

# CS3230 Cheatsheet by MA

## Stable Matching

A matching is **stable** if no unmatched man and woman both prefer each other to their current partners, ie. Gale-Shapley Algorithm

## Asymptotic Analysis

1.  **$O$ -notation** (upper bound)

$O(g(n)) = \{f(n) : \text{there exist constants } c > 0, n_0 > 0 \text{ such that } 0 \leq f(n) \leq c \cdot g(n) \text{ for all } n \geq n_0\}$

2.  **$\Theta$ -notation** (tight bound)

$\Theta(g(n)) = \{f(n) : \text{there exist constants } c_1 > 0, c_2 > 0, n_0 > 0 \text{ such that } 0 \leq c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n) \text{ for all } n \geq n_0\}$

3.  **$\Omega$ -notation** (lower bound)

$\Omega(g(n)) = \{f(n) : \text{there exist constants } c > 0, n_0 > 0 \text{ such that } 0 \leq c \cdot g(n) \leq f(n) \text{ for all } n \geq n_0\}$

4.  **$o$ -notation** (tight upper bound)

$o(g(n)) = \{f(n) : \text{for any constant } c > 0, \text{ there is a constant } n_0 > 0 \text{ such that } 0 \leq f(n) < c \cdot g(n) \text{ for all } n \geq n_0\}$

5.  **$\omega$ -notation** (tight lower bound)

$\omega(g(n)) = \{f(n) : \text{for any constant } c > 0, \text{ there is a constant } n_0 > 0 \text{ such that } 0 \leq c \cdot g(n) < f(n) \text{ for all } n \geq n_0\}$

## Properties of big-O

### Transitivity

$$f(n) = \Theta(g(n)) \ \& \ g(n) = \Theta(h(n)) \\ \Rightarrow f(n) = \Theta(h(n))$$

$$f(n) = O(g(n)) \ \& \ g(n) = O(h(n)) \\ \Rightarrow f(n) = O(h(n))$$

$$f(n) = \Omega(g(n)) \ \& \ g(n) = \Omega(h(n)) \\ \Rightarrow f(n) = \Omega(h(n))$$

$$f(n) = o(g(n)) \ \& \ g(n) = o(h(n)) \\ \Rightarrow f(n) = o(h(n))$$

$$f(n) = \omega(g(n)) \ \& \ g(n) = \omega(h(n)) \\ \Rightarrow f(n) = \omega(h(n))$$

### Reflexivity

$$f(n) = \Theta(f(n))$$

$$f(n) = O(f(n))$$

$$f(n) = \Omega(f(n))$$

### Symmetry

$$f(n) = \Theta(g(n)) \text{ iff } g(n) = \Theta(f(n))$$

### Complementary

$$f(n) = O(g(n)) \text{ iff } g(n) = \Omega(f(n))$$

$$f(n) = o(g(n)) \text{ iff } g(n) = \omega(f(n))$$

## Properties of Math

### Exponential

$$a^{-1} = 1/a$$

$$(a^m)^n = a^{mn}$$

$$a^m a^n = a^{m+n}$$

$$e^x \geq 1 + x$$

### Logarithm

$$\lg n = \log_2 n$$

$$\ln n = \log_e n$$

$$\lg^k n = (\lg n)^k$$

$$\lg \lg n = \lg(\lg n)$$

$$a = b^{\log_b a}$$

$$\log_c(ab) = \log_c a + \log_c b$$

$$\log_b a^n = n \log_b a$$

$$\log_b a = \frac{\log_c a}{\log_c b}$$

$$\log_b(1/a) = -\log_b a$$

$$\log_b a = \frac{1}{\log_a b}$$

$$a^{\log_b c} = c^{\log_b a}$$

### Stirling's approximation

$$n! = \sqrt{2\pi n} \left(\frac{n}{e}\right)^n \left(1 + \theta\left(\frac{1}{n}\right)\right)$$

$$\log(n!) = \theta(n \lg n)$$

### Arithmetic Series

$$\sum_{k=1}^n k = 1 + 2 + 3 + \dots + n$$

$$= \frac{1}{2}n(n+1) = \Theta(n^2)$$

### Geometric Series

$$\sum_{k=1}^n x^k = 1 + x^2 + x^3 + \dots + x^n = \frac{x^{n+1} - 1}{x - 1}$$

$$\sum_{k=1}^{\infty} x^k = \frac{1}{1-x} \text{ when } |x| < 1$$

### Harmonic Series

$$H_n = 1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n}$$

$$= \sum_{k=1}^n \frac{1}{k}$$

$$= \ln n + O(1)$$

## Telescoping Series

For any sequence  $a_0, a_1, \dots, a_n$

$$\sum_{k=0}^{n-1} (a_k - a_{k+1}) = \begin{matrix} (a_0 - \cancel{a_1}) + \\ (\cancel{a_1} - \cancel{a_2}) + \\ (\cancel{a_2} - \cancel{a_3}) + \\ \dots \\ (\cancel{a_{n-1}} - a_n) \end{matrix} = a_0 - a_n$$

