

Greedy Algorithms

Neelima Gupta
ngupta@cs.du.ac.in

Table of Contents

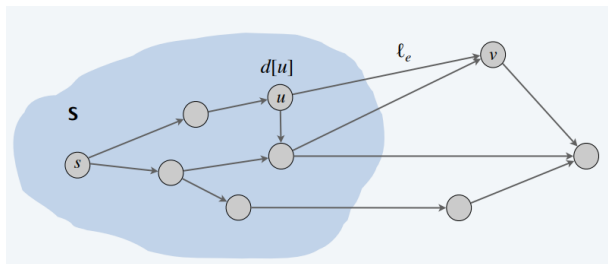
- SPP (Dijkstra): Correctness and Time Complexity : Dijkstra
- MST (Kruskal): Correctness and Time Complexity : Kruskal

Shortest Path Problem

Given a directed graph $G = (V, E)$ with edge lengths ℓ and a pair s, t of the vertices. Aim is to find a shortest path from s to t .

Dijkstra's Algorithm

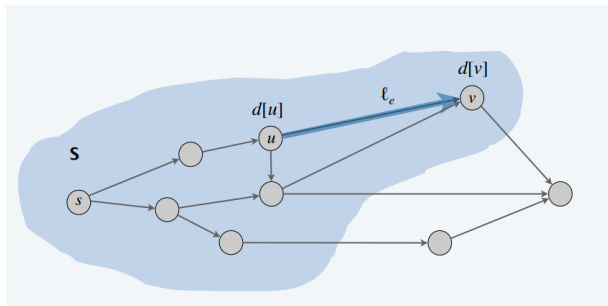
- At any point of time, maintain a set S of explored nodes, for which, shortest path has been computed. Initially, $S \leftarrow \{s\}$, $d[s] \leftarrow 0$.¹
- Greedy Choice:** Repeatedly choose unexplored node $v \notin S$ which minimizes, $\pi(v) = \min_{e=(u,v): u \in S} d[u] + \ell_e$.
- Add v to S , and set $d[v] \leftarrow \pi(v)$.



¹Slides are based on

Dijkstra's Algorithm

- Initialize $S \leftarrow \{s\}$, $d[s] \leftarrow 0$.
- **Greedy Choice:** Repeatedly choose unexplored node $v \notin S$ which minimizes, $\pi(v) = \min_{e=(u,v): u \in S} d[u] + \ell_e$.
- Add v to S , and set $d[v] \leftarrow \pi(v)$.
- To recover path, set $pred[v] \leftarrow u$ that achieves the min.



Proof of Invariant

Let P_u denotes the $s - u$ path consisting of Dijkstra's edges. Then clearly, $d[u] = \ell(P_u)$ from the algorithm.

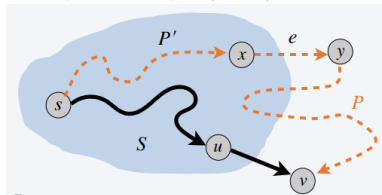
Invariant: For each node $u \in S$: P_u is a shortest $s - u$ path i.e., $d[u] =$ length of a shortest $s - u$ path.

Proof by Induction:

Base Case: $|S| = 1$ is easy since $S = \{s\}$ and $d[s] = 0$

Induction Hypothesis: Assume true for $|S| \geq 1$.

- Let v be the next node added to S . Suppose v is added via u i.e. using the edge (u, v) .



- $P_v = P_u$ followed by (u, v) .
 $\ell(P_v) = \ell(P_u) + \ell_{(u,v)} = d[u] + \ell_{(u,v)} = \pi(v)$

Proof of Invariant

Let P_u denotes the $s - u$ path consisting of Dijkstra's edges. Then clearly, $d[u] = \ell(P_u)$ from the algorithm.

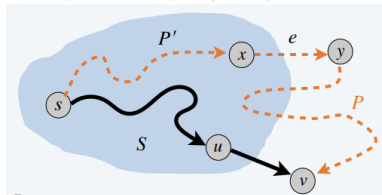
Invariant: For each node $u \in S$: P_u is a shortest $s - u$ path i.e., $d[u] =$ length of a shortest $s - u$ path.

