

# Medical Image Processing for Interventional Applications

## Super-Resolution: Introduction

Online Course – Unit 20

Andreas Maier, Thomas Köhler, Frank Schebesch  
Pattern Recognition Lab (CS 5)

# Topics

## What is Image Super-Resolution?

Cameras and Sampling

The Sampling Theorem

Sampling of Real Cameras

Quantization and Image Noise

Summary

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# What is Image Super-Resolution?

Digital imaging systems perform a non-ideal mapping of a scene to the image plane of a camera:

- **(Down-)sampling**: continuous real world scene  $\leftrightarrow$  discrete representation with finite resolution
- **Blur/diffraction**: non-ideal mapping of points and edges
- **Noise**: induced by camera sensors

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- Small details in the scene get lost in a 2-D image.

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## Definition

***Super-resolution*** is the process of obtaining high-resolution images from observed low-resolution images.

# What is Image Super-Resolution?

Basic approaches to image super-resolution:

- Instrumental super-resolution (hardware-based):
  - Engineering of the characteristics of the imaging system
  - Widely used in microscopy (STED, RESOLFT)

# What is Image Super-Resolution?

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- Instrumental super-resolution (hardware-based):
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  - Widely used in microscopy (STED, RESOLFT)
- *In this course*: computational super-resolution (software-based):
  - Retrospective approach to image super-resolution (reconstruction algorithms)
  - Aims at overcoming limitations related to digital sampling and/or diffraction
  - No modifications of the underlying camera hardware (sensor and optics) → low-cost solution

# Super-Resolution Applications

Various applications for image super-resolution algorithms:

- Consumer electronics
- Surveillance cameras
- Remote sensing
- Medical imaging:
  - Ophthalmic imaging
  - Image-guided surgery
  - Radiology
- and more ...

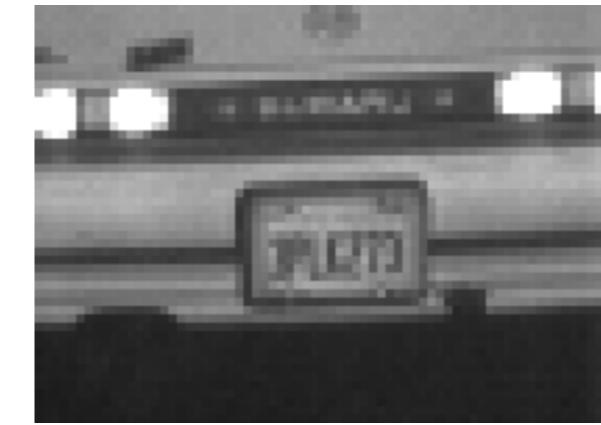


Figure 1: Super-resolving car license plates

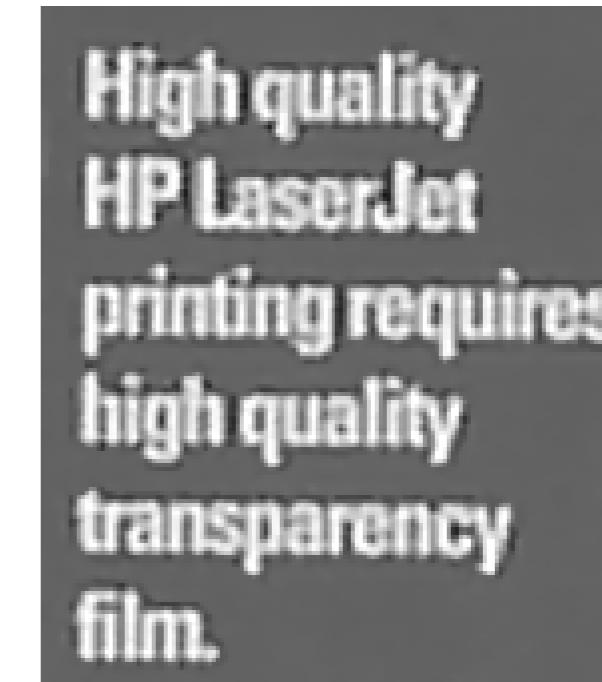


Figure 2: Super-resolving text document images

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# Sampling in 2-D

## Definitions:

- $f(x, y)$  is a continuous, real-valued signal.
- If  $f(x, y)$  is sampled in  $x$ - and  $y$ -direction, it can be represented by discrete values  $f_{m,n}$  where:
  - $f_{m,n} = f(m \cdot \Delta x, n \cdot \Delta y) \in \mathbb{R}$ ,
  - $\Delta x$  and  $\Delta y$  denote the sample spacing (sample pitch) on a regular grid,
  - for a finite regular grid  $f(x, y)$  is limited to a range  $x_0 \leq x \leq x_1$  and  $y_0 \leq y \leq y_1$ .

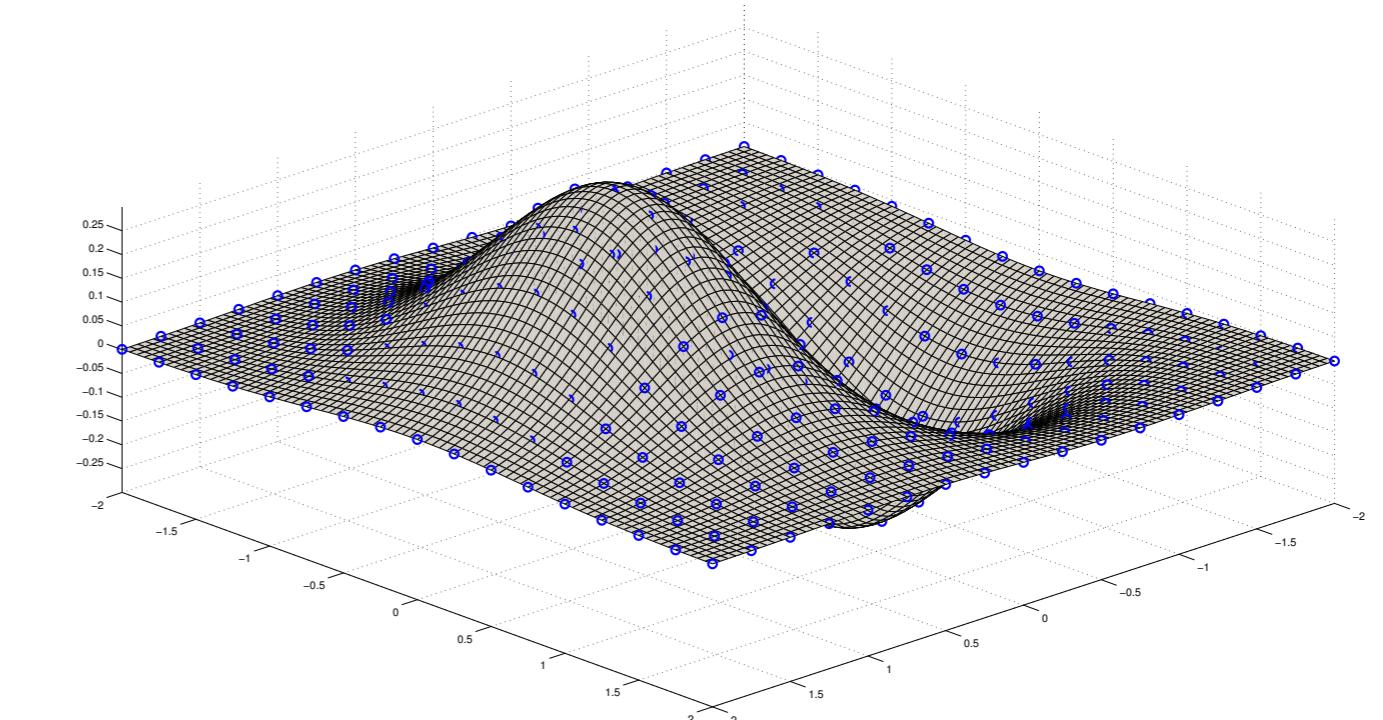


Figure 3: Example of a function sampling

Is it possible to reconstruct  $f(x, y)$  from samples  $f_{m,n}$  without loss of information? → Sampling theorem

# Sampling in 2-D

Mathematical modeling of the sampling process:

- **Ideal sampling** is modeled by a sequence of Dirac delta functions:

$$\Delta(x, y) = \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} \delta(x - m\Delta x, y - n\Delta y),$$

where a single Dirac delta is given by

$$\delta(x, y) = \begin{cases} 1, & \text{if } x = 0 \text{ and } y = 0, \\ 0, & \text{otherwise.} \end{cases}$$

- The discrete samples are determined by

$$f_{m,n} = \Delta(x, y)f(x, y).$$

# Sampling Theorem

Band-limited signals:

- Let  $F(u, v)$  be the Fourier transform of the continuous signal  $f(x, y)$ :

$$F(u, v) = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f(x, y) e^{-2\pi i(ux+vy)} dx dy$$

- For the formulation of the sampling theorem we consider **band-limited** signals  $f(x, y)$ :

$$F(u, v) = 0 \quad \text{for } |u| > u_0 \text{ or } |v| > v_0.$$

# Sampling Theorem

Sampling theorem according to Shannon and Nyquist (for low-pass signals):

The continuous signal  $f(x, y)$  is completely determined by its discrete samples :

$$f_{m,n} = f(m \cdot \Delta x, n \cdot \Delta y) \in \mathbb{R} \quad \text{for } m, n = 0, 1, 2, \dots$$

without loss of information **if and only if**

$$\Delta x \leq \frac{1}{2u_0} \quad \text{and} \quad \Delta y \leq \frac{1}{2v_0}.$$

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without loss of information **if and only if**

$$\Delta x \leq \frac{1}{2u_0} \quad \text{and} \quad \Delta y \leq \frac{1}{2v_0}.$$

**In other words:** We can reconstruct  $f(x, y)$  from  $f_{m,n}$  if the sampling rates  $1/\Delta x$  and  $1/\Delta y$  are high enough.

# Aliasing

Violation of the sampling theorem:

- If the sampling theorem is not fulfilled, **aliasing** is induced.
- Aliasing: High frequencies in the original signal are mapped to low frequencies in the sampled signal.

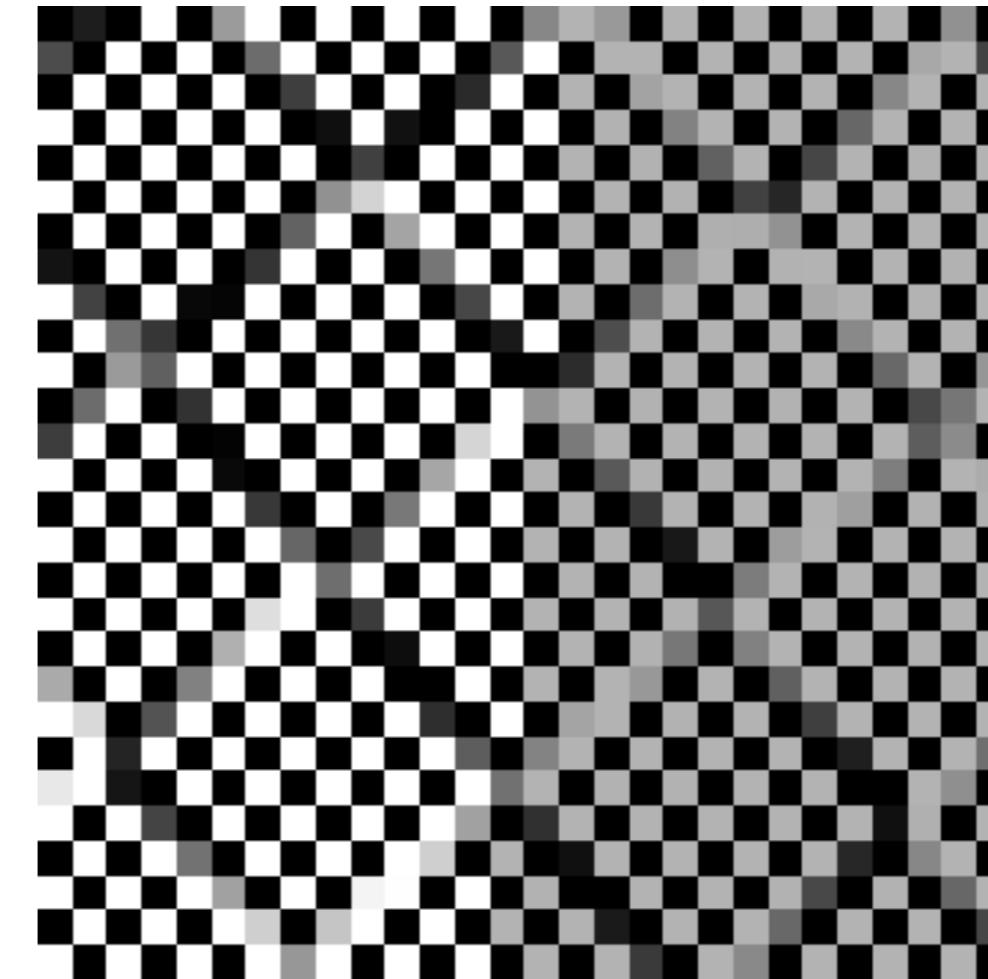
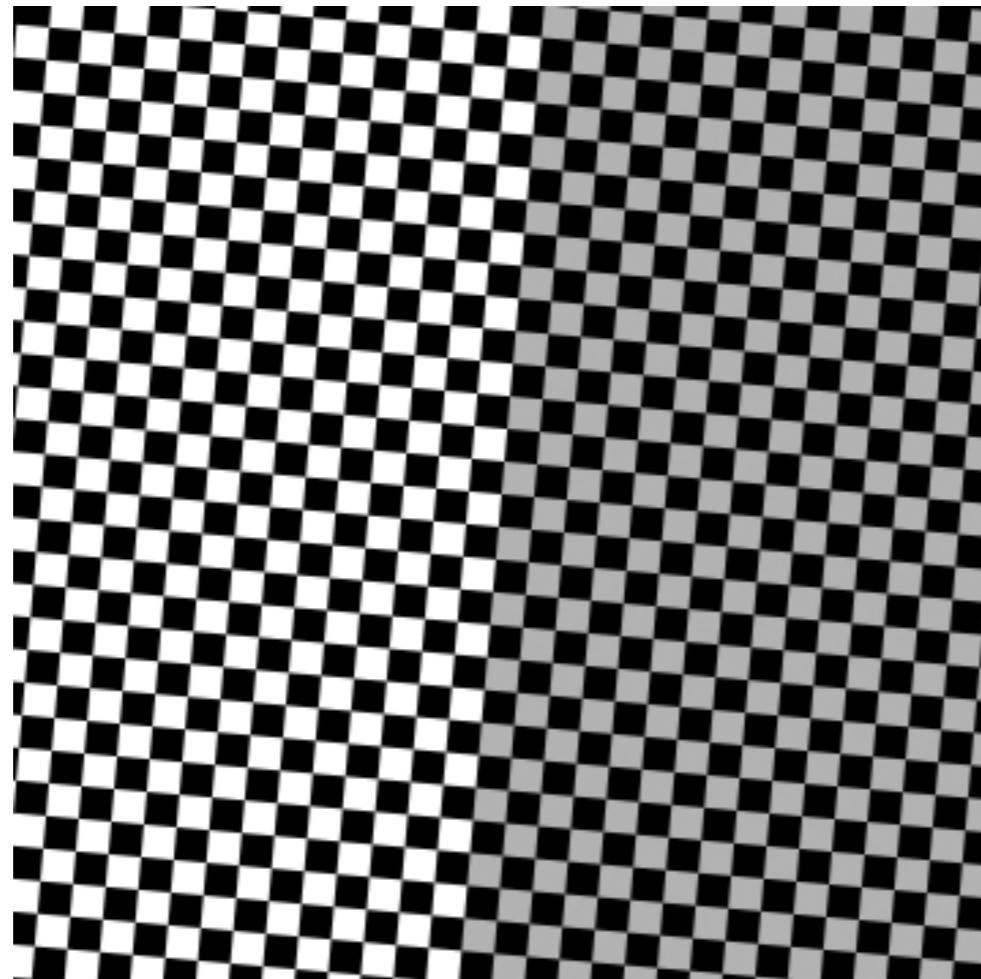


Figure 4: Original checkerboard pattern (left), resampled pattern with aliasing artifacts (right)

# Sampling of Real Cameras

Generalization of the sampling process:

- A real camera cannot sample with ideal Dirac functions since the sensor array consists of pixels of finite size.
- The signal has to pass the ***point spread function*** (PSF)  $h(x, y)$ .
- For a space-invariant PSF, one discrete sample  $f_{m,n}$  is given by

$$f_{m,n} = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f(x, y) h(x - m\Delta x, y - n\Delta y) dx dy,$$

i. e.,  $f_{m,n}$  is a weighted sum of the surrounding intensities  $f(x, y)$  collected at the sensor array (convolution of  $f(x, y)$  with the PSF).

