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Introduction to the protobuf ecosystem

FOR: IBANFIRST R&D PAYMENT TEAM

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Contents

1	What is protobuf ?	3
1.1	Syntax	4
1.1.1	Message definition	5
1.1.2	Enum definition	6
1.1.3	Enum definition (Aliases)	7
1.1.4	Message Composition	8
1.1.5	Nested Types	9
1.1.6	oneof	10
1.1.7	Packages	11
1.1.8	Service definition	12
1.1.9	TODO extension and annotations	13
1.2	Usefull links	14
2	RPCs	15
2.1	Protobuf based RP	16
	Bibliography	17
3	That's it!	18

1 What is protobuf ?

Short for Protocol Buffers: an efficient, language-neutral data serialization format developed by Google

- Much smaller and faster than formats like JSON or XML
- Strong Typing and Schema: strict structure of the data defined in `.proto` files, enabling forward and backward compatibility
- Used for many use-cases: synchronous communication between network services, async communication through brokers systems (Kafka, RabbitMQ...), WebAssembly interfaces...

1.1 Syntax

Protobuf syntax versions

- proto2: legacy should not be used
- proto3: most used version currently
- revision <year>: new version with very limited support

First line of the .proto file

```
1 syntax = "proto3";  
2 // syntax = "proto2";  
3 // edition = "2023";
```

proto

1.1.1 Message definition

Message definition

```
1  message User {
2      string name = 1 [json_name = "name"];
3      optional int32 age = 2 [default = 18];
4
5      // a comment
6      repeated string hobbies = 3;
7
8      map<string, int32> ibanToBalance = 4;
9  }
```

proto

Fields are defined with:

- a **FieldType**: `int32`, `string`, `bool`, `bytes`, etc.
- a **FieldName**: `name`, `age`, etc.
- a **Field Cardinality**: `optional`, `required`, `repeated`, etc.

notes:

- *maps can't be repeated*

1.1.2 Enum definition

```
1  enum USER_TYPE {
2      // a comment
3      USER_TYPE_UNSPECIFIED = 0;
4      USER_TYPE_REGULAR = 1;
5      USER_TYPE_GUEST = 2;
6      USER_TYPE_ADMIN = 3;
7  }
8
9  message User {
10     // ...
11     USER_TYPE user_type = 3;
12 }
```

The enum has a default value, which is the first value defined in the enum and with the FieldNumber 0.

1.1.3 Enum definition (Aliases)

```
1  enum Corpus {
2      option allow_alias = true;
3
4      CORPUS_UNSPECIFIED = 0;
5      CORPUS_UNIVERSAL = 1;
6      CORPUS_WEB = 2;
7      CORPUS_WWW = 2;
8  }
```

proto

Message and enums can have options defined with the option keyword.

1.1.4 Message Composition

```
1  // user.proto                                proto
2  import "user_type.proto";
3
4  message User {
5      // ...
6      USER_TYPE user_type = 3;
7  }
8
9  message Organisation {
10     string name = 1;
11     repeated User users = 2;
12 }
```

```
1  // user_type.proto                            proto
2  enum USER_TYPE {
3      // a comment
4      USER_TYPE_UNSPECIFIED = 0;
5      USER_TYPE_REGULAR = 1;
6      // ...
7  }
```

An *Organisation* contains a list of *User* which contain a field of type *USER_TYPE*

1.1.5 Nested Types

```
1  message User {
2      enum USER_TYPE {
3          USER_TYPE_UNSPECIFIED = 0;
4          USER_TYPE_REGULAR = 1;
5          // ...
6      }
7      // ...
8      USER_TYPE user_type = 3;
9  }
```

proto

Using nested type outside of the parent message definition

```
1  message UserProfile {
2      User.USER_TYPE type = 1;
3  }
```

proto

1.1.6 oneof

```
1  enum USER_TYPE {
2      // ...
3  }
4  enum ADMIN_TYPE {
5      // ...
6  }
7
8  message User {
9      // ...
10     oneof type {
11         USER_TYPE user_type = 3;
12         ADMIN_TYPE admin_type = 4;
13     }
14 }
```

proto

Using oneof to define a field that can be either a user or an admin.