Proof by Induction:

Base Case: $|S| = 1$ is easy since $S = \{s\}$ and $d[s] = 0$

Induction Hypothesis: Assume true for $|S| \geq 1$.

- Let v be the next node added to S . Suppose v is added via u i.e. using the edge (u, v) .



- $P_v = P_u$ followed by (u, v) .
 $\ell(P_v) = \ell(P_u) + \ell_{(u,v)} = d[u] + \ell_{(u,v)} = \pi(v)$

Proof of Invariant

Let P_u denotes the $s - u$ path consisting of Dijkstra's edges. Then clearly, $d[u] = \ell(P_u)$ from the algorithm.

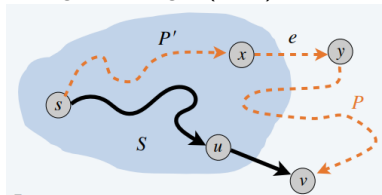
Invariant: For each node $u \in S$: P_u is a shortest $s - u$ path i.e., $d[u] =$ length of a shortest $s - u$ path.

Proof by Induction:

Base Case: $|S| = 1$ is easy since $S = \{s\}$ and $d[s] = 0$

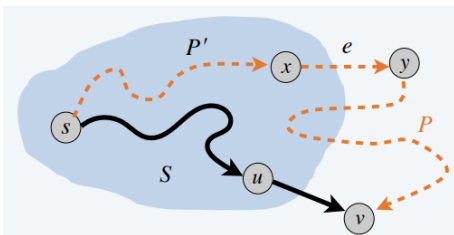
Induction Hypothesis: Assume true for $|S| \geq 1$.

- Let v be the next node added to S . Suppose v is added via u i.e. using the edge (u, v) .



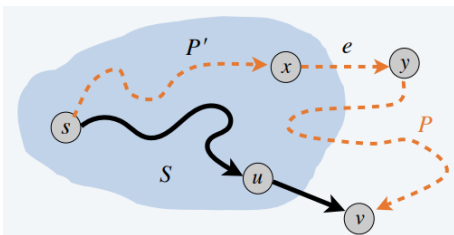
- $P_v = P_u$ followed by (u, v) .
 $\ell(P_v) = \ell(P_u) + \ell_{(u,v)} = d[u] + \ell_{(u,v)} = \pi(v)$

Proof of Invariant



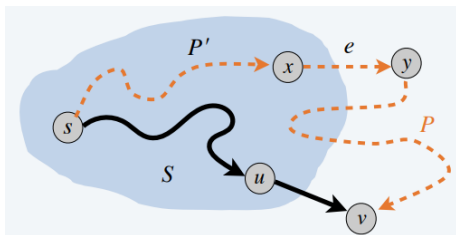
- Consider any other sâv path P (need not consist of Dijkstra's edges). Claim: $\ell(P) \geq \pi(v)$.
- Let $e = (x, y)$ be the first edge in P that leaves S , and let P' be the sub-path from s to x .

Proof of Invariant



- Consider any other sâv path P (need not consist of Dijkstra's edges). Claim: $\ell(P) \geq \pi(v)$.
- Let $e = (x, y)$ be the first edge in P that leaves S , and let P' be the sub-path from s to x .

Proof of Invariant



- The length of P is already $\geq \pi(v)$ as soon as it reaches y . (why?)

Recall that $\pi(y) = \min_{e=(u,y): u \in S} \{d[u] + \ell_e\}$. Hence

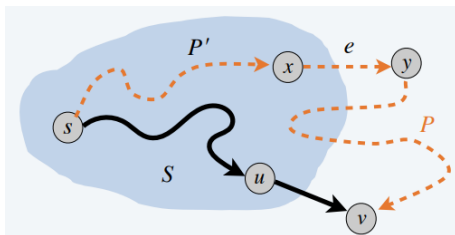
$$\pi(y) \leq d[x] + \ell_{(x,y)}$$

$$\leq \ell(P') + \ell_{(x,y)} \text{ (by induction hypothesis)}$$

(P' is some path from s to x not necessarily consisting of the edges picked by DA).

