

# **Deep Learning**

**Chapter 6: Modern Convolutional Neural Networks** 

Mina Rezaei

Department of Statistics – LMU Munich Winter Semester 2020

#### LECTURE OUTLINE

From LeNet to AlexNet

**Networks Using Blocks (VGG)** 

**Network in Network (NiN)** 

Networks with Parallel Concatenations (GoogLeNet)

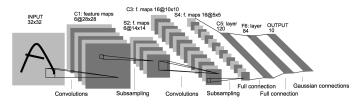
Residual Networks (ResNet)

Densely Connected Networks (DenseNet)

# From LeNet to AlexNet

#### LENET ARCHITECTURE

- Pioneering work on CNNs by Yann Lecun in 1998.
- Applied on the MNIST dataset for automated handwritten digit recognition.
- Consists of convolutional, "subsampling" and dense layers.
- Complexity and depth of the net was mainly restricted by limited computational power back in the days.



**Figure:** LeNet architecture: two conv layers with subsampling, followed by dense layers and a 'Gaussian connections' layer.

#### LENET ARCHITECTURE

- A neuron in a subsampling layer looks at a 2 × 2 region of a feature map, sums the four values, multiplies it by a trainable coefficient, adds a trainable bias and then applies a sigmoid activation.
- A stride of 2 ensures that the size of the feature map reduces by about a half.
- The 'Gaussian connections' layer has a neuron for each possible class.
- The output of each neuron in this layer is the (squared) Euclidean distance between the activations from the previous layer and the weights of the neuron.

#### **ALEXNET**

- AlexNet, which employed an 8-layer CNN, won the ImageNet Large Scale Visual Recognition (LSVR) Challenge 2012 by a phenomenally large margin.
- The network trained in parallel on two small GPUs, using two streams of convolutions which are partly interconnected.
- The architectures of AlexNet and LeNet are very similar, but there are also significant differences:
  - First, AlexNet is deeper than the comparatively small LeNet5.
     AlexNet consists of eight layers: five convolutional layers, two fully-connected hidden layers, and one fully-connected output layer.
  - Second, AlexNet used the ReLU instead of the sigmoid as its activation function.

#### **ALEXNET**

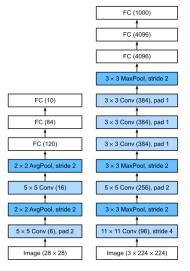


Figure: From LeNet (left) to AlexNet (right).

#### **ALEXNET**

```
Full (simplified) AlexNet architecture:
[224x224x3]] INPUT
[55x55x96] CONV1: 96 11x11 filters at stride 4, pad 0
[27x27x96] MAX POOL1: 3x3 filters at stride 2
                                                               - first use of ReLU
[27x27x96] NORM1: Normalization laver
                                                               - used Norm layers (not common anymore)
[27x27x256] CONV2: 256 5x5 filters at stride 1, pad 2
                                                                - heavy data augmentation
[13x13x256] MAX POOL2: 3x3 filters at stride 2
                                                               - dropout 0.5
[13x13x256] NORM2: Normalization laver
                                                               - batch size 128
[13x13x384] CONV3: 384 3x3 filters at stride 1, pad 1
                                                               - SGD Momentum 0.9
[13x13x384] CONV4: 384 3x3 filters at stride 1, pad 1
[13x13x256] CONV5: 256 3x3 filters at stride 1, pad 1
[6x6x256] MAX POOL3: 3x3 filters at stride 2
[4096] FC6: 4096 neurons
[4096] FC7: 4096 neurons
[1000] FC8: 1000 neurons (class scores)
```

**Details/Retrospectives:** 

- Learning rate 1e-2, reduced by 10

manually when val accuracy plateaus

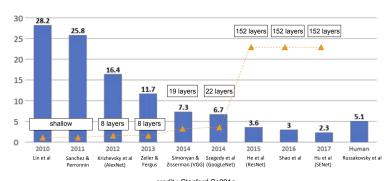
- L2 weight decay 5e-4

- 7 CNN ensemble: 18.2% -> 15.4%

credit : Stanford Cs231n

Figure: Implementation detail by AlexNet.

