

Codebook for Dataset on UN Peace Mission Mandates (UNPMM) V2.0

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1) Introduction

The dataset on UN Peace Mission Mandates (UNPMM) provides an empirical understanding of changes in the mandates of UN peace missions between January 1, 1948 and December 31, 2022 (i.e., the period during Cold War, Post-Cold War, to present day).¹ The term “UN Peace Missions” entails UN Peacekeeping Operations (PKO), Special Political Missions (SPM), as well as Good Offices Engagements (GOE).

The UNPMM disaggregates the provisions in UN peace mission mandates in three categories: *minimalist*, *moderate*, and *maximalist*.² Mandate provisions or tasks within the *minimalist* sub-category focus on ending violence in the short-term. Mandate tasks within the *maximalist* sub-category focus on addressing the root causes of conflict and institutionalizing governance mechanisms to peacefully resolve conflicts in the future. Lastly, *moderate* mandate tasks focus on ending violence and promoting decent governance mechanisms.

The dataset also assigns an overall mission classification and score to all UN peace missions, following the same logic. Missions can be classified as *Minimalist*, *Maximalist*, or *Moderate*. To derive this overall classification, we rely on a formula detailed in section 7 below. For the sake of distinction, we use *minimalist*, *moderate*, and *maximalist* beginning in lower case when speaking of mandate tasks, and *Minimalist*, *Moderate*, and *Maximalist* beginning in upper case when referring to overall mission classifications.

2) Codebook

Variable Name	Description
mission_id	Unique ID number for each mission.
mission_abbrev	Official abbreviated name for each mission, as given in the UN documentation.
mission_fullname	Full name given to each mission (in English).
mission_type	PKO = Peacekeeping Operation SPM = Special Political Mission GOE = Good Offices Engagement
mission_location	The name(s) of the country or context where the mission takes place.
gw_country	This field lists up to 5 countries with which the mission is concerned in alphabetical order based on their Gleditsch/Ward (GW) ID as listed at: http://ksgleditsch.com/data/iisystem.dat . This field is limited to reflecting entities that are recognised as countries by the GW list.

¹ A full list of key sources consulted in the establishment of this dataset can be found in section 8 below.

² This categorisation is based on Call, C. T. and E. M. Cousens (2007). *Ending Wars and Building Peace*. Coping with Crisis - Working Paper Series. New York: International Peace Academy.

gwno_1	This cell codes the first country by its GW number.
gwno_2	Where applicable, this cell codes the second country by its GW number.
gwno_3	Where applicable, this cell codes the third country by its GW number.
gwno_4	Where applicable, this cell codes the fourth country by its GW number.
gwno_5	Where applicable, this cell codes the fifth country by its GW number.
mission_regionclass	UN regional geographic classification of the mission. This follows the classification listed on the UN Security Council Report website: Country and Regional Issues : Security Council Report .
region_code	<p>This field assigns a code to the UN regional geographic classification:</p> <p>1 = Europe (GW No.: 200-399) 2 = Middle East (GW No.: 630-699) 3 = Asia (GW No.: 700-999) 4 = Africa (GW No.: 400-626) 5 = Americas (GW No.: 2-199)</p> <p>These codes match the coding of the “region” field in the UCDP/PRIO Armed Conflict Dataset (Version 23.1). Note that in the UCDP/PRIO Dataset, this field refers to the region of incompatibility.</p>
ucdp_link	<p>This field indicates if the mission has been linked to a conflict or conflicts in the UCDP/PRIO Armed Conflict Dataset (Version 23.1).</p> <p>1 = Yes 0 = No</p>
ucdpconflict_id1	The ID numbers are listed in ascending order. This field codes the first conflict the mission is concerned with addressing.
ucdpconflict_id2	Where applicable, this field codes the second conflict the mission is concerned with addressing.
ucdpconflict_id3	Where applicable, this field codes the third conflict the mission is concerned with addressing.
ucdpconflict_id4	Where applicable, this field codes the fourth conflict the mission is concerned with addressing.