Only one field of the oneof can be set at a time.

=> avoid using oneof if possible because of restrictions on the backward compatibility.

1.1.7 Packages

file structure:

```
1  ./foo/bar/baz.proto
2  ./fizz/buzz.proto
```

```
1  // foo/bar/baz.proto
2  package foo.bar;
3
4  message Baz {
5      // ...
6  }
```

proto

```
1  // fizz/buzz.proto
2  package fizz;
3
4  import "foo/bar/baz.proto";
5
6  message Buzz {
7      foo.bar.Baz baz = 1;
8  }
```

proto

1.1.8 Service definition

```
1  message GetUserRequest {
2      string username = 1;
3  }
4  message GetUserResponse {
5      User user = 1;
6  }
7
8  service UserService {
9      rpc GetUser(GetUserRequest) returns
10         (GetUserResponse);
11     // rpc DeleteUser(DeleteUserRequest)
12     // returns (DeleteUserResponse);
13 }
```

A service *UserService* is defined with an RPC method *GetUser* that takes a *GetUserRequest* and returns a *GetUserResponse*.

1.1.9 TODO extension and annotations

1.2 Usefull links

- Official documentation: <https://protobuf.dev/overview/>
- Un-official (but very good) documentation by the Buf team: <https://protobuf.com/docs/introduction>
- Third party protoc code generation plugins: https://github.com/protocolbuffers/protobuf/blob/main/docs/third_party.md
- Registered protobuf extension: <https://github.com/protocolbuffers/protobuf/blob/main/docs/options.md#existing-registered-extensions>
- somewhat standard google protobuf definitions: <https://github.com/googleapis/googleapis>

2 RPCs

Remote Procedure Calls: a way to call a function on a remote server

RPC based communication is pattern with many different implementations [1]:

- NFS: Network File System
- JSON-RPC: an RPC protocol that uses JSON-encoded messages.
- gRPC is a modern open source RPC framework that uses HTTP/2 and Protocol Buffers.
- IBM AIX: use of RPC in the AIX operating system. [2]

2.1 Protobuf based RP

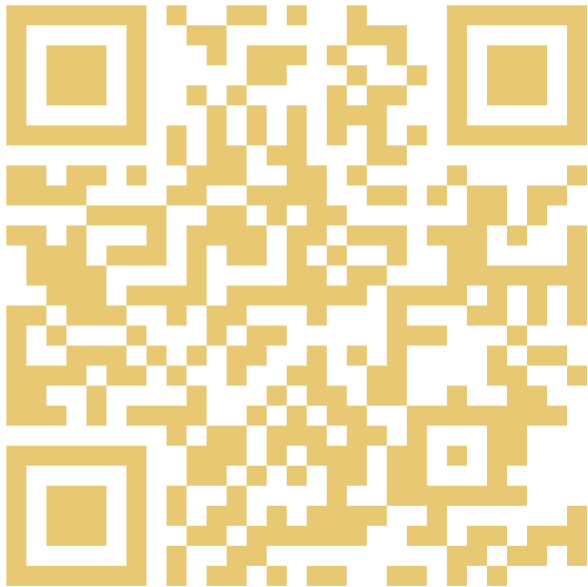
some commonly used RPC framework

Framework	Transport Layer	Code Generation	Notable Features
gRPC	HTTP/2	Yes	Streaming, TLS, strong ecosystem
ConnectRPC	HTTP	Yes	Streaming, TLS, wide platform support
Ranger RPC	HTTP (any version)	Yes	Simple, fast, Go-centric
Apache Thrift	Any	Yes	Simple, fast, C++-centric
trpc	Any	No	Pure TypeScript

Bibliography

- [1] Contributors to Wikimedia projects, “Remote procedure call - Wikipedia.” [Online]. Available: https://en.wikipedia.org/w/index.php?title=Remote_procedure_call&oldid=1292581498
- [2] “AIX.” [Online]. Available: <https://www.ibm.com/docs/en/aix/7.3.0?topic=call-rpc-features>

3 That's it!



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