# IMAGENET LARGE SCALE VISUAL RECOGNITION CHALLENGE (ILSVRC) WINNERS



credit : Stanford Cs231n

Figure: ILSVRC Compitions winners.

#### **ZFNET**

It has similar architecture of AlexNet but:

- CONV1: change from (11  $\times$  11 stride 4) to (7 x 7 stride 2)
- CONV3,4,5: instead of 384, 384, 256 filters use 512, 1024, 512
- ImageNet top 5 error: 16.4% to 11.7%

# **Networks Using Blocks (VGG)**

#### **VGG BLOCKS**

- The block composed of convolutions with 3 × 3 kernels with padding of 1 (keeping height and width) and 2 × 2 max pooling with stride of 2 (having the resolution after each block).
- The use of blocks leads to very compact representations of the network definition.
- It allows for efficient design of complex networks.

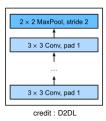


Figure: VGG block.

#### **VGG NETWORK**

- Architecture introduced by Simonyan and Zisserman, 2014 as "Very Deep Convolutional Network".
- A deeper variant of the AlexNet.
- Basic idea is to have small filters and Deeper networks
- Mainly uses many cnn layers with a small kernel size  $3 \times 3$ .
- Stack of three 3 × 3 cnn (stride 1) layers has same effective receptive field as one 7 × 7 conv layer. But It's deeper, more non-linearities, and fewer parameters
- Performed very well in the ImageNet Challenge in 2014.
- Exists in a small version (VGG16) with a total of 16 layers (13 cnn layers and 3 fc layers) and 5 VGG blocks while a larger version (VGG19) with 19 layers (16 cnn layers and 3 fc layers) and 6 VGG blocks.

#### **VGG NETWORK**

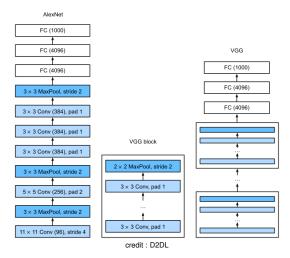


Figure: From AlexNet to VGG that is designed from building blocks.

#### **VGG NETWORK**

TOTAL params: 138M parameters

```
(not counting biases)
INPUT: [224x224x3]
                     memory: 224*224*3=150K params: 0
CONV3-64: [224x224x64] memory: 224*224*64=3.2M params: (3*3*3)*64 = 1,728
CONV3-64: [224x224x64] memory: 224*224*64=3.2M params: (3*3*64)*64 = 36,864
POOL2: [112x112x64] memory: 112*112*64=800K params: 0
CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*64)*128 = 73,728
CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*128)*128 = 147,456
POOL2: [56x56x128] memory: 56*56*128=400K params: 0
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*128)*256 = 294,912
CONV3-256; [56x56x256] memory: 56*56*256=800K params: (3*3*256)*256 = 589.824
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
POOL2: [28x28x256] memory: 28*28*256=200K params: 0
CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*256)*512 = 1,179,648
CONV3-512: [28x28x512] memory: 28*28*512=400K
                                                params: (3*3*512)*512 = 2.359.296
CONV3-512: [28x28x512] memory: 28*28*512=400K
                                               params: (3*3*512)*512 = 2.359,296
POOL2: [14x14x512] memory: 14*14*512=100K params: 0
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2.359.296
POOL2: [7x7x512] memory: 7*7*512=25K params: 0
FC: [1x1x4096] memory: 4096 params: 7*7*512*4096 = 102,760,448
FC: [1x1x4096] memory: 4096 params: 4096*4096 = 16,777,216
                                                                                          VGG16
FC: [1x1x1000] memory: 1000 params: 4096*1000 = 4.096.000
TOTAL memory: 24M * 4 bytes ~= 96MB / image (for a forward pass)
```

credit : Stanford Cs231n

Figure: Computational complexity by VGG network.

