# Artificial Intelligence

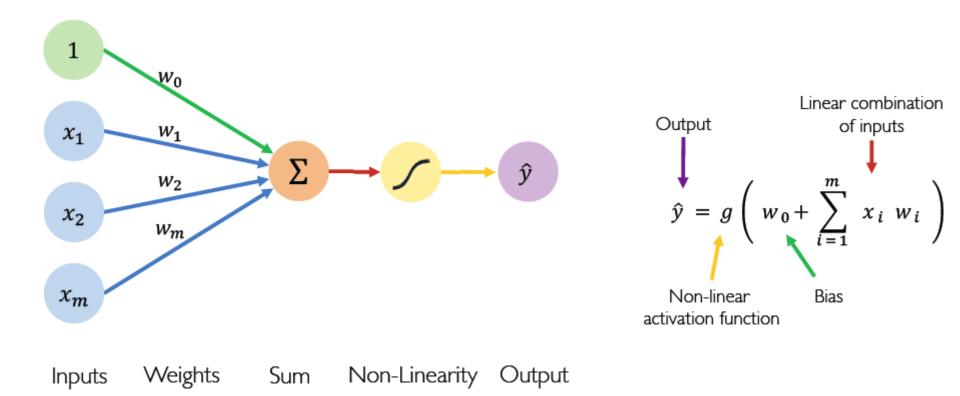
# Lecture 11: Deep Learning I

Xiaojin Gong 2022-05-16

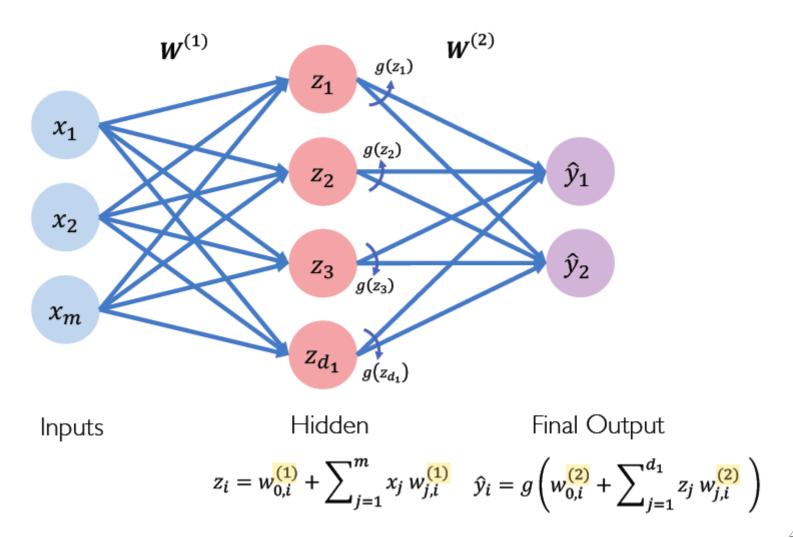
# **Outline**

- Convolutional Neural Networks
- Recurrent Neural Networks
- Autoencoder
- Generative Adversarial Networks

# **Review: Perceptron**



# **Review: ANN**



# **Review: ANN**

$$x$$
  $w_1$   $w_2$   $\hat{y}$   $J(W)$ 

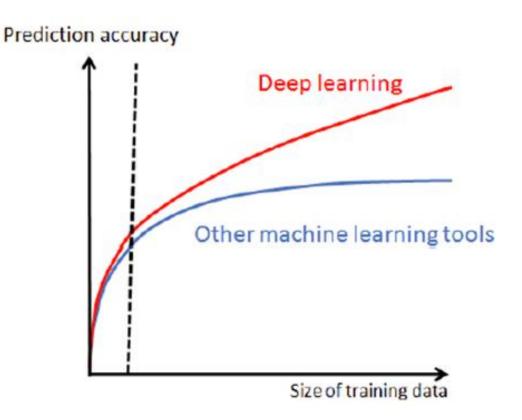
$$\frac{\partial J(\mathbf{W})}{\partial w_2} = \frac{\partial J(\mathbf{W})}{\partial \hat{y}} * \frac{\partial \hat{y}}{\partial w_2}$$

$$\frac{\partial J(\boldsymbol{W})}{\partial w_1} = \frac{\partial J(\boldsymbol{W})}{\partial \hat{y}} * \frac{\partial \hat{y}}{\partial z_1} * \frac{\partial z_1}{\partial w_1}$$

Repeat this for **every weight in the network** using gradients from later layers

# **Deep Learning**

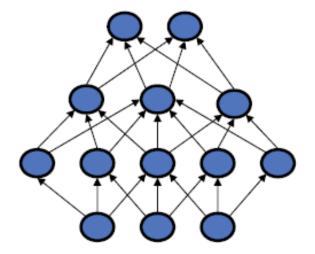
- Machine learning with small data:
  - Overfitting, reducing model complexity
- Machine learning with big data:
  - Underfitting, increasing model complexity



Neural network
Back propagation
Nature

D. E. Rumelhart, G. E. Hinton, and R. J. Williams. Learning representations by backpropagation errors. Nature, 1986.

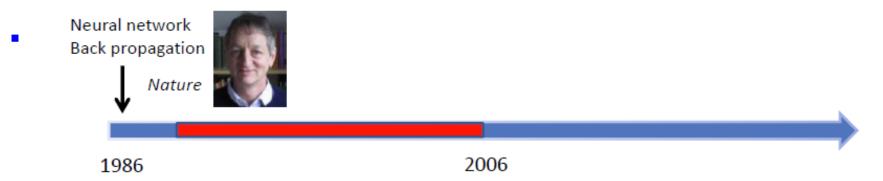
1986



- Solve general learning problems
- Tied with biological system

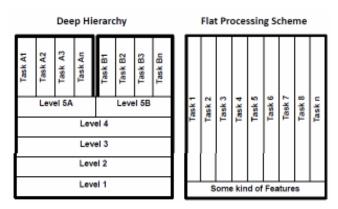
But it is given up...

- Hard to train
- Insufficient computational resources
- · Small training sets
- Does not work well

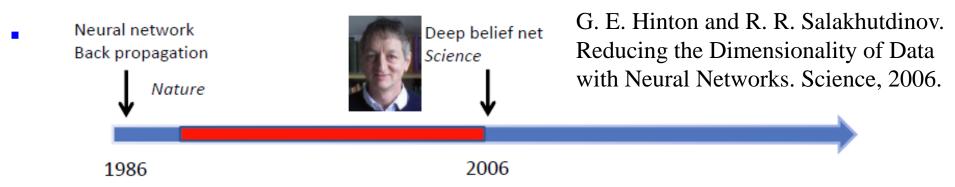


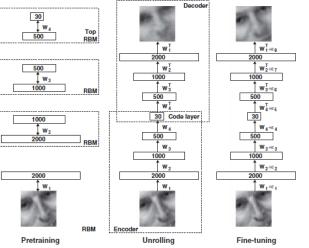
- SVM
- Boosting
- Decision tree
- KNN
- ...

- Flat structures
- Loose tie with biological systems
- Specific methods for specific tasks
  - Hand crafted features (GMM-HMM, SIFT, LBP, HOG)



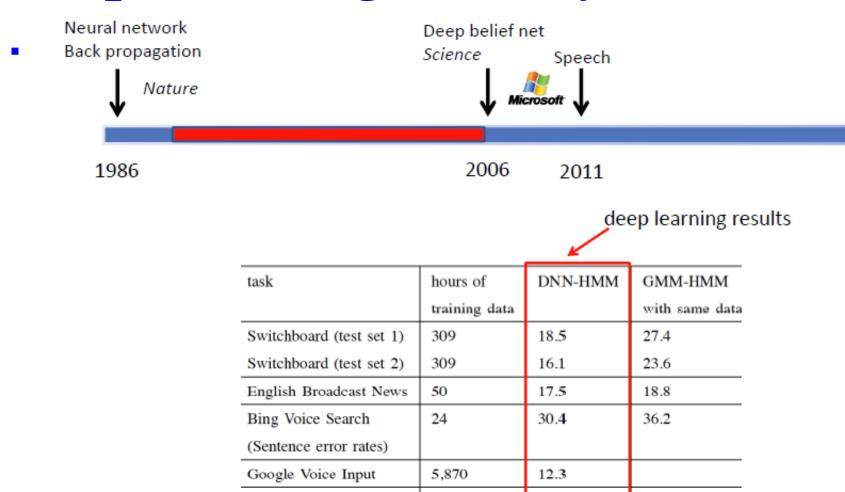
Kruger et al. TPAMI'13





- Unsupervised & Layer-wised pre-training
- Better designs for modeling and training (normalization, nonlinearity, dropout)
- New development of computer architectures
  - GPU
  - Multi-core computer systems
- Large scale databases

Big Data!