mission_yearest	The year the mission was established.
mission_yearend	The year the mission was closed or terminated its mandate. If the mission was still active as of December 31, 2022, this field is indicated as ACTIVE .
mission_startdate	Date the mission was established in DD.MM.YYYY format. In some cases, only the month was available. In these cases, DD is coded as 01.
mission_enddate	Date the mission was terminated in DD.MM.YYYY format. In some cases, only the month was available. In these cases, DD is listed as 01. If the mission was still active as of December 31, 2022, this field is indicated as ACTIVE .
mission_orgs	International or regional organisations involved in the mission. UN = UN only AU-UN = African Union and UN OAS-UN = Organization of American States and UN LAS-UN = League of Arab States and UN OPCW-UN = Organisation for the Prohibition of Chemical Weapons and UN
task_yearest	These rows (1948 -> 2022) form the “x-axis” against which we plot the establishment of <i>minimalist</i> , <i>moderate</i> , or <i>maximalist</i> tasks. 1948 refers to the period January 1 to December 31, 1948; while 2022 refers to the period January 1 to December 31, 2022.
Minimalist Tasks	Sub-category, not a variable: tasks in this <i>minimalist</i> sub-category focus on ending the violence in short-term. They are all coded as follows: 1 = Yes 0 = No
min01: co-ordination of donors, partners, and UN agencies	Co-ordinating the efforts of international, national, local, or regional partners, funds, organisations etc., or co-ordinating the activities of UN agencies on the ground.
min02: demilitarization	Monitoring of troop withdrawal and the flow of arms, ensuring that specific zones are free from military persons, equipment, or activities, or disarming armed groups or the civilian population.
min03: demining	Leading mine action, which can include implementing demining programmes and running mine awareness workshops.
min04: elimination of chemical weapons programme	Eliminating a state’s chemical weapons programme.
min05: good offices and mediation	Carrying out political diplomatic functions in support of the peace process.

min06: humanitarian assistance	Providing humanitarian assistance or supporting humanitarian assistance efforts undertaken by other entities.
min07: OMR military	Observing, monitoring, or reporting on ceasefire arrangements, withdrawals, or demilitarisation.
min08: OMR police	Observing, monitoring, or reporting on the activities of the local police and ensuring best practices.
min09: refugee / IDP assistance	Assisting refugees and internally displaced persons, including with their returns.
min10: SALW	Monitoring the illicit flow of small arms and light weapons (SALW).
min11: secure environment for delivery of aid	Maintaining or creating a secure environment for the delivery of aid and humanitarian assistance.
Moderate Tasks	Sub-category, not a variable: Tasks in this <i>moderate</i> sub-category focus on ending violence and promoting decent governance mechanisms. They are all coded as follows: 1 = Yes 0 = No
mod01: conflict assessment and early warning	Developing a system for forecasting armed conflict, or undertaking efforts to detect a potential escalation of violence at an early stage and putting preventive measures in place.
mod02: DDR	Supporting national institutions in disarmament, demobilisation and reintegration (DDR).
mod03: electoral assistance	Providing electoral assistance for referenda or elections. This can include supervising elections, organising the process, and/or implementing voter education campaigns.
mod04: electoral security	Ensuring a secure environment for the conduct of elections.
mod05: information campaigns	Disseminating information on the UN role in the peace process or UN mission objectives and mission mandates.
mod06: monitoring / investigating IHL/IHRL violations	Monitoring or investigating violations of international humanitarian law (IHL) or international human rights law (IHRL).
mod07: recovery, rehabilitation, and reconstruction	Supporting short-term economic recovery and rehabilitation through the reconstruction of critical infrastructure.
mod08: support to international criminal justice	Supporting international criminal justice, especially ensuring accountability for war crimes.