# **Network in Network (NiN)**

#### **NIN BLOCKS**

- The idea behind NiN is to apply a fully-connected layer at each pixel location (for each height and width). If we tie the weights across each spatial location, we could think of this as a 1 × 1 convolutional layer.
- The NiN block consists of one convolutional layer followed by two 1 × 1 convolutional layers that act as per-pixel fully-connected layers with ReLU activations.
- The convolution window shape of the first layer is typically set by the user. The subsequent window shapes are fixed to  $1 \times 1$ .

## **NIN BLOCKS**



credit: D2DL

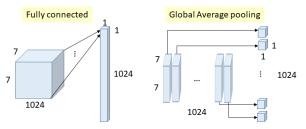
Figure: NiN block.

#### GLOBAL AVERAGE POOLING

- Problem setting: tackle overfitting in the final fully connected layer.
  - Classic pooling removes spatial information and is mainly used for dimension and parameter reduction.
  - The elements of the final feature maps are connected to the output layer via a dense layer. This could require a huge number of weights increasing the danger of overfitting.
  - Example: 256 feature maps of dim 100x100 connected to 10 output neurons lead to 256  $\times$  10<sup>5</sup> weights for the final dense layer.

#### GLOBAL AVERAGE POOLING

- Solution:
  - Average each final feature map to the element of one global average pooling (GAP) vector.
  - Example: 256 feature maps are now reduced to GAP-vector of length 256 yielding a final dense layer with 2560 weights.



**Figure:** An Example of Fully Connected Layer VS Global Average Pooling Layer

#### **GLOBAL AVERAGE POOLING**

- GAP preserves whole information from the single feature maps whilst decreasing the dimension.
- Mitigates the possibly destructive effect of pooling.
- Each element of the GAP output represents the activation of a certain feature on the input data.
- Acts as an additional regularizer on the final fully connected layer.

### **NETWORK IN NETWORK (NIN)**

- NiN uses blocks consisting of a convolutional layer and multiple 1 × 1 convolutional layers. This can be used within the convolutional stack to allow for more per-pixel nonlinearity.
- NiN removes the fully-connected layers and replaces them with global average pooling (i.e., summing over all locations) after reducing the number of channels to the desired number of outputs (e.g., 10 for Fashion-MNIST).
- Removing the fully-connected layers reduces overfitting. NiN has dramatically fewer parameters.
- The NiN design influenced many subsequent CNN designs.

## **NETWORK IN NETWORK (NIN)**

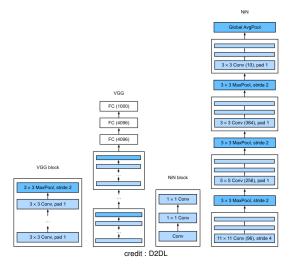


Figure: Comparing architectures of VGG and NiN, and their blocks.

# Networks with Parallel Concatenations (GoogLeNet)

#### **INCEPTION BLOCK**

- Problem setting: how do we choose the kernel size in each layer?
- This is often an arbitrary decision.
- Solution: offer the model kernels of different sizes in each layer through which it can propagate information and let it decide, which one to use to which extent.
- Side-effect: massive parameter reduction allowing for deeper architectures.

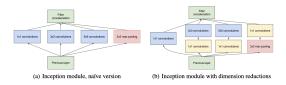


Figure: Inception block.

#### **INCEPTION BLOCK**

- The Inception block is equivalent to a subnetwork with four paths.
- It extracts information in parallel through convolutional layers of different window shapes and max-pooling layers.
- 1 × 1 convolutions reduce channel dimensionality on a per-pixel level. Max-pooling is used as it is ought to increase the robustness of the feature maps. The kernels are padded accordingly to yield feature maps of equal dimensions..

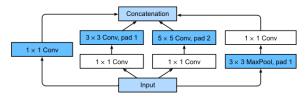


Figure: Inception block with dimention reduction.

