

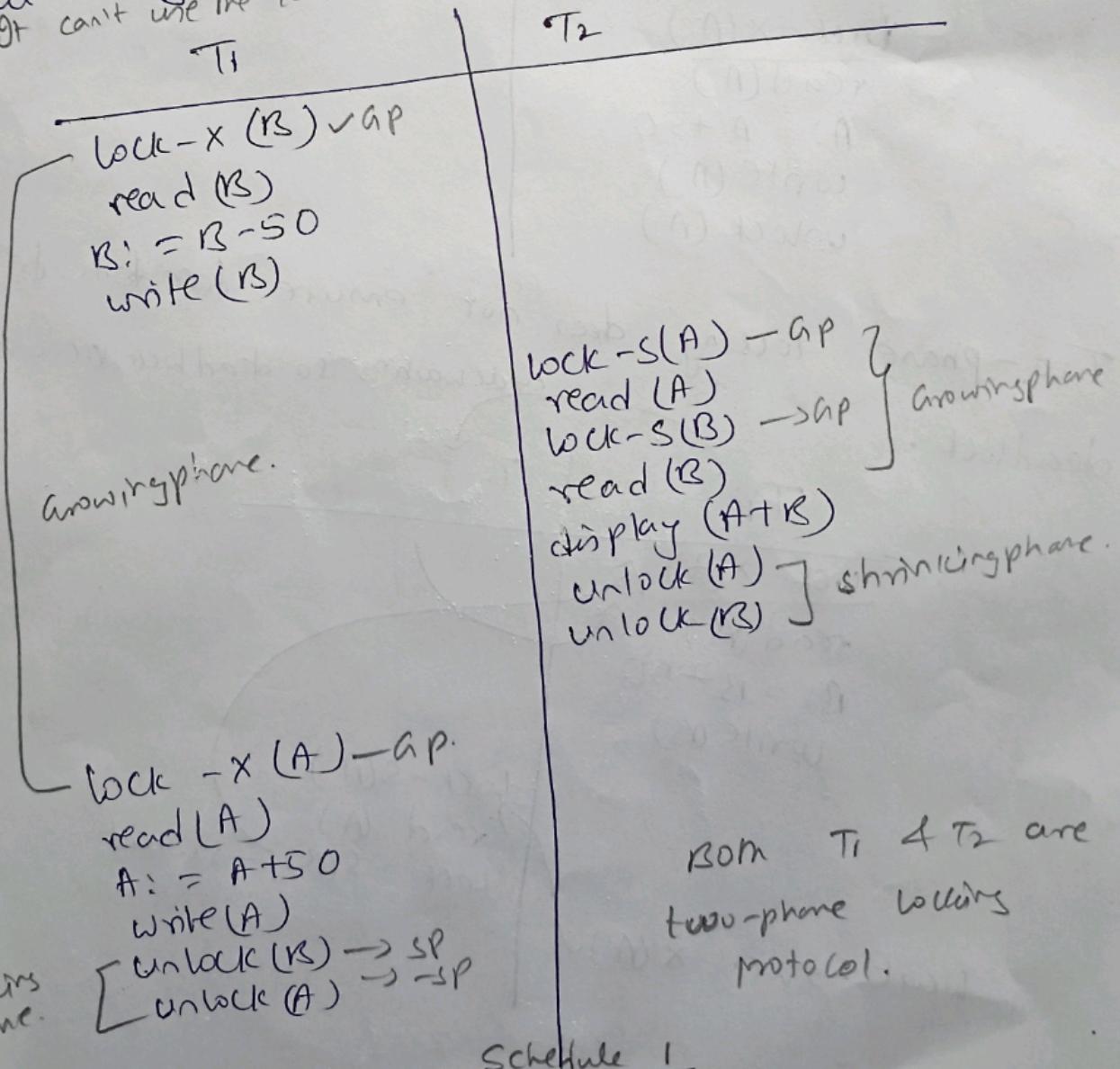
## Two-phase locking protocol

→ one protocol that ensures serializability is the two-phase locking protocol.

→ This protocol requires that each transaction issue lock and unlock requests in two phases.

- ① Growing Phase: - A transaction may obtain locks, but may not release any lock. It should not use unlock(A).
- ② Shrinking Phase: - A transaction may obtain any new locks, unlock(A), but may not obtain any lock. It can't use me lock(A).

Eg:-



Both T<sub>1</sub> & T<sub>2</sub> are two-phase locking protocol.

Schedule 1

$T_1$  $T_2$ 

$\text{lock } -x(B) \rightarrow GP$   
 $\text{read } (B)$   
 $B := B - 50$   
 $\text{write } (B)$   
 $\underline{\text{unlock } (B)} \rightarrow SP$

not a growing phone  
 because lock followed  
 by unlock.

$\text{lock } -S(A) \rightarrow GP$  ] not a GP  
 $\text{read } (A)$   
 $\underline{\text{unlock } (A)} \rightarrow SP$  ] not a GP.  
 $\text{lock } -S(B) \rightarrow GP$   
 $\text{read } (B)$   
 $\underline{\text{unlock } (B)} \rightarrow SP$ .  
 $\text{display } (A+B)$

$T_2$  is not a 2-phase  
 locking protocol.

