# Implementation of the Cmajor Compiler

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May 26, 2016

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# Chapter 1

# Introduction

This document describes the implementation of the Cmajor compiler front-end. We also inspect some excerpts of language theory and parsing theory as we go on to make the description of implementation hopefully more understandable.

# 1.1 Cmajor Programming Language and Cmajor Compilers

Cmajor is a hybrid programming language that combines  $C^{\#}$  like syntax with C++ like semantics. The original Cmajor compiler is written in C++. Now there is also a Cmajor compiler written in Cmajor that was created by manually converting the C++ version to Cmajor. However it still lacks some features that are present in the C++ version, so the the principal version as of this writing remains to be the C++ version.

# 1.2 Phases of Compilation

In classical compiler text books the compilation consists in principle of the following phases:

- 1. In the lexical analysis phase a stream of characters of source code of a program is broken into lexical units called *lexemes* and an integer or enumerated value called a *token* is assigned to each lexeme.
- 2. In the syntax analysis phase the grammatical structure of tokens are analyzed, and abstract syntax trees are generated.
- 3. In the semantic analysis phase the syntax trees are traversed and the program is typechecked and verified that it consists of semantically meaningful elements.
- 4. In the intermediate code generation phase intermediate code for program elements are generated.
- 5. In the machine-independent code optimization phase intermediate code is processed and optimized using various passes.
- 6. In the code generation phase machine code is generated.
- 7. In the machine-dependent code optimization phase the machine code is optimized further and target machine code is generated.

The compiler collects information<sup>1</sup> about identifiers encountered in the program into a *symbol table* and consults the symbol table when information about an identifier is needed.

**Example 1.2.1.** Consider the following source code fragment:

```
x = 10 * x + (cast < int > (c) - cast < int > ('0'));
```

We are now going to have a taste of what the input and output of each phase of the compilation looks like.

1. Lexical analysis. The lexical analyzer might produce the following lexemes for the code fragment above:

```
x, =, 10, *, x, +, (, cast, <, int, >, (, c, ), -, cast, <, int, >, (, '0'), ) and ;.
```

If we represent punctuation and other symbolic lexemes with token values equal to themselves and other lexemes with upper case identifiers, the lexical analyzer may assign the following tokens to the lexemes that do not represent themselves:

- x: ID (identifier)
  10: INTLIT (integer literal)
  cast: CAST (reserved word)
  int: INT (reserved word)
  c: ID (identifier)
  '0': CHARLIT (character literal)
- 2. Syntactic analysis. The syntax analyzer or *parser* receives the following token stream from the lexical analyzer or *lexer*:

```
ID, =, INTLIT, *, ID, +, (, CAST, <, INT, >, (, ID, ), -, CAST, <, INT, >, (, CHARLIT, ), ) and ;.
```

The result of phase 2 is an abstract syntax tree or AST that reveals the syntactic structure of the source code. Thus the parser may produce the following abstract syntax tree for the code fragment:

```
AssignmentStatementNode
    IdentifierNode(x)
    AddNode
        MulNode
        SByteLiteralNode(10)
        IdentifierNode(x)
        SubNode
        CastNode
        IntNode
        IdentifierNode(c)
        CastNode
        Intnode
        Introde
        CharLiteralNode('0')
```

<sup>&</sup>lt;sup>1</sup>type for example

3. Semantic analysis. The abstract syntax trees generated in phase 2 are traversed and the program is type-checked. Assuming that identifier **x** has been declared earlier to be a variable of type **int** and identifier **c** to be a variable of type **char**, the type-checker finds this information in the symbol table, when it walks the syntax tree.

When encountering the MulNode the type-checker checks whether it is legal to multiply an **sbyte** literal 10 by a variable x of type **int**. This is the case so it records that the result of this multiplication produces a value of type **int**.

When encountering the first CastNode it checks if it is legal to convert a variable c of type **char** to type **int**. Similarly for the second CastNode, the conversion of the character literal '0' to type **int** is checked. They are both legal so the SubNode produces a value of type **int**.

When encountering the AddNode two int values are added and the result is of type int.

Finally when encountering the AssignmentStatementNode the type-checker checks whether it is legal to assign a value of int to a variable x of type int. This is the case so the type-checking succeeds.

4. Intermediate code generation. The following intermediate code<sup>2</sup> may be produced from the abstract syntax tree and from information stored in the symbol table:

```
%1 = sext i8 10 to i32

%2 = load i32, i32* %x

%3 = mul i32 %1, %2

%4 = load i8, i8* %c

%5 = zext i8 %4 to i32

%6 = zext i8 48 to i32

%7 = sub i32 %5, %6

%8 = add i32 %3, %7

store i32 %8, i32* %x
```

Quick introduction to intermediate instructions:

- %1, %2, etc. represent intermediate results of computation. They may be regarded as registers. There are inifinite number of them.
- i8, i16 and i32 are 8-bit, 16-bit and 32-bit integer types.
- sext instruction sign extends its operand to a target type.
- load instruction loads a value of a variable.
- mul instruction multiplies two values.
- **zext** instruction *zero extends* its operand to a target type.
- **sub** instruction subtracts a value from another.
- add instruction adds two values.
- store instruction stores a value to a variable.

<sup>&</sup>lt;sup>2</sup>this is LLVM intermediate code [4]

5. Code optimization. The following optimized intermediate code may be generated from the intermediate code produced in phase 4:

```
%1 = load i32, i32* %x

%2 = mul i32 %1, 10

%3 = load i8, i8* %c

%4 = zext i8 %3 to i32

%5 = add i32 %2, -48

%6 = add i32 %5, %4

store i32 %6, i32* %x
```

6. Machine code generation. The following fragment of assembly code may be generated:

```
movl 8(%rsp), %eax
leal (%rax,%rax,4), %eax
movzbl 7(%rsp), %ecx
leal -48(%rcx,%rax,2), %eax
movl %eax, 8(%rsp)
```

# 1.3 Front-end and Back-end of a Compiler

The lexical, syntactic and semantic analysis phases and intermediate code generation phase form a *front-end* of a compiler. The optimization and target machine code generation phases form a *back-end* of a compiler.

By combining N programming language specific front-ends with M target machine architecture specific back-ends it is possible to create N times M compilers by writing only N plus M programs.

Intermediate code is the glue between the front and back ends of a compiler.

## 1.4 Structure of This Document

We begin by exploring the theory behind lexical analysis and continue with the practise of it in Cmajor compiler. Next we go through some parsing theory to enlighten the syntax analysis phase and inspect the implementation of Cmajor Parser Generator that is the parsing tool used in Cmajor compiler. Finally we take some examples of implementation of the Cmajor language parser.

In the rest of this document we go through the semantic analysis and intermediate code generation that are intertwined in the Cmajor compiler, and also go through other components of the compiler and other phases of compilation that do not fit so nicely to the theory but are essential in any way.

# Chapter 2

# Lexical Analysis

The first phase of compilation is to break the character stream into tokens that are passed along to the parser. Here a token is defined to be a name and an attribute value. For example, **INTLIT** with a value 10.

Typically these tokens are described as *patterns* that define the form that the lexemes of a token may take. Here a lexeme is the actual sequence of characters in an input stream that match that pattern. One way to describe those patterns is to use *regular expressions*.

# 2.1 A Bit of Language Theory

To describe regular expressions we take a small break and define a few fundamental concepts.

### 2.1.1 Alphabets

An alphabet is a finite, nonempty set of symbols. Conventionally, we use the symbol  $\Sigma$  for an alphabet ([2] pg. 28).

Typical alphabets are:

- $\Sigma = \{0, 1\}$ , a binary alphabet.
- $\Sigma = \{a, \dots z\}$ , the alphabet of lowercase latin letters.
- The set of ASCII characters.
- The set of Unicode characters.

### 2.1.2 Strings

A string is a finite sequence of symbols chosen from some alphabet ([2] pg. 29). An empty string is the string of zero occurrences of symbols. It is denoted  $\epsilon$ .

## 2.1.2.1 Powers of an Alphabet

If  $\Sigma$  is an alphabet, we define  $\Sigma^k$  to be the set of strings of length k, each of whose symbols is in  $\Sigma$  ([2] pg. 29).

Thus if  $\Sigma = \{0, 1\}$ , the binary alphabet:

- $\Sigma^2 = \{00, 01, 10, 11\}$
- $\Sigma^3 = \{000, 001, 010, 011, 100, 101, 110, 111\}$

The set of all strings over an alphabet is denoted  $\Sigma^*$ .

## 2.1.3 Languages

A set of strings all of which are chosen from some  $\Sigma^*$ , where  $\Sigma$  is a particular alphabet, is called a *language* ([2] pg. 30). If  $\Sigma$  is an alphabet, and  $L \subseteq \Sigma^*$ , then L is a language over  $\Sigma$ . Examples of languages:

- English: the collection of legal English words is a set of strings over the alphabet that consists of all the letters.
- The language of legal C programs: the alphabet is a subset of ASCII characters, and the language is a subset of all possible strings over that alphabet.
- The set of binary numbers whose value is prime:

$$\{10, 11, 101, 111, 1011, \ldots\}$$

- $\emptyset$ , the empty language, is a language over any alphabet.
- $\Sigma^*$  is a language over any alphabet.
- The language of all possible UTF-8 encoded strings of Unicode characters, denoted  $L_{UTF8}$ .
- The language of syntactically valid Cmajor programs,  $L_{Cmajor} \subset L_{UTF8}$ .

## 2.1.4 Regular Expressions

Regular expressions define languages.

Before describing the notation of regular expressions, we need to define three operations on languages that the operators of regular expressions represent:

- 1. The union of two languages L and M, denoted  $L \cup M$ , is the set of strings that are in either L or M, or both ([2] pg. 84). For example, if  $L = \{01, 10\}$  and  $M = \{10, 100\}$ ,  $L \cup M = \{01, 10, 100\}$ .
- 2. The concatenation of languages L and M is the set of strings that can be formed by taking any string in L and concatenating it with any string in M ([2] pg. 84). We denote concatenation of L and M LM. For example, if  $L = \{01, 10\}$  and  $M = \{10, 100\}$ ,  $LM = \{0110, 01100, 1010, 10100\}$ .
- 3. The closure of a language L, denoted  $L^*$ , is the infinite union  $\bigcup_{i\geq 0} L^i$ , where  $L^0 = \{\epsilon\}$ , the set containing the empty string,  $L^1 = L$ , and  $L^i$ , for i > 1, is  $LL \cdots L$ , the concatenation of i copies of L ([2] pg. 85). For example, if  $L = \{01, 10\}$ ,  $L^* = \{\epsilon, 01, 10, 0101, 0110, 1010, \ldots\}$ . That is:  $L^0$  gives  $\{\epsilon\}$ , the empty string,  $L^1 = L$  gives  $\{01, 10\}$ ;  $L^2 = LL$  gives  $\{0101, 0110, 1001, 1010\}$  and so on.

Now regular expressions can be defined recursively as follows:

**BASIS**: There are three parts:

- 1. The constants  $\epsilon$  and  $\emptyset$  are regular expressions that denote languages  $\{\epsilon\}$  and  $\emptyset$  respectively. That is,  $L(\epsilon) = \{\epsilon\}$  and  $L(\emptyset) = \emptyset$ .
- 2. If a is any symbol, then **a** is a regular expression <sup>1</sup>. This regular expression denotes the language  $\{a\}$ . That is,  $L(\mathbf{a}) = \{a\}$ .
- 3. A variable L represents any language.

### **INDUCTION**: There are four parts:

- 1. If E and F are regular expressions, then E|F is a regular expression that denotes a union of L(E) and L(F). That is,  $L(E|F) = L(E) \cup L(F)$ .
- 2. If E and F are regular expressions, then EF is a regular expression that denotes the concatenation of L(E) and L(F). That is, L(EF) = L(E)L(F).
- 3. If E is a regular expression, then  $E^*$  is a regular expression that denotes the closure of L(E). That is,  $L(E^*) = (L(E))^*$ .
- 4. If E is a regular expression, then (E), a parenthesized regular expression, is also a regular expression, that denotes the same language as E. That is, L((E)) = L(E).