mod09: POC children	Protecting children from abductions, trafficking, military recruitment, and any other forms of exploitation and harm.
mod10: POC conflict-related sexual violence	Protecting vulnerable members of the civilian population (especially women and children) from conflict-related sexual violence.
mod11: POC general	Preventing or responding to threats of physical violence against civilians without prejudice to the responsibility of the host government.
Maximalist Tasks	Sub-category, not a variable: Tasks in this <i>maximalist</i> sub-category focus on addressing the root causes of conflict and institutionalizing governance mechanisms to peacefully resolve conflicts in the future. They are all coded as follows: 1 = Yes 0 = No
max01: civil society capacity building	Developing civil society capacity and fostering civil society engagement, especially by working with local organisations and rights groups on various issues.
max02: dialogue and reconciliation (local)	Fostering unity about key political issues at a local and community level.
max03: dialogue and reconciliation (national)	Fostering unity about key political issues at a national level.
max04: dialogue and reconciliation (regional)	Fostering unity about key political issues at a regional level.
max05: economic reforms	Supporting the government in long-term economic reform.
max06: good governance	Ensuring transparency, accountability and effectiveness in the management of a country's affairs at all levels, including natural resource management.
max07: human rights promotion	Upholding a human rights mandate. This includes emphasising human rights within national institutions and human rights advocacy.
max08: IB transitional state institutions	Institution building (IB) through support of the transitional authority or the interim administration.
max09: promotion of independent media	Promoting the establishment of an independent media.
max10: ROL judicial reform	Upholding the rule of law (ROL) through the fostering of effective, inclusive and accountable justice systems.
max11: ROL legal reform	Upholding the rule of law (ROL) through the strengthening of the state's legislative framework.
max12: ROL penal system reform	Upholding the rule of law (ROL) by supporting national prison authorities in improving prison infrastructure and enhancing the professionalism and accountability of corrections staff and systems.

max13: sexual and gender-based violence	Preventing or responding to sexual and gender-based violence.
max14: SSR military	Supporting security sector reform (SSR) of national armed forces.
max15: SSR police	Supporting security sector reform (SSR) of the police.
max16: support to permanent state administration	Supporting permanent state institutions, for instance by helping it extend its authority throughout the territory of a country.
max17: transitional justice	Supporting transitional justice, including access to justice via an effective judicial system in situations where crimes and human rights violations cannot be dealt with solely by national authorities.
max18: women's rights and participation	Promoting women's rights with an emphasis on the participation of women in the peace process and ensuing institutions.
ocat_all	Overarching category.
ocat01: use of force	Mission is authorised by the Security Council to use force to implement its mandate. There should be reference to Chapter VII and language that the mission is authorised to use all necessary means to enforce or implement its mandate.
mission_class	Overall classification of mission as Minimalist, Moderate or Maximalist. The overall classification score of a mission is calculated according to the formula outlined in section 7. Minimalist: $0 > \text{score} \leq 1.0$ Moderate: $1.0 > \text{score} \leq 2.0$ Maximalist: $2.0 < \text{score} \leq 3.0$
version	Data version. In this case, V2.0.

3) Versions history

The first version (V1.0) of the UNPMM was finalized in 2020. The data was further refined and updated over the next two years, resulting in V1.1, 1.2, 1.3, 1.4, and 1.5.³ These versions covered the period 1991 - 2020.

As part of the SNSF-funded Agora Project titled “Communicating About Peace: United Nations Peace Missions and their Mandates” and Swiss FDFA-funded project “Data on UN Peace Missions”, the UNPMM underwent a substantial update in 2023, adding coverage of the Cold War, post-Cold War and post-2020 years (up until December 31, 2022). The resulting UNPMM V2.0 covers all UN peace missions deployed to date, from the first mission deployed in 1948 to the Middle East to the most recent one deployed in 2020 in Sudan.

³ Prior versions of the dataset and codebook along with a user-interfaceable dataset developed for the period 1991-2020 can be accessed in the [archive folder of the UNPMM website](#).

During the new coding phase, some corrections were made to the previous coding. These mainly involved the addition of new mandate tasks to ongoing peace missions over the period 1991-2020 (as we went back to previous years' resolutions while reviewing mandates over 2021-2022). We also corrected the name of special envoy / special advisor missions (SE/SAs) to Good Offices Engagements (GOE), to reflect the language used by the UN. Lastly, we changed the mission IDs.

Accompanying the release of the UNPMM v2.0 are new digital platforms (web and mobile applications). Additional information related to each mission can be accessed on these platforms, notably executive summaries related to each mission, language related to all mandate tasks directly extracted from the UN resolutions or mission websites, as well as links to relevant resources.