$\underline{\text{lock } -x(A) \rightarrow GP}$   
 $\underline{\text{read } (A)}$   
 $A := A + 50$   
 $\text{write } (A)$   
 $\text{unlock } (A)$

Two-phase locking does not ensure freedom from  
 schedule-2  
 cycle waiting so deadlock occurs.

deadlock.

Exclusive

$\underline{\text{lock } -x(B) }$   
 $\underline{\text{read } (B) }$   
 $B := B + 50$   
 $\text{write } (B)$

$\text{wait} \rightarrow \text{lock } -x(A)$

Schedule-3

$\text{lock } -S(A)$   
 $\text{read } (A)$   
 $\text{lock } -S(B) \rightarrow \text{wait}$

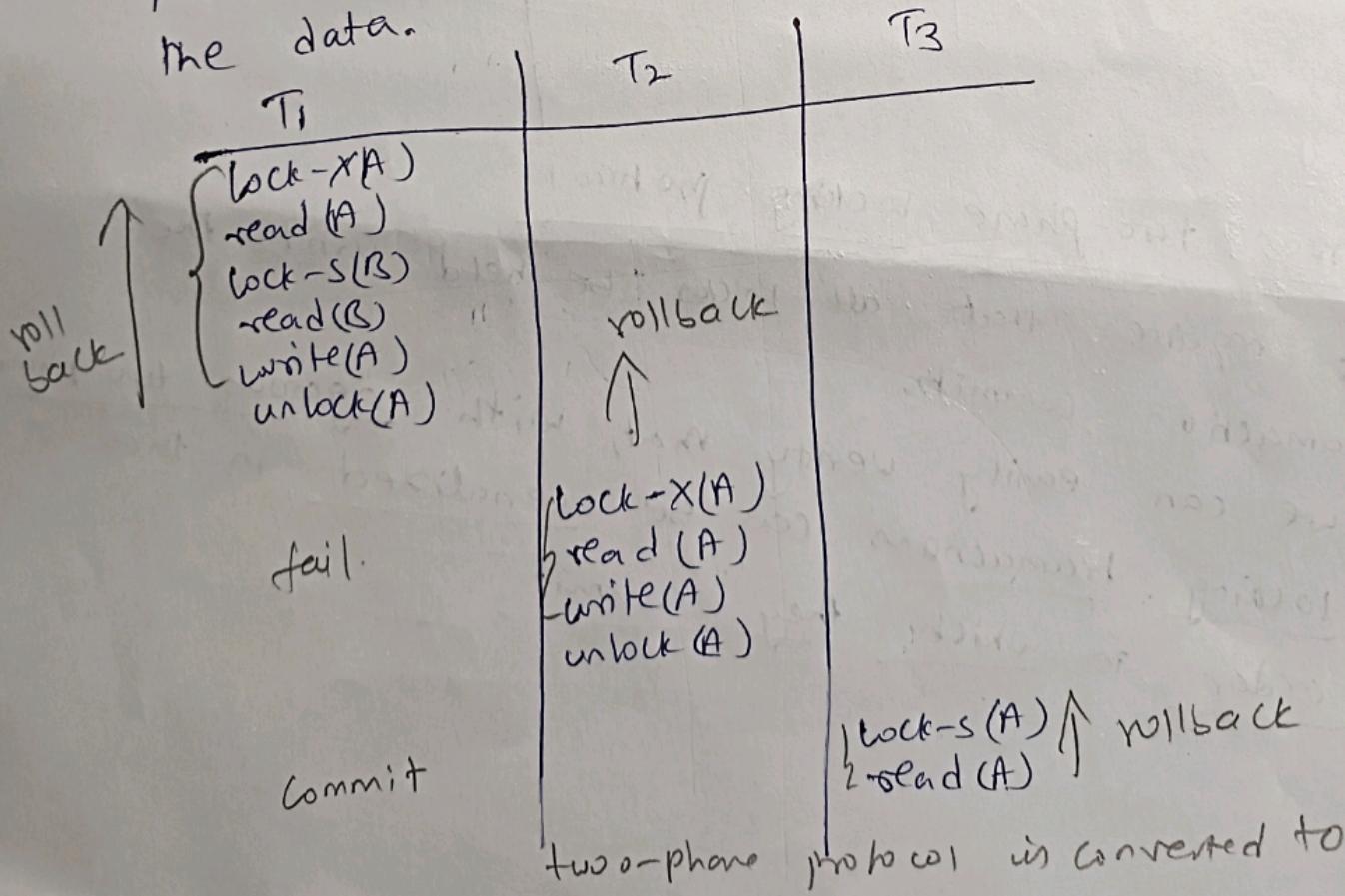
shared lock.

$T_2$  has to wait  
 until  $T_1$  releases  
 the  $\text{lock } -x(B)$ .