### **Deep Networks Advance State of Art in Speech**

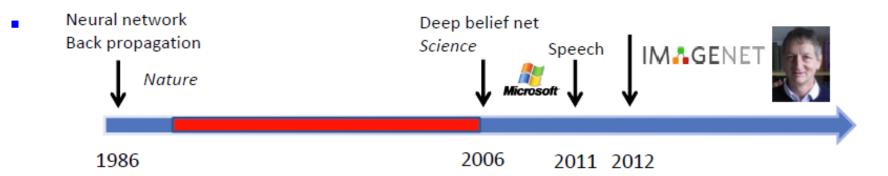
1,400

47.6

52.3



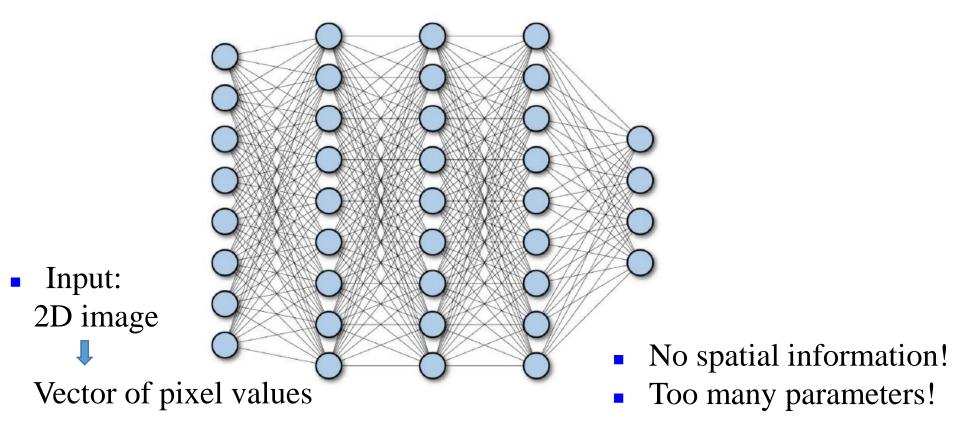
Youtube



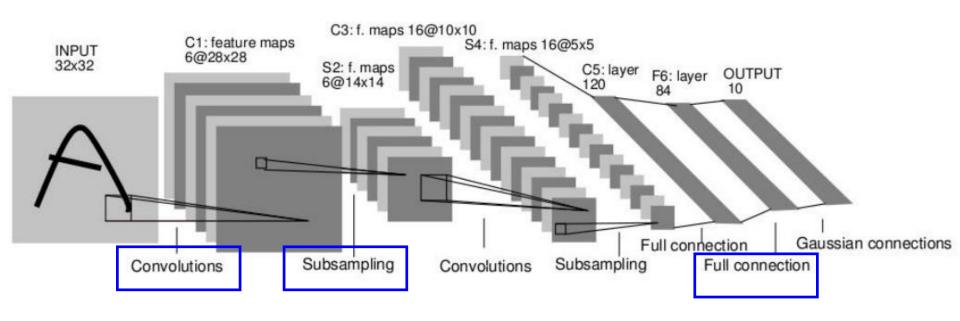
Rank	Name	Error rate	Description
1	U. Toronto	0.15315	Deep learning
2	U. Tokyo	0.26172	Hand-crafted
3	U. Oxford	0.26979	features and
4	Xerox/INRIA	0.27058	learning models. Bottleneck.

Object recognition over 1,000,000 images and 1,000 categories (2 GPU)

• Fully connected neural network

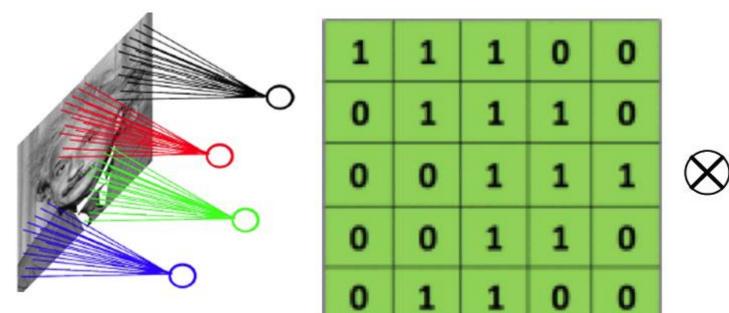


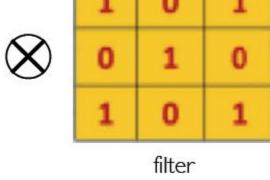
#### LeNet-5



Y. Lecun, et al. Gradient-Based Learning Applied to Document Recognition, Proc. IEEE 86(11): 2278–2324, 1998.

The convolutional operation





• The convolutional operation

1,	1,0	1,	0	0							
0,0	1,	1,0	1	0		1	0	1	4		
0,1	0,	1,	1	1	$\otimes$	0	1	0			
0	0	1	1	0		1	0	1			
0	1	1	0	0			filter		feat	ure r	nap

• The convolutional operation

1	1,	1,,	0,,1	0							
0	1,0	1,	1,0	0		1	0	1	4	3	
0	0,,1	1,,0	1,,1	1	$\otimes$	0	1	0			
0	0	1	1	0		1	0	1			
0	1	1	0	0			filter		feat	ture r	nap

The convolutional operation

1	1	1,	0,,0	0,,1							
0	1	1,0	1,	0,0		1	0	1	4	3	4
0	0	1,	1,0	1,	$\otimes$	0	1	0	17		
0	0	1	1	0		1	0	1			
0	1	1	0	0			filter		feat	ture r	nap

• The convolutional operation

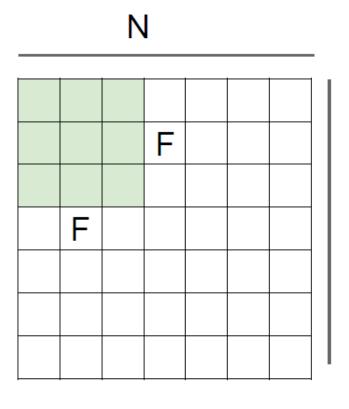
1	1	1	0	0							
0,1	1,0	1,1	1	0	1750	1	0	1	4	3	4
0,0	0,1	1,0	1	1	$\otimes$	0	1	0	2		
0,,	0,0	1,1	1	0		1	0	1			
0	1	1	0	0			filter		feat	ure r	nap

• The convolutional operation

1	1	1	0	0								
0	1	1	1	0		1	0	1		4	3	4
0	0	1,	1,,	1,	$\otimes$	0	1	0		2	4	3
0	0	1,0	1,	0.		1	0	1		2	3	4
0	1	1,	0,0	0,,1			filter		,	feat	ture r	nap

N

The convolutional operation

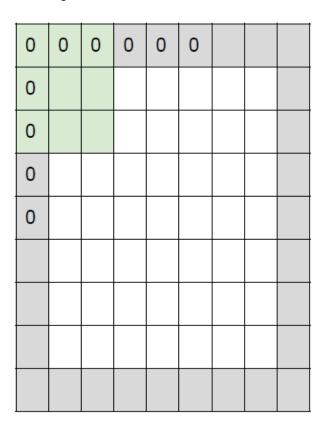


Output size: (N - F) / stride + 1

e.g. N = 7, F = 3:  
stride 1 => 
$$(7 - 3)/1 + 1 = 5$$
  
stride 2 =>  $(7 - 3)/2 + 1 = 3$   
stride 3 =>  $(7 - 3)/3 + 1 = 2.33$ :\

The convolutional layer

### In practice: Common to zero pad the border



e.g. input 7x7

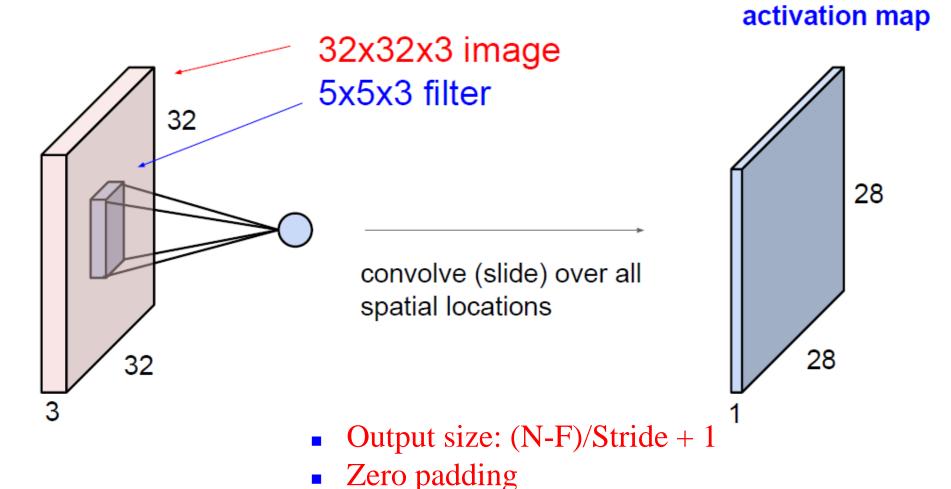
3x3 filter, applied with stride 1

pad with 1 pixel border => what is the output?

#### 7x7 output!

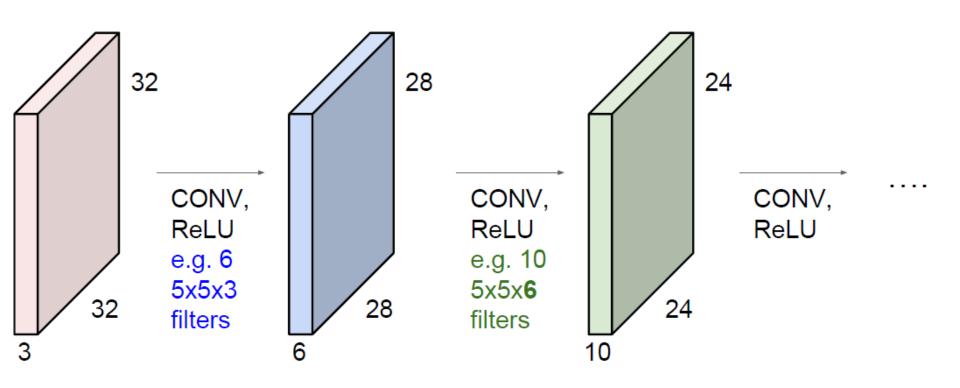
in general, common to see CONV layers with stride 1, filters of size FxF, and zero-padding with (F-1)/2. (will preserve size spatially)

The convolutional layer



Receptive field

The convolutional layer

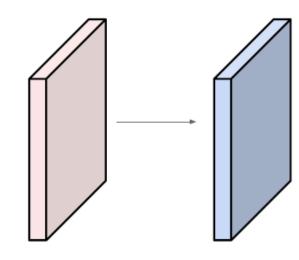


The convolutional layer

### Examples time:

Input volume: 32x32x3

10 5x5 filters with stride 1, pad 2



### Output volume size:

$$(32+2*2-5)/1+1 = 32$$
 spatially, so

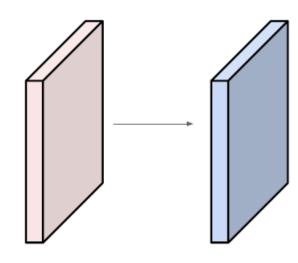
32x32x10

The convolutional layer

### Examples time:

Input volume: 32x32x3

10 5x5 filters with stride 1, pad 2



Number of parameters in this layer? each filter has 5\*5\*3 + 1 = 76 params (+1 for bias)

=> 76\*10 = **760** 

### The convolutional layer

#### Summary. To summarize, the Conv Layer:

- Accepts a volume of size  $W_1 imes H_1 imes D_1$
- · Requires four hyperparameters:
  - Number of filters K.
  - their spatial extent F,
  - the stride S.
  - the amount of zero padding P.
- Produces a volume of size  $W_2 imes H_2 imes D_2$  where:
  - $W_2 = (W_1 F + 2P)/S + 1$
  - $\circ H_2 = (H_1 F + 2P)/S + 1$  (i.e. width and height are computed equally by symmetry)
  - $D_2 = K$
- With parameter sharing, it introduces  $F \cdot F \cdot D_1$  weights per filter, for a total of  $(F \cdot F \cdot D_1) \cdot K$  weights and K biases.
- In the output volume, the d-th depth slice (of size  $W_2 imes H_2$ ) is the result of performing a valid convolution of the d-th filter over the input volume with a stride of S, and then offset by d-th bias.

