

```
> # Uncomment and set the path to rationalSOS.mpl file
#currentdir("C:/Users/User/rationalSOS");
```

```
> #####
# Load "Rational SOS" procedures
#####
read("rationalSOS.mpl") :
with(rationalSOS);
```

"Opening connection with Matlab"

```
[RoundMat, RoundVec, SOS, evalMat, getDiag, matrixToPoly, numericSolver, polyToMatrix,
randomRank, reduceByLinearEquation, vectorTrace, zeroDetSRows, zeroRows]
```

(1)

```
> # Display tables of any size
interface(rtablesize = infinity);
```

10

(2)

```
> #####
# Example 2.1 in [1]
#####
```

# We define a polynomial  $f$  as the sum of two squares.

$p1 := x^2 + 3 * x * y - 5 * x * z + 2 * z^2;$

$p2 := 3 * x^2 - 2 * x * z + y * z + 5 * y^2;$

$f := \text{expand}(p1^2 + p2^2);$

$$p1 := x^2 + 3xy - 5xz + 2z^2$$

$$p2 := 3x^2 - 2xz + 5y^2 + yz$$

$$f := 10x^4 + 6x^3y - 22x^3z + 39x^2y^2 - 24x^2yz + 33x^2z^2 - 20xy^2z + 8xyz^2 - 20xz^3 + 25y^4 + 10y^3z + y^2z^2 + 4z^4$$

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```
> # Compute the matrix Q associated to the problem
Q, QVars, v := polyToMatrix(f);
```

$Q, QVars, v :=$

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$$\begin{bmatrix} 10 & 3 & -11 & a_{0,4} & -12 - a_{2,3} & a_{0,6} \\ 3 & 39 - 2a_{0,4} & a_{2,3} & 0 & -10 - a_{3,4} & 4 - a_{3,5} \\ -11 & a_{2,3} & 33 - 2a_{0,6} & a_{3,4} & a_{3,5} & -10 \\ a_{0,4} & 0 & a_{3,4} & 25 & 5 & a_{4,6} \\ -12 - a_{2,3} & -10 - a_{3,4} & a_{3,5} & 5 & 1 - 2a_{4,6} & 0 \\ a_{0,6} & 4 - a_{3,5} & -10 & a_{4,6} & 0 & 4 \end{bmatrix},$$

$$\{a_{0,4}, a_{0,6}, a_{2,3}, a_{3,4}, a_{3,5}, a_{4,6}\}, [x^2, xy, xz, y^2, yz, z^2]$$

```
> # Dimension and rank of Q
nops(indets(Q));
randomRank(Q);
```

```
> # Computes numerically a SDP solution using SEDUMI
xVars, xSol := numericSolver(Q);
```

### "SEDUMI CALL"

```
SeDuMi 1.3 by AdvOL, 2005-2008 and Jos F. Sturm, 1998-2003.
Alg = 2: xz-corrector, Adaptive Step-Differentiation, theta =
0.250, beta = 0.500
eqs m = 7, order n = 7, dim = 37, blocks = 2
nnz(A) = 18 + 0, nnz(ADA) = 49, nnz(L) = 28
  it :      b*y      gap      delta      rate      t/tP*      t/tD*      feas cg
cg prec
  0 :          1.79E+02 0.000
  1 :  -1.48E+01 4.27E+01 0.000 0.2379 0.9000 0.9000      0.56  1
1  4.4E+00
  2 :  -1.50E+00 1.06E+01 0.000 0.2489 0.9000 0.9000      1.81  1
1  1.3E+00
  3 :  -3.52E-01 1.97E+00 0.000 0.1854 0.9000 0.9000      1.21  1
1  8.6E-01
  4 :  -1.05E-01 4.05E-01 0.000 0.2058 0.9000 0.9000      1.04  1
1  8.7E-01
  5 :  -5.49E-03 1.66E-02 0.000 0.0410 0.9900 0.9900      1.00  1
1  9.7E-01
  6 :  -1.45E-04 5.54E-04 0.172 0.0333 0.9900 0.9901      1.00  1
1  3.0E-01
  7 :  -4.81E-06 2.71E-05 0.000 0.0488 0.9903 0.9900      1.02  1
1  1.4E-02
  8 :  -1.09E-06 7.00E-06 0.058 0.2587 0.9000 0.9150      1.02  2
2  3.6E-03
  9 :  -1.77E-07 1.15E-06 0.000 0.1643 0.9035 0.9000      1.02  3
3  5.6E-04
 10 :  -2.92E-08 1.96E-07 0.000 0.1707 0.9005 0.9000      1.02  3
4  9.3E-05
 11 :  -4.22E-09 3.41E-08 0.000 0.1738 0.9000 0.9015      1.03  5
6  1.6E-05
Run into numerical problems.
```

