

Introduction to Deep Learning

Chapter 4: CNN: Conv2D

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FILTERS TO EXTRACT FEATURES

- Filters are widely applied in Computer Vision (CV) since the 70's.
- One prominent example: **Sobel-Filter**.
- Detects edges in images.

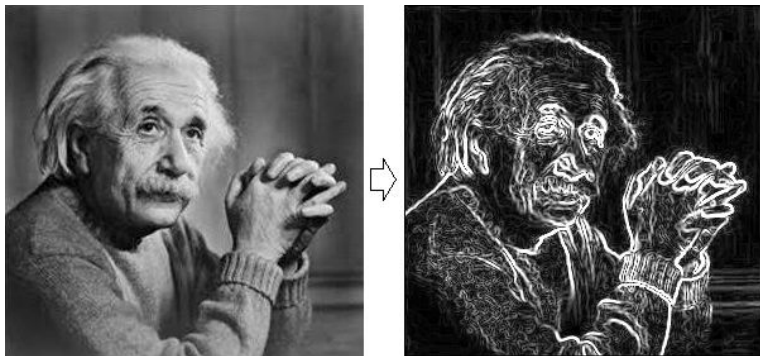


Figure: Sobel-filtered image.

FILTERS TO EXTRACT FEATURES

- Edges occur where the intensity over neighboring pixels changes fast.
- Thus, approximate the gradient of the intensity of each pixel.
- Sobel showed that the gradient image G_x of original image A in x-dimension can be approximated by:

$$G_x = \begin{bmatrix} -1 & 0 & +1 \\ -2 & 0 & +2 \\ -1 & 0 & +1 \end{bmatrix} * A = S_x * A$$

where $*$ indicates a mathematical operation known as a **convolution**, not a traditional matrix multiplication.

- The filter matrix S_x consists of the product of an **averaging** and a **differentiation** kernel:

$$\underbrace{\begin{bmatrix} 1 & 2 & 1 \end{bmatrix}}_{\text{averaging}}^T \underbrace{\begin{bmatrix} -1 & 0 & +1 \end{bmatrix}}_{\text{differentiation}}$$

FILTERS TO EXTRACT FEATURES

- Similarly, the gradient image G_y in y-dimension can be approximated by:

$$G_y = \begin{bmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ +1 & +2 & +1 \end{bmatrix} * A = S_y * A$$

- The combination of both gradient images yields a dimension-independent gradient information G :

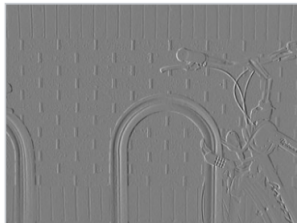
$$G = \sqrt{G_x^2 + G_y^2}$$

- These matrix operations were used to create the filtered picture of Albert Einstein.

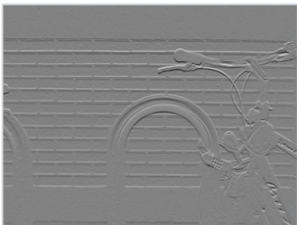
HORIZONTAL VS VERTICAL EDGES



Input



Vertical edges detected by S_x



Horizontal edges detected by S_y

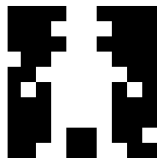


Combined

Source: Wikipedia

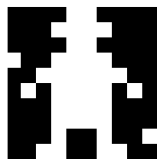
Figure: Sobel filtered images. Outputs are normalized in each case.

FILTERS TO EXTRACT FEATURES



- Let's do this on a dummy image.
- How to represent a digital image?

FILTERS TO EXTRACT FEATURES



| | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 0 | 0 | 0 | 0 | 255 | 255 | 0 | 0 | 0 | 0 |
| 0 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 0 | 0 | 255 | 255 | 0 | 0 | 0 | 0 |
| 255 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 255 | 255 | 255 | 255 | 255 | 255 | 0 | 0 |
| 0 | 255 | 0 | 255 | 255 | 255 | 255 | 0 | 255 | 0 |
| 0 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 0 | 255 | 255 | 0 | 0 | 255 | 0 | 255 |
| 0 | 0 | 255 | 255 | 0 | 0 | 255 | 255 | 0 | 0 |

- Basically as an array of integers.