The pooling layer

### Single depth slice

1	1	2	4
5	6	7	8
3	2	1	0
1	2	3	4

max pool with 2x2 filters and stride 2

6	8
3	4

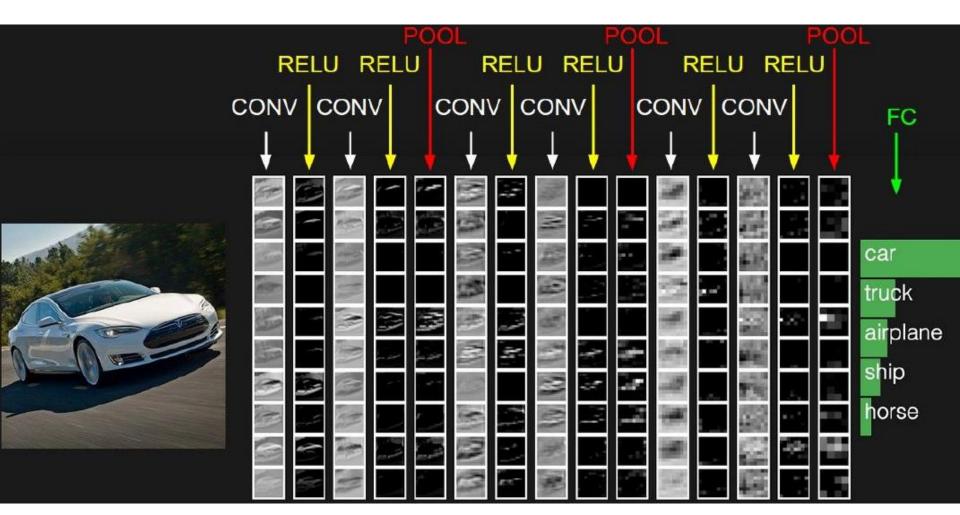
- Reduce dimensionality
- Preserve spatial variance
- Operates over each activation map independently

У

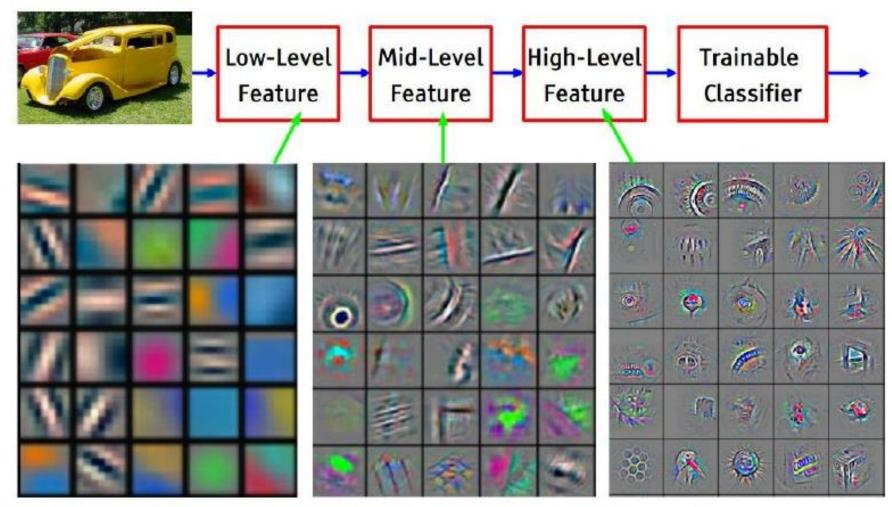
### The pooling layer

- Accepts a volume of size  $W_1 imes H_1 imes D_1$
- · Requires three hyperparameters:
  - their spatial extent F,
  - the stride S,
- Produces a volume of size  $W_2 \times H_2 \times D_2$  where:
  - $W_2 = (W_1 F)/S + 1$
  - $H_2 = (H_1 F)/S + 1$
  - $D_2 = D_1$
- Introduces zero parameters since it computes a fixed function of the input
- Note that it is not common to use zero-padding for Pooling layers

• Fully connected layer



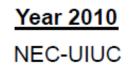
Feature visualization of CNN

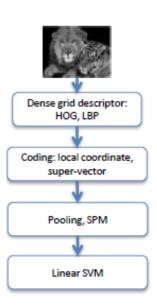


Feature visualization of convolutional net trained on ImageNet from [Zeiler & Fergus 2013]

JU

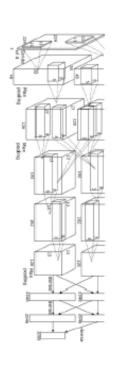
IM ♣GENET Large Scale Visual Recognition Challenge





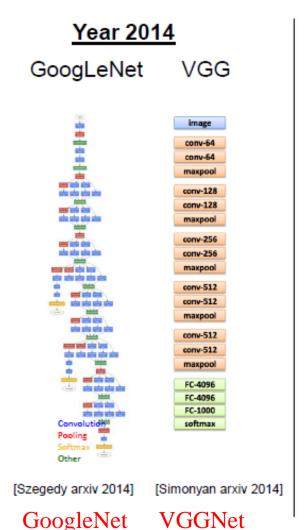
[Lin CVPR 2011]

Year 2012 SuperVision



[Krizhevsky NIPS 2012]

**AlexNet** 

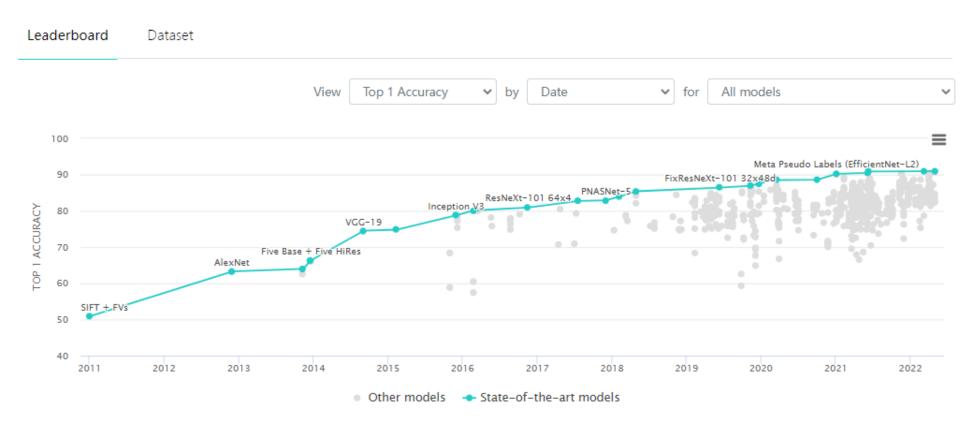


### Year 2015

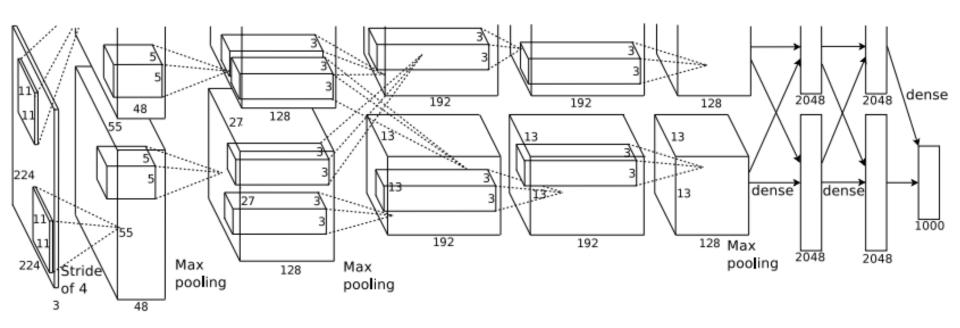




Image Classification on ImageNet

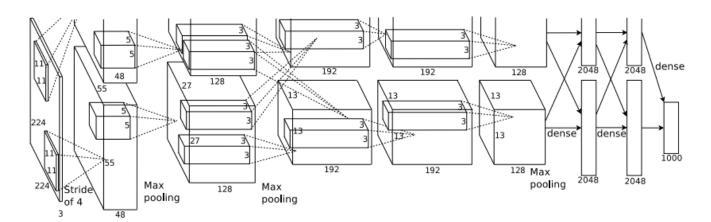


AlexNet - 8 layers



A. Krizhevsky, H. Sutskever, and G. E. Hinton, ImageNet Classification with Deep Convolutional Neural Networks, NIPS 2012.