# Sampling of Real Cameras

Ideal sampling: If we could sample with an ideal Dirac sequence, ideal edges from the real world would be mapped onto ideal edges in an image.

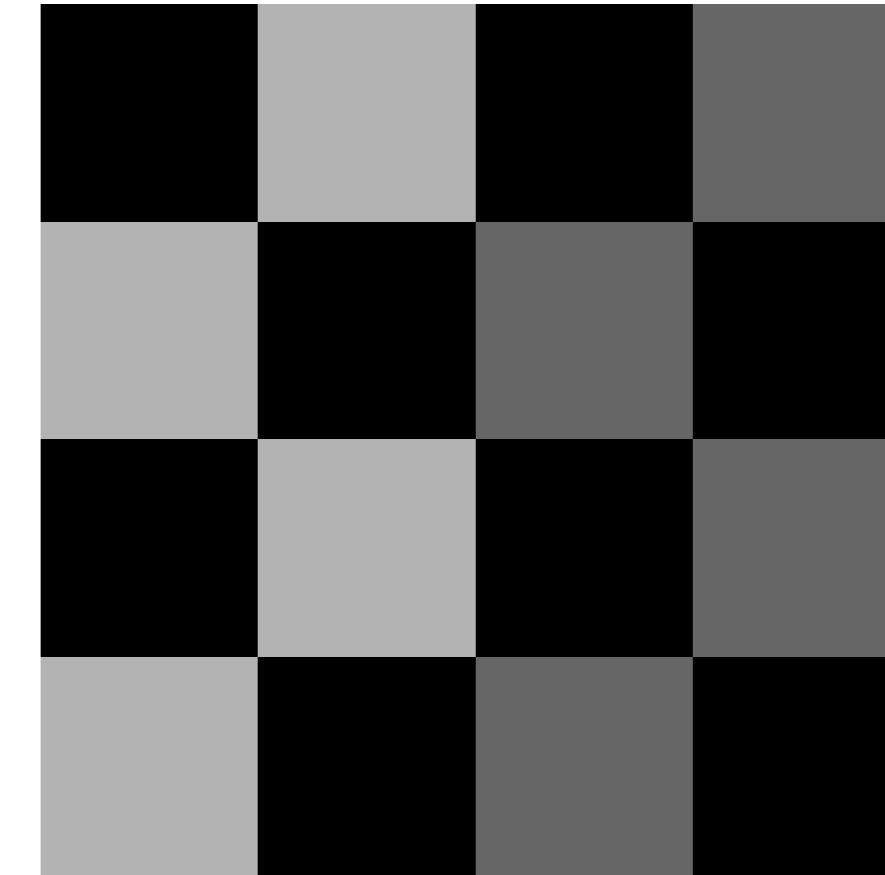
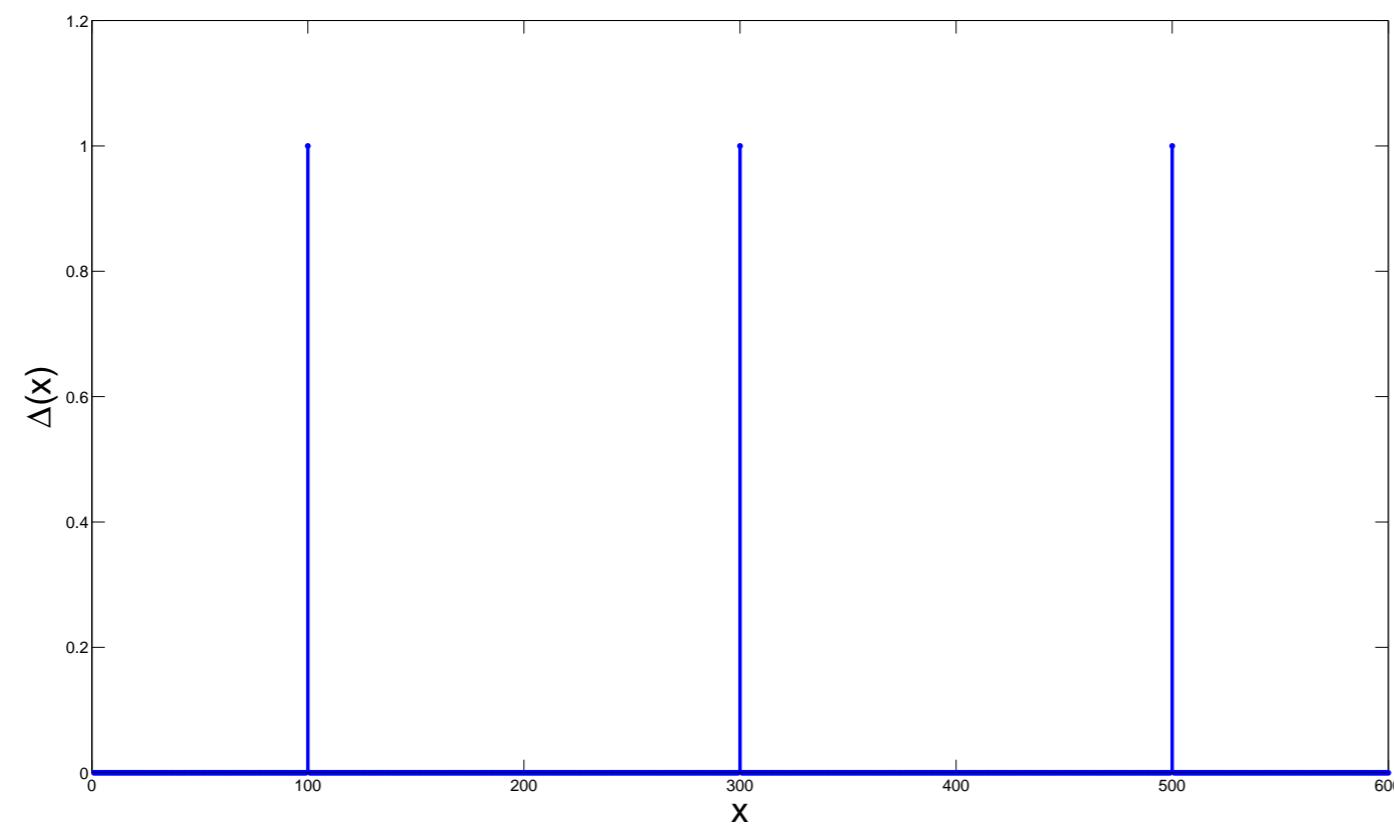


Figure 5: Ideal Dirac sequence in 1-D (left), ideal sampling (right)

# Sampling of Real Cameras

Sampling under real-world conditions: If we sample with a real camera, ideal edges get blurred in the observed images.

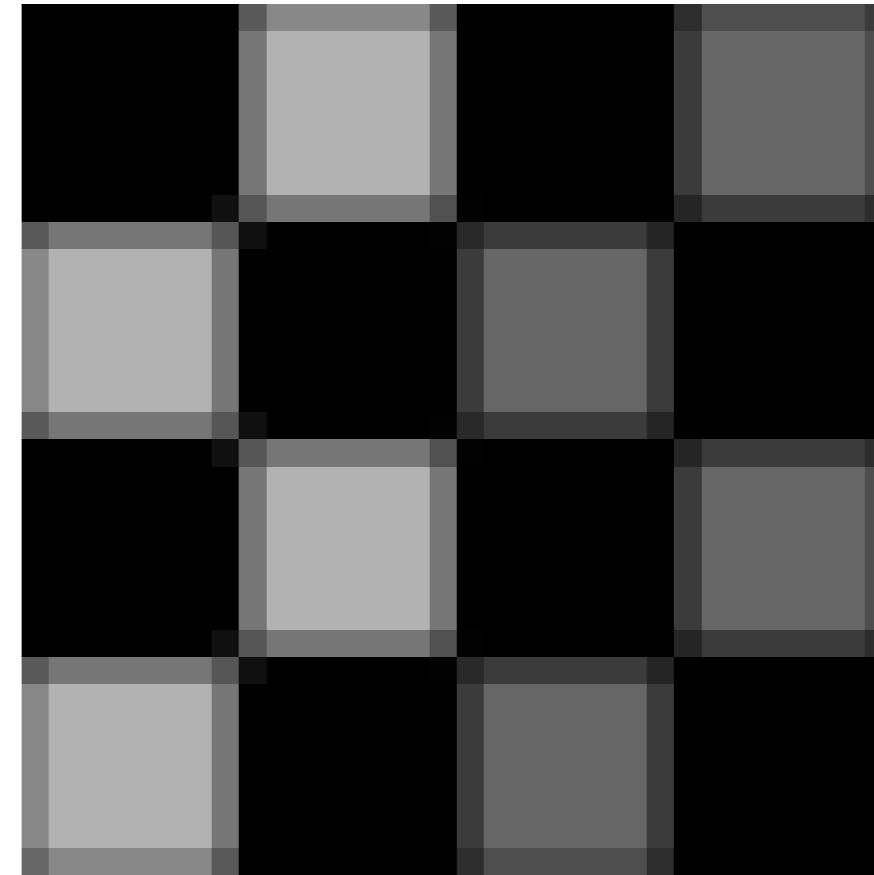
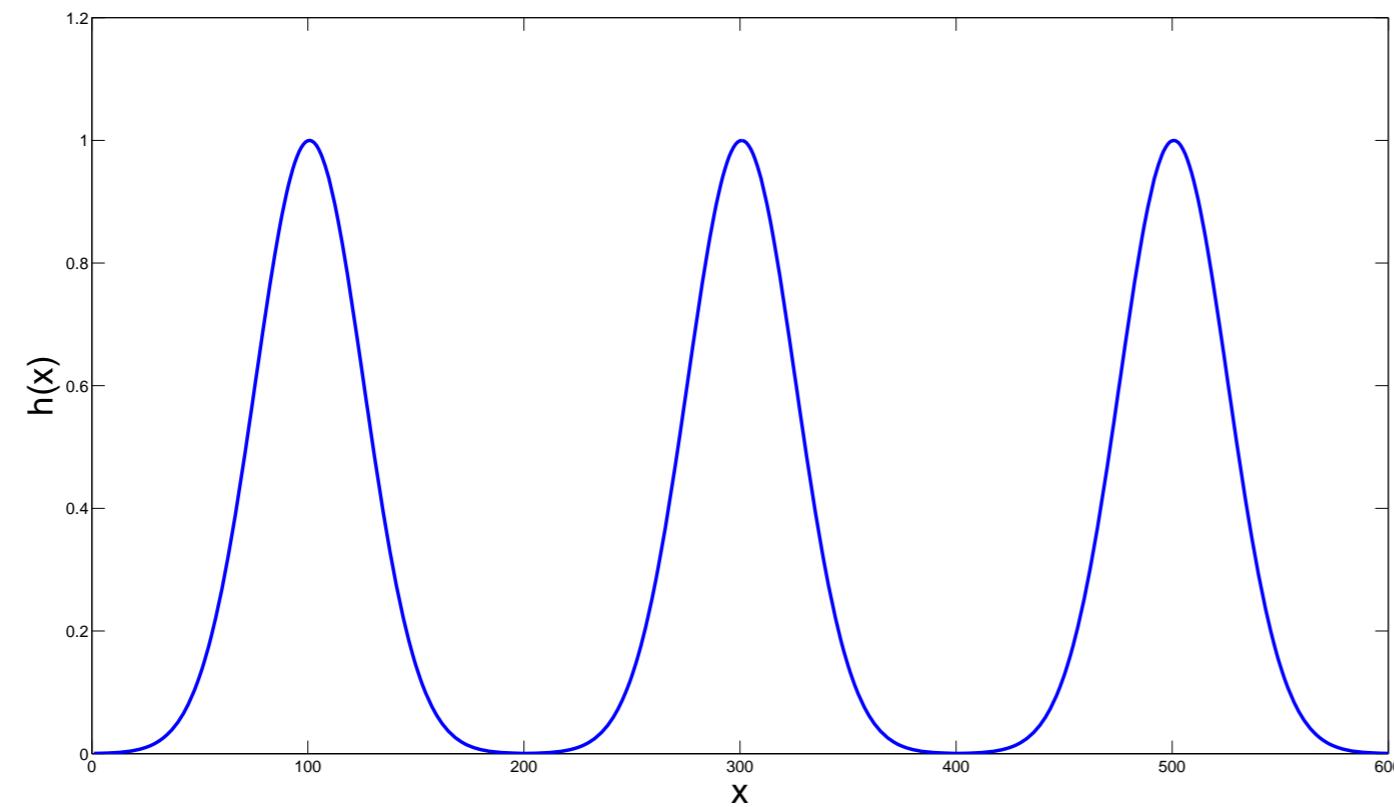


Figure 6: Gaussian PSF kernel in 1-D (left), real sampling (right)

# Quantization and Image Noise

Consider noise in the image formation process:

- Discrete samples cannot be obtained and stored with infinite accuracy:
  - 8-bit quantization for grayscale images,
  - 24-bit quantization for RGB color images.
- Furthermore, noise is induced in the sensor array.

# Quantization and Image Noise

Consider noise in the image formation process:

- Discrete samples cannot be obtained and stored with infinite accuracy:
  - 8-bit quantization for grayscale images,
  - 24-bit quantization for RGB color images.
- Furthermore, noise is induced in the sensor array.