**Example 2.1.1.** Let us use the formal theory to build a regular expression for sequence of one or more decimal digits. First we use the basis rule 2 to build regular expressions for decimal digits:

Now we have languages

$$L(\mathbf{0}) = \{0\}, \dots, L(\mathbf{9}) = \{9\}$$

Next we use induction step 1 to build a regular expression for any decimal digit, denoted by D:

$$D = \mathbf{0}|\mathbf{1}|\mathbf{2}|\mathbf{3}|\mathbf{4}|\mathbf{5}|\mathbf{6}|\mathbf{7}|\mathbf{8}|\mathbf{9}$$

Now we have a language for a single decimal digit:

$$L(D) = \{0, 1, \dots, 9\}$$

Next we use induction step 3 to build a regular expression of any number, including zero, decimal digits:

$$E = D^*$$

Now we have a language for any number of decimal digits:

$$L(E) = \{\epsilon, 0, 1, \dots, 9, 00, 01, \dots, 09, \dots\}$$

Finally we exclude the empty string by concatenating one decimal digit with any number of decimal digits:

$$F = DD^*$$

The language for nonempty sequence of decimal digits is thus

$$L(F) = \{0, 1, \dots, 9, 00, 01, \dots, 09, \dots\}$$

<sup>&</sup>lt;sup>1</sup>Here we denote regular expressions using **bold typeface** and symbols using *italics*.

# 2.2 Tools for Lexical Analysis

Regular expressions can be used to describe patterns that form tokens. But using regular expressions, one can describe only relatively simple kind of languages, namely regular languages.

Strings that belong to a particular regular language can be recognized by constructing a *finite automaton*. A finite automaton is a kind of *state machine*, it has states and transitions between the states, but it has limited "memory". It cannot for example recognize the language of arbitrary long strings of balanced parentheses.

Many fundamental programming language constructs such as identifiers and literals are regular, but to recognize potentially infinitely deep block structures, one needs to have a more powerful kind of language recognizer, a finite automaton with a stack, or a *pushdown* automaton.

A pushdown automaton can recognize a language that is *context-free*. The languages for syntactic structures in many programming languages are mostly context-free, but for some constructs one may need to provide lexical information to guide the parser.

Finite automata can be constructed by hand, but there are also tools that take regular expression patterns as input and construct a lexical analyzer that recognize those patterns. Such a tool is called a *lexical-analyzer generator*. Most famous is the Unix tool lex and its GNU version flex.

# 2.3 Lexical Analysis in Cmajor

The Cmajor compiler includes a tool called Cmajor Parser Generator, cmpg, that combines the role of a parser generator and a lexical-analyzer generator, or more truly, it is a parser generator that can be used without the need to have a separate lexical-analyzer generator.

## 2.3.1 Introduction to Cmajor Parser Generator

The following table summarises some cmpg expressions:

Expression	Matches	Example
empty	empty string	empty
space	any white space character	space
anychar	any single character	anychar
letter	any latin letter	letter
$\operatorname{digit}$	any decimal digit	$\operatorname{digit}$
$\mathbf{hexdigit}$	any hexadecimal digit	hexdigit
punctuation	any ASCII punctuation character	punctuation
'c'	character c	'a'
$\backslash c$	character c literally	\(
"s"	string s	"0x"
[s]	any one of characters in s	[abc]
[^s]	any one character not in s	[^abc]
r*	zero or more strings matching $r$	$a^*$
r+	one or more strings matching $r$	a+
r?	zero or one r	a?
$r_1r_2$	an $r_1$ followed by an $r_2$	ab
$r_1 r_2$	an $r_1$ or an $r_2$	a b
$r_1 - r_2$	$r_1$ but not $r_2$	anychar – "*/"

To use cmpg, one prepares .parser files that contain cmpg grammar definitions, and a .pp file that lists the .parser files, and issues a command

### cmpg file.pp

The cmpg reads and validates the grammar definitions in the *.parser* files and generates a C++ source and header files that contain C++ classes for each defined grammar. When the resulting C++ source files are compiled and linked with *Cm.Parsing* library, the result is a top-down backtracking parser.

### 2.3.2 Tokens in Cmajor

We are now going to take a look of some classes of tokens in Cmajor programming language, and how they are defined using cmpg expressions.

### 2.3.2.1 Skipping Whitespace and Comments

We are not interested in contents of comments or whitespace during parsing, so they are skipped. In a cmpg grammar, one can define a *skip* clause, to set a *skip rule* that is in effect during parsing. The parser alternates between parsing other tokens and skip tokens. In the main compile unit grammar the skip rule is set to spaces\_and\_comments rule:

```
grammar CompileUnitGrammar

// ...
skip spaces_and_comments;
// ...
}
```

The *spaces\_and\_comments* rule is defined here. Note that the end of the block comment, "\*/", is not matched inside string or character literals.

```
spaces and comments
        ::= (space \mid comment) +
2
3
4
   comment
5
        ::= line_comment | block_comment
6
7
8
9
   line comment
        10
11
12
13
   newline
        ::= \ " \setminus r \setminus n" \ | \ " \setminus n" \ | \ " \setminus r"
14
15
16
   block comment
17
        ::= "/*" (StringLiteral | CharLiteral | (anychar - "*/"))* "*/"
18
19
```

#### 2.3.2.2 Identifiers and Keywords

When parsing an identifier, for example, we must disable the skip rule. Otherwise the parser would accept string "iden ti fier" as an identifier, because whitespace is skipped. For that, the cmpg language has a **token** expression. The **token** expression suppresses the skip rule when parsing the contents of the expression.

The difference expression,  $r_1 - r_2$ , matches  $r_1$  but not  $r_2$ . In this case  $id\_chars - Keyword$  in line 2 rejects keywords as identifiers.

The **keyword\_list** expression in line 10 has two components. The first is a name of a rule that selects a token, in this case  $id\_chars$ , and the second is a list of keyword strings that are matched against the selected token. If the selected token is found among the keyword strings, the **keyword list** expression accepts the selected token, otherwise it rejects it.

```
Identifier
1
       ::= token(id chars - Keyword)
2
3
   id_{chars}
5
       ::= token((letter | '_') (letter | digit | '_')*)
6
7
8
   Keyword
9
       ::= keyword_list(id_chars,
10
            ["abstract", "and", "as", "axiom", "base", "bool", ...,
11
             "where", "while"])
12
13
```

#### 2.3.2.3 Literals

Literals in Cmajor, as in many other programming languages, can be parsed with regular expressions.

• Let us start one of the simplest, a Boolean literal:

```
BooleanLiteral
::= keyword("true")
| keyword("false")
;
```

The **keyword** expression matches the input to its parameter string, but it accepts the input only if the input does *not* continue with an identifier character: a letter, a digit or an underscore. If the *BooleanLiteral* rule were defined using plain strings, like this:

```
BooleanLiteral ::= "true" | "false"
```

input like "truely" or "falsely" would be accepted as a *BooleanLiteral* followed by "ly" suffix. This is not what we want, so we use the **keyword** expression.

• Floating point numbers have many forms. The *fractional\_real* rule accepts inputs having a fractional part like "1.23", ".987", "1.23e3" and "3.". The *exponent\_real* rule accepts decimal digits followed by exponent part like "1e-2".

```
FloatingLiteral
       ::= token((fractional real | exponent real)('f' | 'F')?)
2
3
4
   fractional_real
5
       ::= token(digit_sequence? '.' digit_sequence exponent_part?)
6
            token(digit_sequence '.')
7
8
9
   digit_sequence
10
       ::= token(digit+)
11
12
13
14
   sign
15
       ::=
16
17
   exponent real
18
       ::= token(digit sequence exponent part)
19
20
21
   exponent_part
22
       ::= token([eE] sign? digit_sequence)
23
```

An optional 'f' or 'F' suffix denotes floating point literal that has type **float**. Without the suffix floating point literals have type **double**.

• An integer literal can have either hexadecimal or decimal form. The "0x" or "0X" prefix denotes hexadecimal integer literal.

```
IntegerLiteral
        ::= (hex_literal | digit_sequence) ('u' | 'U')?
2
3
4
   hex_literal
5
        ::= \mathbf{token}(("0x" \mid "0X") \text{ hex})
6
7
8
9
   hex
        ::= token(hexdigit+)
10
11
```

In Cmajor the type of an integer literal is the first of the following types in which its value can be represented: **sbyte**, **byte**, **short**, **ushort**, **int**, **uint**, **long**, **ulong**.

The 'u' or 'U' suffix denotes an integer literal with an unsigned type. The type of it is the first of the following types in which its value can be represented: **byte**, **ushort**, **uint**, **ulong**.

• The character literal rule accepts regular characters like 'a' or 'X', simple escapes like '\n' and '\r', hexadecimal escapes like '\xef', and decimal escapes like '\d100'. Other escaped characters represent themselves.

```
CharLiteral
::= token('\'', ([^\\\r\n] | escape)'\'')
;
escape
::= token('\\', ([xX] hex | [dD] digit_sequence | [^dDxX]))
;
```

- String literals can have four forms.
  - 1. Regular strings like "abc", or strings containing escaped characters like "line\n". The type of regular string literal is **const char\***.
  - 2. Wide strings like w"abc", or wide strings containing escapes. The type of wide string literal is **const wchar\***.
  - 3. Unicode strings like u"abc", or Unicode strings containing escapes. The type of Unicode string literal is **const uchar\***.
  - 4. Raw strings, that have @-prefix and have no escapes in them, like @"abc\". The contents of raw string is taken literally. The type of raw string literal is **const char\***.

```
StringLiteral
1
         ::= string
2
3
              'w' string
              'u'string
4
              raw_string
5
6
7
   string
8
         ::= token("", (([`"\setminus r \mid ]+) \mid escape)*"")
9
10
11
   raw string
12
        ::=\ ``@',\ token(``"',\ [^"]*"'"',)
13
```

• The last literal is the simplest, it's the null literal:

```
NullLiteral
::= keyword("null")
;
```

# Chapter 3

# Syntax Analysis

We are now going to explore a class of languages that are suitable for defining the grammatical structure of a programming language, namely *context-free languages*. Context-free languages extend the notion of regular languages so that with a context-free language one can express also recursive structures like nesting blocks or balanced parentheses.

# 3.1 Example

**Example 3.1.1.** A palindrome is a string that reads the same forward or backward, such as otto or madamimadam ("Madam, I'm Adam", the first words that Adam said to Eve in the Garden of Eden.) We can define palindromes for the binary alphabet,  $\Sigma = \{0, 1\}$ , recursively as follows:

#### **BASIS**

 $\epsilon$ , i.e. the empty string, 0, and 1 are palindromes.

#### INDUCTION

If P is a palindrome, so are 0P0 and 1P1. No string is a palindrome of 0's and 1's unless it follows from this basis and induction rule.

A context-free grammar is a formal notation for expressing such recursive definitions of languages ([2] pg. 170). A grammar consists of one or more variables that represent classes of strings, i.e. languages. In previous example we have only one variable, P, which represents the set of palindromes; that is the class of strings forming the language  $L_{pal}$ . There are rules that say how the strings in each class are constructed. The construction can use symbols of the alphabet, strings that are known to be in one of the classes, or both.

**Grammar 3.1.1.** The rules that define the palindromes, expressed in the context-free grammar notation, are:

$$P \to \epsilon$$
 (3.1)

$$P \to 0 \tag{3.2}$$

$$P \to 1$$
 (3.3)

$$P \to 0P0 \tag{3.4}$$

$$P \to 1P1 \tag{3.5}$$

The first three rules form the basis. They tell us that a class of palindromes includes the strings  $\epsilon$ , 0, and 1. None of the right sides of these rules contains a variable, which is why they form a basis for the definition.

The last two rules form the inductive part of the definition. For instance, rule 3.4 says that if we take any string  $\omega$  from the class P, then  $0\omega 0$  is also in class P. Rule 3.5 likewise tells us that  $1\omega 1$  is also in class P.

## 3.2 Definition of Context-Free Grammars

There are four important components in a grammatical description of a language ([2] pg. 171):

- 1. There is a finite set of symbols that form the strings of the language being defined. This set was {0,1} in the palindrome example. We call this alphabet the *terminals*, or *terminal symbols*.
- 2. There is a finite set of *variables*, sometimes called *nonterminals*. Each variable represents a language; i.e. a set of strings. In the last example, there was only one variable, P, which we used to represent the class of palindromes over alphabet  $\{0,1\}$ .
- 3. One of the variables represents the language being defined; it is called the *start symbol*. Other variables represent auxiliary classes of strings that are used to help define the language of the start symbol. In our example, P, the only variable, is the start symbol.
- 4. There is a finite set of *productions* or *rules* that represent the recursive definition of the language. Each production consists of:
  - (a) A variable that is being (partially) defined by the production. This variable is often called the *head* of the production.
  - (b) The production symbol  $\rightarrow$ .
  - (c) A string of zero or more terminals and variables. This string, called the *body* of the production, represents one way to form strings in the of the variable of the head. In doing so, we leave terminals unchanged and substitute for each variable of the body any string that is known to be in the language of that variable.