#### AlexNet



Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] CONV1: 96 11x11 filters at stride 4, pad 0

[27x27x96] MAX POOL1: 3x3 filters at stride 2

[27x27x96] NORM1: Normalization layer

[27x27x256] CONV2: 256 5x5 filters at stride 1, pad 2

[13x13x256] MAX POOL2: 3x3 filters at stride 2

[13x13x256] NORM2: Normalization layer

[13x13x384] CONV3: 384 3x3 filters at stride 1, pad 1

[13x13x384] CONV4: 384 3x3 filters at stride 1, pad 1

[13x13x256] CONV5: 256 3x3 filters at stride 1, pad 1

[6x6x256] MAX POOL3: 3x3 filters at stride 2

[4096] FC6: 4096 neurons

[4096] FC7: 4096 neurons

[1000] FC8: 1000 neurons (class scores)

#### **Details/Retrospectives:**

- first use of ReLU
- used Norm layers (not common anymore)
- heavy data augmentation
- dropout 0.5
- batch size 128
- SGD Momentum 0.9
- Learning rate 1e-2, reduced by 10 manually when val accuracy plateaus
- L2 weight decay 5e-4
- 7 CNN ensemble: 18.2% -> 15.4%

#### VGGNet

		ConvNet C	onfiguration						
A	A-LRN	В	С	D	E				
11 weight	11 weight	13 weight	16 weight	16 weight	19 weight				
layers	layers	layers	layers	layers	layers				
input (224 × 224 RGB image)									
conv3-64	conv3-64	conv3-64	conv3-64	conv3-64	conv3-64				
	LRN	conv3-64	conv3-64	conv3-64	conv3-64				
			pool						
conv3-128	conv3-128	conv3-128	conv3-128	conv3-128	conv3-128				
		conv3-128	conv3-128	conv3-128	conv3-128				
			pool						
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256				
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256				
			conv1-256	conv3-256	conv3-256				
					conv3-256				
			pool						
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512				
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512				
			conv1-512	conv3-512	conv3-512				
					conv3-512				
			pool						
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512				
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512				
			conv1-512	conv3-512	conv3-512				
					conv3-512				
			pool						
			4096						
	FC-4096								
		FC-	1000						
		soft-	-max						

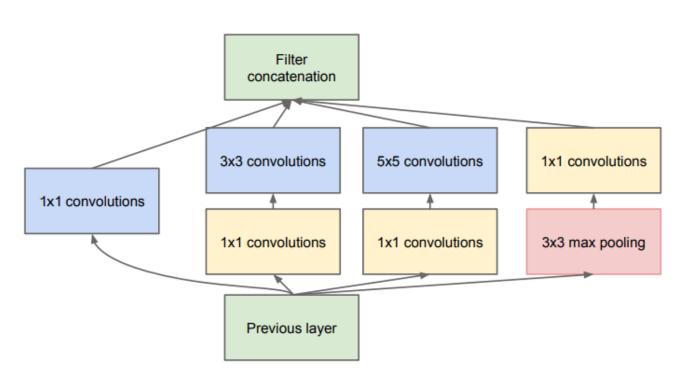
K. Simonyan and A. Zisserman. Very Deep Convolutional Networks for Large-scale Image Recognition, ICLR 2015.

#### VGG16

```
(not counting biases)
INPUT: [224x224x3] memory: 224*224*3=150K params: 0
CONV3-64: [224x224x64] memory: 224*224*64=3.2M params: (3*3*3)*64 = 1,728
CONV3-64: [224x224x64] memory: 224*224*64=3.2M params: (3*3*64)*64 = 36,864
POOL2: [112x112x64] memory: 112*112*64=800K params: 0
CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*64)*128 = 73,728
CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*128)*128 = 147,456
POOL2: [56x56x128] memory: 56*56*128=400K params: 0
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*128)*256 = 294,912
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
POOL2: [28x28x256] memory: 28*28*256=200K params: 0
CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*256)*512 = 1,179,648
CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*512)*512 = 2,359,296
CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*512)*512 = 2,359,296
POOL2: [14x14x512] memory: 14*14*512=100K params: 0
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
POOL2: [7x7x512] memory: 7*7*512=25K params: 0
FC: [1x1x4096] memory: 4096 params: 7*7*512*4096 = 102,760,448
FC: [1x1x4096] memory: 4096 params: 4096*4096 = 16,777,216
FC: [1x1x1000] memory: 1000 params: 4096*1000 = 4,096,000
TOTAL memory: 24M * 4 bytes ~= 93MB / image (only forward! ~*2 for bwd)
TOTAL params: 138M parameters
```

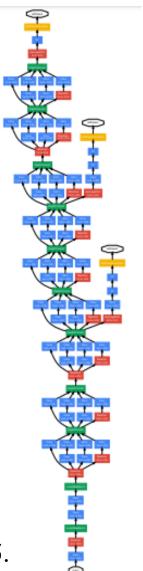
В	C	D	
13 weight	16 weight	16 weight	19
layers	layers	layers	
out (224 × 2	24 RGB image		Г
conv3-64	conv3-64	conv3-64	C
conv3-64	conv3-64	conv3-64	co
max	pool		
conv3-128	conv3-128	conv3-128	co
conv3-128	conv3-128	conv3-128	co
max	pool		
conv3-256	conv3-256	conv3-256	co
conv3-256	conv3-256	conv3-256	co
	conv1-256	conv3-256	co
	DOS-SERVICE SERVICE	to SK OFTALIS SERVICIONAL	co
max	pool		
conv3-512	conv3-512	conv3-512	co
conv3-512	conv3-512	conv3-512	co
	conv1-512	conv3-512	co
			co
max	pool	THE COMPANY OF STREET	
conv3-512	conv3-512	conv3-512	co
conv3-512	conv3-512	conv3-512	co
	conv1-512	conv3-512	co
			co
max	pool		
FC-	4096		
FC-	4096		
FC-	1000		
soft-	-max		

■ GoogleNet – 22 layers



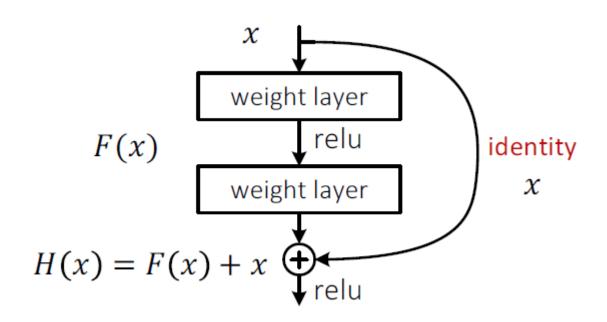
(b) Inception module with dimensionality reduction

C. Szegedy, etal. Going Deeper with Convolutions, CVPR 2015.



■ ResNet – 152 layers

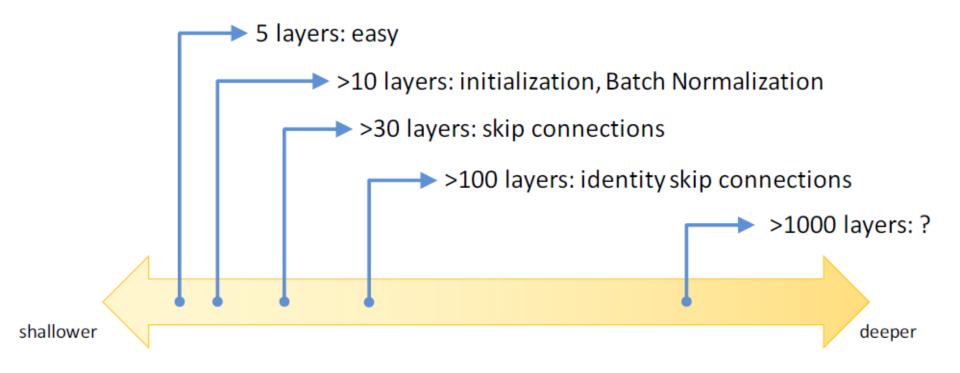
Identity skip connection



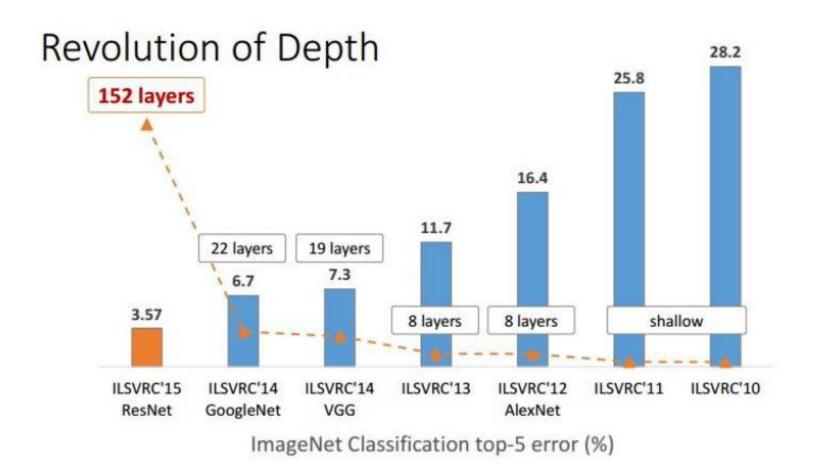
K. He, et al. Deep Residual Learning for Image Recognition, CVPR 2016