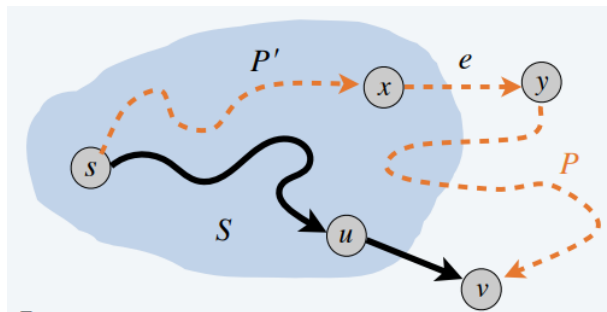
And, since DA chose v and not y , we have $\pi(v) \leq \pi(y)$.

Proof of Invariant



- The length of P is already $\geq \pi(v)$ as soon as it reaches y . (why?)
Recall that $\pi(y) = \min_{e=(u,y): u \in S} \{d[u] + \ell_e\}$. Hence
$$\pi(y) \leq d[x] + \ell_{(x,y)}$$
$$\leq \ell(P') + \ell_{(x,y)} \text{ (by induction hypothesis)}$$
 $(P' \text{ is some path from } s \text{ to } x \text{ not necessarily consisting of the edges picked by DA}).$ And, since DA chose v and not y , we have $\pi(v) \leq \pi(y)$.

Proof of Invariant



Thus,

$$\ell(P) \geq \ell(P') + \ell_e \geq d[x] + \ell_e \geq \pi(y) \geq \pi(v) \quad \blacksquare$$

↑ ↑ ↑ ↑

non-negative inductive definition Dijkstra chose v
lengths hypothesis of $\pi(y)$ instead of y

Efficient Implementation

Critical optimization 1. For each unexplored node $v \notin S$:
explicitly maintain $\pi[v]$ instead of computing directly from definition



$$\pi(v) = \min_{e=(u,v) : u \in S} d[u] + \ell_e$$

- For each $v \notin S$: $\pi(v)$ can only decrease (because set S increases).
- More specifically, suppose u is added to S and there is an edge $e = (u, v)$ leaving u . Then, it suffices to update:

$$\pi[v] \leftarrow \min \{ \pi[v], \pi[u] + \ell_e \}$$

recall: for each $u \in S$,
 $\pi[u] = d[u] =$ length of shortest $s \rightsquigarrow u$ path

2

²Slide is taken from

<https://www.cs.princeton.edu/wayne/kleinberg-tardos/pdf/04GreedyAlgorithmsII.pdf>

Operation	Number of times the operation is called for algorithm under consideration	Time taken by the operation	Total Time
Enqueue	V	$O(\log V)$	$O(V \log V)$
Decrease-key	E	$O(\log V)$	$O(E \log V)$
Extract-Min	$V - 1$	$O(\log V)$	$O(V \log V)$

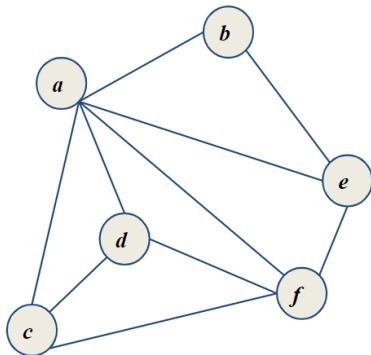
Table: Data Structure: Priority Queue

Total time = $O((E + V) \log V) = O(E \log V)$ for a connected graph.

Spanning Tree

Spanning Tree

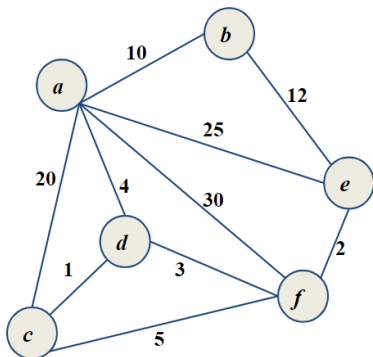
Given a connected undirected graph $G = (V, E)$, a **spanning tree** is a tree that **spans all the vertices**.



Minimum Spanning Tree

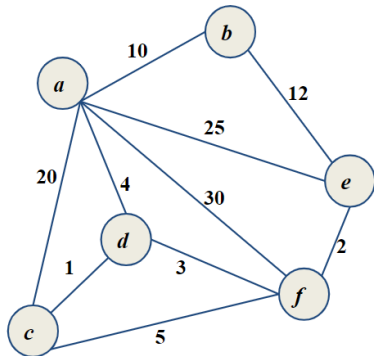
Minimum Spanning Tree

Given a connected undirected graph $G = (V, E)$ with **weights** on edges, a **minimum spanning tree** is a spanning tree with **minimum total weight**.