We follow a convention that if the start symbol is not explicitly specified, the head of the first production of the grammar is the start symbol.

### 3.2.1 Derivations Using a Grammar

To infer that a certain string is in the language of a grammar, we start with the start symbol of the grammar and expand it using one of its productions, i.e. by replacing the head of the production with its body. Then we further expand the resulting string by replacing one of its variables by the body of one of its productions, and so on, until we derive a string consisting entirely of terminals. The language of the is all strings of terminals that we can obtain this way. This use of grammar is called a *derivation*.

To see that string 0110 is in the language of binary palindromes  $L_{pal}$ , for example, we start from the start symbol P, and replace it with the body of the production 4 of grammar 3.1.1:

 $P \Rightarrow 0P0$ . We then replace the variable P between the 0's with the body of the production 5:  $0P0 \Rightarrow 01P10$ . Finally we replace the variable P in the obtained string with the body of the production 1:  $01P10 \Rightarrow 01\epsilon 10$ . That way we have the derivation  $P \Rightarrow 0P0 \Rightarrow 01P10 \Rightarrow 0110$  and we have inferred that  $0110 \in L_{pal}$ .

We denote that there is a derivation that requires zero or more derivation steps with  $\stackrel{*}{\Rightarrow}$  symbol. For example, to indicate that there is a derivation of string 0110 from variable P using some number of steps, is denoted  $P \stackrel{*}{\Rightarrow} 0110$ .

### 3.2.2 Parse Trees for a Grammar

There is a tree representation for derivations that show explicitly how terminal symbol are grouped into substrings, each of which belongs to the language of one of the variables of the grammar. These trees are called *parse trees*. There might be more than one parse tree for a terminal string that belongs to the language of some grammar. In that case the grammar is called *ambiguous*. Ambiguous grammars are not suitable for representing a syntax of a programming language unless the ambiguities are resolved somehow.

The parse trees of a specific grammar G are trees with the following conditions:

- 1. Each interior node is labeled by a variable of the grammar.
- 2. Each leaf is labeled by either a variable, a terminal, or  $\epsilon$ . However, if the leaf is labeled  $\epsilon$ , then it must be the only child of its parent.
- 3. If an interior node is labeled A, and its children are labeled

$$X_1, X_2, \ldots, X_k$$

respectively, from the left, then  $A \to X_1 X_2 \cdots X_k$  is a production of the grammar G.

Figure 3.1 shows a parse tree of derivation  $P \stackrel{*}{\Rightarrow} 0110$  for the grammar 3.1.1.

Figure 3.1: A parse tree for derivation  $P \stackrel{*}{\Rightarrow} 0110$ 



## 3.2.3 Compact Notation for Grammars

Let  $\omega_1, \omega_2, \dots, \omega_k$  be strings of grammar symbols (i.e. strings of terminals and nonterminals). If we have productions

$$P \to \omega_1$$

$$P \to \omega_2$$

$$\dots$$

$$P \to \omega_k$$

in some grammar G, we may represent the P-productions (i.e. the productions whose head is P) by grouping them together as follows:

$$P \to \omega_1 \mid \omega_2 \mid \cdots \mid \omega_k$$

For example, the grammar 3.1.1 may be represented more compactly as

$$P \to \epsilon \, | \, 0 \, | \, 1 \, | \, 0P0 \, | \, 1P1$$

# 3.3 Syntax-Directed Translation

Consider the following grammar:

### Grammar 3.3.1.

```
expr \rightarrow expr + term \mid expr - term \mid term

term \rightarrow term * factor \mid term/factor \mid factor

factor \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9 \mid (expr)
```

The language defined by this grammar consists of expressions that are lists of terms separated by operator symbols + and -. Terms are in turn lists of factors separated by operator symbols \* and /. Factors consist of single digits and parenthesized expressions. The alphabet of this language is  $\{+, -, *, /, 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, (,)\}$ .

To see that an expression "1+3\*(4-2)", for example, is in this language, we may construct a derivation for it:

```
expr \Rightarrow expr + term
\Rightarrow term + term
\Rightarrow factor + term
\Rightarrow 1 + term
\Rightarrow 1 + term * factor
\Rightarrow 1 + factor * factor
\Rightarrow 1 + 3 * factor
\Rightarrow 1 + 3 * (expr)
\Rightarrow 1 + 3 * (expr - term)
\Rightarrow 1 + 3 * (factor - term)
\Rightarrow 1 + 3 * (4 - term)
\Rightarrow 1 + 3 * (4 - factor)
\Rightarrow 1 + 3 * (4 - 2)
```

Suppose now that we need to translate infix expressions of this kind into postfix notation. The postfix notation of an expression E can be defined inductively as follows:

- 1. If E is a digit, the postfix notation of E is E itself.
- 2. If E is of the form  $E_1 + E_2$ , the postfix notation of E is the postfix notation of  $E_1$  followed by the postfix notation of  $E_2$  followed by +.
- 3. If E is of the form  $E_1 * E_2$ , the postfix notation of E is the postfix notation of  $E_1$  followed by the postfix notation of  $E_2$  followed by \*.
- 4. If E is of the form (E), the postfix notation of (E) is the postfix notation of E.

For example, postfix notation for infix expression "1+3\*(4-2)" is "1342-\*+".

In computing the postfix notation from infix expressions, we can take advantage of the grammar 3.3.1 by associating *attributes* to each nonterminal of the grammar. Attributes can in principle be of any kind: numbers, structures or strings, for example. In this case we may represent the value of a postfix expression with one string attribute. A parse tree that shows the values of the attributes of nonterminals is called an *annotated* parse tree.

Figure 3.2 shows an annotated parse tree with an attribute pf associated with nonterminals expr, term and factor.

expr.pf = 1342 - \* + expr.pf = 1 + term.pf = 3 \* factor.pf = 42 - expr.pf = 42 - expr.pf = 4 - term.pf = 2 term.pf = 4 factor.pf = 4 factor.pf = 4 factor.pf = 4 factor.pf = 4

Figure 3.2: Annotated parse tree for expression "1+3\*(4-2)"

There can be two kinds of attributes for nonterminals: ([1] pg. 304)

- 1. A synthesized attribute for a nonterminal A at a parse-tree node N is defined by a semantic action associated with the production at N. A synthesized attribute at node N is defined in terms of attribute values at the children of N and at N itself. The pf attribute in Fig. 3.2 is an example of a synthesized attribute.
- 2. An *inherited attribute* for a nonterminal B at a parse-tree node N is defined by a semantic action associated with the production at the *parent* of N. An inherited attribute at node N is defined in terms of attribute values at N's parent, N itself, and N's siblings.

The attributes can be computed by visiting the nodes of the parse tree in some order. Synthesized attributes have the nice property that their values can be computed by a single bottom-up travelsal of the parse tree.

# 3.4 Parsing

Parsing is the process of determining how a string of terminals can be generated by a grammar. ([1] pg. 60). Most parsing methods fall into one of two classes, called the *top-down* and *bottom-up* methods. These terms refer to the order in which nodes in the parse tree are constructed. In top-down parsers, construction starts at the root and proceeds towards the leaves, while in bottom-up parsers, construction starts the the leaves and proceeds towards the root. Most handwritten parsers use top-down methods, while many parser-generator tools generate a bottom-up parser.

## 3.4.1 Recursive Descent Parsing

A recursive-descent parsing is a top-down method in which a set of recursive procedures is used to process the input. For example, consider the following grammar:

#### Grammar 3.4.1.

```
stmt \rightarrow \mathbf{if} (expr) stmt \, \mathbf{else} \, stmt
```

To write a recursive-descent parser for this grammar, one writes a procedure that is used to match tokens and obtain more input, and then a procedure for each nonterminal. The following listing shows the structure of these procedures:

```
int lookahead;
1
2
   void match(int token)
3
4
        if (token == lookahead)
5
6
            // read next token into lookahead;
7
8
        else
9
10
            throw std::runtime error("syntax error");
11
12
13
14
   void expr()
15
16
        // match an expression...
17
18
19
   void stmt()
20
21
       match(IF); match('('); expr(); match(')'); stmt(); match(ELSE); stmt
22
            ();
   }
23
```

#### 3.4.2 Left Recursion

A recursive-descent parser cannot directly use grammars like the grammar 3.3.1, because it has "left-recursive" productions such as  $expr \rightarrow expr + term$ , where the leftmost symbol of the body is the same as the nonterminal at the head of the production. Suppose the procedure for expr decides to apply this production. The body begins with expr so the procedure for expr is called recursively. Since the lookahead symbol changes only when a terminal is matched, no change to the input took place between recursive calls of expr. As a result, the second call to expr does exactly what the first call did, which means a third call, and so on.

A left-recursive production can be eliminated by rewriting the offending production. Consider a nonterminal A with two productions

$$A \to A\alpha \mid \beta$$

where  $\alpha$  and  $\beta$  are sequences of terminals and nonterminals that do not start with A. For example, in

$$expr \rightarrow expr + term \mid term$$

nonterminal A = expr, string  $\alpha = +term$ , and string  $\beta = term$ .

The nonterminal A and its production are said to be *left recursive* ([1] pg. 67), because the production  $A \to A\alpha$  has A itself as the leftmost symbol of the right side. Repeated application of this production builds up a sequence of  $\alpha$ 's to the right of A. When A is finally replaced by  $\beta$ , we have a  $\beta$  followed by a sequence of zero or more  $\alpha$ 's.

We can achieve the same effect by rewriting the productions for A in the following manner, using a new nonterminal R:

$$A \to \beta R$$
$$R \to \alpha R \mid \epsilon$$

# 3.5 Extending the Grammar Notation

We have found it useful to extend the context-free grammar notation with regular-expression like operations. <sup>1</sup> <sup>2</sup> In the following definitions the expression in the middle is in the extended form, and the productions on the right express the same language using conventional context-free grammar notation.

1. X or Y:

$$P \rightarrow \alpha \left( X \mid Y \right) \beta \qquad \qquad P \rightarrow \alpha R \beta \\ R \rightarrow X \mid Y$$

2. Closure of X, X occurs zero or more times:

$$P \to \alpha X^* \beta$$
 
$$P \to \alpha R \beta$$
 
$$R \to R R |X| \epsilon$$

 $<sup>^{1}\</sup>alpha$  and  $\beta$  denote strings of grammar symbols, and X and Y single grammar symbols.

<sup>&</sup>lt;sup>2</sup>Since asterisk, plus, question mark, parentheses and square brackets belong to regular expression syntax, they must now be quoted when they appear as terminals in productions of extended notation.

3. Positive X, X occurs one or more times:

$$P \to \alpha X^+ \beta$$
  $P \to \alpha R \beta$   $R \to RR \mid X$ 

4. Optional X, X occurs zero or one times:

$$P \to \alpha X ? \beta$$
  $P \to \alpha R \beta$   $R \to X \mid \epsilon$ 

5. Class [abc], one of the characters in the class occurs:

$$P \rightarrow \alpha [abc] \beta$$
 
$$P \rightarrow \alpha R \beta$$
 
$$R \rightarrow a \mid b \mid c$$

In the definitions above, X denotes a single grammar symbol, i.e. either terminal or nonterminal, but we may extend the notation further by substituting X with arbitrary expressions containing grammar symbols and other expressions, much the same way we can use regular expressions. We can now replace left recursion with iteration using the extended notation. The left-recursive productions

$$A \to A\alpha \mid \beta$$

become an iterative production:

$$A \to \beta(\alpha)^*$$

meaning  $\beta$  followed by zero or more  $\alpha$ 's.

We can rewrite the grammar 3.3.1 without left recursion using the extended notation as follows:

#### Grammar 3.5.1.

$$expr \rightarrow term (('+'|'-') term)^*$$
$$term \rightarrow factor (('*'|'/') factor)^*$$
$$factor \rightarrow [0-9] | '(' expr')'$$

# 3.6 Parsing in Cmajor

The parsers in Cmajor are written using the Cmajor Parser Generator, or cmpg, notation, that is much like the extended grammar notation of the previous section. The cmpg reads grammar definitions in *.parser* files, validates them, and generates C++ classes that represent the grammars. To become familiar with the grammar definition syntax, we write the grammar 3.5.1 using the cmpg notation.