Total observation model: The sampled signal is disturbed by additive noise  $\varepsilon_{m,n}$ :

$$f_{m,n} = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f(x, y) h(x - m\Delta x, y - n\Delta y) dx dy + \varepsilon_{m,n}.$$

We assume  $\varepsilon_{m,n}$  to be the interference of different noise sources and therefore to be spatially invariant Gaussian noise ( $\rightarrow$  central limit theorem).

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## Take Home Messages

- Super-resolution algorithms enhance the resolution of an image which makes them highly interesting not only for medical applications.
- The sampling theorem allows us to determine a discrete sampling pattern which perfectly samples a given signal.
- A real camera has a limited sampling capability and we have to deal with noise as well.

## Further Readings

Theory of image super-resolution (books and review articles):

- Hayit Greenspan. “Super-Resolution in Medical Imaging”. In: *The Computer Journal* 52.1 (Feb. 2008), pp. 43–63. DOI: [10.1093/comjnl/bxm075](https://doi.org/10.1093/comjnl/bxm075)
- Peyman Milanfar, ed. *Super-Resolution Imaging*. Digital Imaging and Computer Vision. CRC Press, 2011
- Sina Farsiu et al. “Advances and Challenges in Super-Resolution”. In: *International Journal of Imaging Systems and Technology* 14.2 (Aug. 2004), pp. 47–57. DOI: [10.1002/ima.20007](https://doi.org/10.1002/ima.20007)
- Sung Cheol Park, Min Kyu Park, and Moon Gi Kang. “Super-Resolution Image Reconstruction: A Technical Overview”. In: *IEEE Signal Processing Magazine* 20.3 (May 2003), pp. 21–36. DOI: [10.1109/MSP.2003.1203207](https://doi.org/10.1109/MSP.2003.1203207)

# Medical Image Processing for Interventional Applications

## Super-Resolution: Computational Methods

Online Course – Unit 21

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# Topics

## Computational Methods for Image Super-Resolution

Summary

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Further Readings

# Single-Frame Super-Resolution

Principles of single-frame super-resolution:

- Single-frame methods estimate a high-resolution image from one single low-resolution image by incorporation of prior knowledge.
- Methods:
  - **Learning-based methods:** Estimate high-resolution image by learning the image degradation process using training data.
  - **Frequency interpolation methods:** Represent images in frequency domain (e.g., with wavelet coefficients) to estimate high-frequency information not present in low-resolution images.

# Multi-Frame Super-Resolution

Principles of (motion-based) multi-frame super-resolution:

- Capture sequence of **warped and degraded** images of ideal scene
- More precise sampling due to **non-integer pixel** shifts caused by
  - moving cameras
  - object motion

**Goal:** Reconstruct high-resolution (ideal) image from low-resolution frames.

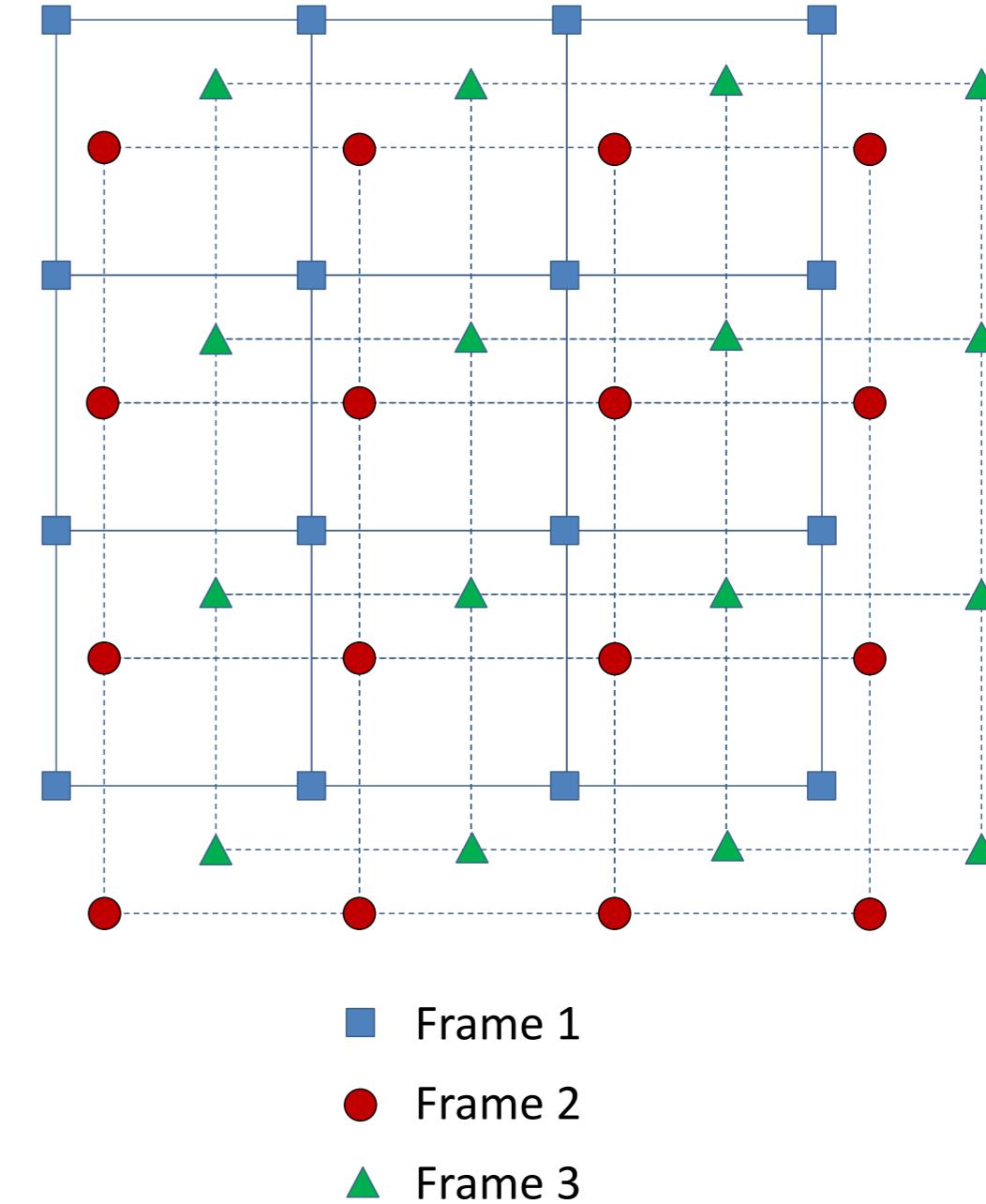


Figure 1: Relative motion shifts between frames

# Super-Resolution via Non-Uniform Interpolation

## Properties and assumptions:

- Direct approach (in contrast to a formulation as an inverse problem)
- Given: motion estimate for low-resolution frames

Algorithm: super-resolution performed in three stages

1. **Motion compensation:** Warp all low-resolution frames to the desired high-resolution grid according to the motion estimate.
2. **Interpolation:** Interpolate the high-resolution image from the warped low-resolution samples.
3. **Restoration (optional):** Deblur the interpolated image.

# Super-Resolution via Non-Uniform Interpolation

Overview of the algorithm:

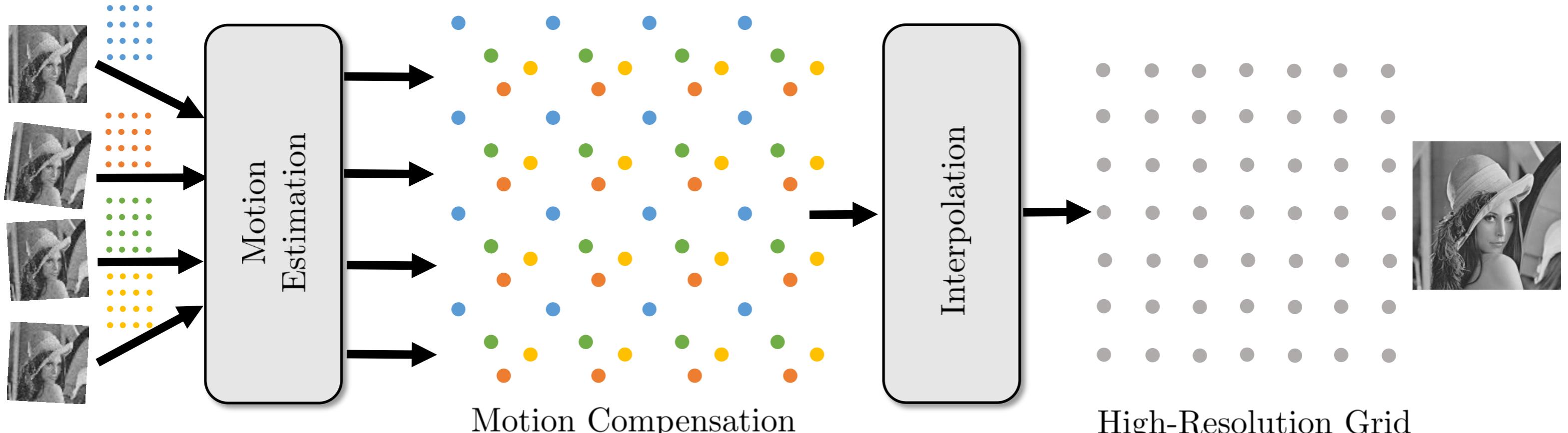


Figure 2: Schematic of the algorithm

# Motion Models for Multi-Frame Super-Resolution

Description of image warping by motion model:

- **Parametric motion model** → image-to-image homography  $\mathbf{M}$  consisting of:

- Rigid motion: rotation matrix  $\mathbf{R}$  and translation  $\mathbf{t}$  (3 degrees of freedom)

$$\mathbf{M} = \begin{pmatrix} \mathbf{R} & \mathbf{t} \\ \mathbf{0}^T & 1 \end{pmatrix}$$

- Affine motion: rotation, translation, scaling and shearing (6 degrees of freedom)

$$\mathbf{M} = \begin{pmatrix} \mathbf{A} & \mathbf{t} \\ \mathbf{0}^T & 1 \end{pmatrix}$$

- **Non-parametric model** → displacement vector fields via optical flow

# Non-Uniform Interpolation: Example



Figure 3: Single low-resolution frame (left) and result of non-uniform interpolation (right) using bicubic interpolation with  $K = 26$  frames and  $3 \times$  magnification

# Non-Uniform Interpolation: Discussion

Properties of the non-uniform interpolation approach:

- Easy to implement
- Computationally efficient (direct approach)
- Flexible in terms of motion models
- Prone to artifacts in case of misregistrations (error propagation)
- Difficult to model a priori knowledge regarding high-resolution images

# Topics

Computational Methods for Image Super-Resolution

## Summary

Take Home Messages

Further Readings

# Take Home Messages

- Super-resolution algorithms can be subdivided into single and multiframe methods.
- The direct approach involves warping the frames to a high-resolution grid and subsequent interpolation.

## Further Readings

Theory of image super-resolution (books and review articles):

- Hayit Greenspan. “Super-Resolution in Medical Imaging”. In: *The Computer Journal* 52.1 (Feb. 2008), pp. 43–63. DOI: [10.1093/comjnl/bxm075](https://doi.org/10.1093/comjnl/bxm075)
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# Medical Image Processing for Interventional Applications

## Super-Resolution: ML Estimation

Online Course – Unit 22

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Pattern Recognition Lab (CS 5)

# Topics

## Super-Resolution as an Inverse Problem

Maximum Likelihood Estimation

Bayesian Formulation

Maximum Likelihood Estimation

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## Problem Statement

**Given:** Set of low-resolution frames given as continuous functions (irradiance light fields)

$$y^{(1)}(\mathbf{u}), \dots, y^{(K)}(\mathbf{u}),$$

where  $\mathbf{u} \in \mathbb{R}^2$  (pixel grid)

We want to reconstruct a high-resolution image  $x(\mathbf{u})$  that generated these frames according to:

$$y^{(k)}(\mathbf{u}) = \mathcal{W}^{(k)}\{x(\mathbf{u})\}, \quad \text{for all } k = 1, \dots, K,$$

where  $\mathcal{W}^{(k)}\{\cdot\}$  is the (frame-wise) image formation model that:

- models characteristics of the camera optics,
- models spatial sampling on the sensor array.

→ We investigate different approaches to model and solve this inverse problem.

# Image Formation Model

Mathematical description of the image formation process:

Given an ideal image  $x(\mathbf{u})$ ,  $\mathbf{u} \in \mathbb{R}^2$ , as continuous function, we can model the formation of a low-resolution image  $y^{(k)}(\mathbf{u})$ :

$$y^{(k)}(\mathbf{u}) = \mathcal{D} \left\{ \mathcal{M}^{(k)} \{x(\mathbf{u})\} * h^{(k)}(\mathbf{u}) \right\} + \varepsilon(\mathbf{u}).$$

$\mathcal{D}\{\cdot\}$  and  $\mathcal{M}^{(k)}\{\cdot\}$ : sampling and motion operators

$h^{(k)}(\mathbf{u})$ : space invariant point spread function (PSF)

$\varepsilon(\mathbf{u})$ : additive noise

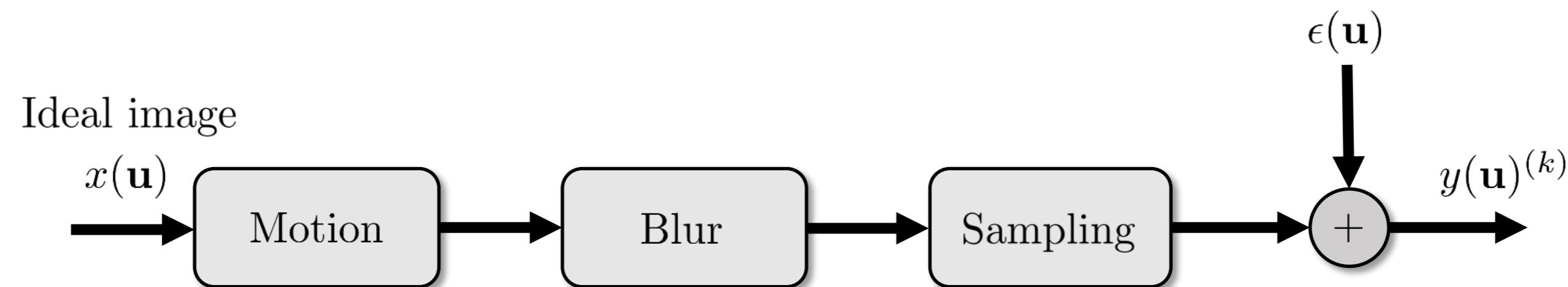


Figure 1: Steps of the image formation from an ideal image to a low-resolution output

# Image Formation Model

Discretization of the continuous model:

- We need to discretize the image formation model to employ it for digital super-resolution algorithms:

$$\mathbf{y}^{(k)} = \mathbf{W}^{(k)}\mathbf{x} + \boldsymbol{\varepsilon}^{(k)}.$$

- Image formation modeled by matrix/vector operations:

$\mathbf{x}$ : high-resolution image  $\mathbf{x} \in \mathbb{R}^N$ ,

$\mathbf{y}^{(k)}$ :  $k$ -th low-resolution frame  $\mathbf{y}^{(k)} \in \mathbb{R}^M$  where  $M < N$ ,

$\mathbf{W}^{(k)}$ : system matrix of  $k$ -th frame to model motion, PSF and downsampling.