#### **GOOGLENET ARCHITECTURE**

- GoogLeNet connects multiple well-designed Inception blocks with other layers in series.
- The ratio of the number of channels assigned in the Inception block is obtained through a large number of experiments on the ImageNet dataset.
- GoogLeNet, as well as its succeeding versions, was one of the most efficient models on ImageNet, providing similar test accuracy with lower computational complexity.

#### **GOOGLENET ARCHITECTURE**

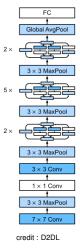
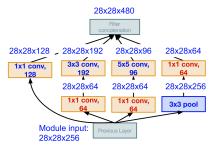


Figure: The GoogLeNet architecture.

#### **GOOGLENET ARCHITECTURE**



Inception module with dimension reduction

#### Conv Ops:

[1x1 conv, 64] 28x28x64x1x1x256 [1x1 conv, 64] 28x28x64x1x1x256 [1x1 conv, 128] 28x28x128x1x1x256 [3x3 conv, 192] 28x28x192x3x3x64 [5x5 conv, 96] 28x28x96x5x5x64 [1x1 conv, 64] 28x28x64x1x1x256

Total: 358M ops

Compared to 854M ops for naive version Bottleneck can also reduce depth after pooling layer

credit: Stanford cs231n

Figure: Computational complexity by Inceptation module

# Residual Networks (ResNet)

What happens when we continue stacking deeper layers on a "plain" convolutional neural network?



credit: Stanford cs231n
56-layer model performs worse on both training and test error! The deeper model performs worse, but it's not caused by overfitting!

- Fact: Deep models have more representation power (more parameters) than shallower models.
- Hypothesis: the problem is an optimization problem, deeper models are harder to optimize

- Problem setting: theoretically, we could build infinitely deep architectures as the net should learn to pick the beneficial layers and skip those that do not improve the performance automatically.
- But: this skipping would imply learning an identity mapping x = F(x). It is very hard for a neural net to learn such a 1:1 mapping through the many non-linear activations in the architecture.
- Solution: offer the model explicitly the opportunity to skip certain layers if they are not useful.
- Introduced in [He et. al, 2015] and motivated by the observation that stacking evermore layers increases the test- as well as the train-error (≠ overfitting).

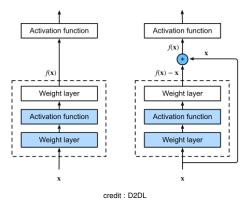
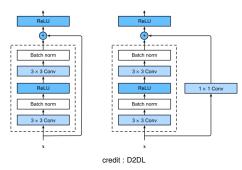


Figure: A regular block (left) and a residual block (right).



**Figure:** ResNet block with and without  $1 \times 1$  convolution. The information flows through two layers and the identity function. Both streams of information are then element-wise summed and jointly activated.

- Let  $\mathcal{H}(\mathbf{x})$  be the optimal underlying mapping that should be learned by (parts of) the net.
- x is the input in layer / (can be raw data input or the output of a previous layer).
- $\mathcal{H}(\mathbf{x})$  is the output from layer *l*.
- Instead of fitting  $\mathcal{H}(\mathbf{x})$ , the net is ought to learn the residual mapping  $\mathcal{F}(\mathbf{x}) := \mathcal{H}(\mathbf{x}) \mathbf{x}$  whilst  $\mathbf{x}$  is added via the identity mapping.
- Thus,  $\mathcal{H}(\mathbf{x}) = \mathcal{F}(\mathbf{x}) + \mathbf{x}$ , as formulated on the previous slide.
- ullet The model should only learn the **residual mapping**  $\mathcal{F}(\mathbf{x})$
- Thus, the procedure is also referred to as Residual Learning.

- The element-wise addition of the learned residuals \( \mathcal{F}(\mathbf{x}) \) and the identity-mapped data \( \mathbf{x} \) requires both to have the same dimensions.
- To allow for downsampling within  $\mathcal{F}(\mathbf{x})$  (via pooling or valid-padded convolutions), the authors introduce a linear projection layer  $W_s$ .
- $W_s$  ensures that **x** is brought to the same dimensionality as  $\mathcal{F}(\mathbf{x})$  such that:

$$y = \mathcal{F}(\mathbf{x}) + W_{s}\mathbf{x},$$

- y is the output of the skip module and  $W_s$  represents the weight matrix of the linear projection (# rows of  $W_s$  = dimensionality of  $\mathcal{F}(\mathbf{x})$ ).
- This idea applies to fully connected layers as well as to convolutional layers.

