

# Quicksort

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# Introduction

- **Fastest** known sorting algorithm in practice
- Average case:  $O(N \log N)$  (we don't prove it)
- Worst case:  $O(N^2)$ 
  - But, the worst case seldom happens.
- Another divide-and-conquer recursive algorithm, like mergesort

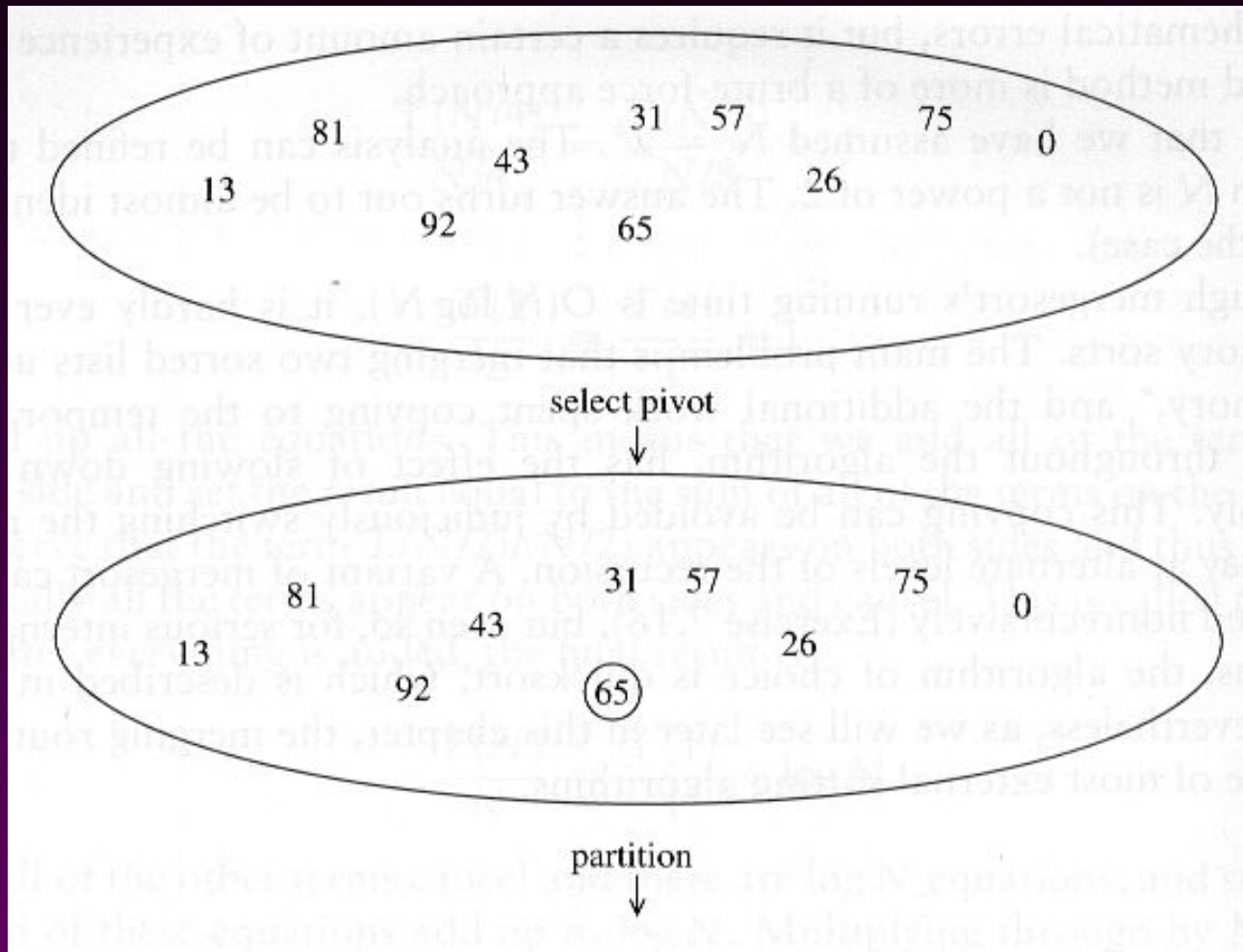
# Quicksort

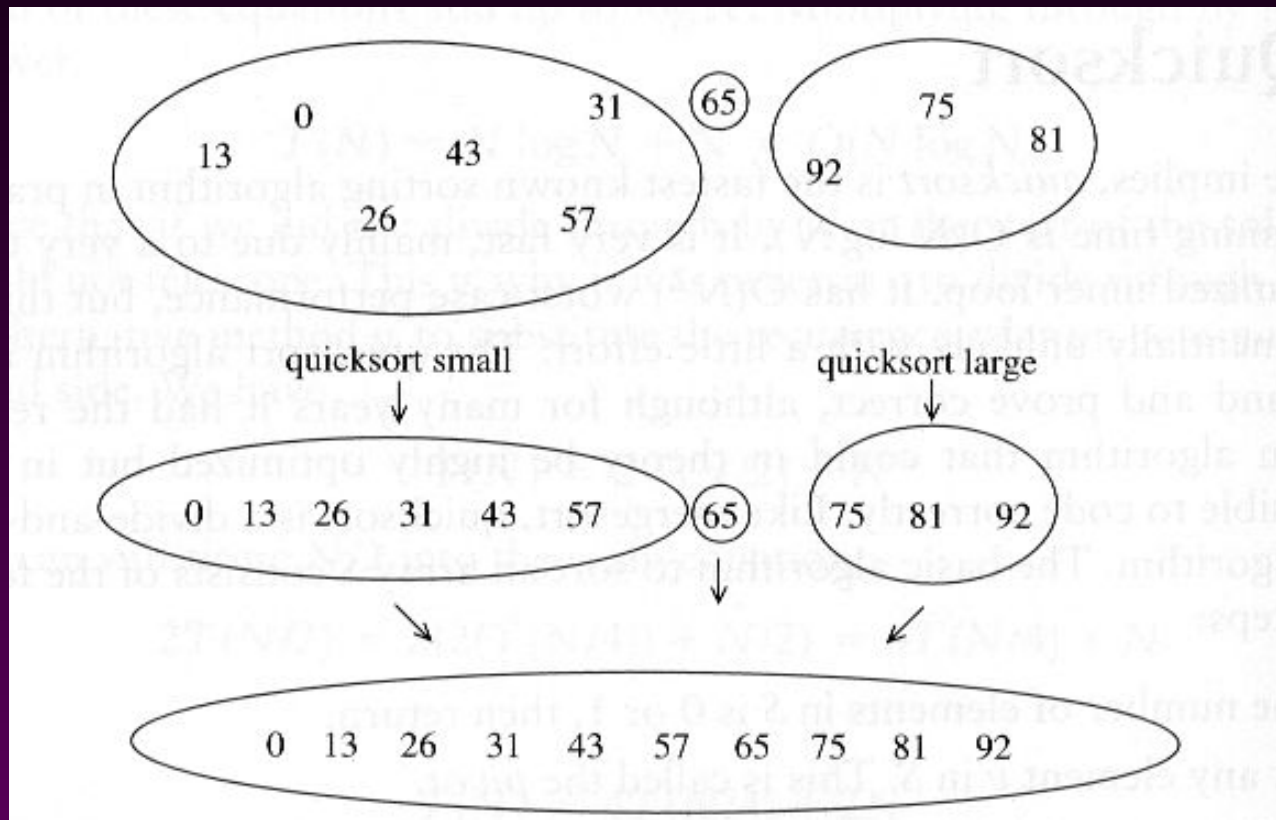
- Divide step:
  - Pick any element (**pivot**)  $v$  in  $S$
  - Partition  $S - \{v\}$  into two disjoint groups
$$S1 = \{x \in S - \{v\} \mid x \leq v\}$$
$$S2 = \{x \in S - \{v\} \mid x \geq v\}$$
- Conquer step: recursively sort  $S1$  and  $S2$
- Combine step: the sorted  $S1$  (by the time returned from recursion), followed by  $v$ , followed by the sorted  $S2$  (i.e., nothing extra needs to be done)



To simplify, we may assume that we don't have repetitive elements,  
So to ignore the 'equality' case!

# Example





# Pseudo-code

Input: an array  $a[\text{left}, \text{right}]$

```
QuickSort (a, left, right) {  
    if (left < right) {  
        pivot = Partition (a, left, right)  
        Quicksort (a, left, pivot-1)  
        Quicksort (a, pivot+1, right)  
    }  
}
```

## Compare with MergeSort:

```
MergeSort (a, left, right) {  
    if (left < right) {  
        mid = divide (a, left, right)  
        MergeSort (a, left, mid-1)  
        MergeSort (a, mid+1, right)  
        merge(a, left, mid+1, right)  
    }  
}
```

# Two key steps

- How to pick a pivot?
- How to partition?