# Anatomy of the System Matrix

Definition of the matrix: The system matrix models the mapping from  $\mathbf{x}$  to  $\mathbf{y}^{(k)}$ :

$$W_{mn}^{(k)} = h(\mathbf{v}_n - \mathbf{u}'_m),$$

where

$h(\mathbf{u})$ : camera PSF as space and time invariant kernel,

$\mathbf{v}_n$ : coordinates of  $n$ -th pixel in  $\mathbf{x}$ ,

$\mathbf{u}'_m$ : coordinates of  $m$ -th pixel in  $\mathbf{y}$  warped to  $\mathbf{x}$ .

The elements are normalized according to:

$$\sum_n W_{mn}^{(k)} = 1.$$

**Example:** Isotropic Gaussian kernel of width  $\sigma_{\text{PSF}}$

$$h(\mathbf{u}) = \exp\left(-\frac{\|\mathbf{u}\|_2^2}{2\sigma_{\text{PSF}}^2}\right)$$

# Anatomy of the System Matrix

Properties and practical considerations:

- The system matrix  $\mathbf{W}^{(k)}$  consists of:
  - $N$  columns, where  $N$  denotes the number of high-resolution pixels,
  - $M$  rows, where  $M$  is the number of low-resolution pixels.→ This is infeasible to store for larger instances (e.g.,  $N = 1024^2$  and  $M = 512^2$ ).
- For a practical computation, we approximate  $\mathbf{W}^{(k)}$  as **sparse matrix** by assuming a narrow kernel  $h(\mathbf{u})$ :

$$W_{mn}^{(k)} := 0 \quad \text{if } \|\mathbf{v}_n - \mathbf{u}'_m\|_2 > d_{max},$$

e.g.,  $d_{max} = 3\sigma$  for isotropic Gaussian PSF.

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# Bayesian Formulation of Multi-Frame Super-Resolution

Definitions and nomenclature:

Let us assign probability distributions to the quantities of the image formation model:

- We model a high-resolution image with a prior distribution  $\mathbf{x} \sim p(\mathbf{x})$ .
- Similarly, we model a low-resolution image as random variable  $\mathbf{y}^{(k)} \sim p(\mathbf{y}^{(k)})$ .

According to Bayes rule we obtain the posterior distribution:

$$p(\mathbf{x} | \mathbf{y}) = p(\mathbf{x} | \mathbf{y}^{(1)} \dots \mathbf{y}^{(K)}) = \frac{p(\mathbf{x}) \cdot p(\mathbf{y}^{(1)} \dots \mathbf{y}^{(K)} | \mathbf{x})}{p(\mathbf{y}^{(1)} \dots \mathbf{y}^{(K)})} = \frac{p(\mathbf{x}) \cdot p(\mathbf{y} | \mathbf{x})}{p(\mathbf{y})},$$

under the assumption of independent and identically distributed (i.i.d.) observations  $\mathbf{y}$ .

# Maximum Likelihood Estimation

Derivation of the log-likelihood:

- For maximum likelihood (ML) estimation,  $\mathbf{x}$  is assumed to be uniformly distributed (no prior available).
- The negative log-likelihood under this assumption is given by:

$$L(\mathbf{x}, \mathbf{y}^{(1)} \dots \mathbf{y}^{(K)}) = -\log p(\mathbf{y}^{(1)} \dots \mathbf{y}^{(K)} | \mathbf{x}).$$

$p(\mathbf{y}^{(1)} \dots \mathbf{y}^{(K)} | \mathbf{x})$  is referred to as the Bayesian observation model.

- Reconstruct  $\mathbf{x}$  that explains  $\mathbf{y}$  best:

$$\hat{\mathbf{x}}_{ML} = \arg \max_{\mathbf{x}} p(\mathbf{y} | \mathbf{x}) = \arg \min_{\mathbf{x}} L(\mathbf{x}, \mathbf{y}^{(1)} \dots \mathbf{y}^{(K)}).$$

# Maximum Likelihood Estimation

Definition of the observation model:

Let  $\varepsilon \sim N(0, \sigma^2 \mathbf{I})$  be spatially uncorrelated, additive Gaussian noise:

$$p(\mathbf{y}^{(k)} | \mathbf{x}) = \left( \frac{1}{2\pi\sigma} \right)^{\frac{M}{2}} \exp \left( -\frac{\|\mathbf{y}^{(k)} - \mathbf{W}^{(k)}\mathbf{x}\|_2^2}{2\sigma^2} \right).$$

Using the observation model  $p(\mathbf{y}^{(k)} | \mathbf{x})$ , ML estimation is equivalent to the energy minimization:

$$\hat{\mathbf{x}}_{ML} = \arg \min_{\mathbf{x}} \sum_{k=1}^K \|\mathbf{y}^{(k)} - \mathbf{W}^{(k)}\mathbf{x}\|_2^2 = \arg \min_{\mathbf{x}} \|\mathbf{y} - \mathbf{W}\mathbf{x}\|_2^2.$$

# Numerical Optimization

Optimization of the log-likelihood:

- **Closed-form solution:** Solve for  $\hat{\mathbf{x}}_{ML}$  using the pseudoinverse  $\mathbf{W}^+$ :

$$\hat{\mathbf{x}}_{ML} = \mathbf{W}^+ \mathbf{y}.$$

For a large system  $\mathbf{W}$ , it is not feasible to compute  $\mathbf{W}^+$  directly.

- **Iterative numerical optimization** to determine  $\hat{\mathbf{x}}_{ML}$  from an initial guess  $\mathbf{x}^0$ :

- Gradient descent iterations:  $\mathbf{x}^{t+1} = \mathbf{x}^t + \alpha^t \cdot \mathbf{p}^t$
- Calculation of the search direction  $\mathbf{p}^t$  according to steepest descent:

$$\mathbf{p}^t = \nabla_{\mathbf{x}} ||\mathbf{y} - \mathbf{Wx}||_2^2 = -2\mathbf{W}^\top (\mathbf{y} - \mathbf{Wx})$$

→ Different strategies available to compute  $\mathbf{p}^t$

- Calculation of  $\alpha^t$  by line search or use of constant step size ( $\alpha^t = \alpha$ )

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- The multiframe super-resolution problem can be stated as an inverse problem and yields a system matrix after discretization of the image formation model.
- The system matrix is normally quite large, so that sparsity assumptions are made.
- One possibility to solve the inverse problem is maximum likelihood estimation where high- and low-resolution images are regarded as probability distributions.

## Further Readings

Theory of image super-resolution (books and review articles):

- Hayit Greenspan. “Super-Resolution in Medical Imaging”. In: *The Computer Journal* 52.1 (Feb. 2008), pp. 43–63. DOI: [10.1093/comjnl/bxm075](https://doi.org/10.1093/comjnl/bxm075)
- Peyman Milanfar, ed. *Super-Resolution Imaging*. Digital Imaging and Computer Vision. CRC Press, 2011
- Sina Farsiu et al. “Advances and Challenges in Super-Resolution”. In: *International Journal of Imaging Systems and Technology* 14.2 (Aug. 2004), pp. 47–57. DOI: [10.1002/ima.20007](https://doi.org/10.1002/ima.20007)
- Sung Cheol Park, Min Kyu Park, and Moon Gi Kang. “Super-Resolution Image Reconstruction: A Technical Overview”. In: *IEEE Signal Processing Magazine* 20.3 (May 2003), pp. 21–36. DOI: [10.1109/MSP.2003.1203207](https://doi.org/10.1109/MSP.2003.1203207)

ML/MAP super-resolution:

- Lyndsey C. Pickup. “Machine Learning in Multi-frame Image Super-resolution”. PhD Thesis. Robotics Research Group, University of Oxford, 2007
- Michael Elad and Arie Feuer. “Restoration of a Single Superresolution Image from Several Blurred, Noisy, and Undersampled Measured Images”. In: *IEEE Transactions on Image Processing* 6.12 (Dec. 1997), pp. 1646–1658. DOI: [10.1109/83.650118](https://doi.org/10.1109/83.650118)

# Medical Image Processing for Interventional Applications

## Super-Resolution: Regularization

Online Course – Unit 23

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# Topics

Maximum A Posteriori Estimation

Image Priors

Summary

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# Super-Resolution and Ill-posed Problems

ML estimation on a synthetic example:

- Super-resolution is an **ill-posed** problem  
→ ML reconstruction might lead to unstable solutions with amplified noise.



Figure 1: Example for insufficient result from ML estimation

# Super-Resolution and Ill-posed Problems

ML estimation on a synthetic example:

- Super-resolution is an **ill-posed** problem  
→ ML reconstruction might lead to unstable solutions with amplified noise.
- **Way out:** Incorporate prior knowledge into super-resolution algorithm.



Figure 1: Example for insufficient result from ML estimation

# Maximum A Posteriori Estimation

Extension of the ML estimation to MAP estimation:

- For maximum a posteriori (MAP) estimation, a suitable prior  $p(\mathbf{x})$  is used.

# Maximum A Posteriori Estimation

Extension of the ML estimation to MAP estimation:

- For maximum a posteriori (MAP) estimation, a suitable prior  $p(\mathbf{x})$  is used.
- According to Bayes formula, we have:

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- For additive Gaussian noise, MAP estimation is equivalent to:

$$\hat{\mathbf{x}}_{MAP} = \arg \min_{\mathbf{x}} \sum_{k=1}^K \left\| \mathbf{y}^{(k)} - \mathbf{W}^{(k)} \mathbf{x} \right\|_2^2 - \log p(\mathbf{x}) = \arg \min_{\mathbf{x}} \|\mathbf{y} - \mathbf{Wx}\|_2^2 - \log p(\mathbf{x}).$$

# Topics

Maximum A Posteriori Estimation

Image Priors

Summary

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Further Readings

# Image Prior and Regularization Term

Mathematical framework for image priors in super-resolution:

- We define the prior distribution according to the exponential form:

$$p(\mathbf{x}) = \frac{1}{Z} \exp(-\lambda R(\mathbf{x})),$$

where  $Z$  is a normalization constant, and  $R(\mathbf{x})$  denotes a regularization term with regularization weight  $\lambda \geq 0$ .

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- The regularizer  $R(\mathbf{x})$  can be defined such that it penalizes large variations in  $\mathbf{x}$ .
- The regularization weight  $\lambda$  measures the impact of the prior:
  - $\lambda = 0$ : simple ML estimation,
  - $\lambda \rightarrow \infty$ : estimation dominated by the prior distribution.

# Selected Priors for Super-Resolution

Gaussian prior (Tikhonov regularization):

- $p(\mathbf{x})$  is a normal distribution, i. e.,  $\mathbf{x} \sim N(0, \Sigma)$  and accordingly:

$$p(\mathbf{x}) = \frac{1}{(2\pi)^{N/2} |\Sigma|^{1/2}} \exp\left(-\frac{1}{2} \mathbf{x}^T \Sigma^{-1} \mathbf{x}\right).$$

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- This regularization favors smooth solutions for  $\mathbf{x}$ .
- It facilitates a closed-form solution, but does not preserve discontinuities.

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- It favors piecewise constant solutions for  $\mathbf{x}$  (edge preserving regularization).

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- It reduces "staircasing" artifacts which occur using the common TV prior.

# Selected Priors for Super-Resolution

Huber prior:

- $p(\mathbf{x})$  is modeled by the Huber loss function:

$$p(\mathbf{x}) \propto \exp \left( -\lambda \sum_{i=1}^N h_\tau([\mathbf{Qx}]_i) \right),$$

$$h_\tau(z) = \begin{cases} \frac{1}{2}z^2, & \text{if } |z| \leq \tau, \\ \tau(|z| - \frac{\tau}{2}), & \text{otherwise.} \end{cases}$$