FILTERS TO EXTRACT FEATURES

Sobel-Operator

$$S_X = \begin{bmatrix} -1 & 0 & +1 \\ -2 & \boxed{0} & +2 \\ -1 & 0 & +1 \end{bmatrix}$$

| | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 0 | 0 | 0 | 0 | 255 | 255 | 0 | 0 | 0 | 0 |
| 0 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 0 | 0 | 255 | 255 | 0 | 0 | 0 | 0 |
| 255 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 255 | 255 | 255 | 255 | 255 | 255 | 0 | 0 |
| 0 | 255 | 0 | 255 | 255 | 255 | 255 | 0 | 255 | 0 |
| 0 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 0 | 255 | 0 | 0 | 255 | 0 | 0 | 255 |
| 0 | 0 | 255 | 255 | 0 | 0 | 255 | 255 | 0 | 0 |

- S_X enables us to detect vertical edges!

FILTERS TO EXTRACT FEATURES

Sobel-Operator

$$S_X = \begin{bmatrix} -1 & 0 & +1 \\ -2 & \boxed{0} & +2 \\ -1 & 0 & +1 \end{bmatrix}$$

| | | | | | | | | | |
|-----|-----|-----|---|-----|-----|-----|-----|-----|-----|
| 0 | 0 | 0 | 0 | 255 | 255 | 0 | 0 | 0 | 0 |
| 0 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 0 | 0 | 255 | 255 | 255 | 0 | 0 | 0 |
| 255 | 0 | 0 | 255 | 255 | 255 | 255 | 255 | 0 | 0 |
| 0 | 0 | 255 | 255 | 255 | 255 | 255 | 255 | 0 | 0 |
| 0 | 255 | 0 | 255 | 255 | 255 | 255 | 0 | 255 | 0 |
| 0 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 0 | 255 | 0 | 0 | 255 | 0 | 0 | 255 |
| 0 | 0 | 255 | 255 | 0 | 0 | 255 | 255 | 0 | 0 |

FILTERS TO EXTRACT FEATURES

Sobel-Operator

$$S_X = \begin{bmatrix} -1 & 0 & +1 \\ -2 & \boxed{0} & +2 \\ -1 & 0 & +1 \end{bmatrix}$$

| | | | | | | | | | |
|-----|-----|-----|---|-----|-----|-----|-----|-----|-----|
| 0 | 0 | 0 | 0 | 255 | 255 | 0 | 0 | 0 | 0 |
| 0 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 0 | 0 | 255 | 255 | 255 | 0 | 0 | 0 |
| 255 | 0 | 0 | 255 | 255 | 255 | 255 | 255 | 0 | 0 |
| 0 | 0 | 255 | 255 | 255 | 255 | 255 | 255 | 0 | 0 |
| 0 | 255 | 0 | 255 | 255 | 255 | 255 | 0 | 255 | 0 |
| 0 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 0 | 255 | 255 | 255 | 255 | 0 | 0 | 0 |
| 0 | 0 | 0 | 255 | 0 | 0 | 255 | 0 | 0 | 255 |
| 0 | 0 | 255 | 255 | 0 | 0 | 255 | 255 | 0 | 0 |

$$\begin{aligned} (G_X)_{(i,j)} = (I \star S_X)_{(i,j)} &= -1 \cdot 0 + 0 \cdot 255 + \mathbf{1 \cdot 255} \\ &\quad - 2 \cdot 0 + 0 \cdot 0 + \mathbf{2 \cdot 255} \\ &\quad - 1 \cdot 0 + 0 \cdot 255 + \mathbf{1 \cdot 255} \end{aligned}$$

FILTERS TO EXTRACT FEATURES

| | | | | | | | |
|------|------|------|------|------|-------|-------|------|
| 0 | 510 | 1020 | 510 | -510 | -1020 | -510 | 0 |
| -255 | 510 | 1020 | 510 | -510 | -1020 | -510 | 0 |
| -255 | 765 | 765 | 255 | -255 | -765 | -765 | -255 |
| 255 | 765 | 510 | 0 | 0 | -510 | -765 | -510 |
| 255 | 510 | 765 | 0 | 0 | -765 | -510 | -255 |
| 0 | 765 | 1020 | 0 | 0 | -1020 | -765 | 0 |
| 0 | 1020 | 765 | -255 | 255 | -765 | -1020 | 255 |
| 255 | 1020 | 0 | -765 | 765 | 0 | -1020 | 255 |

- Applying the Sobel-Operator to every location in the input yields us the **feature map**.