■ ResNet – 152 layers

### Spectrum of Depth

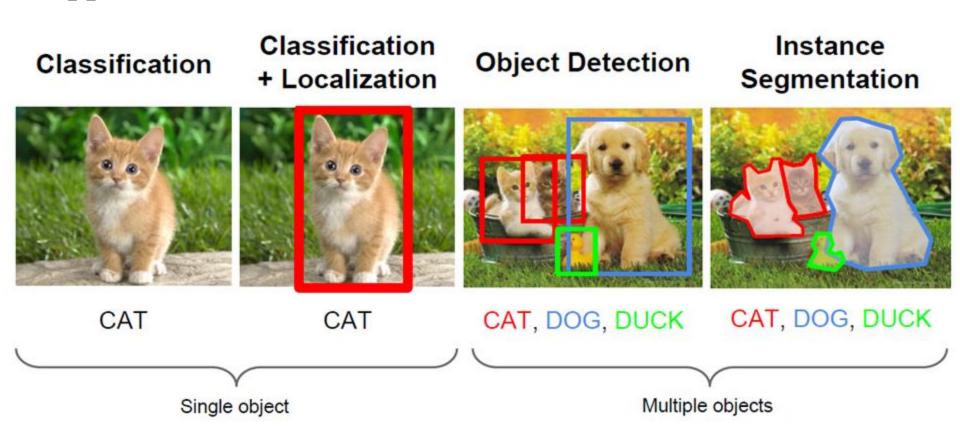


■ ResNet – 152 layers



K. He, et al. Deep Residual Learning for Image Recognition, CVPR 2016

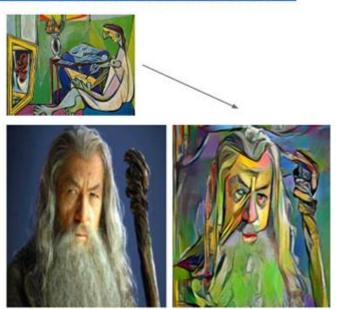
Applications



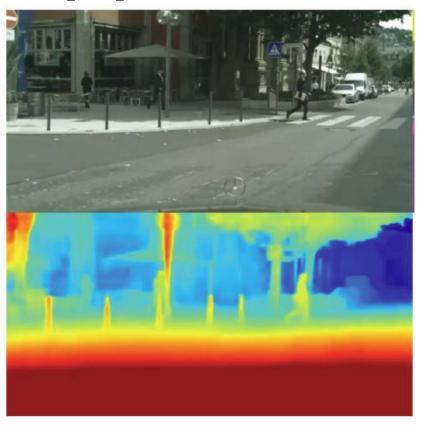
### Applications

### NeuralStyle

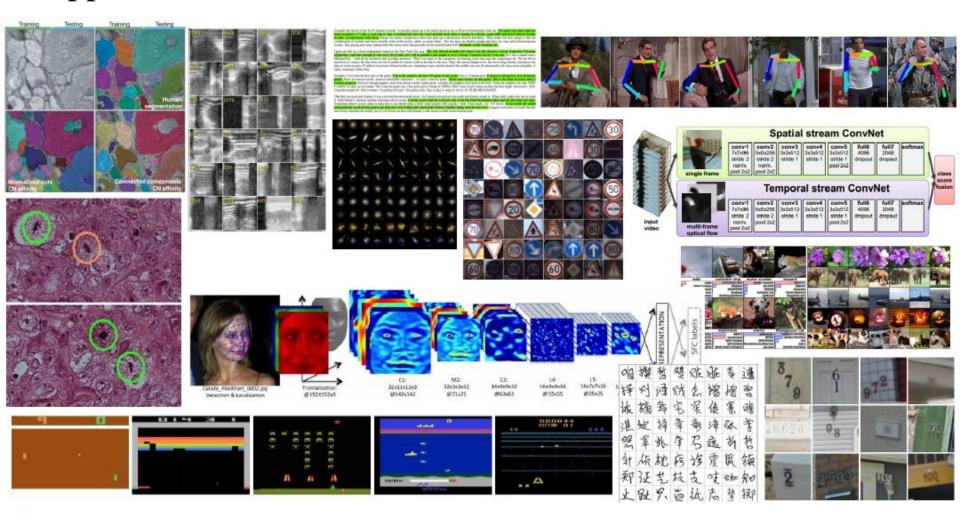
[ A Neural Algorithm of Artistic Style by Leon A. Gatys, Alexander S. Ecker, and Matthias Bethge, 2015] good implementation by Justin in Torch: <a href="https://github.com/jcjohnson/neural-style">https://github.com/jcjohnson/neural-style</a>



#### Depth prediction



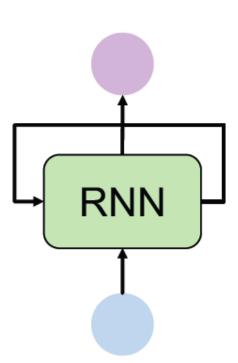
### Applications



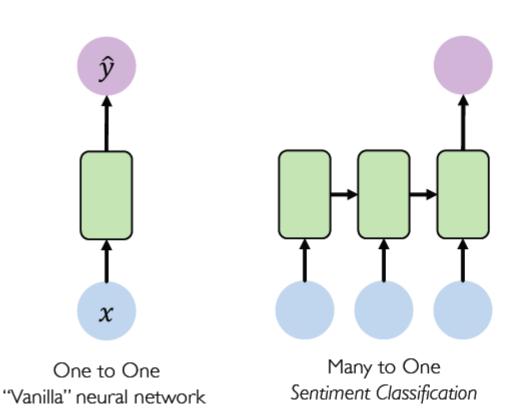
Sequence modeling

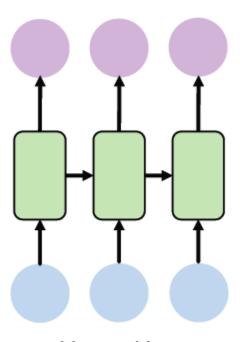
To model sequences, we need to:

- I. Handle variable-length sequences
- 2. Track long-term dependencies
- 3. Maintain information about **order**
- 4. Share parameters across the sequence

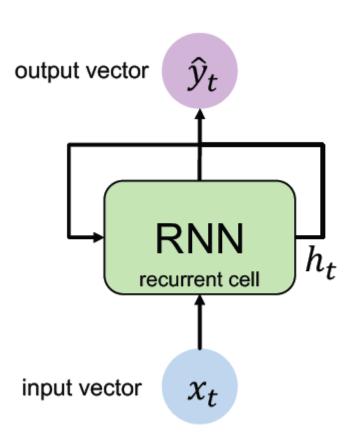


Sequence modeling

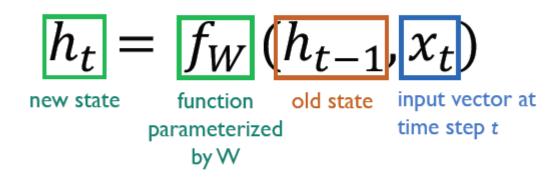




#### RNN

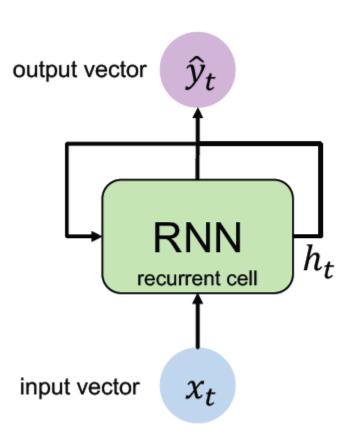


Apply a **recurrence relation** at every time step to process a sequence:



Note: the same function and set of parameters are used at every time step

#### RNN



**Output Vector** 

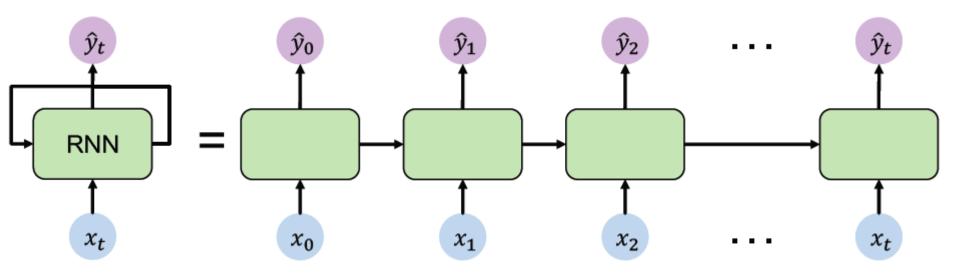
$$\hat{y}_t = \boldsymbol{W_{hy}} h_t$$

Update Hidden State

$$h_t = \tanh(\boldsymbol{W_{hh}} h_{t-1} + \boldsymbol{W_{xh}} x_t)$$

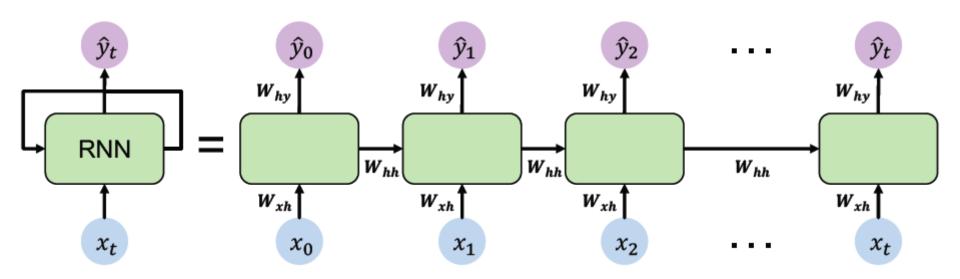
$$\tanh z = \frac{e^z - e^{-z}}{e^z + e^{-z}}$$
Input Vector

RNN

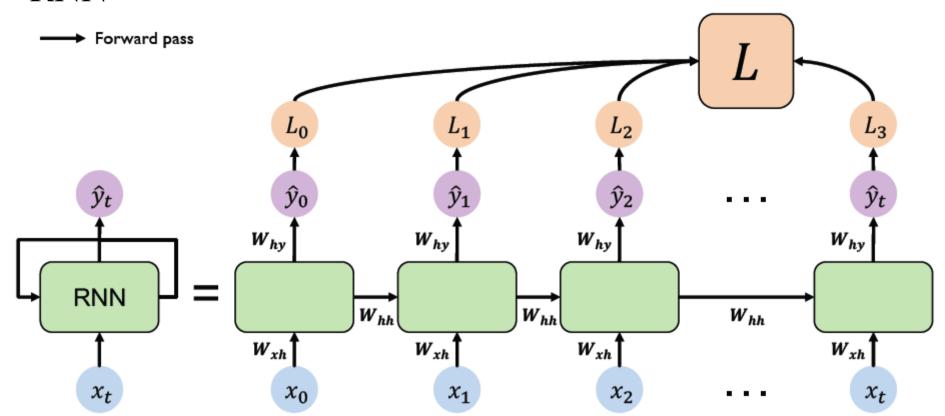


### RNN

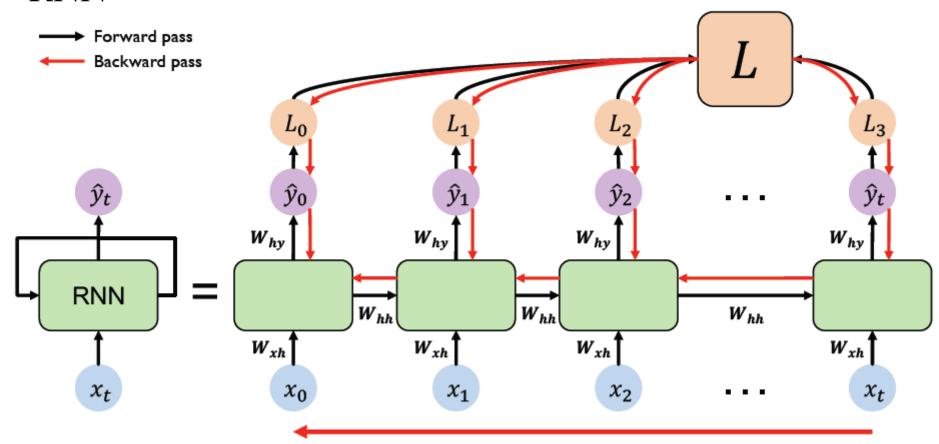
Re-use the same weight matrices at every time step



