it creates when translating votes into seats. According to the disproportionality hypothesis, the disproportionality of an electoral system creates incentives for political parties to create pre-electoral coalitions. In more disproportionate electoral systems, political parties are more likely to form coalitions because the dispropor-

¹Golder also uses another criterion that the pre-electoral coalition must be at the national level because of her focus on national-level coalitions. I omit this criterion for obvious reasons.

tionality creates a barrier to representation (Golder 2005). However, as Ibenskas and Bolleyer (2018) point out, there may be institutional rules that prohibit pre-electoral coalitions (in the form of joint lists) or apply a higher electoral threshold to them.

For parties that are likely to gain mandates, a pre-electoral coalition may benefit them to get a better position in the post-election government negotiation. Golder (2006a) found that the probability of pre-electoral coalition formation depends on its expected size. The larger the coalition, the more likely it is to form up to the point at which the coalition is not necessary because a single party could enter the government without any coalition. However, the probability of pre-electoral coalition formation is also affected by the asymmetry of (potential) coalition partners. Once the coalition becomes sufficiently large, the coalition is less likely to form if it is composed of parties that have asymmetric size (Golder 2006a: 199).

Besides the potential coalition partners, the pre-electoral coalition formation may also be influenced by the number of parties in the whole party system. If only two parties are contesting an election, there is no need to create a pre-electoral coalition (Golder 2005). In contrast, presence of a high number of parties induce parties to create pre-electoral coalitions which serve as a signalling device. According to the signalling hypothesis, the presence of a large number of parties creates uncertainty about the composition of future government and speaks to the office-seeking goals of political parties. Thus, parties may form pre-electoral coalitions to signal to voters that the member parties can form an effective government coalition, to signal the identity of the future government, and to give the voters a direct influence in choosing the future government coalition (Golder 2005).

Related to gaining offices are the vote-seeking goals of political parties. Ibenskas (2016) found that genuinely new parties are less likely to form pre-electoral coalitions in Central and Eastern European general elections. The party's newness increases its electoral appeal, so creating a pre-electoral coalition with an established party would hurt new parties as the electorate often prefers parties that have not governed yet and because of the salience of corruption. On the other hand, some pre-electoral coalitions may be beneficial because of pooling of resources. Although the expected benefit of a pre-electoral coalition is usually expressed in the size of member parties' voter base, Cunha Silva (2022) shows that small parties with negligible electorate may join coalitions because they bring campaign resources that are in turn expected to improve the coalition's electoral performance.

Regarding policy seeking, representation in the elected body is a necessary condition for a direct impact on the policy outcomes. However, policy goals may discourage parties from forming a pre-electoral coalition with another political party whose policy positions are too distant. Therefore, pre-electoral coalitions are less likely

to form between parties whose policy positions are more distant. In effect, finding a compromise policy position between distant parties may be more costly than running independently, especially if we consider that such a coalition may be perceived negatively by rank-and-file party members and the party's electorate (Golder 2006a: 198). Indeed, Gschwend and Hooghe (2008) provide experimental evidence that voters are more likely to abandon a PEC if member parties are ideologically distant.

Besides the policy positions of potential coalition partners, Golder (2006b) assumes that the policy position of an alternative government may induce parties to form pre-electoral coalitions. In particular, if parties face more ideological extreme opponents, they should be more likely to form coalitions to prevent 'extreme' government from taking power.

Apart from the hypotheses related to the pursuit of votes, office, and policy, Ibenskas (2016) found that PECs are more likely to emerge if they are a continuation of a pre-electoral coalition from the previous election, possibly because they are less costly. Similarly, the successful formation of a pre-electoral formation at the national level may lower the costs of its formation at the subnational level. The next section will discuss the spill-over of pre-electoral coalitions in the multi-level setting.

2.1 Going local

While the national level of politics is considered to be the most relevant, within multi-level political systems the events at one level may influence and cannot be properly understood without considering other levels or other regional units. Specifically, we may distinguish between vertical and horizontal spill-over. Vertical spill-over refers to a higher level influencing a lower level (top-down vertical spill-over) or the other way around (bottom-up vertical spill-over). In contrast, horizontal spill-over refers to the influence between regional units at the same level (Schakel and Romanova 2021). The vertical spill-over has been documented in several areas such as voting behaviour and party strategy.

The most common research on vertical spill-over is based on the theory of second-order elections and concerns the influence of national politics on other levels such as the European elections (Reif and Schmitt 1980). Although the theory of second-order elections was originally devised for the European Parliament elections, it was extended to subnational elections as well with some caveats (see e.g. Heath et al. 1999; Schakel and Jeffery 2013).

In terms of party strategies in subnational elections, the research mostly focused on the emphasising or downplaying the association of parties with national politics. For instance, Hijino (2021) shows that candidates in Japanese regional par-

ties distance themselves from national parties by running as independent when the national parties are unpopular, in periods of party re-alignment and when the candidates want to associate themselves with a non-partisan governor. Gross, Krauss, and Praprotnik (2022) document that the emphasis on subnational issues in political parties' manifestos is influenced by the proximity of regional elections to the national election and by the parties' position in the national government.

The spill-over of pre-electoral coalitions has rarely been studied. The only paper that focuses on the formation of pre-electoral coalitions shaped by the developments at other levels is a paper by Ibenskas (2020) who shows that the Europarties influence pre-electoral coalition formation and mergers of its members. In particular, the Europarties affect their member parties through external incentives such as providing resources to the parties (material resources, training, increasing the party's reputations by attending high-level meetings organized by the Europarty) and socialization process (building a common identity and setting common norms and values). However, he finds only evidence of party mergers and no electoral coalitions between (potential) member parties of the same Europarty (Ibenskas 2020).