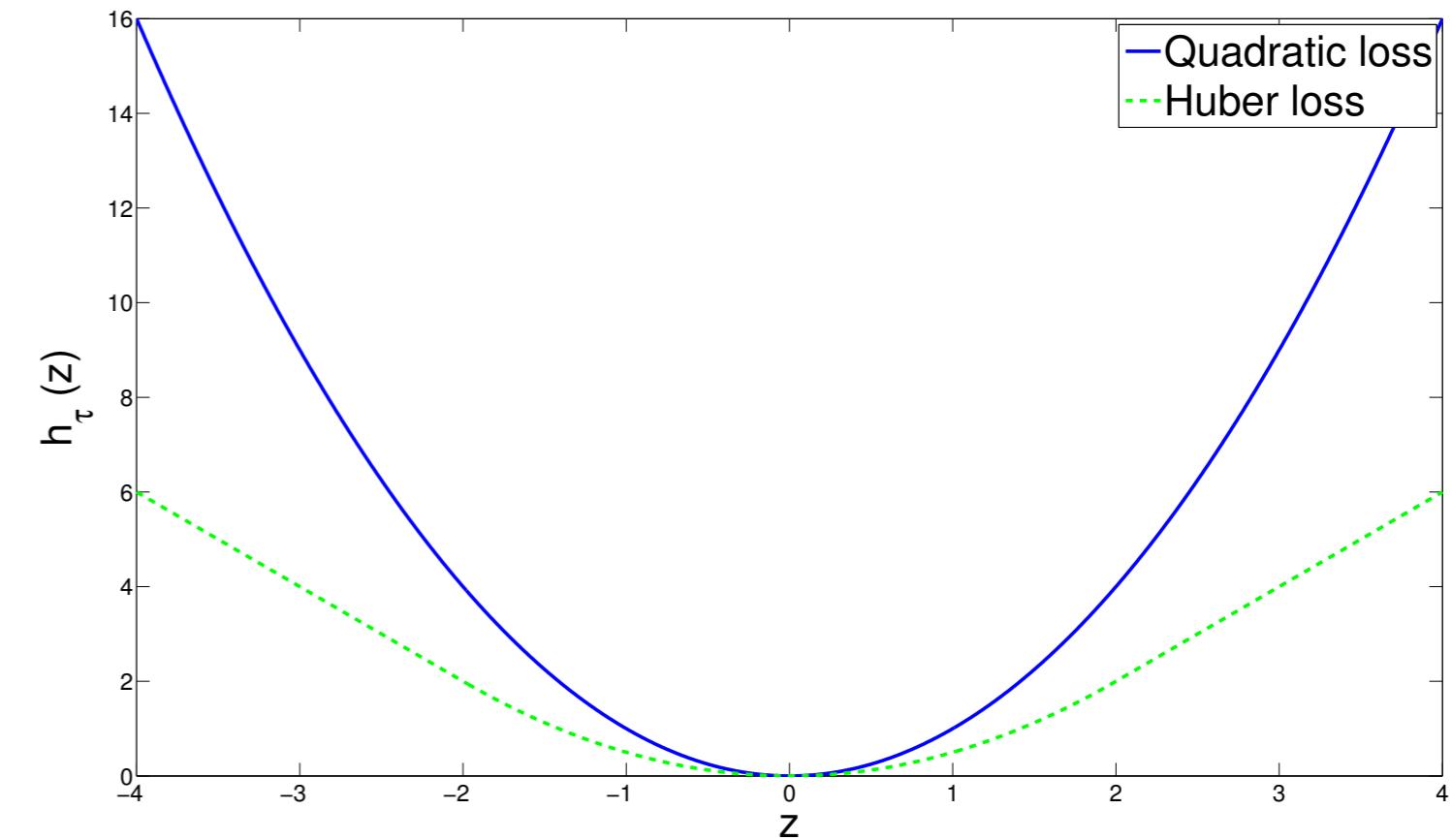


Figure 2: Comparison of quadratic loss and Huber loss

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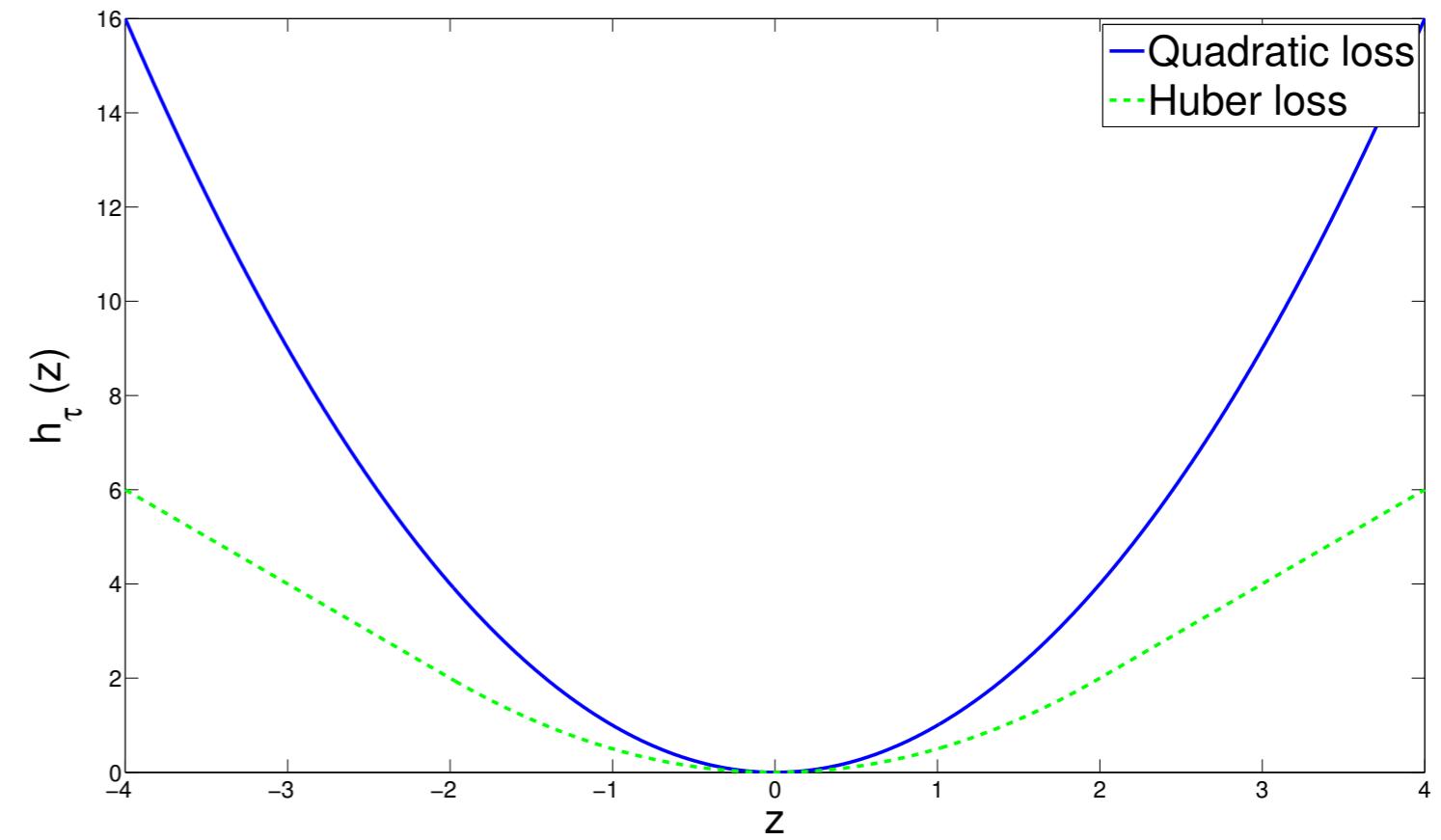


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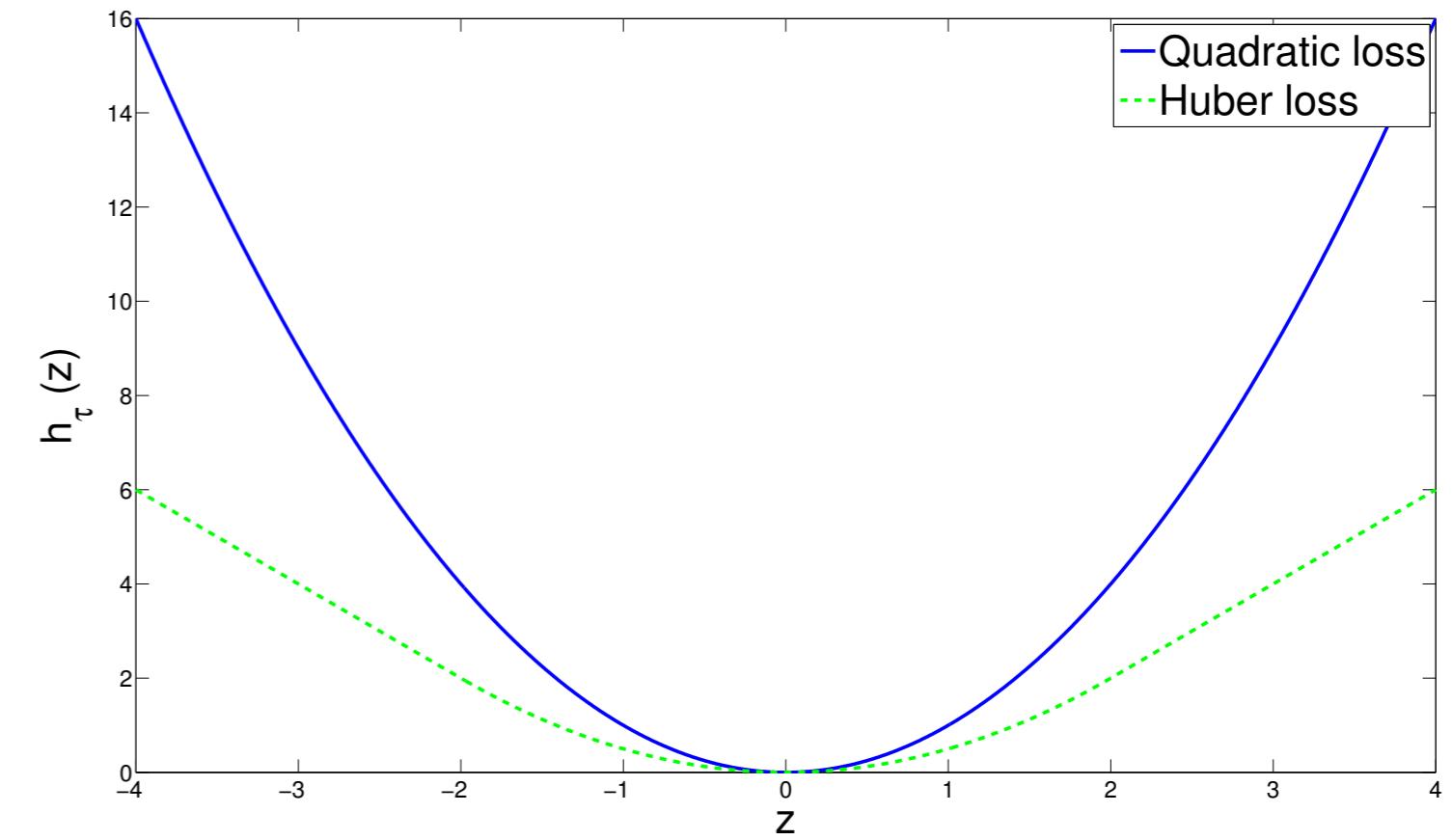


Figure 2: Comparison of quadratic loss and Huber loss

## ML and MAP Estimation: Discussion



Figure 3: Low-resolution frame (left), ML estimation (middle), MAP estimation with TV prior (right)

# Topics

Maximum A Posteriori Estimation

Image Priors

Summary

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Further Readings

# Take Home Messages

- Super-resolution is an ill-posed problem, so using regularization by utilizing prior knowledge is a good idea.
- We introduced several regularizers: Tikhonov, TV, BTV, and the edge-preserving approach using the Huber loss function.
- Regularization needs extra thought when implementing, but it is an important method to achieve adequate image quality.

## Further Readings

Theory of image super-resolution (books and review articles):

- Hayit Greenspan. “Super-Resolution in Medical Imaging”. In: *The Computer Journal* 52.1 (Feb. 2008), pp. 43–63. DOI: [10.1093/comjnl/bxm075](https://doi.org/10.1093/comjnl/bxm075)
- Peyman Milanfar, ed. *Super-Resolution Imaging*. Digital Imaging and Computer Vision. CRC Press, 2011
- Sina Farsiu et al. “Advances and Challenges in Super-Resolution”. In: *International Journal of Imaging Systems and Technology* 14.2 (Aug. 2004), pp. 47–57. DOI: [10.1002/ima.20007](https://doi.org/10.1002/ima.20007)
- Sung Cheol Park, Min Kyu Park, and Moon Gi Kang. “Super-Resolution Image Reconstruction: A Technical Overview”. In: *IEEE Signal Processing Magazine* 20.3 (May 2003), pp. 21–36. DOI: [10.1109/MSP.2003.1203207](https://doi.org/10.1109/MSP.2003.1203207)

ML/MAP super-resolution:

- Lyndsey C. Pickup. “Machine Learning in Multi-frame Image Super-resolution”. PhD Thesis. Robotics Research Group, University of Oxford, 2007
- Michael Elad and Arie Feuer. “Restoration of a Single Superresolution Image from Several Blurred, Noisy, and Undersampled Measured Images”. In: *IEEE Transactions on Image Processing* 6.12 (Dec. 1997), pp. 1646–1658. DOI: [10.1109/83.650118](https://doi.org/10.1109/83.650118)

# Medical Image Processing for Interventional Applications

## Super-Resolution: Applications

Online Course – Unit 24

Andreas Maier, Thomas Köhler, Frank Schebesch  
Pattern Recognition Lab (CS 5)

# Topics

Retinal Fundus Video Imaging

Hybrid 3-D Endoscopy

Summary

Take Home Messages

Further Readings

# Retinal Fundus Video Imaging

- Fundus imaging is a standard technique to acquire **photographs** of the human eye background.
- Fundus video imaging enables the observation of fast temporal changes on the retina.
- Video frames have a lower spatial resolution and signal-to-noise ratio (compared to common fundus cameras).

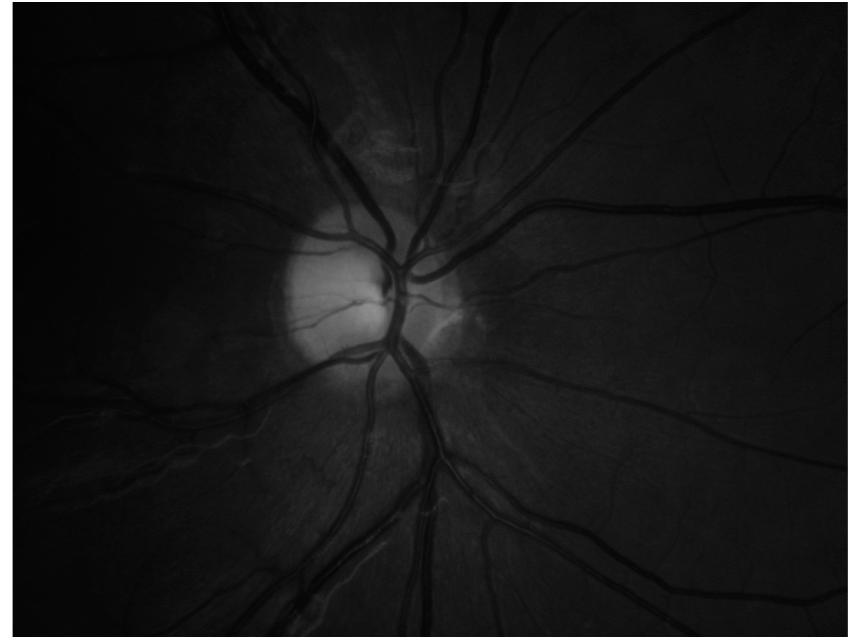
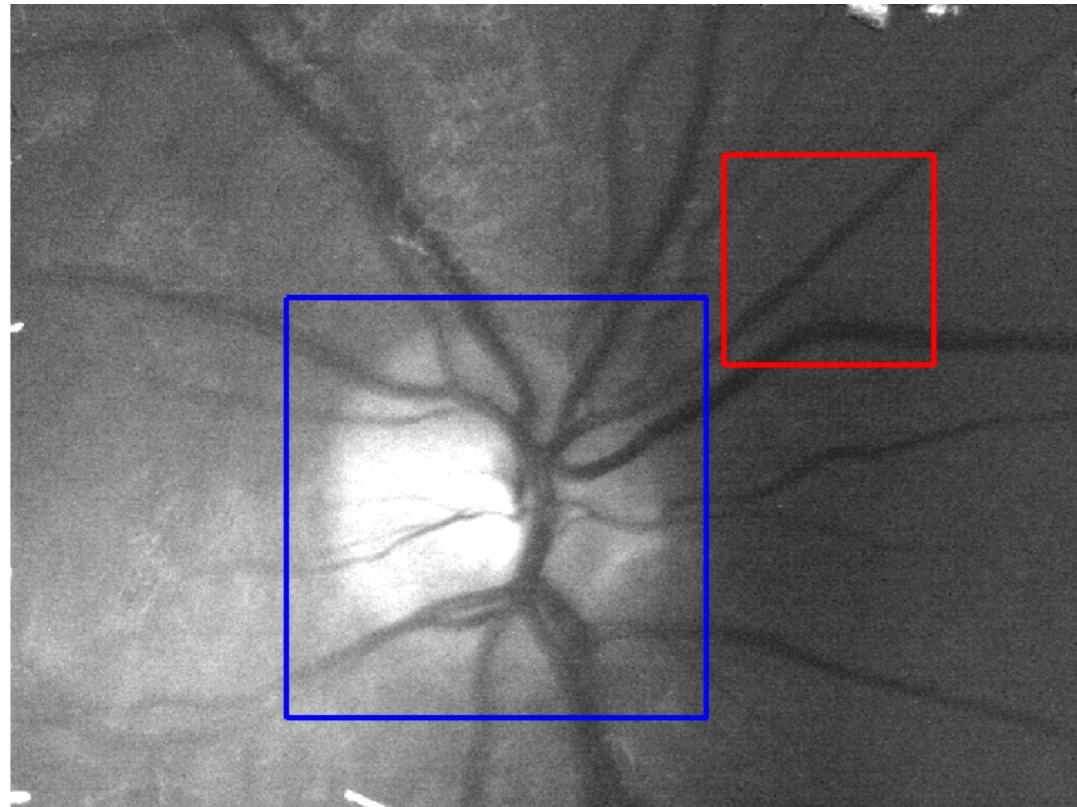