FILTERS TO EXTRACT FEATURES



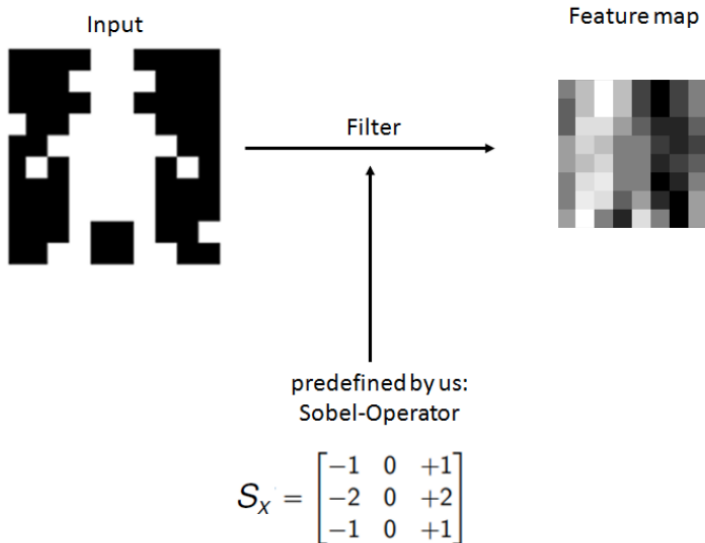
| | | | | | | | |
|-----|-----|-----|-----|-----|-----|----|-----|
| 128 | 191 | 255 | 191 | 64 | 0 | 64 | 128 |
| 96 | 191 | 255 | 191 | 64 | 0 | 64 | 128 |
| 96 | 223 | 223 | 159 | 96 | 32 | 32 | 96 |
| 159 | 223 | 191 | 128 | 128 | 64 | 32 | 64 |
| 159 | 191 | 223 | 128 | 128 | 32 | 64 | 96 |
| 128 | 223 | 255 | 128 | 128 | 0 | 32 | 128 |
| 128 | 255 | 223 | 96 | 159 | 32 | 0 | 159 |
| 159 | 255 | 128 | 32 | 223 | 128 | 0 | 159 |

- Normalized feature map reveals vertical edges.
- Note the dimensional reduction compared to the dummy image.

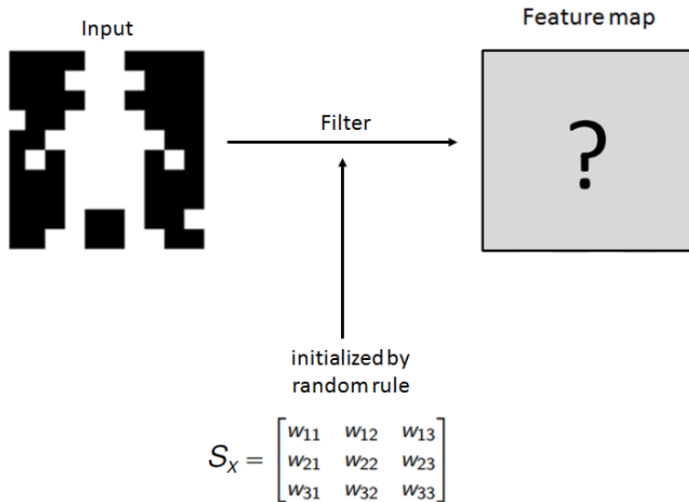
WHY DO WE NEED TO KNOW ALL OF THAT?

- What we just did was extracting **pre-defined** features from our input (i.e. edges).
- A convolutional neural network does almost exactly the same: “extracting features from the input”.
⇒ The main difference is that we usually do not tell the CNN what to look for (pre-define them), **the CNN decides itself**.
- In a nutshell:
 - We initialize a lot of random filters (like the Sobel but just random entries) and apply them to our input.
 - Then, a classifier which is generally a feed forward neural net, uses them as input data.
 - Filter entries will be adjusted by common gradient descent methods.

WHY DO WE NEED TO KNOW ALL OF THAT?



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WORKING WITH IMAGES

- In order to understand the functionality of CNNs, we have to familiarize ourselves with some properties of images.
- Grey scale images:
 - Matrix with dimensions **height** \times **width** \times 1.
 - Pixel entries differ from 0 (black) to 255 (white).
- Color images:
 - Tensor with dimensions **height** \times **width** \times 3.
 - The depth 3 denotes the RGB values (red - green - blue).
- Filters:
 - A filter's depth is **always** equal to the input's depth!
 - In practice, filters are usually square.
 - Thus we only need one integer to define its size.
 - For example, a filter of size 2 applied on a color image actually has the dimensions $2 \times 2 \times 3$.