The same processes may be at play in the spill-over of pre-electoral coalitions at the national level to the local level. Running under the same banner as in the national election may increase the PEC's reputation, in particular when the national PEC enters the government. Also, cooperation on one level may increase the probability of forming the same PEC by fostering common norms and values or creating trust between the constituent parties of the coalition. In addition, Hicken and Stoll (2011) argue that if voters rely on cues from a higher-level election (presidential election) in a proximate election, the parties should attempt to coordinate their campaigns in the district.

The choice of the 2022 Czech local election enables studying the effect of pre-electoral coalition formation in two elections - the 2021 general election and the 2022 Senate election held concurrently with the local election.

Therefore, I formulate a hypothesis for both of them.

Hypothesis 1 *The formation of a pre-electoral coalition in the preceding general election increases the probability of the same pre-electoral coalition at the local level.*

Besides the resources and socialization processes that should affect the formation of a pre-electoral coalition at the national level, the preceding national election may serve as a testing ground for the utility of such a coalition. Following from the vote-seeking goals of political parties, success in one election likely predicts further electoral success. Besides, Greene and Haber (2017) show that parties are responsive to the success of pre-electoral coalitions - the success in an election affects the policy positions of coalition partners with parties moving closer to each other if their PEC

increases their electoral support. Thus, we should expect that the pre-electoral coalitions that perform better in the national election should be more likely to emerge at the local level.

Hypothesis 1.1 *The formation of a pre-electoral coalition in the preceding general election increases the probability of the same pre-electoral coalition at the local level if the coalition succeeded in the general election.*

The Senate election was held at the same time as the local election in one-third of the Senate districts. This means that it cannot provide any information about the electoral advantage that a coalition should bring in the general election. However, the concurrent elections can lead to strategic behavior by parties and voters who use the campaign in one election to gather information and make an informed choice about the other election. By forming the same pre-electoral coalition in both the Senate and local elections, parties can pool their resources and anticipate the strategic behavior of voters. This should incentivize parties to coordinate their efforts (Hicken and Stoll 2011).

Hypothesis 2 *The formation of a pre-electoral coalition in the concurrent Senate election increases the probability of the same pre-electoral coalition at the local level in municipalities belonging to a given Senate district.*

Besides forming similar pre-electoral coalitions across multiple levels, we should expect that the PEC formation is affected by the structure of political competition. According to Golder (2006b), the formation of a pre-electoral coalition increases when parties face a more extreme government alternative. Golder illustrates this mechanism on the unity of French right during the 1960s when they faced French Communist Party (PCF) as their main contender and the failure to coordinate after PCF collapsed as the dominant left-wing party. Similarly, PECs occasionally emerge between left-wing and right-wing parties when a far-right candidate has a chance of gaining a seat (Golder 2006b: 73).

In the 2021 general election, the main divide that shaped the party system in the Czech Republic concerns the populist/anti-populist divide due to the demise of two long-standing components of the Czech party system, the Social Democrats and the Communists. The main poles of the populist/anti-populist divide are populist ANO on one side and center-right parties that formed the Together coalition in the 2021 election on the other (Havlík and Kluknavská 2022). Therefore, I expect that the formation of a pre-electoral coalition by parties in the Together coalition at the national level will be affected by the position of ANO in local government.

Hypothesis 3 *The formation of a pre-electoral coalition among the parties of the Together coalition is more likely if ANO is in local government.*

Besides the populist ANO, the polarising part of the party system is the Communist Party. Despite the decline of the Communist Party (KSČM) at the national level, it still remains a party with sizable representation at the local level. Previous research has found that other political parties often abstain from forming a local government with the Communist Party, especially center-right parties in the Together coalition whose party statutes prevent them from entering a government coalition with the Communist Party (Škvrňák 2021). Therefore, I expect that the formation of Together coalition is affected by the position of the Communist Party in local government so that they prevent the Communists from entering the local government again.

Hypothesis 4 *The formation of a pre-electoral coalition among the parties of the Together coalition is more likely if the Communist Party is in local government.*

Alternatively, the formation of pre-electoral coalitions can be viewed as a step toward forming a government coalition after the election. Following the signalling hypothesis proposed by Golder (2005), pre-electoral coalitions should signal the composition of the future government. In this view, parties are not only affected by the events at the national level, but also by considerations related to the local government formation. In particular, the formation of a pre-electoral coalition should be more likely if the parties share the same position in local government because the past local government composition depends on the local party competition and affects the future local government composition as incumbent governments are more likely to form again after the election (Bäck 2008). Therefore, opposition parties should be more likely to coalesce to improve their position for future government negotiation and to signal the ability to cooperate with other parties.

Hypothesis 5 *The formation of a pre-electoral coalition is more likely between parties that have the same position in local government.*

3 The context of the 2022 Czech local elections

Before moving to the analytical part of the paper, it is necessary to describe the context of the 2022 Czech local elections. The local election was held in September 2022, nearly a year after the 2021 general election. In the run-up to the general election, three pre-electoral coalitions formed: Together (SPOLU) coalition of three right-wing parties (ODS, KDU-ČSL, TOP 09), PirSTAN coalition formed by the Pirate Party and centrist Mayors and Independents (STAN), and finally TSS coalition created by three fringe right-wing parties (Trikolora, the Freedom Party and the Freeholders Party).