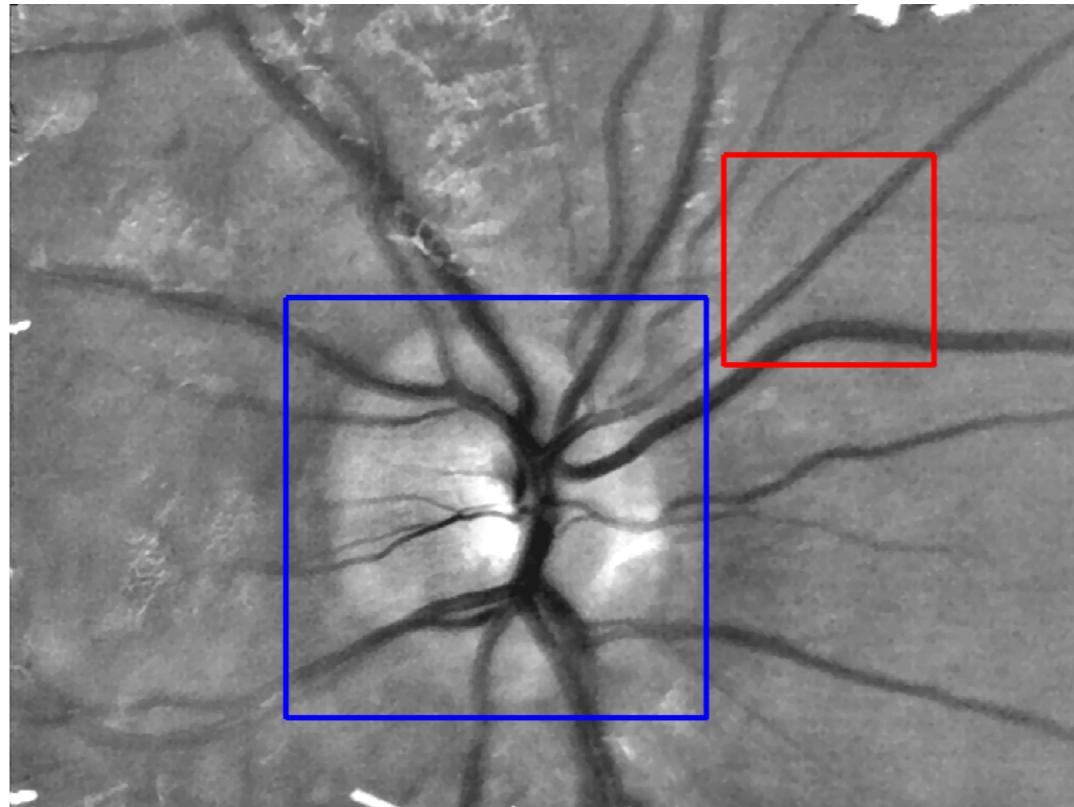
Figure 1: Fundus images

# (Low-cost) Fundus Video vs. Kowa Nonmyd Camera

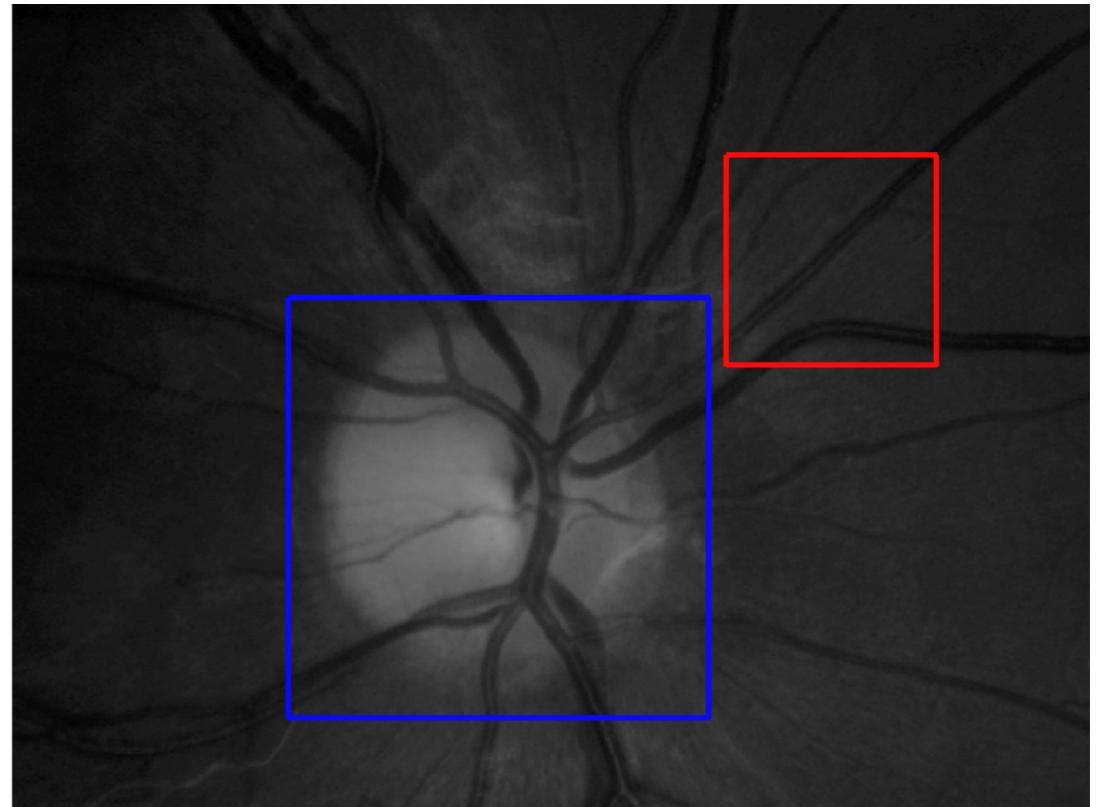
We utilize super-resolution to reconstruct high-resolution fundus images:



LR frame



SR image



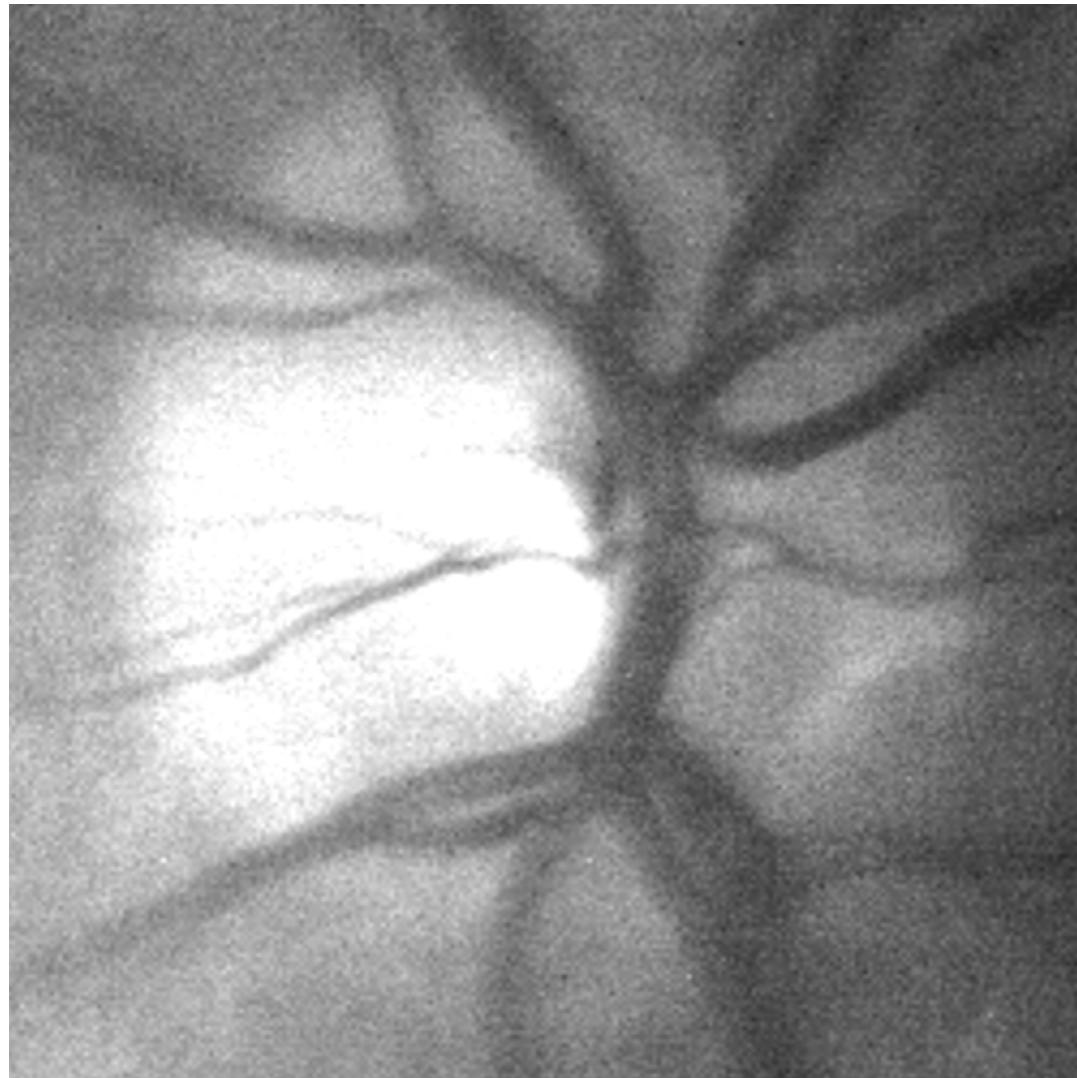
Kowa nonmyd

Super-resolution approach (Köhler et al., 2014):

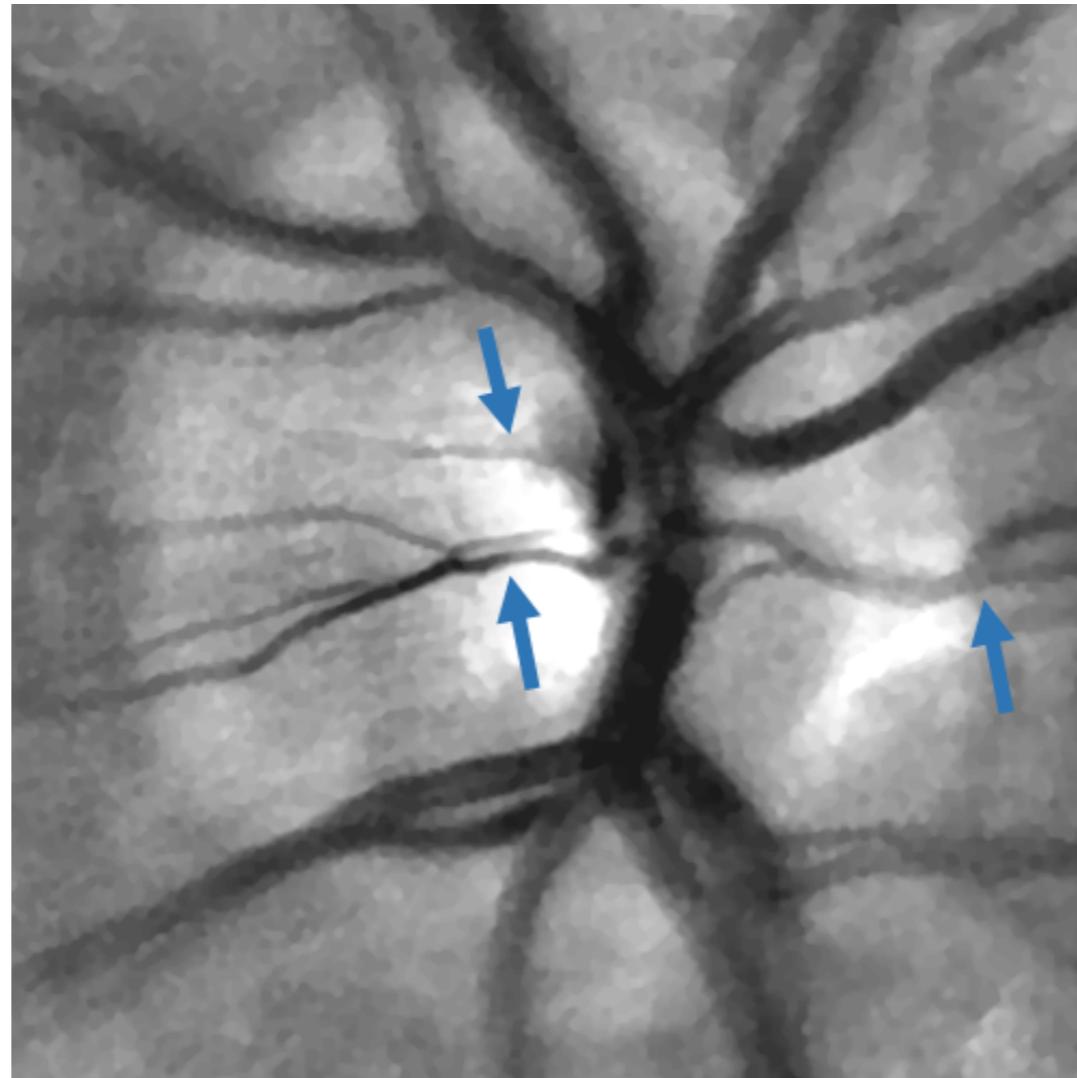
- Enhance spatial resolution and signal-to-noise ratio
- Correct inhomogeneous and temporally varying illumination

# Analysis of the Optic Nerve Head

(Low-cost) video imaging vs. Kowa nonmyd camera (Köhler et al., 2014):