### Example 3.6.1. Postfix Translation Grammar.

```
grammar PostfixTranslationGrammar
1
2
   {
       expr: std::string
3
               term: t\{ value = t; \}
4
                '+' term:pt{ value.append(pt).append(1, '+'); }
5
                '-' term:mt{ value.append(mt).append(1, '-'); }
6
7
            )*
8
9
       term: std::string
10
            ::= factor: f\{ value = f; \}
11
                '*' factor: tf{ value.append(tf).append(1, '*'); }
12
                '/' factor:df{ value.append(df).append(1, '/'); }
13
            )*
15
16
       factor: std::string
17
                digit { value = std::string(1, *matchBegin); }
                '(' expr{ value = expr; } ')'
19
20
21
```

The grammar has a list of *rules*. In this case *expr*, *term* and *factor*. If the start rule is not explicitly defined by the **start** clause, the first rule of the grammar is taken as the start rule

A rule may have one synthesized attribute whose type is denoted by a colon and a name of a C++ type after the head of the rule, std::string in this case. In this example each of the rules of the grammar have a synthesized attribute of type std::string. If multiple synthesized attributes are needed, one can specify a structure of values, or a dynamically created object holding the values.

The ::= symbol corresponds to the  $\rightarrow$  symbol in the formal grammars.

If the same nonterminal occurs many times inside the body of a rule, and that nonterminal refers to a rule that has a synthesized attribute, the synthesized attribute has to be named explicitly by a colon and an identifier after the name of the nonterminal. In the body of the expr rule, for example, one can refer to many occurrences of term's synthesized attribute, the first of which is named t, the second pt, and the third mt.

A grammar symbol in a body of a rule may have an associated semantic action, i.e. a block of C++ code. For example in line 4, the first *term* nonterminal has a semantic action { value = t; } associated with it. The semantic action is executed only if input matches the rule that it is associated with.

The synthesized attribute of the rule is exposed as an identifier *value* inside the body of a rule. It can be read and assigned to many times inside the body of a rule. For example in line 4, the value of the synthesized attribute of the *expr* rule is initialized to a value of the synthesized attribute of the *term* rule. When more *terms* are matched, the synthesized attributes of these are appended to the synthesized attribute the *expr* rule.

The matched lexeme of a grammar symbol is exposed as two character pointers to the semantic action associated with a grammar symbol. The *matchBegin* pointer points to the start of the matched lexeme and the *matchEnd* pointer points to one past the end of the

matched lexeme. For example, in line 18, the value of the matched digit is assigned to the synthesized attribute of the factor rule.

If the nonterminal occurs only once inside the body of a rule, one can refer the synthesized attribute of it with the name of the nonterminal. Example of this appears in the line 19, where the synthesized attribute of *expr* rule is referred in the semantic action by its name *expr*.

## 3.6.1 Internal Representation of cmpg Grammar Definitions

The cmpg program reads grammar definitions and constructs an internal representation for them. The internal representation of a grammar is a list of rules, one of which is set as a start rule. Each rule has a *name* and a *definition*. The definition of a rule is represented as a *tree of parsing nodes*.

There are many kinds of parsing nodes. Each kind of parsing node has either zero, one, or two child nodes. A node that has zero child nodes is also called a *leaf* parsing node, a node that has one child node is called a *unary* parsing node, and a node that has two child nodes is called a *binary* parsing node.

• The definition of a rule consists of nonempty sequence of alternative expressions:

$$R \to \omega_1 \mid \omega_2 \mid \cdots \mid \omega_k$$

If input matches one of the alternatives, it matches the rule. The alternatives are tested from left to right, and if a match is found, the rest of the alternatives are not tested.

If the definition of a rule is represented as a tree of parsing nodes, it consists of alternative binary parsing nodes, where the left and right subtrees of an alternative nodes represent expressions  $\omega_i$  and  $\omega_{i+1}$ . Figure 3.3 shows two alternative nodes.

Figure 3.3: Alternative Nodes



• Each alternative expression  $\omega_i$  consists of catenation of expressions:

$$\alpha_1\alpha_2\cdots\alpha_k$$

If input consists of a nonempty sequence of strings  $s_1, s_2, \ldots, s_k$  of terminal symbols where  $s_1$  matches expression  $\alpha_1$ ,  $s_2$  matches expression  $\alpha_2$ , etc., and  $s_k$  matches expression  $\alpha_k$ , the input matches the whole alternative expression.

A catenate node is a binary parsing node, whose left and right subtree represent expressions  $\alpha_i$  and  $\alpha_{i+1}$ . Figure 3.4 shows two catenate nodes.

Figure 3.4: Catenate Nodes



• A difference expression is denoted by  $\alpha_i$  in a catenate expression  $\alpha_1\alpha_2\cdots\alpha_k$ . The difference expression consists of nonempty sequence of expressions separated by the – symbol:

$$\beta_1 - \beta_2 - \cdots - \beta_k$$

Usually k = 1 or k = 2. If a string s of terminal symbols matches expression  $\beta_1$ , but does not match expression  $\beta_2$ , the string s matches expression  $\beta_1 - \beta_2$ .

A difference node is a binary parsing node whose left and right subtrees represent expressions  $\beta_1$  and  $\beta_2$  respectively. Figure 3.5 shows a difference node.

Figure 3.5: Difference Node



• An xor expression is denoted by  $\beta_i$  in a difference expression  $\beta_1 - \beta_2 - \cdots - \beta_k$ . The xor expression consists of nonempty sequence of expressions separated by the symbol:

$$\gamma_1 \hat{\gamma}_2 \hat{\cdots} \gamma_k$$

Usually k = 1 or k = 2. If a string s of terminal symbols either matches expression  $\gamma_1$ , but does not match expression  $\gamma_2$ , or matches expression  $\gamma_2$ , but does not match expression  $\gamma_1$ , the string s matches expression  $\gamma_1$ ,  $\gamma_2$ .

An xor node is a binary parsing node whose left and right subtrees represent expressions  $\gamma 1$  and  $\gamma 2$  respectively. Figure 3.6 shows an xor node.

Figure 3.6: Xor Node



• An intersection expression is denoted by  $\gamma_i$  in an xor expression  $\gamma_1 \hat{\gamma}_2 \cdots \hat{\gamma}_k$ . The intersection expression consists of nonempty sequence of expressions separated by the & symbol:

$$\mu_1 \& \mu_2 \& \cdots \& \mu_k$$

Usually k = 1 or k = 2. If a string s of terminal symbols matches both expression  $\mu_1$  and expression  $\mu_2$ , the string s matches expression  $\mu_1 \& \mu_2$ .

An *intersection* node is a binary parsing node whose left and right subtrees represent expressions  $\mu_1$  and  $\mu_2$  respectively. Figure 3.7 shows an intersection node.

Figure 3.7: Intersection Node



• A list expression is denoted by  $\mu_i$  in an intersection expression  $\mu_1 \& \mu_2 \& \cdots \& \mu_k$ : The list expression is an expression optionally followed by the % symbol and an expression:

$$\theta_1 (\% \theta_2)$$
?

In the previous expression the parentheses and the ? symbol are metasymbols, not terminal symbols.

Expression  $\theta_1\%\theta_2$  denotes a nonempty sequence of  $\theta_1$ 's separated by  $\theta_2$ 's.

A list node is a unary parsing node, whose child subtree is set to nodes corresponding to expression  $\theta_1(\theta_2\theta_1)^*$ . Figure 3.8 shows a list node with a child subtree.

Figure 3.8: List Node



• A postfix expression is denoted by  $\theta_i$  in a list expression  $\theta_1(\% \theta_2)$ ? A postfix expression is an expression optionally followed by one of the symbols \*, +, or ?:

$$\eta('*'|'+'|'?')$$
?

In the previous expression the parentheses and the last ? symbol are metasymbols, not terminal symbols.

The postfix expressions containing symbols \*, +, and ? are:

1.  $\eta^*$ : If the input consists of a possibly empty sequence of strings  $s_i$  of terminal symbols where each string  $s_i$  mathes expression  $\eta$ , the input matches expression  $\eta^*$ . For example, strings  $\{\epsilon, \mathbf{a}, \mathbf{aa}, \mathbf{aaa}\}$  match expression  $\mathbf{a}^*$ .

A closure node is a unary parsing node whose child subtree represents expression  $\eta$ .

- 2.  $\eta^+$ : If the input consists of a nonempty sequence of strings  $s_i$  of terminal symbols where each string  $s_i$  mathes expression  $\eta$ , the input matches expression  $\eta^+$ . For example, strings  $\{a, aa, aaa\}$  match expression  $a^+$ .
  - A positive node is a unary parsing node whose child subtree represents expression  $\eta$ .
- 3.  $\eta$ ?: If the input consists either an empty string  $\epsilon$ , or a string s of terminal symbols where s matches expression  $\eta$ , the input matches expression  $\eta$ ?. For example, strings  $\{\epsilon, \mathbf{a}\}$  match expression  $\mathbf{a}$ ?.

An *optional* node is a unary parsing node whose child subtree represents expression n.

Figure 3.9 shows the postfix nodes.

Figure 3.9: Postfix Nodes



• A primary expression is denoted by  $\eta$  in a postfix expression  $\eta('*'|'+'|'?')$ ?.

Using extended context-free grammar notation, a primary expression can be expressed as:

 $primary \rightarrow (primitive \mid nonterminal \mid qrouping \mid token) expectation?$ 

That is, a primary expression is one of:

- 1. a primitive expression, that is an atomic cmpg expression.
- 2. a nonterminal expression that matches input to a rule recursively.
- 3. a grouping expressions that is a parenthesized alternative expression.
- 4. a token expression that prevents skipping.

Previous expressions can be optionally followed by an *expectation* expression that prevents backtracking, and an *action* expression that associates a semantic action to a primary expression.

• The primitive expression is defined using the extended context-free notation as:

$$primitive \rightarrow |char|| string || charset || keyword || keyword || list ||$$
 
$$\mathbf{empty} || \mathbf{space} || \mathbf{anychar} || \mathbf{letter} || \mathbf{digit} || \mathbf{hexdigit} || \mathbf{punctuation} ||$$

Figure 3.10 shows the primitive expressions, what input they match, and the corresponding node types.

	Figure	3.10:	Primite	Expressions
--	--------	-------	---------	-------------

Expression	Matches	$\mathbf{Node}$
$\overline{char}$	matches a single terminal symbol to a character specified in	'x'
	the expression.	
string	matches a string of terminal symbols to a string specified in	"abc"
	the expression.	
charset	matches a single terminal symbol to set of characters speci-	[abc]
	fied in the expression.	
keyword	matches a string of terminal symbols to a keyword string	for
	specified in the expression.	
$keyword\_list$	matches a string of terminal symbols to a list of keyword	for,if
	strings specified in the expression	
$\mathbf{empty}$	matches always	empty
space	matches a single terminal symbol to any whitespace charac-	space
	ter	
anychar	matches a single terminal symbol to any single character	anychar
letter	matches a single terminal symbol to any latin letter	letter
$\operatorname{digit}$	matches a single terminal symbol to any decimal digit	digit
$\mathbf{hexdigit}$	matches a single terminal symbol to any hexadecimal digit	hexdigit
punctuation	matches a single terminal symbol any ASCII punctuation	punct
	symbol	

• A nonterminal expression is defined using extended context-free notation as follows:

```
nonterminal \rightarrow (identifier \mid identifier \ arguments) \ alias?
arguments \rightarrow '('argument(',' \ argument)^*')'
alias \rightarrow ' :' \ identifier
```

The nonterminal expression names a rule that is matched recursively. It can contain a parenthesized list of *arguments*, that become the inherited attributes of the "called" rule. We used the word "called" because the recursive matching process can be thought as procedures that call each other recursively, as in recursive-descent parser.

If the called rule has a synthesized attribute and the rule is called many times inside a body of a rule, the synthesized attribute of the called rule must be given a unique name. That is the use of an *alias* expression.

The node for the nonterminal is represented as

where foo is the name of the rule matched recursively.