The emergence of three pre-electoral coalitions was an unprecedented event in Czech politics, as pre-electoral coalitions on the national level are rare. Before 2021, the only PEC formed by relevant political parties was the Coalition created by KDU-ČSL and the Freedom Union in 2002. In 2017, KDU-ČSL and STAN attempted to form a PEC, but they disbanded it before the election because the polls suggested that it would fail to cross 10% threshold for coalitions composed of two political parties (Šilar 2019). The threshold for pre-electoral coalitions changed in 2021, but it still discourages pre-electoral coalitions from the formation as the electoral law stipulates that coalitions of two parties need to cross 8% of votes, coalitions of three and more need to cross 11% of votes to be eligible for representation.

The general election evolved into a 'referendum' on the government and specifically on Andrej Babiš, prime minister and leader of the populist party ANO. Both parliamentary opposition coalitions (Together and PirSTAN) campaigned with anti-populist messages (cf. Havlík and Kluknavská 2022; Havlík and Lysek 2022). Eventually, the Together coalition won the election with 27.8% of votes, followed by ANO with 27.1%. The PirSTAN coalition gained 15.6% and the last party that entered the Chamber of Deputies was radical-right SPD with 9.6%. Both the Social Democrats, a junior coalition partner in the Babiš government, and the Communist Party which supported the Babiš government failed to enter the Parliament. Consequently, the election resulted in the replacement of the government by Together and PirSTAN coalitions.

While the two coalitions succeeded in replacing the Babiš government, the extent of their success differs. Before the creation of the Together coalition, electoral preferences of two of its constituent parties, KDU-ČSL and TOP 09, oscillated around the 5-percent threshold for entering the lower chamber of the Parliament and there was a possibility that at least one of them would have failed to cross the threshold. Instead, the Together coalition became a winner of the election. As was mentioned above, the Together coalition gained 27.8% of votes in the 2021 general election, which is more than its constituent parties gained in the previous general election when they gained 22.4% of votes. In contrast, PirSTAN underperformed as the coalition headed the polls in spring 2021 when around 25% of voters declared that they would vote for them (see e.g. Linek and Škvrňák 2022). Besides, underperforming the polls, PirSTAN also gained a lower share of votes in the 2021 general election (15.6%) than in the 2017 general election (15.97%). Furthermore, due to the preferential voting, the Pirate Party nearly evaporated from the Parliament as it gained only 4 out of 37 MPs elected on the joint list, the Mayors and Independents got the remaining 33 MPs out of which 27 because of the preferential votes (Maškarinec and Naxera 2022: 15). Despite the coalition parties' attempts to attenuate the risk that preferential votes will change the seat distribution between the

parties by signing a coalition agreement, the voters still managed to significantly alter the order of candidates on the ballot. Therefore, the reason why pre-electoral coalitions should be less likely to form in flexible PR systems materialized (Ibenskas 2016). This was a hard pill to swallow for the Pirates who blamed STAN candidates for campaigning aimed at using preferential voting for their benefit.

Finally, the third pre-electoral coalition TSS gained 2.76% of votes, however, it failed to cross the electoral threshold and gain parliamentary representation. The comparison with the previous general election is less clear cut than in the case of other pre-electoral coalitions, as Trikolora run in the general election for the first time, the Freedom Party run independently in the 2017 election, and gained 1.56% of votes, and the Freeholders' Party candidates run on the list of ODS.

In contrast to both general and local elections, a two-round system with single-mandate districts is used in the Senate election and only one-third of the Senate is elected every two years, so the Senate election took place only in one-third of Senate electoral districts. Consequently, parties' approach to the Senate election differed. Party leaders of all three parties included in the Together coalition coordinated a joint approach in the 2022 Senate election whose first round was held on the same date as the 2022 local elections. Despite the option to nominate their own candidates and support each other candidates' in the second round, Together coalition parties agreed on a joint candidate in 19 out of 27 Senate districts. In contrast, the other two pre-electoral coalitions from the general election did not nominate any joint candidate to the Senate election. Besides that, other parties also fielded joint candidates. In total, 22% (33 out of 179) candidates were nominated by two and more parties.

Finally, the local election was held on 23-24 September 2022. In the election, voters elect members of municipal councils who subsequently elect executive positions, that is mayors, vice-mayors, and members of the municipal board. The number of seats elected in the election depends on the municipality size, the law sets up a range for each municipality size category, and the municipal council then decides the exact size from this interval for the next municipal council. The electoral system used for local elections is proportional and open-list with voters having the option to vote for: a) a whole party list, b) candidates across multiple lists up to the number of seats, and c) a combination of the above. There is a 5-percent electoral threshold that party lists need to cross to be eligible for representation same as in the general election, but unlike in general elections pre-electoral coalitions are not penalized for the formation of the pre-electoral coalition and the same threshold applies to them as well (Voda 2022).

4 Data and methods

The main source of data is the Czech Statistical Office which publishes open data on local elections which also contains the composition of each electoral party. Therefore, this paper considers only pre-electoral coalitions that are in the form of joint lists (and omits other types of pre-electoral coalitions such as 'a public commitment to govern together' after the election).

I limit the analysis to municipalities in which at least two registered political parties competed in the 2022 local elections (1058 out of 6253 municipalities). Therefore, I exclude independent candidates and independent candidates' lists from the consideration concerning forming pre-electoral coalitions. This limits the analysis to more populous municipalities. In addition, I exclude city districts from the analysis because I expect that the formation of pre-electoral coalitions in them is influenced by the formation of a pre-electoral coalition on the city level.