Kruskal Algorithm

- 1 Sort the edges in the increasing order of their weights - $e_1, e_2 \dots e_m$.
- 2 While there are more edges and we have selected $< n - 1$ edges do
Select the next edge if it does not form a cycle and discard it otherwise.



Min-Cut Property

Cut

A cut is a non trivial partition of the node set V into S and $V \setminus S$, where $S \neq \phi, V$.

Cutset

The cutset $(S, V \setminus S)$ defined by $S \subset V$ is the set of edges connecting S to $V \setminus S$.

Cut Property

The cheapest edge in every cutset belongs to the MST.

Min-Cut Property

Cut

A cut is a non trivial partition of the node set V into S and $V \setminus S$, where $S \neq \phi, V$.

Cutset

The cutset $(S, V \setminus S)$ defined by $S \subset V$ is the set of edges connecting S to $V \setminus S$.

Cut Property

The cheapest edge in every cutset belongs to the MST.

Correctness of Kruskal Algorithm : The Plan

- Acyclic ...by design
- Claim: Every edge selected by KA belongs to the MST.
Proof:
 - We will prove that the edge picked by Kruskal is the cheapest edge in a cutset. Hence the claim follows by the Min-Cut Property
- $(n - 1)$ edges picked by KA and the fact that they do not form a cycle implies that the set of edges are same as that of MST.
Hence proved.

Correctness of Kruskal Algorithm : The Plan

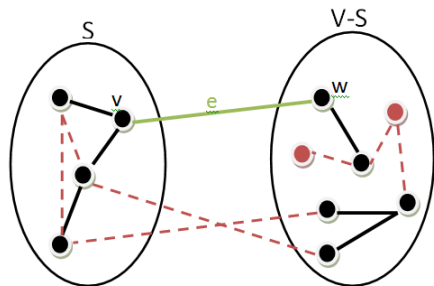
- Acyclic ...by design
- Claim: Every edge selected by KA belongs to the MST.
Proof:
 - We will prove that the edge picked by Kruskal is the cheapest edge in a cutset. Hence the claim follows by the Min-Cut Property
- $(n - 1)$ edges picked by KA and the fact that they do not form a cycle implies that the set of edges are same as that of MST.
Hence proved.

Proof of Claim 1: Edge picked by KA is a cheapest edge in a cutset

Let $e_j = (v, w)$ be an edge picked by Kruskal at some point of time.

Proof of Claim 1: Edge picked by KA is a cheapest edge in a cutset

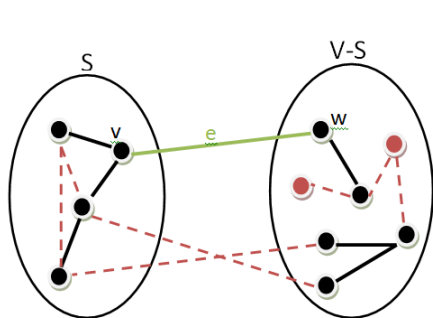
Let $e_j = (v, w)$ be an edge picked by Kruskal at some point of time.



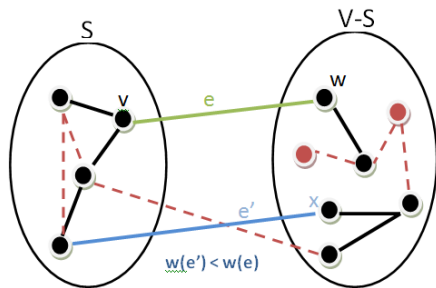
S : Set of vertices reachable from v using Kruskal edges when e_j was picked

Proof of Claim 1: Edge picked by KA is a cheapest edge in a cutset

Let $e_j = (v, w)$ be an edge picked by Kruskal at some point of time.