• A grouping expression is a parenthesized sequence of alternative expressions.

$$grouping \rightarrow '('alternatives')'$$

• A token expression consists of a keyword **token** followed by a parenthesized sequence of alternative expressions. It prevents skipping of tokens that match the *skip rule* of the grammar.

$$token \rightarrow \mathbf{token}'('alternatives')'$$

• An *expectation* expression is a single '!' symbol associated with the preceding primary expression. It forces the matching of its preceding expression without backtracking. If its associated expression does not match, an exception is thrown.

$$expectation \rightarrow '!'$$

• An *action* expression is a block of C++ code in braces. It represents a semantic action that is executed if input matches its associated primary expression.

$$action \rightarrow '\{' \text{ C++ code }'\}'$$

Figure 3.11 shows the token, expectation and action unary parsing nodes.

Figure 3.11: Token, Expectation, and Action Nodes



### **Example 3.6.2.** Example of Internal Representation.

Let us recall the Postfix Translation Grammar of example 3.6.1. For ease of reference it is repeated here:

```
grammar PostfixTranslationGrammar
1
2
       expr: std::string
3
           ::= term: t \{ value = t; \}
                '+' term:pt{ value.append(pt).append(1, '+'); }
5
                '-' term:mt{ value.append(mt).append(1, '-'); }
            )*
9
       term: std::string
10
           ::= factor: f { value = f; }
11
                '*' factor:tf{ value.append(tf).append(1, '*'); }
12
                '/' factor:df{ value.append(df).append(1, '/'); }
13
            )*
14
15
16
       factor: std::string
17
            ::= digit { value = std::string(1, *matchBegin); }
                '(' expr{ value = expr; } ')'
19
20
21
```

Figure 3.12 shows the internal representation of the expr rule.

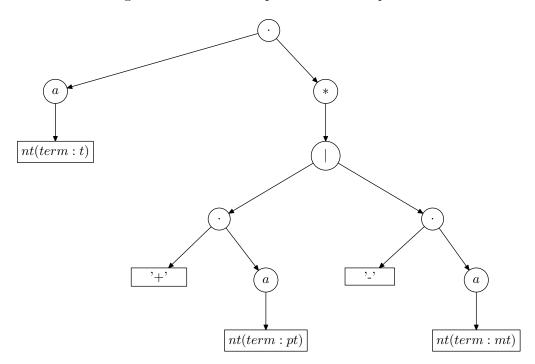


Figure 3.12: Internal Representation of expr Rule

## 3.6.2 cmpg Language Grammar

Here the syntax of the cmpg language is presented in extended context-free notation:

Grammar 3.6.1. cmpg Language Grammar.

```
grammar \rightarrow \mathbf{grammar} identifier' \{'grammar content'\}'
grammarcontent \rightarrow (startclause | skipclause | rulelink | rule)^*
       startclause → start identifier';'
        skipclause → skip qualifiedid';'
          rulelink → using (identifier'=' qualifiedid | qualifiedid )';'
               rule → identifier locals? returns? " ::= " alternatives ';'
             locals \rightarrow' ('(variable \mid parameter) (','(variable \mid parameter))^*')'
          variable \rightarrow \mathbf{var} \ cpptype \ cppdeclarator
       parameter \rightarrow cpptype\ cppdeclarator
           returns \rightarrow ':' cpptype
     alternatives \rightarrow catenate ('|' catenate)^*
          catenate \rightarrow diff^+
               diff \rightarrow xor ('-'xor)^*
                xor \rightarrow and (\hat{a}nd)^*
                and \rightarrow list ('\&' list)^*
                list \rightarrow postfix ('\%'list)?
           post fix \rightarrow primary ('*' | '+' | '?')?
          primary \rightarrow (primitive \mid nonterminal \mid grouping \mid token) expectation? action?
         primitive \rightarrow char | string | charset | keyword | keyword | list
                    empty space anychar letter digit hexdigit punctuation
     nonterminal \rightarrow (identifier | identifier arguments) alias?
       arguments → '('argument(',' argument)*')'
              alias \rightarrow ':' identifier
         grouping \rightarrow '('alternatives')'
              token \rightarrow \mathbf{token}'('alternatives')'
      expectation \rightarrow '!'
             action \rightarrow '\{' \text{C++ code}'\}'
        identifier \rightarrow id - keyword
       qualifiedid \rightarrow identifier ('.'identifier)^*
                  id \rightarrow ( letter | ' ' ) ( letter | digit | ' ' )*
          keyword \rightarrow using | grammar | start | skip | token | keyword | keyword | list
                    |empty|space|anychar|letter|digit|hexdigit|punctuation|var
```

The *cpptype* denotes a C++ type expression, and the *cppdeclarator* denotes a C++ declarator.

## 3.6.3 Informal Description of Operation of a Parser Generated Using cmpg

A parser generated using cmpg works much the same way than a handwritten recursive-descent parser would operate. In principle, each rule can be thought as a recursive procedure that receives parameters, or inherited attributes, from its caller, or parent rule, matches terminals and maybe calls other recursive procedures, or rules, and finally can return a value, a computed synthesized attribute, to its caller, or parent rule.

The parsing begins by trying to match the start of the input to the body of the rule S, the start rule of the grammar.

If the current input position is at the start of rule P, and there are many P-productions,  $P \to \omega_1 \mid \omega_2 \mid \cdots \mid \omega_k$ , the parser tries to match the input to the production  $P \to \omega_1$ . If the input matches, the other P-productions are not tried and the parsing proceeds to the successor of the caller of the production  $P \to \omega_1$ . However, if the input does not match  $P \to \omega_1$ , input is backtracked, and the production  $P \to \omega_2$  is tried, and so on, until either a match is found, or the input did not match the last P-production  $P \to \omega_k$ . In that case, let  $Q \to \alpha P \beta \Leftrightarrow Q \to v_i$  be the parent of P. At this point the input is backtracked and the next alternative for the caller of the P,  $Q \to v_{i+1}$  is tried. This process is repeated until either the entire input matches, or a syntax error is detected.

### 3.6.4 Parsing Algorithm

The algorithm uses a stack of attribute values, a Boolean variable for skipping state skip, a stack of skipping states, and keeps track of current input position. Each rule has a data structure called context that contains the current values of inherited attributes, synthesized attribute, local variables, and synthesized attributes of the contained nonterminals of the rule. Each rule has also a stack of those context structures called a context stack.

When input is parsed using the following algorithm 3.6.1 applied to a parsing node, the result of parsing can be either:

- 1. **match**(**true**, n), where n > 0, to indicate that input matched, and the length of the match was n characters.
- 2. **match**(**true**, 0), to indicate a successful empty match. In this case the current input position was not advanced.
- 3. **match**(**false**) to indicate that input did not match. In this case we say that the result is a *failure* match.

In the beginning the attribute stack is empty, the skipping state stack is empty, and the skipping state skip is **true**. The parsing begins by setting the current input position to the start of the input, and applying algorithm 3.6.1 to the root node of the parsing node tree that forms the definition of the start rule of the grammar. Let m be the result of parsing applied to the root node.

If m is:

- 1. match(true, n), where n is the length of the input, the parsing succeeds.
- 2. match(true, n), where n is less than the length of the input, the parsing fails.
- 3. match(false), the parsing fails.

## Algorithm 3.6.1. Parsing Algorithm. ([3])

If the type of the node this algorithm is applied to is:

- 1. Alternative node (Fig. 3.3). Let *save* be the current input position. Apply this algorithm recursively to the left subtree of this node. Let *m* be the result of parsing the left subtree. <sup>3</sup> If *m* was a successful match, let the result of parsing this node be *m*. Otherwise, backtrack by setting the current input position to *save* and apply this algorithm recursively to the right subtree of this node. Let the result of parsing this node be the result of parsing the right subtree.
- 2. Catenate node (Fig. 3.4). Apply this algorithm recursively to the left subtree of this node. Let  $m_1$  be the result of parsing the left subtree. If  $m_1$  a successful match, unless skip is **false** skip tokens using the skip rule, then apply this algorithm recursively to the right subtree of this node. Let  $m_2$  be the result of parsing the right subtree. If  $m_2$  was a successful match, let the result of parsing this node be  $\mathbf{match}(\mathbf{true}, length(m_1) + length(m_2))$ .
  - Otherwise, either  $m_1$  was a failure match, or  $m_2$  was a failure match. Let the result of parsing this node be **match**(false).
- 3. Difference node (Fig. 3.5). Let save be the current input position. Apply this algorithm recursively to the left subtree of this node. Let  $m_1$  be the result of parsing the left subtree. If  $m_1$  was a successful match, let tmp be the current input position, and backtrack by setting the current input position to save; then apply this algorithm recursively to the right subtree of this node. Let  $m_2$  be the result of parsing the right subtree. If  $m_2$  was a failure match, or  $length(m_2) < length(m_1)$ , set the current input position to tmp, and let the result of parsing this node be  $m_1$ , a successful match. Otherwise, either  $m_1$  was a failure match, or  $m_2$  was a successful match with  $length(m_2) > length(m_1)$ . Let the result of parsing this node be match(false).
- 4. Xor node (Fig. 3.6). Let save be the current input position. Apply this algorithm recursively to the left subtree of this node. Let  $m_1$  be the result of parsing the left subtree. Let tmp be the current input position, and backtrack by setting the current input position to save. Apply this algorithm recursively to the right subtree of this node. Let  $m_2$  be the result of parsing the right subtree. If  $m_1$  was a successful match and  $m_2$  was a failure match, or  $m_1$  was a failure match and  $m_2$  was a successful match, do the following:
  - (a) If  $m_1$  was a successful match, set the current input position to tmp.
  - (b) If  $m_1$  was a successful match, let the result of parsing this node be  $m_1$ , otherwise let the result of parsing this node be  $m_2$ .

Otherwise, either both  $m_1$  and  $m_2$  were successful matches, or both were failure matches. Let the result of parsing this node be **match**(false).

<sup>&</sup>lt;sup>3</sup>When we say that a node, or a subtree, is parsed, we mean that input is parsed in the context of that node, or subtree.

- 5. Intersection node (Fig. 3.7). Let save be the current input position. Apply this algorithm recursively to the left subtree of this node. Let  $m_1$  be the result of parsing the left subtree. If  $m_1$  was a successful match, backtrack by setting the current input position to save, and apply this algorithm recursively to the right subtree of this node. Let  $m_2$  be the be the result of parsing the right subtree. If  $m_2$  was a successful match and  $length(m_1) = length(m_2)$ , let the result of parsing this node be  $m_1$ .
  - Otherwise, either  $m_1$  was a failure match,  $m_2$  was a failure match, or  $length(m_1) \neq length(m_2)$ . Let the result of parsing this node be **match**(false).
- 6. List node (Fig. 3.8). Apply this algorithm recursively to the child subtree of this node. Let the result of parsing this node be the result of parsing the child subtree.
- 7. Closure node (Fig. 3.9). Let  $m_1$  be  $\mathbf{match}(\mathbf{true}, 0)$ , and let first be  $\mathbf{true}$ . Do following in a loop until loop exited:
  - (a) Let save be the current input position.
  - (b) If  $first = \mathbf{true}$ , set first to **false**, otherwise, unless skip is **false**, skip tokens using the skip rule.
  - (c) Apply this algorithm recursively to the child subtree of this node. Let  $m_2$  be the result of parsing the child subtree.
  - (d) If  $m_2$  was a successful match, set  $m_1$  to  $\mathbf{match}(\mathbf{true}, length(m_1) + length(m_2))$ , otherwise backtrack by setting the current input position to save and exit the loop.

Let the result of parsing this node be  $m_1$ .

8. Positive node (Fig. 3.9). Apply this algorithm recursively to the child subtree of this node. Let  $m_1$  be the result of parsing the child subtree.

If  $m_1$  was a successful match, do following in a loop until loop exited:

- (a) Let save be the current input position.
- (b) If *skip* is **true**, skip tokens using the skip rule.
- (c) Apply this algorithm recursively to the child subtree of this node. Let  $m_2$  be the result of parsing the child subtree.
- (d) If  $m_2$  was a successful match, set  $m_1$  to  $\mathbf{match}(\mathbf{true}, length(m_1) + length(m_2))$ , otherwise backtrack by setting the current input position to save and exit the loop.

Let the result of parsing this node be  $m_1$ .

9. Optional node (Fig. 3.9). Let save be the current input position. Apply this algorithm recursively to the child subtree of this node. Let m be the result of parsing the child subtree. If m was a successful match, let the result of parsing this node be m.

Otherwise, backtrack by setting the current input position to *save*. Let the result of parsing this node be  $\mathbf{match}(\mathbf{true}, 0)$ .