Using these data, I follow the approach used by previous research on pre-electoral coalitions (Golder 2005; Ibenskas 2016) so I created dyadic data that consists of all potential pre-electoral coalitions (all dyads between all political parties contesting the election in a given municipality). In total, there were 13,266 possible dyads out of which 569 formed a pre-electoral coalition in the 2022 local election. I concentrate on modelling the formation of dyads as the pre-electoral coalitions formed by two parties were the most common configuration.

The dependent variable captures whether the parties in a dyad created a pre-electoral coalition. Therefore, the variable is dichotomous and is coded as 1 if the two parties in the dyad belong to the same pre-electoral coalition and as 0 otherwise.

In addition, for each dyad, I create several independent variables. First, to measure whether the parties in the dyad created a pre-electoral coalition at the national level during the 2021 general election, I create a separate variable for each of the national pre-electoral coalitions - the *Together* coalition was established by three right-wing parties: ODS, TOP 09, and KDU-ČSL; the *PirSTAN* coalition was established by the Pirate Party and Mayors and Independents (STAN); and finally, the *TSS* coalition was created by three right-wing fringe parties Trikolora, the Freedom Party (Svobodní), and the Freeholder Party (Soukromníci).

Second, I measure whether the same dyad was created in the 2022 *Senate* election in a given municipality that belongs to the respective Senate district. However, municipalities do not map perfectly on the Senate districts. A single municipality usually belongs to a single Senate district except for large cities such as Prague, Brno, Plzeň, and Ostrava which are divided into multiple Senate districts. For these cases, I count that the same dyad was created in the Senate election if it occurred at least in one Senate district. At the same time, Senate elections are held every

two years when one-third of the Senate is elected. This feature of the Czech Senate elections has been exploited to consider the division into Senate districts as a natural experiment (cf. Roberts 2018; Lysek and Kouba 2022).

To account for the previous coalition arrangement in the municipality, each dyad is coded as 1 if the coalition is a continuation of a *pre-electoral coalition created in 2018* and 0 if the parties did not contest the election in a pre-electoral coalition.

Besides previous pre-electoral coalitions, we can expect that a *position in local government* may affect the probability of forming a pre-electoral coalition. Therefore, I create a dummy variable capturing if the parties in the dyad share the same position in the local government (both in government/opposition vs. different position). Additionally, I use previous composition of local government to find the cases that should induce the formation of the Together coalition because they represent government alternative that the center-right parties should oppose, namely *ANO in local government* and *KSČM in local government*. The data on the local government composition comes from the Central Register of Announcement, following the approach used by Škvrňák (2021).

To control for the influence of the usual explanations of pre-electoral coalition formation follows the approach by Golder (2006b). Specifically, I construct a variable indicating *coalition size* calculating as the share of votes parties in the dyad received in the previous local election. This variable enters the models in its linear and quadratic terms. Similarly, I calculate the *asymmetry* between parties in the dyad. The asymmetry is calculated so that it ranges from 0 if the parties gained the same number of mandates in the previous local election to 1 if one party controlled all of the mandates in the dyad and the other had no mandates.

Furthermore, I calculate *effective number of electoral parties (ENEP)* using the results (share of votes) of the 2018 local elections to control for the fragmentation of the local party system.

To measure *ideological distance* between political parties, I use Chapel Hill Expert Survey data (Jolly et al. 2022). The ideological positions are, however, not available for all political parties active at the local level. To collect as many party positions as possible, I use the last data point for each party (not only the party positions from the last round of the expert survey). With this data, I calculate the distance between the parties' positions on general left-right scale.

Using the variables described above, I estimate multi-level probit models that take the municipality as a level 2 variable and explain the creation of the pre-electoral coalitions. The descriptive statistics of the variables by the formation of pre-electoral coalition used in the models are shown in Table 1.

Before presenting the results of multi-level models, it is worth describing the data. Figure 1 shows the graph of pre-electoral coalitions between parties in the

Table 1: Descriptive statistics of variables

Variable	PEC formed		No PEC	
	mean	SD	mean	SD
Local PEC (2018)	0.15	0.36	0.00	0.06
Together	0.48	0.50	0.04	0.20
PirSTAN	0.01	0.09	0.01	0.07
TSS	0.01	0.12	0.00	0.03
Senate PEC (2022)	0.15	0.36	0.01	0.11
ANO in local gov.	0.42	0.49	0.45	0.50
KSČM in local gov.	0.12	0.32	0.09	0.29
Together in local gov.	0.59	0.49	0.73	0.44
Coalition size (normalized)	-0.40	0.69	0.02	1.01
Ideological distance	1.43	0.96	2.96	1.88
Same local gov. position	0.78	0.41	0.56	0.50
Asymmetry	0.53	0.47	0.57	0.43
ENEP	5.51	2.01	6.17	1.85

2018 local elections and Figure 2 shows the graph in the 2022 local election. The comparison between these two charts shows that in 2022, the triad between ODS, TOP 09, and KDU-ČSL was more common than in 2018 as there was a shift in the coalition patterns of the parties involved in the Together coalition. In 2018, ODS formed pre-electoral coalitions with the Freedom Party (Svobodní), while KDU-ČSL often formed coalitions with STAN and/or the Greens (Zelení) in 2018. Therefore, it seems that PECs at the national level restructured the pre-electoral coalition patterns.