#### RESNET ARCHITECTURE

- The residual mapping can learn the identity function more easily, such as pushing parameters in the weight layer to zero.
- We can train an effective deep neural network by having residual blocks.
- Inputs can forward propagate faster through the residual connections across layers.
- ResNet had a major influence on the design of subsequent deep neural networks, both for convolutional and sequential nature.

## **RESNET ARCHITECTURE**

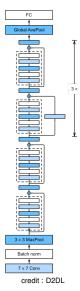


Figure: The ResNet-18 architecture.

# **Densely Connected Networks (DenseNet)**

- ResNet significantly changed the view of how to parametrize the functions in deep networks.
- DenseNet (dense convolutional network) is to some extent the logical extension of this [Huang et al., 2017].
- Dense blocks where each layer is connected to every other layer in feedforward fashion.
- Alleviates vanishing gradient, strengthens feature propagation, encourages feature reuse.
- To understand how to arrive at it, let us take a small detour to mathematics:
  - Recall the Taylor expansion for functions. For the point x = 0 it can be written as:

$$f(x) = f(0) + f'(0)x + \frac{f''(0)}{2!}x^2 + \frac{f'''(0)}{3!}x^3 + \dots$$

- The key point is that it decomposes a function into increasingly higher order terms. In a similar vein, ResNet decomposes functions into: f(x) = x + g(x).
- That is, ResNet decomposes f into a simple linear term and a more complex nonlinear one. What if we want to capture (not necessarily add) information beyond two terms? One solution was DenseNet [Huang et al., 2017].

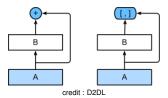


Figure: DensNet Block.

As shown in previous Figure, the key difference between ResNet and DenseNet is that in the latter case outputs are concatenated (denoted by [,]) rather than added. As a result, we perform a mapping from x to its values after applying an increasingly complex sequence of functions:

$$\mathbf{x} \to [\mathbf{x}, f_1(\mathbf{x}), f_2([\mathbf{x}, f_1(\mathbf{x})]), f_3([\mathbf{x}, f_1(\mathbf{x}), f_2([\mathbf{x}, f_1(\mathbf{x})])]), \ldots].$$

In the end, all these functions are combined in MLP to reduce the number of features again. In terms of implementation this is quite simple: rather than adding terms, we concatenate them. The name DenseNet arises from the fact that the dependency graph between variables becomes quite dense. The last layer of such a chain is densely connected to all previous layers.

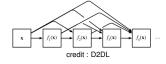


Figure: The DensNet architecture.

#### REFERENCES



B. Zhou, Khosla, A., Labedriza, A., Oliva, A. and A. Torralba (2016)

Deconvolution and Checkerboard Artifacts

 $\label{lem:http://cnnlocalization.csail.mit.edu/Zhou\_Learning\_Deep\_Features\_CVPR~2016~paper.pdf$ 



Christian Szegedy, Wei Liu, Yangqing Jia, Pierre Sermanet, Scott Reed, Dragomir Anguelov, Dumitru Erhan, Vincent Vanhoucke and Andrew Rabinovich (2014)

Going deeper with convolutions

https://arxiv.org/abs/1409.4842



Kaiming He, Zhang, Xiangyu, Ren, Shaoqing, and Jian Sun (2015)

Deep Residual Learning for Image Recognition

https://arxiv.org/abs/1512.03385



Bolei Zhou, Aditya Khosla, Agata Lapedriza, Aude Oliva and Antonio Torralba (2016)

Learning Deep Features for Discriminative Localization

 $\label{lem:http://cnnlocalization.csail.mit.edu/Zhou\_Learning\_Deep\_Features\_CVPR~2016~paper.pdf$