4) Coding of mandate by year established: exceptions

In certain cases, a mission's mandate might be outlined in an official document that *pre*-dates the mission's official establishment. For example, BNUB's mandate was stipulated in S/RES/1959 (2010), but the mission was established in 2011. UNIOGBIS's mandate was stipulated in S/RES/1876 (2009), but the mission was established in 2010. UNOCA's mandate was outlined in S/2009/697 (2009), but the mission was established in 2011. In such instances, the UNPMM codes the mandate in the year the mission was established. A similar logic follows in the case of UNMIH, which differs slightly from the preceding examples. Though its mandate was outlined in S/RES/867 (1993) *and the mission was established in the same resolution*, the mission was not implemented due to the non-cooperation of Haitian authorities. UNMIH's mandate was revised in S/RES/940 (1994) and the mission deployed in 1994; thus, UNMIH's mandate is coded in 1994. In cases where a mission's mandate is first outlined in an official document that *post*-dates the mission's official establishment, we would check to see if there is evidence of a match in the mandate from the point of the mission's establishment. Where no such evidence can be found, we code the mandate in the year the official document outlining it appeared. This is the case for both UNPOS, whose mandate was outlined in S/RES/1863 (2009), though the mission was established in 1995; and UNAMI, whose mandate was outlined in S/RES/1546 (2004), though the mission was established in 2003. In both cases, the missions' mandates are coded in the year their mandates are officially outlined.

5) UCDP conflict ID coding rationale

In coding the UCDP conflict ID field for the missions, we followed three general rules:

First, we coded the UCDP IDs in a manner sensitive to conflict onset. If a peace mission ran from 2015 to 2020, and the first UCDP-logged episode of a relevant conflict occurred in 2017, it would be coded no earlier than 2017 (i.e., it would not be coded from 2015). This is to enable the better matching of mandate task establishment to conflict onset, should this prove a relevant avenue of analysis for a user of the dataset. However, if the first UCDP-logged episode of a relevant conflict occurred before the mission's establishment, the conflict is simply coded throughout the mission's lifetime. For example, in the case of MONUSCO in the Democratic

Republic of the Congo (DRC), the Katanga conflict (265) is coded from the point of the mission's start date in 2010 though the most relevant episode of the conflict occurred in 2013, as the first UCDP-logged episode of the conflict occurred in 1961.

Second, our coding of UCDP IDs does not take into account the 'end' of a conflict. If a peace mission ran from 2015 to 2020, and the last UCDP-logged episode of a relevant conflict occurred in 2018, the ID would still be coded in the 2019 and 2020 row for that mission. It is reasoned that the 'end' of a conflict is often a highly debated issue. As such, the assessment of a conflict being 'over' (or not) based on its last UCDP-logged conflict episode was not taken into account during the coding process. A further reason for this decision is that many of the missions (especially SPMs and GOEs) coded in this dataset endure well past, or are deployed years after, the last UCDP-logged conflict episode of the relevant conflict(s). Yet, they apply clearly to a specific conflict, or specific conflicts. In such cases, the relevant conflict IDs are logged for the whole duration of the mission. Examples of such missions include UNPOB and UNOMB in Bougainville.

Third and finally, the decision to not code UCDP conflict ID(s) are taken under any of the following circumstances:

(i) The mission is dedicated to a highly specific issue that does not directly pertain to a UCDP conflict. Examples include: the UN Secretary-General's Personal Envoy for the name dispute between Greece and the Former Yugoslav Republic of Macedonia (FYRoM), UNOAU (African Union), CICIG (Guatemala), the International Commission of Inquiry in Guinea, the UN Secretary-General's Personal Representative on the border controversy between Guyana and Venezuela, UNIIIC (Lebanon), and the UN Commission of Inquiry in Pakistan;⁴

(ii) The mission has a regional mandate that does not reference a specific conflict, or specific conflicts. Examples include: UNOCA in Central Africa and UNRCCA in Central Asia;⁵

(iii) The mission has a preventive mandate which does not apply to a specific conflict, or specific conflicts. Examples include: UNPREDEP in the Republic of North Macedonia; or

(iv) The mission was deployed to unstable contexts that do not meet the UCDP criteria of a conflict.⁶ Examples include: MINURCA in the Central African Republic (CAR), which was precipitated in the wake of three successive mutinies within the CAR Armed

⁴ Exceptions to this rule are UNSCOM in Iraq and the OCPW-UN Joint Mission in Syria, which addressed specific issues but were clearly established in relation to specific conflicts.

⁵ Note that OSEGL (Great Lakes Region) and UNOWAS (West Africa) are also regional, but specific conflicts are mentioned in relation to these missions' mandates.