Figure 1: Pre-electoral coalitions in the 2018 local elections

Pre-electoral coalitions in the 2018 local elections

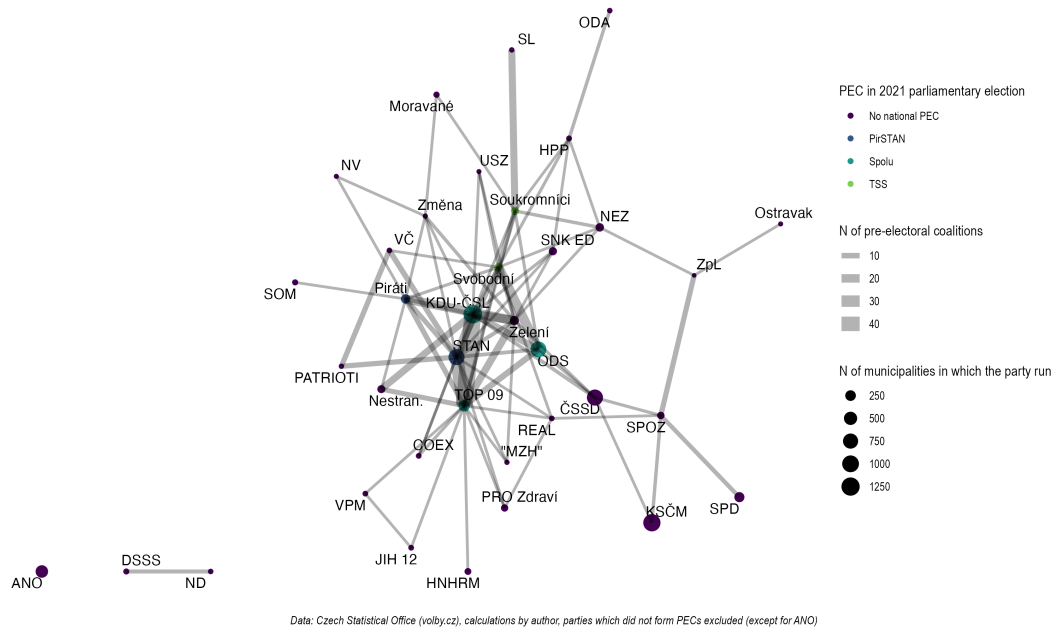
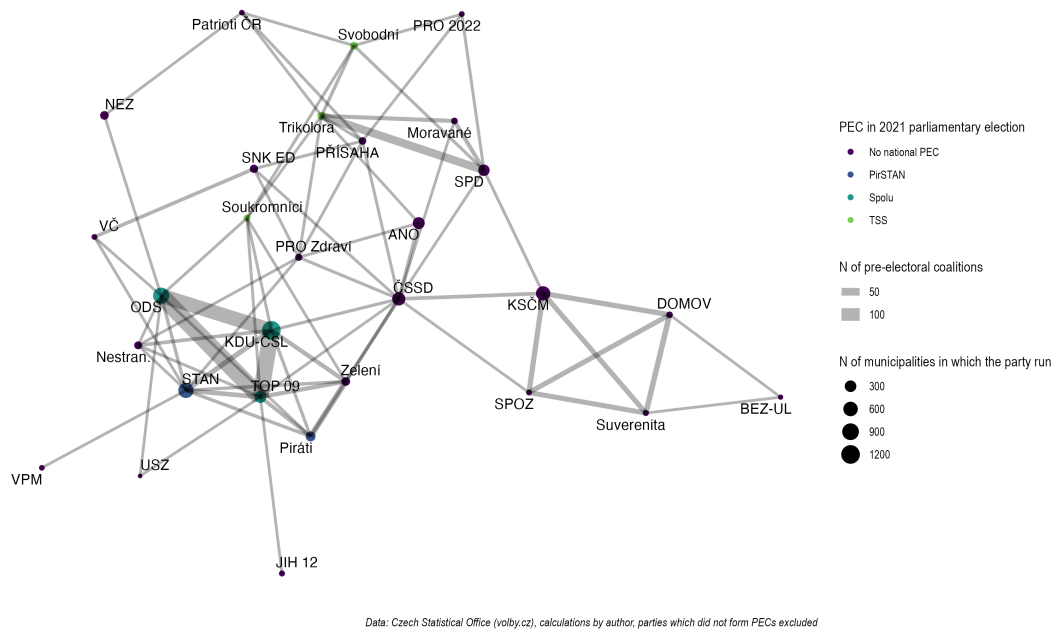


Figure 2: Pre-electoral coalitions in the 2022 local elections

Pre-electoral coalitions in the 2022 local election



5 Results

In this section, I present the results of the multi-level probit models that explain the formation of a pre-electoral coalition between the parties in a dyad as the models are fitted on the dyadic data. Table 2 shows the estimated models. Besides the models reported here, I also estimated the same models with the variable measuring ideological distance included which limits the number of observations as the measure of ideological position is not available for small and local parties. Table 4 in Appendix shows the results of these models. The models in Appendix give similar results as the results reported below.

The first three variables in the models (*Together*, *PirSTAN* and *TSS*) indicate that the formation of pre-electoral coalitions at the national level had a positive effect on the formation of coalitions at the local in the case of two out of three parties. Specifically, political parties that won the general election under the banner of the Together coalition, had the highest probability of forming a coalition (with a predicted probability of around 27% based on Model 1). Similarly, dyads of the TSS coalition parties were more likely to form a pre-electoral coalition at the local level (with a predicted probability of around 12%). That is somewhat surprising as TSS gained only 2.8% votes in the general election and remained out of the Parliament. Although the coalition did not lead to parliamentary representation, the local coalitions might be beneficial due to the pooling of resources. Finally, the PirSTAN coalition at the national level did not significantly affect the formation of pre-electoral coalitions at the local level, possibly because the coalition underperformed in the national election, candidates from STAN gained majority of the coalition mandates and a conflict between parties ensued whether STAN broke the coalition agreement during the campaign when they allegedly persuaded the voters to cast preferential votes for STAN candidates. All in all, the results support Hypothesis 1.1.