- 10. Char node (Fig. 3.10). If current input position is not at the end of the input, and the character at the current input position is equal to the character contained in this char node, advance the current input position by one character, and let the result of parsing this node be **match(true, 1)**.
  - Otherwise, either the current input position is at the end of the input, or the character at the current input position is not equal to the character contained in this char node, so let the result of parsing this node be **match(false)**.
- 11. String node (Fig. 3.10). Let m be match(true, 0). Let i be 0. Let n be the length of the string contained in this string node.

While i < n and the current input position is not at the end of the input and the character at the current input position is equal to the i'th character of the string contained in this string node, do the following:

- (a) Advance the current input position by one character.
- (b) Increment i.
- (c) Set m to  $\mathbf{match}(\mathbf{true}, length(m) + 1)$ .

If i = n, let the result of parsing this node be m.

Otherwise let the result of parsing this node be **match**(false).

- 12. CharSet node (Fig. 3.10). If current input position is not at the end of the input, do the following:
  - (a) If the character set is not an inverse set, and the character at the current input position is in the set, or the character set is an inverse set, and the character at the current input position is not in the set, advance the current input position by one character, and let the result of parsing this node be **match(true, 1)**

Otherwise let the result of parsing this node be **match**(false).

- 13. Keyword node (Fig. 3.10). If the contained keyword string is denoted by k, the keyword node contains following expression converted to a tree of parsing nodes:  $k \mathbf{token}(kc)$ , where c is usually expression ( $\mathbf{letter}|\mathbf{digit}|'_{-}'|'.')^+$ , but may also be user supplied *continuation rule*. Let the result of parsing this node be the result of parsing the contained tree of nodes.
- 14. Keyword list node (Fig. 3.10). The keyword list node has a selector rule, that is usually  $(\mathbf{letter}|'_{-}')(\mathbf{letter}|\mathbf{digit}|'_{-}')^*$ , but may also supplied by the user. The node has also a set of keyword strings s.

Let save be the current input position. First the input is parsed with the selector rule. Let m be the result of this parsing, and l be the matched lexeme. If m is a successful match, do the following:

(a) If the lexeme l matches one of the contained keyword strings s, let the result of parsing this node be m, otherwise backtrack by setting the current input position to save.

Otherwise let the result of parsing this node be **match**(false).

- 15. Empty node (Fig. 3.10). Let the result of parsing this node be **match**(**true**, 0).
- 16. Space node (Fig. 3.10). If the current input position is not at the end of the input, and the character at the current input position is a whitespace character, advance the current input position by one character, and let the result of parsing this node be **match(true, 1)**.
  - Otherwise let the result of parsing this node be **match**(false).
- 17. AnyChar node (Fig. 3.10). If the current input position is not at the end of the input, advance the current input position by one character, and let the result of parsing this node be **match**(**true**, 1).
  - Otherwise let the result of parsing this node be **match**(false).
- 18. Letter node (Fig. 3.10). If the current input position is not at the end of the input, and the character at the current input position is a latin letter character, advance the current input position by one character, and let the result of parsing this node be **match(true, 1)**.
  - Otherwise let the result of parsing this node be **match**(false).
- 19. Digit node (Fig. 3.10). If the current input position is not at the end of the input, and the character at the current input position is a decimal digit character, advance the current input position by one character, and let the result of parsing this node be **match(true, 1)**.
  - Otherwise let the result of parsing this node be **match**(**false**).
- 20. HexDigit node (Fig. 3.10). If the current input position is not at the end of the input, and the character at the current input position is a hexadecimal digit character, advance the current input position by one character, and let the result of parsing this node be **match(true, 1)**.
  - Otherwise let the result of parsing this node be **match**(false).
- 21. Punctuation node (Fig. 3.10). If the current input position is not at the end of the input, and the character at the current input position is ASCII punctuation character, advance the current input position by one character, and let the result of parsing this node be **match(true, 1)**.
  - Otherwise let the result of parsing this node be **match**(**false**).
- 22. Nonterminal node. Let the rule that the nonterminal is associated with be r. Parsing proceeds by parsing the rule r recursively as follows:
  - (a) Parsing rule r begins by pushing values of arguments specified in this nonterminal node to the attribute stack. Those arguments will become the inherited attributes of r. Arguments can be current values of inherited attributes, the synthesized attribute, local variables, or synthesized attributes of the contained nonterminals of the current rule, i.e. the rule that contains the current nonterminal node.
  - (b) On entry of parsing the rule r, the current context structure of r is pushed to the context stack of r and the context of r is initialized with default values.

- (c) Then arguments are popped off from the attribute stack, and placed to the context structure of r as inherited attributes.
- (d) Apply this algorithm recursively to the root node of the parsing node tree that forms the definition of the rule r. Let the result of parsing be m.
- (e) On exit of parsing the rule r, if m was a successful match, the value of the synthesized attribute of r, if any, is pushed to the attribute stack. Then in any case, the previous context of r is popped off from the context stack of r, and it becomes the current context of r.
- (f) If m was a successful match, the synthesized attribute of r, if any, is popped off from the attribute stack and placed to the context structure of the current rule as synthesized attribute of this nonterminal.
- (g) Let the result of parsing this node be m.
- 23. Token node (Fig. 3.11). Push the current skipping state skip to the skipping state stack, and set skip to **false**. Apply this algorithm recursively to the child subtree of this node. Let m be the result of parsing the child subtree. Pop the previous skipping state off from the skipping state stack, and assign it to skip. Let the result of parsing this node be m.
- 24. Expectation node (Fig. 3.11). Apply this algorithm recursively to the child subtree of this node. Let m be the result of parsing the child subtree. If m was a failure match, throw ExpectationFailure exception, otherwise, let m be the result of parsing this node.
- 25. Action node (Fig. 3.11). Apply this algorithm recursively to the child subtree of this node. Let m be the result of parsing the child subtree. If m was a successful match, do the following:
  - (a) Let matchBegin be the start of the matched lexeme and matchEnd be one past the end of the matched lexeme. Let pass be true.
  - (b) Call the semantic action associated with this action node by passing pointers matchBegin and matchEnd, and reference to pass as arguments.
  - (c) If the semantic action set *pass* to **false**, let the result of parsing this node be **match**(**false**).

Otherwise, m was a failure match, so if this action has an associated failure action, call it.

In any case, let the result of parsing this node be m.

## 3.6.5 Grammars for Cmajor Language Elements

Let us take a look at some language elements of Cmajor programming language and how they are represented using cmpg grammars.

## 3.6.5.1 Basic Types

The grammar for parsing names of basic types is one of the simplest. It consists of an alternative for each keyword of a basic type. The semantic action associated with a keyword of the type creates an abstract syntax tree node for it and assigns it to the synthesized attribute of the rule, that is exposed to semantic actions as an identifier *value*:

```
grammar BasicTypeGrammar
2
       BasicType: Cm::Ast::Node*
3
                keyword("bool"){ value = new Cm:: Ast:: BoolNode(span); }
4
                keyword("sbyte"){ value = new Cm:: Ast:: SByteNode(span); }
                keyword("byte") { value = new Cm::Ast::ByteNode(span); }
6
                keyword("short") { value = new Cm:: Ast:: ShortNode(span);
7
                keyword("ushort") { value = new Cm:: Ast:: UShortNode(span); }
8
                keyword("int"){ value = new Cm::Ast::IntNode(span); }
9
                keyword("uint") { value = new Cm:: Ast:: UIntNode(span); }
10
                 keyword("long") { value = new Cm:: Ast::LongNode(span); }
11
                 keyword("ulong") { value = new Cm:: Ast:: ULongNode(span);
12
                 keyword("float") { value = new Cm:: Ast:: FloatNode(span);
                 keyword("double"){    value = new Cm::Ast::DoubleNode(span); }
14
                keyword("char"){ value = new Cm::Ast::CharNode(span); }
15
                keyword("wchar") { value = new Cm:: Ast:: WCharNode(span);
16
                keyword("uchar") { value = new Cm:: Ast:: UCharNode(span); }
17
                keyword("void"){ value = new Cm::Ast::VoidNode(span); }
18
19
20
```

span is a name for a structure exposed to semantic actions that represents a range of input positions. It contains four integer attributes:

- 1. fileIndex is an opaque integer given by user in the main parsing function that identifies the file being parsed.
- 2. *lineNumber* is the line number of the matched lexeme counted from the start of the file being parsed.
- 3. start is the starting position of the matched lexeme.
- 4. end is the ending position of the matched lexeme.

The start and end positions are measured from the beginning of the whole input string given in the main parsing function.

#### 3.6.5.2 Type Expressions

Next we go through the composition of type expressions. In the beginning of type expression grammar there are declarations that begin with the keyword **using**. They are *rule links*. A rule link refers to a rule defined in another grammar. It brings the name of a rule to the scope of the grammar being defined.

```
grammar TypeExprGrammar

{

using BasicTypeGrammar.BasicType;

using IdentifierGrammar.Identifier;

using IdentifierGrammar.QualifiedId;

using TemplateGrammar.TemplateId;

using ExpressionGrammar.Expression;

...
```

The TypeExpr rule is the start rule of the TypeExprGrammar grammar:

```
1
       TypeExpr(
2
            ParsingContext* ctx,
3
            var std::unique_ptr<Cm::Ast::DerivedTypeExprNode> node
4
            ): Cm::Ast::Node*
5
            ::= empty
                ctx->BeginParsingTypeExpr();
8
                node.reset (new Cm::Ast::DerivedTypeExprNode(span));
9
10
                PrefixTypeExpr(ctx, node.get())
11
12
                node->GetSpan().SetEnd(span.End());
13
                value = Cm:: Ast:: MakeTypeExprNode(node.release());
                ctx->EndParsingTypeExpr();
15
16
17
                ctx->EndParsingTypeExpr();
19
20
21
22
```

The *TypeExpr* rule has one inherited attribute, ctx, of type ParsingContext\*, and one local variable, node, of type std::unique\_ptr<DerivedTypeExprNode>.

The body of the rule begins with keyword **empty** that matches anything without consuming any input. The semantic action associated with it constructs an abstract syntax tree node DerivedTypeExprNode, that eventually becomes the synthesized attribute of this rule, if the rule happens to match. The reason that the type of **node** is a unique pointer and not an ordinary one is that we don't want to leak memory in the case that the rule does not match.

The type of the inherited attribute ctx\*, ParsingContext, is a class that is used throughout parsing. It contains Boolean flags that guide the parsing, stacks of Boolean flags that hold the previous values of those flags, and member functions for manipulating those flags.

For example, member function BeginParsingTypeExpr() pushes the old value of parsingTypeExpr flag to the stack and sets the parsingTypeExpr flag to true. Correspondingly the EndParsingTypeExpr() member function pops the previous value of the parsingTypeExpr flag off from the stack and assign it to parsingTypeExpr. The reason that the flags are manipulated using stacks is that parsing is a highly recursive process, and we may have several instances of the same rule active at one time. Therefore we must push the old value to the stack when we start parsing a rule, and pop it off when we end parsing that rule.

In line 11 we match the PrefixTypeExpr rule recursively. We pass ctx and pointer to node as arguments to the PrefixTypeExpr rule. They become inherited attributes of that rule.

The semantic action associated with the PrefixTypeExpr nonterminal sets the value of the synthesized attribute of the rule. If the type expression is a simple one, value actually receives the simple type expression node contained by DerivedTypeExprNode, otherwise value receives the full DerivedTypeExprNode.

The semantic action after the / symbol starting line 18 is a failure action. It is executed if matching the rule fails. Thus we call BeginParsingTypeExpr() function at the start of the rule, and EndParsingTypeExpr() function at the end of the rule regardless whether matching the rule succeeds or fails.

The next rule of the TypeExprGrammar grammar is the PrefixTypeExpr rule:

A *prefix* type expression is a *postfix* type expression optionally prefixed by the keyword **const**. It has two inherited attributes, a *parsing context* and a pointer to the abstract syntax tree node we are constructing.