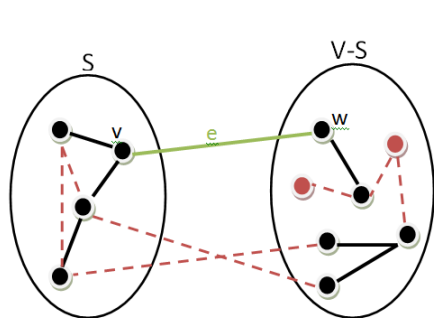
S : Set of vertices reachable from v using Kruskal edges when e_j was picked



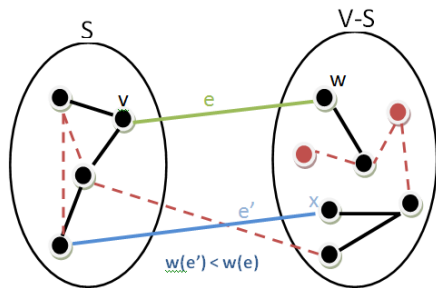
Edges $e_1, e_2 \dots e_{j-1}$ could not have connected S and $V \setminus S$ for else x would be reachable from v when e_j was picked.

Proof of Claim 1: Edge picked by KA is a cheapest edge in a cutset

Let $e_j = (v, w)$ be an edge picked by Kruskal at some point of time.



S : Set of vertices reachable from v using Kruskal edges when e_j was picked



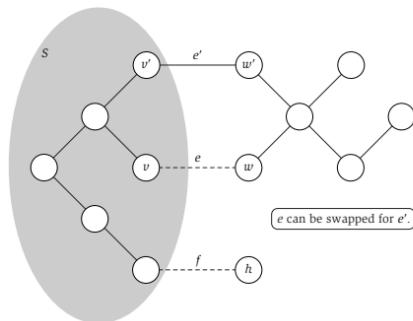
Edges $e_1, e_2 \dots e_{j-1}$ could not have connected S and $V \setminus S$ for else x would be reachable from v when e_j was picked.

Proof of Cut Property

Property: Let $e = (v, w)$ be the minimum weight edge in a cut-set $(S, V \setminus S)$. Then, MST contains e .

T is an MST not containing e .

Claim: $T' = T - e' + e$ is a spanning tree with $w(T') < w(T)$.



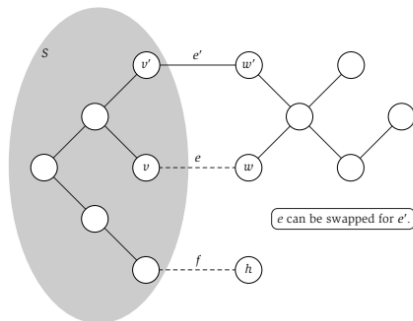
A contradiction.

Proof of Cut Property

Property: Let $e = (v, w)$ be the minimum weight edge in a cut-set $(S, V \setminus S)$. Then, MST contains e .

T is an MST not containing e .

Claim: $T' = T - e' + e$ is a spanning tree with $w(T') < w(T)$.



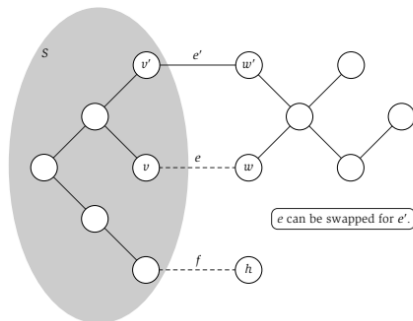
A contradiction.

Proof of Cut Property

Property: Let $e = (v, w)$ be the minimum weight edge in a cut-set $(S, V \setminus S)$. Then, MST contains e .

T is an MST not containing e .

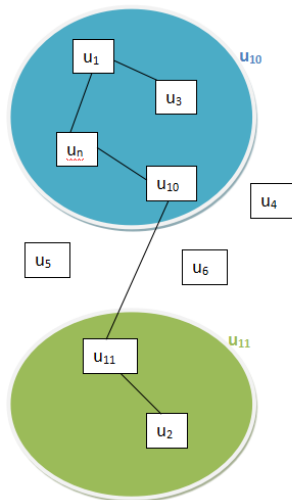
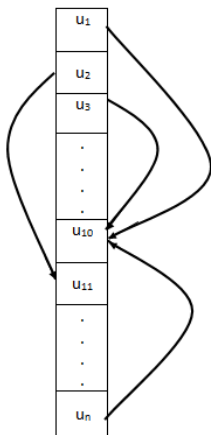
Claim: $T' = T - e' + e$ is a spanning tree with $w(T') < w(T)$.