THE 2D CONVOLUTION

- Suppose we have an input with entries a, b, \dots, i (think of pixel values).
- The filter we would like to apply has weights w_{11}, w_{12}, w_{21} and w_{22} .

| | | |
|---|---|---|
| a | b | c |
| d | e | f |
| g | h | i |

Input: 3x3x1

| | |
|----------|----------|
| w_{11} | w_{12} |
| w_{21} | w_{22} |

Filter: 2x2x1

THE 2D CONVOLUTION

- Suppose we have an input with entries a, b, \dots, i (think of pixel values).
- The filter we would like to apply has weights w_{11}, w_{12}, w_{21} and w_{22} .

| | | |
|-----------------|-----------------|-----|
| w_{11} a | w_{12} b | c |
| w_{21} d | w_{22} e | f |
| g | h | i |



THE 2D CONVOLUTION

- Suppose we have an input with entries a, b, \dots, i (think of pixel values).
- The filter we would like to apply has weights w_{11}, w_{12}, w_{21} and w_{22} .

| | | |
|-----------------|-----------------|-----|
| w_{11} a | w_{12} b | c |
| w_{21} d | w_{22} e | f |
| g | h | i |



To obtain s_{11} we simply compute the dot product:

$$s_{11} = a \cdot w_{11} + b \cdot w_{12} + d \cdot w_{21} + e \cdot w_{22}$$

THE 2D CONVOLUTION

- Suppose we have an input with entries a, b, \dots, i (think of pixel values).
- The filter we would like to apply has weights w_{11}, w_{12}, w_{21} and w_{22} .

| | | |
|---|----------|----------|
| | w_{11} | w_{12} |
| a | b | c |
| d | e | f |
| g | h | i |

| | |
|----------|----------|
| s_{11} | s_{12} |
|----------|----------|

Same for s_{12} :

$$s_{12} = b \cdot w_{11} + c \cdot w_{12} + e \cdot w_{21} + f \cdot w_{22}$$

THE 2D CONVOLUTION

- Suppose we have an input with entries a, b, \dots, i (think of pixel values).
- The filter we would like to apply has weights w_{11}, w_{12}, w_{21} and w_{22} .

| | | | |
|----------|---|----------|---|
| | a | b | c |
| w_{11} | d | w_{12} | e |
| w_{21} | g | w_{22} | h |

| | |
|----------|----------|
| s_{11} | s_{12} |
| s_{21} | |

As well as for s_{21} :

$$s_{21} = d \cdot w_{11} + e \cdot w_{12} + g \cdot w_{21} + h \cdot w_{22}$$

THE 2D CONVOLUTION

- Suppose we have an input with entries a, b, \dots, i (think of pixel values).
- The filter we would like to apply has weights w_{11}, w_{12}, w_{21} and w_{22} .

| | | | |
|---|----------|----------|---|
| | a | b | c |
| d | w_{11} | w_{12} | f |
| g | w_{21} | w_{22} | i |

| | |
|----------|----------|
| s_{11} | s_{12} |
| s_{21} | s_{22} |

And finally for s_{22} :

$$s_{22} = e \cdot w_{11} + f \cdot w_{12} + h \cdot w_{21} + i \cdot w_{22}$$

THE 2D CONVOLUTION

- Suppose we have an input with entries a, b, \dots, i (think of pixel values).
- The filter we would like to apply has weights w_{11}, w_{12}, w_{21} and w_{22} .

| | | |
|---|---|---|
| a | b | c |
| d | e | f |
| g | h | i |

Input: 3x3x1

| | |
|----------|----------|
| w_{11} | w_{12} |
| w_{21} | w_{22} |

Filter: 2x2x1

| | |
|----------|----------|
| s_{11} | s_{12} |
| s_{21} | s_{22} |

Output 2x2x1

$$s_{11} = a \cdot w_{11} + b \cdot w_{12} + d \cdot w_{21} + e \cdot w_{22}$$

$$s_{12} = b \cdot w_{11} + c \cdot w_{12} + e \cdot w_{21} + f \cdot w_{22}$$

$$s_{21} = d \cdot w_{11} + e \cdot w_{12} + g \cdot w_{21} + h \cdot w_{22}$$

$$s_{22} = e \cdot w_{11} + f \cdot w_{12} + h \cdot w_{21} + i \cdot w_{22}$$

THE 2D CONVOLUTION

- Suppose we have an input with entries a, b, \dots, i (think of pixel values).
- The filter we would like to apply has weights w_{11}, w_{12}, w_{21} and w_{22} .

| | | |
|---|---|---|
| a | b | c |
| d | e | f |
| g | h | i |

Input: 3x3x1

| | |
|----------|----------|
| w_{11} | w_{12} |
| w_{21} | w_{22} |

Filter: 2x2x1

| | |
|----------|----------|
| s_{11} | s_{12} |
| s_{21} | s_{22} |

Output 2x2x1

More generally, let I be the matrix representing the input and W be the filter/kernel. Then the entries of the output matrix are defined by $s_{ij} = \sum_{m,n} I_{i+m-1,j+n-1} w_{mn}$ where m, n denote the image size and kernel size respectively.