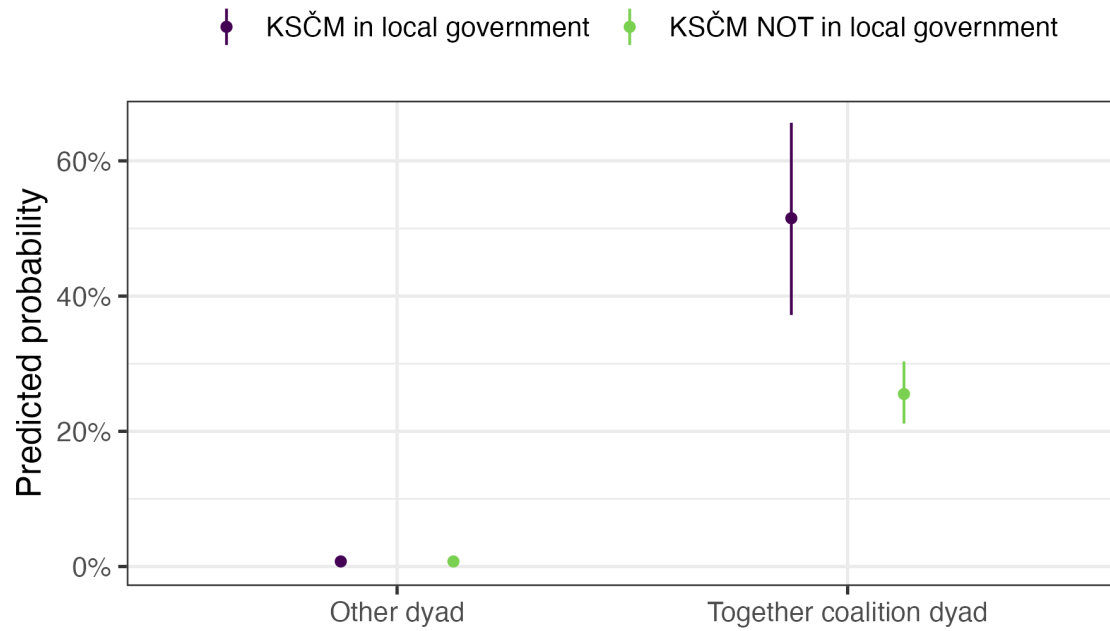
Besides the effect of PEC formation in the general election on the probability of PEC formation at the local level, I also consider the Senate election, the higher chamber of the Czech Parliament. All models indicate that the formation of a pre-electoral coalition in the Senate election in a given district (nominating a joint candidate) is positively associated with the PEC formation by the same parties in municipalities belonging to the district. Therefore, the results support Hypothesis 2. However, the size of the effect is rather small which could be a result of the proximity of the general election to the local election and the effect of pre-electoral coalitions that formed in the general election. The effect of this variable was corroborated using matching, which gives essentially the same results (see Appendix D).

When we compare the effect sizes of pre-electoral coalitions in the general election

(mainly Together and TSS pre-electoral coalitions) and pre-electoral coalitions in the Senate election, we see that PECs in the general election had a much larger impact than PECs in the Senate election. The difference can be attributed to the fact that the general election is 'first-order' in the Czech political system and the electoral advantage of PEC in the general election was known in contrast to the Senate election which was held concurrently with the local election. The only known advantage is that the parties forming pre-electoral coalitions in the Senate election could benefit from concerns pooling resources in the campaign, whereas the parties forming pre-electoral coalitions in the general election could infer if the PEC would bring them a higher share of votes.

Next, I move to the hypotheses related to electoral competition in local elections. If the electoral competition were shaped by a similar logic as the competition in the 2021 general election, we should expect that the Together coalition should be more likely in the municipalities where ANO takes part in the local government. However, Model 2 in Table 2 shows that the effect of the interaction *Together* \times *ANO in local government* is rather small and statistically insignificant. Thus, it poorly explains the formation of pre-electoral coalitions based on the Together coalition, so Hypothesis 3 is not supported. However, the interaction *Together* \times *KSČM in local government* is positive and statistically significant and thus Together parties were more likely to form a PEC if the Communist Party was a part of the local government in previous term with predicted probability of 52% (in contrast to predicted probability of 26% in municipalities where the Communists were outside of the local government) which supports Hypothesis 4. The interaction is graphically presented in Figure 3.

Figure 3: Interaction between Together PEC formation and the Communist Party in local government



Note: Predicted probabilities based on Model 2. Vertical line show 95% confidence interval.

In addition, Model 3 indicates that the position of Together parties in the local government explains copying the format of the national pre-electoral coalition at the local level. The formation of the Together pre-electoral coalition at the local level was more likely if none of the parties was represented in the local government. If both the Together parties in the dyad were in opposition in a given local government, the predicted probability of coalition formation is 49%, in contrast, if at least one of the parties participates in the local government, the probability drops to 20%.

More generally, we can expect that the position of parties in the local government will affect the probability of coalition formation as Hypothesis 5 formulates. This shows Model 4 in Table 2. The effect of holding the same position in the local government is positive and statistically significant, however, the size of the effect is rather small. Nevertheless, it supports the hypothesis.