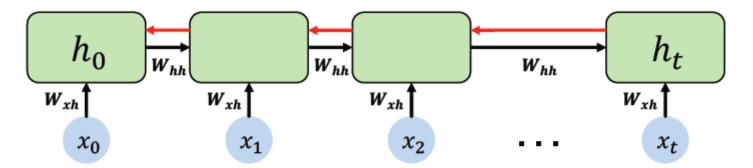
### - RNN



### - RNN



#### RNN



Computing the gradient wrt  $h_0$  involves many factors of  $W_{hh}$  (and repeated f'!)

Many values > 1:

exploding gradients

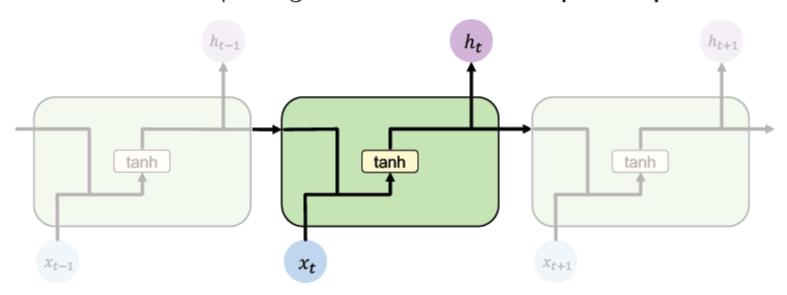
Gradient clipping to scale big gradients

Largest singular value < 1: vanishing gradients

- Activation function
- 2. Weight initialization
- 3. Network architecture

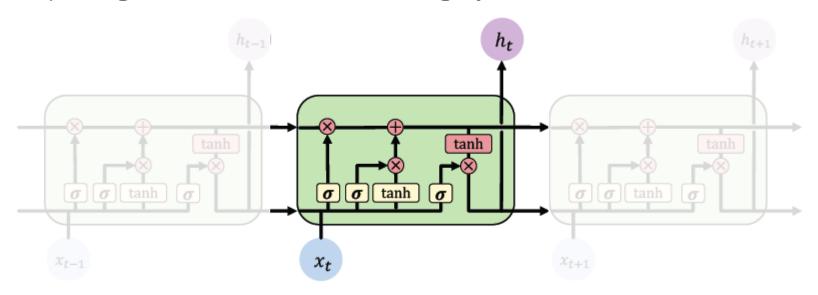
#### - RNN

In a standard RNN, repeating modules contain a simple computation node



Long Short Term Memory (LSTM)

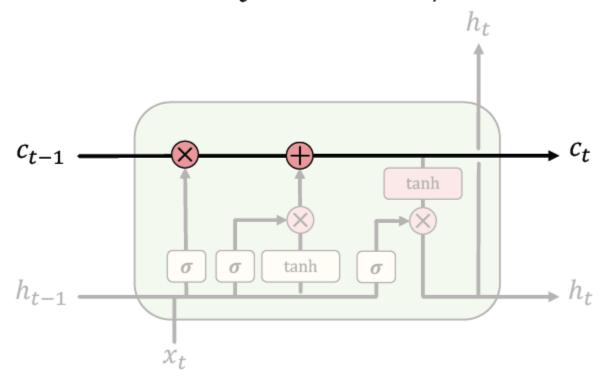
LSTM repeating modules contain interacting layers that control information flow



LSTM cells are able to track information throughout many timesteps

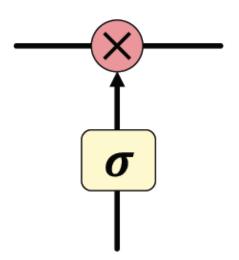
#### LSTM

LSTMs maintain a cell state  $c_t$  where it's easy for information to flow



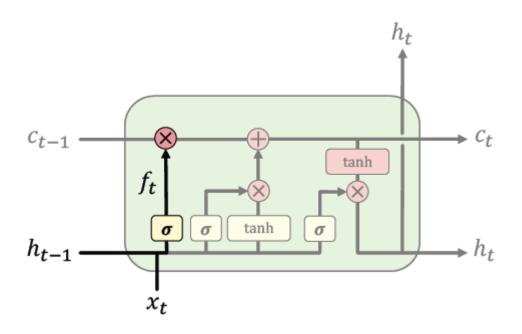
#### LSTM

Information is added or removed to cell state through structures called gates



Gates optionally let information through, via a sigmoid neural net layer and pointwise multiplication

- LSTM
  - Gate 1: forget irrelevant information

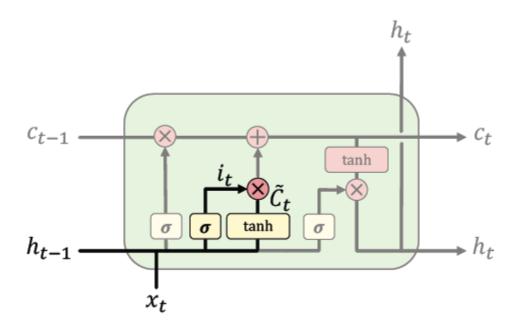


$$f_t = \sigma(\mathbf{W}_i[h_{t-1}, x_t] + b_f)$$

- Use previous cell output and input
- Sigmoid: value 0 and 1 "completely forget" vs. "completely keep"

#### LSTM

• Gate 2: identify new information to be stored



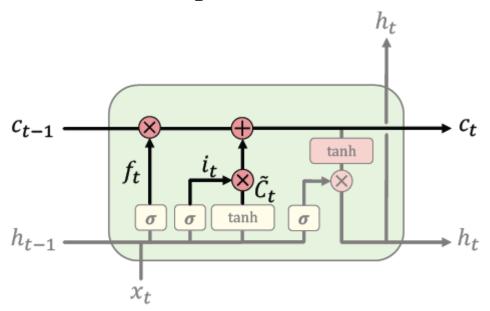
$$i_t = \sigma(\boldsymbol{W}_{i}[h_{t-1}, x_t] + b_i)$$

$$\tilde{C}_t = \tanh(\boldsymbol{W}_{C}[h_{t-1}, x_t] + b_C)$$

- Sigmoid layer: decide what values to update
- Tanh layer: generate new vector of "candidate values" that could be added to the state

#### LSTM

• Gate 1+2: update cell state

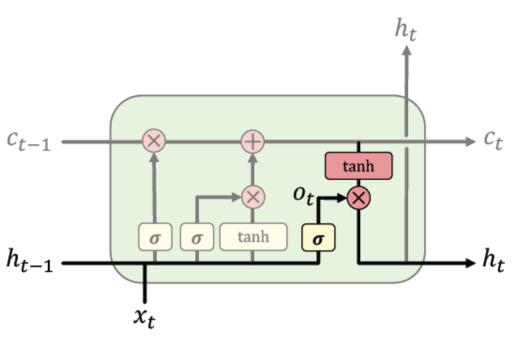


$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

- Apply forget operation to previous internal cell state: f<sub>t</sub> \* C<sub>t-1</sub>
- Add new candidate values, scaled by how much we decided to update:  $i_t * \tilde{\mathcal{C}}_t$

#### LSTM

• Gate 3: output filtered version of cell state

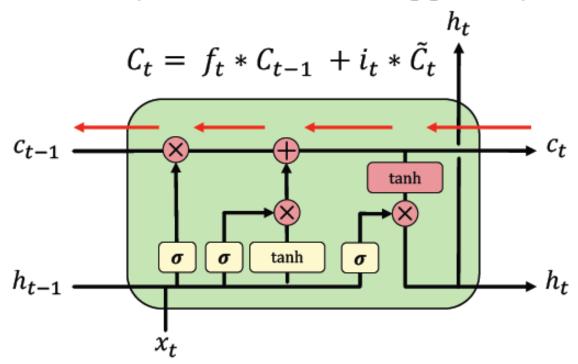


$$o_t = \sigma(\mathbf{W}_o[h_{t-1}, x_t] + b_o)$$
$$h_t = o_t * \tanh(C_t)$$

- Sigmoid layer: decide what parts of state to output
- Tanh layer: squash values between -1 and 1
- o<sub>t</sub> \* tanh(C<sub>t</sub>): output filtered version of cell state

### LSTM - backpropagation

Backpropagation from  $C_t$  to  $C_{t-1}$  requires only elementwise multiplication! No matrix multiplication  $\rightarrow$  avoid vanishing gradient problem.



- LSTM Key concepts:
  - 1. Maintain a separate cell state from what is outputted
- 2. Use gates to control the flow of information
  - Forget gate gets rid of irrelevant information
  - Selectively update cell state
  - Output gate returns a filtered version of the cell state
- 3. Backpropagation from  $c_t$  to  $c_{t-1}$  doesn't require matrix multiplication: uninterrupted gradient flow

### Applications

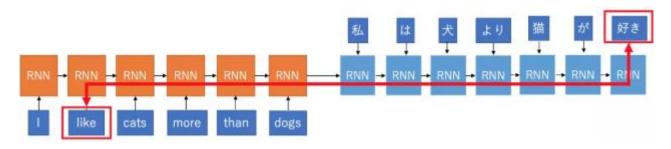
### Speech recognition



#### Tweet sentiment classification



#### Machine translation



### Applications

'man in black shirt is playing guitar."