A postfix type expression is a primary type expression followed by zero or more postfix type operators ., &&, &, \*, and[]:

```
1
       PostfixTypeExpr(
2
           ParsingContext* ctx, Cm::Ast::DerivedTypeExprNode* node,
3
           var Span s)
                PrimaryTypeExpr(ctx, node) \{ s = span; \}
                '.' Identifier!{ ...
                "&&" { node->AddRvalueRef();
7
                 '&'{ node->AddReference(); }
8
                 '*'{ node->AddPointer(); }
9
                '['{ node->AddArray(); }
10
                Expression(ctx):dim{ node->AddArrayDimensionNode(dim); }
11
                ']'
12
           )*
13
14
15
```

A primary type expression is either a name of a basic type, i.e. **bool**, **sbyte**, etc., a template identifier such as foo<int>, a name of a type, Symbol for instance, or a parenthesized prefix type expression.

```
1
       . . .
      PrimaryTypeExpr(
2
           ParsingContext* ctx, Cm::Ast::DerivedTypeExprNode* node)
3
           ::= BasicType{ node->SetBaseTypeExpr(BasicType); }
4
               TemplateId(ctx) { node->SetBaseTypeExpr(TemplateId); }
5
               Identifier { node->SetBaseTypeExpr(Identifier); }
6
               '('{ node->AddLeftParen(); } PrefixTypeExpr(ctx, node)! ')'{
              node->AddRightParen(); }
8
9
  }
```

## 3.6.5.3 Template Identifiers

The template identifier has one inherited attribute: ctx of type ParsingContext\*, and one local variable templateId of type std::unique\_ptr<TemplateIdNode> that becomes the value of the inherited attribute of the rule.

```
grammar TemplateGrammar
1
2
  {
       using IdentifierGrammar.Identifier;
3
       using IdentifierGrammar. QualifiedId;
4
       using TypeExprGrammar.TypeExpr;
5
6
       TemplateId (ParsingContext* ctx,
7
            var std::unique ptr<TemplateIdNode> templateId): Cm::Ast::Node*
8
            ::= empty{ ctx->BeginParsingTemplateId(); }
9
            (
10
                QualifiedId: subject
11
12
                     templateId.reset(new TemplateIdNode(span, subject));
13
               }
,<,
15
                     TypeExpr(ctx):templateArg
16
17
                         templateId -> AddTemplateArgument(templateArg);
18
19
                    %
20
21
22
23
24
25
                ctx->EndParsingTemplateId();
                value = templateId.release();
27
                value->GetSpan().SetEnd(span.End());
28
            }
29
30
            . . .
```

At the beginning of the rule BeginParsingTemplateId() member function of the Parsing-Context is called. Correspondingly at the end of the rule EndParsingTemplateId() member function of the ParsingContext is called regardless whether the parsing succeeds or fails. BeginParsingTemplateId() function pushes the value of member variable parsingTemplateId to the stack and sets parsingTemplateId to true. EndParsingTemplateId() function pops the previous value of member variable parsingTemplateId off from the stack and assigns it to parsingTemplateId.

Template identifier consists of a qualified identifier, foo, bar.bazz, etc., followed a list of one or more type expressions between angle brackets. Thus the TypeExpr rule is called recursively by this rule.

## 3.6.5.4 Expressions

In the beginning of *Expression* grammar there are some rule link declarations. These are the external rules that this grammar uses:

```
grammar ExpressionGrammar

[2] {
[3] using LiteralGrammar.Literal;
[4] using BasicTypeGrammar.BasicType;
[5] using IdentifierGrammar.Identifier;
[6] using IdentifierGrammar.QualifiedId;
[7] using TemplateGrammar.TemplateId;
[8] using TypeExprGrammar.TypeExpr;
```

The start rule of the grammar is the *Expression* rule. It has one inherited attribute ctx of type ParsingContext.

An expression consists of an equivalence expression. The value of ctx is passed as an argument to the Equivalence rule. After matching Equivalence, the synthesized attribute of the Expression rule is set to the value of the synthesized attribute of the Equivalence rule.

```
Expression(ParsingContext* ctx): Cm::Ast::Node*

::= Equivalence(ctx){ value = Equivalence; }

;
```

An equivalence expression consists of a nonempty sequence of implication expressions separated by <=> symbols:  $\alpha_1 <=> \alpha_2 <=> \cdots <=> \alpha_k$ . If k>1 and we are not parsing a concept definition, or we are parsing a template identifier, we reject the input by setting pass to **false**. This is the way to make semantic decisions during parsing. An expression of the form  $\alpha_1 <=> \alpha_2$  is accepted only in a concept definition. Sole implication expression  $\alpha_1$  is accepted always.

```
1
       Equivalence (ParsingContext* ctx,
2
            var std::unique_ptr<Node> expr,
3
            var Span s): Cm::Ast::Node*
            ::=
5
                 Implication(ctx):left { expr.reset(left); s = span; }
            (
6
                     "<=>"
                 (
                          if (!ctx->ParsingConcept()
9
                          | ctx->ParsingTemplateId())
10
                              pass = false;
11
12
                     Implication (ctx): right!
13
14
                          s.SetEnd(span.End());
15
                          expr.reset(new EquivalenceNode(s, expr.release(),
16
                             right));
17
                 ) *
18
19
20
                 value = expr.release();
21
22
23
24
```

An implication expression is of the form  $\beta_1(=>\beta_2(=>\cdots(=>\beta_k)))$  The parentheses show that operands of an implication associate to the right. We can express such right associative expressions by using right recursion, as in the following Implication rule:

```
1
       Implication (ParsingContext* ctx, var std::unique_ptr<Node> expr,
2
            var Span s): Cm::Ast::Node*
3
            ::=
                Disjunction(ctx):left{ expr.reset(left); s = span; }
            (
5
                     "=>"
                (
6
                         if (!ctx->ParsingConcept()
8
                          | ctx->ParsingTemplateId())
9
                         pass = false;
10
11
                     Implication (ctx): right!
12
13
                         s.SetEnd(span.End());
14
                         expr.reset (new ImplicationNode(s, expr.release(),
15
                             right));
16
                )?
17
18
19
                value = expr.release();
20
21
23
```

A right recursive rule is of the form

$$p \rightarrow q (op p)$$
?

where op is an operator that associates to the right. Like in equivalence expression, the implication expression of the form  $\beta_1 => \beta_2$  is also accepted only in concept definitions. Sole disjunction expression  $\beta_1$  is accepted always.

The disjunction rule rejects meaningless statements like a||b = c;, where a||b is an lvalue. That is, when we are parsing the left part of an assignment statement, we set parsing Lvalue flag is **true**, so in that case we reject expression of the form a||b.

```
1
       Disjunction (ParsingContext* ctx, var std::unique ptr<Node> expr,
2
            var Span s): Cm::Ast::Node*
3
                Conjunction(ctx):left{ expr.reset(left); s = span; }
5
                     " || "
                     {
                          if (ctx->ParsingLvalue()
                            ctx->ParsingSimpleStatement()
9
                             && !ctx->ParsingArguments())
10
                              pass = false;
11
12
                     Conjunction (ctx): right!
13
14
                         s.SetEnd(span.End());
15
                         expr.reset(new DisjunctionNode(s, expr.release(),
16
                             right));
17
                ) *
18
19
20
                value = expr.release();
21
22
23
24
```

Rules for other expressions are not shown, because there is nothing new in them. However, we show the syntax of *primary* expression. A *primary* expression consists one of

- 1. a parenthesized *expression*,
- 2. a literal,
- 3. a name of a basic type,
- 4. a **sizeof** expression,
- 5. a **cast** expression,
- 6. a **construct** expression,
- 7. a **new** expression,

- 8. a template identifier,
- 9. an identifier,
- 10. keyword **this**,
- 11. keyword base or a
- 12. **typename** expression.

```
Primary (\, Parsing Context \! * \ ctx \,) : \ Cm :: Ast :: Node *
1
            ::= ('(' \text{ Expression}(\text{ctx})'')') \{ \text{ value } = \text{ Expression}; \}
2
                 Literal { value = Literal; }
3
                 BasicType{ value = BasicType; }
                 SizeOfExpr(ctx) { value = SizeOfExpr; }
                 CastExpr(ctx) { value = CastExpr; }
                 ConstructExpr(ctx) { value = ConstructExpr; }
                 NewExpr(ctx){ value = NewExpr; }
                 TemplateId(ctx){ value = TemplateId; }
                 Identifier { value = Identifier; }
10
                 keyword("this"){ value = new ThisNode(span); }
11
                 keyword("base"){ value = new BaseNode(span); }
12
                 (keyword("typename") '(' Expression(ctx):subject ')')
13
14
                 value = new TypeNameNode(span, subject);
15
16
17
```

## 3.6.5.5 Statements

The grammar for statements begins with rule link declarations:

```
grammar StatementGrammar

[2] {
[3] using stdlib.identifier;
[4] using KeywordGrammar.Keyword;
[5] using ExpressionGrammar.Expression;
[6] using TypeExprGrammar.TypeExpr;
[7] using IdentifierGrammar.Identifier;
[8] using ExpressionGrammar.ArgumentList;
[9]
```

Here is the definition of the *Statement* rule. There are brances for each kind of statement that Cmajor language contains.

```
1
       Statement (ParsingContext* ctx): Cm::Ast::StatementNode*
2
           ::= LabeledStatement(ctx){ value = LabeledStatement; }
3
               ControlStatement(ctx) { value = ControlStatement; }
               TypedefStatement(ctx) { value = TypedefStatement; }
               SimpleStatement(ctx) { value = SimpleStatement; }
6
               AssignmentStatement(ctx) { value = AssignmentStatement; }
               ConstructionStatement(ctx) { value = ConstructionStatement; }
               DeleteStatement(ctx) { value = DeleteStatement; }
9
               DestroyStatement(ctx) { value = DestroyStatement; }
10
               ThrowStatement(ctx) { value = ThrowStatement; }
11
               TryStatement(ctx){ value = TryStatement; }
12
               AssertStatement(ctx) { value = AssertStatement; }
13
               ConditionalCompilationStatement(ctx)
14
15
                    value = ConditionalCompilationStatement;
17
18
19
```

The *SimpleStatement* rule consists of an optional expression. Thus it is the rule that matches also an empty statement consisting a sole semicolon.

```
1
       SimpleStatement (ParsingContext* ctx,
2
           var std::unique ptr<Node> expr): Cm::Ast::StatementNode*
3
           ::= (empty{ ctx->PushParsingSimpleStatement(true); }
                (Expression(ctx) { expr.reset(Expression); })? ';')
5
6
                ctx->PopParsingSimpleStatement();
                value = new SimpleStatementNode(span, expr.release());
8
10
11
                ctx->PopParsingSimpleStatement();
12
13
14
15
```

The ControlStatement rule consists of cases for each kind of control statement.

```
1
       ControlStatement (ParsingContext* ctx): Cm::Ast::StatementNode*
2
           ::= ReturnStatement(ctx) { value = ReturnStatement; }
3
               ConditionalStatement(ctx) { value = ConditionalStatement; }
               SwitchStatement(ctx) { value = SwitchStatement; }
5
               WhileStatement(ctx){ value = WhileStatement; }
               DoStatement (ctx) { value = DoStatement; }
               RangeForStatement(ctx){ value = RangeForStatement; }
8
               ForStatement(ctx) { value = ForStatement; }
9
               CompoundStatement(ctx) { value = CompoundStatement; }
10
               BreakStatement(ctx) { value = BreakStatement; }
11
               ContinueStatement(ctx) { value = ContinueStatement; }
12
               GotoCaseStatement(ctx) { value = GotoCaseStatement; }
13
               GotoDefaultStatement(ctx){ value = GotoDefaultStatement; }
               GotoStatement(ctx) { value = GotoStatement; }
15
16
17
```

We are showing just the definition of the return statement and while statement rules.

A return statement consists of keyword **return** followed by an optional expression and a semicolon. The *ReturnStatement* rule constructs an abstract syntax tree node called *ReturnStatementNode*, that takes the input position and synhesized attribute of the *Expression* rule as arguments, and assigns it to the synthesized attribute of the rule. The exclamation mark after the semicolon disables backtracking. If the semicolon is missing in input, an *ExpectationFailure* exception containing exact input position is thrown.

```
ReturnStatement(ParsingContext* ctx): Cm::Ast::StatementNode*

::= (keyword("return") Expression(ctx)? ';'!)

{

value = new ReturnStatementNode(span, Expression);

}

;
```

A while statement consists of keyword **while**, a Boolean expression and a statement. The exclamation marks after the parentheses, and the calls of the expression rule and statement rule disable backtracking and force matching those constructs. The *WhileStatement* rule constructs an abstract syntax tree node called *WhileStatementNode* that takes the synthesized attributes of the *Expression* and *Statement* rules as arguments, and assigns it to the synthesized attribute of the rule.