## Limit

Assume  $f(n), g(n) > 0$

$$\lim_{x \rightarrow \infty} \left( \frac{f(n)}{g(n)} \right) = 0 \rightarrow f(n) = o(g(n))$$

$$\lim_{x \rightarrow \infty} \left( \frac{f(n)}{g(n)} \right) < \infty \rightarrow f(n) = O(g(n))$$

$$0 < \lim_{x \rightarrow \infty} \left( \frac{f(n)}{g(n)} \right) < \infty \rightarrow f(n) = \Theta(g(n))$$

$$\lim_{x \rightarrow \infty} \left( \frac{f(n)}{g(n)} \right) > 0 \rightarrow f(n) = \Omega(g(n))$$

$$\lim_{x \rightarrow \infty} \left( \frac{f(n)}{g(n)} \right) = \infty \rightarrow f(n) = \omega(g(n))$$

## L'Hopital's Rule

If we have an indeterminate form  $\frac{0}{0}$  or  $\frac{\infty}{\infty}$ , then

$$\lim_{x \rightarrow \infty} \left( \frac{f(n)}{g(n)} \right) = \lim_{x \rightarrow \infty} \left( \frac{f'(n)}{g'(n)} \right)$$

## Correctness of Algorithms

### Iterative Algorithms

A **loop invariant** is:

- true at the beginning of an iteration, and
- remains true at the beginning of the next iteration
- if true at the end, then it implies algorithm's correctness

To use invariant to show the correctness of an iterative algorithm, we need to show three things:

- **Initialization:** The invariant is true before the first iteration of the loop.
- **Maintenance:** If the invariant is true before an iteration, it remains true before the next iteration.
- **Termination:** When the algorithm terminates, the invariant provides a useful property for showing correctness.

### Recursive Algorithms

To show the correctness of a recursive algorithm:

- Use strong induction
- Prove base cases
- Show algorithm works correctly assuming algorithm works correctly for smaller cases.

## Solve Recurrences

### Recursion tree

Draw out the recurrence in the form of a tree

### Master method

Master theorem applies to recurrences of the form

$$T(n) = aT(n/b) + f(n)$$

where  $a \geq 1, b > 1$  and  $f$  is asymptotically positive

When comparing  $f(n)$  and  $n^{\log_b a}$  There are three cases to master theorem.

Define  $a, b, f(n)$  and  $n^{\log_b a}$

1.  $f(n) = O(n^{\log_b a - \varepsilon})$  for some constant  $\varepsilon > 0$ .  
 $T(n) = \Theta(n^{\log_b a})$
2.  $f(n) = \Theta(n^{\log_b a} \log^k n)$  for some constant  $k \geq 0$ .  
 $T(n) = \Theta(n^{\log_b a} \log^{k+1} n)$
3.  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  for some constant  $\varepsilon > 0$  and  $f(n)$  satisfies the **regularity condition** that  $af(n/b) \leq cf(n)$  for some constant  $c < 1$ .  
 $T(n) = \Theta(f(n))$

### Substitution method

Guess the time complexity and verify that it is correct by induction

### Telescoping method

Expand out the recurrence, until the base case then add up

## Randomized Algorithms

An algorithm is called **randomized** if its output and running time are determined by its input and also by values produced by a random-number generator.

### Random Variables and Expectation

A **discrete random variable** is a function from a sample space to the integers.

**Expectation:**  $E[X] = \sum_i i \cdot Pr[X = i]$

**Linearity of expectations:**  $E[X + Y] = E[X] + E[Y]$  for any two random variables  $X$  and  $Y$ .

### Examples of Randomized Algorithms

**Monte Carlo Algorithm:** Randomized algorithm that gives the correct answer with probability  $1 - o(1)$  ("high probability"), but run-time bound holds deterministically

- finding  $\pi$  by randomly sampling  $n$  (x,y) and count fractions satisfying  $x^2 + y^2 \leq 1$  then multiply by 4 to get an estimate to  $\pi$
- run-time is  $\Theta(n)$  but only approximates

**Las Vegas Algorithm:** Randomized algorithm that always gives the correct answer, but the run-time is a random variable

- very simple
- average  $O(n)$  time complexity

## Hashing

**SUHA:**  $p(h(x_i) = h(x_j)) \leq \frac{1}{m}$

Different types of dictionaries:

**Static:** set of inserted items fixed; only care about queries

**Insertion only:** only insertions and queries

**Dynamic:** insertions, deletions and queries

### Universal Hashing

Suppose  $H$  is a set of hash functions mapping  $U$  to  $[M]$ . We say  $H$  is **universal** if for all  $x \neq y$ :

$$\frac{|h \in H : h(x) = h(y)|}{|H|} \leq \frac{1}{M}$$

For any  $x \neq y$ , if  $h$  is chosen uniformly at random from a universal  $H$ , there's at most  $\frac{1}{M}$  probability that  $h(x) = h(y)$ .