# Pick a pivot

- Use the first element as pivot
  - if the input is random, ok
  - if the input is presorted (or in reverse order)
    - all the elements go into S2 (or S1)
    - this happens consistently throughout the recursive calls
    - Results in  $O(n^2)$  behavior (Analyze this case later)
- Choose the pivot randomly
  - generally safe
  - random number generation can be expensive



# In-place Partition

- If use additional array (not in-place) like MergeSort
  - Straightforward to code like MergeSort (write it down!)
  - Inefficient!



- Many ways to implement
- Even the slightest deviations may cause surprisingly bad results.
  - Not stable as it does not preserve the ordering of the identical keys.
  - Hard to write correctly ☹

## An easy version of in-place partition to understand, but not the original form

```
int partition(a, left, right, pivotIndex) {
    pivotValue = a[pivotIndex];
    swap(a[pivotIndex], a[right]); // Move pivot to end

    // move all smaller (than pivotValue) to the beginning
    storeIndex = left;
    for (i from left to right) {
        if a[i] < pivotValue
            swap(a[storeIndex], a[i]);
            storeIndex = storeIndex + 1 ;
    }

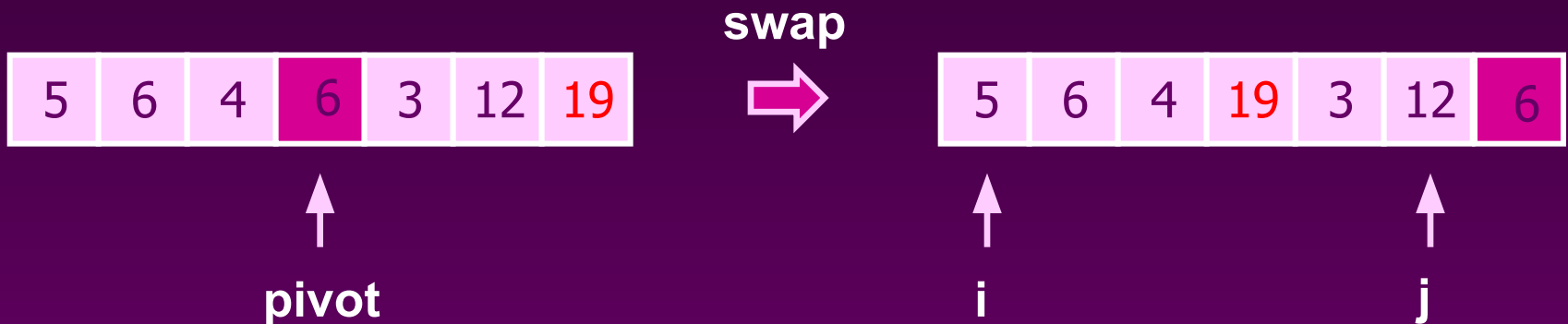
    swap(a[right], a[storeIndex]); // Move pivot to its final place
    return storeIndex;
}
```

**Look at Wikipedia**

```
quicksort(a, left, right) {  
  
    if (right > left) {  
        pivotIndex = left;  
        select a pivot value a[pivotIndex];  
  
        pivotNewIndex = partition(a, left, right, pivotIndex);  
  
        quicksort(a, left, pivotNewIndex - 1);  
        quicksort(a, pivotNewIndex + 1, right);  
    }  
}
```

# A better partition

- Want to partition an array  $A[\text{left} \dots \text{right}]$
- First, get the pivot element out of the way by swapping it with the last element. (Swap pivot and  $A[\text{right}]$ )
- Let  $i$  start at the first element and  $j$  start at the next-to-last element ( $i = \text{left}$ ,  $j = \text{right} - 1$ )