⁶ In order to be a conflict episode to be considered an instance of state-based armed conflict and thus logged in the UCDP/PRIO Armed Conflict Dataset, there needs to be a use of armed force and a minimum of 25 battle-related deaths per year and per dyad, among other criteria.

Forces in 1996. These mutinies did not meet the UCDP/PRIO criteria for state-based armed conflict.

The UCDP ID coding rationale for several missions apart from those discussed in the preceding paragraph deserves further elucidation, which is provided for in table below.

<i>Mission</i>	<i>Notes on coding of UCDP ID</i>
MONUA, UNOA and UNMA (Angola)	The Cabinda conflict (387) is not coded as no reference to it could be found within these missions' mandates.
UNASOG (Aouzou Strip)	The relevant conflict episode in the UCDP dataset started and ended in 1987, though this mission was deployed in 1994. At that point, diplomatic relations had been established between the Republic of Chad and the Socialist People's Libyan Arab Jamahiriya and dispute over the ownership of the Aouzou Strip had been referred to the International Court of Justice (ICJ).
ONUCA (Central America)	ONUCA's mandate related generally to the Cold War conflicts in the Central American region. The three 'main' conflicts in El Salvador, Guatemala, and Nicaragua are coded in the dataset. Other potentially relevant conflicts are: 225, 255, and 306.
OSEGL (Great Lakes Region)	283 is coded as the Peace, Security and Cooperation Framework for the Democratic Republic of the Congo (DRC) and the region explicitly references the conflict in eastern DRC. The conflict between the government of the DRC and the Bundu dia Kongo (BDK) is not coded.
UNSCOM (Iraq)	371 was coded as the resolution establishing the mission refers specifically to the aftermath of the Gulf War.
UNDOF (Iraq)	UNDOF's mandate pertains specifically to the tensions between Israel and Syria. Hence, only 302 is coded.
UNMOGIP (Jammu and Kashmir)	The Kashmir insurgency (264) is not coded as UNMOGIP's mandate is directly aimed at the monitoring of the ceasefire between India and Pakistan.
UNSMIL (Libya)	13694 is coded, as part of UNSMIL's mandate concerns the provision of assistance to efforts led by the Government of National Accord to stabilize post-conflict zones, including those liberated from ISIL.
Special Envoy (Myanmar)	The other relevant conflicts from the UCDP/PRIO dataset are: 231, 253, 264, 439, 440, and 11475.
Special Envoy (Syria)	299 is coded as the Special Envoy's mandate specifically references the March 2011 protests.

OCPW-UN Joint Mission (Syria)	299 is coded, as in spite of being a mission targeted at a specific issue, its mandate directly pertains to the civil war in Syria.
UNOWAS (West Africa)	This mission had a general regional mandate. However, the Cameroon-Nigeria dispute is referenced specifically in its mandate, hence 405 is coded.
Mediator in Palestine (Israel/Palestine)	Based on the UCDP/PRIO dataset, 228 was coded as of 1948, and 234 as of 1949.
UNCCP (Israel/Palestine)	Based on the UCDP/PRIO dataset, 228 was coded as of 1948, and 234 as of 1949.
UNTSO (Israel/Palestine)	Based on the UCDP/PRIO dataset, 228 was coded as of 1948, and 234 as of 1949.

6) Gleditsch/Ward numbers coding rationale

In coding the GW ID and number fields for the missions, wherever possible, we included the GW IDs and numbers for the location or headquarters of the mission, the location of the relevant conflict(s), and/or the main conflict parties involved.⁷ The GW numbers are listed in ascending order, and are *not* sensitive to conflict onset.

Several missions merited special treatment regarding the coding of their GW numbers and IDs. These are described in the table below:

<i>Mission ID</i>	<i>Notes on coding of GW ID and number fields</i>
UNRCCA (Central Asia)	All the countries encompassed by this mission are coded, namely: Turkmenistan, Tajikistan, Kyrgyzstan, Uzbekistan, and Kazakhstan. The mission has offices in all five countries, though it is headquartered in Ashgabat, Turkmenistan.
ONUCA (Central America)	All the countries encompassed by this mission are coded, namely: Guatemala, Honduras, El Salvador, Nicaragua, and Costa Rica. The mission was headquartered in Tegucigalpa, Honduras.
UNRGID (Georgia)	Switzerland is coded, as significantly, this mission is hosted in Geneva, Switzerland.
OSEGL (Great Lakes Region)	All the countries encompassed by this mission are coded, namely: DRC, Burundi, and Rwanda.
UNOWAS (West Africa/Sahel)	Senegal is coded as this is the mission's headquarters. Cameroon and Nigeria are <i>not</i> coded, though the mission references UCDP conflict 405.
Mediator in Palestine (Israel/Palestine)	According to the UCDP/PRIO dataset, conflict_id 228 includes six states (ISR 666, EGY 651, IRQ 645, JOR

⁷ The main conflict parties are those coded as "side_a" or "side_b" in the UCDP/PRIO Armed Conflict Dataset (Version 20.1). We do not code the GW numbers of countries logged as "side_a_2nd" and "side_b_2nd" in the aforementioned dataset.

	663, LEB 660, SYR652). Since the UNPMM only codes up to five states, the first five (according to the UCDP/PRIO) were coded.
UNCCP (Israel/Palestine)	According to the UCDP/PRIO dataset, conflict_id 228 includes six states (ISR 666, EGY 651, IRQ 645, JOR 663, LEB 660, SYR652). Since the UNPMM only codes up to five states, the first five (according to the UCDP/PRIO) were coded.
UNTSO (Israel/Palestine)	According to the UCDP/PRIO dataset, conflict_id 228 includes six states (ISR 666, EGY 651, IRQ 645, JOR 663, LEB 660, SYR652). Since the UNPMM only codes up to five states, the first five (according to the UCDP/PRIO) were coded.

7) Mission classification formula

A formula is used to determine the classification of a mission as *Minimalist*, *Moderate*, or *Maximalist*. Each *minimalist*, *moderate*, and *maximalist* task is assigned a weight of 1, 2, and 3 respectively. The weighted sum total is then divided by the total number of tasks assigned for the mission, resulting in a score between 1.0 and 3.0.

Overall Mission Classification Score =

$$\frac{(\text{No. of } \textit{minimalist} \text{ tasks} * 1) + (\text{No. of } \textit{moderate} \text{ tasks} * 2) + (\text{No. of } \textit{maximalist} \text{ tasks} * 3)}{\text{Total no. of mission tasks}}$$

The classification criteria is as follows:

<i>Score</i>	<i>Overall Mission Classification</i>
$0 > \text{score} \leq 1.0$	<i>Minimalist</i>
$1.0 > \text{score} \leq 2.0$	<i>Moderate</i>
$2.0 < \text{score} \leq 3.0$	<i>Maximalist</i>

Each mission is assigned just one overall mission classification which does not change over time and is based on all the mandate tasks established during the mission's lifespan, taken in aggregate. Though the formula is designed such that one task from a higher category tips a mission into a higher category, there are some exceptions to the rule. Notably in the case of UNOSOM II (Somalia) and UNMIH (Haiti), the missions are classified as Moderate even though the missions contain maximalist tasks.

8) Key data sources

The coding for the UNPMM is predominantly based on UNSC resolutions which establish, confirm, and adapt peace mission mandates. In some cases, the UNSC resolutions refer to letters exchanged between the UN Secretary-General and the President of the UNSC or to Peace Agreements that describe a mandate in more detail. In case a mission did not have a written mandate, which is especially the case for good offices engagements, we relied on other UN sources such as official UN mission websites. Given the lack of available information on missions established during the Cold War period, we drew more heavily on secondary sources.

A list of key references consulted in the establishment of the UNPMM can be found below:

- <https://peacekeeping.un.org/en>
- <https://peacekeeping.un.org/en/list-of-past-peacekeeping-operations>
- <https://dppa.un.org/en/past-missions>
- <https://www.un.org/securitycouncil/file/122688>
- <https://www.unmissions.org>
- <https://www.securitycouncilreport.org>
- <https://undocs.org>
- Lloyd, Gabriella. “New Data on UN Mission Mandates 1948–2015: Tasks Assigned to Missions in Their Mandates (TAMM).” *Journal of Peace Research*, (April 2021).
- Bellamy, Alex J., Stuart Griffin, and Paul Williams. *Understanding Peacekeeping*. Cambridge: Polity Press, 2004.