```
iter seconds digits      c*x      b*y
 11      0.1      4.1 2.3673831258e-08 -4.2205112054e-09
|Ax-b| = 1.3e-09, [Ay-c]_+ = 1.1E-09, |x|= 9.4e-01, |y|=
1.5e+01
```

Detailed timing (sec)

```
      Pre      IPM      Post
2.999E-02      7.800E-02      1.600E-02
Max-norms: ||b||=1, ||c|| = 39,
Cholesky |add|=0, |skip| = 1, ||L.L|| = 53071.6.
```

$xVars, xSol := \{a_{0,4}, a_{0,6}, a_{0,2,3}, a_{0,3,4}, a_{0,3,5}, a_{0,4,6}\},$

$$\begin{bmatrix} -6.62452241911967 \\ -2.46705813869574 \\ 13.0849339948066 \\ 2.98132058184944 \\ 0.875865927183106 \\ 1.42553771817733 \\ 4.22051120541738 \cdot 10^{-9} \end{bmatrix}$$

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> # Solution matrix and eigenvalues  
 $Qsol := evalMat(Q, xVars, xSol) :$   
 $eig(Qsol);$

$$\begin{bmatrix} -5.35661718670171 \cdot 10^{-9} \\ 2.77695014177759 \cdot 10^{-8} \\ 4.27329305693102 \\ 16.5132012163911 \\ 28.9722093346370 \\ 46.9092106903518 \end{bmatrix}$$

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> # Four positive eigenvalues and two approximate zeros

#####  
 > #####  
 # Example 3.2 in [1]  
 #####

$sSym := solve(\{f=0, diff(f, x)=0, diff(f, y)=0, diff(f, z)=0\});$

$sSym := \left\{ x=x, y=RootOf(50\_Z^4 + 28\_Z^3 -\_Z^2 + 23\_Z - 8) x, z \right.$

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$$= \frac{1}{46} x \left( 50 RootOf(50\_Z^4 + 28\_Z^3 -\_Z^2 + 23\_Z - 8)^3 + 128 RootOf(50\_Z^4 + 28\_Z^3 -\_Z^2 + 23\_Z - 8)^2 + 25 RootOf(50\_Z^4 + 28\_Z^3 -\_Z^2 + 23\_Z - 8) + 73 \right) \}$$

> ## sSym[1] plain equation  
 $v0 := eval(Vector(v), sSym);$   
 $v01 := eval(v0, \{x=1\}) :$

$$v0 := \left[ \begin{bmatrix} x^2 \end{bmatrix}, \right.$$

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$$\left. \begin{bmatrix} x^2 RootOf(50\_Z^4 + 28\_Z^3 -\_Z^2 + 23\_Z - 8) \end{bmatrix} \right]$$

$$\begin{aligned}
& \left[ \frac{1}{46} x^2 \left( 50 \operatorname{RootOf}(50 \_Z^4 + 28 \_Z^3 - \_Z^2 + 23 \_Z - 8) \right)^3 + 128 \operatorname{RootOf}(50 \_Z^4 \right. \\
& \quad \left. + 28 \_Z^3 - \_Z^2 + 23 \_Z - 8) \right)^2 + 25 \operatorname{RootOf}(50 \_Z^4 + 28 \_Z^3 - \_Z^2 + 23 \_Z - 8) + 73 \right) \\
& \quad \left. \right], \\
& \left[ \operatorname{RootOf}(50 \_Z^4 + 28 \_Z^3 - \_Z^2 + 23 \_Z - 8)^2 x^2 \right], \\