- Want to have

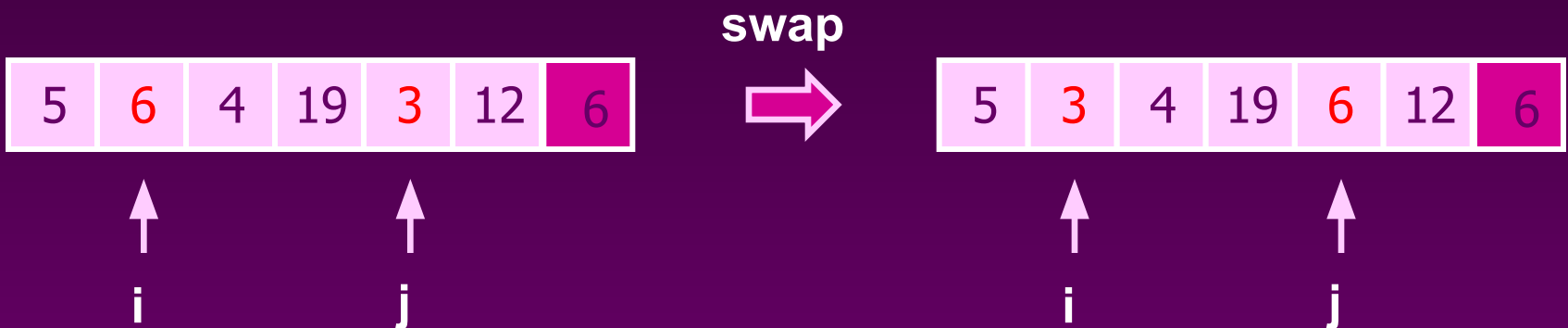
- $A[x] \leq \text{pivot}$ , for  $x < i$
- $A[x] \geq \text{pivot}$ , for  $x > j$

- When  $i < j$

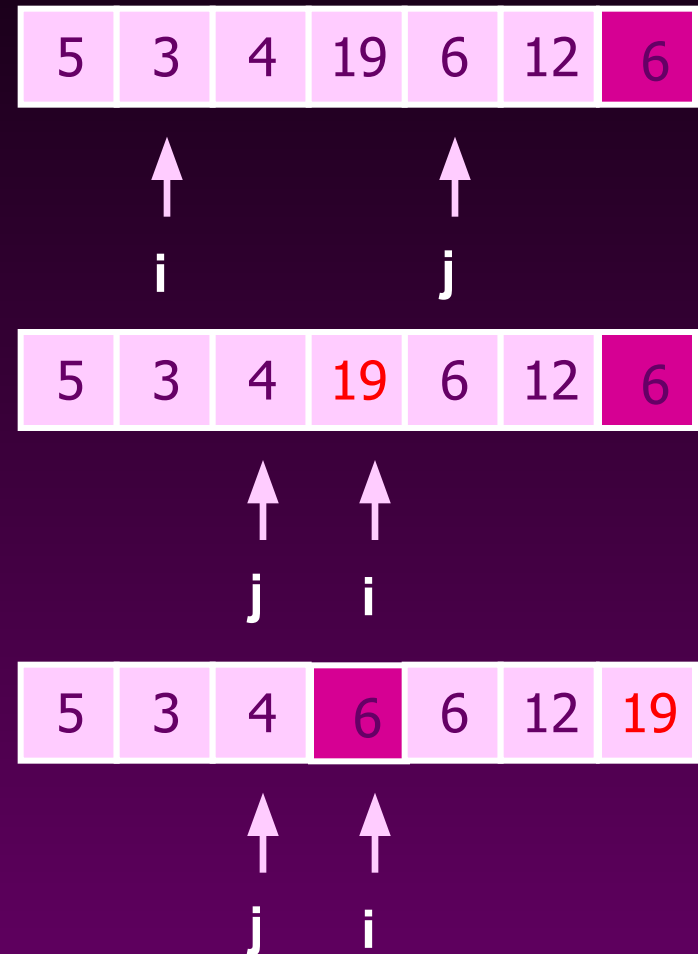
- Move  $i$  right, skipping over elements smaller than the pivot
- Move  $j$  left, skipping over elements greater than the pivot
- When both  $i$  and  $j$  have stopped
  - $A[i] \geq \text{pivot}$
  - $A[j] \leq \text{pivot}$



- When  $i$  and  $j$  have stopped and  $i$  is to the left of  $j$ 
  - Swap  $A[i]$  and  $A[j]$ 
    - The large element is pushed to the right and the small element is pushed to the left
  - After swapping
    - $A[i] \leq \text{pivot}$
    - $A[j] \geq \text{pivot}$
  - Repeat the process until  $i$  and  $j$  cross



- When  $i$  and  $j$  have crossed
  - Swap  $A[i]$  and pivot
- Result:
  - $A[x] \leq \text{pivot}$ , for  $x < i$
  - $A[x] \geq \text{pivot}$ , for  $x > i$