"a young boy is holding a baseball bat."

### Image captioning



"construction worker in orange safety vest is working on road."



'a cat is sitting on a couch with a remote control."



"two young girls are playing with lego toy."



"a woman holding a teddy bear in front of a mirror."



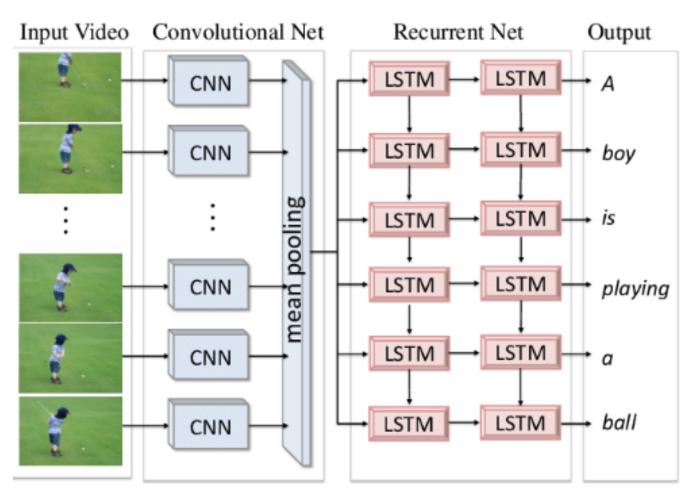
"boy is doing backflip on wakeboard."



"a horse is standing in the middle of a road."

Applications

### Video understanding

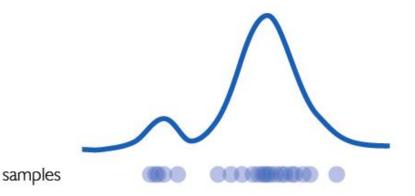


# **Deep Generative Models**

- Autoencoder
- Generative Adversarial Networks

**Goal:** Take as input training samples from some distribution and learn a model that represents that distribution

#### **Density Estimation**



#### Sample Generation







Input samples

Training data  $\sim P_{data}(x)$ 







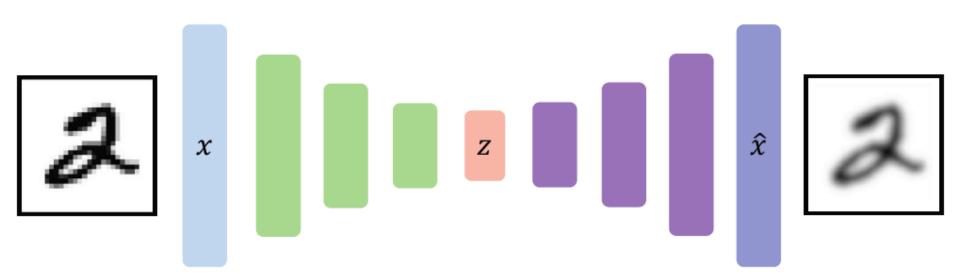


Generated samples

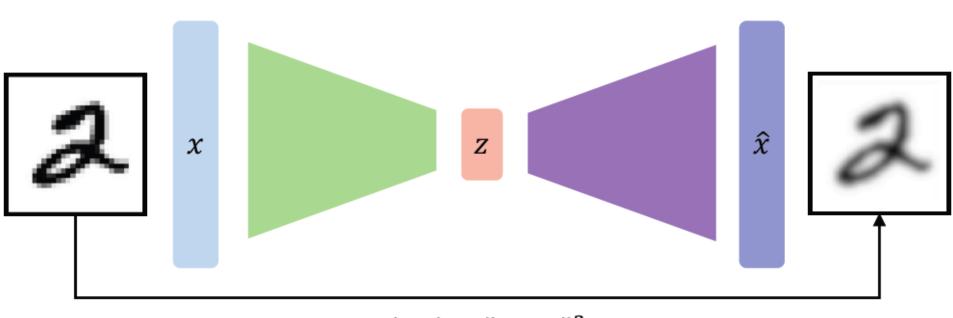
Generated  $\sim P_{model}(x)$ 

How can we learn  $P_{model}(x)$  similar to  $P_{data}(x)$ ?

Autoencoder



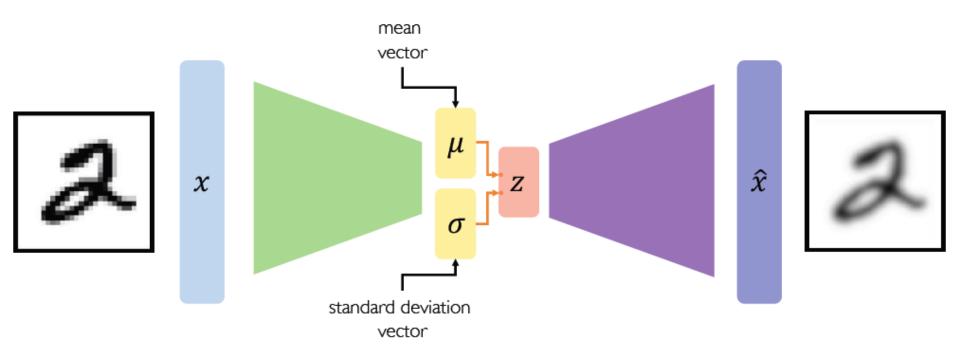
Autoencoder



 $\mathcal{L}(x,\hat{x}) = \|x - \hat{x}\|^2$ 

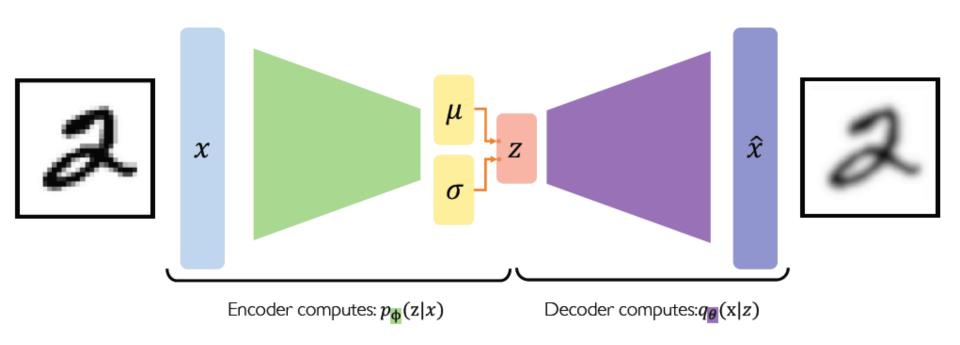
Loss function doesn't use any labels!!

Variational Autoencoders

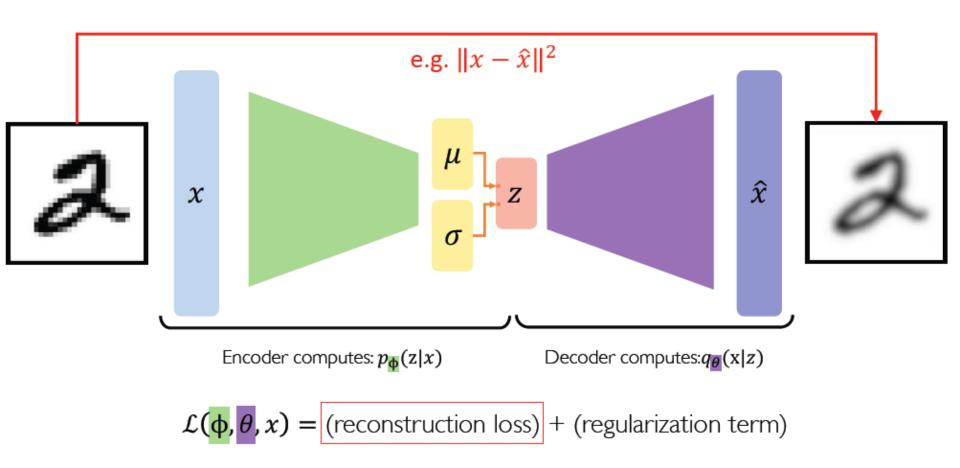


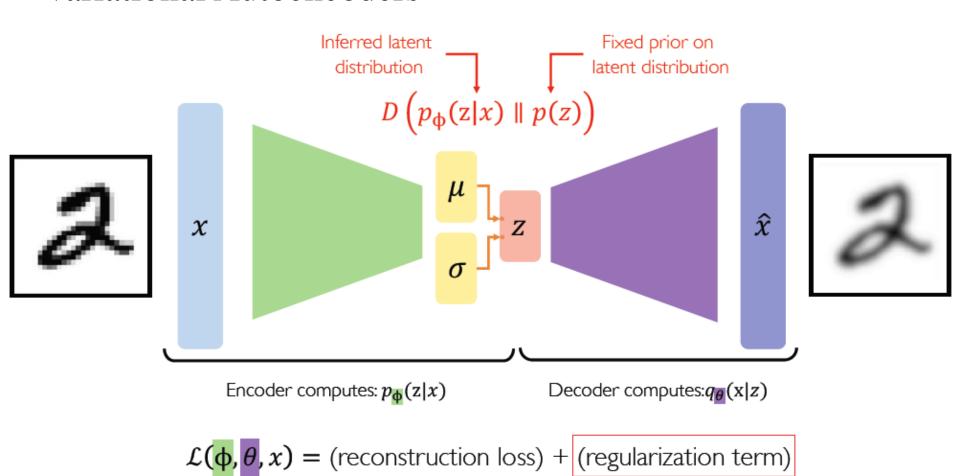
Variational autoencoders are a probabilistic twist on autoencoders!