All in all, the results related to the parties' position in the local government indicate that local concerns and ambitions play a significant role in the pre-electoral coalition formation at the local level. Instead of following the patterns of electoral competition from the national level, local party branches coalesce to improve their position for the post-election government negotiations.

Table 2: Models of pre-electoral coalition formation in the 2022 local election

	Model 1	Model 2	Model 3	Model 4
Together	1.85*** (0.08)	1.71*** (0.10)	2.42*** (0.12)	1.85*** (0.08)
PirSTAN	0.23 (0.25)	0.22 (0.25)	0.23 (0.25)	0.25 (0.25)
TSS	1.13*** (0.31)	1.14*** (0.31)	1.14*** (0.30)	1.14*** (0.31)
Senate PEC (2022)	0.30* (0.12)	0.35** (0.12)	0.33** (0.12)	0.30* (0.12)
ANO in local government		0.05 (0.08)		
KSČM in local government		0.00 (0.12)		
Together \times ANO in local gov.		0.14 (0.13)		
Together \times KSČM in local gov.		0.69** (0.22)		
Together party in local gov.			0.06 (0.08)	
Together \times Together party in local gov.			-0.87*** (0.14)	
Same local gov. position				0.25*** (0.06)
Controls	Yes	Yes	Yes	Yes
Num.Obs.	13 266	13 266	13 266	13 266
R2 Marg.	0.330	0.327	0.315	0.338
R2 Cond.	0.384	0.379	0.363	0.387
AIC	3152.9	3145.1	3111.7	3137.9
BIC	3242.8	3265.0	3216.6	3235.3
ICC	0.1	0.1	0.1	0.1
RMSE	0.17	0.17	0.16	0.17

+ $p < 0.1$, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$

6 Conclusion

The 2021 general election reshaped the Czech party system and also influenced the party competition in many municipalities. Mainly, the Together coalition which won in the parliamentary election then followed suit in the local election and formed pre-electoral coalitions at the local level. The same is true for the pre-electoral coalition formed by three minor parties which somewhat overperformed in the general election but failed to gain representation in the Parliament. In contrast, the last pre-electoral coalition the Pirates and the Mayors and Independents which underperformed in the general election did not form the same pre-electoral coalition at the local level.

Besides the general election, the formation of pre-electoral coalitions for the Senate election that took place concurrently with the local election marginally increases the probability of pre-electoral coalition formation at the local level. The effect is much smaller than in the case of a general election suggesting that the main driver of spill-over of the pre-electoral coalition is the experience of electoral benefit. While the general election could serve as a test run for local party branches, the only benefit the Senate election could bring to the parties is pooling resources during the campaign.

In contrast to the 2021 general election when the right-wing parties created the Together coalition to oust populist ANO from the government, the formation of the Together coalition at the local level was motivated by an attempt to replace not only ANO but any other party. This also holds on a more general level: the formation of a pre-electoral coalition was more likely between parties at the same position in local government. This questions the theoretical expectation that pre-electoral coalitions are more likely to emerge if they face more extreme government alternatives as the creation of the Together coalition was not more likely if they faced populist ANO. This outcome may suggest that the populist/anti-populist is not extreme enough as in some municipalities some Together parties created a local government with ANO. In addition, not all pre-electoral coalitions are alike. The research on pre-electoral coalitions combined all of its forms, however, the likelihood of pre-electoral coalition formation may differ across different contexts. For instance, pre-electoral coalitions in the form of supporting a candidate in the second round of the two-round electoral system may be more likely to emerge if they face a far-right opponent, yet that may not be true for pre-electoral coalitions in the form of joint party lists in PR electoral systems.

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A Baseline models

Table 3: Baseline models

	Model 1	Model 2	Model 3	Model 4
Together			1.93*** (0.07)	1.80*** (0.09)
PirSTAN			0.23 (0.25)	0.14 (0.26)
TSS			1.13*** (0.31)	
Local PEC (2018)	2.32*** (0.13)	1.99*** (0.16)	2.20*** (0.13)	1.99*** (0.16)
Coalition size	-0.52*** (0.06)	-0.76*** (0.08)	-0.86*** (0.07)	-1.02*** (0.10)
Coalition size \times asymmetry	0.23** (0.09)	0.22+ (0.11)	0.49*** (0.10)	0.40** (0.13)
Coalition size (sq.)	0.02 (0.02)	0.07*** (0.02)	0.05* (0.02)	0.10*** (0.02)
Ideological distance		-0.36*** (0.03)		-0.20*** (0.03)
Asymmetry	0.17* (0.07)	0.27** (0.09)	0.40*** (0.08)	0.51*** (0.10)
ENEP	-0.13*** (0.02)	-0.16*** (0.02)	-0.07*** (0.02)	-0.11*** (0.02)
(Intercept)	-1.37*** (0.11)	-0.46** (0.15)	-2.25*** (0.13)	-1.78*** (0.19)
Num.Obs.	13 266	6931	13 266	6931
R2 Marg.	0.146	0.399	0.330	0.488
R2 Cond.	0.268	0.541	0.382	0.582
AIC	4023.9	2162.9	3157.4	1645.9
BIC	4083.8	2224.5	3239.8	1721.2
ICC	0.1	0.2	0.1	0.2
RMSE	0.19	0.19	0.17	0.17