LR frame



SR image



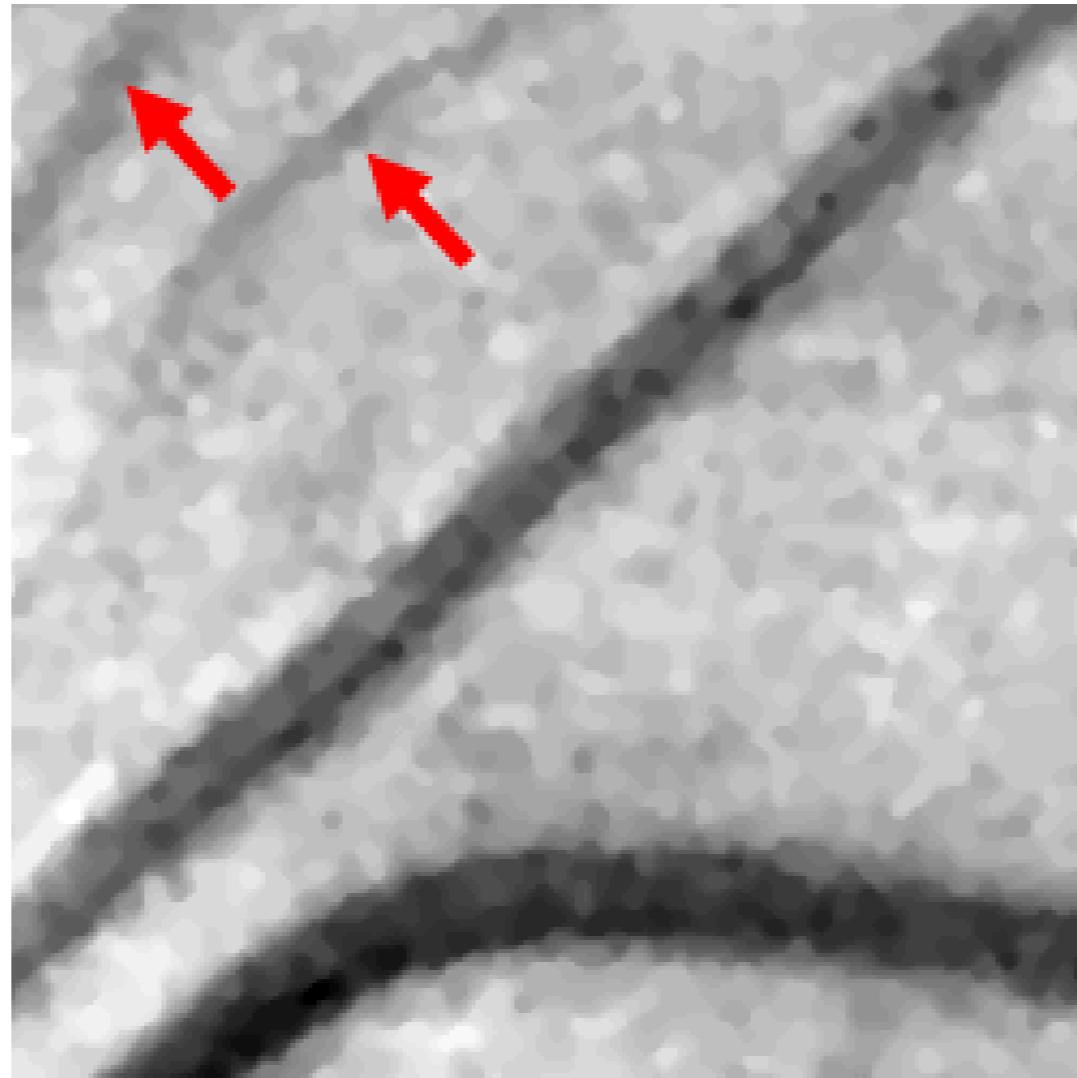
Kowa nonmyd

# Analysis of Retinal Blood Vessels

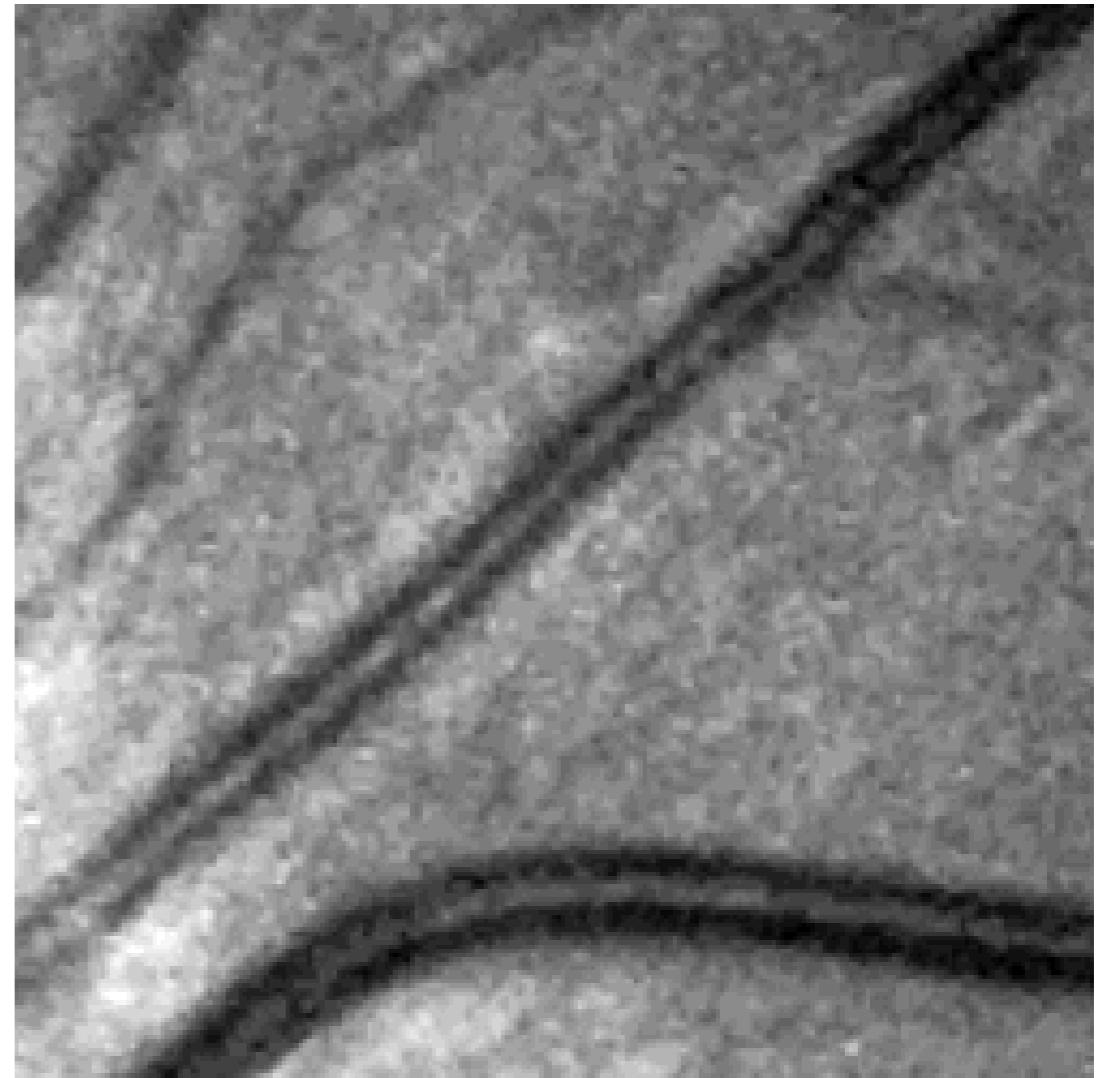
(Low-cost) video imaging vs. Kowa nonmyd camera (Köhler et al., 2014):



LR frame



SR image



Kowa nonmyd

# Topics

Retinal Fundus Video Imaging

Hybrid 3-D Endoscopy

Summary

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Further Readings

# Hybrid 3-D Endoscopy

- **Sensor fusion** of photometric (RGB) and 3-D range data (e.g., time-of-flight, structured light) in one endoscope ([Haase et al., 2013](#))
- Exploit information of **complementary modalities**
- Restoration of low-resolution range data by means of super-resolution guided by photometric data  
→ **Multi-sensor super-resolution**

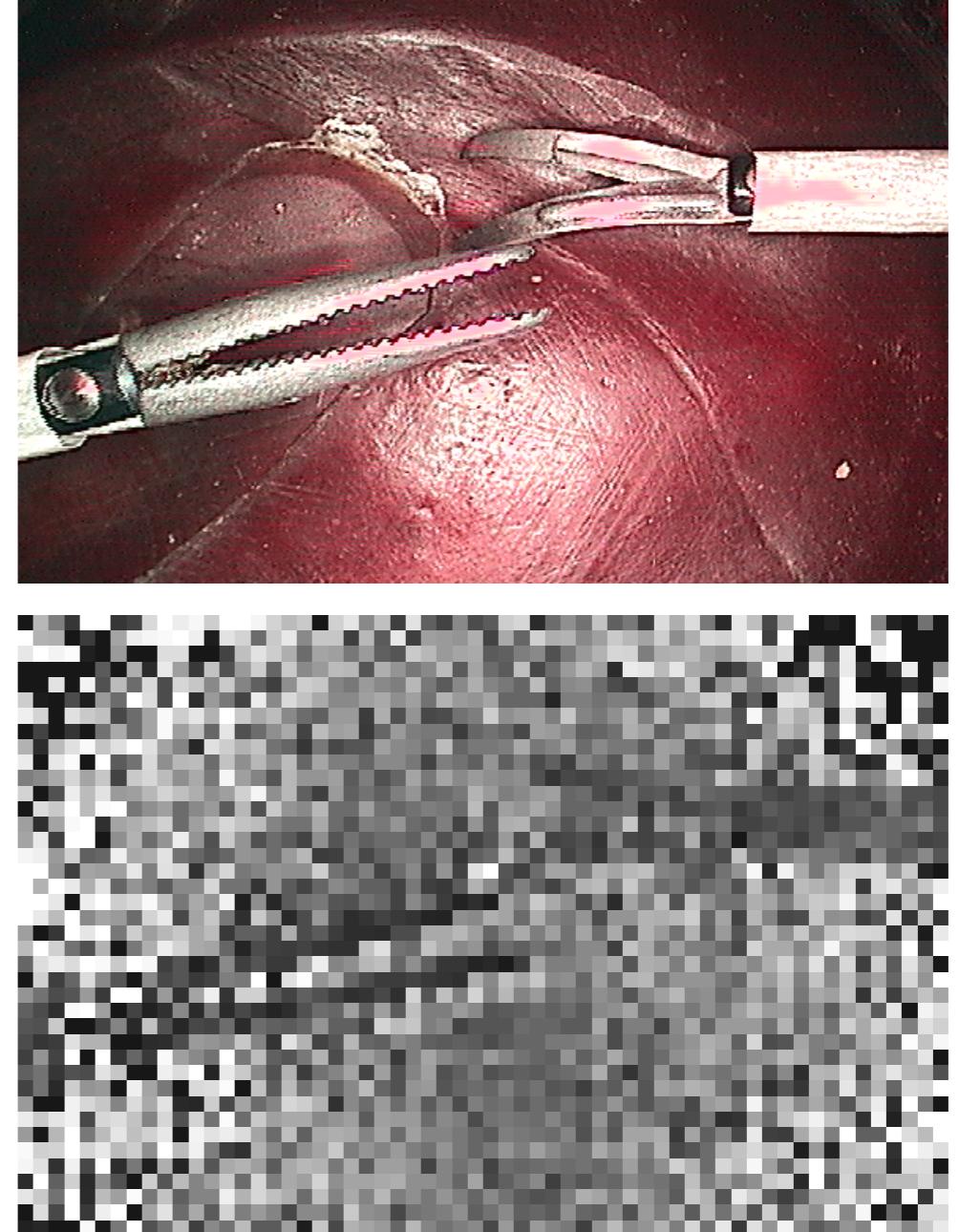


Figure 5: RGB (top) and time-of-flight (ToF) data (bottom)

# Multi-Sensor Super-Resolution

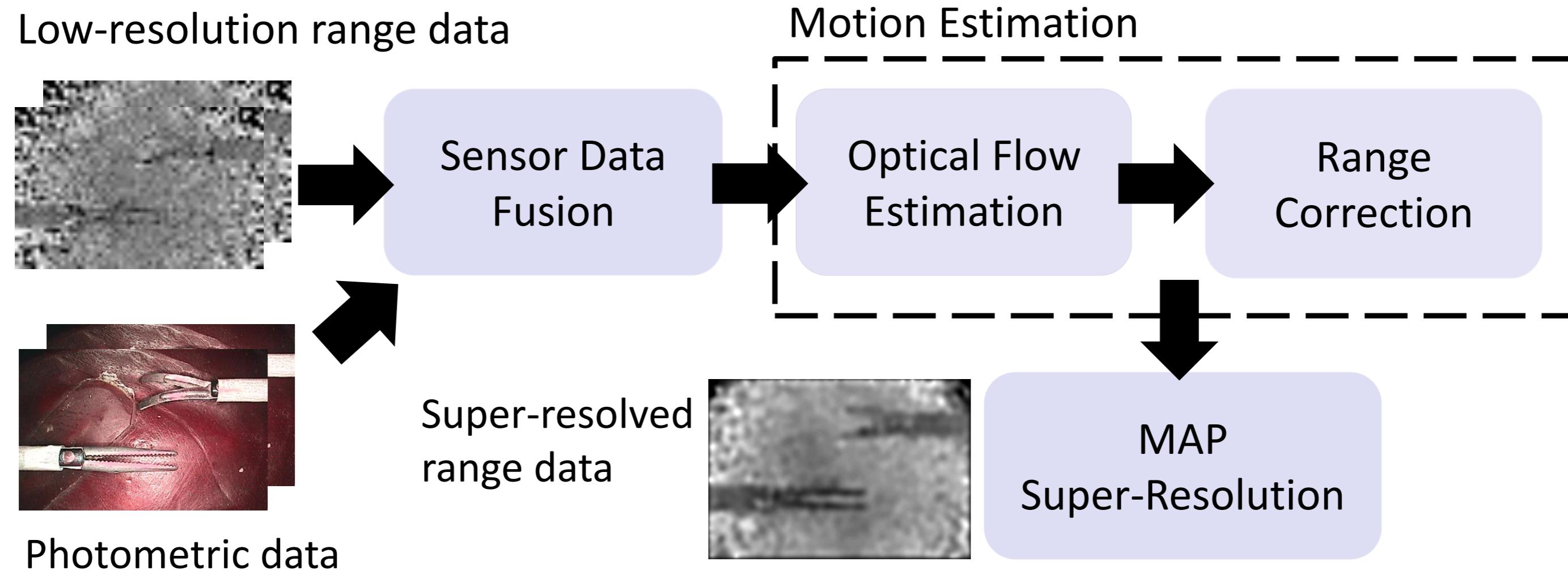
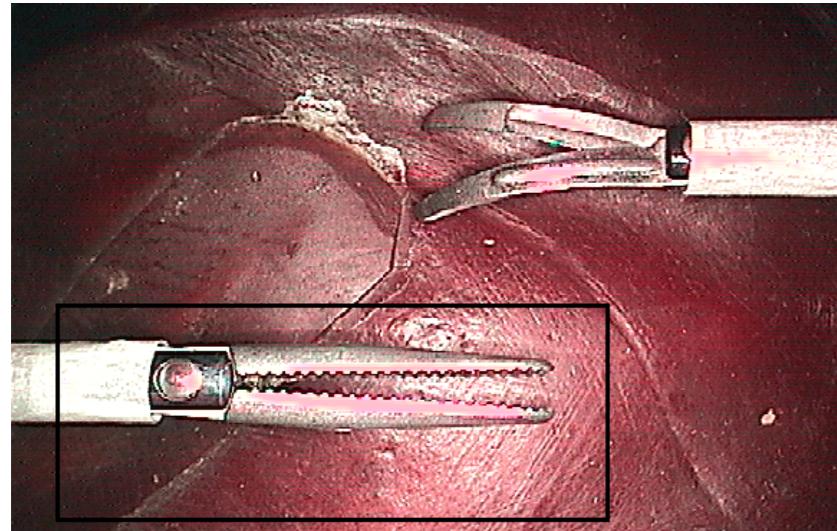