## Strict - two-phase locking protocol

(3)

- cascading rollback may occur under two-phase locking.
- cascading rollbacks can be avoided by a modification of two-phase locking called the strict two-phase locking protocol.
- This protocol requires not only that locking the two phase, but also that all exclusive-mode locks taken by a transaction be held until that transaction commits.
- This requirement ensures that any data written by an uncommitted transaction are locked in until the transaction commits, preventing any other transaction from reading the data.



$T_1$	$T_2$	$T_3$
lock - X(A) read (A) lock - S(B) read (B) write (A)  <i>(fail)</i> <i>roll back</i>		

committed

unlock(A)

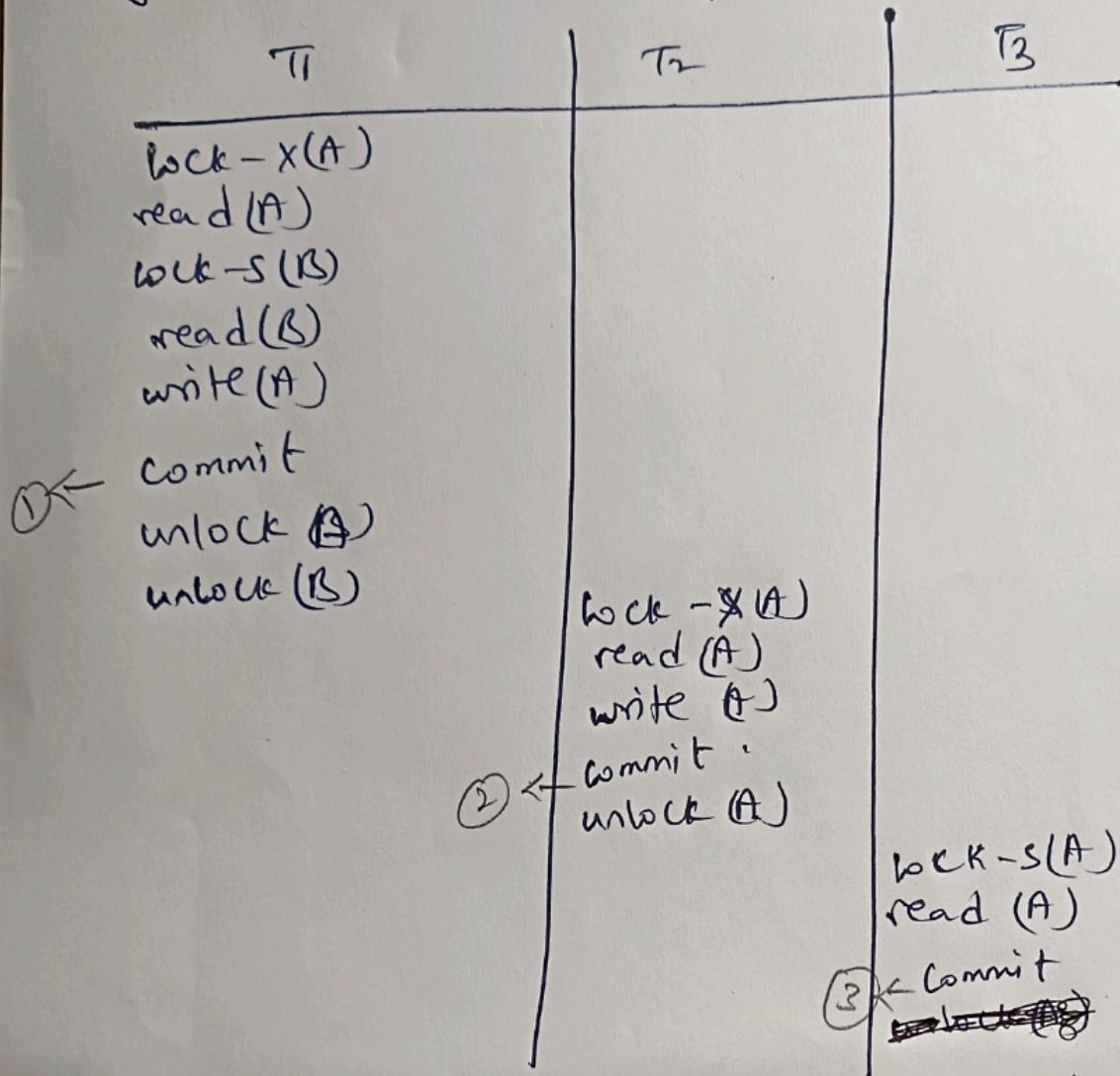
lock - X(A) →  $T_2$  will start after it enters.  
read (A)  
write (A)  
commit  
unlock(A)

lock - S(A)  
read (A)

strict - two - phase locking protocol.

Rigorous two-phase locking protocol  
→ It requires that all locks be held until the transaction commits.  
→ we can easily verify that, with rigorous two-phase locking, transactions can be serialized in the order in which they commit.

→ Most database systems implement either strict or rigorous two-phase locking. (5)



$\langle T_1, T_2, T_3 \rangle$  are serialized in the order in which they committed.