## 3.6.6 Abstract Syntax Tree Class Hierarchy

There are three abstract node classes in the abstract syntax tree node class hierarchy: *Node*, *UnaryNode* and *BinaryNode*.

The *Node* class is the root of the abstract syntax tree node class hierarchy. The *UnaryNode* class is an abstract syntax tree node that has one child node. The *BinaryNode* class is an abstract syntax tree node that has two child nodes.

#### Node

UnaryNode BinaryNode

## 3.6.6.1 Node Classes for Basic Types

There is a node class for each basic type:

#### Node

BoolNode

SByteNode

ByteNode

ShortNode

UShortNode

IntNode

UIntNode

LongNode

ULongNode

FloatNode

DoubleNode

CharNode

WCharNode

UCharNode

VoidNode

## 3.6.6.2 Literal Node Classes

There is a node class for each kind of literal:

#### Node

BooleanLiteralNode

SByteLiteralNode

ByteLiteralNode

ShortLiteralNode

UShortLiteralNode

IntLiteralNode

UIntLitralNode

LongLitralNode

ULongLiteralNode

FloatLiteralNode

DoubleLiterallNode CharLiteralNode StringLiteralNode WStringLiteralNode UStringLiteralNode NullLiteralNode

## 3.6.6.3 Expression Node Classes

There is a node class for each kind of Cmajor expression:

## Node

CastNode

IsNode

AsNode

NewNode

ConstructNode

ThisNode

BaseNode

UnaryNode

InvokeNode

IndexNode

DotNode

ArrowNode

PostfixIncNode

PostfixDecNode

DerefNode

AddOfNode

NotNode

UnaryPlusNode

UnaryMinusNode

ComplementNode

 ${\tt PrefixIncNode}$ 

PrefixDecNode

SizeOfNode

TypeNameNode

## BinaryNode

EquivalenceNode

ImplicationNode

DisjunctionNode

ConjunctionNode

BitOrNode

BitXorNode

BitAndNode

EqualNode

NotEqualNode

LessNode

GreaterNode LessOrEqualNode

 ${\tt GreaterOrEqualNode}$ 

ShiftLeftNode

ShirtRightNode

AddNode

SubNode

MulNode

DivNode

RemNode

#### 3.6.6.4 Statement Node Classes

There is a node class for each kind of Cmajor statement:

#### Node

LabelNode

CatchNode

CondCompSymbolNode

 ${\tt CondCompilationPartNode}$ 

 ${\tt CondCompExprNode}$ 

CondCompNotNode

CondCompPrimaryNode

CondCompBinExprNode

 ${\tt CondCompDisjunctionNode}$ 

 ${\tt CondCompConjunctionNode}$ 

#### StatementNode

SimpleStatementNode

ReturnStatementNode

ConditionalStatementNode

SwitchStatementNode

CaseStatementNode

 ${\tt DefaultStatementNode}$ 

 ${\tt GotoCaseStatementNode}$ 

 ${\tt GotoDefaultStatementNode}$ 

WhileStatementNode

DoStatementNode

ForStatementNode

 ${\tt RangeForStatementNode}$ 

 ${\tt CompoundStatementNode}$ 

 ${\tt BreakStatementNode}$ 

 ${\tt ContinueStatementNode}$ 

GotoStatementNode

TypedefStatementNode

AssignmentStatementNode

ConstructionStatementNode

DeleteStatementNode

DestroyStatementNode
ThrowStatementNode
TryStatementNode
ExitTryStatementNode
BeginCatchStatementNode
AssertStatementNode
CondCompStatementNode

## 3.6.6.5 Concept Node Classes

Node classes relating to concepts:

#### Node

AxiomStatementNode AxiomNode ConceptIdNode ConceptNode

SameConceptNode
DerivedConceptNode
ConvertibleConceptNode
ExplicitlyConvertibleConceptNode
CommonConceptNode
NonReferenceTypeConceptNode

## ConstraintNode

WhereConstraintNode
IsConstraintNode
MultiParamConstraintNode
TypeNameConstraintNode
IntrinsicConstraintNode
SameConstraintNode
DerivedConstraintNode
ConvertibleConstraintNode
ExplicitlyConvertibleConstraintNode
CommonConstraintNode
NonReferenceTypeConstraintNode
SignatureConstraintNode
ConstructorConstraintNode
DestructorConstraintNode

 ${\tt MemberFunctionConstraintNode}$ 

FunctionConstraintNode
BinaryConstraintNode
DisjunctiveConstraintNode
ConjunctiveConstraintNode

## 3.6.6.6 Class and Function Node Classes

Node classes relating to classes and functions:

#### Node

MemberVariableNode FunctionGroupIdNode

FunctionNode

StaticConstructorNode

ConstructorNode

DestructorNode

MemberFunctionNode

 ${\tt ConversionFunctionNode}$ 

ClassNode

InitializerNode

MemberInitializerNode BaseInitializerNode ThisInitializerNode

## 3.6.6.7 Other Node Classes

Other kinds of node classes:

## Node

 ${\tt CompileUnitNode}$ 

 ${\tt ConstantNode}$ 

DelegateNode

 ${\tt ClassDeletateNode}$ 

DerivedTypeExprNode

 ${\tt EnumConstantNode}$ 

EnumTypeNode

IdentifierNode

InterfaceNode

NamespaceNode

AliasNode

NamespaceImportNode

ParameterNode

TemplateParameterNode

TemplateIdNode

TypedefNode

## 3.6.7 Example

The following example shows the result of parsing a function and constructing an abstract syntax tree for it.

**Example 3.6.3.** The following Cmajor function is used as example input to the parser:

```
public nothrow int StrLen(const char* s)

int len = 0;

if (s != null)

while (*s != '\0')

++len;

++s;

return len;

return len;

return len;
```

The following listing shows the resulting abstract syntax tree for parsing the StrLen function:

```
FunctionNode
    FunctionGroupIdNode(StrLen)
    ParameterNodeList
        ParameterNode
            DerivedTypeExprNode
                 DerivationList
                     Derivation.const
                     Derivation.pointer
                 CharNode
            IdentifierNode(s)
    {\tt CompoundStatementNode}
        ConstructionStatementNode
             IntNode
             IdentifierNode(len)
            SByteLiteralNode(0)
        {\tt ConditionalStatementNode}
            NotEqualNode
                 IdentifierNode(s)
                 NullLiteralNode
            {\tt CompoundStatementNode}
                 WhileStatementNode
                     NotEqualNode
                         DerefNode
                              IdentifierNode(s)
                          CharLiteralNode('\0')
                     CompoundStatementNode
```

SimpleStatementNode

ReturnStatementNode IdentifierNode(len)

The parser constructs an abstract syntax tree node called FunctionNode for the function. The FunctionNode contains:

- 1. the name of the function group that the function belongs to: FunctionGroupIdNode(StrLen).
- 2. nodes for each parameter that the function takes. Each *ParameterNode* consists of nodes for the type and the name of the parameter.
- 3. node for the body of the function: CompoundStatementNode.

The body consists of an construction statement, an **if** statement and a return statement. The **if** statement consists of a **while** statement that has two simple statements in it. Each simple statement contains a prefix increment expression.

## 3.7 Iterating Through the Abstract Syntax Trees

Many of the following phases of compilation iterate through the abstract syntax trees generated by the parser. Technically the iteration is done using the *visitor* design pattern. The visitor design pattern enables creation of several algorithms that operate on a object hierarchy without touching the object hierarchy. In visitor pattern, each object that is part of the object hierarchy implements a virtual *Accept* member function that takes a parameter of a class derived from common *Visitor* class. Accept calls *Visit* member function of a visitor by passing itself as a parameter to the Visit member function.

Figure 3.13: Visitor

Root

SomeObject1

SomeObject2

Container

Visitor

Algorithm1

Algorithm2

```
class Root
1
2
   public:
3
        virtual void Accept(Visitor& visitor) = 0;
   };
5
   class SomeObject1 : public Root
7
8
   public:
9
       void Accept (Visitor& visitor) override
10
11
            visitor. Visit (*this);
12
13
   };
14
15
   class SomeObject2 : public Root
16
17
   public:
18
       void Accept (Visitor& visitor) override
19
20
            visitor. Visit (*this);
21
22
   };
23
24
   class Container : public Root
25
26
   public:
27
        void Accept (Visitor & visitor) override
28
29
            o1->Accept (visitor);
30
            o2->Accept (visitor);
31
            visitor. Visit (*this);
32
33
   private:
34
       SomeObject1* o1;
        SomeObject2* o2;
36
37
   class Visitor
39
40
   public:
41
       virtual void Visit(SomeObject1& someObject1) {}
42
        virtual void Visit (SomeObject2& someObject2) {}
43
        virtual void Visit(Container& container) {}
44
   };
45
```

```
class Algorithm1 : public Visitor
1
2
   public:
3
       void Visit (SomeObject1& someObject1) override
5
            // algorithm 1 for SomeObject1
6
       void Visit (SomeObject2& someObject2) override
9
            // algorithm 1 for SomeObject2
10
11
       void Visit (Container& container)
12
13
            // algorithm 1 for Container
14
15
   };
16
17
   class Algorithm2: public Visitor
18
19
   public:
20
       void Visit (SomeObject1& someObject1) override
21
22
            // algorithm 2 for SomeObject1
23
24
       void Visit (SomeObject2& someObject2) override
25
26
            // algorithm 2 for SomeObject2
27
28
       void Visit (Container& container)
29
30
            // algorithm 2 for Container
31
32
   };
33
34
   void DoAlgorithm1(Container& c)
35
36
       Algorithm1 algorithm1;
37
       c. Accept (algorithm1);
38
39
40
   void DoAlgorithm2 (Container&c)
41
42
       Algorithm2 algorithm2;
43
       c. Accept (algorithm2);
44
45
```

## Chapter 4

## Symbol Table

The next phase of compilation after parsing in Cmajor is constructing a symbol table. The symbol table consists of a tree of symbols. There are many kinds of symbols. Container symbols like class and namespace symbols form the interior nodes of the symbol tree. Simple kind of symbols like constant and parameter symbols form the leaf nodes of the symbol tree.

The most important attribute common to each kind of symbol is its name. Another property common to all symbols is a pointer to its parent symbol. In the root of the symbol three is the global namespace symbol. The name of the global namespace symbol is empty and its parent property is null. With these properties a *full name* of a symbol can be computed as follows:

## Algorithm 4.0.1. Computing the Full Name of a Symbol.

- 1. If the symbol's parent property is not null let p be the full name of symbol's parent. Otherwise let p be empty string.
- 2. If p is empty string, the full name of the symbol is the name of the symbol. Otherwise the full name of the symbol is p concatenated with "." and the name of the symbol.

The symbol table is built in three stages:

- 1. First basic type symbols like BoolTypeSymbol and IntTypeSymbol are inserted to the global namespace of the global symbol table.
- 2. Then the symbol tables of the referenced libraries are read and imported to the global symbol table.
- 3. Finally the abstract syntax trees of the current project being compiled are iterated and symbols for abstract syntax tree nodes are created and inserted to the global symbol table.

#### **Example 4.0.1.** Consider the following Cmajor source code file.

```
public enum TrafficLight
{
    green, yellow, red
}
```

```
namespace Alpha. Beta
6
7
        public class Gamma
8
9
            public void Foo(int bar)
10
11
12
13
14
        public void Delta(bool epsilon)
15
16
17
18
```

The following abstract syntax tree is generated while parsing the previous source code file:

```
CompileUnitNode
   EnumTypeNode(TrafficLight)
   EnumConstantNode(green)
   EnumConstantNode(yellow)
   EnumConstantNode(red)
   NamespaceNode(Alpha.Beta)
   ClassNode(Gamma)
   FunctionNode(Foo)
   ParameterNode(bar)
   FunctionNode(Delta)
   ParameterNode(epsilon)
```

The following symbol table is constructed while iterating through the previous abstract syntax tree:

```
NamespaceSymbol()
    EnumTypeSymbol(TrafficLight)
    EnumConstantSymbol(green)
    EnumConstantSymbol(yellow)
    EnumConstantSymbol(red)
    NamespaceSymbol(Alpha)
    NamespaceSymbol(Beta)
    ClassTypeSymbol(Gamma)
    FunctionGroupSymbol(Foo)
        FunctionSymbol(Foo)
        ParameterSymbol(bar)
    FunctionSymbol(Delta)
    FunctionSymbol(Delta)
    ParameterSymbol(epsilon)
```

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