## 16 Implementation (put the pivot on the leftmost instead of rightmost)

```
void quickSort(int array[], int start, int end)
{
    int i = start; // index of left-to-right scan
    int k = end; // index of right-to-left scan

    if (end - start >= 1) // check that there are at least two elements to sort
    {
        int pivot = array[start]; // set the pivot as the first element in the partition
        while (k > i) // while the scan indices from left and right have not met,
        {
            while (array[i] <= pivot && i <= end && k > i) // from the left, look for the first
                i++; // element greater than the pivot
            while (array[k] > pivot && k >= start && k >= i) // from the right, look for the first
                k--; // element not greater than the pivot
            if (k > i) // if the left seekindex is still smaller than
                swap(array, i, k); // the right index,
            // swap the corresponding elements
        }
        swap(array, start, k); // after the indices have crossed,
        // swap the last element in
        // the left partition with the pivot
        quickSort(array, start, k - 1); // quicksort the left partition
        quickSort(array, k + 1, end); // quicksort the right partition
    }
    else // if there is only one element in the partition, do not do any sorting
    {
        return; // the array is sorted, so exit
    }
}
```

Adapted from

[http://www.mycsresource.net/articles/programming/sorting\\_algos/quicksort/](http://www.mycsresource.net/articles/programming/sorting_algos/quicksort/)



```
void quickSort(int array[])
// pre: array is full, all elements are non-null integers
// post: the array is sorted in ascending order
{
    quickSort(array, 0, array.length - 1); // quicksort all the elements in the array
}

void quickSort(int array[], int start, int end)
{
    ...
}

void swap(int array[], int index1, int index2) {...}
// pre: array is full and index1, index2 < array.length
// post: the values at indices 1 and 2 have been swapped
```

# With duplicate elements ...

- Partitioning so far defined is ambiguous for duplicate elements (the equality is included for both sets)
- Its 'randomness' makes a 'balanced' distribution of duplicate elements
- When all elements are identical:
  - both  $i$  and  $j$  stop □ many swaps
  - but cross in the middle, partition is balanced (so it's  $n \log n$ )

# A better Pivot

Use the median of the array

- Partitioning always cuts the array into roughly half
- An **optimal** quicksort ( $O(N \log N)$ )
- However, hard to find the exact median (chicken-egg?)
  - e.g., sort an array to pick the value in the middle
- Approximation to the exact median: ...

# Median of three

- We will use **median of three**
  - Compare just three elements: the leftmost, rightmost and center
  - Swap these elements if necessary so that
    - $A[\text{left}] = \text{Smallest}$
    - $A[\text{right}] = \text{Largest}$
    - $A[\text{center}] = \text{Median of three}$
  - Pick  $A[\text{center}]$  as the pivot
  - Swap  $A[\text{center}]$  and  $A[\text{right} - 1]$  so that pivot is at second last position (why?)

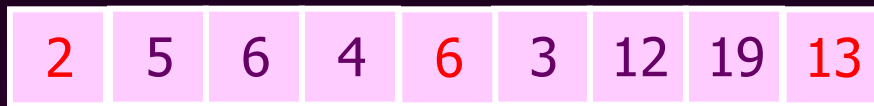
## median3

```
int center = ( left + right ) / 2;
if( a[ center ] < a[ left ] )
    swap( a[ left ], a[ center ] );
if( a[ right ] < a[ left ] )
    swap( a[ left ], a[ right ] );
if( a[ right ] < a[ center ] )
    swap( a[ center ], a[ right ] );

// Place pivot at position right - 1
swap( a[ center ], a[ right - 1 ] );
```



$A[\text{left}] = 2$ ,  $A[\text{center}] = 13$ ,  
 $A[\text{right}] = 6$



Swap  $A[\text{center}]$  and  $A[\text{right}]$



Choose  $A[\text{center}]$  as **pivot**

↑  
**pivot**



Swap pivot and  $A[\text{right} - 1]$

↑  
**pivot**

Note we only need to partition  $A[\text{left} + 1, \dots, \text{right} - 2]$ . Why?