+ $p < 0.1$, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$

B Full Models

C Robustness check: Models with ideological distance

	Model 1	Model 2	Model 3	Model 4
Together	1.85*** (0.08)	1.71*** (0.10)	2.42*** (0.12)	1.85*** (0.08)
PirSTAN	0.23 (0.25)	0.22 (0.25)	0.23 (0.25)	0.25 (0.25)
TSS	1.13*** (0.31)	1.14*** (0.31)	1.14*** (0.30)	1.14*** (0.31)
Senate PEC (2022)	0.30* (0.12)	0.35** (0.12)	0.33** (0.12)	0.30* (0.12)
ANO in local government		0.05 (0.08)		
KSČM in local government		0.00 (0.12)		
Together \times ANO in local gov.		0.14 (0.13)		
Together \times KSČM in local gov.		0.69** (0.22)		
Together party in local gov.			0.06 (0.08)	
Together \times Together party in local gov.			-0.87*** (0.14)	
Same local gov. position				0.25*** (0.06)
Local PEC (2018)	2.21*** (0.13)	2.20*** (0.13)	2.18*** (0.13)	2.12*** (0.13)
Coalition size	-0.86*** (0.07)	-0.85*** (0.07)	-0.81*** (0.07)	-0.82*** (0.07)
Coalition size \times asymmetry	0.49*** (0.10)	0.48*** (0.10)	0.47*** (0.10)	0.49*** (0.10)
Coalition size (sq.)	0.05* (0.02)	0.06** (0.02)	0.06** (0.02)	0.05* (0.02)
Asymmetry	0.40*** (0.08)	0.39*** (0.08)	0.37*** (0.08)	0.45*** (0.08)
ENEP	-0.07*** (0.02)	-0.08*** (0.02)	-0.06** (0.02)	-0.07*** (0.02)
(Intercept)	-2.26*** (0.13)	-2.21*** (0.13)	-2.34*** (0.13)	-2.44*** (0.14)

Num.Obs.		13 266	13 266	13 266	13 266
R2 Marg.		0.330	0.327	0.315	0.338
R2 Cond.	25	0.384	0.379	0.363	0.387
AIC		3152.9	3145.1	3111.7	3137.9

Table 4: Models with ideological distance

	Model 1	Model 2	Model 3	Model 4
Together	1.74*** (0.10)	1.55*** (0.12)	2.26*** (0.15)	1.73*** (0.10)
PirSTAN	0.14 (0.26)	0.14 (0.26)	0.14 (0.26)	0.17 (0.26)
Senate PEC (2022)	0.23+ (0.13)	0.29* (0.14)	0.25+ (0.14)	0.23+ (0.13)
ANO in local government		-0.00 (0.13)		
KSČM in local government		-0.18 (0.21)		
Together × ANO in local gov.		0.23 (0.16)		
Together × KSČM in local gov.		0.92*** (0.28)		
Together party in local gov.			0.01 (0.13)	
Together × Together party in local gov.			-0.80*** (0.17)	
Same local gov. position				0.36*** (0.08)
Local PEC (2018)	2.00*** (0.16)	2.02*** (0.16)	1.97*** (0.16)	1.86*** (0.17)
Coalition size	-1.02*** (0.10)	-1.01*** (0.10)	-0.94*** (0.10)	-0.97*** (0.10)
Coalition size × asymmetry	0.40** (0.13)	0.39** (0.13)	0.37** (0.13)	0.41** (0.13)
Coalition size (sq.)	0.10*** (0.02)	0.11*** (0.02)	0.10*** (0.02)	0.10*** (0.02)
Ideological distance	-0.20*** (0.03)	-0.20*** (0.03)	-0.20*** (0.03)	-0.21*** (0.03)
Asymmetry	0.52*** (0.10)	0.51*** (0.10)	0.50*** (0.10)	0.59*** (0.10)
ENEP	-0.11*** (0.02)	-0.12*** (0.03)	-0.08** (0.03)	-0.10*** (0.02)
(Intercept)	-1.78*** (0.19)	-1.69*** (0.19)	-1.91*** (0.20)	-2.06*** (0.20)
Num.Obs.	26	6931	6931	6931
R2 Marg.		0.487	0.476	0.503

D Robustness check 2: Matching

The data were matched using coarsened exact matching with the formation of pre-electoral coalition as the treatment variable and ideological distance, ANO in local government, Together party in local government, Coalition size, assymetry, ENEP, municipality size, local government position as covariates and formation of PEC in previous local election. As coarsened exact matching does not allow anti-exact matches, I selected only those matched pairs where only in one of them the 2022 Senate election took place.

The covariate balance and esimated models are shown below.

D.1 Covariate balance of matched data

Variable	Mean		Std. Diff	Adj. V-ratio
	control	treatment		
Ideological distance	1.33	1.30	-0.04	0.95
ANO in local government	0.31	0.31	0.00	NA
Spolu in local government	0.67	0.67	0.00	NA
KSČM in local government	0.02	0.02	0.00	NA
Coalition size	0.06	0.07	0.00	1.03
Asymmetry	0.55	0.56	-0.01	1.00
ENEP	5.00	4.91	-0.05	0.95
Municipality size	11506	7724	-0.34	0.24
Local government	0.65	0.65	0.00	NA

D.2 Models on matched data

	Model 1	Model 2
Senate PEC (2022)	0.21* (0.09)	0.20* (0.09)
Municipality size		−0.00 (0.00)
(Intercept)	0.19*** (0.06)	0.23** (0.08)
Num.Obs.	104	104
R2	0.053	0.061
R2 Adj.	0.044	0.042
AIC	132.7	133.9
BIC	140.7	144.5
RMSE	0.45	0.44
+ p < 0.1, * p < 0.05, ** p < 0.01, *** p < 0.001		