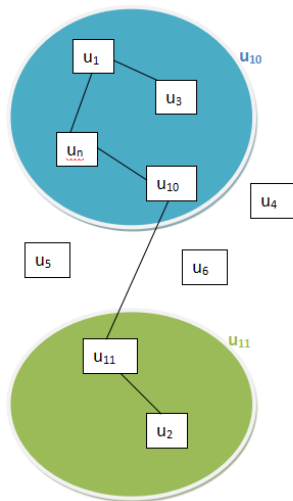
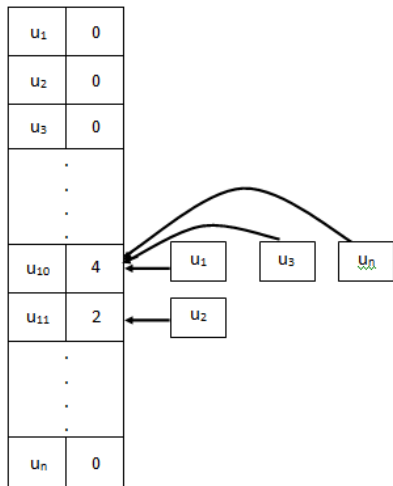
A contradiction.

- **Disjoint Union-Find** Structure
- *Find*(u): Given a node u , the operation *Find*(u) will return the name of the set containing u .
- *Union*(A, B): Take two sets A and B and merge them to a single set.

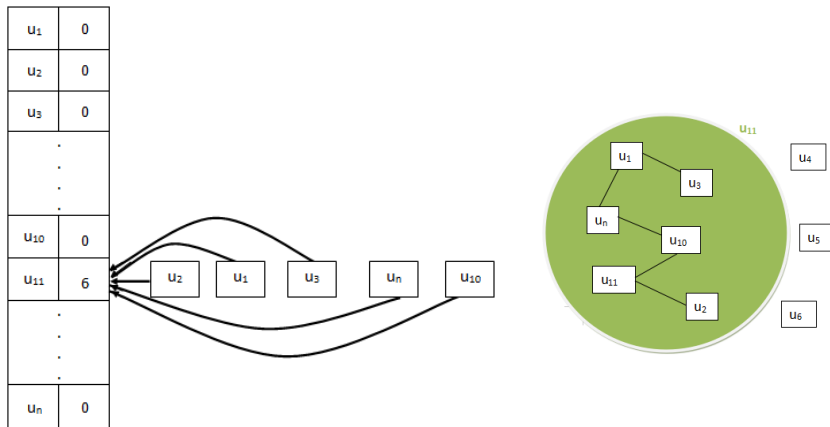
Union Find



Alternate Representation

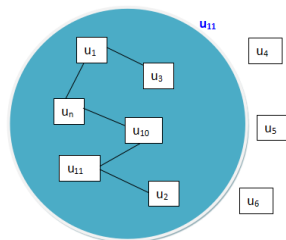
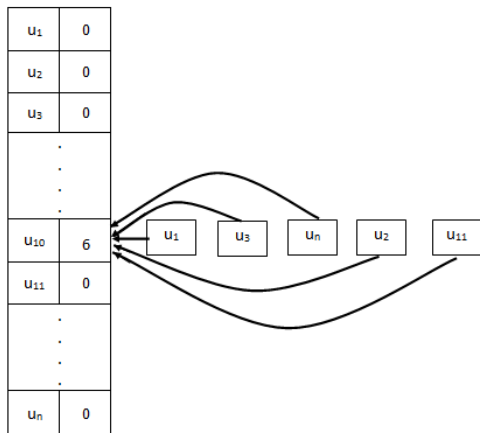


Merge all into u_{11}



Time Complexity

Or Merge all into u_{10}



Time Complexity

Implementation

```
If find(u) != find(v)
then Union (find(u), find(v))
//include that edge in the set
```

Time Complexity

- Sorting takes $m \log m$ time, where m is the number of edges.
- *Find* takes constant time.
- *Union* is performed at most $n - 1$ times, where n is the number of vertices.
- Total number of pointer updates over all Union operations is $O(n \log n)$.
- Thus total time is $O(m \log n)$.