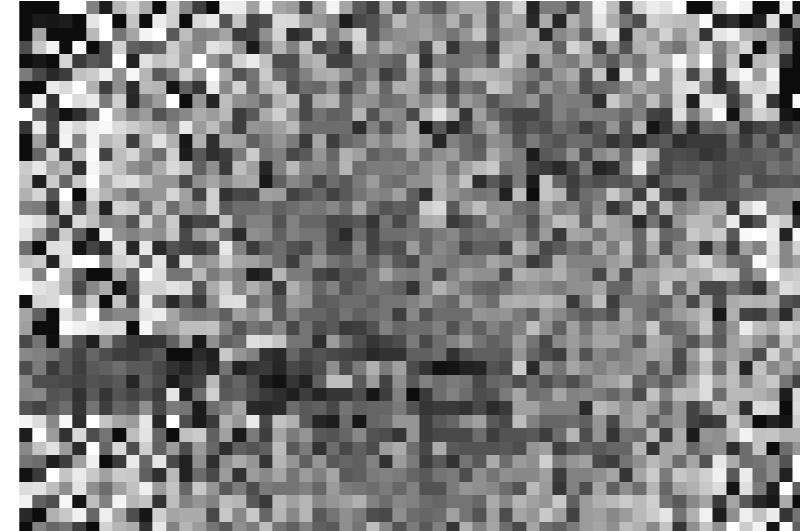
Figure 6: Flowchart for multi-sensor super-resolution (Köhler et al., 2013)

- Robust motion estimation (optical flow) on photometric data
- MAP super-resolution for range data reconstruction

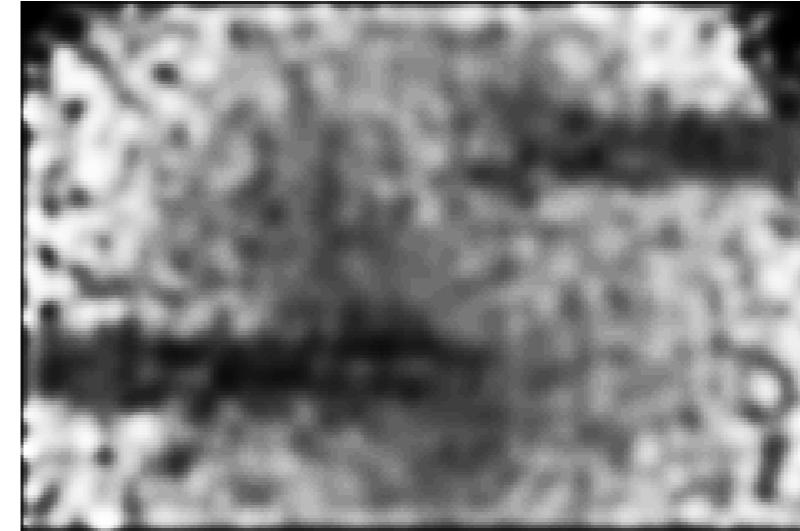
# Single-sensor (SSR) vs. multi-sensor super-resolution (MSR)



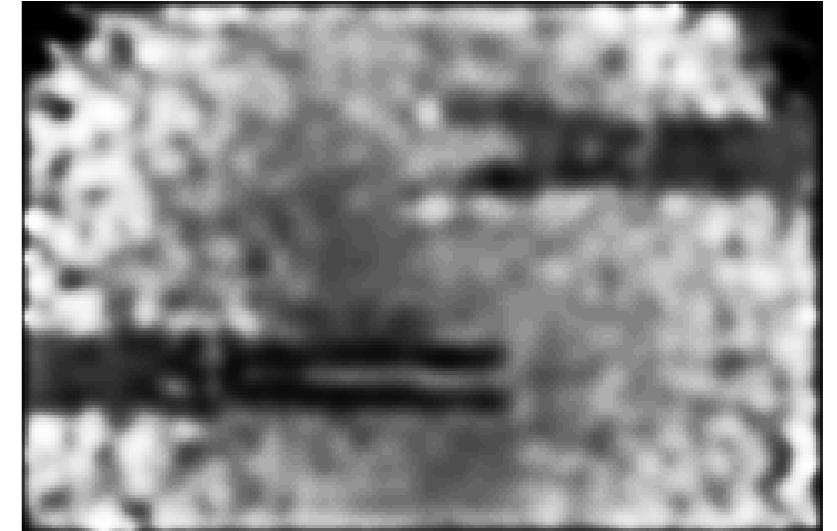
RGB image



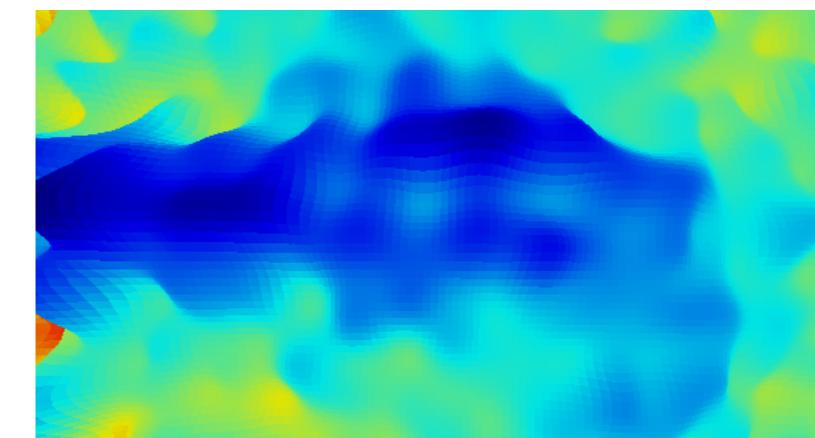
Range image



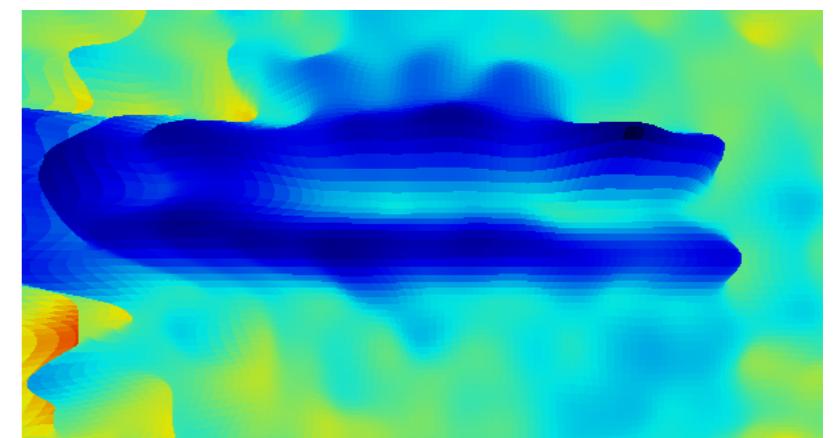
SSR



MSR



SSR (3-D mesh)



MSR (3-D mesh)

# Adaptive MSR

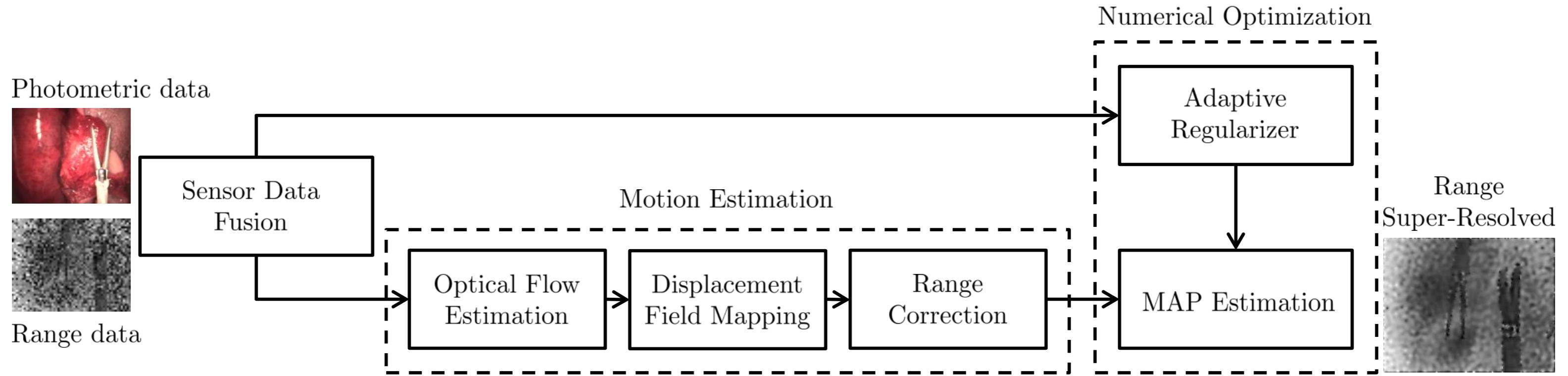
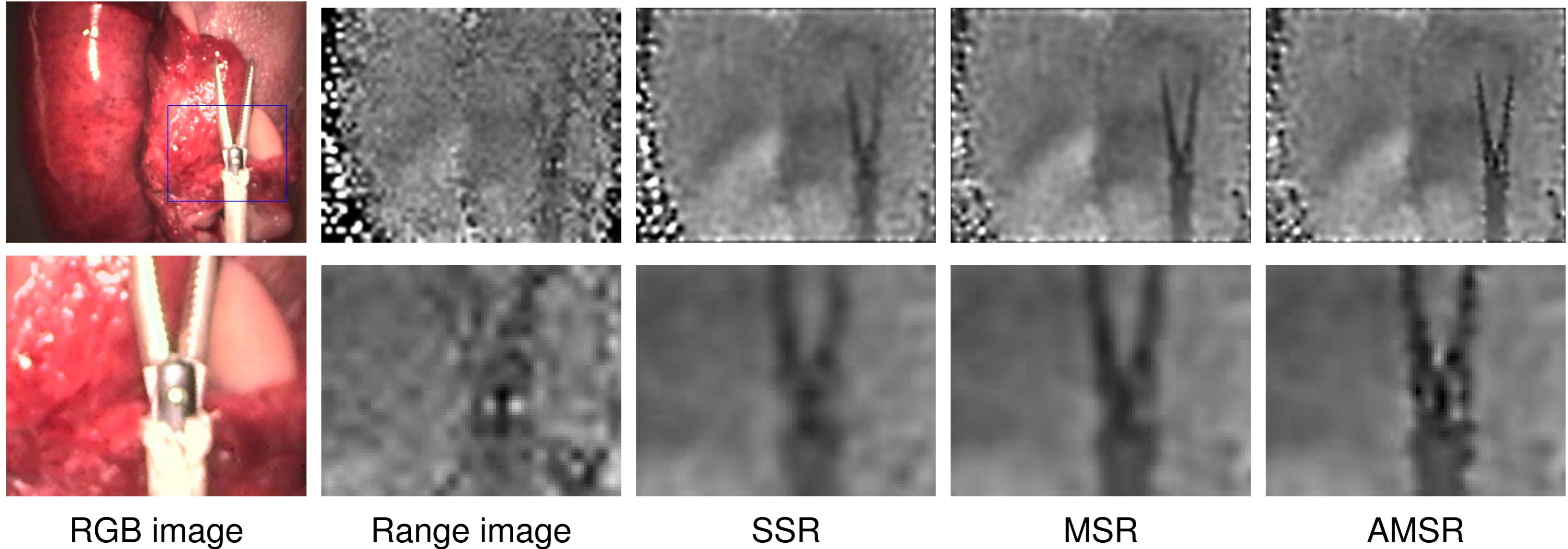


Figure 7: Extended flow chart including an adaptive scheme in the numerical optimizer (Köhler et al., 2015)

- Decrease the impact of the regularizer on edges
- Two-stage algorithm: first stage MSR, second stage spatially adaptive weighted regularization

# Comparison of Methods



RGB image

Range image

SSR

MSR

AMSR

# Topics

Retinal Fundus Video Imaging

Hybrid 3-D Endoscopy

## Summary

Take Home Messages

Further Readings

## Take Home Messages

- Super-resolution can improve image resolution in both applications from diagnostic as well as interventional imaging.
- Super-resolution algorithms are quite involved and there is a variety of approaches documented in literature.

# Further Readings

The shown methods are described in detail in the following articles:

- Sven Haase et al. “ToF/RGB Sensor Fusion for 3-D Endoscopy”. In: *Current Medical Imaging Reviews* 9.2 (2013), pp. 113–119. DOI: [10.2174/1573405611309020006](https://doi.org/10.2174/1573405611309020006)
- Thomas Köhler et al. “ToF Meets RGB: Novel Multi-Sensor Super-Resolution for Hybrid 3-D Endoscopy”. In: *Medical Image Computing and Computer-Assisted Intervention – MICCAI 2013: 16th International Conference, Nagoya, Japan, September 22-26, 2013, Proceedings, Part I*. ed. by Kensaku Mori et al. Vol. 8149. Lecture Notes in Computer Science. Berlin, Heidelberg: Springer, 2013, pp. 139–146. DOI: [10.1007/978-3-642-40811-3\\_18](https://doi.org/10.1007/978-3-642-40811-3_18)
- Thomas Köhler et al. “Multi-frame Super-resolution with Quality Self-assessment for Retinal Fundus Videos”. In: *Medical Image Computing and Computer-Assisted Intervention – MICCAI 2014: 17th International Conference, Boston, MA, USA, September 14-18, 2014, Proceedings, Part I*. ed. by Polina Golland et al. Vol. 8673. Lecture Notes in Computer Science. Cham: Springer International Publishing, 2014, pp. 650–657. DOI: [10.1007/978-3-319-10404-1\\_81](https://doi.org/10.1007/978-3-319-10404-1_81)
- Thomas Köhler et al. “Multi-Sensor Super-Resolution for Hybrid Range Imaging with Application to 3-D Endoscopy and Open Surgery”. In: *Medical Image Analysis* 24.1 (Aug. 2015), pp. 220–234. DOI: [10.1016/j.media.2015.06.011](https://doi.org/10.1016/j.media.2015.06.011)