Sample from the mean and standard dev. to compute latent sample

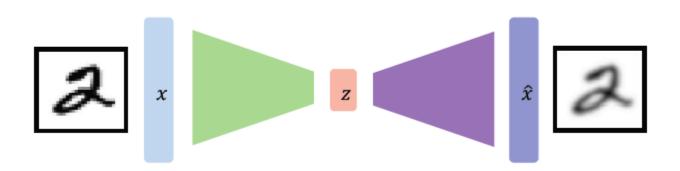


$$\mathcal{L}(\phi, \theta, x) = (\text{reconstruction loss}) + (\text{regularization term})$$





- 1. Compress representation of world to something we can use to learn
- 2. Reconstruction allows for unsupervised learning (no labels!)
- Reparameterization trick to train end-to-end
- 4. Interpret hidden latent variables using perturbation
- 5. Generating new examples

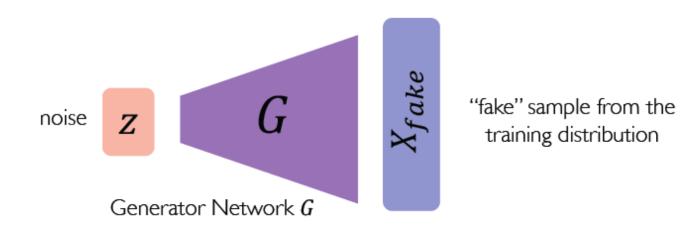


#### Motivation

Idea: don't explicitly model density, and instead just sample to generate new instances.

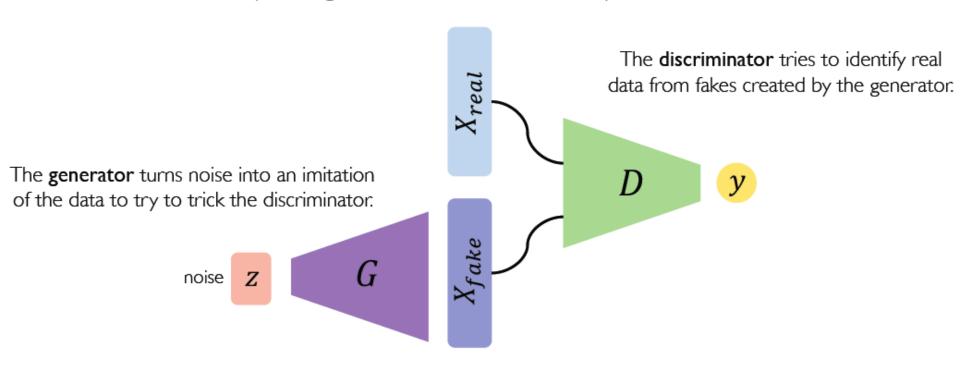
**Problem:** want to sample from complex distribution – can't do this directly!

**Solution:** sample from something simple (noise), learn a transformation to the training distribution.



#### GAN

Generative Adversarial Networks (GANs) are a way to make a generative model by having two neural networks compete with each other.



### GAN Training

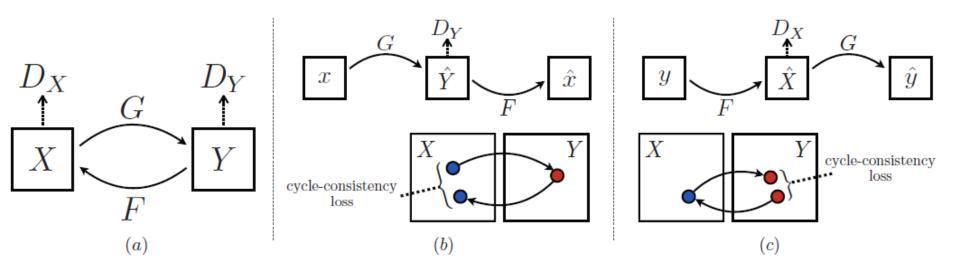
**Discriminator** tries to identify real data from fakes created by the generator. **Generator** tries to create imitations of data to trick the discriminator.

Train GAN jointly via minimax game:

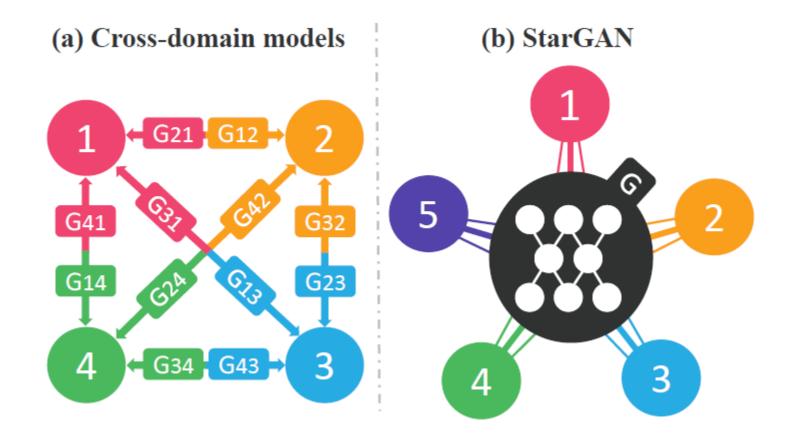
$$\min_{\theta_g} \max_{\theta_d} \left[ \mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log \left( 1 - D_{\theta_d} \left( G_{\theta_g}(z) \right) \right) \right]$$

Discriminator wants to maximize objective s.t. D(x) close to 1, D(G(z)) close to 0. Generator wants to minimize objective s.t. D(G(z)) close to 1.

GAN Variants – CycleGAN [CVPR, 2017]

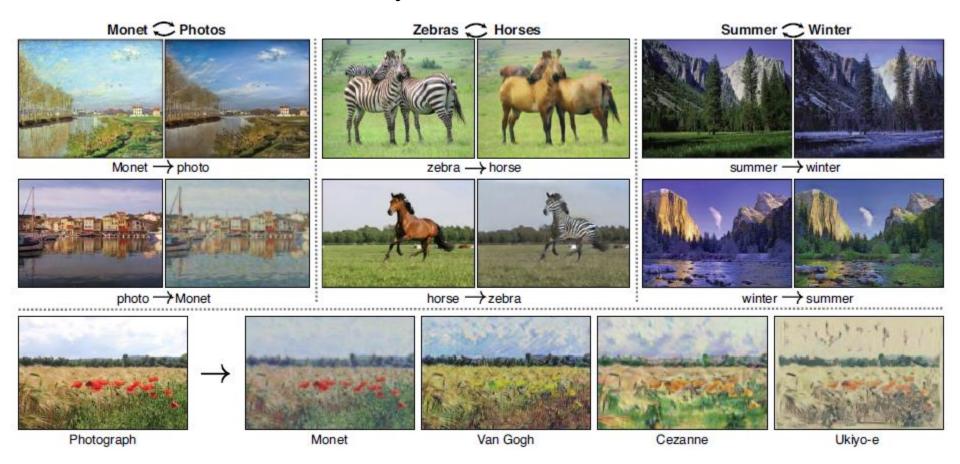


GAN Variants – StarGAN [CVPR, 2018]



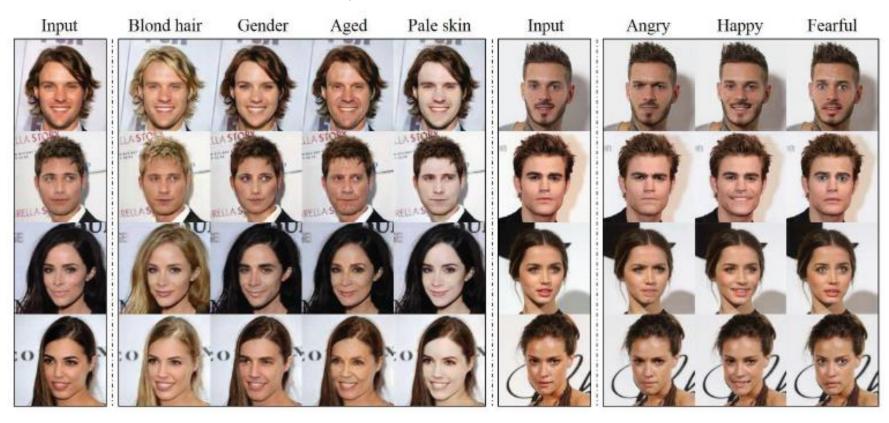
### Applications

#### Style transfer



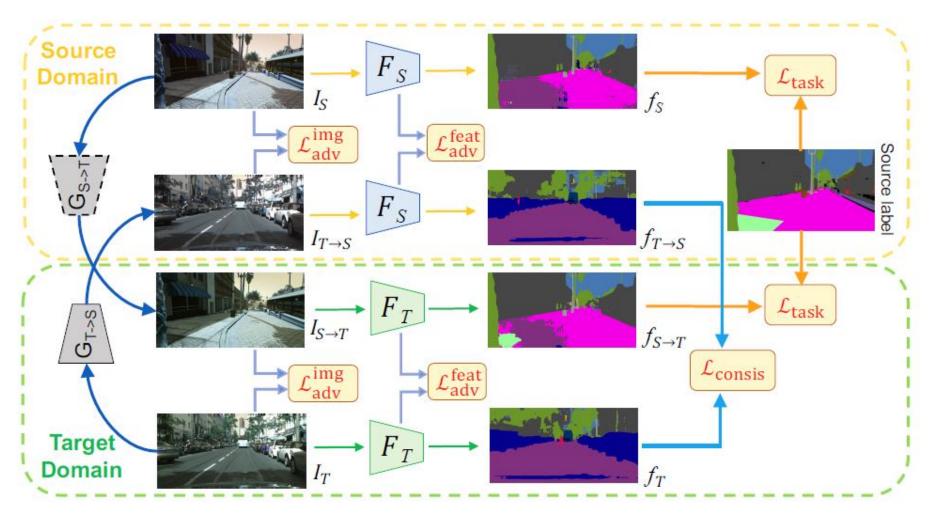
### Applications

### Synthetic face



Applications

Domain Adaptation [CVPR, 2019]



# **Readings**

- Artificial Intelligence
  - Chapter 18.7