### Collision Analysis

Suppose  $H$  is a *universal* family of hash functions mapping  $U$  to  $[M]$ . For any  $N$  elements,  $x_1, \dots, x_N$ , the expected number of collisions between  $x_N$  and the other elements is  $< \frac{N}{M}$ .

### Expected Cost

Suppose  $H$  is a *universal* family of hash functions mapping  $U$  to  $[M]$ . For any sequence of  $N$  insertions, deletions and queries, if  $M \geq N$ , then the expected total cost for a random  $h \in H$  is  $O(n)$ .

### Construction of universal family

Suppose  $U$  is indexed by  $u$ -bit string, and  $M = 2^m$ . For any binary matrix  $A$  with  $m$  rows and  $u$  columns:

$$h_A(x) = Ax \pmod{2}$$

Claim:  $\{h_A : A \in \{0, 1\}^{m \times u}\}$  is universal.

$H$  can be used for dictionaries. In addition to storing the hash table, matrix  $A$  also needs to be stored

- Additional storage overhead  $\theta(\log N \cdot \log U)$  bits, if  $M = \theta(N)$ .

### Perfect Hashing

#### Quadratic Space

If  $H$  is *universal* and  $M = N^2$ , then if  $h$  is sampled uniformly from  $H$ , the expected number of collisions is  $< 1$ . Therefore, there is a hash function  $h : U \rightarrow [N^2]$  from  $H$  for which there are no collisions.

### 2-Level Scheme

If  $H$  is *universal*, then if  $h$  is sampled uniformly from  $H$ :

$$\mathbb{E} \left[ \sum_k L_k^2 \right] < 2N$$

## Amortized Analysis

**Amortized analysis** a strategy for analyzing a sequence of operations to show that the average cost per operation is small, even though a single operation within the sequence might be expensive. We will only look at deterministic algorithms. An amortized analysis *guarantees* the average performance of each operation in the worst case.

### Types of Amortized Analysis

#### Aggregate method

We count the complexity of each operation and determine a pattern and come up with an overall bound for the time complexity.

Consider a queue with two operations:

1.  $INSERT(x)$ : insert an element  $x$
2.  $EMPTY()$ : deletes all elements one by one

Worst case cost of a single  $INSERT(x)$ :  $\theta(1)$

Worst case cost of a single  $EMPTY()$ :  $\theta(n)$

Observe that if there are  $k$   $INSERT$ , then the sum of cost of all  $EMPTY$  is  $\leq k$ . Total cost:  $\leq k + k = 2k \leq 2n$ .

Amortized cost is  $O(1)$ .

#### Accounting method

Charge  $i$ -th operation a fictitious **amortized cost**  $c(i)$ . This amortized cost  $c(i)$  is a fixed cost for each operation, while the true cost  $t(i)$  varies depending on what operation is called. Amortized cost  $c(i)$  must satisfy, for all  $n$ :

$$\sum_{i=1}^n t(i) \leq \sum_{i=1}^n c(i)$$

The total amortized costs provides an upper bound on the total true costs. Different operations can have different amortized costs.

#### Potential method

$\phi$ : Potential function associated with the algorithm/DS

$\phi(i)$ : Potential at the end of the  $i$ th operation

Important conditions to be fulfilled by  $\phi$

- $\phi(i) \geq 0$  for all  $i$

Amortized cost of  $i$ -th operation

= Actual cost of  $i$ th operation +  $(\Delta\phi(i))$

= Cost of  $i$ th operation +  $(\phi(i) - \phi(i-1))$

Amortized cost of  $n$  operations

=  $\sum_i$  Amortized cost of  $i$ th operation

= Actual cost of  $n$  operations +  $(\phi(n) - \phi(0))$

$\geq$  Actual cost of  $n$  operations  $-\phi(0)$

Select a suitable potential function  $\phi$ , so that for the costly operation,  $\Delta\phi_i$  is negative such that it nullifies or reduces the effect of the actual cost.

Try to find a quantity that is decreasing in the expensive operation