& \left[ \frac{1}{46} \operatorname{RootOf}(50 \_Z^4 + 28 \_Z^3 - \_Z^2 + 23 \_Z - 8) x^2 \left( 50 \operatorname{RootOf}(50 \_Z^4 + 28 \_Z^3 \right. \right. \\
& \quad \left. \left. - \_Z^2 + 23 \_Z - 8) \right)^3 + 128 \operatorname{RootOf}(50 \_Z^4 + 28 \_Z^3 - \_Z^2 + 23 \_Z - 8) \right)^2 \\
& \quad \left. + 25 \operatorname{RootOf}(50 \_Z^4 + 28 \_Z^3 - \_Z^2 + 23 \_Z - 8) + 73 \right) \right], \\
& \left[ \frac{1}{2116} x^2 \left( 50 \operatorname{RootOf}(50 \_Z^4 + 28 \_Z^3 - \_Z^2 + 23 \_Z - 8) \right)^3 \right. \\
& \quad \left. + 128 \operatorname{RootOf}(50 \_Z^4 + 28 \_Z^3 - \_Z^2 + 23 \_Z - 8) \right)^2 + 25 \operatorname{RootOf}(50 \_Z^4 + 28 \_Z^3 - \_Z^2 \\
& \quad \left. + 23 \_Z - 8) + 73 \right)^2 \right]
\end{aligned}$$

> # We verify that it satisfies the condition  $vt.Q.v = 0$ , which must always  
 # be satisfied for real solutions  
 simplify(LinearAlgebra[Transpose](v01).Q.v01);

0

(10)

> # We reduce the dimension  
 Q1 := reduceByLinearEquation(Q, v01) :  
 nops(indets(Q1));  
 randomRank(Q1);  
 # We reduced the dimension to 5 but we need to reduce to 4,  
 # because the numerical solution had 2 null eigenvalues

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> v01t := vectorTrace(v01);

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$$v01t := \begin{bmatrix} 4 \\ -\frac{14}{25} \\ \frac{53}{10} \\ \frac{221}{625} \\ -\frac{396}{125} \\ \frac{1209}{100} \end{bmatrix} \quad (12)$$

> # We took the trace, so the equation  $vt.Q.v=0$  may not be satisfied  
*simplify(LinearAlgebra[Transpose](v01t).Q.v01t);*

$$\frac{17367}{125} + \frac{1376}{625} a_{-0,4} + \frac{2426}{125} a_{-0,3} + \frac{2027}{50} a_{-0,6} + \frac{1}{5} a_{-0,4} - \frac{501}{25} a_{-0,5} - \frac{14403}{1250} a_{-0,6} \quad (13)$$

> *Q1 := reduceByLinearEquation(Q, v01t) :*  
*nops(indets(Q1));*  
*randomRank(Q1);*

$$\begin{matrix} 0 \\ 2 \end{matrix} \quad (14)$$

> # The problem was completely solved, no need to call the numerical solver.

> *L, DD, Lt, fNew, a, p := matrixToPoly(Q1, v) :*

> *fNew;*

$$10 \left( x^2 + \frac{3}{10} xy - \frac{11}{10} xz + \frac{3}{2} y^2 + \frac{3}{10} yz + \frac{1}{5} z^2 \right)^2 + \frac{81}{10} \left( xy - \frac{13}{9} xz - \frac{5}{9} y^2 - \frac{1}{9} yz + \frac{2}{3} z^2 \right)^2 \quad (15)$$

>