- Works only if pivot is picked as **median-of-three**.
  - $A[\text{left}] \leq \text{pivot}$  and  $A[\text{right}] \geq \text{pivot}$
  - Thus, only need to partition  $A[\text{left} + 1, \dots, \text{right} - 2]$
- $j$  will not run past the beginning
  - because  $a[\text{left}] \leq \text{pivot}$
- $i$  will not run past the end
  - because  $a[\text{right}-1] = \text{pivot}$

```
int i = left, j = right - 1;
for( ; ; )
{
    while( a[ ++i ] < pivot ) { }
    while( pivot < a[ --j ] ) { }
    if( i < j )
        swap( a[ i ], a[ j ] );
    else
        break;
}
```



The coding style is efficient, but hard to read ☹


```
i=left;
j=right-1;

while (1) {
    do i=i+1;
    while (a[i] < pivot);

    do j=j-1;
    while (pivot < a[j]);

    if (i<j) swap(a[i],a[j]);
    else break;
}
```

```
int i = left, j = right - 1;
for( ; ; )
{
    while( a[ ++i ] < pivot ) { }
    while( pivot < a[ --j ] ) { }
    if( i < j )
        swap( a[ i ], a[ j ] );
    else
        break;
}
```



# Small arrays

- For very small arrays, quicksort does not perform as well as insertion sort
  - how small depends on many factors, such as the time spent making a recursive call, the compiler, etc
- Do not use quicksort recursively for small arrays
  - Instead, use a sorting algorithm that is efficient for small arrays, such as insertion sort



# A practical implementation

```
if( left + 10 <= right )
{
    Comparable pivot = median3( a, left, right );

    // Begin partitioning
    int i = left, j = right - 1;
    for( ; ; )
    {
        while( a[ ++i ] < pivot ) { }
        while( pivot < a[ --j ] ) { }
        if( i < j )
            swap( a[ i ], a[ j ] );
        else
            break;
    }

    swap( a[ i ], a[ right - 1 ] ); // Restore pivot

    quicksort( a, left, i - 1 ); // Sort small elements
    quicksort( a, i + 1, right ); // Sort large elements
}
else // Do an insertion sort on the subarray
    insertionSort( a, left, right );
```

Choose pivot

Partitioning

Recursion

For small arrays

# Quicksort Analysis

- Assumptions:
  - A random pivot (no median-of-three partitioning)
  - No cutoff for small arrays
- Running time
  - pivot selection: constant time, i.e.  $O(1)$
  - partitioning: linear time, i.e.  $O(N)$
  - running time of the two recursive calls
- $T(N)=T(i)+T(N-i-1)+cN$  where  $c$  is a constant
  - $i$ : number of elements in  $S_1$

# Worst-Case Analysis

- What will be the worst case?
  - The pivot is the smallest element, all the time
  - Partition is always unbalanced

$$\begin{aligned}T(N) &= T(N-1) + cN \\T(N-1) &= T(N-2) + c(N-1) \\T(N-2) &= T(N-3) + c(N-2) \\&\vdots \\T(2) &= T(1) + c(2) \\T(N) &= T(1) + c \sum_{i=2}^N i = O(N^2)\end{aligned}$$

# Best-case Analysis

- What will be the best case?
  - Partition is perfectly balanced.
  - Pivot is always in the middle (median of the array)

$$T(N) = 2T(N/2) + cN$$

$$\frac{T(N)}{N} = \frac{T(N/2)}{N/2} + c$$

$$\frac{T(N/2)}{N/2} = \frac{T(N/4)}{N/4} + c$$

$$\frac{T(N/4)}{N/4} = \frac{T(N/8)}{N/8} + c$$

$$\vdots$$

$$\frac{T(2)}{2} = \frac{T(1)}{1} + c$$

$$\frac{T(N)}{N} = \frac{T(1)}{1} + c \log N$$

$$T(N) = cN \log N + N = O(N \log N)$$

# Average-Case Analysis

- Assume
  - Each of the sizes for  $S_1$  is equally likely
- This assumption is valid for our pivoting (median-of-three) strategy
- On average, the running time is  $O(N \log N)$  (covered in comp271)

# Quicksort is 'faster' than Mergesort

- Both quicksort and mergesort take  $O(N \log N)$  in the average case.
- Why is quicksort **faster** than mergesort?
  - The inner loop consists of an increment/decrement (by 1, which is fast), a test and a jump.
  - There is no extra juggling as in mergesort.

```
int i = left, j = right - 1;
for( ; ; )
{
    while( a[ ++i ] < pivot ) { }
    while( pivot < a[ --j ] ) { }
    if( i < j )
        swap( a[ i ], a[ j ] );
    else
        break;
}
```

**inner loop**